

APPENDIX 9

PHA/RMC/AME:

FYE:

Date:

INDICATOR #8, SECURITY

This indicator evaluates a PHA's performance in tracking crime-related problems in its developments, reporting incidence of crime to local law enforcement agencies, the adoption and implementation of tough applicant screening and resident eviction policies and procedures, performance under any HUD drug prevention or crime reduction grants(s).

PHAs with fewer than 250 units will not be assessed under this indicator unless they so request it.

Does PHA have fewer than 250 units? Yes No

If PHA has fewer than 250 units, did the PHA choose to be assessed under this indicator at the time of PHMAP certification submission? Yes No

Information from the Certification Form/IBS:

- 1. Has PHA Board adopted policies and implemented procedures to track crime-related problems: Yes No
- 2. Percentage of developments where PHA tracks crime-related problems: _____%
- 3. PHA can document that it has a cooperative system for tracking and reporting incidents of crime to local police authorities: Yes No
- 4. PHA can document it reports crime to local police authorities? Yes No
- 5. PHA has Board adopted policies and implemented screening procedures that reflect the One-Strike criteria: Yes No

APPENDIX 9

Component #1, Continued:

Verification Questions, Continued

- 4. Review program documentation; is there evidence that the PHA is tracking crime-related problems in its developments: Yes No

APPENDIX 9

Component #1, Continued:

Verification Questions, Continued

6. Reporting of criminal activity (Parts I and II crimes based on the FBI's UCR system) to local police:

Does the PHA receive statistical reports from local police on crimes reported by the PHA? Yes No

Does the PHA have a policy that encourages/ requires PHA employees to report crime and crime-related problems, or suspected crime and crime-related problems to local police? Yes No

Date of policy: _____

Does the PHA maintain records to indicate that PHA employees are reporting crime and crime-related problems or suspected crime and crime-related problems to local police? Yes No

Does the PHA maintain copies of communications from the PHA to local police concerning criminal activity on PHA property? Yes No

Does the PHA maintain copies of communications to residents encouraging the reporting of crime and crime-related problems? Yes No

Random inspections of developments by reviewer:
Dev:

- Criminal activity evident? Yes No
- Drug dealing evident? Yes No
- Vandalism evident? Yes No
- Graffiti evident? Yes No
- Criminal activity evident? Yes No
- Drug dealing evident? Yes No
- Vandalism evident? Yes No
- Graffiti evident? Yes No

- Dev:
- Criminal activity evident? Yes No
- Drug dealing evident? Yes No
- Vandalism evident? Yes No
- Graffiti evident? Yes No

Continue on additional pages, as necessary.

APPENDIX 9

Component #1, Continued:

Verification Questions, Continued

7. Cooperating with the local police in tracking crime and crime-related problems:

Number of units deprogrammed for use as police substations:	_____	_		N/A		
Number of periodic and regular meeting between local police and PHA site management:	_____	_		N/A		
Number of cases where the PHA provided access to local police to vacant units in order to facilitate surveillance and pursuit:	_____	_		N/A		
Number of cases where the PHA provided community space for police/community meetings:	_____	_		N/A		
Does the local police provide input into the development and implementation of drug elimination grants?	_	Yes	_	No	_	N/A
Does the local police provide input into modernization planning?	_	Yes	_	No	_	N/A
Operation Safe Home and other Federal/local law enforcement efforts?	_	Yes	_	No	_	N/A
Gun and drug sweeps?	_	Yes	_	No	_	N/A
Youth counseling?	_	Yes	_	No	_	N/A
Youth recreational activities?	_	Yes	_	No	_	N/A
Tenant security training?	_	Yes	_	No	_	N/A
Community policing?	_	Yes	_	No	_	N/A
Designating target-free drug zones?	_	Yes	_	No	_	N/A
Security surveys?	_	Yes	_	No	_	N/A
Sting operations?	_	Yes	_	No	_	N/A
Cooperation in PHA lease enforcement efforts?	_	Yes	_	No	_	N/A
Police residency in public housing?	_	Yes	_	No	_	N/A
Other:						
Other:						
Does the PHA employ its own security guards (in-house or contracted)?	_	Yes	_	No	_	N/A
If so, do the PHA guards work in close cooperation with local police?	_	Yes	_	No	_	N/A
Number of joint projects and operations:	_____	_		N/A		
Do local police screen PHA guard applicants?	_	Yes	_	No	_	N/A
Do local police train PHA guards?	_	Yes	_	No	_	N/A
Other:						

APPENDIX 9

Component #1, Continued:

Verification Questions, Continued

8. Calculation of the percentage of developments where the PHA tracks crime and crime-related problems:

- a. Total # of PHA developments: _____
 - b. Total of developments problems tracked: _____
 - c. Percentage of developments (divide 8b by 8a): _____
9. Has the management function of component #1 been assumed by an RMC/AME? Yes No

10. Modification/exclusion requests:

* All "No" answers should be explained below or attached.

4/97

8

7460.5 G

APPENDIX 9

Component #2, Screening of Applicants. This component assesses whether a PHA has adopted policies and implemented procedures and can document that it successfully screens out and denies admission to applicants that might adversely affect the health, safety or right to peaceful enjoyment of the premises of other residents or PHA personnel.

Verification Questions	Yes	*No	N/A
1. Review Board minutes; has the PHA passed a Board resolution where policies and procedures were adopted to screen out and deny admission to public housing applicants who: (1) have a recent history of criminal activity; (2) was evicted, because of drug-related criminal activity; (3) the PHA has reasonable cause to believe is illegally using a controlled substance; or (4) the PHA has reasonable cause to believe abuses alcohol in a way.... Date of resolution: Date of Board minutes:			
2. Was the resolution in effect for the entire assessment year?			
3. Does PHA's Admission Policy contain language that assures applicants meeting these four criteria be rejected?			
4. Review applicant files; is there documentation showing the results of these procedures: <input type="checkbox"/> Applicants rejected for any of these four reasons? <input type="checkbox"/> Request for police records and the			

- results of the requests?
- Interviews with previous landlords/ neighbors and results of interviews?
- Credit checks and results?
- Home visits and documentation on results?
- Check lists with dates of screening milestones?
- Rejection letters to applicants who meet any of the four criteria cited?

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APPENDIX 9

Component #2, Continued:

- | Verification Questions, Continued | Yes | *No | N/A |
|--|-----|-----|-------|
| 5. Do interviews indicate compliance with screening criteria: | | | |
| <input type="checkbox"/> Site managers ? | | | |
| <input type="checkbox"/> Law enforcement personnel? | | | |
| <input type="checkbox"/> Resident leaders? | | | |
| 6. Do internal reports indicate results of screening: | | | |
| Number of applications received: | | | _____ |
| Number of applications approved: | | | _____ |
| Number of applications rejected: | | | _____ |
| Number of applications withdrawn: | | | _____ |
| Number of applicants that did not follow-up: | | | _____ |
| 7. Has the management function of component #2 been assumed by an RMC/AME? | | | |
| 8. Modification/exclusion requests: | | | |

* All "No" answers should be explained below or attached.

APPENDIX 9

Component #3, Lease Enforcement. This component assesses whether a PHA has adopted policies and implementing procedures and can document that it appropriately evicts residents who the PHA has reasonable cause to believe threatens the health, safety, or right to peaceful enjoyment of the premises by other residents or PHA personnel.

- | Verification Questions | Yes | *No | N/A |
|--------------------------------------|-----|-----|-----|
| 1. Review Board minutes; has the PHA | | | |

passed a Board resolution where an occupancy policy and procedures were adopted to evict residents who:
 (1) engage in activity that involves crimes to persons or property that affect the health, safety or welfare of residents or PHA personnel; (2) drug-related criminal activity; or (3) abuses alcohol in a way that interferes with....

Date of Board resolution:
 Date of Board minutes:

2. Was the resolution in effect for the entire assessment year?
3. Does PHA's adopted Occupancy Policy contain language that assures residents meeting these three criteria are evicted?
4. Review applicant files; is there documentation showing the results of these procedures:
 - Requests for police records and the results of the requests?
 - Copies of eviction notices sent to lease holders in violation of the three criteria cited?
 - Copies of court judgements based upon cases brought for the three criteria cited?
 - Warning letters issued to residents?
 - Checklists with eviction milestones?
 - Record of home visits and results?

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APPENDIX 9

Component #3, Continued:

Verification Questions, Continued	Yes	*No	N/A
5. Interviews and results to determine if residents are evicted according to the criteria cited: Site managers: Local police representatives: Resident leaders:			
6. Review of occupancy internal reports. Number evictions initiated by the PHA: Number of successful evictions:			_____ _____

<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No

Total Goals/Goals Met:

13

4/97

7460.5 G

APPENDIX 9

Component #4, Continued:

- | Verification Questions | Yes | No* | N/A |
|--|-----|-----|---------|
| 3. Calculation of percentage of goals met: | | | |
| a. Total number of goals included in implementation plan(s): | | | _____ |
| b. Total number of goals met by the PHA: | | | _____ |
| c. Percentage of goals met (divide 3b by 3a): | | | _____ % |
| 4. Has the management function of component #4 been assumed by an RMC/AME? | | | |
| 5. Modification/exclusion requests: | | | |
| * All "No" answers should be explained below or attached. | | | |

Summary

Component #1, Tracking and Reporting Crime-Related Problems:
 Initial Grade: _____ Confirmed Grade: _____

Component #2, Screening of Applicants:
 Initial Grade: _____ Confirmed Grade: _____

Component #3, Lease Enforcement:
 Initial Grade: _____ Confirmed Grade: _____

Component #4, Grant Program Goals:
 Initial Grade: _____ Confirmed Grade: _____

Overall Indicator:
 Initial Grade: _____ Confirmed Grade: _____

Reviewer:

4/97

14

PROGRAM

(PHMAP) CERTIFICATION, CAN BE FOUND ON THE WWW IN THE HUDCLIPS
FORMS DATABASE.