# ATTACHMENT 1

FISCAL YEAR 1998 ALLOCATIONS FOR SUPPORTIVE HOUSING FOR THE ELDERLY FISCAL YEAR 1998 SECTION 202 ALLOCATIONS

		METI	METROPOLITAN	NONMETROPOLITAN	OLITAN	
TOTALS	-		CAPI	CAPITAL ADVANCE	CAPITAL	
ADVANCE OFFICES	CAPITAL ADVANCE AUTHORITY	UNITS	AUTHORITY	UNITS	AUTHORITY	UNITS
				:		
BOSTON HUB	~	172	731 762	σ	14 660 381	181
DOSCOII Hart ford	4α	3 α - α	405,792	ر در	7 348 177	101
Manchostor	0,741,000 0,040,000	4 0	2,153,835	33	5,092,661	77
Drowingerer	זו נ		20 / COT /	) (	4 529 651	. LC
TOTAL	\$ 27,933,689	353	3,697,181	52	31,630,870	405
<b>NEW YORK HUB</b> New York	\$ 41,649,087	474	439,608	S	42,088,695	479
<b>BUFFALO HUB</b> Buffalo	\$ 10,037,944	132	1,939,433	25	11,977,377	157
PHILADELPHIA HUB Charleston	\$ 1,339,114	20	1,097,944	16	2,437,058	36
Newark	15,973,798	197	0	0	15,973,798	197
Pittsburgh	5,963,619	84	1,225,255	17	7,188,874	101
Philadelphia	13,091,151	166	1,602,997	20	14,694,148	186
TOTAL	\$ 36,367,682	467	3,926,196	53	40,293,878	520

FISCAL YEAR 1998 ALLOCATIONS FOR SUPPORTIVE HOUSING FOR THE ELDERLY FISCAL YEAR 1998 SECTION 202 ALLOCATIONS

	METROPOLITAN	ITAN	NONMETROPOLITAN	ITAN	TOTALS	
OFFICES	CAPITAL ADVANCE AUTHORITY	UNITS	CAPITAL ADVANCE AUTHORITY	UNITS	CAPITAL ADVANCE AUTHORITY	UNITS
BALTIMORE HUB						
Baltimore Richmond	\$ 5,081,750 4,076,335 5,423,833	72 68 73	696,420 1,372,856 0	10 23 0	5,778,170 5,449,191 5,423,833	82 91 73
• • •						
TOTAL	\$ 14,581,918	213	2,069,276		16,651,194	246
GREENSBORO HUB						
Columbia Greensbo <b>r</b> o	\$ 3,114,907 6,014,615	48	1,141,145 2,773,050	17	4,256,052 8,787,665	65 116
TOTAL	\$ 9,129,522	127	3,914,195	54	13,043,717	181
ATLANTA HUB Atlanta	\$ 4.619.633	77	2,061,585	34	6,681,218	111
; ; ; ;		7		,	110	r,
san Juan Louisville	3,216,301	50	1,716,799	27		77
Knoxville	2,147,040	38	643,568	11	90'6	49
Nashville	3,065,606	53	1,088,217	19	,153,82	72
		,		,		0
TOTAL	\$ 16,089,430	259	6,581,662	105	22,671,092	364

FISCAL YEAR 1998 ALLOCATIONS FOR SUPPORTIVE HOUSING FOR THE ELDERLY

	FISCAL YE	L YEAR 1998 S	SECTION 202 ALLOCATIONS	TIONS		
	METROPOLITAN	LTAN	NONMETROPOLITAN	TAN	TOTALS	
	CAPITAL ADVANCE		CAPITAL ADVANCE		CAPITAL ADVANCE	
OFFICES	AUTHORITY	UNITS	AUTHORITY	UNITS	AUTHORITY	UNITS
JACKSONVILLE HUB		(	i i	Ĺ	, , , , , , , , , , , , , , , , , , ,	•
Jacksonville	\$ 14,631,792	231	925,540	15	15,557,332	246 02
Birmingnam Tackgon	$\sim$	0 0	1,429,301	7 0	4,6/1,002 2,769,158	20 40 40
	Η					
TOTAL	\$ 19,202,887	309	3,994,605	89	23,197,492	377
CHICAGO HUB		710	и	22	70 165 937	240
Cnicago Traispanais	0/7'07C'/T ¢	ν Σ Σ	1 506 829	0 0 6	785 1837 937	104
Tildrailaborts	001100010					
TOTAL	\$ 22,885,034	297	4,146,390	26	27,031,424	353
COLUMBUS HUB	•	,	()	t		1
Cincinnati	\$ 4,164,425	69	321,252	ა :	4,485,677	07
Cleveland	$\sim$	107	1,024,425	14	8,722,771	121
,		•	0		( L L	(
Columbus	3,154,963	49	1,100,274	1.7	4,255,237	90
TOTAL	\$ 15,017,734	221	2,445,951	36	17,463,685	257
			•			

FISCAL YEAR 1998 ALLOCATIONS FOR SUPPORTIVE HOUSING FOR THE ELDERLY

	UNITS		118	181	115	217	132	77	82 68	421
	TOTALS CAPITAL ADVANCE AUTHORITY		8,734,471 3,953,709	12,688,180	8,373,535 7,991,220	16,364,755	7,765,680	4,553,849 3,340,546	4,699,540 3,850,385	24,210,000
TIONS	TAN		18	23	30	57	30	12 26	16	96
SECTION 202 ALLOCATIONS	NONMETROPOLITAN CAPITAL ADVANCE AUTHORITY UN		370,285	1,492,488	2,180,078 2,134,029	4,314,107	1,793,110	699,560 1,392,193	913,775	5,492,496
1	TAN UNITS		113	158	85	160	102	65 36	66 56	325
FISCAL YEAR 1998	METROPOLITAN CAPITAL ADVANCE AUTHORITY UN		\$ 8,364,186 2,831,506	\$ 11,195,692	\$ 6,193,457 5,857,191	\$ 12,050,648	\$ 5,972,570	3,854,289 1,948,353	3,785,765 3,156,527	\$ 18,717,504
	OFFICES	DETROIT HUB	Detroit Grand Rapids	TOTAL	MINNEAPOLIS HUB Milwaukee Minneapolis	TOTAL	<b>FT. WORTH HUB</b> Ft. Worth	Houston Little Rock	New Orleans San Antonio	TOTAL

FISCAL YEAR 1998 ALLOCATIONS FOR SUPPORTIVE HOUSING FOR THE ELDERLY

	FISCAL YEAR	AR 1998 SECTION	TION 202 ALLOCATIONS	TIONS		
0 t & E-OE	METI	POLITA		NONMETROPOLITAN		
10 ISLUS ORFITCES	CAPITAL ADVANCE	NCE INITE	CAPITAL ADV	ADVANCE	CAPITAL ADVANCE	ANCE
		211110	44 44 44 44 44 44 44 44 44 44 44 44 44			1
KANSAS CITY HUB						:
Des Moines	\$ 2,323,760	38	1,679,447	27	4,003,207	65
Kansas City	92		,686,0		684,92	89
Omaha	99		24,1		161,78	32
Oklahoma City	σ		,189,		696,52	65
St. Louis	74		29,1		755,91	80
TOTAL	\$ 14,394,088	224	6,908,269	110	21,302,357	334
DENVER HITE						
Denver	\$ 6,803,572	102	2,339,655	38	9,143,227	140
SAN FRANCISCO HUB						
Honolulu (Guam)	\$ 2,434,752		08,68	2	043,44	25
Phoenix	3,606,448	09	578,417	10	4,184,865	20
Sacramento	4,799,921		45,56	11	645,48	71
San Francisco	14,187,613		23,82	10	011,44	185
TOTAL	\$ 25,028,734	315	2,856,498	36	27,885,232	351

FISCAL YEAR 1998 ALLOCATIONS FOR SUPPORTIVE HOUSING FOR THE ELDERLY

	FISCAL YE	FISCAL YEAR 1998 SECTION 202 ALLOCATIONS	ON 202 ALLOCA	SNOIL		
	METROPOLITAN	ITAN	NONMETROPOLITAN	TAN	TOTALS	
	CAI	CAPITAL ADVANCE	CAPITA	CAPITAL ADVANCE	CAPITAL	၂
ADVANCE OFFICES	AUTHORITY	UNITS	AUTHORITY	UNITS	AUTHORITY	UNITS
LOS ANGELES HUB	70000	C U	000	U	28 452 643	2 6 6
LOS ANGELES	C76, TCU, 82	000	400,120	n	C # 0 / 7 C # / O 7	CCC
SEATTLE HUB						
Anchorage	\$ 2,434,752	20	608,688	S	3,043,440	25
Portland	4,377,067	61	1,552,869	22	5,929,936	83
Seattle	6,088,705	80	1,239,291	16	7,327,996	96
TOTAL	\$ 12,900,524	161	3,400,848	43	16,301,372	204
NATIONAL TOTAL	\$342,037,612	4,647	60,359,578	895	402,397,190	5,542

## ATTACHMENT 2

Fiscal Year 1998 Allocations for Supportive Housing for Persons with Disabilities
[Fiscal Year 1998 Section 811 Allocations]

Office	Capital Advance Authority	Units
Boston HUB:		
Boston**	1,830,164	24
Hartford	1,341,593	17
Manchester	632,702	10
Providence	775,704	10
Total	4,580,163	61
New York HUB:		•
New York	4,201,487	50
Total	4,201,487	50
Buffalo HUB:		
Buffalo	1,539,093	21
Total	1,539,093	21
Philadelphia HUB:		
Newark	2,332,929	30
Pittsburgh	1,375,826	20
Philadelphia	2,436,828	32
Charleston	1,027,837	16
Total	7,173,420	98
Baltimore HUB:		
Baltimore	1,235,651	18
Richmond	1,166,701	20
D.C.	1,311,197	19
Total	3,713,549	57

Fiscal Year 1998 Allocations for Supportive Housing for Persons with Disabilities
[Fiscal Year 1998 Section 811 Allocations]

Office	Capital Advance Authority	Units
Greensboro HUB:		
Columbia	1,266,240	20
Greensboro	2,033,243	28
Total	3,299,483	48
Atlanta HUB:		
Atlanta	1,559,825	27
San Juan	1,474,968	21
Louisville	1,279,740	21
Knoxville	880,234	16
Nashville	969,444	18
Total	6,164,211	1.03
Jacksonville HUB:		
Jacksonville	2,857,268	47
Birmingham	1,312,196	23
Jackson	1,027,605	19
Total	5,197,069	89
Chicago HUB:		
Chicago	2,933,910	38
Indiana	1,436,832	23
Total	4,370,742	61
Columbus HUB:		
Cincinnati	999,946	16
Cleveland	1,652,626	24
Columbus	1,003,249	16
Total	3,655,821	56

# Fiscal Year 1998 Allocations for Supportive Housing for Persons with Disabilities [Fiscal Year 1998 Section 811 Allocations]

Office	Capital Advance Authority	Units
Detroit HUB:		
Detroit	1,936,041	27
Grand Rapids	597,939	10
Total	2,533,980	37

## Fiscal Year 1998 Allocations for Supportive Housing for Persons with Disabilities [Fiscal Year 1998 Section 811 Allocations]

Capital Office Units Advance Authority Minneapolis HUB: 1,322,695 19 Milwaukee 17 Minneapolis 1,291,346 2,614,041 36 Total Ft. Worth HUB: Ft. Worth 1,682,494 30 Houston 1,220,144 21 Little Rock 905,754 18 New Orleans 1,235,594 22 San Antonio 20 1,099,397 6,143,383 111 Total Kansas City HUB: Des Moines 591,474 10 Kansas City 1,189,668 20 Omaha 591,474 10 Oklahoma City 970,253 18 St. Louis 18 1,235,942 4,578,811 76 Total Denver HUB: Denver 1,514,967 24 1,514,967 24 Total San Francisco HUB: 1,163,556 10 Honolulu (Guam) Phoenix 18 1,019,473 10 Sacramento 766,008

Fiscal Year 1998 Allocations for Supportive Housing for Persons with Disabilities

[Fiscal Year 1998 Section 811 Allocations]

Office	Capital Advance Authority	Units
San Francisco	2,319,414	30
Total	5,268,451	68
Los Angeles HUB:		
Los Angeles	4,137,246	54
Total	4,137,246	54
Seattle HUB:		
Alaska	1,163,556	10
Portland	1,188,282	18
Seattle	1,335,167	18
Total	3,687,005	46
National Total	74,372,922	1,096

<sup>\*\*</sup> The amount for the Boston Office includes Capital Advance Authority of \$529,300 to fund Employment Options, Inc., Marlborough, Massachusetts. Since this 6-unit project was not selected in Fiscal Year 1997 by HUD error, this application will be funded from the Fiscal Year 1998 allocation to the Boston Office.

#### SECTION 811 WORKSHOP INSTRUCTIONS

The local HUD Office will send a copy of the Funding Notification and information regarding the date, time and place of the workshop (ATTACHMENT 5) to the following:

- Disabled and minority media, and minority and other organizations involved in housing and community development within the Office's jurisdiction;
- Groups with a special interest in housing for persons with disabilities, including State and local disability agencies (e.g., Department of Mental Health and Developmental Disabilities); State Independent Living Councils and Centers for Independent Living;
- The applicable State Single Point of Contact (Executive Order 12372) and Chief Executive Officers of appropriate units of State/local government in all instances where there is a Consolidated Plan.

In addition, the following must be notified, where feasible:

- Trade association journals;
- Associations representing persons with disabilities;
- State Agencies, such as Departments of Human Resources;
- Fair Housing Groups (the names and addresses of such organizations and groups shall be provided to the PC&R staff by the Equal Opportunity Division Directors).

#### FUNDING NOTIFICATION FOR FISCAL YEAR 1998 SECTION 202 SUPPORTIVE HOUSING FOR THE ELDERLY CAPITAL ADVANCE PROGRAM

The Department of Housing and Urban Development will accept applications from private nonprofit organizations for rental or cooperative housing under the Section 202 Capital Advance Program for Supportive Housing for the Elderly subject to the following:

	<u>Units</u>	<u>Capital Advance</u>
METROPOLITAN AREA:	<u></u>	\$
NONMETROPOLITAN AREA:		
This represents the fundin The minimum number of unit number is 125* (including submitting applications fo identified above may not r the specific area (metropo	s per application the manager's under units in eithe equest more unitalitan or nonmetro	n is 5 and the maximum it). Applicants r of the areas s than advertised for opolitan).
which may be obtained from 800-HUD-8929 (TDD: 1-800-4	the SuperNOFA I	nformation Center at 1-
		; or on the Internet by
accessing the HUD Homepage	at http://www.h	ud.gov.
This office will conduct a for interested applicants distribute Application Kit procedures. The facility individuals with disabilit	to explain the S s and to discuss for the workshop	ection 202 program, to application is accessible to
THE DEADLINE DATE FOR THE 1998.	SUBMISSION OF AP	PLICATIONS IS JULY 7,

<sup>\*</sup> If your office's allocation is less than 125 units, then insert that number instead of 125.

## FUNDING NOTIFICATION FOR FISCAL YEAR 1998 SECTION 811 SUPPORTIVE HOUSING FOR PERSONS WITH DISABILITIES CAPITAL ADVANCE PROGRAM

The Department of Housing and Urban Development will accept applications from nonprofit organizations for rental or cooperative housing under the Section 811 Capital Advance Program for Supportive Housing for Persons with Disabilities subject to the following:

	<u>Units</u>	<u>Capital Advance</u>	
		\$	
This represents a	the funding avai not request more	lable for the units than available.	Office.
which may be obta	ained from the S	s contained in an Appl uperNOFA Information C ); or from	Center at 1-
/ 1	TITO Office Nalabase		
Internet by HUD		ss) ; or on ://www.hud.gov.	n tne
This office will for interested and distribute Application procedures. The	Homepage at http conduct a works oplicants to exp cation Kits and facility for th		at <u>(time)</u> program, to ole to

Fiscal Year 1998 Policy for Section 202 and Section 811
Applications Processing and Selections

The modifications outlined below eliminate the need for technical review documents being forwarded to Headquarters for review.

Separate selection lists, lists of unfunded but approvable applications and lists of applications that received base scores below 60 for the Section 202 and Section 811 programs are still to be submitted to Headquarters prior to completion of the selection and announcement process.

Residual funds not used by Multifamily Hubs for each program shall be identified in the transmittal memorandum to accompany the above lists. These funds will be recaptured by Headquarters and will be used to restore units, where possible, to projects that had units reduced in order to be selected and to fund additional applications based on a national rank order.

Headquarters will coordinate Congressional notification of selected applicants with the Office of Congressional and Intergovernmental Relations based upon Congressional Notification Memoranda completed by HUD Offices. See Attachment 7 for current Congressional Notification Memorandum format.

Responsibility for notifying State Points of Contact of nonaccommodations has been transferred from Headquarters to the local HUD Offices.

#### REVISED REVIEW, RATING AND SELECTION PROCEDURES

The following revised review, rating and selection procedures are to be used in place of Paragraphs 3-51 through 3-58 of Handbooks 4571.3 REV-1 and 4571.2.

### A. <u>Considerations Prior to Forwarding Applications to the</u> Rating Panel.

1. Applications found unapprovable during technical processing cannot be rated or considered by the Rating Panel. NOTE: Sponsors whose applications were found technically unapprovable must be promptly notified when all technical reviews are complete. The letters shall be sent by certified mail and shall innumerate all reasons for technical rejection. Sponsors shall have 14 days from the date of the letter to appeal the rejection.

- 2. The selection process cannot take place until after receipt of comments from both the State Single Point of Contact or upon expiration of the comment period, whichever occurs first.
- 3. HUD Offices should alert the Rating Panel of any applications with adverse State comments.
- The Environmental Assessment and Compliance Findings 4. for the Related Laws Form (Form 4128) must be completed for applications with satisfactory evidence of site control, all compliance findings made, including any Finding of No Significant Impact, and properly executed by the before technical processing can be completed. For projects that required the WRC 8-Step procedure (Floodplain-Wetlands), the Form 4128 should indicate that Steps 1 through 6 have been completed, documentation attached. Also, if the application does not include the letter from the SHPO indicating that the site has not historic significance the applicable determination under Historic Preservation procedures must be made and documented by HUD Office staff. After completion of technical processing, the Form 4128 must be executed by the Multifamily Director and attached to the Valuation Technical Processing and Review Findings Memorandum.
- 5. HUD Offices should have initiated the eight-step process for sites located in the 100-year floodplain prior to submission to the Rating Panel. The first six steps must be completed prior to submission to Headquarters.
- B. <u>Notification of Technical Rejection</u>. Upon completion of technical processing, a marked-up copy of the Application Log shall be sent to Headquarters, Attention: Office of Business Products, Room 6138, noting each technical reject application.
- C. Determining Approvable Applications.
  - 1. Establishing the Rating Panel. The HUD Office will convene a Rating Panel to assure each Section 202 and Section 811 application is approvable and to rate the approvable applications.
  - 2. <u>Composition of Panel</u>. The Panel will include the Project Manager and staff from the following Technical Disciplines:
    - a. Valuation
    - b. Architectural and Engineering

- c. Economic and Market Analysis
- d. Fair Housing and Equal Opportunity
- e. Community Planning and Development
- 3. Area of Competition (Section 202 Only). All metropolitan applications will compete against each other and all nonmetropolitan applications will compete against each other within each local HUD Office's jurisdiction.
- 4. Review for Consistency. If the Supervisory Project Manager's review reveals that a particular Technical Discipline's review comments have violated or are inconsistent with any outstanding instructions, the Manager shall take corrective action prior to making selections. Such items should be noted and maintained in the application file.
- 5. Recommended Scores. Based on the findings from the Technical Processing Review and Findings Memoranda, the Panel will assign recommended points for each of the rating criteria and Rating Factors on the appropriate Standard Rating Criteria Form (Attachment 12 for 202, Attachment 13 for 811).
- 6. Rank Order. All approvable applications are to be placed in rank order.
- D. <u>Selection of Applications</u>. A Panel shall be convened to select applications according to the following process:
  - 1. <u>Descending Order</u>. Applications shall be selected in descending order which most reasonably approximate the number of units and capital advance authority allocated to each HUD Office without skipping over a higher rated application. For Section 202, this process must be done separately for the metropolitan and nonmetropolitan categories.
  - 2. <u>Units and Dollars Control</u>. The number of units and dollars stated in the NOFA and this Notice controls. Therefore, a HUD Office may not select more units nor approve more funds than it was allocated.
  - 3. <u>Minimum Score</u>. Only those applications that receive a score of 60 base points or above may be considered for selection. (The base score does not include bonus points.)

NOTE: In no case may applications with technical deficiencies (e.g., ineligible Sponsor,

missing or unsatisfactory Supportive Services Certification (Section 811), be considered by HUD Office panels, or included on the lists described in E. 1. and 2. below.

- 4. Residual Funds. After making the initial selections, any residual funds may be utilized to fund the next rank-ordered application by reducing the units by no more than 10 percent rounded to the nearest whole number; provided the reduction will not render the project infeasible. Applications proposing 9 units or less may not be reduced. For Section 202, the HUD Office may then combine its unused metropolitan and nonmetropolitan funds in order to select the next ranked application in either category, using the unit reduction policy, if necessary.
- 5. <u>Approvable but Unfunded Applications</u>. After the above process has been completed, HUD Offices must identify all unfunded but otherwise approvable applications.
- Multifamily Hub's Use of Residual Funds. After the HUD б. Offices within each Hub have funded all possible projects for the Section 202 and Section 811 programs, the residual funds will be combined within each program (for Section 202, metropolitan and nonmetropolitan funds are to be combined). These funds will first be used to restore units to projects reduced by HUD Then, additional applications within each Offices. Multifamily Hub will be selected in rank order with no more than one application selected per HUD Office unless there are insufficient approvable applications in other HUD Offices within the Multifamily Hub. This process will continue until there are no more approvable applications within the Multifamily Hub that can be selected with the remaining funds. If necessary, any remaining residual funds may be used to fund the next rank-ordered application by reducing the number of units by no more than 10 percent, rounded to the nearest whole number, provided the reduction will not render the project infeasible. HUD will not reduce the number of units in projects of nine units or less.
- 7. Headquarters' Use of Residual Funds. Headquarters will use residual funds first to restore units to projects that were reduced by HUD Offices and/or Multifamily Hubs (with the exception that in the Section 202 program the residual funds will be used first to fund a FY 1996 application submitted by AHEPA which was not selected due to HUD error) and, second, for selecting additional applications on a national rank order. However, no more than one application will be selected