

**System Name**  
**(System Acronym – Code)**

**Security Assessment Validation and Authorization to Operate (ATO)  
Statement**

**Background**

Between **XXX X, 2016** and **XXX X, 2016**, an assessment team within the Office of Information Technology Security (OITS) reviewed security documentation, analyzed vulnerability scans, interviewed personnel, and observed System Administrators executing various commands related to the **[System Name] [Acronym-Code]**. **[System Acronym]** is operated by the Department of Housing and Urban Development's (HUD) Office of Housing Program Office, which is located at the Robert C. Weaver Building, 451 7th Street S.W. Washington, DC 20410. This review was performed by the Office of Information Technology Security Team (OITS) team under the direction of the undersigned Security Assessment Validation (SAV) official, under the authority of the Office of the Chief Information Officer, HUD. The Security Test and Evaluation (ST&E) was conducted in accordance with Office of Management and Budget (OMB) Circular A-130, Appendix III, *Security of Federal Automated Information Resources* (Agencies are required by OMB policy to authorize their information systems to operate consistent with federal standards and guidelines issued by the National Institute of Standards and Technology [NIST]) and HUD policy and guidance on Security Assessments and ATOs. The purpose of the review was to ensure the completeness and adequacy of **[System Acronym]**' security documentation including, **[System Acronym]**' *System Security Plan* dated **XXX X, 2016**, meets minimum security requirements, are effective in their application, and adequately mitigate risks described in **[System Acronym]**' *Risk Analysis Report* dated **XXX X, 2016**. The Security Assessment Report (SAR) provides the Authorizing Official with important information necessary to make an informed, risk-based decision regarding the operation of the **[System Acronym]** system.

**Summary of Findings**

[System Acronym] management has fully implemented **XXX** of **XXX** of the required IT security controls (%). The following table represents POA&M entries for the system.

Control	Responsibility	Weakness	Completion Date

**Statement of Compliance**

Based on the state of security controls assessment, at the appropriate level of effort, it is the judgment of the SAV official that [System Acronym] complies with the general requirements of OMB Circular A-130, Appendix III and with minimum security requirements defined for sensitivity systems in accordance with Federal Information Processing Standard 199 and the National Institute of Standards and Technology (NIST) Special Publication 800-53 rev.4.

**Recommendations**

The security controls listed in [System Acronym] security plan have been tested and evaluated by a security assessment team within OITS using the verification techniques and the procedures described in the attached Security Assessment Report to determine if those controls meet minimum security requirements and are effective in their application. Testing has reasonably demonstrated that [System Acronym] provides necessary assurance for secure processing. Based on the results of Security Assessment Report (SAR), the undersigned SAV officials recommend that the system be authorized for operation by the Authorizing Official.

**Security Assessment Validation Statement**

Based on my review of documentation contained in the accompanying Security Assessment package, I concur that [System Acronym] meets Federal security requirements. As a result, I am recommending that [System Acronym] be granted an Authorization to Operate (ATO).

\_\_\_\_\_  
Carlos Segarra Sr. CISSP PMP, Chief Information Security Officer  
SAV Official  
Office of the Chief Information Officer

\_\_\_\_\_  
Date

\_\_\_\_\_  
Patricia Hoban-Moore, Senior Agency Official for Privacy  
SAOP Official  
Office of Administration

\_\_\_\_\_  
Date

**System Owner Approval**

Based on my review of documentation contained in the accompanying Security Assessment package, I concur with the findings and recommendations of the SAV official. I certify that [System Acronym] security controls have been tested at the appropriate certification level and as of this date the [System Acronym] meets applicable federal security requirements as it operates in its current environment. A review of security controls protecting the system will be conducted upon any major changes to the current operating environment.

\_\_\_\_\_  
Name  
System Owner

\_\_\_\_\_  
Date

**Accreditation Statement**

In accordance with the provisions of HUD’s Security Assessment and ATO Program, after reviewing the security controls that have been implemented and planned, and weighing the remaining residual risks against operational requirements, I authorize operation of [System Acronym] for continued operation.

This authorization is my formal declarations that the security controls for [System Acronym] have been properly implemented and are functioning correctly.

I will ensure that the owner of [System Acronym] analyzes any significant change in the system’s configuration (i.e., hardware, software, and/or firmware) or the system’s operating environment to determine its impact on system security, and that the system owner takes appropriate action to maintain a level of security consistent with the requirements for this action.

\_\_\_\_\_  
Name  
Authorizing Official

\_\_\_\_\_  
Date