

Lawrence-Douglas County
Housing Authority

2013

Moving To Work Annual Plan



Arbor Day Tree Planting, Edgewood Homes

Revised per HUD Recommendations, January 14 2013



The Lawrence-Douglas County Housing Authority

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The City of Lawrence and Douglas County, Kansas

The Lawrence-Douglas County Housing Authority (LDCHA) is located in Lawrence, Kansas. The county has a population of 110,826 per the 2010 census, and includes four incorporated towns and cities - Lawrence, Baldwin City, Eudora and Lecompton. All LDCHA owned housing, including its 369 public housing units, are located in Lawrence. The LDCHA administers 732 vouchers in the area and spends more than \$3.2 million annually in federal rent subsidy paid to 306 private landlords. In 2012 LDCHA operated eight programs that provided housing, housing assistance or services monthly to approximately 1,295 households throughout Lawrence and Douglas County, with a budget of \$8 million.

Lawrence is the 6th largest city in Kansas with a population of 92,278 and has gained prominence for its planning and commitment to quality of life for its citizens. Lawrence lays claim to its share of national recognitions and historical significance. The city boasts one of the most vibrant downtown shopping, dining, and entertainment districts in the Midwest. It ranks 15th on John Villani's "The Best 100 Small Arts Towns in America". The National Endowment for the Arts ranks Lawrence 12th among cities in the U.S. with the largest percentage of professional artists in the workforce. The National Historic Trust named Lawrence one of its Dozen Distinctive Destinations, calling the city an example of the "best preserved and unique communities in America". U.S. News and World Report lists Lawrence as one of the best places to retire.

Lawrence is also home to two universities, the University of Kansas and Haskell Indian Nations University. The University of Kansas is consistently ranked as one of the best public universities in the country, with its Special Education and Masters of Public Administration programs each listed as the best programs of all U.S. colleges and universities. Haskell Indian Nations University is the nation's only inter-tribal university for Native Americans, representing more than 150 tribes from across the country. Education and Government are the largest employers in the city, followed by light manufacturing, retail and service sectors. In southern Douglas County, Baldwin City is home to Baker University, the oldest university in Kansas.

Ninety-two point eight (92.8) percent of Lawrence residents age 25 and over have graduated high school, 47.7% have college degrees and 20.8% have graduate and professional degrees. However, Lawrence's high educational attainment level does not translate into above average salaries. The median income in Lawrence is \$41,290, compared to \$49,424 in Kansas.

The 2010 census estimated that 23.4% of all Lawrence families lived in poverty compared to 19.1% for individuals living in Douglas County. The unemployment rate for Douglas County for the 2nd quarter of 2011 was 5.2% and for the City of Lawrence it was 5.6%.

The US Census Bureau estimates that 53% percent of all households in Lawrence are renter households. This has changed since 2008, when 52% of households owned their home. The 2011 vacancy rate for rental units is estimated at 4%, down from 6.7% in 2008.

The Lawrence-Douglas County Housing Authority

The Lawrence-Douglas County Housing Authority (LDCHA) was created in 2001 through the merger of the Lawrence Housing Authority (KS053) and the Douglas County Housing Authority (KS160). The predecessor, Lawrence Housing Authority, was created in 1968 under the Kansas Municipal Housing Act as an independent agency of the City of Lawrence charged with developing, operating and managing low rent housing for the low income population of Lawrence, Kansas. The Douglas County Housing Authority was created in 1983 by the Douglas County Commission for the purposes of administering the Section 8 Certificate Program in Douglas County, Kansas. Through an Administrative Agreement between the City of Lawrence and Douglas County, the Lawrence Housing Authority was designated as the administering agency for the Douglas County Housing Authority program. With the passage of the Quality Housing and Work Responsibility Act of 1998, the dual administrative nature of the Lawrence Housing Authority became impractical given the new annual planning and board requirements placed upon housing authorities. Consequently on January 1, 2001, through a joint resolution of the City of Lawrence and Douglas County, and with the approval of HUD, the two housing authorities merged as KS053, and KS160 was dissolved.

The LDCHA is governed by a five member board of commissioners, two appointed by the Douglas County Commission and three by the Mayor of the City of Lawrence. One member must be a LDCHA resident and is an appointee of the City of Lawrence.

The LDCHA was constituted with the powers to:

- Plan, construct, maintain, operate and manage low rent housing developments of the City of Lawrence and Douglas County, Kansas;
- Enter into contracts with federal, state, or local governments for funds to plan develop, support, construct, acquire or provide housing and housing developments for the low income;
- Enter into public and private joint ventures; and
- Enter into cooperative agreements with other incorporated jurisdictions of Douglas County to carryout affordable housing plans and developments for the low income in those jurisdictions.

The primary roles of the housing authority are as a housing developer and provider. Presently the LDCHA operates eight different affordable housing programs. Included in the role of housing provider is the responsibility to provide effective, efficient, and equitable management services and to maintain the agency's real estate portfolio. The LDCHA partners and collaborates with other local agencies to provide housing assistance to special populations including special needs and homeless populations.

The LDCHA operates programs that support the economic uplift of its tenant population through a comprehensive program of resident services including

homeownership and employment support programs. The resident services programs also include services to facilitate healthy households and healthy aging in place.

The LDCHA follows business and fiscal policies that strive to achieve long term financial viability and solvency.

Organizational Structure

The LDCHA is divided into eight departments:

1. Administration and Business,
2. Maintenance Operations,
3. Capital Fund Program,
4. General Housing Program,
5. Program and Property Management,
6. Senior Housing Program,
7. Multifamily Housing, and
8. Resident Services.

The LDCHA employs 44 staff and operates combined budgets in excess of \$8 million.

The LDCHA has been a designated *High Performer* agency by HUD for its public housing program since 1992 and for its Section 8 programs since the inception of the Section 8 Management Assessment Program.

MOVING TO WORK

The LDCHA was selected by HUD as one of the original 23 housing authorities to participate in the Moving to Work Demonstration program in 1998. The Congressionally mandated demonstration was established to test new models for delivering public housing and Section 8 assistance. Congress established three objectives for the demonstration:

Objective 1: Reduce cost and achieve greater cost effectiveness in Federal Expenditures;

Objective 2: Give incentives to families with children whose head of household are either working, seeking work, or are participating in job training, education or other programs that assist in obtaining employment and becoming economically self sufficient; and

Objective 3: Increase housing choice for low income families.

The LDCHA signed its first five year MTW Agreement with HUD on March 30, 1999. The agency began implementation of the program on June 1, 1999.

The agency objectives that were established to meet the Congressional goals were:

1. Abolish the public housing and Section 8 program administrative structure and create a new program of housing assistance called General Housing assistance which combines the family housing units of the public housing program and Section 8 Tenant Based Rent Assistance (TBRA) programs.
2. Change or eliminate four basic federal rules under the 1937 Housing Act that contradict customary social and economic norms and create administrative expense. The changes include:
 - The institution of suitability criteria as a part of eligibility criteria.
 - Modifying the definition of countable income and adjusted income.
 - The establishment of the concept of annual rent and abolishing (with some exceptions) interim re-examinations.
 - Instituting sweeping and comprehensive changes in the rent structure.
3. Establish a rent structure that provides affordability while it:
 - Values the unit.
 - Creates incentives to work.
 - Motivates families to work.
 - Establishes meaningful minimum and maximum rents.
 - Increases PHA income thereby reducing federal subsidy or increasing housing assistance without additional subsidy.
4. Increase Housing Choice:

- For all Section 8 participants increase housing choice by permitting full discretion as to location, size and cost without regard to local Fair Market Rents.
 - For public housing residents of Edgewood Homes, increase housing choice by vouchering out up to 50 percent of the units over a 3 year period freeing units to rent to moderate income families thus creating a mixed income development. (This was later eliminated in subsequent plans.)
5. Increase usage of existing federal funds:
- Increase public housing rental income by \$150,000 per year.
 - Free \$500,000 per year of Section 8 subsidy.
 - Using these amounts to serve an additional 100 low income households without additional federal subsidy.
6. Expand by at least 100 percent, the Family Self Sufficiency program to require participation of non-exempt public housing and Section 8 families.
7. Provide homeownership opportunities for approximately 422 households including, but not limited to, automatic selection for the local Tenant to Homeowners Program and a \$3000 down payment match with local HOME funds. (This was later modified in subsequent plans.)

The above objectives created a locally driven housing program that continues to reflect community needs and values.

The 1999 MTW Agreement granted the specific authorizations or activities necessary for the LDCHA to carry out the stated objectives.

Established as a five year demonstration, the LDCHA's MTW Agreement was extended three times in 2004, 2005, and 2006. In 2007 HUD restructured the MTW program and standardized the individual agreements that MTW participating agencies each had with HUD. In April 2008 the agency signed a new 10 year standardized agreement extending the program to 2018. The new agreement provided new expanded authorities for the agency.

2013 MTW Annual Plan

I. Overview of the Agency's 2013 MTW Goals and Objectives

For 2013 the LDCHA intends to retain all of its existing, previously approved goals, objectives and activities as referenced below, and adds the following.

New 2013 MTW Initiative for which HUD Approval is Requested

The LDCHA seeks approval to implement the following:

Activity 13-1: Create an Affordable Housing Acquisition and Development Fund.

Use MTW Funds to Support Affordable Housing Acquisition and Development in Lawrence and Douglas County Kansas.

Objective: To increase housing choice for low-income families and individuals. The LDCHA will pursue this goal through a variety of methods using its MTW flexibility, and where possible to leverage additional funds from private and public sources (including Low Income Finance Housing Tax Credits, Private Activity Bond, or other available financing methods).

Previously Approved Implemented Objectives and Activities Retained in 2013

SUMMARY OF MTW INITIATIVES			
ACTIVITY NO.	ONGOING INITIATIVES	DESCRIPTION	FISCAL YEAR IMPLEMENTED
Objective 1: <i>Reduce cost and achieve greater cost effectiveness</i>			
12-1	Biennial recertification for Section 8 elderly and disabled households	Conduct biennial recertification for all elderly and disabled Section 8 households.	2012
11-3	Combine the Administrative Plan and the Public Housing ACOP into one policy statement	Combine Section 8 Housing Choice Voucher Administrative Plan and public housing Admissions and Continued Occupancy policy and Methods of Administration to create consistency and safeguard staff accuracy in the application of MTW policies under the public housing and Section 8 HCV programs.	Pending
10-1	Biennial recertification for public housing elderly and disabled households	Conduct biennial recertification for all elderly and disabled public housing households.	2010
10-3	Energy Conservation Improvements	Provide \$1.5 million from the single fund MTW budget to finance comprehensive energy improvements under HUD Energy Performance Contracting, resulting in guaranteed annual cost savings sufficient to provide funding for the 20 year investment.	2010
09-1	Single fund budget with full flexibility	The LDCHA combined its public housing operating and Capital fund subsidies and HCV assistance into a single authority source.	2009
09-4	Biennial recertifications for MTW households	Conduct biennial recertifications for public housing and Section 8 participants in the MTW rent structure who are at maximum rent or 50% AMI.	2009
09-6	Revised definition of countable income-1	Excluded earned income of adult children between the ages of 18 and 21.	2009
09-6.1	Revised definition of countable income-2	Counted income by eliminating the 12:12:48 month earned income disallowance rule.	2009
99-1	Combined Public Housing and Section 8 HCV Programs and	Combined public housing family housing units and Section 8 HCV into one program called General Housing with	1999

SUMMARY OF MTW INITIATIVES			
ACTIVITY NO.	ONGOING INITIATIVES	DESCRIPTION	FISCAL YEAR IMPLEMENTED
	Operations	one waiting list and single organizational program structure.	
Objective 2: Increase work and self-sufficiency among residents			
11-1	Provide financial assistance for vehicle repair	To provide up to \$500 per household for vehicle repair to assist MTW households to obtain or retain employment, employment training, or attend post secondary education.	2011
11-2	Partner with Douglas County Housing Incorporated (DCHI), to create year round social, educational, health and recreational opportunities for youth	Use DCHI, an affiliated nonprofit, to pursue private and public foundation funding that is restricted to nonprofit organizations in order to expand program opportunities and activities for LDCHA youth, particularly the youth of parents participating in the MTW program.	2011
10-2	Expand employment related services to MTW households	Provide \$56,000 in funding for technical training, education, certifications, employment counseling and youth services to permit heads of household to seek, obtain and retain employment.	2010
09-2	Expanded Resident Services	Required mandatory orientation program for all new residents.	2009
09-3	Expand case management services to MTW households	Provided case management for households below 40% AMI to reduce barriers to employment and underemployment to maximize household's potential for securing long-term employment.	2009
99-2	Alternative rent structure	Developed alternative rent structure with minimum and maximum annual rents applied to all non-disabled/non-elderly households in the General Housing program.	1999
99-3	Work requirement	Required all non-elderly/non-disabled adults age 50 and younger to work or be engaged in a work-related activity.	1999
Objective 3: Increase housing choices for low-income families and individuals			
09-5	Homeownership matching grant	Provided up to \$3000 matching grant for MTW households that purchase a home.	2009
09-7	Homeless to Housed	Provide housing stabilization case management for recently homeless individuals who are housed in the	2009 Re-proposed 2012

SUMMARY OF MTW INITIATIVES			
ACTIVITY NO.	ONGOING INITIATIVES	DESCRIPTION	FISCAL YEAR IMPLEMENTED
		LDCHA's Transitional Housing program and the prisoner re-entry program.	
09-8	Create a prisoner re-entry housing program	Provided 5 units of TBRA in partnership with Douglas County Sheriff's Office for a prisoner re-entry program.	2009

II. General Housing Authority Operating Information

A. Housing Stock Information:	
Number of public housing units at the beginning of the year;	The LDCHA has 369 units of public housing under ACC with 6 units approved for non-dwelling special uses. The agency has two public housing developments that are designated as senior housing: Babcock Place (KS053002), and Peterson Acres (KS053008). These properties were first designated in 2002 and were renewed for successive two year period, most recently in January 2011.
General description of any planned significant capital expenditures by development (>30% of the Agency's total budgeted capital expenditures for the fiscal year);	The agency will use its 2013 Capital Funds grant exclusively for improvements to public housing developments. The agency anticipates major expenditure for upgrades at turnover to the interiors of all its developments to include new kitchens and baths. In addition the agency will spend the required funds to perform a required physical needs assessment on all its public housing units.
Description of any new public housing units to be added during the year by development (specifying bedroom size, type, accessible features, if applicable);	The agency will not add any public housing units in 2013.
Number of public housing units to be removed from the inventory during the year by development specifying the justification for the removal;	The agency will not remove any public housing units in 2013.
Number of MTW Housing Choice Vouchers (HCV) units authorized;	The LDCHA has 732 authorized units of Section 8 HCV under ACC and 5 units approved under the Prisoner Re-Entry Program.
Number of non-MTW HCV units authorized; and other non-MTW voucher units.	LDCHA has 55 HCV units under HOME TBRA. 6 units under the Continuum of Care Permanent Supportive Housing.
Number of HCV units to be project-based during the Plan year, including description of each separate project.	In 2013, no Housing Choice Voucher units will be project based.
B. Leasing information, Planned – this information is estimated and may be subject to change during the Plan year.	
Anticipated total number of MTW PH units leased in the Plan year;	The LDCHA leasing plan for 2013 is to have under lease 356 units, or 98% of the agency's public housing units every month.

Anticipated total number of non-MTW PH unit leased in the Plan year;	None.
Anticipated total number of MTW HCV units leased in the Plan year;	The LDCHA leasing plan for 2013 is to lease 99% of the 732 authorized units of Section 8 Housing Choice Voucher and 80% of the 5 units under the Prisoner Re-Entry Program.
Number of non-MTW HCV units authorized and other non-MTW voucher units;	The agency anticipates 53 MTW voucher units under HOME TBRA will be leased resulting in a 99% lease up rate, and all 6 permanent supported housing units under Continuum of Care will be leased for a 100% lease up rate.
Description of anticipated issues relating to any potential difficulties in leasing units (HCV or PH).	<p>LDCHA public housing units are vacant during the turnover process. The agency established authorized reasons for vacancy days that were approved by HUD in its 2007, 2008, 2009, 2010, and 2011 Annual Plans - which are no longer applicable pursuant to PIH Notice 2011-7. LDCHA will comply with PIH Notice 2011-7 for HUD approval for vacancies, however the following remain issues for LDCHA in leasing:</p> <ul style="list-style-type: none"> • The Kansas Residential Landlord Tenant Act requires all lease holders to give 30 days' notice of termination of the lease. This state law notice provision creates a 30 day delay from when a tenant accepts a public housing unit to when they can take occupancy. Vacancy days created by state law are beyond the ability of the agency to control. • 40 percent of the LDCHA public housing stock is designed for and occupied by the elderly. Frequently multiple unplanned vacancies occur without notice when these residents pass away or vacate to go to a nursing home. These occurrences result in a backlog of units that must be turned over. Multiple vacancies that occur in elderly developments for these reasons are a condition beyond the ability of the agency to control.
Optional in Plan: Number of project-based vouchers in use at the start of the Plan year.	There will be no MTW project based units at the start of the plan year.
C. Waiting List Information	
Description of anticipated changes in waiting lists (site-based, community-wide, HCV, merged); and	<p>The LDCHA maintains a General Housing Waiting List which is the creation of the MTW program. It is a combined waiting list for the public housing family housing developments and Section 8 HCV program. Vacancies in the total inventory of 1095 will be filled through this list in 2013. There are no changes anticipated in this list in the plan year.</p> <p>The LDCHA maintains two site based waiting lists for its elderly public housing developments at Babcock Place (KS053002, 120 units), and Peterson Acres (KS053008, 25 units). These developments are in the MTW program and are designated as elderly developments. There are no changes</p>

	<p>planned for these waiting lists during the plan year. The LDCHA maintains separate waiting lists for its non-MTW housing programs, which are:</p> <ul style="list-style-type: none"> • 8-unit LDCHA-owned senior housing development, • City funded HOME Homeless Transitional Housing program, • State funded HOME TBRA program, • HOPE House, a permanent supported housing Continuum of Care program.
<p>Description of anticipated changes in the number of families on the waiting list(s) and/or opening and closing of the waiting list(s).</p>	<p>The LDCHA may close sections of its General Housing waiting list if the wait for housing exceeds one year per agency policy. As of Sept. 1, 2012 there were:</p> <ul style="list-style-type: none"> • 267 households on General Housing waiting list, • 68 on the Babcock Place waiting list, • 59 on the Peterson Acres waiting list. <p>These three waiting lists are under the MTW program. For non-MTW programs, there are:</p> <ul style="list-style-type: none"> • 16 households on the Peterson Acres II (non subsidized LDCHA owned) waiting list, • 20 on the Clinton Place (HUD multifamily) waiting list, • 21 on the Homeless Transitional Housing City HOME TBRA list, • 14 on the State HOME TBRA list, • None on the permanent supported housing Continuum of Care list, and • 10 on the list on incoming portable vouchers. <p>The LDCHA purges its waiting lists annually, the most recent purge occurred during May 2012.</p>

III. Non-MTW Related Housing Authority Information

The LDCHA operates several other housing programs besides the public housing and Section 8 HCV TBRA programs.

Clinton Place

The largest is a 58-unit Section 8 project based multi-family development for the elderly which was purchased by the agency in late 2006.

Peterson Acres II

The agency owns a second smaller 8-unit senior development that is fully handicapped accessible. This development is unsubsidized and operates with a below market rate rent structure.

HOME - State

LDCHA administers a grant that funds an estimated 15 - 20 unit TBRA program funded by the State of Kansas with state HOME funds. The grantee for this program is the Bert Nash Community Mental Health Center. The LDCHA administers the program on behalf of the Bert Nash Center. Admission is restricted to Bert Nash clients.

HOME – City – Transitional Housing

The LDCHA has historically administered an estimated 25 - 30 units of TBRA that is grant funded annually by the City of Lawrence's HOME allocation. Due to significant budget cuts for 2013, LDCHA anticipates only 20 - 25 units of TBRA will be administered. This program is restricted to homeless families and individuals who do not otherwise qualify for public housing or Section 8 assistance. In both the Bert Nash program and the City HOME program, participants must enter into a support service agreement and participate in the activities contained in the agreement. Participants have up to two years to meet the qualifications for public housing or Section 8 assistance. At the end of the two year period they are transferred to either public housing or Section 8 assistance if they meet the eligibility qualifications, or if not, their assistance is terminated.

HOPE Building

The LDCHA also operates 6 units of permanent housing under the Continuum of Care Permanent Supportive Housing program for chronically homeless individuals who are dual diagnosed with mental health and substance abuse problems.

Building Independence III

Building Independence III is a Section 811 4-unit property located at 501-507 North 4th Street in Baldwin City, Kansas. The property is owned by the Bert Nash Center and operates under an annual housing assistance payment contract with HUD. The LDCHA is the owner's agent responsible for all aspects of administration, management, operations and maintenance.

Partnership with Head Start

The LDCHA leases Units 159 and 160 at Edgewood Homes without a fee to the Community Children's Center, Inc. to operate a Head Start early childhood education

program. The lease requires that a certain number of LDCHA children be served per year. This space is also used for special educational services for these students and for providing evening childcare services for LDCHA parent/guardian MTW training opportunities.

Non-MTW Policy Initiatives

The LDCHA Board of Commissioners approved Resolution 2010-18 which bans smoking in all LDCHA owned properties. The policy went into effect on January 1, 2011 and enforcement is underway and will be ongoing. There have been no evictions related to violation of this policy.

IV. Long-Term MTW Plan

The agency's long term MTW plan is to continue to institute policies and programs that create incentives for families to work, to increase household income and to become self-sufficient. In so doing, the agency will continue to promote homeownership and create additional housing opportunities for families. The agency will look for ways to reduce administrative burden. LDCHA will apply the new and expanded MTW authorities to the elderly and disabled households including the possibility of an alternative rent structure beyond what is included in this plan, and pursue an affordable alternative rent structure that decouples rent from income.

LDCHA is committed to expanding the stock of affordable housing through the acquisition, new construction, reconstruction or moderate or substantial rehabilitation of housing (including, but not limited to, assisted living or other housing as deemed appropriate by LDCHA, in accordance with its mission), or commercial facilities consistent with the objectives of the demonstration. LDCHA plans to meet this goal through leveraging its MTW funds to create innovative financing and development strategies through joint ventures or other partnerships. In May 2012 LDCHA issued a Request for Qualifications for a private development partner to explore the possibility of acquiring, or constructing affordable housing units and is exploring various development options.

V. Proposed 2013 MTW Activities: HUD Approval Requested

A. Proposed Activity 13-1: Create an Affordable Housing Acquisition and Development Fund

In this activity LDCHA will use its MTW funds to support affordable housing acquisition and development in Lawrence and Douglas County Kansas. LDCHA will seek and pursue opportunities to build new affordable rental housing units as well as to acquire existing land and/or units and construct or rehabilitate them as necessary. LDCHA issued an RFQ for potential development partners in May of 2012, and is evaluating the proposals and will be negotiating with potential developers.

LDCHA's goal in this activity is to increase the supply of quality rental housing that is available and affordable to low, very low, and extremely low income residents of Lawrence and Douglas County. LDCHA will pursue this goal through a variety of methods. To maximize its potential for success, LDCHA may use its MTW flexibility to purchase land and/or improvements, or participate in project ownership and/or development by providing financing for direct construction or rehabilitation costs. LDCHA may leverage, where possible, additional funds from private and public sources (including Low Income Housing Tax Credits, Private Activity Bonds, or other available financing methods). In its projects, LDCHA will pursue, where appropriate, partnerships with funders that support energy conservation in building design. Costs of site acquisition and construction or rehabilitation of sites may be borne directly by LDCHA or by a LDCHA affiliate or in a joint venture with another developer/partner.

B. Relationship of Activity 13-1 to Statutory Objective

This activity addresses the statutory objective No. 3: Increasing housing choices for low-income families.

C. Anticipated Impact of Activity 13-1 on the Stated Objective

The broader use of funds authorized under LDCHA's MTW agreement makes this initiative possible. At a time when federal, state and local sources of funds for affordable housing are shrinking, this activity will enable LDCHA to create desperately needed low-income rental housing units across the county. The activity is expected to lead to the development or rehabilitation of between 40 - 130 units. All units will have appropriate affordability restrictions to serve households at or below 80% of AMI, or the percentage of MTW funds contributed to the total project cost will not exceed the percentage of affordable units.

D. Metrics to Assess Outcome Baseline and Proposed Benchmarks

Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved
# of new affordable rental units developed or rehabilitated	0	40 - 130	Actual increase in number of housing units	Whether the outcome meets or exceeds the benchmark

\$ per unit invested in development of affordable units from LDCHA MTW funds	0	Up to \$50,000 per unit	Actual MTW funds used per unit	Whether the outcome meets or exceeds the benchmark
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E. Data Collection Process and Proposed Metrics to Measure how Activity 13-1 will Achieve the Statutory Objectives

Data related to this activity will be collected at least annually from development records and budget/actual expenditure reports. As this activity is implemented, LDCHA may revise the activity's metrics and further quantify and refine its performance baselines and benchmarks.

F. Statutory Authorization for the Activity

This proposed activity is authorized in LDCHA's Moving to Work Agreement, Attachment C: Section B.(1)(ii) Authorizations Related to Both Public Housing and Section 8 Housing Choice Vouchers - Single Fund Budget with Full Flexibility authorizing the acquisition, new construction, reconstruction or moderate or substantial rehabilitation of housing.

Attachment D: Use of MTW Funds. The Agency may use MTW funds to provide housing assistance for low income families, as defined in section 3(b)(2) of the 1937 Act.

Additionally, this proposed activity will comply with PIH Notice 2011-45 issued on August 15, 2011.

VI. Ongoing MTW Activities: HUD Approval Previously Granted

The activities described below were approved in 1999 under the LDCHA original MTW contract.

Activity 99-1: Combine Public Housing and Section 8 HCV Programs and Operations

The LDCHA streamlined its family public housing and Section 8 programs and operations by combining all functions into one program called General Housing with one waiting list and single organizational structure. To combine these different housing programs the agency established the same eligibility and suitability criteria for all public housing and Section 8 applicants, whether or not they participated in the MTW rent structure.

Activity 99-2: Alternative Rent Structure

The LDCHA developed and applied an alternative rent structure with minimum and maximum annual fixed rents applied to all non-elderly, non-disabled households. In conformance with HUD requirements, LDCHA also developed a rent hardship policy. Under the hardship policy a household may be recertified to the minimum rent for their bedroom size if they have a loss of income. The hardship policy does not permit a household in the MTW rent structure to be recertified to income based rents.

Activity 99-3: Work Requirement

The LDCHA instituted a work requirement for all non-elderly, non-disabled adults age 50 and younger General Housing Program participants. However, elderly and disabled senior public housing or General Housing Program participants may voluntarily opt into the MTW rent structure if they are employed.

All the above changes were executed and are operational today.

The following LDCHA MTW activities were first approved in the 2009 MTW Annual Plan:

The agency was approved as a single fund agency with the authority to combine its public housing operating subsidy, public housing capital fund and Section 8 Housing Choice Voucher assistance into a single agency wide funding source and to use these funds for overall administration of the agency's housing programs and to carry out the approved activities of the MTW demonstration program as described in the plan. Using these funds the agency is authorized to execute the following activities:

Activity 09-1: Single Fund Budget with Full Flexibility

Please see Section VII on page 24 for details.

Activity 09-2: Expanded Resident Services

The LDCHA expanded Resident Services to provide a mandatory orientation for all new incoming residents.

Activity 09-3: Expand Case Management Services to MTW Households

The LDCHA expanded Resident Services to provide individual case management for all households with income below 40% AMI to reduce barriers to employment and underemployment, in order to maximize the household's potential for securing worthwhile long term employment. This includes funding for technical training, education, certifications, and employment counseling.

Activity 09-5: Homeownership Matching Grant

The LDCHA revised the homeownership program to create equity between public housing and Section 8 households by eliminating the escrow requirement and replacing it with a matching grant of up to \$3,000 down payment assistance for all MTW households that purchase a home.

Activity 09-7: Homeless to Housed

Re-proposed in 2012 to create a housing stabilization initiative called Homeless to Housed to provide case management for transitional housing and prisoner re-entry participants and maintain a referral program for homeless families.

Activity 09-8: Create a Prisoner Re-Entry Housing Program

The LDCHA uses sufficient funds to provide five units of tenant based rental assistance to be used in collaboration with the Douglas County Sheriff's Office for its Prisoner Reentry Program.

These activities have been implemented and are operational today.

In addition to the above the following initiatives were approved for the first time in the agency's 2009 MTW Plan and have been implemented.

Activity 09-4: Biennial Recertifications for MTW Households

The LDCHA conducts biennial recertifications for public housing and Section 8 participants in the MTW rent structure who are at maximum rent or at 50% AMI.

Activity 09-6: Revise Definition of Countable Income - 1

The LDCHA revised the definition of countable income to exclude the income of adult children between the ages of 18 and 21 not enrolled in school full-time. However all non-disabled adults between the ages of 18 and 21 must meet the work requirement.

Activity 09-6.1: Revise Definition of Countable Income - 2

The LDCHA revised the definition of countable income by eliminating the 12-12-48 month earned income disallowance rule for affected public housing and Section 8 tenants.

In 2010 the following LDCHA MTW activities were approved:

Activity 10-1: Biennial Recertification for Public Housing Elderly and Disabled Households

The LDCHA conducts biennial recertifications of elderly and disabled households residing in public housing.

Activity 10-2: Expand Employment Related Services to MTW Households

Please see Section VII on page 24 for details.

Activity 10-3: Energy Conservation Improvements

Please see Section VII on page 24 for details.

These activities have been implemented and are operational today.

In 2011 the following LDCHA MTW activities were approved:

Activity 11-1: Provide Financial Assistance for Vehicle Repair

The LDCHA uses funds under the Single Fund Budget to provide financial assistance for vehicle repair to households with children in order to reduce a barrier to employment.

Activity 11-2: Partner with DCHI to Create Year Round Social, Educational, Health and Recreational Opportunities for Youth The LDCHA partners with Douglas County Housing Incorporated (DCHI), a 501(c)3 affiliate organization of the LDCHA, to pursue private or public foundation funding for a year round social, educational, health and recreational program for youth, particularly the youth of parents participating in the MTW program.

Activity 11-3: Combine the Administrative Plan and the Public Housing ACOP into One Policy Statement

To streamline the Housing Choice Voucher and Public Housing policies by combining the Section 8 Housing Choice Voucher Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy and Methods of Administration into one policy.

These activities have been implemented and are operational today except Activity 11-3 which is in the process of being finalized.

In 2012 the following LDCHA MTW activities were approved:

Activity 12-1: Biennial Recertifications for all Section 8 Elderly and Disabled Households.

The LDCHA conducts biennial recertifications for all Section 8 elderly and disabled households.

LDCHA will create a local version of form HUD 9886 Authorization for the Release of Information/Privacy Act Notice extending the expiration date to 24 months to coordinate this document with all biennial recertifications performed. LDCHA will seek a waiver of 24 CFR 5.230(c)(4) for this modification.

Re-proposed Activity 09-7: As described above.

These activities have been implemented and are operational today.

Asset Management

The LDCHA has 369 public housing units and opted out of the asset management requirement under the 2008, 2009 and 2010 HUD appropriations Act, and as provided for in 2011 legislation for 2012. The LDCHA elects to opt out and will opt out again if provided for in the legislation for 2013. The agency uses a cost allocation system to prorate expenses among the different programs it administers.

2013 Consolidated Budget

VII Sources and Uses of Funding

General Statement on Sources of 2013 Funds

The Consolidated Budget document that is presented lists all the sources of funds that the agency expects to receive in 2013. These funding sources are noted. For ongoing previously approved HUD, city and state grants, 2013 estimates are based on 2012 allocations for multiyear grants.

Additionally, since the 2013 allocation for HUD funded programs cannot be known at this time, the amounts listed for Public Housing Operating Subsidy, Capital Fund Program are based on the 2012 allocation without the Allocation Adjustment and calculated at an 85% pro-ratio of the 2013 Public Housing Operating Subsidy. The Section 8 Housing Choice Voucher funding projection is based on 2012 Allocations, but includes the calculation of all of the conversion vouchers assumed by LDCHA in 2012 for an entire year, multiplied by the current inflation factor and calculated at a 95% pro-ratio rate.

General Statement on Uses of Funds

The uses of funds statement shows the aggregate expenses for all programs the agency will operate by account and not by program. However each individual program operated by the LDCHA contains a program specific budget.

Administrative and Management Salaries include all the salaries for all positions except those salaries which provide direct resident and social services to tenants.

Other Operating Administrative Expenses represents the costs of all administrative expenses including legal, staff training, communication, accounting services, sundry, etc.

General Expenses include the cost of insurance, PILOT, collection losses.

Resident Services represents the direct costs associated with providing resident services to all residents based on whether or not they are participants in the MTW program.

Extraordinary / Capital Improvements / Equipment includes costs associated with physical improvements to the agency's public housing developments. It also includes costs for purchase of computers and software.

Debt Service and Replacement Reserves include the costs associated with funding the annual replacement reserve for Peterson Acres II, the LDCHA component unit, and Clinton Place, a Section 8 Multifamily developed owned by the agency. It also includes debt service for Clinton Place. The replacement reserves are only used for programs as permitted and are outside the MTW program.

The LDCHA plans to use funds in 2013 for the intended purposes of the specific federal, state, local and resident services programs even though it will operate its

Public Housing, Section 8 assistance, and Capital Fund as a single fund budget with full flexibility. The agency will not reduce the number of public housing and Section 8 assisted units it has in 2013. Any increased housing or development will be paid for through MTW reserves. Public Housing, Section 8 and Capital funds will be used to pay for the administrative, operational, and maintenance costs and capital fund improvements of the respective programs which includes previously approved MTW Initiatives. New MTW Initiatives will be funded through the Section 8 Allocation or MTW reserves.

Since all of the agency's public housing and Section 8 HCV units/ households are in the MTW program, even though not all households participate in the alternative rent structure and work requirement, these programs are listed as MTW activities in the Consolidated Budget.

While the LDCHA will operate as a single fund budget in 2013 it will use its Capital Funds for the intended and authorized purposes of the regulations governing this program. However this program is also included under the MTW budget.

Any unspent funds remaining at the end of 2013 will be used for MTW purposes in 2013 and subsequent years.

2013 Sources and Uses of Funds Details

Public Housing Authorities are currently facing a high level of uncertainty making the 2013 sources of funding that the LDCHA anticipates difficult to forecast with precision. Funding sources and amounts are based on the most recently approved federal allocations without the Allocation Adjustment included in the 2012 budget and based on 85% proration of the 2013 Public Housing Operating Subsidy. Additionally the Section 8 HCV HAP Payment uses the 2012 inflation factor and estimates a 95% pro-ration.

2013 Consolidated Revenues

A. Sources of Funds (MTW Revenues)	Planned
Public Housing Operating Subsidy	690,060
Public Housing Rental Income	1,242,020
Capital Fund	485,662
Public Housing Investment Income	9,000
Public Housing Other Income – Cell Tower/Laundry/Bus/Operations	79,996
Public Housing Non Dwelling Rent	400
Public Housing Other Income – Late Fees/Damages/Work Orders	35,000
Section 8 Housing Choice Voucher Funding Allocation	4,861,679
Section 8 Housing Choice Voucher Interest Income	10,000
Section 8 Housing Choice Voucher Fraud Recovery	0
Section 8 Housing Choice Voucher (Administrative Fees for Portability)	3,272
MTW Historical Reserves	1,000,000
TOTAL MTW REVENUES:	\$ 8,417,089
B. Sources of Funds (Non-MTW Revenues) State, Local and other HUD Grants	Planned
HUD Resident Services Grants (HCV/FSS; State & Local Grants)	\$ 450,417
Section 8 Multi Family Operating Subsidy and Rental Income	388,257
Section 8 Multi Family Investment Income	480
Section 8 Multi Family Other Income – Vending, Laundry	4,340
Section 8 Multi Family Other Income – Tenant Damage/Late Fees	1,500
HOME Investment Partnership Program TBRA	260,281
HOME Investment Partnership Program TBRA Interest Income (City HOME)	400
Continuum of Care: Permanent Supportive Housing	100,796
Continuum of Care: Permanent Supportive Housing Tenant Rental Income	5,000
Component Unit: Peterson Acres II Tenant Rental Income	49,820
Component Unit: Peterson Acres II Investment Income	280
Component Unit: Peterson Acres II Tenant Late Fees/Damages	300
Building Independence III Operating Subsidy and Rental Income	20,424
Building Independence III Investment Income	75
Building Independence III Tenant Damage/Late Fees	30
TOTAL NON MTW REVENUES:	\$ 1,282,400
TOTAL REVENUES ALL SOURCES:	\$ 9,699,489

2013 Consolidated Expenditures: January - December 2013

A. Uses of Funds (MTW Expenditures)	Planned
Administration & Management Salaries	\$ 981,280
Employee Benefits	289,743
Auditing Fees	11,020
Other Operating – Administrative	240,333
General Expenses	282,937
Utilities	282,810
Protective Services	13,500
Resident Services	112,740
Ordinary Maintenance & Operations (Labor)	431,050
Maintenance Materials & Other Operations	97,460
Maintenance Contract Costs	41,410
Extraordinary Maintenance/Capital Improvements/Equipment	339,096
HAP/Leasing	3,895,616
2009 MTW Initiatives (Continued & Re-proposed)	210,145
2010 MTW Initiatives (Continued)	0
2011 MTW Initiative (Continued)	93,240
2013 MTW Initiative (New from Reserves)	1,000,000
TOTAL MTW EXPENDITURES:	\$ 8,322,380
B. Uses of Funds (Non-MTW Expenditures)	Planned
Administration & Management Salaries	\$ 417,164
Employee Benefits	111,503
Auditing Fees	1,500
Other Operating – Administrative	56,948
General Expenses	29,980
Utilities	34,550
Protective Services	0
Resident Services	58,316
Ordinary Maintenance & Operations (Labor)	52,810
Maintenance Materials & Other Operations	11,390
Maintenance Contract Costs	11,830
Extraordinary Maintenance/Capital Improvements/Equipment	38,792
Debt Service/Replacement Reserve	7,544
HAP/Leasing	291,209
TOTAL NON MTW EXPENDITURES:	\$ 1,123,536
TOTAL EXPENDITURES ALL SOURCES:	\$ 9,445,916

A. Single Fund MTW Budget with Full Flexibility

2013 SOURCE OF FUNDS - PLANNED

	Operating	Capital Fund	HCV	09-2. 09-3, 09-5, 10-2, 11-1 Resident Services	09-7 Homeless to Housed	09-8 Douglas County Prisoner Re-Entry	11-2 Douglas County Housing Inc.	13-1 Acquisition/ Development	Single Fund Budget Totals
Federal Grants & Subsidy	690,060	485,662	4,861,679	0	0	0	0	0	6,037,401
Tenant Revenue	1,242,020	0	0	0	0	0	0	0	1,242,020
Investment Income	9,000	0	10,000	0	0	0	0	0	19,000
Other Income	79,996	0	3,272	0	0	0	0	0	83,268
Non-Dwelling Rent	400	0	0	0	0	0	0	0	400
Other Income (Tenant Damages/Late Fees)	35,000	0	0	0	0	0	0	0	35,000
MTW Historical Reserves/2013 MTW Allocation	0	0	0	0	0	0	0	1,000,000	1,000,000
2013 Source of Funds Totals	2,056,476	485,662	4,874,951	0	0	0	0	1,000,000	8,417,089

2013 USE OF FUNDS - PLANNED

Administration & Management Salaries	469,100	38,853	473,327	62,481	13,776	0	57,098	0	1,114,635
Employee Benefits	188,390	9,713	91,640	13,267	2,905	0	12,307	0	318,222
Auditing Fees	3,890	0	7,130	0	0	0	0	0	11,020
Other Operating Administrative	71,788	105,000	63,545	15,470	16,716	240	5,635	0	278,394
General Expenses	261,870	5,000	16,067	0	0	0	0	0	282,937
Utilities	282,810	0	0	0	0	0	0	0	282,810
Protective Services	13,500	0	0	0	0	0	0	0	13,500
Resident Services	109,740	0	3,000	73,290	0	0	18,200	0	204,230
Ordinary Maintenance & Operations (Labor)	431,050	0	0	0	0	0	0	0	431,050
Maintenance Materials & Other Operations	97,460	0	0	0	0	0	0	0	97,460
Maintenance Contract Costs	41,410	0	0	0	0	0	0	0	41,410
Extraordinary Maintenance/Capital Improvements	12,000	327,096	0	0	0	0	0	0	339,096
HAP / Leasing	0	0	3,895,616	0	0	12,000	0	0	3,907,616
Development	0	0	0	0	0	0	0	1,000,000	1,000,000
2013 Use of Funds Totals	1,983,008	485,662	4,550,325	164,508	33,397	12,240	93,240	1,000,000	8,322,380

B. Non-MTW Budget: State and Local Funds and Other HUD Grants

2013 SOURCE OF FUNDS - PLANNED								
	PHSG/ HCV ROSS Federal / Local Grants	Clinton Place Section 8 Multi-Family	HOME TBRA (City)	Home TBRA (State)	Continuum of Care	Component Unit Peterson Acres II	Building Independence III (Bert Nash)	Non-MTW Budget Totals
Federal Grant/Local Grant /Subsidy	450,417	268,600	0	0	100,796	0	16,004	835,817
Tenant Revenue	0	119,657	0	0	5,000	49,820	4,420	178,897
State Grants	0	0	155,281	105,000	0.00	0	0	260,281
Investment Income	0	480	300	100	0.00	280	75	1,235
Other Income	0	4,340	0	0	0.00	0	0	4,340
Other Income (Tenant Damage/Late Fees)	0	1,500	0	0	0.00	300	30	1,830
2013 Source of Funds Totals	450,417	394,577	155,581	105,100	105,796	50,400	20,529	1,282,400

2013 USE OF FUNDS - PLANNED								
Administration & Management Salaries	355,534	58,640	0	0	0.00	2,990	0	417,164
Employee Benefits	88,883	20,820	0	0	0.00	1,660	140	111,503
Auditing Fees	0	600	0	0	0.00	150	30	1,500
Other Operating Administrative	6,000	24,670	7,930	5,290	7,552	1,790	4,436	56,948
General Expenses	0	19,200	0	0	0.00	3,980	6,800	29,980
Utilities	0	34,170	0	0	0.00	250	130	34,550
Protective Services	0	0	0	0	0.00	0	0	0
Resident Services	0	1,000	0	0	57,316	0	0	58,316
Ordinary Maintenance & Operations (Labor)	0	47,400	0	0	0.00	4,710	700	52,810
Maintenance Materials & Other Operations	0	10,360	0	0	0.00	630	400	11,390
Maintenance Contract Costs	0	6,920	0	0	0.00	2,360	2,550	11,830
Extraordinary Maintenance/Capital Improvements	0	33,000	0	0	0.00	450	5,342	38,792
Debt Service / Replacement Reserve	0	6,800	0	0	0.00	0	744	7,544
HAP / Leasing	0	0	155,281	100,000	35,928	0	0	291,209
Development	0	0	0	0	0.00	0	0	0
2013 Use of Funds Totals	450,417	263,580	163,211	105,290	100,796	18,970	21,272	1,123,536

2013 Moving To Work Budget

All public housing and Section 8 units (1095 combined) are in the MTW program. Of this number 434 households are presently participating in the MTW alternative rent structure and work requirement. The consolidated MTW budget includes the costs associated with the public housing, Section 8 HCV programs, the Capital Fund Program, the approved MTW initiatives and the 2013 proposed MTW initiatives. The new proposed 2013 initiative is Activity 13-1: Create an Affordable Housing Acquisition and Development Fund.

MTW Funds

SOURCES OF FUNDS	January 2013 – December 2013
Public Housing Operating Subsidy	\$ 690,060
Public Housing Other Income	124,396
Section 8 HCV Allocation	4,861,679
Section 8 HCV Other Income	13,272
Public Housing Rental Income	1,242,020
Capital Fund	485,662
MTW Historical Reserves	1,000,000
Source of Funds Totals	\$ 8,417,089

USES OF FUNDS	
<i>Previously Approved Initiatives</i>	
09-2 Expand Resident Services	
09-3 Expand Case Management Services to MTW Households	
09-5 Homeownership Matching Grant	
10-2 Expand Employment Related Services to MTW Households	
11-1 Vehicle Repair Program	
<i>Total</i>	<i>164,508</i>
09-8 Douglas County Prisoner Re-Entry	
<i>Total</i>	<i>12,240</i>
11-2 Douglas County Housing, Inc	
<i>Total</i>	<i>93,240</i>
09-7 Homeless to Housed	
<i>Total</i>	<i>33,397</i>
Public Housing Operating	1,983,008
Housing Choice Voucher	4,550,325
2012 Capital Fund	485,662
<i>Subtotal for all above</i>	<i>\$7,322,380</i>
<i>New Initiatives</i>	
13-1 Affordable Housing Acquisition and Development Fund	
<i>Total</i>	<i>1,000,000</i>
Use of Funds Totals	\$ 8,322,380

Use of Single Fund Flexibility

LDCHA has used single fund flexibility to fund many activities in support of the agency's long-term goals for the MTW program. The sources included in the MTW Single Fund Budget are summarized on page 30. The following is a description of the types of activities that have been implemented utilizing single fund flexibility.

- Preserving and Enhancing the Public Housing Portfolio
 - LDCHA has utilized the single-fund budget authority pursuant to Activity 10-3 to carry out comprehensive energy improvements for its public housing developments under HUD's Energy Performance Contracting program, which additionally addressed maintenance issues and improved the physical condition of the properties.
- Preserving and Expanding Affordable Housing Opportunities
 - LDCHA has utilized the MTW flexibility in order to expand housing opportunities through real estate development, acquisition, or by increasing the number of special housing choice voucher units. The Prisoner Re-Entry Program, Activity 09-8, created five special housing choice vouchers.
- Promoting Resident Empowerment and Self-Sufficiency
 - Single fund flexibility has allowed LDCHA through Activity 10-2 to provide the employment related services to all clients in the public housing and Section 8 programs. These programs are designed to provide education and training opportunities in order to reduce barriers to employment and underemployment.
 - Additionally, LDCHA uses funds under the single-fund budget to provide financial assistance for vehicle repair to enable households to maintain employment and continue education, pursuant to Activity 11-1.

VIII Administration

A. Board Resolution

Resolution 2012-16 was approved by the Board of Commissioners adopting the 2013 Annual MTW Plan on October 22, 2012, and the Certification of Compliance is attached.

B. Agency Directed Evaluation of the Demonstration

None at this time.

C. Public Participation / Comment on the 2013 MTW Plan

Resident Advisory Committee

The LDCHA Resident Advisory Committee participated in the development of this plan and approved this plan August 29, 2012.

Public Hearing

A public hearing on the plan was held October 15, 2012, at 5:30 pm at the Administrative Offices of the agency at 1600 Haskell Avenue. The public hearing was advertised in the Lawrence-Journal World September 11 and October 14. The Plan was made available to the public for review and comment beginning September 7, 2012 with its posting on the LDCHA's web site at www.ldcha.org and distribution of copies to the public library, City and County offices, and all the agency's administrative and management offices.

No members of the public attended the public hearing which was opened at 5:30 pm and closed at 5:50 pm.

D. Resident Commissioner

The LDCHA is in compliance with the requirement that the agency have a commissioner who is a resident of one of the agency's housing programs. Brenda O'Keefe, who resides in public housing, is the resident commissioner. Ms. O'Keefe was appointed to the Board by the Mayor of Lawrence for a four year term July 2008 and was reappointed for an additional four year term in June 2012.

E. Declaration of Trusts

The LDCHA filed Declaration of Trusts with the Douglas County Register of Deeds on all its public housing and agency owned housing on different dates over the years at the time the deeds were first filed with the registrar. The LDCHA has nine separate housing developments. Each deed was filed with a Declaration of Trust.



Lawrence-Douglas County Housing Authority

2013 MTW Annual Plan

Appendix

RESOLUTION NO. 2012-16

Approve Submission of the LDCHA FY 2013 MTW Annual Plan.

WHEREAS, Moving to Work is a demonstration program authorized by Congress and implemented by the U.S. Department of Housing and Urban Development; and,

WHEREAS, in establishing MTW, Congress granted broad authority to housing authorities to design programs that would achieve three primary goals:

- Reduce cost and achieve greater cost effectiveness in Federal expenditures;
- Give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employments and become economically self-sufficient, and,
- Increase housing choices for low-income families, and

WHEREAS, the MTW Agreement provides LDCHA with the authority to design and test innovative, locally-designed housing and self-sufficiency strategies for low-income families, and

WHEREAS, the LDCHA was given broad authority to test new models for delivering public housing and Section 8 assistance in its original MTW agreement signed March 30, 1999, and

WHEREAS, these authorities were continued and expanded under the amended and restated Moving To Work Agreement signed April 22, 2008, and

WHEREAS, LDCHA certifies that the housing assisted under the demonstration program is safe, decent, sanitary, and in good repair, and

WHEREAS, on October 15, 2012 the LDCHA conducted a public hearing on the FY 2012 MTW Annual Plan; and

WHEREAS, LDCHA provides certifications and other submissions as required by HUD; and

WHEREAS, LDCHA is requesting the Board of Commissioners to adopt LDCHA's FY 2013 MTW Annual Plan and authorize the submission of the FY 2013 MTW Annual Plan to HUD,

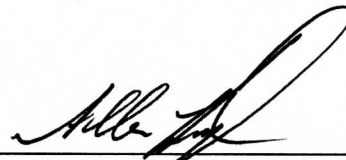
NOW, THEREFORE, BE IT RESOLVED, by the Board of Commissioners of the Lawrence-Douglas County Housing Authority that:

1. LDCHA's FY 2013 MTW Annual Plan is hereby adopted.
2. The Chair of the Board of Commissioners and the Executive Director are hereby authorized to execute any required documents, certifications or HUD forms related to the approval and filing of LDCHA's FY 2013 MTW Annual Plan.
3. The Executive Director is authorized to submit LDCHA's FY 2013 MTW Annual Plan and such other required documents, certifications or forms to HUD with such changes, additions, corrections or amendments as deemed necessary or appropriate or as may be required by HUD.
4. The Executive Director is hereby authorized to negotiate with HUD regarding any portion of LDCHA's FY 2013 MTW Annual Plan and to carry out other actions relating to the Plan.

BOARD CHAIR'S CERTIFICATION

I, Allen Humphrey, the Chair of the Board of Commissioners of the Lawrence-Douglas County Housing Authority, Lawrence, Kansas, do hereby certify that Resolution No. 2012-16 was properly adopted at a regular meeting held October 22, 2012.

BY: _____



Allen Humphrey
Chair, LDCHA Board

Annual Moving to Work Plan

Certifications of Compliance

**U.S. Department of Housing and Urban
Development**
Office of Public and Indian Housing

Certifications of Compliance with Regulations:

Board Resolution to Accompany the Annual Moving to Work Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the Annual Moving to Work Plan for the PHA fiscal year beginning **2013**, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 30 days before the public hearing, published a notice that a hearing would be held and conducted a public hearing to discuss the Plan and invited public comment.
2. The Agency took into consideration public and resident comment before approval of the Plan by the Board of Commissioners or Board of Directors in order to incorporate any public comments into the Annual MTW Plan;
3. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
4. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
5. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
6. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
7. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
8. The PHA will comply with requirements with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
9. The PHA will comply with requirements with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
10. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
11. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
12. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
13. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.

14. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
15. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
16. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).
17. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the Moving to Work Agreement and Statement of Authorizations and included in its Plan.
18. All attachments to the Plan have been and will continue to be available at all times and all locations that the Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its Plan and will continue to be made available at least at the primary business office of the PHA.

Lawrence-Douglas County Housing Authority

KS053

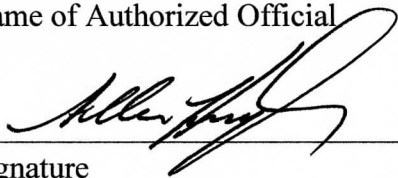
PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Allen Humphrey

Name of Authorized Official



Signature

Chair, LDCHA Board of Commissioners

Title

October 22, 2012

Date

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Lawrence-Douglas County Housing Authority

Program/Activity Receiving Federal Grant Funding

Moving To Work Demonstration Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Shannon Oury

Title

Executive Director

Signature

Date

X

October 23, 2012

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="checked" type="checkbox"/> A a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance		2. Status of Federal Action: <input checked="checked" type="checkbox"/> NA a. bid/offer/application b. initial award c. post-award		3. Report Type: <input checked="checked" type="checkbox"/> A a. initial filing b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known:		
6. Federal Department/Agency: U.S. Department of Housing and Urban Development			7. Federal Program Name/Description: CFDA Number, if applicable: _____		
8. Federal Action Number, if known:			9. Award Amount, if known: \$ N/A		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature:  Print Name: Shannon Oury Title: Executive Director Telephone No.: 785-830-2250 Date: 10/23/2012		
Federal Use Only:				Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	



**LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT**

**2013 CAPITAL FUND PROGRAM
KS16P053501-13**

**BUDGET REPORT
JUNE 30, 2012**

1600 Haskell Avenue ▲ Lawrence, KS 66044 ▲ phone: 785-842-8110 ▲ fax: 785-842-9596 ▲ housing@ldcha.org



Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2013 FFY of Grant Approval: 2013
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	55,000		0	0
3	1408 Management Improvements	50,000		0	0
4	1410 Administration (may not exceed 10% of line 21)	48,566		0	0
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	5,000		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	53,852		0	0
10	1460 Dwelling Structures	222,437		0	0
11	1465.1 Dwelling Equipment—Nonexpendable	20,000		0	0
12	1470 Non-dwelling Structures	1,000		0	0
13	1475 Non-dwelling Equipment	29,807		0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority	Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2013 FFY of Grant Approval: 2013			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	485,662		0	0
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Operations	1406		55,000		0	0	
KS053000001/2	Management Improvements	1408		50,000		0	0	
	a. Unit turnover labor							
	b. Staff training							
	c. Security patrol							
KS053000001/2	Administration	1410		48,566		0	0	
	a. Salary and fringe							
	b. Travel and training							
KS053000001/2	c. Telephone and sundry							
KS053000001/2	Fees and Costs	1430		5,000		0	0	
	a. Architect/PE							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Site Improvements	1450		53,852		0	0	
	a. Landscaping/erosion control							
	b. Playground equipment							
	c. Asphalt, concrete							
	d. Misc., Fencing, etc.							
KS053000001/2	Dwelling Structures	1460		222,437		0	0	
Edgewood 101-230 Scattered 301-725 Peterson 801-825	a. Foundation repair							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	b. Exterior walls							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	c. Doors and door hardware							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	d. Roofs and gutters							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	e. HVAC							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	f. Plumbing							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	g. Cabinets/countertops							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	h. Flooring							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	i. Electrical upgrades							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	j. Window replacement							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	k. Interior walls							
Babcock 201-720	l. Elevator upgrades							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	m. Testing							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-13 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2013		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Dwelling Equipment	1465		20,000		0	0	
	a. Appliances							
	b. Blinds							
KS053000001/2	Non-Dwelling Structures	1470		1,000		0	0	
	a. Warehouse/storage							
KS053000001/2	Non-Dwelling Equipment	1475		29,807		0	0	
	a. Equipment							
	b. Tools							
	c. Vehicles							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.



**LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT**

**2012 CAPITAL FUND PROGRAM
KS16P053501-12**

**OBLIGATED REPORT
JUNE 30, 2012**

1600 Haskell Avenue ▲ Lawrence, KS 66044 ▲ phone: 785-842-8110 ▲ fax: 785-842-9596 ▲ housing@ldcha.org



Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2012 FFY of Grant Approval: 2012
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06-30-2012 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	55,000		55,000	0
3	1408 Management Improvements	50,000		50,000	0
4	1410 Administration (may not exceed 10% of line 21)	48,566		48,566	165
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	5,000		0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	48,852		0	0
10	1460 Dwelling Structures	217,437		0	0
11	1465.1 Dwelling Equipment—Nonexpendable	20,000		0	0
12	1470 Non-dwelling Structures	1,000		0	0
13	1475 Non-dwelling Equipment	39,807		0	0
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2012 FFY of Grant Approval: 2012
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	485,662		153,566	165
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Operations	1406		55,000		55,000	0	
KS053000001/2	Management Improvements	1408		50,000		50,000	0	
	a. Unit turnover labor							
	b. Staff training							
	c. Security patrol							
KS053000001/2	Administration	1410		48,566		48,566	165	
	a. Salary and fringe							
	b. Travel and training							
KS053000001/2	c. Telephone and sundry							
KS053000001/2	Fees and Costs	1430		5,000		0	0	
	a. Architect/PE							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Site Improvements	1450		48,852		0	0	
	a. Landscaping/erosion control							
	b. Playground equipment							
	c. Asphalt, concrete							
	d. Misc., Fencing, etc.							
KS053000001/2	Dwelling Structures	1460		217,437		0	0	
Edgewood 101-230 Scattered 301-725 Peterson 801-825	a. Foundation repair							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	b. Exterior walls							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	c. Doors and door hardware							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	d. Roofs and gutters							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	e. HVAC							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	f. Plumbing							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	g. Cabinets/countertops							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	h. Flooring							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	i. Electrical upgrades							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	j. Window replacement							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	k. Interior walls							
Babcock 201-720	l. Elevator upgrades							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	m. Testing							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-12 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Dwelling Equipment	1465		20,000		0	0	
	a. Appliances							
	b. Blinds							
KS053000001/2	Non-Dwelling Structures	1470		1,000		0	0	
	a. Warehouse/storage							
KS053000001/2	Non-Dwelling Equipment	1475		39,807		0	0	
	a. Equipment							
	b. Tools							
	c. Vehicles							

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.



**LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT**

**2011 CAPITAL FUND PROGRAM
KS16P053501-11**

**PROGRESS REPORT
JUNE 30, 2011**

1600 Haskell Avenue ▲ Lawrence, KS 66044 ▲ phone: 785-842-8110 ▲ fax: 785-842-9596 ▲ housing@ldcha.org



Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval: 2011
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06-30-12 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	72,612	72,612	72,612	0
3	1408 Management Improvements	56,768	56,768	56,768	0
4	1410 Administration (may not exceed 10% of line 21)	54,188	54,188	54,188	47,432
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	10,500	10,500	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement	48,852	48,852	8,106	8,106
10	1460 Dwelling Structures	228,160	228,160	74,865	74,865
11	1465.1 Dwelling Equipment—Nonexpendable	20,000	20,000	3,907	3,907
12	1470 Non-dwelling Structures	1,000	0	0	0
13	1475 Non-dwelling Equipment	49,807	49,807	15,600	15,600
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority	Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval: 2011			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06-30-2012 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	541,887	541,887	286,046	149,910
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Operations	1406		72,612	72,612	72,612	0	
KS053000001/2	Management Improvements	1408		56,768	56,768	56,768	0	
	a. Unit turnover labor							
	b. Staff training							
	c. Security patrol							
KS053000001/2	Administration	1410		54,188	54,188	54,188	47,432	
	a. Salary and fringe							
	b. Travel and training							
KS053000001/2	c. Telephone and sundry							
KS053000001/2	Fees and Costs	1430		10,500	10,500	0	0	
	a. Architect/PE							

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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Site Improvements	1450		48,852	48,852	8,106	8,106	
	a. Landscaping/erosion control							
	b. Playground equipment							
	c. Asphalt, concrete							
	d. Misc., Fencing, etc.							
KS053000001/2	Dwelling Structures	1460		228,160	228,160	74,865	74,865	
Edgewood 101-230 Scattered 301-725 Peterson 801-825	a. Foundation repair							E182, E183, E188
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	b. Exterior walls							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	c. Doors and door hardware							E182, E183
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	d. Roofs and gutters							

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	e. HVAC							E182, E183
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	f. Plumbing							B608, E132, 182, E183, E200, E302, S416, S612
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	g. Cabinets/countertops							B404, B420, B502, B512, B515, E132, E165, E182, E183, E188, E200, E204, E224, E302, S416, S612, S723

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	h. Flooring							B311, B404, B420, B502, B512, B515, B608, B619, E136, E182, E183, E188, S302, S416, P811
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	i. Electrical upgrades							E182, E183
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	j. Window replacement							

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² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	k. Interior walls							B608, E132, E182, E183, E188, E200, S416, S612
Babcock 201-720	l. Elevator upgrades							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	m. Testing							

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Dwelling Equipment	1465		20,000	20,000	3,907	3,907	
	a. Appliances							
	b. Blinds							
KS053000001/2	Non-Dwelling Structures	1470		1,000	1,000	0	0	
	a. Warehouse/storage							
KS053000001/2	Non-Dwelling Equipment	1475		49,807	49,807	15,600	15,600	
	a. Equipment							
	b. Tools							
	c. Vehicles							

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² To be completed for the Performance and Evaluation Report.



**LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT**

**2010 CAPITAL FUND PROGRAM
KS16P053501-10**

**CLOSE-OUT REPORT
JUNE 30, 2012**

1600 Haskell Avenue ▲ Lawrence, KS 66044 ▲ phone: 785-842-8110 ▲ fax: 785-842-9596 ▲ housing@ldcha.org



Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	72,612	72,612	72,612	72,612
3	1408 Management Improvements	66,768	66,768	66,768	66,768
4	1410 Administration (may not exceed 10% of line 21)	63,108	63,108	63,108	63,108
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	2,500	3,413	3,413	3,413
8	1440 Site Acquisition				
9	1450 Site Improvement	44,000	60,760	60,760	60,760
10	1460 Dwelling Structures	312,000	312,394	312,394	312,394
11	1465.1 Dwelling Equipment—Nonexpendable	20,000	22,790	22,790	22,790
12	1470 Non-dwelling Structures	1,000	0	0	0
13	1475 Non-dwelling Equipment	49,093	29,236	29,236	29,236
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part I: Summary					
PHA Name: Lawrence-Douglas County Housing Authority	Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval: 2010			
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	631,081	631,081	631,081	631,081
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date	Signature of Public Housing Director		Date

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³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Operations	1406		72,612	72,612	72,612	72,612	completed
KS053000001/2	Management Improvements	1408		66,768	66,768	66,768	66,768	completed
	a. Unit turnover labor							
	b. Staff training							
	c. Security patrol							
KS053000001/2	Administration	1410		63,108	63,108	63,108	63,108	completed
	a. Salary and fringe							
	b. Travel and training							
KS053000001/2	c. Telephone and sundry							
KS053000001/2	Fees and Costs	1430		2,500	3,413	3,413	3,413	completed
	a. Architect/PE							

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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Site Improvements	1450		44,000	60,760	60,760	60,760	completed
	a. Landscaping/erosion control							
	b. Landscaping/lawn renovation							
	c. Asphalt, concrete							
	d. Misc, fencing, etc.							
KS053000001/2	Dwelling Structures	1460		312,000	312,394	312,394	312,394	completed
Edgewood 101-230 Scattered 301-725 Peterson 801-825	a. Foundation repair							E192, E193
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	b. Exterior walls							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	c. Doors and door hardware							E130, E192, E193, E197, E204, E217, E228, S416
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	d. Roofs and gutters							

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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	e. HVAC							E192, E193, E217
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	f. Plumbing							B502, B617, B707, E132, E133, E148, E163, E164, E180, E192, E193, E200, S416, S612
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	g. Cabinets/countertops							B215, B313, B319, B404, B410, B413, B420, B502, B510, B511, B512, B617, B619, B706, B707, B709, E119, E132, E133, E163, E164, E192, E193, E200, E204, E207, E217, E228, S416, S608, S612, S618, S702, S705, S723

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 3/31/2014

Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority		Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	h. Flooring							B215, B311, B313, B319, B404, B410, B413, B420, B502, B510, B511, B512, B515, B610, B617, B619, B701, B706, B707, E132, E133, E136, E164, E192, E193, E217, S416, S612, P802, P805, P811
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	i. Electrical upgrades							E119, E192, E193
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	j. Window replacement							S723

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	k. Interior walls							B215, B413, B502, B512, B515, B617, B707, E132, E133, E148, E163, E164, E192, E193, E200, E217, S301, S416, S612
Babcock 201-720	l. Elevator upgrades							
Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825	m. Testing							

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Part II: Supporting Pages								
PHA Name: Lawrence-Douglas County Housing Authority			Grant Type and Number Capital Fund Program Grant No: KS16P053501-10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
KS053000001/2	Dwelling Equipment	1465		20,000	22,790	22,790	22,790	completed
	a. Appliances							
	b. Blinds							
KS053000001/2	Non-Dwelling Structures	1470		1,000	0	0	0	completed
	a. Warehouse/storage							
KS053000001/2	Non-Dwelling Equipment	1475		49,093	29,236	29,236	29,236	completed
	a. Equipment							
	b. Tools							
	c. Vehicles							

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Actual Modernization Cost Certificate

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (exp. 01/31/2014)

Comprehensive Improvement Assistance Program (CIAP) Comprehensive Grant Program (CGP)

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0044 and 0157), Office of Information Technology, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

Do not send this form to the above address.

This collection of information requires that each Housing Authority (HA) submit information to enable HUD to initiate the fiscal closeout process. The information will be used by HUD to determine whether the modernization grant is ready to be audited and closed out. The information is essential for audit verification and fiscal close out. Responses to the collection are required by regulation. The information requested does not lend itself to confidentiality.

HA Name:	Modernization Project Number:
Lawrence-Douglas County Housing Authority	KS16PO53501-10

The HA hereby certifies to the Department of Housing and Urban Development as follows:

1. That the total amount of Modernization Cost (herein called the "Actual Modernization Cost") of the Modernization Grant, is as shown below:

A. Original Funds Approved	\$ 631,081.00
B. Funds Disbursed	\$ 631,081.00
C. Funds Expended (Actual Modernization Cost)	\$ 631,081.00
D. Amount to be Recaptured (A-C)	\$ 0.00
E. Excess of Funds Disbursed (B-C)	\$ 0.00

2. That all modernization work in connection with the Modernization Grant has been completed;

3. That the entire Actual Modernization Cost or liabilities therefor incurred by the HA have been fully paid;

4. That there are no undischarged mechanics', laborers', contractors', or material-men's liens against such modernization work on file in any public office where the same should be filed in order to be valid against such modernization work; and

5. That the time in which such liens could be filed has expired.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Signature of Executive Director & Date:

X 

10/23/12

For HUD Use Only

The Cost Certificate is approved for audit:

Approved for Audit (Director, Office of Public Housing / ONAP Administrator)

Date:

X

The audited costs agree with the costs shown above:

Verified: (Designated HUD Official)

Date:

X

Approved: (Director, Office of Public Housing / ONAP Administrator)

Date:

X