APPENDIX 9

PHA/RMC/AME:                FYE:   Date:

INDICATOR #8, SECURITY

This indicator evaluates a PHA's performance in tracking crime-related problems in its developments, reporting incidence of crime to local law enforcement agencies, the adoption and implementation of tough applicant screening and resident eviction policies and procedures, performance under any HUD drug prevention or crime reduction grants(s).

PHAs with fewer than 250 units will not be assessed under this indicator unless they so request it.

Does PHA have fewer than 250 units? |_| Yes |_| No

If PHA has fewer than 250 units, did the PHA choose to be assessed under this indicator at the time of PHMAP certification submission? |_| Yes |_| No

Information from the Certification Form/IBS:

1. Has PHA Board adopted policies and implemented procedures to track crime-related problems: |_| Yes |_| No

2. Percentage of developments where PHA tracks crime-related problems: ______ %

3. PHA can document that it has a cooperative system for tracking and reporting incidents of crime to local police authorities: |_| Yes |_| No

4. PHA can document it reports crime to local police authorities? |_| Yes |_| No

5. PHA has Board adopted policies and implemented screening procedures that reflect the One-Strike criteria: |_| Yes |_| No

Component #1, Continued:

Verification Questions, Continued

4. Review program documentation; is there evidence that the PHA is tracking crime-related problems in its developments: |_| Yes |_| No
Number of police incident reports by development:

Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Total: ______

Crime statistics by development:

Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Total: ______

Listing of police service calls by development:

Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Dev: ______
Total: ______

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APPENDIX 9

Component #1, Continued:

Verification Questions, Continued

5. Listing of criminal activity or crime-related problems (Parts I and II crimes based on the FBI's UCR system) identified by site management:

<table>
<thead>
<tr>
<th>Development</th>
<th>Activity</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>4/97</td>
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5
Component #1, Continued:

Verification Questions, Continued

6. Reporting of criminal activity (Parts I and II crimes based on the FBI's UCR system) to local police:

Does the PHA receive statistical reports from local police on crimes reported by the PHA? |__| Yes |__| No

Does the PHA have a policy that encourages/requires PHA employees to report crime and crime-related problems, or suspected crime and crime-related problems to local police? |__| Yes |__| No

Date of policy: ________________________________

Does the PHA maintain records to indicate that PHA employees are reporting crime and crime-related problems or suspected crime and crime-related problems to local police? |__| Yes |__| No

Does the PHA maintain copies of communications from the PHA to local police concerning criminal activity on PHA property? |__| Yes |__| No

Does the PHA maintain copies of communications to residents encouraging the reporting of crime and crime-related problems? |__| Yes |__| No

Random inspections of developments by reviewer:

Dev:
Criminal activity evident? |__| Yes |__| No
Drug dealing evident? |__| Yes |__| No
Vandalism evident? |__| Yes |__| No
Graffiti evident? |__| Yes |__| No
Criminal activity evident? |__| Yes |__| No
Drug dealing evident? |__| Yes |__| No
Vandalism evident? |__| Yes |__| No
Graffiti evident? |__| Yes |__| No

Dev:
Criminal activity evident? |__| Yes |__| No
Drug dealing evident? |__| Yes |__| No
Vandalism evident? |__| Yes |__| No
Graffiti evident? |__| Yes |__| No

Continue on additional pages, as necessary.

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7. Cooperating with the local police in tracking crime and crime-related problems:

Number of units deprogrammed for use as police substations: _____ | N/A
Number of periodic and regular meeting between local police and PHA site management: _____ | N/A
Number of cases where the PHA provided access to local police to vacant units in order to facilitate surveillance and pursuit: _____ | N/A
Number of cases where the PHA provided community space for police/community meetings: _____ | N/A
Does the local police provide input into the development and implementation of drug elimination grants? Yes No N/A
Does the local police provide input into modernization planning? Yes No N/A
Operation Safe Home and other Federal/local law enforcement efforts? Yes No N/A
Gun and drug sweeps? Yes No N/A
Youth counseling? Yes No N/A
Youth recreational activities? Yes No N/A
Tenant security training? Yes No N/A
Community policing? Yes No N/A
Designating target-free drug zones? Yes No N/A
Security surveys? Yes No N/A
Sting operations? Yes No N/A
Cooperation in PHA lease enforcement efforts? Yes No N/A
Police residency in public housing? Yes No N/A
Other: 
Other: 

Does the PHA employ its own security guards (in-house or contracted)? Yes No N/A
If so, do the PHA guards work in close cooperation with local police? Yes No N/A
Number of joint projects and operations: _____ | N/A
Do local police screen PHA guard applicants? Yes No N/A
Do local police train PHA guards? Yes No N/A
Other: 

8. Calculation of the percentage of developments where the PHA tracks crime and crime-related problems:
APPENDIX 9

Component #2, Screening of Applicants. This component assesses whether a PHA has adopted policies and implemented procedures and can document that it successfully screens out and denies admission to applicants that might adversely affect the health, safety or right to peaceful enjoyment of the premises of other residents or PHA personnel.

Verification Questions

1. Review Board minutes; has the PHA passed a Board resolution where policies and procedures were adopted to screen out and deny admission to public housing applicants who:
   (1) have a recent history of criminal activity; (2) was evicted, because of drug-related criminal activity;
   (3) the PHA has reasonable cause to believe is illegally using a controlled substance; or (4) the PHA has reasonable cause to believe abuses alcohol in a way....
   Date of resolution: 
   Date of Board minutes: 

2. Was the resolution in effect for the entire assessment year?

3. Does PHA's Admission Policy contain language that assures applicants meeting these four criteria be rejected?

4. Review applicant files; is there documentation showing the results of these procedures:
   | | Applicants rejected for any of these four reasons?
   | | Request for police records and the
results of the requests?
|_| Interviews with previous landlords/neighbors and results of interviews?
|_| Credit checks and results?
|_| Home visits and documentation on results?
|_| Check lists with dates of screening milestones?
|_| Rejection letters to applicants who meet any of the four criteria cited?

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APPENDIX 9

Component #2, Continued:

Verification Questions, Continued  Yes  *No  N/A

5. Do interviews indicate compliance with screening criteria:

|_| Site managers?
|_| Law enforcement personnel?
|_| Resident leaders?

6. Do internal reports indicate results of screening:

Number of applications received:  
Number of applications approved:  
Number of applications rejected:  
Number of applications withdrawn:  
Number of applicants that did not follow-up:  

7. Has the management function of component #2 been assumed by an RMC/AME?

8. Modification/exclusion requests:

* All "No" answers should be explained below or attached.

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APPENDIX 9

Component #3, Lease Enforcement. This component assesses whether a PHA has adopted policies and implementing procedures and can document that it appropriately evicts residents who the PHA has reasonable cause to believe threatens the health, safety, or right to peaceful enjoyment of the premises by other residents or PHA personnel.

Verification Questions  Yes  *No  N/A

1. Review Board minutes; has the PHA
passed a Board resolution where an occupancy policy and procedures were adopted to evict residents who:
(1) engage in activity that involves crimes to persons or property that affect the health, safety or welfare of residents or PHA personnel; (2) drug-related criminal activity; or (3) abuses alcohol in a way that interferes with....

Date of Board resolution:
Date of Board minutes:

2. Was the resolution in effect for the entire assessment year?

3. Does PHA's adopted Occupancy Policy contain language that assures residents meeting these three criteria are evicted?

4. Review applicant files; is there documentation showing the results of these procedures:
   - Requests for police records and the results of the requests?
   - Copies of eviction notices sent to lease holders in violation of the three criteria cited?
   - Copies of court judgements based upon cases brought for the three criteria cited?
   - Warning letters issued to residents?
   - Checklists with eviction milestones?
   - Record of home visits and results?

APPENDIX 9

Component #3, Continued:

Verification Questions, Continued *Yes N/A

5. Interviews and results to determine if residents are evicted according to the criteria cited:

Site managers:
Local police representatives:
Resident leaders:

6. Review of occupancy internal reports.

Number evictions initiated by the PHA: ________
Number of successful evictions: ________
Number of residents that gave notice prior to eviction:  
Number of residents that abandoned property:  

7. Does the PHA issue periodic reports to the Board of Commissioners listing for-cause evictions?

8. Has the management function of component #3 been assumed by an RMC/AME?

9. Modification/exclusion requests:

   * All "No" answers should be explained below or attached.

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APPENDIX 9

Component #4, Grant Management Goals. This component examines a PHA's management of HUD-funded drug prevention or crime reduction programs, or non-HUD funded programs, if the PHA chooses to be assessed under such programs.

<table>
<thead>
<tr>
<th>Verification Questions</th>
<th>Yes</th>
<th>*No</th>
<th>N/A</th>
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</table>

1. Did the PHA choose to be assessed under non-HUD funded programs?

2. Review program goals; list drug prevention or crime reduction programs and goals:

<table>
<thead>
<tr>
<th>Program</th>
<th>Goal</th>
<th>Goal Met</th>
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</table>
Total Goals/Goals Met:

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APPENDIX 9

Component #4, Continued:

Verification Questions                  Yes  No*  N/A

3. Calculation of percentage of goals met:
   a. Total number of goals included
      in implementation plan(s):  __________
   b. Total number of goals met by the PHA:  __________
   c. Percentage of goals met (divide 3b by 3a):  __________%

4. Has the management function of component #4 been assumed by an RMC/AME?

5. Modification/exclusion requests:
   * All "No" answers should be explained below or attached.

Summary

Component #1, Tracking and Reporting Crime-Related Problems:
   Initial Grade:      _____     Confirmed Grade:         _____

Component #2, Screening of Applicants:
   Initial Grade:      _____     Confirmed Grade:         _____

Component #3, Lease Enforcement:
   Initial Grade:      _____     Confirmed Grade:         _____

Component #4, Grant Program Goals:
   Initial Grade:      _____     Confirmed Grade:         _____

Overall Indicator:
   Initial Grade:      _____     Confirmed Grade:         _____

Reviewer:

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COPY OF FORM HUD-50072, PUBLIC HOUSING MANAGEMENT ASSESSMENT
PROGRAM (PHMAP) CERTIFICATION, CAN BE FOUND ON THE WWW IN THE HUDCLIPS FORMS DATABASE.