

FHA FORM NO. 2629  
Rev. 9/71

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
FEDERAL HOUSING ADMINISTRATION  
PREVIOUS PARTICIPATION CERTIFICATE

The purpose of this Certificate is to provide a full disclosure of past FHA and other HUD experience of all Principals involved in the proposed project so that HUD may determine the feasibility of the proposal with respect to the previous participation of the Principals. The Certificate must be filed with the request for Feasibility Analysis, application for Transfer of Physical Assets or Bid to Purchase a Secretary-owned project.

By execution of this Certificate the signers certify to HUD that:

- (1) they are the only Principals (as defined below) involved in the proposed project or that any Principal who is not included has filed a Certificate for the project.
- (2) that each signer has listed every FHA insured and HUD project in which he has participated or had any interest.
- (3) that no project in which he has participated or had an interest was ever in default or received mortgage relief except as shown.

**WARNING:** Section 1010 of Title 18, U.S.C., "Department of Housing and Urban Development transactions," provides: "Whoever, for the purpose of ... influencing in any way the action of such Department ... makes, issues, utters, or publishes any statement, knowing the same to be false ... shall be fined not more than \$5,000 or imprisoned not more than two years, or both."

DEFINITIONS

The term "PRINCIPAL" includes individuals, corporations, joint ventures, and partnerships having any interest in a project or participating as a sponsor, owner, general contractor, project manager or management agent, and package or consultant. It also includes architects and attorneys who have any interest in the project other than an arrangement for arrangement for professional services. If the Principal is a partnership or corporation it includes general partners, corporate officers and directors and all stockholders and limited partners having 10% or more interest in the organization.

The terms "PACKAGER" and "CONSULTANT" mean a person or firm, including attorneys, engaged to furnish advisory services in connection with the financing, construction or operation of a project, including, but not limited to, the attention and negotiation of contracts with a general contractor, architect, attorney or managing agent, securing financing and meeting HUD requirements.

The term "INTEREST" is not limited to a financial interest in the sense of profits, dividends, fees, and legal guarantees, but also includes non-financial interests such as a pledge of support, not constituting a legal or financial obligation, given by a parent organization to its member groups or a pledge of other non-financial support designed to convince HUD that a project will be feasible.

The term "DEFAULT" includes any FHA-insured mortgage transaction which is or has been in breach of a regulatory agreement or delinquency for failure to meet required mortgage payments or, which has resulted in assignment of a mortgage to the FHA, foreclosure of a mortgage or a deed in lieu of foreclosure.

The term "MORTGAGE RELIEF" includes any FHA insured mortgage transaction which involved a modification of the mortgage, forbearance agreement or other similar relief.

INSTRUCTIONS

1. Enter the location of the HUD Area or Insuring Office, the project number if available, the name of the proposed project, the city and state where the project is located, the proposed mortgage amount and the number of units.
2. Enter the name, location and project number of all projects which are, or were, FHA insured and all HUD projects in which each principal has ever participated or held an interest.
3. Indicate the name of the certifying Principal or Principals who participated in the listed projects.
4. Enter the type of participation (e.g. contractor, consultant, etc.) and/or the percentage of interest (e.g. 25% owner) the Principal has or had in the listed projects. If more than one certifying Principal participated in a project, give the type of participation of each.
5. State whether the mortgage is or ever was in default or subject to mortgage relief by answering "Yes" or "No". If the entry is affirmative attach a statement explaining the circumstances. If you are unable to determine whether there was a default or mortgage relief because your participation has terminated, and there was no default or relief while you participated, enter "Unknown, terminated / /" and give the date of termination.
6. Enter the date of execution of the Certificate.
7. Sign the Certificate and enter your signature type your name and the type of participation and/or the percentage of ownership interest you will have in the proposed project, if any. If a corporation, partnership or joint venture is a principal, the organization's name and type of interest must be shown and the Certificate must be executed by its principal officers, directors, stockholders, or partners. Stockholders and limited partners having less than 10% interest in an organization are not required to execute the Certificate. The corporate officer held or the percentage of stock ownership or partnership interest may be shown on the line designated "interest in proposed project". If the corporation is wholly or partially owned by another corporation a Certificate must also be filed by the parent organization, its officers, directors, and stockholders. In the case of a non-profit corporation the Certificate must be executed by the head of the organization (the head of the local unit if it is a national organization) and its officers who will represent the organization to HUD. The listed projects must include those in which the officers, directors, stockholders and partners participated as well as those in which the organization participated.
8. Enter the Social Security or Employer's Identification Number for each Principal, and the city, state and zip code of residence.

If you have filed a Previous Participation Certificate with any HUD Area or Insuring Office you may refer to it in this Certificate and attach a copy to avoid repeating the information. However any additional projects or new information relating to previously listed projects must be shown on the current Certificate.

4510.1

APPENDIX 9

FHA FORM NO. 2638  
Rev. 9/71

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
FEDERAL HOUSING ADMINISTRATION  
PREVIOUS PARTICIPATION CERTIFICATE

FORM APPROVED  
OMB NO. 63-R 1061

MUD Area or Issuing Office \_\_\_\_\_ Project Number \_\_\_\_\_  
Proposed Project Name \_\_\_\_\_ Location \_\_\_\_\_  
Proposed Mortgage Amount \$ \_\_\_\_\_ Number of Units \_\_\_\_\_

We, the undersigned, request consideration of the above identified project proposed to be financed with a mortgage insured under the National Housing Act. We, individually and collectively, represent that we are the sole Principals in the project (or that all other Principals have filed a similar certificate), that we have not been Principals or had an interest in any FHA insured or other MUD program except as shown below, and that no project in which we have had any interest in, or ever was, in default or received mortgage relief except as shown below. We agree that any change of the Principals listed herein, or additions thereto, will be reported to FHA and we will inform them of the requirement to file a similar certificate.

Previous Project Name and Location	FHA or HUD No.	Name of Principal	Type of Participation	Default	Mortgage Relief
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Employer Identification  
or  
Social Security Number

DATE _____	SIGNATURE _____	_____
PLEASE TYPE NAME AND INTEREST IN PROPOSED PROJECT _____	CITY, STATE AND ZIP CODE _____	_____
DATE _____	SIGNATURE _____	_____
PLEASE TYPE NAME AND INTEREST IN PROPOSED PROJECT _____	CITY, STATE AND ZIP CODE _____	_____
DATE _____	SIGNATURE _____	_____
PLEASE TYPE NAME AND INTEREST IN PROPOSED PROJECT _____	CITY, STATE AND ZIP CODE _____	_____
DATE _____	SIGNATURE _____	_____
PLEASE TYPE NAME AND INTEREST IN PROPOSED PROJECT _____	CITY, STATE AND ZIP CODE _____	_____
DATE _____	SIGNATURE _____	_____
PLEASE TYPE NAME AND INTEREST IN PROPOSED PROJECT _____	CITY, STATE AND ZIP CODE _____	_____

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PART 1 TO BE FILED IN THE FIELD OFFICE BUREAU