3. Agency Component Abbreviations

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| Component Abbreviation | Component Name |
| OIG | OIG - Office of the Inspector General |
| HQ | HQ-Headquarters |
| R01 | Boston Regional Office (Boston, Hartford, Manchester, Providence) |
| R02 | New York Regional Office (New York, Albany, Buffalo, Camden, Newark, Syracuse) |
| R03 | Philadelphia Regional Office (Philadelphia, Baltimore, Pittsburgh, Richmond, Washington, DC, Charleston, Wilmington) |
| R04 | Atlanta Regional Office (Atlanta, Birmingham, Caribbean, Columbia, Greensboro, Jackson, Jacksonville, Knoxville, Louisville, Nashville, Memphis, Miami, Orlando, Tampa) |
| R05 | Chicago Regional Office (Chicago, Columbus, Cleveland, Detroit, Annapolis, Milwaukee, Minneapolis-St. Paul) |
| R06 | Ft. Worth Regional Office (Ft. Worth, Albuquerque, Houston, Little Rock, New Orleans, Oklahoma, San Antonio) |
| R07 | Kansas City Regional Office (Kansas City, Des Moines, Omaha, St. Louis) |
| R08 | Denver Regional Office (Denver) |
| R09 | San Francisco Regional Office (San Francisco, Honolulu, Las Vegas, Los Angeles, Phoenix, Reno, Santa Ana) |
| R0X | Seattle Regional Office (Seattle, Anchorage, Portland) |
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| **IV. Exemption 3 Statutes** |

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| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 42 U.S.C. § 3537a | Covered selected information during the grant selection process | N/A | OIG | 1 | 1 |
|  |  |  |  |
| 42 U.S.C. § 3610(d) | (Fair Housing Act) Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R04 | 1 | 16 |
| (Fair Housing Act) Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R05 | 3 |
| (Fair Housing Act) Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R09 | 11 |
| (Fair Housing Act) Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R0X | 1 |
| 41 U.S.C. § 4702 | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | R04 | 1 | 1 |
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| 42 U.S.C. §§ 2000e-5(b)¸ 2000e-8(e) (Civil Rights Act of 1964) | Information pertaining to charges of unlawful employment practices; information obtained by the EEOC in investigating charges of unlawful employment practices | Frito-Lay v. EEOC, 964 F. Supp. 236, 240-43 (W.D. Ky. 1997); Am. Centennial Ins. Co. v. EEOC, 722 F. Supp. 180, 184 (D.N.J. 1989). | R09 | 1 | 1 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

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| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| OIG | 8 | 150 | 137 | 21 |
| HQ | 305 | 1,060 | 1,017 | 348 |
| R01 | 7 | 75 | 80 | 2 |
| R02 | 10 | 107 | 108 | 9 |
| R03 | 10 | 143 | 142 | 11 |
| R04 | 30 | 261 | 266 | 25 |
| R05 | 25 | 149 | 140 | 34 |
| R06 | 2 | 105 | 103 | 4 |
| R07 | 4 | 19 | 23 | 0 |
| R08 | 2 | 72 | 67 | 7 |
| R09 | 36 | 160 | 152 | 44 |
| R0X | 3 | 44 | 46 | 1 |
| AGENCY OVERALL | 442 | 2,345 | 2,281 | 506 |

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| *HQ - At the end of FY15 we incorrectly reported 311 requests as pending. The correct number pending at the end of FY15 was 305 as reported above.* |
| *R04 - At the end of FY15 we incorrectly reported 31 requests as pending. The correct number pending at the end of FY15 was 30 as reported above.* |
| *R07 - At the end of FY15 we incorrectly reported 5 requests as pending. The correct number pending at the end of FY15 was 4 as reported above.* |
| *R09 - At the end of FY15 we incorrectly reported 44 requests as pending. The correct number pending at the end of FY15 was 36 as reported above.* |
| *R10 - At the end of FY15 we incorrectly reported 4 requests as pending. The correct number pending at the end of FY15 was 3 as reported above.* |
| *After thoroughly reviewing our FY15 request data it was clear that there was some data that was incorrectly reported therefore to ensure that future reports are accurate the changes above are necessary to our reported data.* |
|  |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| OIG | 10 | 39 | 25 | 25 | 8 | 7 | 3 | 1 | 8 | 10 | 1 | 0 | 137 |
| HQ | 96 | 148 | 6 | 105 | 341 | 181 | 15 | 1 | 20 | 13 | 91 | 0 | 1,017 |
| R01 | 50 | 11 | 0 | 8 | 5 | 2 | 3 | 0 | 0 | 1 | 0 | 0 | 80 |
| R02 | 57 | 8 | 1 | 26 | 11 | 0 | 2 | 0 | 1 | 0 | 2 | 0 | 108 |
| R03 | 37 | 42 | 3 | 25 | 8 | 14 | 3 | 0 | 7 | 0 | 3 | 0 | 142 |
| R04 | 54 | 39 | 27 | 34 | 15 | 31 | 7 | 3 | 48 | 1 | 7 | 0 | 266 |
| R05 | 59 | 26 | 2 | 20 | 15 | 5 | 5 | 1 | 1 | 1 | 5 | 0 | 140 |
| R06 | 46 | 23 | 5 | 11 | 10 | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 103 |
| R07 | 7 | 7 | 1 | 4 | 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 23 |
| R08 | 18 | 11 | 2 | 15 | 3 | 7 | 1 | 0 | 1 | 0 | 9 | 0 | 67 |
| R09 | 24 | 48 | 1 | 26 | 4 | 22 | 0 | 2 | 15 | 1 | 9 | 0 | 152 |
| R0X | 8 | 29 | 0 | 3 | 2 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 46 |
| AGENCY OVERALL | 466 | 431 | 73 | 302 | 424 | 277 | 40 | 9 | 103 | 28 | 128 | 0 | 2,281 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| OIG | N/A | 0 | 0 |
|  |  |
| HQ | N/A | 0 | 0 |
|  |  |
| R01 | N/A | 0 | 0 |
|  |  |
| R02 | N/A | 0 | 0 |
|  |  |
| R03 | N/A | 0 | 0 |
|  |  |
| R04 | N/A | 0 | 0 |
|  |  |
| R05 | N/A | 0 | 0 |
|  |  |
| R06 | N/A | 0 | 0 |
|  |  |
| R07 | N/A | 0 | 0 |
|  |  |
| R08 | N/A | 0 | 0 |
|  |  |
| R09 | N/A | 0 | 0 |
|  |  |
| R0X | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| OIG | 0 | 0 | 1 | 3 | 14 | 18 | 11 | 0 | 37 | 1 | 6 | 0 | 0 | 0 |
| HQ | 0 | 1 | 0 | 24 | 28 | 77 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 3 | 4 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 1 | 0 | 7 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 2 | 0 | 9 | 2 | 33 | 1 | 0 | 4 | 0 | 2 | 0 | 0 | 0 |
| R04 | 0 | 1 | 2 | 5 | 5 | 27 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 3 | 3 | 4 | 19 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 6 | 1 | 17 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 1 | 1 | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 6 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 12 | 12 | 12 | 31 | 6 | 0 | 16 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 1 | 6 | 3 | 22 | 6 | 0 | 5 | 0 | 3 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 4 | 19 | 79 | 76 | 266 | 33 | 1 | 67 | 1 | 11 | 0 | 0 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| OIG | 1 | 11 | 9 | 3 |
| HQ | 11 | 58 | 58 | 11 |
| R01 | 0 | 0 | 0 | 0 |
| R03 | 0 | 3 | 3 | 0 |
| R04 | 0 | 3 | 2 | 1 |
| R05 | 0 | 2 | 1 | 1 |
| R06 | 0 | 2 | 2 | 0 |
| R07 | 0 | 1 | 1 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 3 | 2 | 1 |
| R0X | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 12 | 83 | 78 | 17 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| OIG | 4 | 1 | 0 | 4 | 9 |
| HQ | 47 | 3 | 3 | 5 | 58 |
| R01 | 0 | 0 | 0 | 0 | 0 |
| R03 | 2 | 0 | 1 | 0 | 3 |
| R04 | 2 | 0 | 0 | 0 | 2 |
| R05 | 1 | 0 | 0 | 0 | 1 |
| R06 | 1 | 0 | 1 | 0 | 2 |
| R07 | 1 | 0 | 0 | 0 | 1 |
| R09 | 1 | 1 | 0 | 0 | 2 |
| R02 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 59 | 5 | 5 | 9 | 78 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| OIG | 0 | 0 | 0 | 0 | 1 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| HQ | 0 | 0 | 0 | 2 | 2 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 3 | 4 | 6 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| OIG | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 |
| HQ | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 2 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 1 | 0 | 0 | 3 | 2 | 2 | 0 | 1 | 0 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| OIG | N/A | 0 | 0 |
|  |  |
| HQ | N/A | 0 | 0 |
|  |  |
| R01 | N/A | 0 | 0 |
|  |  |
| R02 | N/A | 0 | 0 |
|  |  |
| R03 | N/A | 0 | 0 |
|  |  |
| R04 | N/A | 0 | 0 |
|  |  |
| R05 | N/A | 0 | 0 |
|  |  |
| R06 | N/A | 0 | 0 |
|  |  |
| R07 | N/A | 0 | 0 |
|  |  |
| R08 | N/A | 0 | 0 |
|  |  |
| R09 | N/A | 0 | 0 |
|  |  |
| R0X | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

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| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 15.00 | 18.61 | 5.00 | 48.00 |
| HQ | 20.00 | 44.44 | <1 | 258.00 |
| R01 | 0.00 | 0.00 | 0.00 | 0.00 |
| R03 | 14.50 | 14.50 | 9.00 | 19.00 |
| R04 | 19.00 | 19.00 | 12.00 | 26.00 |
| R05 | 14.00 | 14.00 | 14.00 | 14.00 |
| R06 | 16.50 | 16.50 | 14.00 | 19.00 |
| R07 | 29.00 | 29.00 | 29.00 | 29.00 |
| R09 | 36.00 | 36.00 | 22.00 | 50.00 |
| R02 | 0.00 | 0.00 | 0.00 | 0.00 |
| R08 | 0.00 | 0.00 | 0.00 | 0.00 |
| R0X | 0.00 | 0.00 | 0.00 | 0.00 |
| AGENCY OVERALL | 19.00 | 28.18 | <1 | 258.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-08-04 | 2016-07-05 | 2016-07-05 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 62 | 62 |
| HQ | Date of Appeal | 2016-09-22 | 2016-09-16 | 2016-08-03 | 2016-07-27 | 2016-07-13 | 2016-04-22 | 2016-02-02 | 2015-07-21 | 2014-09-10 | 2013-08-15 |
| Number of Days Pending | 6 | 10 | 41 | 46 | 56 | 112 | 169 | 302 | 517 | 772 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-09-26 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-09-02 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 19 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-08-26 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | 2016-08-03 | 2016-07-27 | 2016-07-13 | 2016-07-05 | 2016-07-05 | 2016-04-22 | 2016-02-02 | 2015-07-21 | 2014-09-10 | 2013-08-15 |
| Number of Days Pending | 41 | 46 | 56 | 62 | 62 | 112 | 169 | 302 | 517 | 772 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 9.5 | 10.13 | <1 | 24 | 21 | 21 | 21 | 21 | N/A | N/A | N/A | N/A |
| HQ | 11 | 51.34 | <1 | 521 | 59 | 103.16 | <1 | 639 | 29 | 29 | 19 | 39 |
| R01 | 7 | 9.62 | <1 | 43 | 32 | 40.89 | 1 | 77 | N/A | N/A | N/A | N/A |
| R02 | 20 | 20.26 | <1 | 92 | 16 | 17.75 | <1 | 52 | 3 | 5.33 | 3 | 10 |
| R03 | 11 | 31.7 | <1 | 1663 | 17 | 24.65 | <1 | 95 | N/A | N/A | N/A | N/A |
| R04 | 19.5 | 26.46 | <1 | 513 | 20 | 25.09 | 1 | 84 | 11 | 11 | 11 | 11 |
| R05 | 19 | 29.12 | <1 | 472 | 22 | 23.56 | <1 | 59 | 1 | 1 | 1 | 1 |
| R06 | 10 | 10.85 | <1 | 93 | 30 | 29.47 | 3 | 64 | <1 | <1 | <1 | <1 |
| R07 | 19 | 17.33 | <1 | 36 | 51 | 51 | 19 | 83 | N/A | N/A | N/A | N/A |
| R08 | 16.5 | 15.73 | <1 | 52 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R09 | 17 | 20.9 | <1 | 187 | 30 | 40.09 | <1 | 320 | N/A | N/A | N/A | N/A |
| R0X | 19.5 | 20.78 | 4 | 64 | 25 | 71.67 | 15 | 175 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 13 | 30.23 | <1 | 1663 | 38 | 82.4 | <1 | 639 | 10 | 10.78 | <1 | 39 |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OIG | 11 | 10.69 | <1 | 21 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| HQ | 80.5 | 119.22 | 1 | 521 | 104 | 144.05 | 9 | 639 | 19 | 19 | 19 | 19 |
| R01 | 9 | 10.93 | <1 | 43 | 51.5 | 50.83 | 21 | 77 | N/A | N/A | N/A | N/A |
| R02 | 21 | 22.24 | 1 | 72 | 20.5 | 21.5 | 6 | 41 | 3 | 3 | 3 | 3 |
| R03 | 16.5 | 45.87 | <1 | 1663 | 25 | 35.78 | 12 | 95 | N/A | N/A | N/A | N/A |
| R04 | 23 | 30.97 | 2 | 130 | 33 | 38.09 | 4 | 84 | N/A | N/A | N/A | N/A |
| R05 | 18.5 | 32.53 | <1 | 472 | 24.5 | 26.83 | <1 | 59 | 1 | 1 | 1 | 1 |
| R06 | 11 | 11.82 | <1 | 93 | 28 | 28.31 | 3 | 64 | <1 | <1 | <1 | <1 |
| R07 | 21 | 21.83 | 7 | 36 | 51 | 51 | 19 | 83 | N/A | N/A | N/A | N/A |
| R08 | 17 | 17.76 | 1 | 37 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R09 | 19 | 23.27 | 5 | 187 | 31 | 42.43 | <1 | 320 | N/A | N/A | N/A | N/A |
| R0X | 20 | 22.06 | 7 | 64 | 100 | 100 | 25 | 175 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 19 | 38.28 | <1 | 1663 | 61 | 100.62 | <1 | 639 | 3 | 5.2 | <1 | 19 |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 124 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 127 |
| HQ | 317 | 63 | 34 | 31 | 23 | 17 | 10 | 11 | 9 | 5 | 32 | 10 | 2 | 564 |
| R01 | 67 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 71 |
| R02 | 44 | 35 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 84 |
| R03 | 86 | 19 | 7 | 0 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 118 |
| R04 | 91 | 48 | 15 | 8 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 168 |
| R05 | 67 | 40 | 8 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 121 |
| R06 | 82 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 87 |
| R07 | 11 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 21 |
| R08 | 45 | 19 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 66 |
| R09 | 62 | 31 | 3 | 1 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 100 |
| R0X | 25 | 12 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 |
| AGENCY OVERALL | 1,021 | 287 | 74 | 44 | 32 | 20 | 14 | 12 | 9 | 6 | 32 | 11 | 5 | 1,567 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| HQ | 121 | 53 | 46 | 31 | 26 | 22 | 13 | 24 | 15 | 8 | 38 | 16 | 17 | 430 |
| R01 | 1 | 5 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| R02 | 13 | 5 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 |
| R03 | 10 | 4 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17 |
| R04 | 23 | 13 | 5 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 45 |
| R05 | 7 | 6 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| R06 | 3 | 11 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| R07 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R09 | 11 | 16 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 35 |
| R0X | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| AGENCY OVERALL | 191 | 115 | 63 | 38 | 30 | 22 | 13 | 24 | 16 | 8 | 39 | 17 | 17 | 593 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R02 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R04 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R05 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R03 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 8 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| OIG | 8 | 7.5 | 11 | 2 | 282 | 282 | 0 | N/A | N/A |
| HQ | 136 | 62.5 | 98 | 203 | 97 | 124 | 0 | N/A | N/A |
| R01 | 1 | 11 | 11 | 1 | 17 | 17 | 0 | N/A | N/A |
| R02 | 4 | 5 | 5 | 4 | 7.5 | 9 | 0 | N/A | N/A |
| R03 | 6 | 18.5 | 16 | 4 | 58 | 64 | 0 | N/A | N/A |
| R04 | 19 | 16 | 90 | 5 | 11 | 24 | 0 | N/A | N/A |
| R05 | 18 | 26 | 60 | 5 | 24 | 45 | 0 | N/A | N/A |
| R06 | 1 | 13 | 13 | 1 | 9 | 9 | 0 | N/A | N/A |
| R08 | 7 | 7 | 16 | 0 | N/A | N/A | 0 | N/A | N/A |
| R09 | 24 | 135.5 | 194 | 13 | 113 | 121 | 0 | N/A | N/A |
| R0X | 1 | 2 | 2 | 0 | N/A | N/A | 0 | N/A | N/A |
| R07 | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| AGENCY OVERALL | 225 | 51 | 112.18 | 238 | 87 | 117.55 | 0 | N/A | N/A |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| OIG | Date of Receipt | 2016-09-30 | 2016-09-27 | 2016-09-27 | 2016-09-21 | 2016-09-20 | 2016-09-20 | 2016-09-12 | 2016-08-17 | 2016-07-28 | 2015-10-01 |
| Number of Days Pending | 0 | 3 | 3 | 7 | 8 | 8 | 14 | 31 | 45 | 251 |
| HQ | Date of Receipt | 2015-01-13 | 2014-12-23 | 2014-12-05 | 2014-10-14 | 2014-09-30 | 2014-08-15 | 2014-07-14 | 2014-07-14 | 2014-02-11 | 2013-12-05 |
| Number of Days Pending | 433 | 446 | 458 | 494 | 503 | 534 | 558 | 558 | 664 | 709 |
| R07 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-09-15 | 2016-09-07 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 17 |
| R02 | Date of Receipt | N/A | N/A | 2016-09-26 | 2016-09-26 | 2016-09-26 | 2016-09-22 | 2016-09-22 | 2016-09-21 | 2016-09-19 | 2016-09-02 |
| Number of Days Pending | 0 | 0 | 4 | 4 | 4 | 6 | 6 | 7 | 9 | 19 |
| R03 | Date of Receipt | 2016-09-29 | 2016-09-13 | 2016-09-12 | 2016-09-06 | 2016-08-29 | 2016-08-26 | 2016-08-25 | 2016-08-22 | 2016-05-26 | 2016-04-07 |
| Number of Days Pending | 1 | 13 | 14 | 18 | 23 | 24 | 25 | 28 | 88 | 123 |
| R04 | Date of Receipt | 2016-09-06 | 2016-08-11 | 2016-07-29 | 2016-07-18 | 2016-07-07 | 2016-06-09 | 2015-06-23 | 2015-06-16 | 2015-06-16 | 2014-11-18 |
| Number of Days Pending | 18 | 35 | 44 | 53 | 60 | 79 | 321 | 326 | 326 | 470 |
| R05 | Date of Receipt | 2016-08-19 | 2016-08-10 | 2016-07-07 | 2016-06-24 | 2016-06-10 | 2016-06-01 | 2016-04-22 | 2016-03-31 | 2016-02-24 | 2015-03-13 |
| Number of Days Pending | 29 | 36 | 60 | 68 | 78 | 85 | 112 | 128 | 154 | 392 |
| R06 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-09-19 | 2016-09-13 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 13 |
| R08 | Date of Receipt | N/A | N/A | N/A | 2016-09-30 | 2016-09-22 | 2016-09-21 | 2016-09-21 | 2016-09-07 | 2016-08-25 | 2016-07-21 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 6 | 7 | 7 | 17 | 25 | 50 |
| R09 | Date of Receipt | 2015-11-02 | 2015-10-28 | 2015-10-19 | 2015-08-06 | 2015-04-23 | 2015-03-25 | 2014-11-11 | 2014-09-03 | 2014-08-27 | 2014-05-23 |
| Number of Days Pending | 230 | 233 | 240 | 290 | 363 | 384 | 474 | 522 | 526 | 592 |
| R0X | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2016-09-28 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| AGENCY OVERALL | Date of Receipt | 2014-10-14 | 2014-09-30 | 2014-09-03 | 2014-08-27 | 2014-08-15 | 2014-07-14 | 2014-07-14 | 2014-05-23 | 2014-02-11 | 2013-12-05 |
| Number of Days Pending | 494 | 503 | 522 | 526 | 534 | 558 | 558 | 592 | 664 | 709 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| OIG | 0 | 18 | 1 | 1 | 18 |
| HQ | 2 | 61 | 1 | 1.35 | 63 |
| R07 | 0 | 0 | N/A | N/A | N/A |
| R01 | 0 | 0 | N/A | N/A | N/A |
| R02 | 3 | 2 | 1 | 2 | 5 |
| R03 | 1 | 7 | 1 | 2.13 | 8 |
| R04 | 0 | 9 | 1 | 3.11 | 8 |
| R05 | 1 | 2 | 1 | 9.67 | 2 |
| R06 | 1 | 1 | 3.5 | 3.5 | 2 |
| R08 | 0 | 2 | 1 | 1 | 2 |
| R09 | 0 | 2 | 1 | 1 | 2 |
| R0X | 0 | 1 | 1 | 1 | 1 |
| AGENCY OVERALL | 8 | 105 | 1.76 | 1 | 111 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| OIG | 0 | 20 | 1 | 1 |
| HQ | 6 | 40 | 1 | 1.85 |
| R01 | 2 | 0 | 16 | 16 |
| R02 | 3 | 6 | 4 | 7.11 |
| R03 | 7 | 6 | 1 | 1.33 |
| R04 | 4 | 6 | 4.5 | 9.8 |
| R05 | 1 | 1 | 1 | 1 |
| R06 | 0 | 0 | N/A | N/A |
| R07 | 1 | 2 | 33 | 25.67 |
| R08 | 1 | 0 | 1 | 1 |
| R09 | 4 | 1 | 1 | 1.6 |
| R0X | 1 | 0 | 1 | 1 |
| AGENCY OVERALL | 30 | 82 | 1 | 3.64 |

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| **IX. FOIA Personnel and Costs** |

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| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| HQ | 11 | 18 | 29 | $285,986.67 | $51,744.25 | $337,730.92 |
| OIG | 4 | 0 | 4 | $5,480.00 | $0.00 | $5,480.00 |
| R01 | 0 | 1 | 1 | $3,600.60 | $0.00 | $3,600.60 |
| R02 | 0 | 11 | 11 | $2,022.80 | $0.00 | $2,022.80 |
| R03 | 0 | 1.2 | 1.2 | $2,308.33 | $0.00 | $2,308.33 |
| R04 | 0 | 17 | 17 | $3,050.94 | $0.00 | $3,050.94 |
| R05 | 0 | 2 | 2 | $10,980.22 | $0.00 | $10,980.22 |
| R06 | 0 | 1.56 | 1.56 | $955.40 | $0.00 | $955.40 |
| R07 | 0 | 1 | 1 | $320.00 | $0.00 | $320.00 |
| R08 | 0 | 1.15 | 1.15 | $3,899.00 | $0.00 | $3,899.00 |
| R09 | 0 | 4.18 | 4.18 | $375,203.00 | $0.00 | $375,203.00 |
| R0X | 0 | 0.04 | 0.04 | $938.36 | $0.00 | $938.36 |
| AGENCY OVERALL | 15 | 58.13 | 73.13 | $694,745.32 | $51,744.25 | $746,489.57 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| HQ | $25,149.55 | 8.79% |
| OIG | $5,480.00 | 100.00% |
| R01 | $3,600.60 | 100.00% |
| R02 | $2,022.80 | 100.00% |
| R03 | $2,308.33 | 100.00% |
| R04 | $3,050.94 | 100.00% |
| R05 | $1,480.22 | 13.48% |
| R06 | $955.40 | 100.00% |
| R07 | $320.00 | 100.00% |
| R09 | $2,641.80 | 0.70% |
| R0X | $938.36 | 100.00% |
| R08 | $0.00 | 0.00% |
| AGENCY OVERALL | $47,948.00 | 6.90% |

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| **XI.A. Number of times subsection (C) Used** |

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| --- | --- |
| Agency / Component | Number of Times Subsection (C) Used |
| HUD | 0 |
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| AGENCY OVERALL | 0 |

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| **XI.B. Number of Subsection (A)(2) Postings** |

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| --- | --- | --- |
| Agency / Component | Number of (a)(2) Records Posted by the FOIA Office | Number of (a)(2) Records Posted by Program Offices |
| OIG | 12 | 0 |
| HQ | 0 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 12 | 0 |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| OIG | 1 | 3 |
| HQ | 274 | 8 |
| R03 | 4 | 0 |
| R04 | 10 | 0 |
| R05 | 8 | 0 |
| R09 | 30 | 1 |
| R02 | 0 | 0 |
| R07 | 0 | 0 |
| R0X | 0 | 0 |
| R01 | 0 | 0 |
| R06 | 0 | 0 |
| R08 | 1 | 0 |
| AGENCY OVERALL | 328 | 12 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| OIG | 0 | 0 | 0 | 0 |
| HQ | 0 | 4 | 4 | 0 |
| R02 | 0 | 1 | 1 | 0 |
| R03 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 |
| R09 | 0 | 1 | 1 | 0 |
| R01 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 6 | 6 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

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| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OIG | 129 | 150 | 128 | 137 |
| HQ | 1,000 | 1,060 | 981 | 1,017 |
| R01 | 83 | 75 | 80 | 80 |
| R02 | 101 | 107 | 95 | 108 |
| R03 | 142 | 143 | 137 | 142 |
| R04 | 213 | 261 | 203 | 266 |
| R05 | 103 | 149 | 95 | 140 |
| R06 | 93 | 105 | 96 | 103 |
| R07 | 35 | 19 | 31 | 23 |
| R08 | 79 | 72 | 85 | 67 |
| R09 | 199 | 160 | 204 | 152 |
| R0X | 41 | 44 | 45 | 46 |
| AGENCY OVERALL | 2,218 | 2,345 | 2,180 | 2,281 |

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| *After reviewing their databases the following components adjusted the number of requests received from last year's annual report; HQ, R09, R0X.* |
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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| OIG | 0 | 1 |
| HQ | 213 | 274 |
| R02 | 3 | 0 |
| R03 | 2 | 4 |
| R04 | 10 | 10 |
| R05 | 5 | 8 |
| R07 | 4 | 0 |
| R09 | 12 | 30 |
| R0X | 1 | 0 |
| R01 | 0 | 0 |
| R06 | 0 | 0 |
| R08 | 0 | 1 |
| AGENCY OVERALL | 250 | 328 |

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| *After reviewing their databases, the following components adjusted the number of requests in the backlog at the end of FY 15: HQ; R02; R03; R04; R07; R09.* |
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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OIG | 5 | 11 | 6 | 9 |
| HQ | 21 | 58 | 16 | 58 |
| R01 | 0 | 0 | 0 | 0 |
| R03 | 1 | 3 | 1 | 3 |
| R04 | 2 | 3 | 3 | 2 |
| R05 | 2 | 2 | 3 | 1 |
| R06 | 1 | 2 | 1 | 2 |
| R07 | 0 | 1 | 0 | 1 |
| R08 | 1 | 0 | 1 | 0 |
| R09 | 3 | 3 | 5 | 2 |
| R0X | 2 | 0 | 2 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 38 | 83 | 38 | 78 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| OIG | 0 | 3 |
| HQ | 6 | 8 |
| R09 | 0 | 1 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 6 | 12 |

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