Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT001000002 | $ 2,778,264 | $ 2,456,541 | $ 1,833,654 | $ 622,887 | $ 622,887 | | $ - | |
| 2 | CT001000005 | $ 2,441,180 | $ 2,158,491 | $ 1,611,179 | $ 547,312 | $ 547,312 | | $ - | |
| 3 | CT001000006 | $ 1,851,234 | $ 1,636,861 | $ 1,221,814 | $ 415,047 | $ 415,047 | | $ - | |
| 4 | CT001000007 | $ 1,114,991 | $ 985,875 | $ 735,894 | $ 249,981 | $ 249,981 | | $ - | |
| 5 | CT001000009 | $ 1,134,727 | $ 1,003,326 | $ 748,920 | $ 254,406 | $ 254,406 | | $ - | |
| 6 | CT001000044 | $ 2,059,645 | $ 1,821,138 | $ 1,359,366 | $ 461,772 | $ 461,772 | | $ - | |
| 7 | CT001000054 | $ 32,926 | $ 29,113 | $ 21,731 | $ 7,382 | $ 7,382 | | $ - | |
| 8 | CT001000881 | $ 832,137 | $ 735,776 | $ 549,210 | $ 186,566 | $ 186,566 | | $ - | |
| 9 | CT001000882 | $ 1,186,661 | $ 1,049,246 | $ 783,196 | $ 266,050 | $ 266,050 | | $ - | |
| | **Total** | **$ 13,431,765** | **$ 11,876,367** | **$ 8,864,964** | **$ 3,011,403** | **$ 3,011,403** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100000209D

Dear Mr. Calace:

This letter obligates $622,887 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 406 | | 0 | | 0 | | 406 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 4,315 | 4,315 | 4,315 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 192 | 192 | |
| 06 | Special use units | 48 | 48 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 12 | 12 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 305 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 146 | |
| 15 | **Total Unit Months** | **4,872** | **4,713** | **4,315** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 360 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $474.88 | $474.88 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $488.18 | $488.18 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $2,300,792 | $2,300,792 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $205.76 | $205.76 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $969,747 | $969,747 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $11,273 | $11,273 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $19,221 | $19,221 |
| 10 | Cost of independent audit | $3,475 | $3,475 |
| 11 | Funding for resident participation activities | $9,000 | $9,000 |
| 12 | Asset management fee | $19,488 | $19,488 |
| 13 | Information technology fee | $9,744 | $9,744 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$72,201** | **$72,201** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$3,342,740** | **$3,342,740** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $142.39 | $142.39 |
| 02 | PUM change in utility allowances | ($20.00) | ($20.00) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $122.39 | $122.39 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$576,824** | **$576,824** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $12,348 | $12,348 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$12,348** | **$12,348** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $2,778,264 | $2,778,264 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,475 | $3,475 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$2,778,264** | **$2,778,264** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $2,778,264 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100000509D

Dear Mr. Calace:

This letter obligates $547,312 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 0 5 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 360 | | 0 | | 0 | | 360 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,795 | 3,795 | 3,795 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 183 | 183 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 4 | 4 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 326 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000005**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 130 | |
| 15 | **Total Unit Months** | **4,320** | **4,124** | **3,795** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 316 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $485.90 | $485.90 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.51 | $499.51 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $2,059,979 | $2,059,979 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $225.57 | $225.57 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $930,251 | $930,251 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $9,995 | $9,995 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $17,044 | $17,044 |
| 10 | Cost of independent audit | $3,082 | $3,082 |
| 11 | Funding for resident participation activities | $7,900 | $7,900 |
| 12 | Asset management fee | $17,280 | $17,280 |
| 13 | Information technology fee | $8,640 | $8,640 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$63,941** | **$63,941** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$3,054,171** | **$3,054,171** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $159.68 | $159.68 |
| 02 | PUM change in utility allowances | ($8.42) | ($8.42) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $151.26 | $151.26 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$623,796** | **$623,796** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $10,805 | $10,805 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$10,805** | **$10,805** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $2,441,180 | $2,441,180 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,082 | $3,082 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$2,441,180** | **$2,441,180** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $2,441,180 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100000609D

Dear Mr. Calace:

This letter obligates $415,047 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 0 6 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 270 | | 0 | | 0 | | 270 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,553 | 2,553 | 2,553 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 219 | 219 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 9 | 9 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 435 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000006**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 97 | |
| 15 | **Total Unit Months** | **3,240** | **2,902** | **2,565** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 214 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $481.62 | $481.62 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $495.11 | $495.11 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,436,809 | $1,436,809 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $283.61 | $283.61 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $823,036 | $823,036 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $7,497 | $7,497 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $12,783 | $12,783 |
| 10 | Cost of independent audit | $2,311 | $2,311 |
| 11 | Funding for resident participation activities | $5,350 | $5,350 |
| 12 | Asset management fee | $12,960 | $12,960 |
| 13 | Information technology fee | $6,480 | $6,480 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$47,381** | **$47,381** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,307,226** | **$2,307,226** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $166.27 | $166.27 |
| 02 | PUM change in utility allowances | ($6.52) | ($6.52) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $159.75 | $159.75 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$463,595** | **$463,595** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $7,603 | $7,603 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$7,603** | **$7,603** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,851,234 | $1,851,234 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,311 | $2,311 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,851,234** | **$1,851,234** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,851,234 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00100000709D

Dear Mr. Calace:

This letter obligates $249,981 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 0 7 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 272 | | 0 | | 0 | | 272 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,222 | 3,222 | 3,222 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 1 | 1 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 41 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000007**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 41 | |
| 15 | **Total Unit Months** | **3,264** | **3,264** | **3,222** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 269 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $352.11 | $352.11 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $361.97 | $361.97 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,181,470 | $1,181,470 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $152.84 | $152.84 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $498,870 | $498,870 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $7,552 | $7,552 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $12,877 | $12,877 |
| 10 | Cost of independent audit | $2,328 | $2,328 |
| 11 | Funding for resident participation activities | $6,725 | $6,725 |
| 12 | Asset management fee | $13,056 | $13,056 |
| 13 | Information technology fee | $6,528 | $6,528 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$49,066** | **$49,066** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,729,406** | **$1,729,406** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $202.57 | $202.57 |
| 02 | PUM change in utility allowances | ($11.71) | ($11.71) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $190.86 | $190.86 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$622,967** | **$622,967** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $8,552 | $8,552 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$8,552** | **$8,552** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,114,991 | $1,114,991 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,328 | $2,328 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,114,991** | **$1,114,991** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,114,991 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100000909D

Dear Mr. Calace:

This letter obligates $254,406 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 0 9 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 240 | | 0 | | 0 | | 240 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,637 | 2,637 | 2,637 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 12 | 12 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 231 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000009**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 86 | |
| 15 | **Total Unit Months** | **2,880** | **2,735** | **2,637** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 220 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $359.78 | $359.78 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $369.85 | $369.85 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,011,540 | $1,011,540 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $238.63 | $238.63 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $652,653 | $652,653 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $6,664 | $6,664 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $11,362 | $11,362 |
| 10 | Cost of independent audit | $2,054 | $2,054 |
| 11 | Funding for resident participation activities | $5,500 | $5,500 |
| 12 | Asset management fee | $11,520 | $11,520 |
| 13 | Information technology fee | $5,760 | $5,760 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$42,860** | **$42,860** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,707,053** | **$1,707,053** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $211.88 | $211.88 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $211.88 | $211.88 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$579,492** | **$579,492** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $7,166 | $7,166 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$7,166** | **$7,166** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,134,727 | $1,134,727 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,054 | $2,054 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,134,727** | **$1,134,727** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,134,727 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100004409D

Dear Mr. Calace:

This letter obligates $461,772 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 4 4 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 402 | | 0 | | 0 | | 402 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 4,100 | 4,100 | 4,100 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 277 | 277 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 6 | 6 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 441 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000044**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 145 | |
| 15 | **Total Unit Months** | **4,824** | **4,528** | **4,100** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 342 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $442.42 | $442.42 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $454.81 | $454.81 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $2,059,380 | $2,059,380 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $175.50 | $175.50 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $794,664 | $794,664 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $11,162 | $11,162 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $19,032 | $19,032 |
| 10 | Cost of independent audit | $3,441 | $3,441 |
| 11 | Funding for resident participation activities | $8,550 | $8,550 |
| 12 | Asset management fee | $19,296 | $19,296 |
| 13 | Information technology fee | $9,648 | $9,648 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$71,129** | **$71,129** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,925,173** | **$2,925,173** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $216.75 | $216.75 |
| 02 | PUM change in utility allowances | ($22.98) | ($22.98) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $193.77 | $193.77 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$877,391** | **$877,391** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $11,863 | $11,863 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$11,863** | **$11,863** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $2,059,645 | $2,059,645 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,441 | $3,441 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$2,059,645** | **$2,059,645** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $2,059,645 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100005409D

Dear Mr. Calace:

This letter obligates $7,382 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 0 5 4 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 8 | | 0 | | 0 | | 8 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 95 | 95 | 95 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 1 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000054**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 1 | |
| 15 | **Total Unit Months** | **96** | **96** | **95** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 8 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $452.37 | $452.37 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $465.04 | $465.04 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $44,644 | $44,644 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $72.21 | $72.21 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $6,932 | $6,932 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $0 | $0 |
| 11 | Funding for resident participation activities | $200 | $200 |
| 12 | Asset management fee | $384 | $384 |
| 13 | Information technology fee | $192 | $192 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$776** | **$776** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$52,352** | **$52,352** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $253.11 | $253.11 |
| 02 | PUM change in utility allowances | ($48.13) | ($48.13) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $204.98 | $204.98 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$19,678** | **$19,678** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $252 | $252 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$252** | **$252** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $32,926 | $32,926 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $0 | $0 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$32,926** | **$32,926** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $32,926 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00100088109D

Dear Mr. Calace:

This letter obligates $186,566 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 8 8 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 222 | | 5 | | 0 | | 227 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,544 | 2,544 | 2,544 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 60 | 60 | 60 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 28 | 28 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 92 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000881**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 82 | |
| 15 | **Total Unit Months** | **2,724** | **2,714** | **2,604** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 217 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $436.00 | $436.00 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $448.21 | $448.21 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,216,442 | $1,216,442 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $108.46 | $108.46 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $294,360 | $294,360 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $6,303 | $6,303 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $10,747 | $10,747 |
| 10 | Cost of independent audit | $1,943 | $1,943 |
| 11 | Funding for resident participation activities | $5,425 | $5,425 |
| 12 | Asset management fee | $10,896 | $10,896 |
| 13 | Information technology fee | $5,448 | $5,448 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$40,762** | **$40,762** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,551,564** | **$1,551,564** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $285.31 | $285.31 |
| 02 | PUM change in utility allowances | ($17.61) | ($17.61) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $267.70 | $267.70 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$726,538** | **$726,538** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $7,111 | $7,111 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$7,111** | **$7,111** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $832,137 | $832,137 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,943 | $1,943 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$832,137** | **$832,137** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $832,137 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Nicholas Calace
Executive Director
Housing Authority of the City of Bridgeport
150 Highland Avenue
Bridgeport, CT 06604-3503

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00100088209D

Dear Mr. Calace:

This letter obligates $266,050 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Bridgeport<br>150 Highland Avenue<br>Bridgeport, CT 06604 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 387 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 1 0 0 0 8 8 2 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 089625057 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 309 | | 0 | | 0 | | 309 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,449 | 3,449 | 3,449 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 66 | 66 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 193 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT001000882**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 111 | |
| 15 | **Total Unit Months** | **3,708** | **3,626** | **3,449** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 287 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $434.21 | $434.21 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $446.37 | $446.37 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,618,538 | $1,618,538 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $103.62 | $103.62 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $375,726 | $375,726 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $8,579 | $8,579 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $14,629 | $14,629 |
| 10 | Cost of independent audit | $2,645 | $2,645 |
| 11 | Funding for resident participation activities | $7,175 | $7,175 |
| 12 | Asset management fee | $14,832 | $14,832 |
| 13 | Information technology fee | $7,416 | $7,416 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$55,276** | **$55,276** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,049,540** | **$2,049,540** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $260.53 | $260.53 |
| 02 | PUM change in utility allowances | ($19.94) | ($19.94) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $240.59 | $240.59 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$872,379** | **$872,379** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $9,500 | $9,500 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$9,500** | **$9,500** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,186,661 | $1,186,661 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,645 | $2,645 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,186,661** | **$1,186,661** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,186,661 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT002000001 | $ 629,280 | $ 556,409 | $ 415,325 | $ 141,084 | $ 141,084 | | $ - | |
| 2 | CT002000002 | $ 1,306,853 | $ 1,155,519 | $ 862,523 | $ 292,996 | $ 292,996 | | $ - | |
| 3 | CT002000003 | $ 257,246 | $ 227,457 | $ 169,782 | $ 57,675 | $ 57,675 | | $ - | |
| 4 | CT002000004 | $ 451,529 | $ 399,242 | $ 298,009 | $ 101,233 | $ 101,233 | | $ - | |
| 5 | CT002000005 | $ 469,378 | $ 415,024 | $ 309,789 | $ 105,235 | $ 105,235 | | $ - | |
| 6 | CT002000006 | $ 745,290 | $ 658,985 | $ 491,891 | $ 167,094 | $ 167,094 | | $ - | |
| 7 | CT002000007 | $ 342,952 | $ 303,238 | $ 226,348 | $ 76,890 | $ 76,890 | | $ - | |
| | **Total** | **$ 4,202,528** | **$ 3,715,874** | **$ 2,773,667** | **$ 942,207** | **$ 942,207** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00200000109D

Dear Mr. Law:

This letter obligates $141,084 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Norwalk<br>PO Box 508<br>Norwalk, CT 06854 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY355 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 2 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 082670597 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 136 | | 0 | | 0 | | 136 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,564 | 1,564 | 1,564 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 68 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 49 | |
| 15 | **Total Unit Months** | **1,632** | **1,613** | **1,564** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 130 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $434.31 | $434.31 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $446.47 | $446.47 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $720,156 | $720,156 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $184.51 | $186.68 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $297,615 | $301,115 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $22,228 | $22,228 |
| 10 | Cost of independent audit | $2,362 | $2,362 |
| 11 | Funding for resident participation activities | $3,250 | $3,250 |
| 12 | Asset management fee | $6,528 | $6,528 |
| 13 | Information technology fee | $3,264 | $3,264 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$37,632** | **$37,632** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,055,403** | **$1,058,903** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $286.13 | $286.13 |
| 02 | PUM change in utility allowances | ($19.78) | ($19.78) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $266.35 | $266.35 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$429,623** | **$429,623** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $625,780 | $629,280 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,362 | $2,362 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$625,780** | **$629,280** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $629,280 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00200000209D

Dear Mr. Law:

This letter obligates $292,996 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Norwalk<br>PO Box 508<br>Norwalk, CT 06854 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY355 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 2 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 082670597 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 218 | | 0 | | 0 | | 218 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,463 | 2,463 | 2,463 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 153 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 78 | |
| 15 | **Total Unit Months** | **2,616** | **2,541** | **2,463** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 205 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $478.07 | $478.07 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $491.46 | $491.46 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,248,800 | $1,248,800 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $186.68 | $192.19 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $474,354 | $488,355 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $35,631 | $35,631 |
| 10 | Cost of independent audit | $3,786 | $3,786 |
| 11 | Funding for resident participation activities | $5,125 | $5,125 |
| 12 | Asset management fee | $10,464 | $10,464 |
| 13 | Information technology fee | $5,232 | $5,232 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$60,238** | **$60,238** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,783,392** | **$1,797,393** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $213.01 | $213.01 |
| 02 | PUM change in utility allowances | ($19.96) | ($19.96) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $193.05 | $193.05 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$490,540** | **$490,540** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,292,852 | $1,306,853 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,786 | $3,786 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,292,852** | **$1,306,853** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,306,853 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00200000309D

Dear Mr. Law:

This letter obligates $57,675 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of Norwalk
PO Box 508
Norwalk, CT 06854

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY355

**5. Fiscal Year End:** ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 0 2 0 0 0 0 0 3

**7. DUNS Number:** 082670597

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 60 | | 0 | | 0 | | 60 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 715 | 715 | 715 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 5 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 5 | |
| 15 | **Total Unit Months** | **720** | **720** | **715** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 60 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $357.58 | $357.58 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $367.59 | $367.59 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $264,665 | $264,665 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $128.51 | $128.51 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $92,527 | $92,527 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $9,025 | $9,025 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $9,807 | $9,807 |
| 10 | Cost of independent audit | $1,042 | $1,042 |
| 11 | Funding for resident participation activities | $1,500 | $1,500 |
| 12 | Asset management fee | $2,880 | $2,880 |
| 13 | Information technology fee | $1,440 | $1,440 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$25,694** | **$25,694** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$382,886** | **$382,886** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $191.11 | $191.11 |
| 02 | PUM change in utility allowances | ($16.61) | ($16.61) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $174.50 | $174.50 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$125,640** | **$125,640** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $257,246 | $257,246 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,042 | $1,042 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$257,246** | **$257,246** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $257,246 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00200000409D

Dear Mr. Law:

This letter obligates $101,233 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Norwalk<br>PO Box 508<br>Norwalk, CT 06854 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY355 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 2 0 0 0 0 0 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 082670597 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 100 | | 0 | | 0 | | 100 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,191 | 1,191 | 1,191 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 9 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000004**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 9 | |
| 15 | **Total Unit Months** | **1,200** | **1,200** | **1,191** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 99 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $358.22 | $358.22 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $368.25 | $368.25 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $441,900 | $441,900 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $130.35 | $130.35 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $156,420 | $156,420 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $15,041 | $15,041 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $16,344 | $16,344 |
| 10 | Cost of independent audit | $1,737 | $1,737 |
| 11 | Funding for resident participation activities | $2,475 | $2,475 |
| 12 | Asset management fee | $4,800 | $4,800 |
| 13 | Information technology fee | $2,400 | $2,400 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$42,797** | **$42,797** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$641,117** | **$641,117** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $187.82 | $187.82 |
| 02 | PUM change in utility allowances | ($29.83) | ($29.83) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $157.99 | $157.99 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$189,588** | **$189,588** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $451,529 | $451,529 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,737 | $1,737 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$451,529** | **$451,529** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $451,529 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00200000509D

Dear Mr. Law:

This letter obligates $105,235 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Norwalk<br>PO Box 508<br>Norwalk, CT 06854 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY355 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 2 0 0 0 0 0 5 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 082670597 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 103 | | 0 | | 0 | | 103 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,218 | 1,218 | 1,218 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 18 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000005**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 18 | |
| 15 | **Total Unit Months** | **1,236** | **1,236** | **1,218** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 102 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $358.67 | $358.67 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $368.71 | $368.71 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $455,726 | $455,726 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $171.24 | $171.24 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $211,653 | $211,653 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $15,492 | $15,492 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $16,835 | $16,835 |
| 10 | Cost of independent audit | $1,789 | $1,789 |
| 11 | Funding for resident participation activities | $2,550 | $2,550 |
| 12 | Asset management fee | $4,944 | $4,944 |
| 13 | Information technology fee | $2,472 | $2,472 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$44,082** | **$44,082** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$711,461** | **$711,461** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $205.57 | $205.57 |
| 02 | PUM change in utility allowances | ($9.71) | ($9.71) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $195.86 | $195.86 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$242,083** | **$242,083** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $469,378 | $469,378 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,789 | $1,789 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$469,378** | **$469,378** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $469,378 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00200000609D

Dear Mr. Law:

This letter obligates $167,094 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Norwalk<br>PO Box 508<br>Norwalk, CT 06854 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY355 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 2 0 0 0 0 0 6 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 082670597 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 146 | | 0 | | 0 | | 146 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,699 | 1,699 | 1,699 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 53 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000006**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 53 | |
| 15 | **Total Unit Months** | **1,752** | **1,752** | **1,699** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 142 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $441.79 | $441.79 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $454.16 | $454.16 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $795,688 | $795,688 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $48.68 | $48.68 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $85,287 | $85,287 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $23,863 | $23,863 |
| 10 | Cost of independent audit | $2,536 | $2,536 |
| 11 | Funding for resident participation activities | $3,550 | $3,550 |
| 12 | Asset management fee | $7,008 | $7,008 |
| 13 | Information technology fee | $3,504 | $3,504 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$40,461** | **$40,461** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$921,436** | **$921,436** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $210.53 | $210.53 |
| 02 | PUM change in utility allowances | ($109.99) | ($109.99) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $100.54 | $100.54 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$176,146** | **$176,146** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $745,290 | $745,290 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,536 | $2,536 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$745,290** | **$745,290** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $745,290 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Curtis O. Law
Executive Director
Housing Authority of the City of Norwalk
PO Box 508
24 1/2 Monroe Street
Norwalk, CT 06854-0508

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00200000709D

Dear Mr. Law:

This letter obligates $76,890 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of Norwalk
PO Box 508
Norwalk, CT 06854

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY355

**5. Fiscal Year End:** ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 0 2 0 0 0 0 0 7

**7. DUNS Number:** 082670597

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 60 | | 0 | | 0 | | 60 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 715 | 715 | 715 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 5 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT002000007**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 5 | |
| 15 | **Total Unit Months** | **720** | **720** | **715** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 60 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $441.47 | $441.47 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $453.83 | $453.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $326,758 | $326,758 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $202.81 | $202.81 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $146,023 | $146,023 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $9,807 | $9,807 |
| 10 | Cost of independent audit | $1,042 | $1,042 |
| 11 | Funding for resident participation activities | $1,500 | $1,500 |
| 12 | Asset management fee | $2,880 | $2,880 |
| 13 | Information technology fee | $1,440 | $1,440 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$16,669** | **$16,669** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$489,450** | **$489,450** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $283.19 | $283.19 |
| 02 | PUM change in utility allowances | ($79.72) | ($79.72) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $203.47 | $203.47 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$146,498** | **$146,498** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $342,952 | $342,952 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,042 | $1,042 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$342,952** | **$342,952** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $342,952 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT003000001 | $ 1,273,304 | $ 1,125,855 | $ 840,381 | $ 285,474 | $ 285,474 | | $ - | |
| 2 | CT003000006 | $ 2,011,561 | $ 1,778,622 | $ 1,327,630 | $ 450,992 | $ 450,992 | | $ - | |
| 3 | CT003000015 | $ 1,293,292 | $ 1,143,529 | $ 853,573 | $ 289,956 | $ 289,956 | | $ - | |
| 4 | CT003000024 | $ 1,119,751 | $ 990,084 | $ 739,036 | $ 251,048 | $ 251,048 | | $ - | |
| 5 | CT003000029 | $ 218,675 | $ 193,352 | $ 101,541 | $ 91,811 | $ 91,811 | | $ - | |
| 6 | CT003000030 | $ 222,951 | $ 197,133 | $ - | $ 197,133 | $ 197,133 | | $ - | |
| | **Total** | **$ 6,139,534** | **$ 5,428,575** | **$ 3,862,161** | **$ 1,566,414** | **$ 1,566,414** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Alan Green
Executive Director
Housing Authority of the City of Hartford
180 Overlook Terrace
Hartford, CT 06106-3728

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00300000109D

Dear Mr. Green:

This letter obligates $285,474 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Hartford<br>180 Overlook Terrace<br>Hartford, CT 06106 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY385 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 3 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 146663000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 176 | | 0 | | 0 | | 176 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,883 | 1,883 | 1,883 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 60 | 60 | 60 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 5 | 5 | 5 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 229 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT003000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 65 | |
| 15 | **Total Unit Months** | **2,177** | **2,013** | **1,948** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 162 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $426.92 | $426.92 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $438.87 | $438.87 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $883,445 | $883,445 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $331.50 | $373.01 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $667,310 | $750,869 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $3,291 | $1,791 |
| 11 | Funding for resident participation activities | $4,050 | $4,050 |
| 12 | Asset management fee | $8,708 | $8,708 |
| 13 | Information technology fee | $4,354 | $4,354 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$20,403** | **$18,903** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,571,158** | **$1,653,217** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $196.57 | $196.57 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $196.57 | $196.57 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$395,695** | **$395,695** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $15,782 | $15,782 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$15,782** | **$15,782** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,191,245 | $1,273,304 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,291 | $1,791 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,191,245** | **$1,273,304** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,273,304 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Alan Green
Executive Director
Housing Authority of the City of Hartford
180 Overlook Terrace
Hartford, CT 06106-3728

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00300000609D

Dear Mr. Green:

This letter obligates $450,992 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Hartford<br>180 Overlook Terrace<br>Hartford, CT 06106 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY385 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 3 0 0 0 0 0 6 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 146663000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 475 | | 0 | | 0 | | 475 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 5,217 | 5,217 | 5,217 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 483 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT003000006**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 171 | |
| 15 | **Total Unit Months** | **5,700** | **5,388** | **5,217** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 435 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $324.69 | $324.69 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $333.78 | $333.78 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,798,407 | $1,798,407 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $202.61 | $202.61 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $1,091,663 | $1,091,663 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $38,872 | $39,960 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $8,995 | $4,895 |
| 11 | Funding for resident participation activities | $10,875 | $10,875 |
| 12 | Asset management fee | $22,800 | $22,800 |
| 13 | Information technology fee | $11,400 | $11,400 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$92,942** | **$89,930** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,983,012** | **$2,980,000** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $187.58 | $187.58 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $187.58 | $187.58 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$1,010,681** | **$1,010,681** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $42,242 | $42,242 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$42,242** | **$42,242** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $2,014,573 | $2,011,561 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $8,995 | $4,895 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$2,014,573** | **$2,011,561** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $2,011,561 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Alan Green
Executive Director
Housing Authority of the City of Hartford
180 Overlook Terrace
Hartford, CT 06106-3728

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00300001509D

Dear Mr. Green:

This letter obligates $289,956 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Hartford<br>180 Overlook Terrace<br>Hartford, CT 06106 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY385 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 3 0 0 0 0 1 5 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 146663000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 204 | | 0 | | 0 | | 204 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,143 | 2,143 | 2,143 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 36 | 36 | 36 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 5 | 5 | 5 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 305 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT003000015**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 75 | |
| 15 | **Total Unit Months** | **2,489** | **2,259** | **2,184** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 182 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $403.45 | $403.45 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $414.75 | $414.75 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $936,920 | $936,920 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $402.66 | $402.66 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $909,609 | $909,609 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $3,949 | $2,149 |
| 11 | Funding for resident participation activities | $4,550 | $4,550 |
| 12 | Asset management fee | $9,956 | $9,956 |
| 13 | Information technology fee | $4,978 | $4,978 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$23,433** | **$21,633** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,869,962** | **$1,868,162** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $262.32 | $262.32 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $262.32 | $262.32 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$592,581** | **$592,581** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $17,711 | $17,711 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$17,711** | **$17,711** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,295,092 | $1,293,292 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,949 | $2,149 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,295,092** | **$1,293,292** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,293,292 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Alan Green
Executive Director
Housing Authority of the City of Hartford
180 Overlook Terrace
Hartford, CT 06106-3728

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00300002409D

Dear Mr. Green:

This letter obligates $251,048 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Hartford<br>180 Overlook Terrace<br>Hartford, CT 06106 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY385 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 3 0 0 0 0 2 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 146663000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 273 | | 23 | | 1 | | 295 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,896 | 2,896 | 2,896 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 372 | 372 | 372 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 30 | 30 | 30 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 274 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT003000024**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 107 | |
| 15 | **Total Unit Months** | **3,572** | **3,405** | **3,298** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 275 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $427.86 | $427.86 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $439.84 | $439.84 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,497,655 | $1,497,655 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $315.71 | $315.71 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $1,074,993 | $1,074,993 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $5,704 | $3,104 |
| 11 | Funding for resident participation activities | $6,875 | $6,875 |
| 12 | Asset management fee | $14,288 | $14,288 |
| 13 | Information technology fee | $7,144 | $7,144 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$34,011** | **$31,411** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,606,659** | **$2,604,059** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $443.76 | $443.76 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $443.76 | $443.76 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$1,511,003** | **$1,511,003** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $26,695 | $26,695 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$26,695** | **$26,695** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,122,351 | $1,119,751 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $5,704 | $3,104 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,122,351** | **$1,119,751** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,119,751 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Alan Green
Executive Director
Housing Authority of the City of Hartford
180 Overlook Terrace
Hartford, CT 06106-3728

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00300002909D

Dear Mr. Green:

This letter obligates $91,811 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| | |
|---|---|
| **1. Name and Address of Public Housing Agency:** | **2. Funding Period:** 01/01/2009 to 12/31/2009 |
| Housing Authority of the City of Hartford<br>180 Overlook Terrace<br>Hartford, CT 06106 | **3. Type of Submission:**<br>☑ Original<br>☐ Revision No.____ |

| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
|---|---|---|
| NY385 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 3 0 0 0 0 2 9 |

| 7. DUNS Number: | HUD Use Only | |
|---|---|---|
| | 8. ROFO Code: | Financial Analyst: |
| 146663000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 43 | | 0 | | 0 | | 43 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 516 | 516 | 516 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT003000029**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **516** | **516** | **516** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 43 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $404.24 | $404.24 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $415.56 | $415.56 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $214,429 | $214,429 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $71.11 | $71.11 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $36,693 | $36,693 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $59,962 |
| 10 | Cost of independent audit | $2,500 | $7,363 |
| 11 | Funding for resident participation activities | $1,075 | $1,075 |
| 12 | Asset management fee | $2,064 | $2,064 |
| 13 | Information technology fee | $1,032 | $1,032 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$6,671** | **$71,496** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$257,793** | **$322,618** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $209.28 | $209.28 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $209.28 | $209.28 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$107,988** | **$107,988** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $4,045 | $4,045 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$4,045** | **$4,045** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $153,850 | $218,675 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,500 | $7,363 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$153,850** | **$218,675** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $218,675 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Alan Green
Executive Director
Housing Authority of the City of Hartford
180 Overlook Terrace
Hartford, CT 06106-3728

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00300003009D

Dear Mr. Green:

This letter obligates $197,133 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| | |
|---|---|
| **1. Name and Address of Public Housing Agency:** | **2. Funding Period:** 01/01/2009 to 12/31/2009 |
| Housing Authority of the City of Hartford<br>180 Overlook Terrace<br>Hartford, CT 06106 | **3. Type of Submission:**<br>☑ Original<br>☐ Revision No.____ |

| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
|---|---|---|
| NY385 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 3 0 0 0 0 3 0 |

| 7. DUNS Number: | HUD Use Only | |
|---|---|---|
| | 8. ROFO Code: | Financial Analyst: |
| 146663000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 0 | | 47 | | 0 | | 47 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 72 | 72 | 72 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 492 | 492 | 492 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 68 | 68 | 68 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT003000030**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **632** | **632** | **632** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 53 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $404.24 | $404.24 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $415.56 | $415.56 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $262,634 | $262,634 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $51.89 | $51.89 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $32,794 | $32,794 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $49,716 | $49,716 |
| 10 | Cost of independent audit | $0 | $0 |
| 11 | Funding for resident participation activities | $1,325 | $1,325 |
| 12 | Asset management fee | $2,528 | $2,528 |
| 13 | Information technology fee | $1,264 | $1,264 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$54,833** | **$54,833** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$350,261** | **$350,261** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $209.28 | $209.28 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $209.28 | $209.28 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$132,265** | **$132,265** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $4,955 | $4,955 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$4,955** | **$4,955** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $222,951 | $222,951 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $0 | $0 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$222,951** | **$222,951** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $222,951 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT004000001 | $ 1,215,864 | $ 1,075,067 | $ 802,470 | $ 272,597 | $ 272,597 | | $ - | |
| 2 | CT004000002 | $ 899,134 | $ 795,014 | $ 617,577 | $ 177,437 | $ 177,437 | | $ - | |
| 3 | CT004000003 | $ 720,116 | $ 636,727 | $ 475,277 | $ 161,450 | $ 161,450 | | $ - | |
| 4 | CT004000004 | $ 1,637,702 | $ 1,448,056 | $ 1,080,883 | $ 367,173 | $ 367,173 | | $ - | |
| 5 | CT004000005 | $ 1,138,554 | $ 1,006,709 | $ 751,446 | $ 255,263 | $ 255,263 | | $ - | |
| 6 | CT004000006 | $ 94,899 | $ 83,910 | $ 264,911 | $ (181,001) | $ - | | $ - | |
| 7 | CT004000007 | $ 141,797 | $ 125,377 | $ 526,615 | $ (401,238) | $ - | | $ - | |
| 8 | CT004000008 | $ 276,817 | $ 244,762 | $ 240,002 | $ 4,760 | $ 4,760 | | $ - | |
| 9 | CT004000009 | $ 418,189 | $ 369,763 | $ 281,037 | $ 88,726 | $ 88,726 | | $ - | |
| 10 | CT004000010 | $ 1,829,650 | $ 1,617,777 | $ 1,207,569 | $ 410,208 | $ 410,208 | | $ - | |
| 11 | CT004000011 | $ 869,067 | $ 768,429 | $ 573,584 | $ 194,845 | $ 194,845 | | $ - | |
| 12 | CT004000012 | $ 486,561 | $ 430,217 | $ 317,857 | $ 112,360 | $ 112,360 | | $ - | |
| 13 | CT004000013 | $ 595,952 | $ 526,941 | $ 393,328 | $ 133,613 | $ 133,613 | | $ - | |
| 14 | CT004000014 | $ 2,680,097 | $ 2,369,742 | $ 1,768,864 | $ 600,878 | $ 600,878 | | $ - | |
| 15 | CT004000015 | $ 1,127,313 | $ 996,770 | $ 744,027 | $ 252,743 | $ 252,743 | | $ - | |
| 16 | CT004000016 | $ 202,668 | $ 179,199 | $ 134,492 | $ 44,707 | $ 44,707 | | $ - | |
| 17 | CT004000017 | $ 168,653 | $ 149,123 | $ 129,855 | $ 19,268 | $ 19,268 | | $ - | |
| 18 | CT004000020 | $ 443,980 | $ 392,567 | $ 293,027 | $ 99,540 | $ 99,540 | | $ - | |
| 19 | CT004000021 | $ 1,331,625 | $ 1,177,423 | $ 878,873 | $ 298,550 | $ 298,550 | | $ - | |
| 20 | CT004000022 | $ 225,340 | $ 199,246 | $ 148,724 | $ 50,522 | $ 50,522 | | $ - | |
| 21 | CT004000023 | $ 406,361 | $ 359,304 | $ 268,198 | $ 91,106 | $ 43,471 | | $ - | |
| 22 | CT004000024 | $ 397,758 | $ 351,698 | $ 262,520 | $ 89,178 | $ - | | $ - | |
| 23 | CT004000025 | $ 15,900 | $ 14,059 | $ 10,494 | $ 3,565 | $ - | | $ - | |
| 24 | CT004000026 | $ 327,596 | $ 289,660 | $ 216,213 | $ 73,447 | $ - | | $ - | |
| 25 | CT004000027 | $ 37,569 | $ 33,219 | $ 24,796 | $ 8,423 | $ - | | $ - | |
| 26 | CT004000028 | $ 190,861 | $ 168,759 | $ 125,968 | $ 42,791 | $ - | | $ - | |
| 27 | CT004000029 | $ 161,418 | $ 142,726 | $ 106,536 | $ 36,190 | $ - | | $ - | |
| 28 | CT004000030 | $ 91,533 | $ 80,933 | $ 60,412 | $ 20,521 | $ - | | $ - | |
| 29 | CT004000031 | $ 107,566 | $ 95,110 | $ 70,994 | $ 24,116 | $ - | | $ - | |
| 30 | CT004000074 | $ 267,330 | $ 236,373 | $ - | $ 236,373 | $ - | | $ - | |
| | **Total** | **$ 18,507,870** | **$ 16,364,660** | **$ 12,776,549** | **$ 3,588,111** | **$ 3,588,111** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |

Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility

Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.

Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level

Column G: Amount deobligated during the year for the project. No action is required by the PHA

Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000109D

Dear Executive Director:

This letter obligates $272,597 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 151 | | 0 | | 0 | | 151 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,429 | 1,429 | 1,429 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 38 | 38 | |
| 06 | Special use units | 16 | 16 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 3 | 3 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 314 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 54 | |
| 15 | **Total Unit Months** | **1,812** | **1,552** | **1,441** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 120 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $486.28 | $486.28 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.90 | $499.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $775,845 | $775,845 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $370.10 | $370.10 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $574,395 | $574,395 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $899 | $899 |
| 11 | Funding for resident participation activities | $3,000 | $3,000 |
| 12 | Asset management fee | $7,248 | $7,248 |
| 13 | Information technology fee | $3,624 | $3,624 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$14,771** | **$14,771** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,365,011** | **$1,365,011** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $96.10 | $96.10 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $96.10 | $96.10 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$149,147** | **$149,147** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,215,864 | $1,215,864 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $899 | $899 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,215,864** | **$1,215,864** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,215,864 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000209D

Dear Executive Director:

This letter obligates $177,437 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 0 2

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 295 | | 0 | | 0 | | 295 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 0 | 0 | 0 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 3,540 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **3,540** | **0** | **0** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 0 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $451.66 | $451.66 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $464.31 | $464.31 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $0 | $0 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $0.00 | $0.00 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $0 | $0 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $0 | $0 |
| 11 | Funding for resident participation activities | $0 | $0 |
| 12 | Asset management fee | $14,160 | $14,160 |
| 13 | Information technology fee | $7,080 | $7,080 |
| 14 | Asset repositioning fee | $855,792 | $877,894 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$877,032** | **$899,134** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$877,032** | **$899,134** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $177.01 | $177.01 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $177.01 | $177.01 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$0** | **$0** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $877,032 | $899,134 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $0 | $0 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$877,032** | **$899,134** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $899,134 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000309D

Dear Executive Director:

This letter obligates $161,450 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 0 3

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 100 | | 0 | | 0 | | 100 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,086 | 1,086 | 1,086 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 10 | 10 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 92 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 36 | |
| 15 | **Total Unit Months** | **1,200** | **1,144** | **1,086** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 91 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $373.43 | $373.43 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $383.89 | $383.89 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $439,170 | $439,170 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $449.73 | $449.73 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $514,491 | $514,491 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $663 | $663 |
| 11 | Funding for resident participation activities | $2,275 | $2,275 |
| 12 | Asset management fee | $4,800 | $4,800 |
| 13 | Information technology fee | $2,400 | $2,400 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$10,138** | **$10,138** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$963,799** | **$963,799** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $213.01 | $213.01 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $213.01 | $213.01 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$243,683** | **$243,683** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $720,116 | $720,116 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $663 | $663 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$720,116** | **$720,116** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $720,116 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00400000409D

Dear Executive Director:

This letter obligates $367,173 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 0 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 201 | | 0 | | 0 | | 201 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,954 | 1,954 | 1,954 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 49 | 49 | |
| 06 | Special use units | 60 | 60 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 10 | 10 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 327 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000004**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 72 | |
| 15 | **Total Unit Months** | **2,412** | **2,157** | **1,966** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 164 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $416.03 | $416.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $427.68 | $427.68 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $922,506 | $922,506 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $449.66 | $449.66 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $969,917 | $969,917 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $1,250 | $1,250 |
| 11 | Funding for resident participation activities | $4,100 | $4,100 |
| 12 | Asset management fee | $9,648 | $9,648 |
| 13 | Information technology fee | $4,824 | $4,824 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$19,822** | **$19,822** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,912,245** | **$1,912,245** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $165.28 | $165.28 |
| 02 | PUM change in utility allowances | ($38.00) | ($38.00) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $127.28 | $127.28 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$274,543** | **$274,543** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,637,702 | $1,637,702 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,250 | $1,250 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,637,702** | **$1,637,702** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,637,702 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000509D

Dear Executive Director:

This letter obligates $255,263 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 0 5 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 92 | | 0 | | 0 | | 92 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,003 | 1,003 | 1,003 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 36 | 36 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 3 | 3 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 50 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000005**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 33 | |
| 15 | **Total Unit Months** | **1,104** | **1,087** | **1,015** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 85 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $486.28 | $486.28 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.90 | $499.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $543,391 | $543,391 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $816.90 | $816.90 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $887,970 | $887,970 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $630 | $630 |
| 11 | Funding for resident participation activities | $2,125 | $2,125 |
| 12 | Asset management fee | $4,416 | $4,416 |
| 13 | Information technology fee | $2,208 | $2,208 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$9,379** | **$9,379** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,440,740** | **$1,440,740** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $278.00 | $278.00 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $278.00 | $278.00 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$302,186** | **$302,186** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,138,554 | $1,138,554 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $630 | $630 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,138,554** | **$1,138,554** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,138,554 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000609D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 0 6

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 23 | | 0 | | 0 | | 23 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 192 | 192 | 192 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 72 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000006**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **276** | **204** | **192** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 16 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $376.29 | $376.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $386.83 | $386.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $78,913 | $78,913 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $101.54 | $101.54 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $20,714 | $20,714 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $116 | $116 |
| 11 | Funding for resident participation activities | $400 | $400 |
| 12 | Asset management fee | $1,104 | $1,104 |
| 13 | Information technology fee | $552 | $552 |
| 14 | Asset repositioning fee | $13,120 | $13,926 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$15,292** | **$16,098** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$114,919** | **$115,725** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $102.09 | $102.09 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $102.09 | $102.09 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$20,826** | **$20,826** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $94,093 | $94,899 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $116 | $116 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$94,093** | **$94,899** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $94,899 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000709D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 0 7

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 36 | | 0 | | 0 | | 36 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 251 | 251 | 251 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 18 | 18 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 43 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 120 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000007**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 13 | |
| 15 | **Total Unit Months** | **432** | **282** | **251** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 21 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $365.42 | $365.42 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $375.65 | $375.65 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $105,933 | $105,933 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $137.71 | $137.71 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $38,834 | $38,834 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $163 | $163 |
| 11 | Funding for resident participation activities | $525 | $525 |
| 12 | Asset management fee | $1,728 | $1,728 |
| 13 | Information technology fee | $864 | $864 |
| 14 | Asset repositioning fee | $21,867 | $22,539 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$25,147** | **$25,819** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$169,914** | **$170,586** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $102.09 | $102.09 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $102.09 | $102.09 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$28,789** | **$28,789** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $141,125 | $141,797 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $163 | $163 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$141,125** | **$141,797** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $141,797 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000809D

Dear Executive Director:

This letter obligates $4,760 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 0 8

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 60 | | 0 | | 0 | | 60 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 0 | 0 | 0 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 696 | 696 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 24 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000008**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **720** | **696** | **0** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 0 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $376.29 | $376.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $386.83 | $386.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $269,234 | $269,234 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $106.20 | $106.20 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $73,915 | $73,915 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $403 | $403 |
| 11 | Funding for resident participation activities | $0 | $0 |
| 12 | Asset management fee | $2,880 | $2,880 |
| 13 | Information technology fee | $1,440 | $1,440 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$4,723** | **$4,723** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$347,872** | **$347,872** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $102.09 | $102.09 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $102.09 | $102.09 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$71,055** | **$71,055** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $276,817 | $276,817 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $403 | $403 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$276,817** | **$276,817** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $276,817 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400000909D

Dear Executive Director:

This letter obligates $88,726 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 0 9 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 63 | | 0 | | 0 | | 63 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 490 | 490 | 490 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 38 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 216 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000009**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 23 | |
| 15 | **Total Unit Months** | **756** | **525** | **502** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 42 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $365.49 | $365.49 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $375.72 | $375.72 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $197,253 | $197,253 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $434.41 | $434.41 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $228,065 | $228,065 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $304 | $304 |
| 11 | Funding for resident participation activities | $1,050 | $1,050 |
| 12 | Asset management fee | $3,024 | $3,024 |
| 13 | Information technology fee | $1,512 | $1,512 |
| 14 | Asset repositioning fee | $39,360 | $40,578 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$45,250** | **$46,468** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$470,568** | **$471,786** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $102.09 | $102.09 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $102.09 | $102.09 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$53,597** | **$53,597** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $416,971 | $418,189 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $304 | $304 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$416,971** | **$418,189** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $418,189 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400001009D

Dear Executive Director:

This letter obligates $410,208 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 1 0

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 285 | | 0 | | 0 | | 285 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,847 | 1,847 | 1,847 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 1,272 | 1,272 | |
| 06 | Special use units | 24 | 24 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 217 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 48 | | |

**Operating Fund Project Number:**
**CT004000010**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 103 | |
| 15 | **Total Unit Months** | **3,420** | **3,258** | **1,859** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 155 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $376.29 | $376.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $386.83 | $386.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,260,292 | $1,260,292 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $315.23 | $315.23 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $1,027,019 | $1,027,019 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $1,887 | $1,887 |
| 11 | Funding for resident participation activities | $3,875 | $3,875 |
| 12 | Asset management fee | $13,680 | $13,680 |
| 13 | Information technology fee | $6,840 | $6,840 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$26,282** | **$26,282** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,313,593** | **$2,313,593** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $148.54 | $148.54 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $148.54 | $148.54 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$483,943** | **$483,943** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,829,650 | $1,829,650 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,887 | $1,887 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,829,650** | **$1,829,650** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,829,650 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400001109D

Dear Executive Director:

This letter obligates $194,845 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 1 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 150 | | 0 | | 0 | | 150 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,181 | 1,181 | 1,181 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 370 | 370 | |
| 06 | Special use units | 48 | 48 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 189 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000011**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 54 | |
| 15 | **Total Unit Months** | **1,800** | **1,665** | **1,193** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 99 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $348.35 | $348.35 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $358.10 | $358.10 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $596,237 | $596,237 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $358.63 | $358.63 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $597,119 | $597,119 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $964 | $964 |
| 11 | Funding for resident participation activities | $2,475 | $2,475 |
| 12 | Asset management fee | $7,200 | $7,200 |
| 13 | Information technology fee | $3,600 | $3,600 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$14,239** | **$14,239** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,207,595** | **$1,207,595** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $203.32 | $203.32 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $203.32 | $203.32 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$338,528** | **$338,528** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $869,067 | $869,067 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $964 | $964 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$869,067** | **$869,067** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $869,067 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400001209D

Dear Executive Director:

This letter obligates $112,360 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 1 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 65 | | 0 | | 0 | | 65 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 401 | 401 | 401 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 321 | 321 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 34 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000012**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 23 | |
| 15 | **Total Unit Months** | **780** | **769** | **413** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 34 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $358.75 | $358.75 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $368.80 | $368.80 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $283,607 | $283,607 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $450.88 | $457.33 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $346,727 | $351,687 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $452 | $452 |
| 11 | Funding for resident participation activities | $850 | $850 |
| 12 | Asset management fee | $3,120 | $3,120 |
| 13 | Information technology fee | $1,560 | $1,560 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$5,982** | **$5,982** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$636,316** | **$641,276** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $201.19 | $201.19 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $201.19 | $201.19 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$154,715** | **$154,715** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $481,601 | $486,561 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $452 | $452 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$481,601** | **$486,561** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $486,561 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400001309D

Dear Executive Director:

This letter obligates $133,613 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 1 3

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 110 | | 0 | | 0 | | 110 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,053 | 1,053 | 1,053 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 126 | 126 | |
| 06 | Special use units | 48 | 48 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 81 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000013**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 40 | |
| 15 | **Total Unit Months** | **1,320** | **1,279** | **1,065** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 89 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $390.18 | $390.18 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $401.11 | $401.11 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $513,020 | $513,020 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $257.52 | $257.52 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $329,368 | $329,368 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $741 | $741 |
| 11 | Funding for resident participation activities | $2,225 | $2,225 |
| 12 | Asset management fee | $5,280 | $5,280 |
| 13 | Information technology fee | $2,640 | $2,640 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$10,886** | **$10,886** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$853,274** | **$853,274** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $201.19 | $201.19 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $201.19 | $201.19 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$257,322** | **$257,322** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $595,952 | $595,952 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $741 | $741 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$595,952** | **$595,952** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $595,952 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00400001409D

Dear Executive Director:

This letter obligates $600,878 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 1 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 240 | | 0 | | 0 | | 240 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,481 | 2,481 | 2,481 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 26 | 26 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 373 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000014**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 86 | |
| 15 | **Total Unit Months** | **2,880** | **2,593** | **2,481** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 207 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $486.28 | $486.28 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.90 | $499.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,296,241 | $1,296,241 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $731.05 | $731.05 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $1,895,613 | $1,895,613 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $1,502 | $1,502 |
| 11 | Funding for resident participation activities | $5,175 | $5,175 |
| 12 | Asset management fee | $11,520 | $11,520 |
| 13 | Information technology fee | $5,760 | $5,760 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$23,957** | **$23,957** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$3,215,811** | **$3,215,811** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $206.60 | $206.60 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $206.60 | $206.60 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$535,714** | **$535,714** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $2,680,097 | $2,680,097 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,502 | $1,502 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$2,680,097** | **$2,680,097** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $2,680,097 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00400001509D

Dear Executive Director:

This letter obligates $252,743 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 1 5

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 214 | | 0 | | 0 | | 214 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,161 | 2,161 | 2,161 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 24 | | 24 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 126 | 126 | |
| 06 | Special use units | 48 | 48 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 24 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 209 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000015**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 77 | |
| 15 | **Total Unit Months** | **2,568** | **2,436** | **2,185** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 182 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $351.52 | $351.52 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $361.36 | $361.36 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $880,273 | $880,273 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $279.40 | $279.40 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $680,618 | $680,618 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $1,411 | $1,411 |
| 11 | Funding for resident participation activities | $4,550 | $4,550 |
| 12 | Asset management fee | $10,272 | $10,272 |
| 13 | Information technology fee | $5,136 | $5,136 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$21,369** | **$21,369** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,582,260** | **$1,582,260** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $186.76 | $186.76 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $186.76 | $186.76 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$454,947** | **$454,947** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,127,313 | $1,127,313 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,411 | $1,411 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,127,313** | **$1,127,313** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,127,313 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400001609D

Dear Executive Director:

This letter obligates $44,707 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 1 6

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 58 | | 0 | | 0 | | 58 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 681 | 681 | 681 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 14 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000016**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 14 | |
| 15 | **Total Unit Months** | **695** | **695** | **681** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 57 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $424.04 | $424.04 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $435.91 | $435.91 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $302,957 | $302,957 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $100.97 | $100.97 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $70,174 | $70,174 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $403 | $403 |
| 11 | Funding for resident participation activities | $1,425 | $1,425 |
| 12 | Asset management fee | $2,780 | $2,780 |
| 13 | Information technology fee | $1,390 | $1,390 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$5,998** | **$5,998** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$379,129** | **$379,129** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $253.90 | $253.90 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $253.90 | $253.90 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$176,461** | **$176,461** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $202,668 | $202,668 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $403 | $403 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$202,668** | **$202,668** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $202,668 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400001709D

Dear Executive Director:

This letter obligates $19,268 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 1 7 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 56 | | 0 | | 0 | | 56 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 285 | 285 | 285 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 386 | 386 | 386 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 1 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000017**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 1 | |
| 15 | **Total Unit Months** | **672** | **672** | **671** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 56 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $344.29 | $344.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $353.93 | $353.93 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $237,841 | $237,841 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $142.28 | $142.28 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $95,612 | $95,612 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $389 | $389 |
| 11 | Funding for resident participation activities | $1,400 | $1,400 |
| 12 | Asset management fee | $2,688 | $2,688 |
| 13 | Information technology fee | $1,344 | $1,344 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$5,821** | **$5,821** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$339,274** | **$339,274** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $253.90 | $253.90 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $253.90 | $253.90 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$170,621** | **$170,621** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $168,653 | $168,653 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $389 | $389 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$168,653** | **$168,653** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $168,653 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002009D

Dear Executive Director:

This letter obligates $99,540 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 2 0

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 35 | | 0 | | 0 | | 35 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 335 | 335 | 335 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 39 | 39 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 22 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000020**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 13 | |
| 15 | **Total Unit Months** | **420** | **411** | **347** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 29 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $486.28 | $486.28 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.90 | $499.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $205,459 | $205,459 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $610.49 | $610.49 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $250,911 | $250,911 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $238 | $238 |
| 11 | Funding for resident participation activities | $725 | $725 |
| 12 | Asset management fee | $1,680 | $1,680 |
| 13 | Information technology fee | $840 | $840 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$3,483** | **$3,483** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$459,853** | **$459,853** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $38.62 | $38.62 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $38.62 | $38.62 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$15,873** | **$15,873** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $443,980 | $443,980 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $238 | $238 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$443,980** | **$443,980** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $443,980 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00400002109D

Dear Executive Director:

This letter obligates $298,550 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 2 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 115 | | 0 | | 0 | | 115 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,173 | 1,173 | 1,173 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 8 | | 8 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 19 | 19 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 8 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 180 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000021**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 41 | |
| 15 | **Total Unit Months** | **1,380** | **1,241** | **1,181** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 98 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $473.24 | $473.24 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $486.49 | $486.49 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $603,734 | $603,734 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $615.93 | $615.93 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $764,369 | $764,369 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $719 | $719 |
| 11 | Funding for resident participation activities | $2,450 | $2,450 |
| 12 | Asset management fee | $5,520 | $5,520 |
| 13 | Information technology fee | $2,760 | $2,760 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$11,449** | **$11,449** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,379,552** | **$1,379,552** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $38.62 | $38.62 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $38.62 | $38.62 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$47,927** | **$47,927** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,331,625 | $1,331,625 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $719 | $719 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,331,625** | **$1,331,625** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,331,625 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002209D

Dear Executive Director:

This letter obligates $50,522 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 2 2

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 17 | | 0 | | 0 | | 17 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 157 | 157 | 157 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 23 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 24 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000022**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 6 | |
| 15 | **Total Unit Months** | **204** | **163** | **157** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 13 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $486.28 | $486.28 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.90 | $499.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $81,484 | $81,484 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $911.09 | $911.09 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $148,508 | $148,508 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $94 | $94 |
| 11 | Funding for resident participation activities | $325 | $325 |
| 12 | Asset management fee | $816 | $816 |
| 13 | Information technology fee | $408 | $408 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$1,643** | **$1,643** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$231,635** | **$231,635** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $38.62 | $38.62 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $38.62 | $38.62 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$6,295** | **$6,295** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $225,340 | $225,340 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $94 | $94 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$225,340** | **$225,340** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $225,340 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002309D

Dear Executive Director:

This letter obligates $43,471 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 2 3

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 44 | | 0 | | 0 | | 44 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 443 | 443 | 443 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 20 | 20 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 65 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000023**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 16 | |
| 15 | **Total Unit Months** | **528** | **479** | **443** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 37 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $486.28 | $486.28 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $499.90 | $499.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $239,452 | $239,452 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $377.95 | $377.95 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $181,038 | $181,038 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $277 | $277 |
| 11 | Funding for resident participation activities | $925 | $925 |
| 12 | Asset management fee | $2,112 | $2,112 |
| 13 | Information technology fee | $1,056 | $1,056 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$4,370** | **$4,370** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$424,860** | **$424,860** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $38.62 | $38.62 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $38.62 | $38.62 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$18,499** | **$18,499** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $406,361 | $406,361 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $277 | $277 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$406,361** | **$406,361** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $406,361 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002409D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 2 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 95 | | 0 | | 0 | | 95 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 969 | 969 | 969 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 171 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000024**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 34 | |
| 15 | **Total Unit Months** | **1,140** | **1,003** | **969** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 81 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $373.50 | $373.50 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $383.96 | $383.96 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $385,112 | $385,112 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $226.07 | $226.07 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $226,748 | $226,748 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $9,879 | $9,879 |
| 11 | Funding for resident participation activities | $2,025 | $2,025 |
| 12 | Asset management fee | $4,560 | $4,560 |
| 13 | Information technology fee | $2,280 | $2,280 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$18,744** | **$18,744** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$630,604** | **$630,604** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$232,846** | **$232,846** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $397,758 | $397,758 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $9,879 | $9,879 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$397,758** | **$397,758** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $397,758 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002509D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 2 5

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 4 | | 0 | | 0 | | 4 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 48 | 48 | 48 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000025**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **48** | **48** | **48** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 4 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $376.29 | $376.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $386.83 | $386.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $18,568 | $18,568 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $158.63 | $158.63 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $7,614 | $7,614 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $473 | $473 |
| 11 | Funding for resident participation activities | $100 | $100 |
| 12 | Asset management fee | $192 | $192 |
| 13 | Information technology fee | $96 | $96 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$861** | **$861** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$27,043** | **$27,043** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$11,143** | **$11,143** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $15,900 | $15,900 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $473 | $473 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$15,900** | **$15,900** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $15,900 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002609D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 2 6 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 42 | | 0 | | 0 | | 42 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 457 | 457 | 457 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 47 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000026**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 15 | |
| 15 | **Total Unit Months** | **504** | **472** | **457** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 38 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $409.94 | $409.94 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $421.42 | $421.42 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $198,910 | $198,910 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $444.85 | $444.85 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $209,969 | $209,969 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $19,671 | $19,671 |
| 10 | Cost of independent audit | $4,647 | $4,647 |
| 11 | Funding for resident participation activities | $950 | $950 |
| 12 | Asset management fee | $2,016 | $2,016 |
| 13 | Information technology fee | $1,008 | $1,008 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$28,292** | **$28,292** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$437,171** | **$437,171** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$109,575** | **$109,575** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $327,596 | $327,596 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,647 | $4,647 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$327,596** | **$327,596** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $327,596 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002709D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 2 7

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 7 | | 0 | | 0 | | 7 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 84 | 84 | 84 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000027**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **84** | **84** | **84** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 7 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $391.00 | $391.00 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $401.95 | $401.95 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $33,764 | $33,764 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $220.50 | $220.50 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $18,522 | $18,522 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $3,278 | $3,278 |
| 10 | Cost of independent audit | $827 | $827 |
| 11 | Funding for resident participation activities | $175 | $175 |
| 12 | Asset management fee | $336 | $336 |
| 13 | Information technology fee | $168 | $168 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$4,784** | **$4,784** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$57,070** | **$57,070** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$19,501** | **$19,501** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $37,569 | $37,569 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $827 | $827 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$37,569** | **$37,569** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $37,569 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002809D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 2 8 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 45 | | 0 | | 0 | | 45 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 501 | 501 | 501 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 39 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000028**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 16 | |
| 15 | **Total Unit Months** | **540** | **517** | **501** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 42 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $338.87 | $338.87 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $348.36 | $348.36 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $180,102 | $180,102 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $194.05 | $194.05 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $100,324 | $100,324 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $21,076 | $21,076 |
| 10 | Cost of independent audit | $5,091 | $5,091 |
| 11 | Funding for resident participation activities | $1,050 | $1,050 |
| 12 | Asset management fee | $2,160 | $2,160 |
| 13 | Information technology fee | $1,080 | $1,080 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$30,457** | **$30,457** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$310,883** | **$310,883** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$120,022** | **$120,022** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $190,861 | $190,861 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $5,091 | $5,091 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$190,861** | **$190,861** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $190,861 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400002909D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 2 9

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 42 | | 0 | | 0 | | 42 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 485 | 485 | 485 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 19 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000029**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 15 | |
| 15 | **Total Unit Months** | **504** | **500** | **485** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 40 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $432.32 | $432.32 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $444.42 | $444.42 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $222,210 | $222,210 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $53.33 | $53.33 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $26,665 | $26,665 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $19,671 | $19,671 |
| 10 | Cost of independent audit | $4,923 | $4,923 |
| 11 | Funding for resident participation activities | $1,000 | $1,000 |
| 12 | Asset management fee | $2,016 | $2,016 |
| 13 | Information technology fee | $1,008 | $1,008 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$28,618** | **$28,618** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$277,493** | **$277,493** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$116,075** | **$116,075** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $161,418 | $161,418 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,923 | $4,923 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$161,418** | **$161,418** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $161,418 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400003009D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 3 0 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 17 | | 0 | | 0 | | 17 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 202 | 202 | 202 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 2 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000030**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 2 | |
| 15 | **Total Unit Months** | **204** | **204** | **202** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 17 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $387.50 | $387.50 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $398.35 | $398.35 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $81,263 | $81,263 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $225.54 | $225.54 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $46,010 | $46,010 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $7,962 | $7,962 |
| 10 | Cost of independent audit | $2,008 | $2,008 |
| 11 | Funding for resident participation activities | $425 | $425 |
| 12 | Asset management fee | $816 | $816 |
| 13 | Information technology fee | $408 | $408 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$11,619** | **$11,619** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$138,892** | **$138,892** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$47,359** | **$47,359** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $91,533 | $91,533 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,008 | $2,008 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$91,533** | **$91,533** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $91,533 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400003109D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Haven<br>PO Box 1912<br>New Haven, CT 06509 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY414 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 0 4 0 0 0 0 3 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 039623500 | 0126 | Howard G Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 28 | | 0 | | 0 | | 28 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 298 | 298 | 298 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 38 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000031**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 10 | |
| 15 | **Total Unit Months** | **336** | **308** | **298** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 25 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $408.45 | $408.45 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $419.89 | $419.89 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $129,326 | $129,326 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $100.50 | $100.50 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $30,954 | $30,954 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $13,114 | $13,114 |
| 10 | Cost of independent audit | $3,033 | $3,033 |
| 11 | Funding for resident participation activities | $625 | $625 |
| 12 | Asset management fee | $1,344 | $1,344 |
| 13 | Information technology fee | $672 | $672 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$18,788** | **$18,788** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$179,068** | **$179,068** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.15 | $232.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.15 | $232.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$71,502** | **$71,502** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $107,566 | $107,566 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,033 | $3,033 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$107,566** | **$107,566** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $107,566 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509-1912

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00400007409D

Dear Executive Director:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of New Haven
PO Box 1912
New Haven, CT 06509

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY414

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 0 4 0 0 0 0 7 4

**7. DUNS Number:** 039623500

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G. Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 0 | | 0 | | 0 | | 0 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 0 | 0 | 0 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 539 | 539 | 539 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 28 | 28 | 28 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT004000074**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **567** | **567** | **567** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 47 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $376.29 | $376.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $386.83 | $386.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $222,814 | $219,333 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $280.75 | $254.99 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $161,712 | $144,579 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $12,500 | $13,364 |
| 10 | Cost of independent audit | $369 | $363 |
| 11 | Funding for resident participation activities | $1,200 | $1,175 |
| 12 | Asset management fee | $1,152 | $2,268 |
| 13 | Information technology fee | $1,152 | $1,134 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$16,373** | **$18,304** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$400,899** | **$382,216** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $222.33 | $202.62 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $222.33 | $202.62 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$128,062** | **$114,886** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $272,837 | $267,330 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $369 | $363 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$272,837** | **$267,330** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $267,330 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT005000001 | $ 1,417,718 | $ 1,253,546 | $ 935,694 | $ 317,852 | $ 317,852 | | $ - | |
| 2 | CT005000002 | $ 841,532 | $ 744,083 | $ 555,411 | $ 188,672 | $ 167,560 | | $ - | |
| 3 | CT005000003 | $ - | $ - | $ 50,771 | $ (50,771) | $ - | | $ - | |
| 4 | CT005000049 | $ - | $ - | $ 138,978 | $ (138,978) | $ - | | $ - | |
| 5 | CT005000057 | $ - | $ - | $ 251,838 | $ (251,838) | $ - | | $ - | |
| 6 | CT005000101 | $ 1,875,444 | $ 1,658,268 | $ 1,237,793 | $ 420,475 | $ - | | $ - | |
| | **Total** | **$ 4,134,694** | **$ 3,655,897** | **$ 3,170,485** | **$ 485,412** | **$ 485,412** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Mary E. Royce
Executive Director
Housing Authority of the City of New Britain
16 Armistice Street
New Britain, CT 06053

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00500000109D

Dear Ms. Royce:

This letter obligates $317,852 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Britain<br>16 Armistice Street<br>New Britain, CT 06053 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-341 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 5 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 144928678 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 188 | | 0 | | 0 | | 188 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,233 | 2,233 | 2,233 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 23 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT005000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 23 | |
| 15 | **Total Unit Months** | **2,256** | **2,256** | **2,233** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 186 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $424.49 | $424.49 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $436.38 | $436.38 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $984,473 | $984,473 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $303.32 | $348.28 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $684,290 | $785,720 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $54,790 | $58,063 |
| 09 | Payment in lieu of taxes (PILOT) | $8,231 | $8,231 |
| 10 | Cost of independent audit | $1,657 | $1,657 |
| 11 | Funding for resident participation activities | $4,650 | $4,650 |
| 12 | Asset management fee | $9,024 | $9,024 |
| 13 | Information technology fee | $4,512 | $4,512 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$82,864** | **$86,137** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,751,627** | **$1,856,330** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $194.42 | $194.42 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $194.42 | $194.42 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$438,612** | **$438,612** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,313,015 | $1,417,718 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,657 | $1,657 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,313,015** | **$1,417,718** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,417,718 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Mary E. Royce
Executive Director
Housing Authority of the City of New Britain
16 Armistice Street
New Britain, CT 06053

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00500000209D

Dear Ms. Royce:

This letter obligates $167,560 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Britain<br>16 Armistice Street<br>New Britain, CT 06053 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-341 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 5 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 144928678 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 160 | | 0 | | 0 | | 160 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,883 | 1,883 | 1,883 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 37 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT005000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 37 | |
| 15 | **Total Unit Months** | **1,920** | **1,920** | **1,883** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 157 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $394.01 | $394.01 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $405.04 | $405.04 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $777,677 | $777,677 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $178.90 | $193.00 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $343,488 | $370,560 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $46,634 | $49,420 |
| 09 | Payment in lieu of taxes (PILOT) | $7,006 | $7,006 |
| 10 | Cost of independent audit | $1,411 | $1,411 |
| 11 | Funding for resident participation activities | $3,925 | $3,925 |
| 12 | Asset management fee | $7,680 | $7,680 |
| 13 | Information technology fee | $3,840 | $3,840 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$70,496** | **$73,282** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,191,661** | **$1,221,519** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $197.91 | $197.91 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $197.91 | $197.91 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$379,987** | **$379,987** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $811,674 | $841,532 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,411 | $1,411 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$811,674** | **$841,532** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $841,532 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Mary E. Royce
Executive Director
Housing Authority of the City of New Britain
16 Armistice Street
New Britain, CT 06053

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00500010109D

Dear Ms. Royce:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of New Britain<br>16 Armistice Street<br>New Britain, CT 06053 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-341 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 5 0 0 0 1 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 144928678 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 456 | | 0 | | 0 | | 456 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 5,431 | 5,431 | 5,431 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 41 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT005000101**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 41 | |
| 15 | **Total Unit Months** | **5,472** | **5,472** | **5,431** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 453 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $303.56 | $303.56 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $312.06 | $312.06 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,707,592 | $1,707,592 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $178.13 | $182.36 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $974,727 | $997,874 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $43,771 | $43,771 |
| 08 | Energy loan amortization | $132,920 | $140,861 |
| 09 | Payment in lieu of taxes (PILOT) | $19,968 | $19,968 |
| 10 | Cost of independent audit | $4,020 | $4,020 |
| 11 | Funding for resident participation activities | $11,325 | $11,325 |
| 12 | Asset management fee | $21,888 | $21,888 |
| 13 | Information technology fee | $10,944 | $10,944 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$244,836** | **$252,777** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,927,155** | **$2,958,243** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $197.55 | $197.88 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $197.55 | $197.88 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$1,080,994** | **$1,082,799** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,846,161 | $1,875,444 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,020 | $4,020 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,846,161** | **$1,875,444** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,875,444 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT006000001 | $ 1,852,071 | $ 1,637,601 | $ 1,121,124 | $ 516,477 | $ 516,477 | | $ - | |
| 2 | CT006000002 | $ 1,179,491 | $ 1,042,906 | $ 778,464 | $ 264,442 | $ 264,442 | | $ - | |
| 3 | CT006000003 | $ 1,364,190 | $ 1,206,217 | $ 900,365 | $ 305,852 | $ 305,852 | | $ - | |
| | **Total** | **$ 4,395,752** | **$ 3,886,724** | **$ 2,799,953** | **$ 1,086,771** | **$ 1,086,771** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Ron Dubuque
Executive Director
Waterbury Housing Authority
2 Lakewood Road
Waterbury, CT 06704-2477

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00600000109D

Dear Mr. Dubuque:

This letter obligates $516,477 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Waterbury Housing Authority<br>2 Lakewood Road<br>Waterbury, CT 06704 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| B-92 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 0 6 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 137781000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 300 | | 0 | | 0 | | 300 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,989 | 2,989 | 2,989 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 552 | 552 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 12 | 12 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 47 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT006000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 47 | |
| 15 | **Total Unit Months** | **3,600** | **3,600** | **2,989** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 249 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $468.26 | $468.26 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $481.37 | $481.37 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,496,579 | $1,732,932 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $223.11 | $192.68 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $693,649 | $693,648 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $3,664 | $3,664 |
| 10 | Cost of independent audit | $2,222 | $2,222 |
| 11 | Funding for resident participation activities | $6,225 | $6,225 |
| 12 | Asset management fee | $14,400 | $14,400 |
| 13 | Information technology fee | $7,200 | $7,200 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$33,711** | **$33,711** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,223,939** | **$2,460,291** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $168.95 | $168.95 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $168.95 | $168.95 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$525,266** | **$608,220** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,698,673 | $1,852,071 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,222 | $2,222 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,698,673** | **$1,852,071** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,852,071 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Ron Dubuque
Executive Director
Waterbury Housing Authority
2 Lakewood Road
Waterbury, CT 06704-2477

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00600000209D

Dear Mr. Dubuque:

This letter obligates $264,442 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| | |
|---|---|
| **1. Name and Address of Public Housing Agency:** | **2. Funding Period:** 01/01/2009 to 12/31/2009 |
| Waterbury Housing Authority<br>2 Lakewood Road<br>Waterbury, CT 06704 | **3. Type of Submission:**<br>☑ Original<br>☐ Revision No.____ |

| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
|---|---|---|
| B-92 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 0 6 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 137781000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 161 | | 0 | | 0 | | 161 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,812 | 1,812 | 1,812 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 24 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 96 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 24 | | |

**Operating Fund Project Number:**
**CT006000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 58 | |
| 15 | **Total Unit Months** | **1,932** | **1,870** | **1,836** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 153 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $485.12 | $485.12 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $498.70 | $498.70 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $944,538 | $932,569 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $347.96 | $352.43 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $659,036 | $659,044 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $1,966 | $1,966 |
| 10 | Cost of independent audit | $1,193 | $1,193 |
| 11 | Funding for resident participation activities | $3,825 | $3,825 |
| 12 | Asset management fee | $7,728 | $7,728 |
| 13 | Information technology fee | $3,864 | $3,864 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$18,576** | **$18,576** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,622,150** | **$1,610,189** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $230.32 | $230.32 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $230.32 | $230.32 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$436,226** | **$430,698** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,185,924 | $1,179,491 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,193 | $1,193 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,185,924** | **$1,179,491** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,179,491 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Ron Dubuque
Executive Director
Waterbury Housing Authority
2 Lakewood Road
Waterbury, CT 06704-2477

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00600000309D

Dear Mr. Dubuque:

This letter obligates $305,852 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Waterbury Housing Authority<br>2 Lakewood Road<br>Waterbury, CT 06704 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| B-92 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 0 6 0 0 0 0 0 3 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 137781000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 246 | | 0 | | 0 | | 246 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,748 | 2,748 | 2,748 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 40 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 84 | 84 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 80 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 40 | | |

**Operating Fund Project Number:**
**CT006000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 80 | |
| 15 | **Total Unit Months** | **2,952** | **2,912** | **2,788** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 232 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $455.30 | $455.30 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $468.05 | $468.05 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,381,684 | $1,362,962 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $220.42 | $223.45 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $650,680 | $650,686 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $3,005 | $3,005 |
| 10 | Cost of independent audit | $1,822 | $1,822 |
| 11 | Funding for resident participation activities | $5,800 | $5,800 |
| 12 | Asset management fee | $11,808 | $11,808 |
| 13 | Information technology fee | $5,904 | $5,904 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$28,339** | **$28,339** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,060,703** | **$2,041,987** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $232.76 | $232.76 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $232.76 | $232.76 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$687,108** | **$677,797** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,373,595 | $1,364,190 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,822 | $1,822 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,373,595** | **$1,364,190** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,364,190 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT007000001 | $ 351,872 | $ 311,125 | $ 232,236 | $ 78,889 | $ 78,889 | | $ - | |
| 2 | CT007000002 | $ 1,361,701 | $ 1,204,016 | $ 898,723 | $ 305,293 | $ 305,293 | | $ - | |
| 3 | CT007000003 | $ 354,862 | $ 313,769 | $ 234,209 | $ 79,560 | $ 79,560 | | $ - | |
| 4 | CT007000004 | $ 824,878 | $ 729,357 | $ 544,419 | $ 184,938 | $ 184,938 | | $ - | |
| 5 | CT007000006 | $ 26,695 | $ 23,604 | $ 17,619 | $ 5,985 | $ 5,985 | | $ - | |
| 6 | CT007000007 | $ 16,044 | $ 14,186 | $ 10,589 | $ 3,597 | $ 3,597 | | $ - | |
| | **Total** | **$ 2,936,052** | **$ 2,596,057** | **$ 1,937,795** | **$ 658,262** | **$ 658,262** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Richard D. Fox
Executive Director
Stamford Housing Authority
22 Clinton Avenue
Stamford, CT 06901

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00700000109D

Dear Mr. Fox:

This letter obligates $78,889 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Stamford Housing Authority
PO Box 1376
Stamford, CT 06904

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY-378

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 0 7 0 0 0 0 0 1

**7. DUNS Number:** 086642915

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 146 | | 0 | | 0 | | 146 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 0 | 0 | 0 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 1,752 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT007000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **1,752** | **0** | **0** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 0 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $379.07 | $379.07 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $389.68 | $389.68 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $0 | $0 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $0.00 | $0.00 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $0 | $0 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $0 | $0 |
| 11 | Funding for resident participation activities | $0 | $0 |
| 12 | Asset management fee | $7,008 | $7,008 |
| 13 | Information technology fee | $3,504 | $3,504 |
| 14 | Asset repositioning fee | $341,360 | $341,360 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$351,872** | **$351,872** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$351,872** | **$351,872** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $247.98 | $247.98 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $247.98 | $247.98 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$0** | **$0** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $351,872 | $351,872 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $0 | $0 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$351,872** | **$351,872** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $351,872 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Richard D. Fox
Executive Director
Stamford Housing Authority
22 Clinton Avenue
Stamford, CT 06901

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00700000209D

Dear Mr. Fox:

This letter obligates $305,293 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Stamford Housing Authority
PO Box 1376
Stamford, CT 06904

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY-378

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 0 7 0 0 0 0 0 2

**7. DUNS Number:** 086642915

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 363 | | 0 | | 0 | | 363 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 4,114 | 4,114 | 4,114 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 242 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT007000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 131 | |
| 15 | **Total Unit Months** | **4,356** | **4,245** | **4,114** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 343 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $354.84 | $354.84 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $364.78 | $364.78 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,548,491 | $1,548,491 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $178.84 | $178.84 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $759,176 | $759,176 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $24,068 | $24,068 |
| 10 | Cost of independent audit | $8,324 | $8,324 |
| 11 | Funding for resident participation activities | $8,575 | $8,575 |
| 12 | Asset management fee | $17,424 | $17,424 |
| 13 | Information technology fee | $8,712 | $8,712 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$67,103** | **$67,103** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$2,374,770** | **$2,374,770** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $238.65 | $238.65 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $238.65 | $238.65 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$1,013,069** | **$1,013,069** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,361,701 | $1,361,701 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $8,324 | $8,324 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,361,701** | **$1,361,701** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,361,701 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Richard D. Fox
Executive Director
Stamford Housing Authority
22 Clinton Avenue
Stamford, CT 06901

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00700000309D

Dear Mr. Fox:

This letter obligates $79,560 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Stamford Housing Authority<br>PO Box 1376<br>Stamford, CT 06904 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-378 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 0 7 0 0 0 0 0 3 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 086642915 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 86 | | 0 | | 0 | | 86 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,014 | 1,014 | 1,014 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 18 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT007000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 18 | |
| 15 | **Total Unit Months** | **1,032** | **1,032** | **1,014** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 85 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $453.97 | $453.97 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $466.68 | $466.68 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $481,614 | $481,614 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $290.82 | $290.82 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $300,126 | $300,126 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $5,851 | $5,851 |
| 10 | Cost of independent audit | $2,023 | $2,023 |
| 11 | Funding for resident participation activities | $2,125 | $2,125 |
| 12 | Asset management fee | $4,128 | $4,128 |
| 13 | Information technology fee | $2,064 | $2,064 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$16,191** | **$16,191** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$797,931** | **$797,931** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $429.33 | $429.33 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $429.33 | $429.33 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$443,069** | **$443,069** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $354,862 | $354,862 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,023 | $2,023 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$354,862** | **$354,862** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $354,862 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Richard D. Fox
Executive Director
Stamford Housing Authority
22 Clinton Avenue
Stamford, CT 06901

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00700000409D

Dear Mr. Fox:

This letter obligates $184,938 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Stamford Housing Authority<br>PO Box 1376<br>Stamford, CT 06904 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-378 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 0 7 0 0 0 0 0 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 086642915 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 160 | | 0 | | 0 | | 160 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,920 | 1,920 | 1,920 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT007000004**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **1,920** | **1,920** | **1,920** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 160 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $431.19 | $431.19 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $443.26 | $443.26 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $851,059 | $851,059 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $90.03 | $90.03 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $172,858 | $172,858 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $10,886 | $10,886 |
| 10 | Cost of independent audit | $3,765 | $3,765 |
| 11 | Funding for resident participation activities | $4,000 | $4,000 |
| 12 | Asset management fee | $7,680 | $7,680 |
| 13 | Information technology fee | $3,840 | $3,840 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$30,171** | **$30,171** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,054,088** | **$1,054,088** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $253.50 | $253.50 |
| 02 | PUM change in utility allowances | ($134.12) | ($134.12) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $119.38 | $119.38 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$229,210** | **$229,210** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $824,878 | $824,878 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,765 | $3,765 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$824,878** | **$824,878** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $824,878 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Richard D. Fox
Executive Director
Stamford Housing Authority
22 Clinton Avenue
Stamford, CT 06901

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00700000609D

Dear Mr. Fox:

This letter obligates $5,985 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

### Section 1

**1. Name and Address of Public Housing Agency:**

Stamford Housing Authority
PO Box 1376
Stamford, CT 06904

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY-378

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 0 7 0 0 0 0 0 6

**7. DUNS Number:** 086642915

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

### Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 0 | | 6 | | 0 | | 6 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 36 | 36 | 36 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 36 | 36 | 36 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT007000006**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **72** | **72** | **72** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 6 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $324.61 | $324.61 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $333.70 | $333.70 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $24,026 | $24,026 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $78.19 | $78.19 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $5,630 | $5,630 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $408 | $408 |
| 10 | Cost of independent audit | $141 | $141 |
| 11 | Funding for resident participation activities | $150 | $150 |
| 12 | Asset management fee | $288 | $288 |
| 13 | Information technology fee | $144 | $144 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$1,131** | **$1,131** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$30,787** | **$30,787** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $56.83 | $56.83 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $56.83 | $56.83 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$4,092** | **$4,092** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $26,695 | $26,695 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $141 | $141 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$26,695** | **$26,695** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $26,695 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Richard D. Fox
Executive Director
Stamford Housing Authority
22 Clinton Avenue
Stamford, CT 06901

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT00700000709D

Dear Mr. Fox:

This letter obligates $3,597 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Stamford Housing Authority<br>PO Box 1376<br>Stamford, CT 06904 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-378 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 0 7 0 0 0 0 0 7 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 086642915 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 0 | | 0 | | 0 | | 0 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 0 | 0 | 0 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 120 | 120 | 120 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT007000007**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **120** | **120** | **120** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 10 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $324.61 | $324.61 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $333.70 | $333.70 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $40,044 | $40,044 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $78.19 | $78.19 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $9,383 | $9,383 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $680 | $680 |
| 10 | Cost of independent audit | $235 | $235 |
| 11 | Funding for resident participation activities | $250 | $250 |
| 12 | Asset management fee | $480 | $480 |
| 13 | Information technology fee | $240 | $240 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$1,885** | **$1,885** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$51,312** | **$51,312** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $293.90 | $293.90 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $293.90 | $293.90 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$35,268** | **$35,268** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $16,044 | $16,044 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $235 | $235 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$16,044** | **$16,044** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $16,044 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT009000001 | $ 1,118,513 | $ 988,989 | $ 738,219 | $ 250,770 | $ 250,770 | | $ - | |
| | **Total** | **$ 1,118,513** | **$ 988,989** | **$ 738,219** | **$ 250,770** | **$ 250,770** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. William Vasiliou
Executive Director
Middletown Housing Authority
40 40 Broad St Street
Middletown, CT 06457-3249

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT00900000109D

Dear Mr. Vasiliou:

This letter obligates $250,770 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Middletown Housing Authority<br>40 Broad Street<br>Middletown, CT 06457 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY582 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 0 9 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 095535084 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 247 | | 0 | | 0 | | 247 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,898 | 2,898 | 2,898 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 66 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT009000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 66 | |
| 15 | **Total Unit Months** | **2,964** | **2,964** | **2,898** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 242 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $367.06 | $367.06 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $377.34 | $377.34 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,118,436 | $1,118,436 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $250.71 | $250.71 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $743,104 | $743,104 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $20,756 | $20,756 |
| 10 | Cost of independent audit | $4,660 | $4,660 |
| 11 | Funding for resident participation activities | $6,050 | $6,050 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $5,928 | $5,928 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$37,394** | **$37,394** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,898,934** | **$1,898,934** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $263.30 | $263.30 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $263.30 | $263.30 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$780,421** | **$780,421** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,118,513 | $1,118,513 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,660 | $4,660 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,118,513** | **$1,118,513** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,118,513 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT010000125 | $ 951,048 | $ 840,917 | $ 627,692 | $ 213,225 | $ 213,225 | | $ - | |
| | **Total** | **$ 951,048** | **$ 840,917** | **$ 627,692** | **$ 213,225** | **$ 213,225** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Michael J. Westerfield
Executive Director
Willimantic Housing Authority
49 West Avenue
Willimantic, CT 06226-1918

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT01000012509D

Dear Mr. Westerfield:

This letter obligates $213,225 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Willimantic Housing Authority<br>49 West Avenue<br>Willimantic, CT 06226 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-460 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 1 0 0 0 0 1 2 5 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 095535563 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 250 | | 0 | | 0 | | 250 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,866 | 2,866 | 2,866 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 134 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT010000125**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 90 | |
| 15 | **Total Unit Months** | **3,000** | **2,956** | **2,866** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 239 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $345.79 | $345.79 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $355.47 | $355.47 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,018,777 | $1,050,769 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $215.41 | $208.85 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $617,365 | $617,361 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $30,175 | $30,696 |
| 10 | Cost of independent audit | $3,900 | $3,900 |
| 11 | Funding for resident participation activities | $5,975 | $5,975 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $6,000 | $6,000 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$46,050** | **$46,571** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,682,192** | **$1,714,701** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $258.34 | $258.34 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $258.34 | $258.34 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$740,402** | **$763,653** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $941,790 | $951,048 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,900 | $3,900 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$941,790** | **$951,048** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $951,048 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT011125001 | $ 1,077,605 | $ 952,818 | $ 711,219 | $ 241,599 | $ 241,599 | | $ - | |
| 2 | CT011125002 | $ 1,102,545 | $ 974,870 | $ 727,680 | $ 247,190 | $ 238,302 | | $ - | |
| 3 | CT011125005 | $ 64,358 | $ 56,905 | $ 65,793 | $ (8,888) | $ - | | $ - | |
| | **Total** | **$ 2,244,508** | **$ 1,984,593** | **$ 1,504,692** | **$ 479,901** | **$ 479,901** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Andre P. Trudelle
Executive Director
Housing Authority of the City of Meriden
22 Church Street
PO Box 911
Meriden, CT 06451-3209

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT01112500109D

Dear Mr. Trudelle:

This letter obligates $241,599 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Meriden<br>22 Church Street<br>Meriden, CT 06451 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-314 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 1 1 1 2 5 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 081306466 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 140 | | 0 | | 0 | | 140 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,627 | 1,627 | 1,627 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 41 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 12 | | |

**Operating Fund Project Number:**
**CT011125001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 41 | |
| 15 | **Total Unit Months** | **1,680** | **1,668** | **1,627** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 136 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $465.33 | $465.33 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $478.36 | $478.36 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $797,904 | $797,904 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $314.20 | $314.20 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $524,086 | $524,086 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $1,893 | $1,893 |
| 11 | Funding for resident participation activities | $3,400 | $3,400 |
| 12 | Asset management fee | $6,720 | $6,720 |
| 13 | Information technology fee | $3,360 | $3,360 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$15,373** | **$15,373** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,337,363** | **$1,337,363** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $155.73 | $155.73 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $155.73 | $155.73 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$259,758** | **$259,758** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,077,605 | $1,077,605 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,893 | $1,893 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,077,605** | **$1,077,605** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,077,605 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Andre P. Trudelle
Executive Director
Housing Authority of the City of Meriden
22 Church Street
PO Box 911
Meriden, CT 06451-3209

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT01112500209D

Dear Mr. Trudelle:

This letter obligates $238,302 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Meriden<br>22 Church Street<br>Meriden, CT 06451 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-314 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 1 1 1 2 5 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 081306466 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 221 | | 0 | | 0 | | 221 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,598 | 2,598 | 2,598 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 12 | | 12 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 12 | 12 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 30 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT011125002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 30 | |
| 15 | **Total Unit Months** | **2,652** | **2,652** | **2,610** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 218 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $359.40 | $359.40 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $369.46 | $369.46 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $964,291 | $979,808 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $200.43 | $194.29 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $523,122 | $515,257 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $7,592 | $7,592 |
| 10 | Cost of independent audit | $2,926 | $2,926 |
| 11 | Funding for resident participation activities | $5,425 | $5,450 |
| 12 | Asset management fee | $10,560 | $10,608 |
| 13 | Information technology fee | $5,280 | $5,304 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$31,783** | **$31,880** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,519,196** | **$1,526,945** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $160.03 | $160.03 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $160.03 | $160.03 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$417,678** | **$424,400** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,101,518 | $1,102,545 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,926 | $2,926 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,101,518** | **$1,102,545** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,102,545 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Andre P. Trudelle
Executive Director
Housing Authority of the City of Meriden
22 Church Street
PO Box 911
Meriden, CT 06451-3209

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT01112500509D

Dear Mr. Trudelle:

This letter obligates $0 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the City of Meriden
22 Church Street
Meriden, CT 06451

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY-314

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30

**6. Operating Fund Project Number:** C T 0 1 1 1 2 5 0 0 5

**7. DUNS Number:** 081306466

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 124 | | 0 | | 0 | | 124 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 0 | 0 | 0 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 372 | | |
| 13 | All other ACC units not categorized above | 1,116 | | |

**Operating Fund Project Number:**
**CT011125005**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **1,488** | **0** | **0** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 0 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $456.58 | $456.58 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $469.36 | $469.36 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $0 | $0 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $0.00 | $0.00 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $0 | $0 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $10,747 | $10,747 |
| 10 | Cost of independent audit | $1,033 | $1,033 |
| 11 | Funding for resident participation activities | $0 | $0 |
| 12 | Asset management fee | $496 | $5,952 |
| 13 | Information technology fee | $248 | $2,976 |
| 14 | Asset repositioning fee | $43,650 | $43,650 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$56,174** | **$64,358** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$56,174** | **$64,358** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $154.76 | $154.76 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $154.76 | $154.76 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$0** | **$0** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $56,174 | $64,358 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,033 | $1,033 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$56,174** | **$64,358** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $64,358 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT013000100 | $ 1,118,477 | $ 988,957 | $ 738,195 | $ 250,762 | $ 250,762 | | $ - | |
| 2 | CT013000200 | $ 1,059,722 | $ 937,006 | $ 699,417 | $ 237,589 | $ 237,589 | | $ - | |
| | **Total** | **$ 2,178,199** | **$ 1,925,963** | **$ 1,437,612** | **$ 488,351** | **$ 488,351** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
East Hartford Housing Authority
546 Burnside Avenue
East Hartford, CT 06108-3511

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT01300010009D

Dear Executive Director:

This letter obligates $250,762 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| East Hartford Housing Authority<br>546 Burnside Avenue<br>East Hartford, CT 06108 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY392 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 1 3 0 0 0 1 0 0 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 118338094 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 285 | | 0 | | 0 | | 285 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,342 | 3,342 | 3,342 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 78 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT013000100**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 78 | |
| 15 | **Total Unit Months** | **3,420** | **3,420** | **3,342** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 279 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $338.85 | $338.85 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $348.34 | $348.34 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,164,152 | $1,191,323 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $177.16 | $173.90 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $592,069 | $594,738 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $15,215 | $14,964 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $23,000 | $22,473 |
| 10 | Cost of independent audit | $3,200 | $3,365 |
| 11 | Funding for resident participation activities | $6,975 | $6,975 |
| 12 | Asset management fee | $6,840 | $13,680 |
| 13 | Information technology fee | $6,840 | $6,840 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$62,070** | **$68,297** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,818,291** | **$1,854,358** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $215.35 | $215.35 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $215.35 | $215.35 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$719,700** | **$736,497** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $602 | $616 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$602** | **$616** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,099,193 | $1,118,477 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,200 | $3,365 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,099,193** | **$1,118,477** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,118,477 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Executive Director
East Hartford Housing Authority
546 Burnside Avenue
East Hartford, CT 06108-3511

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT01300020009D

Dear Executive Director:

This letter obligates $237,589 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| East Hartford Housing Authority<br>546 Burnside Avenue<br>East Hartford, CT 06108 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY392 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 1 3 0 0 0 2 0 0 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 118338094 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 306 | | 0 | | 0 | | 306 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,611 | 3,611 | 3,611 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 61 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT013000200**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 61 | |
| 15 | **Total Unit Months** | **3,672** | **3,672** | **3,611** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 301 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $303.09 | $303.09 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $311.58 | $311.58 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,125,115 | $1,144,122 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $170.75 | $170.75 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $616,578 | $626,994 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $25,150 | $24,727 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $29,000 | $28,336 |
| 10 | Cost of independent audit | $3,400 | $3,177 |
| 11 | Funding for resident participation activities | $7,525 | $7,525 |
| 12 | Asset management fee | $7,344 | $14,688 |
| 13 | Information technology fee | $7,344 | $7,344 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$79,763** | **$85,797** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,821,456** | **$1,856,913** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $217.28 | $217.28 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $217.28 | $217.28 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$784,598** | **$797,852** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $650 | $661 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$650** | **$661** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,037,508 | $1,059,722 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,400 | $3,177 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,037,508** | **$1,059,722** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,059,722 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT015000001 | $ 1,359,547 | $ 1,202,111 | $ 897,301 | $ 304,810 | $ 304,810 | | $ - | |
| | **Total** | **$ 1,359,547** | **$ 1,202,111** | **$ 897,301** | **$ 304,810** | **$ 304,810** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. James Finnucan
Executive Director
Housing Authority of the City of Ansonia
36 Main Street
Ansonia, CT 06401-1807

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT01500000109D

Dear Mr. Finnucan:

This letter obligates $304,810 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Ansonia<br>36 Main Street<br>Ansonia, CT 06401 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-365 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 1 5 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 082669100 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 273 | | 0 | | 0 | | 273 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,705 | 2,705 | 2,705 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 1 | | 1 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 19 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 552 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT015000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 19 | |
| 15 | **Total Unit Months** | **3,277** | **2,724** | **2,706** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 226 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $387.14 | $387.14 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $397.98 | $397.98 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,084,098 | $1,084,098 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $231.69 | $243.54 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $631,124 | $663,403 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $12,606 | $12,606 |
| 11 | Funding for resident participation activities | $5,650 | $5,650 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $6,554 | $6,554 |
| 14 | Asset repositioning fee | $66,373 | $41,191 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$91,183** | **$66,001** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,806,405** | **$1,813,502** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $166.65 | $166.65 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $166.65 | $166.65 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$453,955** | **$453,955** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,352,450 | $1,359,547 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $12,606 | $12,606 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,352,450** | **$1,359,547** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,359,547 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT018000001 | $ 605,485 | $ 535,370 | $ 399,620 | $ 135,750 | $ 135,750 | | $ - | |
| | **Total** | **$ 605,485** | **$ 535,370** | **$ 399,620** | **$ 135,750** | **$ 135,750** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Charles C. Whitty
Executive Director
Norwich Housing Authority
10 Westwood Park
Norwich, CT 06360-6620

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT01800000109D

Dear Mr. Whitty:

This letter obligates $135,750 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Norwich Housing Authority<br>10 Westwood Park<br>Norwich, CT 06360 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY417 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 1 8 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 122199318 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 177 | | 0 | | 0 | | 177 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,105 | 2,105 | 2,105 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 19 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT018000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 19 | |
| 15 | **Total Unit Months** | **2,124** | **2,124** | **2,105** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 175 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $355.06 | $355.06 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $365.00 | $365.00 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $775,260 | $775,260 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $154.12 | $154.12 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $327,355 | $327,351 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $29,090 | $29,090 |
| 10 | Cost of independent audit | $5,634 | $5,634 |
| 11 | Funding for resident participation activities | $4,375 | $4,375 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $4,248 | $4,248 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$43,347** | **$43,347** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,145,962** | **$1,145,958** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $254.46 | $254.46 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $254.46 | $254.46 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$540,473** | **$540,473** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $605,489 | $605,485 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $5,634 | $5,634 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$605,489** | **$605,485** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $605,485 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT019000001 | $ 303,362 | $ 268,233 | $ 200,219 | $ 68,014 | $ 68,014 | | $ - | |
| 2 | CT019000002 | $ 184,037 | $ 162,726 | $ 121,464 | $ 41,262 | $ 41,262 | | $ - | |
| 3 | CT019000003 | $ 536,939 | $ 474,761 | $ 354,380 | $ 120,381 | $ 120,381 | | $ - | |
| 4 | CT019000004 | $ 1,460 | $ 1,460 | $ 964 | $ 496 | $ 496 | | $ - | |
| | **Total** | **$ 1,025,798** | **$ 907,180** | **$ 677,027** | **$ 230,153** | **$ 230,153** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony Johnson
Executive Director
Greenwich Housing Authority
249 Milbank Avenue
Greenwich, CT 06830

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT01900000109D

Dear Mr. Johnson:

This letter obligates $68,014 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Greenwich Housing Authority<br>249 Milbank Avenue<br>Greenwich, CT 06830 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-369 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 1 9 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 072129500 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 110 | | 0 | | 0 | | 110 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,315 | 1,315 | 1,315 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 5 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT019000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 5 | |
| 15 | **Total Unit Months** | **1,320** | **1,320** | **1,315** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 110 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $459.56 | $459.56 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $472.43 | $472.43 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $623,608 | $623,608 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $179.40 | $178.73 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $236,808 | $235,924 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $25,404 | $25,404 |
| 10 | Cost of independent audit | $8,211 | $8,211 |
| 11 | Funding for resident participation activities | $2,750 | $2,750 |
| 12 | Asset management fee | $0 | $5,280 |
| 13 | Information technology fee | $2,640 | $2,640 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$39,005** | **$44,285** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$899,421** | **$903,817** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $454.89 | $454.89 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $454.89 | $454.89 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$600,455** | **$600,455** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $298,966 | $303,362 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $8,211 | $8,211 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$298,966** | **$303,362** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $303,362 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony Johnson
Executive Director
Greenwich Housing Authority
249 Milbank Avenue
Greenwich, CT 06830

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT01900000209D

Dear Mr. Johnson:

This letter obligates $41,262 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Greenwich Housing Authority<br>249 Milbank Avenue<br>Greenwich, CT 06830 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-369 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 1 9 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 072129500 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 50 | | 0 | | 0 | | 50 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 599 | 599 | 599 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 1 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT019000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 1 | |
| 15 | **Total Unit Months** | **600** | **600** | **599** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 50 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $341.22 | $341.22 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $350.77 | $350.77 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $210,462 | $210,462 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $163.88 | $163.61 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $98,328 | $98,166 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $11,547 | $11,547 |
| 10 | Cost of independent audit | $3,732 | $3,732 |
| 11 | Funding for resident participation activities | $1,250 | $1,250 |
| 12 | Asset management fee | $0 | $2,400 |
| 13 | Information technology fee | $1,200 | $1,200 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$17,729** | **$20,129** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$326,519** | **$328,757** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $241.20 | $241.20 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $241.20 | $241.20 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$144,720** | **$144,720** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $181,799 | $184,037 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,732 | $3,732 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$181,799** | **$184,037** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $184,037 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony Johnson
Executive Director
Greenwich Housing Authority
249 Milbank Avenue
Greenwich, CT 06830

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT01900000309D

Dear Mr. Johnson:

This letter obligates $120,381 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Greenwich Housing Authority<br>249 Milbank Avenue<br>Greenwich, CT 06830 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-369 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 1 9 0 0 0 0 0 3 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 072129500 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 150 | | 0 | | 0 | | 150 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,798 | 1,798 | 1,798 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 2 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT019000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 2 | |
| 15 | **Total Unit Months** | **1,800** | **1,800** | **1,798** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 150 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $340.29 | $340.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $349.82 | $349.82 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $629,676 | $629,676 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $214.15 | $213.91 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $385,470 | $385,038 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $34,642 | $34,642 |
| 10 | Cost of independent audit | $11,197 | $11,197 |
| 11 | Funding for resident participation activities | $3,750 | $3,750 |
| 12 | Asset management fee | $0 | $7,200 |
| 13 | Information technology fee | $3,600 | $3,600 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$53,189** | **$60,389** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,068,335** | **$1,075,103** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $298.98 | $298.98 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $298.98 | $298.98 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$538,164** | **$538,164** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $530,171 | $536,939 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $11,197 | $11,197 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$530,171** | **$536,939** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $536,939 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony Johnson
Executive Director
Greenwich Housing Authority
249 Milbank Avenue
Greenwich, CT 06830

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT01900000409D

Dear Mr. Johnson:

This letter obligates $ 496 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Greenwich Housing Authority<br>249 Milbank Avenue<br>Greenwich, CT 06830 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-369 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 1 9 0 0 0 0 0 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 072129500 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 17 | | 0 | | 0 | | 17 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 204 | 204 | 204 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT019000004**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **204** | **204** | **204** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 17 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $426.89 | $426.89 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $438.84 | $438.84 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $7,460 | $89,523 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $209.51 | $209.51 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $3,562 | $42,740 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $18,590 | $18,590 |
| 10 | Cost of independent audit | $1,460 | $1,460 |
| 11 | Funding for resident participation activities | $25 | $425 |
| 12 | Asset management fee | $0 | $816 |
| 13 | Information technology fee | $34 | $408 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$20,109** | **$21,699** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$31,131** | **$153,962** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $755.88 | $755.88 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $755.88 | $755.88 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$12,850** | **$154,200** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $18,281 | ($238) |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,460 | $1,460 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$18,281** | **$1,460** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,460 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT020000001 | $ 107,432 | $ 94,991 | $ 70,905 | $ 24,086 | $ 24,086 | | $ - | |
| 2 | CT020000002 | $ 358,563 | $ 317,041 | $ 236,652 | $ 80,389 | $ 80,389 | | $ - | |
| 3 | CT020000003 | $ 558,652 | $ 493,960 | $ 368,710 | $ 125,250 | $ 125,250 | | $ - | |
| 4 | CT020000004 | $ 390,271 | $ 345,078 | $ 257,579 | $ 87,499 | $ 87,499 | | $ - | |
| | **Total** | **$ 1,414,918** | **$ 1,251,070** | **$ 933,846** | **$ 317,224** | **$ 317,224** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. M. Carolyn Sistrunk
Executive Director
Housing Authority of the City of Danbury
Housing Authority of the City of Danbury
2 Mill Ridge Road, PO Box 86
Danbury, CT 06813-0086

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02000000109D

Dear Ms. Sistrunk:

This letter obligates $24,086 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Danbury<br>2 Mill Ridge Road<br>Danbury, CT 06813 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY428 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 0 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 023030810 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 95 | | 0 | | 0 | | 95 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 127 | 127 | 127 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 293 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 720 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT020000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 34 | |
| 15 | **Total Unit Months** | **1,140** | **161** | **127** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 11 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $478.84 | $478.84 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $492.25 | $492.25 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $79,252 | $79,252 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $197.45 | $197.45 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $31,789 | $31,789 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $2,375 | $2,375 |
| 10 | Cost of independent audit | $374 | $374 |
| 11 | Funding for resident participation activities | $275 | $275 |
| 12 | Asset management fee | $4,560 | $4,560 |
| 13 | Information technology fee | $2,280 | $2,280 |
| 14 | Asset repositioning fee | $44,303 | $44,303 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$54,167** | **$54,167** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$165,208** | **$165,208** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $452.32 | $452.32 |
| 02 | PUM change in utility allowances | ($95.27) | ($93.46) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $357.05 | $358.86 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$57,485** | **$57,776** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $107,723 | $107,432 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $374 | $374 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$107,723** | **$107,432** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $107,432 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. M. Carolyn Sistrunk
Executive Director
Housing Authority of the City of Danbury
Housing Authority of the City of Danbury
2 Mill Ridge Road, PO Box 86
Danbury, CT 06813-0086

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02000000209D

Dear Ms. Sistrunk:

This letter obligates $80,389 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| | | |
|---|---|---|
| **1. Name and Address of Public Housing Agency:** | | **2. Funding Period:** 01/01/2009 to 12/31/2009 |
| Housing Authority of the City of Danbury<br>2 Mill Ridge Road<br>Danbury, CT 06813 | | **3. Type of Submission:**<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY428 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 0 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 023030810 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 80 | | 0 | | 0 | | 80 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 926 | 926 | 926 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 10 | 10 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 24 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT020000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 24 | |
| 15 | **Total Unit Months** | **960** | **960** | **926** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 77 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $480.73 | $480.73 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $494.19 | $494.19 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $474,422 | $474,422 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $264.53 | $264.53 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $253,949 | $253,949 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $14,163 | $14,163 |
| 10 | Cost of independent audit | $2,232 | $2,232 |
| 11 | Funding for resident participation activities | $1,925 | $1,925 |
| 12 | Asset management fee | $3,840 | $3,840 |
| 13 | Information technology fee | $1,920 | $1,920 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$24,080** | **$24,080** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$752,451** | **$752,451** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $431.80 | $431.80 |
| 02 | PUM change in utility allowances | ($21.44) | ($21.50) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $410.36 | $410.30 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$393,946** | **$393,888** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $358,505 | $358,563 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,232 | $2,232 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$358,505** | **$358,563** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $358,563 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. M. Carolyn Sistrunk
Executive Director
Housing Authority of the City of Danbury
Housing Authority of the City of Danbury
2 Mill Ridge Road, PO Box 86
Danbury, CT 06813-0086

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02000000309D

Dear Ms. Sistrunk:

This letter obligates $125,250 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Danbury<br>2 Mill Ridge Road<br>Danbury, CT 06813 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY428 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 0 0 0 0 0 0 3 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 023030810 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 152 | | 0 | | 0 | | 152 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,781 | 1,781 | 1,781 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 43 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT020000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 43 | |
| 15 | **Total Unit Months** | **1,824** | **1,824** | **1,781** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 148 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $347.03 | $347.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $356.75 | $356.75 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $650,712 | $650,712 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $177.21 | $177.21 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $323,231 | $323,231 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $26,912 | $26,912 |
| 10 | Cost of independent audit | $4,242 | $4,242 |
| 11 | Funding for resident participation activities | $3,700 | $3,700 |
| 12 | Asset management fee | $7,296 | $7,296 |
| 13 | Information technology fee | $3,648 | $3,648 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$45,798** | **$45,798** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,019,741** | **$1,019,741** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $252.79 | $252.79 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $252.79 | $252.79 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$461,089** | **$461,089** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $558,652 | $558,652 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,242 | $4,242 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$558,652** | **$558,652** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $558,652 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. M. Carolyn Sistrunk
Executive Director
Housing Authority of the City of Danbury
Housing Authority of the City of Danbury
2 Mill Ridge Road, PO Box 86
Danbury, CT 06813-0086

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02000000409D

Dear Ms. Sistrunk:

This letter obligates $87,499 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the City of Danbury<br>2 Mill Ridge Road<br>Danbury, CT 06813 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY428 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 0 0 0 0 0 0 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 023030810 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 82 | | 0 | | 0 | | 82 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 918 | 918 | 918 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 22 | 22 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 44 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT020000004**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 30 | |
| 15 | **Total Unit Months** | **984** | **970** | **918** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 77 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $480.73 | $480.73 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $494.19 | $494.19 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $479,364 | $479,364 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $261.22 | $261.22 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $253,383 | $253,383 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $14,311 | $14,311 |
| 10 | Cost of independent audit | $2,257 | $2,257 |
| 11 | Funding for resident participation activities | $1,925 | $1,925 |
| 12 | Asset management fee | $3,936 | $3,936 |
| 13 | Information technology fee | $1,968 | $1,968 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$24,397** | **$24,397** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$757,144** | **$757,144** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $394.29 | $394.29 |
| 02 | PUM change in utility allowances | ($16.07) | ($16.07) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $378.22 | $378.22 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$366,873** | **$366,873** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $390,271 | $390,271 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,257 | $2,257 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$390,271** | **$390,271** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $390,271 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT022000001 | $ 1,204,226 | $ 1,064,777 | $ 794,789 | $ 269,988 | $ 269,988 | | $ - | |
| | **Total** | **$ 1,204,226** | **$ 1,064,777** | **$ 794,789** | **$ 269,988** | **$ 269,988** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Joseph Abrams
Executive Director
New London Housing Authority
78 Walden Avenue
New London, CT 06320-2723

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02200000109D

Dear Mr. Abrams:

This letter obligates $269,988 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| New London Housing Authority<br>78 Walden Avenue<br>New London, CT 06320 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY464 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 2 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 122200561 | 0126 | Joy A. Coleman |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 225 | | 0 | | 0 | | 225 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,676 | 2,676 | 2,676 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 24 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT022000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 24 | |
| 15 | **Total Unit Months** | **2,700** | **2,700** | **2,676** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 223 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $378.38 | $378.38 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $388.97 | $388.97 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,050,219 | $1,050,219 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $231.37 | $231.37 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $624,699 | $624,699 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $4,387 | $4,387 |
| 11 | Funding for resident participation activities | $5,575 | $5,575 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $5,400 | $5,400 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$15,362** | **$15,362** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,690,280** | **$1,690,280** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $180.02 | $180.02 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $180.02 | $180.02 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$486,054** | **$486,054** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $1,204,226 | $1,204,226 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,387 | $4,387 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$1,204,226** | **$1,204,226** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $1,204,226 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT023000001 | $ 973,539 | $ 860,803 | $ 642,536 | $ 218,267 | $ 218,267 | | $ - | |
| 2 | CT023000002 | $ 417,872 | $ 369,482 | $ 275,796 | $ 93,686 | $ 93,686 | | $ - | |
| 3 | CT023000003 | $ 696,579 | $ 615,915 | $ 459,742 | $ 156,173 | $ 156,173 | | $ - | |
| | **Total** | **$ 2,087,990** | **$ 1,846,200** | **$ 1,378,074** | **$ 468,126** | **$ 468,126** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Mitzy Rowe
Executive Director
Bristol Housing Authority
164 Jerome Avenue
Bristol, CT 06010-3715

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02300000109D

Dear Ms. Rowe:

This letter obligates $218,267 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Bristol Housing Authority<br>164 Jerome Avenue<br>Bristol, CT 06010 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 423 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 2 3 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 081306680 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 206 | | 0 | | 0 | | 206 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,301 | 2,301 | 2,301 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 48 | 48 | |
| 06 | Special use units | 60 | 60 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 63 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT023000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 63 | |
| 15 | **Total Unit Months** | **2,472** | **2,472** | **2,301** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 192 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $377.57 | $377.57 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $388.14 | $388.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $959,482 | $959,482 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $182.24 | $182.24 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $450,497 | $450,497 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $0 | $0 |
| 10 | Cost of independent audit | $2,212 | $2,212 |
| 11 | Funding for resident participation activities | $4,800 | $4,800 |
| 12 | Asset management fee | $4,944 | $9,888 |
| 13 | Information technology fee | $4,944 | $4,944 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$16,900** | **$21,844** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,426,879** | **$1,431,823** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $185.39 | $185.39 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $185.39 | $185.39 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$458,284** | **$458,284** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $968,595 | $973,539 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,212 | $2,212 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$968,595** | **$973,539** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $973,539 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Mitzy Rowe
Executive Director
Bristol Housing Authority
164 Jerome Avenue
Bristol, CT 06010-3715

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02300000209D

Dear Ms. Rowe:

This letter obligates $93,686 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Bristol Housing Authority<br>164 Jerome Avenue<br>Bristol, CT 06010 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 423 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 2 3 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 081306680 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 140 | | 0 | | 0 | | 140 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,656 | 1,656 | 1,656 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 24 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT023000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 24 | |
| 15 | **Total Unit Months** | **1,680** | **1,680** | **1,656** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 138 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $314.50 | $314.50 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $323.31 | $323.31 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $543,161 | $543,161 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $131.52 | $131.52 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $220,954 | $220,954 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $16,051 | $16,051 |
| 10 | Cost of independent audit | $1,504 | $1,504 |
| 11 | Funding for resident participation activities | $3,450 | $3,450 |
| 12 | Asset management fee | $3,360 | $6,720 |
| 13 | Information technology fee | $3,360 | $3,360 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$27,725** | **$31,085** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$791,840** | **$795,200** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $224.60 | $224.60 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $224.60 | $224.60 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$377,328** | **$377,328** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $414,512 | $417,872 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,504 | $1,504 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$414,512** | **$417,872** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $417,872 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Mitzy Rowe
Executive Director
Bristol Housing Authority
164 Jerome Avenue
Bristol, CT 06010-3715

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02300000309D

Dear Ms. Rowe:

This letter obligates $156,173 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Bristol Housing Authority<br>164 Jerome Avenue<br>Bristol, CT 06010 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 423 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 2 3 0 0 0 0 0 3 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 081306680 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 200 | | 0 | | 0 | | 200 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,300 | 2,300 | 2,300 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 100 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT023000003**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 72 | |
| 15 | **Total Unit Months** | **2,400** | **2,372** | **2,300** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 192 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $303.40 | $303.40 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $311.90 | $311.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $739,827 | $739,827 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $181.48 | $183.62 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $430,471 | $435,547 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $22,930 | $22,930 |
| 10 | Cost of independent audit | $2,148 | $2,148 |
| 11 | Funding for resident participation activities | $4,800 | $4,800 |
| 12 | Asset management fee | $4,800 | $9,600 |
| 13 | Information technology fee | $4,800 | $4,800 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$39,478** | **$44,278** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,209,776** | **$1,219,652** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $220.52 | $220.52 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $220.52 | $220.52 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$523,073** | **$523,073** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $686,703 | $696,579 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,148 | $2,148 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$686,703** | **$696,579** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $696,579 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT024000001 | $ 506,324 | $ 447,692 | $ 334,174 | $ 113,518 | $ 113,518 | | $ - | |
| | **Total** | **$ 506,324** | **$ 447,692** | **$ 334,174** | **$ 113,518** | **$ 113,518** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Dr. Kathy Carter
Executive Director
Putnam Housing Authority
123 Laconia Avenue
Putnam, CT 06260-1753

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02400000109D

Dear Dr. Carter:

This letter obligates $113,518 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Putnam Housing Authority<br>123 Laconia Avenue<br>Putnam, CT 06260 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 350 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 2 4 0 0 0 0 0 1 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 962825105 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 124 | | 0 | | 0 | | 124 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,466 | 1,466 | 1,466 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 22 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT024000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 22 | |
| 15 | **Total Unit Months** | **1,488** | **1,488** | **1,466** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 122 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $381.36 | $381.36 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $392.04 | $392.04 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $583,356 | $583,356 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $234.98 | $234.98 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $349,650 | $349,650 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $32,705 | $32,705 |
| 10 | Cost of independent audit | $6,090 | $6,090 |
| 11 | Funding for resident participation activities | $3,050 | $3,050 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $2,976 | $2,976 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$44,821** | **$44,821** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$977,827** | **$977,827** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $316.87 | $316.87 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $316.87 | $316.87 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$471,503** | **$471,503** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $506,324 | $506,324 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $6,090 | $6,090 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$506,324** | **$506,324** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $506,324 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT025000010 | $ 206,041 | $ 182,181 | $ 135,987 | $ 46,194 | $ 46,194 | | $ - | |
| | **Total** | **$ 206,041** | **$ 182,181** | **$ 135,987** | **$ 46,194** | **$ 46,194** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Fred W. Newman
Executive Director
Winchester Housing Authority
80 Chestnut Street
Winsted, CT 06098-1601

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02500001009D

Dear Mr. Newman:

This letter obligates $46,194 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| | | |
|---|---|---|
| **1. Name and Address of Public Housing Agency:** | | **2. Funding Period:** 01/01/2009 to 12/31/2009 |
| Winchester Housing Authority<br>80 Chestnut Street<br>Winsted, CT 06098 | | **3. Type of Submission:**<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 343 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 2 5 0 0 0 0 1 0 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 131007338 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 79 | | 0 | | 0 | | 79 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 948 | 948 | 948 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT025000010**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **948** | **948** | **948** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 79 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $307.63 | $307.63 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $316.24 | $316.24 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $299,796 | $299,796 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $145.38 | $145.38 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $137,820 | $137,820 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $14,747 | $14,747 |
| 10 | Cost of independent audit | $2,278 | $2,278 |
| 11 | Funding for resident participation activities | $1,975 | $1,975 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,896 | $1,896 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$20,896** | **$20,896** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$458,512** | **$458,512** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $266.32 | $266.32 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $266.32 | $266.32 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$252,471** | **$252,471** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $206,041 | $206,041 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,278 | $2,278 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$206,041** | **$206,041** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $206,041 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT026000001 | $ 304,351 | $ 269,107 | $ 200,872 | $ 68,235 | $ 68,235 | | $ - | |
| 2 | CT026000002 | $ 668,262 | $ 590,877 | $ 441,053 | $ 149,824 | $ 149,824 | | $ - | |
| | **Total** | **$ 972,613** | **$ 859,984** | **$ 641,925** | **$ 218,059** | **$ 218,059** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Chris White
Executive Director
Manchester Housing Authority
24 Bluefield Drive
Manchester, CT 06040-4702

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT02600000109D

Dear Ms. White:

This letter obligates $68,235 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Manchester Housing Authority<br>24 Bluefield Drive<br>Manchester, CT 06040 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-452 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 2 6 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 955327760 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 110 | | 0 | | 0 | | 110 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,212 | 1,212 | 1,212 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 44 | | 44 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 12 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 64 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT026000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 40 | |
| 15 | **Total Unit Months** | **1,320** | **1,264** | **1,256** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 105 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $330.63 | $330.63 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $339.89 | $339.89 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $448,655 | $429,621 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $145.22 | $151.65 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $191,690 | $191,686 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $8,525 | $8,525 |
| 10 | Cost of independent audit | $1,547 | $1,547 |
| 11 | Funding for resident participation activities | $2,775 | $2,625 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $2,800 | $2,640 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$15,647** | **$15,337** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$655,992** | **$636,644** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $262.94 | $262.89 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $262.94 | $262.89 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$347,081** | **$332,293** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $308,911 | $304,351 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,547 | $1,547 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$308,911** | **$304,351** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $304,351 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Chris White
Executive Director
Manchester Housing Authority
24 Bluefield Drive
Manchester, CT 06040-4702

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT02600000209D

Dear Ms. White:

This letter obligates $149,824 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Manchester Housing Authority<br>24 Bluefield Drive<br>Manchester, CT 06040 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY-452 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 2 6 0 0 0 0 0 2 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 955327760 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 208 | | 0 | | 0 | | 208 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,405 | 2,405 | 2,405 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 91 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT026000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 75 | |
| 15 | **Total Unit Months** | **2,496** | **2,480** | **2,405** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 200 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $330.63 | $330.63 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $339.89 | $339.89 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $836,469 | $842,927 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $181.18 | $179.79 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $445,884 | $445,879 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $15,681 | $15,681 |
| 10 | Cost of independent audit | $2,873 | $2,873 |
| 11 | Funding for resident participation activities | $4,975 | $5,000 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $4,944 | $4,992 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$28,473** | **$28,546** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,310,826** | **$1,317,352** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $261.71 | $261.73 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $261.71 | $261.73 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$644,068** | **$649,090** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $666,758 | $668,262 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,873 | $2,873 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$666,758** | **$668,262** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $668,262 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT027000100 | $ 512,127 | $ 452,823 | $ 338,004 | $ 114,819 | $ 114,819 | | $ - | |
| 2 | CT027000200 | $ 622,095 | $ 550,056 | $ 410,583 | $ 139,473 | $ 139,473 | | $ - | |
| | **Total** | **$ 1,134,222** | **$ 1,002,879** | **$ 748,587** | **$ 254,292** | **$ 254,292** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Kevin S. Nelson
Executive Director
Housing Authority of the Town of Stratford
PO Box 668
Stratford, CT 06615-0668

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02700010009D

Dear Mr. Nelson:

This letter obligates $114,819 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the Town of Stratford<br>PO Box 668<br>Stratford, CT 06615 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY429 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 7 0 0 0 1 0 0 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 037510062 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 101 | | 0 | | 0 | | 101 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,157 | 1,157 | 1,157 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 55 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT027000100**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 36 | |
| 15 | **Total Unit Months** | **1,212** | **1,193** | **1,157** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 96 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $484.24 | $484.24 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $497.80 | $497.80 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $593,875 | $593,875 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $216.99 | $220.45 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $258,869 | $262,997 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $23,220 | $23,220 |
| 10 | Cost of independent audit | $1,105 | $1,105 |
| 11 | Funding for resident participation activities | $2,400 | $2,400 |
| 12 | Asset management fee | $2,424 | $4,848 |
| 13 | Information technology fee | $2,424 | $2,424 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$31,573** | **$33,997** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$884,317** | **$890,869** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $317.47 | $317.47 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $317.47 | $317.47 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$378,742** | **$378,742** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $505,575 | $512,127 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,105 | $1,105 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$505,575** | **$512,127** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $512,127 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Kevin S. Nelson
Executive Director
Housing Authority of the Town of Stratford
PO Box 668
Stratford, CT 06615-0668

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT02700020009D

Dear Mr. Nelson:

This letter obligates $139,473 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the Town of Stratford<br>PO Box 668<br>Stratford, CT 06615 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY429 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 7 0 0 0 2 0 0 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 037510062 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 200 | | 0 | | 0 | | 200 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,351 | 2,351 | 2,351 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 49 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT027000200**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 49 | |
| 15 | **Total Unit Months** | **2,400** | **2,400** | **2,351** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 196 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $342.68 | $342.68 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $352.28 | $352.28 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $845,472 | $845,472 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $127.17 | $127.17 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $305,208 | $305,208 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $45,074 | $45,074 |
| 10 | Cost of independent audit | $2,145 | $2,145 |
| 11 | Funding for resident participation activities | $4,900 | $4,900 |
| 12 | Asset management fee | $4,800 | $9,600 |
| 13 | Information technology fee | $4,800 | $4,800 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$61,719** | **$66,519** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,212,399** | **$1,217,199** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $247.96 | $247.96 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $247.96 | $247.96 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$595,104** | **$595,104** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $617,295 | $622,095 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,145 | $2,145 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$617,295** | **$622,095** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $622,095 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT028000001 | $ 346,773 | $ 306,617 | $ 228,870 | $ 77,747 | $ 77,747 | | $ - | |
| | **Total** | **$ 346,773** | **$ 306,617** | **$ 228,870** | **$ 77,747** | **$ 77,747** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Catherine F. Melan
Executive Director
Vernon Housing Authority
21 Court Street
PO Box 963
Vernon, CT 06066

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02800000109D

Dear Ms. Melan:

This letter obligates $77,747 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Vernon Housing Authority<br>21 Court Street<br>Vernon, CT 06066 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 406 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 8 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 095538146 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 145 | | 0 | | 0 | | 145 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,398 | 1,398 | 1,398 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 342 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT028000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 52 | |
| 15 | **Total Unit Months** | **1,740** | **1,450** | **1,398** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 117 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $312.31 | $312.31 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $321.05 | $321.05 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $465,523 | $465,523 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $209.83 | $209.83 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $304,254 | $304,254 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $23,797 | $23,797 |
| 10 | Cost of independent audit | $3,820 | $3,820 |
| 11 | Funding for resident participation activities | $2,950 | $2,925 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $3,480 | $3,480 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$34,047** | **$34,022** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$803,824** | **$803,799** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $315.19 | $315.19 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $315.19 | $315.19 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$457,026** | **$457,026** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $346,798 | $346,773 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,820 | $3,820 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$346,798** | **$346,773** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $346,773 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT029000291 | $ 935,888 | $ 827,512 | $ 617,686 | $ 209,826 | $ 209,826 | | $ - | |
| 2 | CT029000292 | $ 900,133 | $ 795,898 | $ 594,088 | $ 201,810 | $ 201,810 | | $ - | |
| 3 | CT029000293 | $ 562,743 | $ 497,577 | $ 371,410 | $ 126,167 | $ 126,167 | | $ - | |
| | **Total** | **$ 2,398,764** | **$ 2,120,987** | **$ 1,583,184** | **$ 537,803** | **$ 537,803** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Michael W. Siwek
Executive Director
West Haven Housing Authority
15 Glade Street
West Haven, CT 06516-2687

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02900029109D

Dear Mr. Siwek:

This letter obligates $209,826 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| West Haven Housing Authority<br>15 Glade Street<br>West Haven, CT 06516 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY462 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 9 0 0 0 2 9 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 097213144 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 263 | | 0 | | 0 | | 263 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,141 | 3,141 | 3,141 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 15 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT029000291**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 15 | |
| 15 | **Total Unit Months** | **3,156** | **3,156** | **3,141** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 262 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $340.30 | $340.30 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $349.83 | $349.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,104,063 | $1,104,063 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $151.64 | $151.64 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $478,576 | $478,576 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $32,455 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $79,016 | $79,016 |
| 10 | Cost of independent audit | $5,797 | $5,797 |
| 11 | Funding for resident participation activities | $6,550 | $6,550 |
| 12 | Asset management fee | $12,624 | $12,624 |
| 13 | Information technology fee | $6,312 | $6,312 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$110,299** | **$142,754** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,692,938** | **$1,725,393** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $250.16 | $250.16 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $250.16 | $250.16 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$789,505** | **$789,505** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $903,433 | $935,888 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $5,797 | $5,797 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$903,433** | **$935,888** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $935,888 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Michael W. Siwek
Executive Director
West Haven Housing Authority
15 Glade Street
West Haven, CT 06516-2687

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02900029209D

Dear Mr. Siwek:

This letter obligates $201,810 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

West Haven Housing Authority
15 Glade Street
West Haven, CT 06516

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY462

**5. Fiscal Year End:** ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 2 9 0 0 0 2 9 2

**7. DUNS Number:** 097213144

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G. Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 254 | | 0 | | 0 | | 254 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 3,039 | 3,039 | 3,039 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 9 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT029000292**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 9 | |
| 15 | **Total Unit Months** | **3,048** | **3,048** | **3,039** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 253 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $340.37 | $340.37 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $349.90 | $349.90 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $1,066,495 | $1,066,495 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $164.41 | $164.41 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $501,122 | $501,122 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $31,344 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $23,511 | $23,511 |
| 10 | Cost of independent audit | $5,599 | $5,599 |
| 11 | Funding for resident participation activities | $6,325 | $6,325 |
| 12 | Asset management fee | $12,192 | $12,192 |
| 13 | Information technology fee | $6,096 | $6,096 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$53,723** | **$85,067** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,621,340** | **$1,652,684** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $246.90 | $246.90 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $246.90 | $246.90 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$752,551** | **$752,551** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $868,789 | $900,133 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $5,599 | $5,599 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$868,789** | **$900,133** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $900,133 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Michael W. Siwek
Executive Director
West Haven Housing Authority
15 Glade Street
West Haven, CT 06516-2687

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT02900029309D

Dear Mr. Siwek:

This letter obligates $126,167 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| West Haven Housing Authority<br>15 Glade Street<br>West Haven, CT 06516 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY462 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 2 9 0 0 0 2 9 3 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 097213144 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 100 | | 0 | | 0 | | 100 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,171 | 1,171 | 1,171 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 29 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT029000293**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 29 | |
| 15 | **Total Unit Months** | **1,200** | **1,200** | **1,171** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 98 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $436.32 | $436.32 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $448.54 | $448.54 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $538,248 | $538,248 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $245.45 | $245.45 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $294,540 | $294,540 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $18,449 | $18,449 |
| 10 | Cost of independent audit | $2,204 | $2,204 |
| 11 | Funding for resident participation activities | $2,450 | $2,450 |
| 12 | Asset management fee | $4,800 | $4,800 |
| 13 | Information technology fee | $2,400 | $2,400 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$30,303** | **$30,303** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$863,091** | **$863,091** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $250.29 | $250.29 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $250.29 | $250.29 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$300,348** | **$300,348** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $562,743 | $562,743 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $2,204 | $2,204 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$562,743** | **$562,743** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $562,743 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT030000001 | $ 213,196 | $ 188,508 | $ 140,709 | $ 47,799 | $ 47,799 | | $ - | |
| 2 | CT030000002 | $ 161,461 | $ 142,764 | $ 106,564 | $ 36,200 | $ 36,200 | | $ - | |
| 3 | CT030000004 | $ 154,342 | $ 136,469 | $ 101,866 | $ 34,603 | $ 34,603 | | $ - | |
| 4 | CT030000005 | $ 381,495 | $ 337,318 | $ 251,787 | $ 85,531 | $ 85,531 | | $ - | |
| 5 | CT030000006 | $ 166,451 | $ 147,176 | $ 109,858 | $ 37,318 | $ 37,318 | | $ - | |
| 6 | CT030000009 | $ 45,077 | $ 39,857 | $ 29,751 | $ 10,106 | $ 10,106 | | $ - | |
| | **Total** | **$ 1,122,022** | **$ 992,092** | **$ 740,535** | **$ 251,557** | **$ 251,557** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony J. Vasiliou
Executive Director
Milford Redevelopment And Housing Partnership
PO Box 291
Milford, CT 06460-0291

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03000000109D

Dear Mr. Vasiliou:

This letter obligates $47,799 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Milford Redevelopment & Housing Partnership<br>PO Box 291<br>Milford, CT 06460 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 463 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 0 0 0 0 0 0 1 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 098179245 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 46 | | 0 | | 2 | | 44 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 524 | 524 | 524 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 4 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT030000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 4 | |
| 15 | **Total Unit Months** | **528** | **528** | **524** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 44 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $361.03 | $361.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $371.14 | $371.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $195,962 | $195,962 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $153.12 | $153.12 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $80,847 | $80,847 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $9,645 | $9,645 |
| 10 | Cost of independent audit | $565 | $565 |
| 11 | Funding for resident participation activities | $1,100 | $1,100 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,056 | $1,056 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$12,366** | **$12,366** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$289,175** | **$289,175** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $143.90 | $143.90 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $143.90 | $143.90 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$75,979** | **$75,979** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $213,196 | $213,196 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $565 | $565 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$213,196** | **$213,196** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $213,196 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony J. Vasiliou
Executive Director
Milford Redevelopment And Housing Partnership
PO Box 291
Milford, CT 06460-0291

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03000000209D

Dear Mr. Vasiliou:

This letter obligates $36,200 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Milford Redevelopment & Housing Partnership
PO Box 291
Milford, CT 06460

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY 463

**5. Fiscal Year End:** ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 3 0 0 0 0 0 0 2

**7. DUNS Number:** 098179245

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G. Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 50 | | 0 | | 0 | | 50 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 600 | 600 | 600 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT030000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **600** | **600** | **600** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 50 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $361.03 | $361.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $371.14 | $371.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $222,684 | $222,684 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $134.12 | $134.12 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $80,472 | $80,472 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $10,961 | $10,961 |
| 10 | Cost of independent audit | $642 | $642 |
| 11 | Funding for resident participation activities | $1,250 | $1,250 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,200 | $1,200 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$14,053** | **$14,053** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$317,209** | **$317,209** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $259.58 | $259.58 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $259.58 | $259.58 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$155,748** | **$155,748** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $161,461 | $161,461 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $642 | $642 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$161,461** | **$161,461** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $161,461 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony J. Vasiliou
Executive Director
Milford Redevelopment And Housing Partnership
PO Box 291
Milford, CT 06460-0291

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03000000409D

Dear Mr. Vasiliou:

This letter obligates $34,603 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Milford Redevelopment & Housing Partnership<br>PO Box 291<br>Milford, CT 06460 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 463 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 0 0 0 0 0 0 4 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 098179245 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 43 | | 0 | | 0 | | 43 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 509 | 509 | 509 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 7 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT030000004**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 7 | |
| 15 | **Total Unit Months** | **516** | **516** | **509** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 42 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $361.03 | $361.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $371.14 | $371.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $191,508 | $191,508 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $171.75 | $171.75 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $88,623 | $88,623 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $9,426 | $9,426 |
| 10 | Cost of independent audit | $552 | $552 |
| 11 | Funding for resident participation activities | $1,050 | $1,050 |
| 12 | Asset management fee | $1,032 | $0 |
| 13 | Information technology fee | $1,032 | $1,032 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$13,092** | **$12,060** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$293,223** | **$292,191** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $267.15 | $267.15 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $267.15 | $267.15 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$137,849** | **$137,849** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $155,374 | $154,342 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $552 | $552 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$155,374** | **$154,342** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $154,342 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony J. Vasiliou
Executive Director
Milford Redevelopment And Housing Partnership
PO Box 291
Milford, CT 06460-0291

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03000000509D

Dear Mr. Vasiliou:

This letter obligates $85,531 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Milford Redevelopment & Housing Partnership<br>PO Box 291<br>Milford, CT 06460 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 463 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 0 0 0 0 0 0 5 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 098179245 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 110 | | 0 | | 0 | | 110 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,318 | 1,318 | 1,318 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 2 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT030000005**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 2 | |
| 15 | **Total Unit Months** | **1,320** | **1,320** | **1,318** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 110 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $361.03 | $361.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $371.14 | $371.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $489,905 | $489,905 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $160.98 | $160.98 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $212,494 | $212,494 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $24,114 | $24,114 |
| 10 | Cost of independent audit | $1,412 | $1,412 |
| 11 | Funding for resident participation activities | $2,750 | $2,750 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $2,640 | $2,640 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$30,916** | **$30,916** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$733,315** | **$733,315** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $266.53 | $266.53 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $266.53 | $266.53 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$351,820** | **$351,820** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $381,495 | $381,495 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,412 | $1,412 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$381,495** | **$381,495** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $381,495 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony J. Vasiliou
Executive Director
Milford Redevelopment And Housing Partnership
PO Box 291
Milford, CT 06460-0291

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03000000609D

Dear Mr. Vasiliou:

This letter obligates $37,318 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Milford Redevelopment & Housing Partnership<br>PO Box 291<br>Milford, CT 06460 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 463 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 0 0 0 0 0 0 6 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 098179245 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 65 | | 0 | | 0 | | 65 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 780 | 780 | 780 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT030000006**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **780** | **780** | **780** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 65 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $361.03 | $361.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $371.14 | $371.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $289,489 | $289,489 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $112.61 | $112.61 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $87,836 | $87,836 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $14,279 | $14,279 |
| 10 | Cost of independent audit | $834 | $834 |
| 11 | Funding for resident participation activities | $1,625 | $1,625 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,560 | $1,560 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$18,298** | **$18,298** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$395,623** | **$395,623** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $293.81 | $293.81 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $293.81 | $293.81 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$229,172** | **$229,172** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $166,451 | $166,451 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $834 | $834 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$166,451** | **$166,451** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $166,451 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Anthony J. Vasiliou
Executive Director
Milford Redevelopment And Housing Partnership
PO Box 291
Milford, CT 06460-0291

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03000000909D

Dear Mr. Vasiliou:

This letter obligates $10,106 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Milford Redevelopment & Housing Partnership<br>PO Box 291<br>Milford, CT 06460 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| NY 463 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 0 0 0 0 0 0 9 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 098179245 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 18 | | 0 | | 0 | | 18 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 212 | 212 | 212 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 4 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT030000009**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 4 | |
| 15 | **Total Unit Months** | **216** | **216** | **212** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 18 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $361.03 | $361.03 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $371.14 | $371.14 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $80,166 | $80,166 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $31.49 | $31.49 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $6,802 | $6,802 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $3,946 | $3,946 |
| 10 | Cost of independent audit | $231 | $231 |
| 11 | Funding for resident participation activities | $450 | $450 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $432 | $432 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$5,059** | **$5,059** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$92,027** | **$92,027** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $217.36 | $217.36 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $217.36 | $217.36 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$46,950** | **$46,950** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $45,077 | $45,077 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $231 | $231 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$45,077** | **$45,077** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $45,077 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT031000001 | $ 554,737 | $ 490,498 | $ 366,126 | $ 124,372 | $ 124,372 | | $ - | |
| 2 | CT031000002 | $ 239,664 | $ 211,911 | $ 158,178 | $ 53,733 | $ 53,733 | | $ - | |
| | **Total** | **$ 794,401** | **$ 702,409** | **$ 524,304** | **$ 178,105** | **$ 178,105** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Claudia Sweeney
Executive Director
Torrington Housing Authority
110 Prospect Street
Torrington, CT 06790-6330

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT03100000109D

Dear Ms. Sweeney:

This letter obligates $124,372 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Torrington Housing Authority<br>110 Prospect Street<br>Torrington, CT 06790 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 560 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 1 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 063083800 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 199 | | 0 | | 0 | | 199 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,138 | 2,138 | 2,138 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 24 | 24 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 70 | 70 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 156 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT031000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 72 | |
| 15 | **Total Unit Months** | **2,388** | **2,304** | **2,138** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 178 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $283.08 | $283.08 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $291.01 | $291.01 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $670,487 | $670,487 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $170.96 | $170.96 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $393,892 | $393,892 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $25,015 | $25,015 |
| 10 | Cost of independent audit | $1,944 | $1,944 |
| 11 | Funding for resident participation activities | $4,450 | $4,450 |
| 12 | Asset management fee | $4,776 | $9,552 |
| 13 | Information technology fee | $4,776 | $4,776 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$40,961** | **$45,737** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,105,340** | **$1,110,116** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $249.78 | $249.78 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $249.78 | $249.78 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$575,493** | **$575,493** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $20,114 | $20,114 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$20,114** | **$20,114** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $549,961 | $554,737 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,944 | $1,944 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$549,961** | **$554,737** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $554,737 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Claudia Sweeney
Executive Director
Torrington Housing Authority
110 Prospect Street
Torrington, CT 06790-6330

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT03100000209D

Dear Ms. Sweeney:

This letter obligates $53,733 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Torrington Housing Authority
110 Prospect Street
Torrington, CT 06790

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** NY 560

**5. Fiscal Year End:** ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 3 1 0 0 0 0 0 2

**7. DUNS Number:** 063083800

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Howard G. Grant

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 100 | | 0 | | 0 | | 100 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,143 | 1,143 | 1,143 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 57 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT031000002**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 36 | |
| 15 | **Total Unit Months** | **1,200** | **1,179** | **1,143** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 95 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $267.40 | $267.40 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $274.89 | $274.89 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $324,095 | $324,095 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $129.45 | $129.45 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $152,622 | $152,622 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $17,140 | $17,140 |
| 10 | Cost of independent audit | $1,943 | $1,943 |
| 11 | Funding for resident participation activities | $2,375 | $2,375 |
| 12 | Asset management fee | $2,400 | $4,800 |
| 13 | Information technology fee | $2,400 | $2,400 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$26,258** | **$28,658** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$502,975** | **$505,375** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $234.10 | $234.10 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $234.10 | $234.10 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$276,004** | **$276,004** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $10,293 | $10,293 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$10,293** | **$10,293** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $237,264 | $239,664 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,943 | $1,943 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$237,264** | **$239,664** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $239,664 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT032000001 | $ 240,395 | $ 212,557 | $ 158,661 | $ 53,896 | $ 53,896 | | $ - | |
| | **Total** | **$ 240,395** | **$ 212,557** | **$ 158,661** | **$ 53,896** | **$ 53,896** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Jaimie M. Mantie
Executive Director
Windsor Locks Housing Authority
41 Oak Street
Windsor Locks, CT 06096-1822

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT03200000109D

Dear Ms. Mantie:

This letter obligates $53,896 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Windsor Locks Housing Authority<br>41 Oak Street<br>Windsor Locks, CT 06096 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| B-2002 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 3 2 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 625842661 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 76 | | 0 | | 0 | | 76 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 908 | 908 | 908 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 4 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT032000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 4 | |
| 15 | **Total Unit Months** | **912** | **912** | **908** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 76 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $325.52 | $325.52 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $334.63 | $334.63 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $305,183 | $305,183 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $185.58 | $185.58 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $169,249 | $169,249 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $10,903 | $10,903 |
| 10 | Cost of independent audit | $1,023 | $1,023 |
| 11 | Funding for resident participation activities | $1,900 | $1,900 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,824 | $1,824 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$15,650** | **$15,650** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$490,082** | **$490,082** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $273.78 | $273.78 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $273.78 | $273.78 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$249,687** | **$249,687** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $240,395 | $240,395 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,023 | $1,023 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$240,395** | **$240,395** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $240,395 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT035000001 | $ 239,278 | $ 211,570 | $ 157,923 | $ 53,647 | $ 53,647 | | $ - | |
| | **Total** | **$ 239,278** | **$ 211,570** | **$ 157,923** | **$ 53,647** | **$ 53,647** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. David J. Keyser
Executive Director
Housing Authority of the Town of Seymour
32 Smith Street
Seymour, CT 06483-3738

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT03500000109D

Dear Mr. Keyser:

This letter obligates $53,647 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the Town of Seymour<br>32 Smith Street<br>Seymour, CT 06483 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 572 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 5 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 072124241 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 80 | | 0 | | 0 | | 80 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 954 | 954 | 954 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 6 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT035000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 6 | |
| 15 | **Total Unit Months** | **960** | **960** | **954** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 80 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $347.12 | $347.12 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $356.84 | $356.84 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $342,566 | $342,566 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $168.10 | $168.10 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $161,376 | $161,376 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $15,269 | $15,269 |
| 10 | Cost of independent audit | $7,440 | $7,440 |
| 11 | Funding for resident participation activities | $2,000 | $2,000 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,920 | $1,920 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$26,629** | **$26,629** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$530,571** | **$530,571** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $303.43 | $303.43 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $303.43 | $303.43 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$291,293** | **$291,293** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $239,278 | $239,278 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $7,440 | $7,440 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$239,278** | **$239,278** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $239,278 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT036000001 | $ 236,194 | $ 208,843 | $ 155,888 | $ 52,955 | $ 52,955 | | $ - | |
| | **Total** | **$ 236,194** | **$ 208,843** | **$ 155,888** | **$ 52,955** | **$ 52,955** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Jacqueline Frazee
Executive Director
Portland Housing Authority
9 Chatham Court
Portland, CT 06480-1951

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT03600000109D

Dear Ms. Frazee:

This letter obligates $52,955 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| | |
|---|---|
| **1. Name and Address of Public Housing Agency:** | **2. Funding Period:** 01/01/2009 to 12/31/2009 |
| Portland Housing Authority<br>9 Chatham Court<br>Portland, CT 06480 | **3. Type of Submission:**<br>☑ Original<br>☐ Revision No.____ |

| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
|---|---|---|
| B-2004 | ☐ 12/31 ☑ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 3 6 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 103963385 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 48 | | 0 | | 0 | | 48 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 542 | 542 | 542 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 34 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT036000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 34 | |
| 15 | **Total Unit Months** | **576** | **576** | **542** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 45 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $430.09 | $430.09 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $442.13 | $442.13 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $254,667 | $254,667 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $234.50 | $234.50 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $135,072 | $135,072 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $2,741 | $2,741 |
| 10 | Cost of independent audit | $1,404 | $1,404 |
| 11 | Funding for resident participation activities | $1,125 | $1,125 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $1,152 | $1,152 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$6,422** | **$6,422** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$396,161** | **$396,161** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $277.72 | $277.72 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $277.72 | $277.72 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$159,967** | **$159,967** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $236,194 | $236,194 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,404 | $1,404 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$236,194** | **$236,194** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $236,194 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT039000001 | $ 307,707 | $ 272,075 | $ 203,087 | $ 68,988 | $ 68,988 | | $ - | |
| | **Total** | **$ 307,707** | **$ 272,075** | **$ 203,087** | **$ 68,988** | **$ 68,988** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. George Howell
Executive Director
Housing Authority of the Town of West Hartfor
80 Shield Street
West Hartford, CT 06110

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies,
LOCCS/PAS Project No. CT03900000109D

Dear Mr. Howell:

This letter obligates $68,988 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

**1. Name and Address of Public Housing Agency:**

Housing Authority of the Town of West Hartfor
80 Shield Street
West Hartford, CT 06110

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** B-2081

**5. Fiscal Year End:** ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 3 9 0 0 0 0 0 1

**7. DUNS Number:** 131006645

**HUD Use Only**

**8. ROFO Code:** 0126

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 95 | | 0 | | 0 | | 95 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,137 | 1,137 | 1,137 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 3 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT039000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 3 | |
| 15 | **Total Unit Months** | **1,140** | **1,140** | **1,137** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 95 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $285.85 | $285.85 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $293.85 | $293.85 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $334,989 | $334,989 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $133.48 | $133.48 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $152,167 | $152,167 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $8,565 | $8,565 |
| 10 | Cost of independent audit | $709 | $709 |
| 11 | Funding for resident participation activities | $2,375 | $2,375 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $2,280 | $2,280 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$13,929** | **$13,929** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$501,085** | **$501,085** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $176.68 | $176.68 |
| 02 | PUM change in utility allowances | ($2.17) | ($2.17) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $174.51 | $174.51 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$198,941** | **$198,941** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $5,563 | $5,563 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$5,563** | **$5,563** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $307,707 | $307,707 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $709 | $709 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$307,707** | **$307,707** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $307,707 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT040000001 | $ 230,371 | $ 203,694 | $ 152,045 | $ 51,649 | $ 51,649 | | $ - | |
| | **Total** | **$ 230,371** | **$ 203,694** | **$ 152,045** | **$ 51,649** | **$ 51,649** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Neil Griffin
Executive Director
Housing Authority of the Town of Glastonbury
25 Risley Road
Glastonbury, CT 06033-1111

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT04000000109D

Dear Mr. Griffin:

This letter obligates $51,649 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Housing Authority of the Town of Glastonbury<br>25 Risley Road<br>Glastonbury, CT 06033 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| B-2068 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 4 0 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 095530192 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 199 | | 0 | | 0 | | 199 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 2,297 | 2,297 | 2,297 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 49 | 49 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 42 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT040000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 42 | |
| 15 | **Total Unit Months** | **2,388** | **2,388** | **2,297** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 191 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $370.08 | $370.08 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $380.44 | $380.44 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $908,491 | $908,491 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $62.05 | $62.05 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $148,175 | $148,175 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $82,380 | $82,380 |
| 10 | Cost of independent audit | $4,855 | $4,855 |
| 11 | Funding for resident participation activities | $4,775 | $4,775 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $4,776 | $4,776 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$96,786** | **$96,786** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$1,153,452** | **$1,153,452** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $392.57 | $392.57 |
| 02 | PUM change in utility allowances | $6.17 | ($6.02) |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $398.74 | $386.55 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$952,191** | **$923,081** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $201,261 | $230,371 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $4,855 | $4,855 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$201,261** | **$230,371** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $230,371 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT047000001 | $ 226,004 | $ 199,833 | $ 149,163 | $ 50,670 | $ 50,670 | | $ - | |
| | **Total** | **$ 226,004** | **$ 199,833** | **$ 149,163** | **$ 50,670** | **$ 50,670** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mr. Kevin Knowles
Executive Director
Naugatuck Housing Authority
16 Ida Street
Naugatuck, CT 06770-4422

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT04700000109D

Dear Mr. Knowles:

This letter obligates $50,670 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Naugatuck Housing Authority<br>16 Ida Street<br>Naugatuck, CT 06770 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| NY 503 | ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30 | C T 0 4 7 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 097213805 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 104 | | 0 | | 0 | | 104 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 1,234 | 1,234 | 1,234 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 14 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT047000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 14 | |
| 15 | **Total Unit Months** | **1,248** | **1,248** | **1,234** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 103 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $325.80 | $325.80 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $334.92 | $334.92 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $417,980 | $417,980 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $101.78 | $101.78 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $127,021 | $127,021 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $27,613 | $27,613 |
| 10 | Cost of independent audit | $3,363 | $3,363 |
| 11 | Funding for resident participation activities | $2,575 | $2,575 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $2,496 | $2,496 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$36,047** | **$36,047** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$581,048** | **$581,048** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $284.49 | $284.49 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $284.49 | $284.49 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$355,044** | **$355,044** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $226,004 | $226,004 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $3,363 | $3,363 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$226,004** | **$226,004** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $226,004 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT054000001 | $ 2,773 | $ 2,452 | $ 1,830 | $ 622 | $ 622 | | $ - | |
| | **Total** | **$ 2,773** | **$ 2,452** | **$ 1,830** | **$ 622** | **$ 622** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Anne McNamara
Executive Director
New Canaan Housing Authority
c/o Phoenix Management Corp
PO Box 1356
Stamford, CT 06904

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT05400000109D

Dear Ms. McNamara:

This letter obligates $ 622 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

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## Section 1

**1. Name and Address of Public Housing Agency:**

New Canaan Housing Authority
57 Millport Ave.
New Canaan, CT 06840

**2. Funding Period:** 01/01/2009 to 12/31/2009

**3. Type of Submission:**
☑ Original
☐ Revision No.____

**4. ACC Number:** B2078

**5. Fiscal Year End:** ☑ 12/31 ☐ 3/31 ☐ 6/30 ☐ 9/30

**6. Operating Fund Project Number:** C T 0 5 4 0 0 0 0 0 1

**7. DUNS Number:** 012219913

**HUD Use Only**

**8. ROFO Code:** 0000

**Financial Analyst:** Kathleen Bowen

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 18 | | 0 | | 0 | | 18 |

| Line No. | Category | Column A Unit Months | Column B Eligible Unit Months(EUMs) | Column C Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 209 | 209 | 209 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 7 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT054000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 7 | |
| 15 | **Total Unit Months** | **216** | **216** | **209** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 17 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $431.94 | $431.94 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $444.03 | $444.03 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $92,802 | $95,910 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $0.00 | $0.00 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $0 | $0 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $10,708 | $10,708 |
| 10 | Cost of independent audit | $0 | $0 |
| 11 | Funding for resident participation activities | $425 | $425 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $432 | $432 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$11,565** | **$11,565** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$104,367** | **$107,475** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $484.73 | $484.73 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $484.73 | $484.73 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$101,309** | **$104,702** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $3,058 | $2,773 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $0 | $0 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$3,058** | **$2,773** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $2,773 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT056000001 | $ 47,027 | $ 41,581 | $ 31,038 | $ 10,543 | $ 10,543 | | $ - | |
| | **Total** | **$ 47,027** | **$ 41,581** | **$ 31,038** | **$ 10,543** | **$ 10,543** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Ms. Sandra Desrosiers
Executive Director
Bloomfield Housing Authority
c/o Windsor Housing Authority
156 Bloomfield Ave
Windsor, CT 06095

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT05600000109D

Dear Ms. Desrosiers:

This letter obligates $10,543 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

## CY 2009

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Bloomfield Housing Authority<br>c/o Windsor Housing Authority<br>156 Bloomfield Avenue, Windsor, CT 06095 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| 4. ACC Number: | 5. Fiscal Year End: | 6. Operating Fund Project Number: |
| B-2050 | ☐ 12/31 ☐ 3/31 ☑ 6/30 ☐ 9/30 | C T 0 5 6 0 0 0 0 0 1 |
| 7. DUNS Number: | HUD Use Only | |
| | 8. ROFO Code: | Financial Analyst: |
| 143176076 | 0126 | Kathleen Bowen |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 17 | | 0 | | 0 | | 17 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 186 | 186 | 186 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 18 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT056000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 18 | |
| 15 | **Total Unit Months** | **204** | **204** | **186** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 16 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $422.94 | $422.94 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $434.78 | $434.78 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $80,869 | $88,695 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $62.05 | $56.58 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $11,541 | $11,542 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $5,419 | $5,419 |
| 10 | Cost of independent audit | $1,555 | $1,555 |
| 11 | Funding for resident participation activities | $400 | $400 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $408 | $408 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$7,782** | **$7,782** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$100,192** | **$108,019** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $298.98 | $298.98 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $298.98 | $298.98 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$55,610** | **$60,992** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $44,582 | $47,027 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $1,555 | $1,555 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$44,582** | **$47,027** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $47,027 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |

Attached are the final obligation letters and final approved forms HUD-52723 for all projects in you Public Housing Agency (PHA). Also, below is the worksheet reconciling operating subsidy funding for all projects in your PHA. For more information on this reconciliation worksheet, please refer to "Final Calendar Year (CY) 2009 Operating Subsidy Obligation: Reconciliation Methodology" at http://www/hud.gov/offices/pih/programs/ph/am/of/opfnd2009.cfm"

| | | A | B | C | D | E | F | G | H |
|---|---|---|---|---|---|---|---|---|---|
| **No** | **Project #** | **CY 2009 Total Eligibility** | **CY 2009 Prorated Eligibility At 88.42%** | **Amount Previously Obligated through 9/30/09** | **Expected Funding for 10/01/09 through 12/31/09 (before reconciliation)** | **Actual Funding for 10/1/09 through 12/31/09** | **Amount overfunded at PHA level** | **Amount deobligated during the year** | **Amount to be recaptured** |
| 1 | CT066000001 | $ 55,074 | $ 48,696 | $ 36,349 | $ 12,347 | $ 12,347 | | $ - | |
| | **Total** | **$ 55,074** | **$ 48,696** | **$ 36,349** | **$ 12,347** | **$ 12,347** | **$ -** | **$ -** | **$ -** |

Note: Project level amounts may not add to totals due to rounding

**Definitions**

Column A: Final approved CY 2009 eligibility of the project from Line E1 of HUD-52723
Column B: Prorated CY 2009 eligibility at 88.42 percent proration
Column C: Total amount funded to the project in the previous three rounds of funding
Column D: Funding to be provided to the project before reconciliation (Col B - Col C). This amount can be negative indicating that the project has received more funding than eligibility
Column E: Actual funding provided to the project after reconciliation. This amount cannot be negative.
Column F: In cases where a PHA as a whole may have received more funding than eligibility, this column represents the overfunded amount at the PHA level
Column G: Amount deobligated during the year for the project. No action is required by the PHA
Column H: If PHA as a whole received more funding than eligibility and HUD was not able to deobligate funds during the year (i.e., Col F exceeds Col G), funds will have to be recaptured. HUD will provide instructions to the affected PHAs and Field Offices on further action required.

**U.S. Department of Housing and Urban Development**
OFFICE OF PUBLIC AND INDIAN HOUSING

September 23, 2009

OFFICE OF PUBLIC AND INDIAN HOUSING
REAL ESTATE ASSESSMENT CENTER

Mrs. Kathy Carter
Executive Director
Housing Authority of the Town of Brooklyn
Brooklyn Housing Authority
123 Laconia Avenue
Putnam, CT 06260

SUBJECT: **Final Obligation Letter**, Public Housing Operating Subsidies, LOCCS/PAS Project No. CT06600000109D

Dear Mrs. Carter:

This letter obligates $12,347 of Operating Fund subsidy for Federal Fiscal Year 2009, representing the project's final obligation for the period January 1, 2009 through December 31, 2009. The project's final obligated amount was determined in accordance with the final eligibility calculation and reconciliation worksheet available at the Asset Management webpage: http://www.hud.gov/offices/pih/programs/ph/am/funding.cfm .

All funds must be used in accordance with the Annual Contributions Contract and associated laws and regulations. By drawing down the funds obligated in this letter or interim obligation letter(s) you and your agency are confirming agreement and compliance with all the terms and conditions of the Operating Fund program. Further, a drawdown of these funds constitutes an agreement that the final eligibility and obligation amount is correct. The amount of your agency's obligated funds is available through *e*LOCCS (electronic Line of Credit Control System). Instructions for the use of *e*LOCCS are in Notice PIH 2002-28 (HA), Use of *e*LOCCS to Request Operating Subsidy Payments and Elimination of Form HUD-52721. If you have questions regarding this letter please contact your HUD Field Office.

Sincerely,

J. David Reeves
Deputy Assistant Secretary,
Real Estate Assessment Center

# Operating Fund
# Calculation of Operating Subsidy
PHA-Owned Rental Housing

**CY 2009**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

OMB Approval No. 2577-0029 (exp.05/31/2010)

Public Reporting Burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This information is required by Section 9(a) of the U.S. Housing Act of 1937, as amended, and by 24 CFR Part 990 HUD regulations. HUD makes payments for the operation and maintenance of low-income housing projects to PHAs. The Operating Fund determines the amount of operating subsidy to be paid to PHAs. PHAs provide information on the Project Expense Level (PEL), Utilities Expense Level (UEL), Other Formula Expenses (Add-ons) and Formula Income - the major Operating Fund components. HUD reviews the information to determine each PHA's Formula Amount and the funds to be obligated for the Funding Period to each PHA based on the appropriation by Congress. HUD also uses the information as the basis for requesting annual appropriations from Congress. Responses to the collection of information are required to obtain a benefit. The information requested does not lend itself to confidentiality.

## Section 1

| 1. Name and Address of Public Housing Agency: | | 2. Funding Period: 01/01/2009 to 12/31/2009 |
|---|---|---|
| Brooklyn Housing Authority<br>Brooklyn Housing Authority<br>Putnam, CT 06260 | | 3. Type of Submission:<br>☑ Original<br>☐ Revision No.____ |
| **4. ACC Number:** | **5. Fiscal Year End:** | **6. Operating Fund Project Number:** |
| B-2084 | ☐ 12/31 ☐ 3/31 ☐ 6/30 ☑ 9/30 | C T 0 6 6 0 0 0 0 0 1 |
| **7. DUNS Number:** | **HUD Use Only** | |
| | **8. ROFO Code:** | **Financial Analyst:** |
| 873162000 | 0126 | Howard G. Grant |

## Section 2

**Calculation of ACC Units for the 12-month period from July 1 to June 30 that is prior to the first day of the Funding Period:**

| ACC Units on 7/1/2007 | + | Units Added to ACC | - | Units Deleted from ACC | = | ACC Units on 6/30/2008 |
|---|---|---|---|---|---|---|
| 11 | | 0 | | 0 | | 11 |

| Line No. | Category | Column A<br>Unit Months | Column B<br>Eligible Unit Months(EUMs) | Column C<br>Resident Participation Unit Months |
|---|---|---|---|---|
| **Categorization of Unit Months:** | | | | |
| **Occupied Unit Months** | | | | |
| 01 | Occupied dwelling units - by public housing eligible family under lease | 132 | 132 | 132 |
| 02 | Occupied dwelling units - by PHA employee, police officer, or other security personnel who is not otherwise eligible for public housing | 0 | | 0 |
| 03 | New units - eligible to receive subsidy during the funding period but not included on Lines 01, 02, or 05-13 | 0 | 0 | 0 |
| 04 | New units - eligible to receive subsidy from 10/1 to 12/31 of previous funding period but not included on previous Calculation of Operating Subsidy | 0 | 0 | 0 |
| **Vacant Unit Months** | | | | |
| 05 | Units undergoing modernization | 0 | 0 | |
| 06 | Special use units | 0 | 0 | |
| 06a | Units on Line 02 that are occupied by police officers and that also qualify as special use units | | 0 | |
| 07 | Units vacant due to litigation | 0 | 0 | |
| 08 | Units vacant due to disasters | 0 | 0 | |
| 09 | Units vacant due to casualty losses | 0 | 0 | |
| 10 | Units vacant due to changing market conditions | 0 | 0 | |
| 11 | Units vacant and not categorized above | 0 | | |
| **Other ACC Unit Months** | | | | |
| 12 | Units eligible for asset repositiong fee and still on ACC (occupied or vacant) | 0 | | |
| 13 | All other ACC units not categorized above | 0 | | |

**Operating Fund Project Number:**
**CT066000001**

| | | | | |
|---|---|---|---|---|
| **Calculations Based on Unit Months:** | | | | |
| 14 | Limited vacancies | | 0 | |
| 15 | **Total Unit Months** | **132** | **132** | **132** |
| 16 | Units eligible for funding for resident participation activities (Line 15C divided by 12) | | | 11 |
| **Special Provision for Calculation Of Utilities Expense Level:** | | | | |
| 17 | Unit months for which actual consumption is included on Line 01 of form HUD 52722 and that were removed from Lines 01 through 11, above, because of removal from inventory, including eligibility for asset repositioning fee | | 0 | |

## Section 3

| Line No. | Description | Requested by PHA | HUD Modifications |
|---|---|---|---|
| **Part A. Formula Expenses** | | | |
| **Project Expense Level (PEL)** | | | |
| 01 | PUM project expense level (PEL) | $376.29 | $376.29 |
| 02 | Inflation factor | 1.02800 | 1.02800 |
| 03 | PUM inflated PEL (Part A, Line 01 times Line 02) | $386.83 | $386.83 |
| 04 | PEL (Part A, Line 03 times Section 2, Line 15, Column B) | $51,062 | $51,062 |
| **Utilities Expense Level (UEL)** | | | |
| 05 | PUM utilities expense level (UEL) (from Line 26 of form HUD-52722 | $177.05 | $177.05 |
| 06 | UEL (Part A, Line 05 times Section 2, Line 15, Column B) | $23,371 | $23,371 |
| **Add-Ons** | | | |
| 07 | Self-sufficiency | $0 | $0 |
| 08 | Energy loan amortization | $0 | $0 |
| 09 | Payment in lieu of taxes (PILOT) | $1,514 | $1,514 |
| 10 | Cost of independent audit | $0 | $0 |
| 11 | Funding for resident participation activities | $275 | $275 |
| 12 | Asset management fee | $0 | $0 |
| 13 | Information technology fee | $264 | $264 |
| 14 | Asset repositioning fee | $0 | $0 |
| 15 | Costs attributable to changes in federal law, regulation, or economy | $0 | $0 |
| 16 | **Total Add-Ons** (Sum of Part A, Lines 07 through 15) | **$2,053** | **$2,053** |
| 17 | **Total Formula Expenses** (Part A, Line 04 plus Line 06 plus Line 16) | **$76,486** | **$76,486** |
| **Part B. Formula Income** | | | |
| 01 | PUM formula income | $162.21 | $162.21 |
| 02 | PUM change in utility allowances | $0.00 | $0.00 |
| 03 | PUM adjusted formula income (Sum of Part B, Lines 01 and 02) | $162.21 | $162.21 |
| 04 | **Total Formula Income** (Part B, Line 03 times Section 2, Line 15, Column B) | **$21,412** | **$21,412** |
| **Part C. Other Formula Provisions** | | | |
| 01 | Moving-to-Work (MTW) | $0 | $0 |
| 02 | Transition funding | $0 | $0 |
| 03 | Other | $0 | $0 |
| 04 | **Total Other Formula Provisions** (Sum of Part C, Lines 01 through 03) | **$0** | **$0** |
| **Part D. Calculation of Formula Amount** | | | |
| 01 | Formula calculation (Part A, Line 17 minus Part B, Line 04 plus Part C, Line 04) | $55,074 | $55,074 |
| 02 | Cost of independent audit (Same as Part A, Line 10) | $0 | $0 |
| 03 | Formula amount (greater of Part D, Lines 01 or 02) | **$55,074** | **$55,074** |
| **Part E. Calculation of Operating Subsidy (HUD Use Only)** | | | |
| 01 | Formula amount (same as Part D, Line 03) | | $55,074 |
| 02 | Adjustment due to availability of funds | | $0 |
| 03 | HUD discretionary adjustments | | $0 |
| 04 | **Funds Obligated for Period** (Part E, Line 01 minus Line 02 minus Line 03) | | |