

Sub-Indicator #6: Economic Self-Sufficiency

I. Definition:

Under the Quality Housing and Work Responsibility Act of 1998, economic self-sufficiency (ESS) was added as a factor to section 6(j) of the U.S. Housing Act of 1937. In recognition of the Department's emphasis on ESS, a separate sub-indicator has been created to evaluate a PHA's performance under any HUD ESS program that the PHA has HUD funding to implement. Also, PHAs will get credit for the implementation of ESS programs through partnerships with non-PHA providers if they choose to be assessed for non-HUD funded programs.

**Maximum
Point(s):**
7

This sub-indicator examines PHA management of HUD-funded, and/or non-HUD funded, ESS programs. This component measures whether the PHA has established ESS program goals and can document that it is meeting the goals under the plan(s).

II. Statutes, Regulations and Notices:

- Section 8(y) 1937 Act
- Quality Housing and Work Responsibility Act of 1998
- 24 CFR Part 984
- 24 CFR Part 902, Subpart D
- 24 CFR Part 906
- 24 CFR Part 941
- 24 CFR Part 901
- PIH Notice 93-24
- PIH Notice 94-59

III. Process Workflow:

Measures whether a PHA has established ESS program goals and can document that it is meeting the goals as planned.



Education support



**Economic
Development**



Job Training



Day Care Facilities

IV. Components:

Note: There are no components for this sub-indicator.

V. Suggested Data Sources:

1. Implementation plan(s) for ESS program(s).
2. Lists of attendees at various programs.
3. Keep separate records for each HUD funded program.
4. Keep separate records for each non-HUD funded program.
5. Identify and document each program goals.

VI. Elements:

Element Number	Element Description	Instructions for Completing Element Submission
E10000	The number of HUD-funded ESS programs that the PHA requests to be assessed.	Enter the number of HUD-funded ESS programs that the PHA requests to be assessed. Please refer to the Board of Commissioner minutes/resolutions and other suggested data sources for information requirements for this element.
E10100	The number of non HUD-funded ESS programs that the PHA requests to be assessed.	Enter the number of non-HUD funded ESS programs that the PHA requests to be assessed. Please refer to the Board of Commissioner minutes/resolutions and other suggested data sources for information requirements for this element.
E10200	The number of documented program goals that are related to ESS.	Enter the number of documented program goals that are related to ESS. Please refer to the implementation plans and other suggested data sources for information requirements for this element.
E10300	The number of goals the PHA can document it met under the implementation plan(s) for any and all of these programs.	Enter the number of goals the PHA can document it met under the implementation plan(s) for any and all of these programs. Please refer to the implementation plans and other suggested data sources for information requirements for this element.
E10400	Percentage of goals that the PHA can document it met under implementation plan(s) for any and all of these programs.	Read only. System generated.

VII. Things To Remember:

- ✓ PHAs must select either to be assessed for all or none of the non-HUD funded programs.
- ✓ This is voluntary; the PHA does NOT have to be assessed on the non-HUD funded programs. There is no penalty for opting not to be assessed. Also, if the PHA does not have any non-HUD funded programs, there is no penalty.
- ✓ The number of documented program goals that are related to ESS should only be the number of goals that are scheduled to be met in the fiscal year being assessed. The number of program goals should not include goals accomplished in the prior fiscal year or scheduled to be accomplished in the next fiscal year.
- ✓ The number of goals that the PHA can document it met under the implementation plan(s) for any and all programs should only be the number of goals met that were scheduled to be met in the fiscal year being assessed. The number of program goals should not include goals accomplished in the prior fiscal year or scheduled to be accomplished in the next fiscal year.
- ✓ PHAs must have comparative ESS statistics over time, against a baseline, that can be used to show how the program goals are directly related. Ultimately, there should be some correlation between the increase in the number of residents that participate in programs and achieve ESS AND the successful achievement of the program goals.

VIII. Best Practices:

- ✓ Identify HUD funded programs separately.
- ✓ Identify non-HUD funded programs separately.
- ✓ Identify the number of goals for the implementation plans.
- ✓ Maintain records to show how the program goals are directly related.
- ✓ Maintain ESS statistics.
- ✓ Maintain separate records for HUD-funded and non HUD-funded programs.

IX. Criteria for Scoring:

Grade	Economic Self-Sufficiency
A	If the PHA has any economic self-sufficiency program that is HUD-funded or non-HUD funded, the PHA can document that the goals are related to economic self-sufficiency, and it is meeting at least 90% of its goals under the implementation plan for any and all of these programs.
C	If the PHA has any economic self-sufficiency program that is HUD-funded or non-HUD funded, the PHA can document that the goals are related to economic self-sufficiency, and it is meeting at least 60% of its goals under the implementation plan for any and all of these programs.
F	If the PHA has any economic self-sufficiency program that is HUD-funded or non-HUD funded, the PHA does not have a system for documenting or cannot document that the goals are related to economic self-sufficiency, or cannot document that it is meeting 60% or more of its goals under the implementation plan for any and all of these programs.

IX. Criteria for Scoring Continued:

Note: This sub-indicator will be excluded from the score calculation if the sum of the number of HUD-funded ESS and the number of non-HUD funded ESS programs that the PHA requests to be assessed equals zero.

X. Calculation by System:

[E10400] Percent of goals the PHA can document it met under the implementation plan (s) for any and all of these programs = [E10300] The number of goals that the PHA can document it met under the implementation plan (s) for any and all of these programs / [E10200] The number of documented program goal that are related to economic self-sufficiency.