3. Agency Component Abbreviations

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| Component Abbreviation | Component Name |
| HQ | HQ-Headquarters |
| OIG | G-Office of the Inspector General |
| R01 | Boston Regional Office (Boston, Hartford, Manchester, Providence) |
| R02 | New York Regional Office (New York, Albany, Buffalo, Camden, Newark, Syracuse) |
| R03 | Philadelphia Regional Office (Philadelphia, Baltimore, Pittsburgh, Richmond, Washington, DC., Charleston, Wilmington) |
| R04 | Atlanta Regional Office (Atlanta, Birmingham, Carribbean, Columbia, Greensboro, Jackson, Jacksonville, Knoxville, Lousisville, Nashville, Memphis, Miami, Orlando, Tampa) |
| R05 | Chicago Regional Office (Chicago, Columbus, Cleveland, Detroit, Indianapolis, Milwaukee, Minneapolis-St. Paul) |
| R06 | Ft. Worth Regional Office (Ft. Worth, Albuquerque, Houston, Little Rock, New Orleans, Oklahoma, San Antonio) |
| R07 | Kansas City Regional Office (Kansas City, Des Moines, Omaha, St. Louis) |
| R08 | Denver Regional Office (Denver) |
| R09 | San Francisco Regional Office (San Francisco, Honolulu, Las Vegas, Los Angeles, Phoenix, Reno, Santa Ana) |
| R0X | Seattle Regional Office (Seattle, Anchorage, Portland) |
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| **IV. Exemption 3 Statutes** |

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| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 41 U.S.C. § 253b(m)(1) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | HQ | 2 | 3 |
| Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | R03 | 1 |
| 42 U.S.C. § 3610(d) | (Fair Housing Act) Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R05 | 2 | 18 |
| (Fair Housing Act) Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | R09 | 16 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| HQ | 288 | 1,004 | 981 | 311 |
| OIG | 7 | 129 | 128 | 8 |
| R01 | 4 | 83 | 80 | 7 |
| R02 | 4 | 101 | 95 | 10 |
| R03 | 5 | 142 | 137 | 10 |
| R04 | 21 | 213 | 203 | 31 |
| R05 | 17 | 103 | 95 | 25 |
| R06 | 5 | 93 | 96 | 2 |
| R07 | 1 | 35 | 31 | 5 |
| R08 | 8 | 79 | 85 | 2 |
| R09 | 42 | 206 | 204 | 44 |
| R0X | 7 | 42 | 45 | 4 |
| AGENCY OVERALL | 409 | 2,230 | 2,180 | 459 |

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**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| HQ | 119 | 151 | 7 | 129 | 11 | 192 | 13 | 6 | 43 | 14 | 55 | 241 | 981 |
| OIG | 9 | 45 | 18 | 18 | 9 | 8 | 0 | 0 | 3 | 7 | 3 | 8 | 128 |
| R01 | 46 | 7 | 0 | 11 | 1 | 9 | 0 | 0 | 0 | 1 | 2 | 3 | 80 |
| R02 | 46 | 11 | 1 | 21 | 0 | 6 | 1 | 4 | 1 | 0 | 1 | 3 | 95 |
| R03 | 38 | 33 | 5 | 26 | 2 | 8 | 0 | 3 | 13 | 1 | 2 | 6 | 137 |
| R04 | 67 | 50 | 10 | 40 | 2 | 15 | 1 | 1 | 9 | 2 | 3 | 3 | 203 |
| R05 | 46 | 20 | 1 | 12 | 0 | 9 | 4 | 0 | 0 | 1 | 1 | 1 | 95 |
| R06 | 40 | 15 | 1 | 27 | 2 | 2 | 1 | 0 | 2 | 0 | 2 | 4 | 96 |
| R07 | 2 | 4 | 2 | 7 | 1 | 3 | 3 | 0 | 5 | 0 | 0 | 4 | 31 |
| R08 | 41 | 14 | 1 | 12 | 0 | 6 | 2 | 0 | 1 | 0 | 2 | 6 | 85 |
| R09 | 59 | 55 | 19 | 26 | 0 | 22 | 1 | 1 | 1 | 5 | 8 | 7 | 204 |
| R0X | 12 | 23 | 2 | 3 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 1 | 45 |
| AGENCY OVERALL | 525 | 428 | 67 | 332 | 28 | 280 | 29 | 15 | 79 | 31 | 79 | 287 | 2,180 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | Request Opened in Error | 240 | 241 |
| Litigation | 1 |
| OIG | Request Opened in Error | 8 | 8 |
|  |  |
| R01 | Request Opened in Error | 3 | 3 |
|  |  |
| R02 | Request Opened in Error | 3 | 3 |
|  |  |
| R03 | Request Opened in Error | 6 | 6 |
|  |  |
| R04 | Request Opened in Error | 3 | 3 |
|  |  |
| R05 | Request Opened in Error | 1 | 1 |
|  |  |
| R06 | Request Opened in Error | 4 | 4 |
|  |  |
| R07 | Request Opened in Error | 4 | 4 |
|  |  |
| R08 | Request Opened in Error | 6 | 6 |
|  |  |
| R09 | Request Opened in Error | 7 | 7 |
|  |  |
| R0X | Request Opened in Error | 1 | 1 |
|  |  |
| AGENCY OVERALL |  |  | 287 |
|  |  |  |  |

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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 1 | 28 | 31 | 86 | 5 | 0 | 1 | 0 | 2 | 0 | 0 | 0 |
| OIG | 0 | 3 | 0 | 2 | 11 | 31 | 6 | 0 | 24 | 0 | 3 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 1 | 5 | 2 | 0 | 3 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 1 | 1 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 1 | 1 | 4 | 4 | 19 | 0 | 0 | 1 | 0 | 4 | 0 | 0 | 0 |
| R04 | 0 | 6 | 1 | 12 | 8 | 32 | 6 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 2 | 6 | 3 | 11 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 1 | 0 | 2 | 4 | 9 | 4 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 1 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 2 | 2 | 11 | 1 | 1 | 5 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 16 | 18 | 14 | 29 | 21 | 0 | 10 | 0 | 1 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 4 | 2 | 16 | 9 | 0 | 5 | 0 | 1 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 11 | 21 | 79 | 82 | 257 | 57 | 1 | 53 | 0 | 11 | 0 | 0 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| HQ | 6 | 21 | 16 | 11 |
| OIG | 2 | 5 | 6 | 1 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 1 | 1 | 0 |
| R04 | 1 | 2 | 3 | 0 |
| R05 | 1 | 2 | 3 | 0 |
| R06 | 0 | 1 | 1 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 1 | 1 | 0 |
| R09 | 2 | 3 | 5 | 0 |
| R0X | 0 | 2 | 2 | 0 |
| AGENCY OVERALL | 12 | 38 | 38 | 12 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| HQ | 9 | 2 | 2 | 3 | 16 |
| OIG | 3 | 1 | 0 | 2 | 6 |
| R01 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 1 | 0 | 0 | 1 |
| R04 | 1 | 1 | 1 | 0 | 3 |
| R05 | 1 | 0 | 2 | 0 | 3 |
| R06 | 0 | 0 | 1 | 0 | 1 |
| R07 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 1 | 1 |
| R09 | 1 | 2 | 0 | 2 | 5 |
| R0X | 2 | 0 | 0 | 0 | 2 |
| AGENCY OVERALL | 17 | 7 | 6 | 8 | 38 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 2 | 2 | 1 | 3 | 4 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| HQ | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 4 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | Appeal Opened in Error | 3 | 3 |
|  |  |
| OIG | N/A | 0 | 0 |
|  |  |
| R01 | N/A | 0 | 0 |
|  |  |
| R02 | N/A | 0 | 0 |
|  |  |
| R03 | N/A | 0 | 0 |
|  |  |
| R04 | N/A | 0 | 0 |
|  |  |
| R05 | N/A | 0 | 0 |
|  |  |
| R06 | N/A | 0 | 0 |
|  |  |
| R07 | N/A | 0 | 0 |
|  |  |
| R08 | N/A | 0 | 0 |
|  |  |
| R09 | Untimely | 1 | 1 |
|  |  |
| R0X | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 4 |
|  |  |  |  |

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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

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| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 23.00 | 56.82 | 16.00 | 421.00 |
| OIG | 32.00 | 63.00 | <1 | 167.00 |
| R01 | 0.00 | 0.00 | 0.00 | 0.00 |
| R02 | 0.00 | 0.00 | 0.00 | 0.00 |
| R03 | 14.00 | 14.00 | 14.00 | 14.00 |
| R04 | 31.50 | 31.50 | 19.00 | 44.00 |
| R05 | 6.00 | 10.33 | 6.00 | 19.00 |
| R06 | 22.00 | 22.00 | 22.00 | 22.00 |
| R07 | 0.00 | 0.00 | 0.00 | 0.00 |
| R08 | 65.00 | 65.00 | 65.00 | 65.00 |
| R09 | 91.00 | 144.00 | 39.00 | 270.00 |
| R0X | 18.00 | 18.00 | 16.00 | 20.00 |
| AGENCY OVERALL | 23.00 | 47.18 | <1 | 421.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| HQ | Date of Appeal | 2015-09-23 | 2015-09-23 | 2015-09-22 | 2015-09-18 | 2015-08-24 | 2015-07-21 | 2015-07-17 | 2015-02-09 | 2014-09-10 | 2013-08-15 |
| Number of Days Pending | 5 | 5 | 6 | 8 | 26 | 50 | 52 | 163 | 265 | 520 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2015-09-25 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | 2015-09-23 | 2015-09-23 | 2015-09-22 | 2015-09-18 | 2015-08-24 | 2015-07-21 | 2015-07-17 | 2015-02-09 | 2014-09-10 | 2013-08-15 |
| Number of Days Pending | 5 | 5 | 6 | 8 | 26 | 50 | 52 | 163 | 265 | 520 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 24 | 51.83 | <1 | 396 | 71 | 105.3 | <1 | 546 | 249 | 249 | 249 | 249 |
| OIG | 10 | 10.04 | <1 | 30 | <1 | <1 | <1 | <1 | N/A | N/A | N/A | N/A |
| R01 | 17 | 43.17 | <1 | 190 | 7 | 8.6 | <1 | 24 | N/A | N/A | N/A | N/A |
| R02 | 15 | 19.26 | <1 | 148 | 20.5 | 23.77 | 3 | 74 | 1 | 2 | 1 | 4 |
| R03 | 10 | 17.71 | <1 | 123 | 20 | 57.67 | <1 | 181 | 24 | 24 | 24 | 24 |
| R04 | 15 | 18.68 | <1 | 294 | 24 | 30.55 | 2 | 193 | 9 | 9 | 9 | 9 |
| R05 | 19 | 26.84 | <1 | 451 | 17 | 28.27 | 2 | 145 | 14.5 | 14.5 | 12 | 17 |
| R06 | 11 | 14.86 | <1 | 101 | 28 | 29.89 | <1 | 64 | 31 | 31 | 31 | 31 |
| R07 | 12 | 13 | <1 | 37 | 6 | 6 | 6 | 6 | N/A | N/A | N/A | N/A |
| R08 | 19.5 | 32.24 | <1 | 155 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R09 | 20 | 36.15 | <1 | 222 | 52 | 47.42 | <1 | 108 | 6 | 6 | 6 | 6 |
| R0X | 18 | 21.57 | 1 | 142 | 9 | 9 | 2 | 16 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 17 | 34.26 | <1 | 451 | 49.5 | 84.82 | <1 | 546 | 9 | 33 | 1 | 249 |

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| *Due to the system limitation, the charts in this section may contain information about unperfected requests.* |
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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 60 | 75.37 | 3 | 272 | 112 | 138.16 | 5 | 546 | N/A | N/A | N/A | N/A |
| OIG | 11 | 10.85 | <1 | 28 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R01 | 15.5 | 39.42 | <1 | 173 | 24 | 24 | 24 | 24 | N/A | N/A | N/A | N/A |
| R02 | 13 | 17.69 | <1 | 148 | 21 | 25.94 | 12 | 74 | 1 | 2 | 1 | 4 |
| R03 | 13 | 22.94 | <1 | 123 | 119 | 91.4 | 1 | 181 | 24 | 24 | 24 | 24 |
| R04 | 16.5 | 20.9 | <1 | 294 | 23 | 31.24 | 2 | 193 | N/A | N/A | N/A | N/A |
| R05 | 21 | 23.55 | <1 | 101 | 17 | 15.89 | 2 | 33 | 17 | 17 | 17 | 17 |
| R06 | 11 | 13.69 | <1 | 62 | 36.5 | 37.83 | 21 | 64 | 31 | 31 | 31 | 31 |
| R07 | 14.5 | 16.17 | <1 | 37 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R08 | 19 | 28.36 | 5 | 155 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R09 | 19 | 34.72 | 2 | 207 | 58 | 50.11 | <1 | 108 | 6 | 6 | 6 | 6 |
| R0X | 19 | 23.31 | 1 | 142 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 18 | 32.82 | <1 | 294 | 71 | 102.19 | <1 | 546 | 6 | 12 | 1 | 31 |

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| *Due to the system limitation, the charts in this section may contain information about unperfected requests.* |
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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 309 | 117 | 53 | 30 | 33 | 39 | 17 | 10 | 5 | 6 | 21 | 10 | 0 | 650 |
| OIG | 121 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 127 |
| R01 | 46 | 5 | 4 | 3 | 3 | 2 | 5 | 3 | 3 | 1 | 0 | 0 | 0 | 75 |
| R02 | 41 | 19 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 64 |
| R03 | 100 | 16 | 4 | 2 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 127 |
| R04 | 128 | 26 | 8 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 166 |
| R05 | 45 | 26 | 8 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 82 |
| R06 | 72 | 9 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 86 |
| R07 | 23 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| R08 | 50 | 12 | 7 | 7 | 6 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 85 |
| R09 | 95 | 40 | 11 | 7 | 2 | 2 | 2 | 4 | 4 | 2 | 3 | 0 | 0 | 172 |
| R0X | 32 | 7 | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 43 |
| AGENCY OVERALL | 1,062 | 290 | 102 | 53 | 48 | 48 | 26 | 21 | 12 | 9 | 25 | 10 | 1 | 1,707 |

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| *Due to a system limitation, the charts in this section may contain information about unperfected requests.* |
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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 67 | 43 | 38 | 28 | 24 | 26 | 15 | 15 | 8 | 11 | 34 | 18 | 3 | 330 |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R01 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| R02 | 15 | 12 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 28 |
| R03 | 5 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 9 |
| R04 | 13 | 17 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 35 |
| R05 | 7 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 11 |
| R06 | 2 | 4 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| R07 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 6 | 6 | 7 | 9 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 |
| R0X | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| AGENCY OVERALL | 123 | 86 | 51 | 39 | 26 | 29 | 16 | 16 | 8 | 13 | 34 | 18 | 3 | 462 |

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| *Due to a system limitation, the charts in this section may contain information about unperfected requests.* |
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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R03 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R04 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R05 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R06 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 8 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 11 |

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| *Due to a system limitation, the charts in this section may contain information about unperfected requests.* |
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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| HQ | 119 | 80 | 95 | 178 | 82 | 119 | 0 | N/A | N/A |
| OIG | 8 | 6.5 | 7 | 0 | N/A | N/A | 0 | N/A | N/A |
| R01 | 5 | 5 | 6 | 2 | 8 | 8 | 0 | N/A | N/A |
| R02 | 5 | 19 | 19 | 3 | 13 | 29 | 0 | N/A | N/A |
| R03 | 8 | 19 | 29 | 1 | 1 | 1 | 0 | N/A | N/A |
| R04 | 18 | 40 | 74 | 9 | 11 | 16 | 0 | N/A | N/A |
| R05 | 13 | 17 | 75 | 3 | 1 | 1 | 0 | N/A | N/A |
| R06 | 1 | 5 | 5 | 0 | N/A | N/A | 0 | N/A | N/A |
| R07 | 3 | 30 | 27 | 0 | N/A | N/A | 0 | N/A | N/A |
| R08 | 1 | 16 | 16 | 0 | N/A | N/A | 0 | N/A | N/A |
| R09 | 18 | 43.5 | 96 | 4 | 26 | 24 | 0 | N/A | N/A |
| R0X | 3 | 14 | 24 | 1 | 26 | 26 | 0 | N/A | N/A |
| AGENCY OVERALL | 202 | 51 | 79 | 201 | 71 | 107 | 0 | N/A | N/A |

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| *Due to a system limitation, the charts in this section may contain information about unperfected requests.* |
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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| HQ | Date of Receipt | 2014-03-31 | 2014-02-27 | 2014-02-14 | 2014-02-11 | 2014-02-11 | 2014-02-06 | 2014-01-29 | 2014-01-22 | 2013-12-18 | 2013-12-05 |
| Number of Days Pending | 379 | 401 | 409 | 412 | 412 | 415 | 421 | 426 | 448 | 457 |
| OIG | Date of Receipt | N/A | N/A | 2015-09-29 | 2015-09-28 | 2015-09-25 | 2015-09-24 | 2015-09-17 | 2015-09-15 | 2015-09-15 | 2015-09-04 |
| Number of Days Pending | 0 | 0 | 1 | 2 | 3 | 4 | 9 | 11 | 11 | 17 |
| R01 | Date of Receipt | N/A | N/A | N/A | 2015-09-30 | 2015-09-29 | 2015-09-23 | 2015-09-18 | 2015-09-18 | 2015-09-11 | 2015-09-11 |
| Number of Days Pending | 0 | 0 | 0 | 1 | 1 | 5 | 8 | 8 | 13 | 13 |
| R02 | Date of Receipt | N/A | N/A | 2015-09-30 | 2015-09-16 | 2015-09-11 | 2015-09-03 | 2015-09-02 | 2015-08-26 | 2015-08-24 | 2015-06-15 |
| Number of Days Pending | 0 | 0 | 1 | 10 | 13 | 18 | 19 | 24 | 26 | 75 |
| R03 | Date of Receipt | N/A | 2015-09-30 | 2015-09-29 | 2015-09-25 | 2015-09-08 | 2015-09-02 | 2015-09-02 | 2015-08-18 | 2015-08-10 | 2015-04-17 |
| Number of Days Pending | 0 | 1 | 1 | 3 | 16 | 19 | 19 | 30 | 36 | 115 |
| R04 | Date of Receipt | 2015-07-28 | 2015-07-20 | 2015-06-23 | 2015-06-22 | 2015-06-16 | 2015-06-16 | 2015-06-08 | 2015-05-07 | 2014-11-18 | 2013-12-30 |
| Number of Days Pending | 45 | 51 | 69 | 70 | 74 | 74 | 80 | 101 | 218 | 441 |
| R05 | Date of Receipt | 2015-09-14 | 2015-09-11 | 2015-09-10 | 2015-09-04 | 2015-08-21 | 2015-08-12 | 2015-07-28 | 2015-03-04 | 2014-09-30 | 2014-03-04 |
| Number of Days Pending | 12 | 13 | 14 | 17 | 27 | 34 | 45 | 147 | 251 | 398 |
| R06 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2015-09-23 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| R07 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2015-08-31 | 2015-08-18 | 2015-08-15 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 30 | 31 |
| R08 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2015-09-08 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| R09 | Date of Receipt | 2015-08-06 | 2015-07-22 | 2015-05-21 | 2015-04-23 | 2015-03-25 | 2015-03-19 | 2014-11-11 | 2014-09-03 | 2014-08-27 | 2014-05-23 |
| Number of Days Pending | 38 | 49 | 91 | 111 | 132 | 136 | 222 | 270 | 274 | 340 |
| R0X | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2015-09-15 | 2015-09-10 | 2015-08-24 | 2015-07-23 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 14 | 26 | 48 |
| AGENCY OVERALL | Date of Receipt | 2014-02-27 | 2014-02-14 | 2014-02-11 | 2014-02-11 | 2014-02-06 | 2014-01-29 | 2014-01-22 | 2013-12-30 | 2013-12-18 | 2013-12-05 |
| Number of Days Pending | 401 | 409 | 412 | 412 | 415 | 421 | 426 | 441 | 448 | 457 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| HQ | 0 | 44 | 1 | 1 | 42 |
| OIG | 0 | 5 | 1 | 1 | 5 |
| R01 | 0 | 0 | N/A | N/A | N/A |
| R02 | 3 | 2 | 1 | 2 | 5 |
| R03 | 1 | 1 | 10 | 10 | 1 |
| R04 | 3 | 7 | 10.5 | 10.3 | 5 |
| R05 | 1 | 4 | 1 | 2 | 5 |
| R06 | 0 | 4 | 3 | 3.25 | 4 |
| R07 | 0 | 1 | 1 | 1 | 1 |
| R08 | 0 | 0 | N/A | N/A | N/A |
| R09 | 1 | 10 | 45 | 42.64 | 1 |
| R0X | 0 | 0 | N/A | N/A | N/A |
| AGENCY OVERALL | 9 | 78 | 1 | 8.26 | 69 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| HQ | 0 | 15 | 1 | 0.8 |
| OIG | 0 | 7 | 1 | 0.29 |
| R01 | 1 | 0 | 1 | 1 |
| R02 | 0 | 4 | 0.5 | 2.75 |
| R03 | 2 | 0 | 9.5 | 9.5 |
| R04 | 3 | 1 | 1 | 2 |
| R05 | 0 | 2 | 1 | 1 |
| R06 | 1 | 0 | 1 | 1 |
| R07 | 0 | 1 | 1 | 1 |
| R08 | 0 | 0 | N/A | N/A |
| R09 | 1 | 0 | 8 | 8 |
| R0X | 0 | 1 | 6 | 6 |
| AGENCY OVERALL | 8 | 31 | 1 | 1.69 |

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| **IX. FOIA Personnel and Costs** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| HQ | 7 | 10 | 17 | $2,245,547.00 | $51,744.25 | $2,297,291.25 |
| OIG | 1 | 0 | 1 | $75,621.00 | $0.00 | $75,621.00 |
| R01 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R02 | 0 | 2 | 2 | $241,842.00 | $0.00 | $241,842.00 |
| R03 | 0 | 2.1 | 2.1 | $15,844.43 | $0.00 | $15,844.43 |
| R04 | 0 | 3.4 | 3.4 | $365,231.00 | $0.00 | $365,231.00 |
| R05 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R06 | 0 | 1 | 1 | $107,421.00 | $0.00 | $107,421.00 |
| R07 | 0 | 0.75 | 0.75 | $80,566.00 | $0.00 | $80,566.00 |
| R08 | 0 | 0.75 | 0.75 | $80,566.00 | $0.00 | $80,566.00 |
| R09 | 0 | 1 | 1 | $63,091.00 | $0.00 | $63,091.00 |
| R0X | 0 | 0.77 | 0.77 | $81,122.45 | $0.00 | $81,122.45 |
| AGENCY OVERALL | 8 | 23.77 | 31.77 | $3,571,693.88 | $51,744.25 | $3,623,438.13 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| HQ | $18,741.41 | 0.83% |
| OIG | $2,982.14 | 3.94% |
| R01 | $225.88 | 0.21% |
| R02 | $948.92 | 0.39% |
| R03 | $811.83 | 5.12% |
| R04 | $1,884.18 | 0.52% |
| R05 | $1,605.18 | 1.49% |
| R06 | $35.12 | 0.03% |
| R07 | $732.27 | 0.91% |
| R08 | $1,165.20 | 1.45% |
| R09 | $2,151.43 | 3.41% |
| R0X | $6,770.35 | 8.35% |
| AGENCY OVERALL | $38,053.91 | 1.07% |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| HQ | 222 | 6 |
| OIG | 0 | 0 |
| R01 | 0 | 0 |
| R02 | 2 | 0 |
| R03 | 1 | 0 |
| R04 | 11 | 0 |
| R05 | 5 | 0 |
| R06 | 0 | 0 |
| R07 | 3 | 0 |
| R08 | 0 | 0 |
| R09 | 11 | 0 |
| R0X | 1 | 0 |
| AGENCY OVERALL | 256 | 6 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| HQ | 0 | 2 | 2 | 0 |
| OIG | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 |
| R04 | 1 | 6 | 7 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 1 | 8 | 9 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 1,039 | 1,004 | 881 | 981 |
| OIG | 139 | 129 | 135 | 128 |
| R01 | 65 | 83 | 64 | 80 |
| R02 | 90 | 101 | 97 | 95 |
| R03 | 167 | 142 | 174 | 137 |
| R04 | 240 | 213 | 242 | 203 |
| R05 | 119 | 103 | 120 | 95 |
| R06 | 115 | 93 | 121 | 96 |
| R07 | 34 | 35 | 35 | 31 |
| R08 | 97 | 79 | 111 | 85 |
| R09 | 192 | 206 | 178 | 204 |
| R0X | 70 | 42 | 66 | 45 |
| AGENCY OVERALL | 2,367 | 2,230 | 2,224 | 2,180 |

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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| HQ | 219 | 222 |
| OIG | 0 | 0 |
| R01 | 0 | 0 |
| R02 | 1 | 2 |
| R03 | 2 | 1 |
| R04 | 0 | 11 |
| R05 | 4 | 5 |
| R06 | 1 | 0 |
| R07 | 0 | 3 |
| R08 | 0 | 0 |
| R09 | 10 | 11 |
| R0X | 0 | 1 |
| AGENCY OVERALL | 237 | 256 |

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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

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| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 31 | 21 | 29 | 16 |
| OIG | 11 | 5 | 9 | 6 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 1 | 0 | 1 | 0 |
| R03 | 2 | 1 | 2 | 1 |
| R04 | 6 | 2 | 5 | 3 |
| R05 | 7 | 2 | 6 | 3 |
| R06 | 3 | 1 | 3 | 1 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 1 | 1 | 1 | 1 |
| R09 | 3 | 3 | 1 | 5 |
| R0X | 2 | 2 | 2 | 2 |
| AGENCY OVERALL | 67 | 38 | 59 | 38 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| HQ | 6 | 6 |
| OIG | 2 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 1 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 2 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 11 | 6 |

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