

Assurances for a HOPE VI Application

As part of your application for HOPE VI funding, you, as the official authorized to sign on behalf of your organization or as an individual must provide the following assurances. By submitting this form, you are stating that to the best of your knowledge and belief, all assurances are true and correct.

Public Housing Authority: _____

Development: _____

Name of Authorized Official (signature not needed): _____

Selection of Developer Assurance.

You assure that (indicate which one applies):

- _____ (a) By the application deadline date, you have initiated a request for qualifications (RFQ) for the competitive procurement of a developer for your first phase of construction, in accordance with 24 CFR 85.36 and 24 CFR 941.602(d) (as applicable), or you already have a developer procured/Master Development Agreement in place. If you change developers after you are selected for funding, HUD reserves the right to rescind the grant; or
- _____ (b) You will act as your own developer for the proposed project. If you change your plan and procure an outside developer after you are selected for funding, HUD reserves the right to rescind the grant.

Resident Involvement in the Revitalization Program Assurance.

You assure that you have involved affected public housing residents at the beginning and during the planning process for the revitalization program, prior to submission of your application, in accordance with the resident and community involvement requirements listed in the Program Requirements section, Section III.C. of the HOPE VI NOFA. If you have not included affected residents in the planning process, your application will not be considered for funding.

The Program Requirements from Section III.C. are as follows:

I. Resident and Community Engagement.

(1) General. You are required to engage the affected public housing residents, state and local governments, private service providers, financing agencies, and developers in the planning process, proposed implementation, and management of your revitalization plan. This engagement must be continuous from the beginning of the planning process through the implementation and management of the grant, if awarded.

(2) Resident Training Session. You must conduct at least one training session for residents of the severely distressed project on the HOPE VI development process. HUD does not prescribe the content of this meeting; however HUD encourages applicants to make every effort to *engage* residents in the planning process, to train on the process and decision making to come, to solicit feedback, and to incorporate residents input in meaningful ways. This means that the "Resident Training Session" should not be purely informational.

(3) Public Meetings.

(a) You must conduct at least three public meetings with residents and the broader community, in order to involve them in a meaningful way in the process of developing the revitalization plan and preparing the application. One of these meetings must have taken place at the beginning of the planning process.

(b) These three public meetings must take place on **different days** from each other and from the resident training session.

(c) During these three meetings, you must address the issues listed below (i.e., all issues need not be addressed at each meeting):

- (i) The HOPE VI planning and implementation process;
- (ii) The proposed physical plan, including site and unit design, and whether the unit design is in compliance with Fair Housing Act and Uniform Federal Accessibility Standards (UFAS) standards;
- (iii) The extent of proposed demolition;
- (iv) Planned community and supportive service activities and plans for resident outreach and engagement.
- (v) Other proposed revitalization activities;
- (vi) Relocation issues, including relocation planning, mobility counseling, relocation benefits, and maintaining the HOPE VI community planning process during the demolition and reconstruction phases, where temporary relocation, i.e., relocation for a reasonable period (less than one year), is involved;
- (vii) Reoccupancy plans and policies, including site-based waiting lists and return priority; and

(viii) Economic Opportunities for Low- and Very Low-Income Persons, including efforts by the recipient, in accordance with Section 3 of the Housing and Urban Development Act of 1968 (Section 3) to ensure, to the greatest extent feasible, that training, employment, and other economic opportunities (including contracting) will be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and to business concerns that

provide economic opportunities to low- and very low-income persons in the area in which the project is located. See the Section 3 regulations at 24 CFR Part 135.

(4) **Physical Accessibility.** All training sessions and meetings must be held in facilities that are physically accessible to persons with disabilities. Where physical accessibility is not achievable, recipients and subrecipients must give priority to alternative methods of product delivery that offer programs and activities to qualified individuals with disabilities in the most integrated setting appropriate in accordance with HUD's implementing regulations for Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794) and its implementing regulations at 24 CFR Part 8. In addition, all notices of and communications during all training sessions and public meetings shall be provided in a manner that is effective for persons with hearing, visual, and other communication-related disabilities or provide other means of accommodation for persons with disabilities consistent with Section 504 of the Rehabilitation Act of 1973 and HUD's Section 504 regulations. See 24 CFR. Section 8.6.

(5) **Limited English Proficiency.** Successful applicants must take reasonable steps to ensure meaningful access to programs to persons with limited English proficiency (LEP), pursuant to Title VI of the Civil Rights Act of 1964. This may mean providing language assistance services to ensure meaningful resident involvement for persons with LEP as a result of their nationality. The Department published *Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons* (72 Fed. Reg. 2732; January 22, 2007) to assist recipients of HUD assistance in identifying language assistance needs and developing language assistance plans.

(6) **Allowable Time Period for Training and Meetings.**

(a) At least one public meeting, which included representation from both the affected public housing residents and the community, must have been held at the beginning of the revitalization planning period;

(b) At least one training session must have been held after the publication date of this NOFA; and

(c) A minimum of two more public meetings must have been held after the publication date of this NOFA.

(d) The above minimum number of training sessions and meetings is required to meet the Resident Involvement threshold in section III.C.2. of this NOFA. Additional meetings and training sessions will be counted in the rating factors toward demonstration of continual inclusion of the residents and community.

Relocation Plan Assurance.

You assure that (indicate which one applies):

_____ (a) If you have not yet relocated residents, you must assure that:

(i) A HOPE VI Relocation Plan was completed as of the application deadline date. To learn more about HOPE VI Relocation Plans, applicants may review Handbook 1378 and Notice CPD 02-08, "Guidance on the Application of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA), as Amended, in HOPE VI Projects" and Notice 04-02, "Revision to [Notice CPD 02-08](http://www.hud.gov/offices/adm/hudclips/notices/cpd/04-2c.doc), Guidance on the Application of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA), as Amended, in HOPE VI Projects;" These notices can be found at <http://www.hud.gov/offices/adm/hudclips/notices/cpd/04-2c.doc> and <http://www.hud.gov/offices/adm/hudclips/notices/cpd/02-8c.doc>

(ii) That it conforms to the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA) requirements; and

(iii) That it implements HOPE VI relocation goals, as described in section V.A.7. of this NOFA. This means your plan must describe how the HOPE VI Relocation Plan incorporates the HOPE VI relocation goals contained in section V.A.7. The HOPE VI relocation goals, as described in Section V.A, are as follows:

(1) To minimize permanent displacement of all residents of the units that will be rehabilitated or demolished in the targeted public housing site, provided that those residents wish to remain in or return to the revitalized community;

(2) To give existing residents priority over other families for future occupancy of public housing units in completed HOPE VI Revitalization Development projects, or, for existing residents that can afford to live in non-public housing HOPE VI units, priority for future occupancy of those planned units;

(3) To provide CSS activities to residents prior to any relocation (you must have designed relocation and CSS activities to have a positive effect on the priority outcomes and metrics listed in Section V.A.7 of the NOFA, as well as any additional outcomes and metrics in the area of relocation you have identified through your resident needs assessment as a priority for the affected resident population); and

(4) To plan for a regional mobility counseling program for any tenant-based voucher assistance provided in connection with the HOPE VI grant. The regional mobility counseling program must have a regional focus (i.e., it must provide counseling to voucher holders seeking to live outside of neighborhoods of minority concentration and poverty concentration, as defined in section V.A.9.b, anywhere within the MSA of the revitalizing neighborhood; it must identify housing opportunities in neighborhoods that are not minority concentrated or poverty concentrated; and provide transportation assistance for voucher holders to visit identified housing opportunities).

_____ (b) If relocation was completed (i.e., the targeted public housing site is vacant) as of the application deadline date, rather than certifying that the HOPE VI Relocation Plan has been completed, you must assure that the relocation was completed in accordance with URA and/or section 18 requirements (depending on which of these requirements applied to the demolition in question).