



U.S. Department of Housing and Urban Development

Office of Lead Hazard Control and Healthy Homes

Lead-Based Paint Hazard Control (LBPHC) Grant Program

FR-6100-N-12

Application Due Date: 03/23/2017

Lead-Based Paint Hazard Control (LBPHC) Grant Program

FR-6100-N-12

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U.S. Department of Housing and Urban Development

Program Office: Office of Lead Hazard Control and Healthy Homes
Funding Opportunity Title: Lead-Based Paint Hazard Control (LBPHC) Grant Program
Announcement Type: Modification
Funding Opportunity Number: FR-6100-N-12
Primary CFDA Number: 14.900
Due Date for Applications: 03/23/2017

Overview

For Further Information Contact: Please direct questions regarding the specific program requirements of this Program Notice of Funding Availability (NOFA) to the agency contact identified in Section VII. Please direct general questions regarding the FY2017 NOFAs to the Office of Strategic Planning and Management, Grants Management and Oversight Division, at AskGMO@hud.gov.

Additional Overview Information

Incorporation of the General Section. HUD publishes a General Section each fiscal year that contains requirements for all applicants to HUD's various competitive grant programs, including this NOFA. Applications must meet all of the requirements of the General Section in addition to the requirements of this NOFA to be considered and potentially receive funding. The full title of the General Section is the General Section to HUD's Fiscal Year 2017 Notices of Funding Availability for Discretionary Programs. Copies are available at Grants.gov and HUD's [Funds Available](#) page.

1. Participative Planning and Implementation. HUD encourages applicants to ensure, where applicable, public decision making and meaningful participation throughout the visioning, development, and implementation of funded projects. HUD encourages applicants to work with all residents of affected areas, especially communities traditionally marginalized from planning processes. In seeking public participation, applicants and grantees must ensure that all communications are provided in a manner that is effective for persons with hearing, visual, and other communications-related disabilities consistent with Section 504 of the Rehabilitation Act of 1973 and, as applicable, the Americans with Disabilities Act. In addition, Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000(d) and Executive Order 13166 require that grantees take responsible steps to ensure meaningful access to services, programs, and activities by persons with Limited English Proficiency (LEP persons).

2. OMB Approval Number(s): 2539-0015

I. Funding Opportunity Description.

A. Program Description.

1. Purpose and Summary.

The purpose of the LBPHC program is to help units of local government create and implement programs to make homes lead safe. Specifically, the program assists states, cities, counties/parishes, Native American Tribes or other units of local government in undertaking comprehensive programs to identify and control lead-based paint hazards in eligible privately owned rental or owner-occupied housing populations.

The Healthy Homes Supplemental funds are intended for use in units where LBPHC funds are used. Healthy homes funding may be used only in homes also receiving HUD-funded lead hazard control work (interim controls or abatement). Grantees must use an inspection tool that identifies all 29 hazards identified in the Healthy Homes Rating System (HHRS) below, for assessing, prioritizing and repairing the identified health and safety hazards within those units. (See the HHRS webpage at http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/hhrs).

Applicants receiving an award must work to accomplish these objectives:

- a. Maximize the number of children under the age of six years protected from lead poisoning and the number of housing units where lead hazards are controlled;
- b. Target lead hazard control efforts in housing units where children are at greatest risk of lead poisoning, especially children currently residing in low-income and minority families, to reduce elevated blood lead levels in children under the age of six years;
- c. Promote cost-effective lead hazard control methods and approaches that can be replicated, maintained, and sustained;
- d. Build local capacity to safely and effectively address lead hazards during lead hazard control and renovation, remodeling, and maintenance activities by integrating lead-safe work practices;
- e. Promote integration of this grant program with other local programs that address housing related health and safety hazards;
- f. Obligation to affirmatively further fair housing. Note that besides being an “objective” of this NOFA, the obligation to affirmatively further fair housing is also a civil rights related program requirement;
- g. Develop a comprehensive community-based approach to address lead hazards in housing by mobilizing public and private sector resources including grassroots community-based non-profit and faith-based organizations;
- h. Promote collaboration, data sharing, and targeting between health and housing departments;
- i. Establish a detailed process that will facilitate lead-safe units to be affirmatively marketed, and priority given, to families with young children;
- j. Ensure to the greatest extent feasible that job training, employment, contracting, and other economic opportunities generated by this grant will be directed to low- and very-low income persons, particularly those who are recipients of government assistance for housing, and to businesses that provide economic opportunities to low- and very low-income persons in the area in which the project is located. For more information, see 24 CFR 135; and
- k. Further environmental justice, the fair treatment and meaningful involvement of all people within the target communities regardless of race, color, national origin, disability, or income regarding the development, implementation, and enforcement of environmental laws, regulations, and policies.
- l. Comply with Section 504 of the Rehabilitation Act of 1973 (“Section 504”) and its implementing regulations at 24 CFR 8, and Titles II and III of the Americans with Disabilities Act. Each of these prohibits discrimination based on disability.

2. Changes from Previous NOFA.

- Due to funds not yet being appropriated for this program, the maximum funding available and the estimated number of awards is not known. Maximum funding available and number of awards made will be determined upon future Congressional action. For information purposes, HUD is providing an estimated number of awards based on FY16
- Criteria for Beneficiaries information has been distributed to the appropriate sub headings
- Rating Factor 1, Capacity of the Applicant and Relevant Organizational Experience, provides separate questions for:

- Such never-before-grantee applicants;
- Applicants that have been awarded LBPHC or LHRD grant(s) with the period of performance ending BEFORE October 1, 2012; or
- Applicants that have been awarded LBPHC or LHRD grant(s) with the period of performance ending ON or AFTER October 1, 2012 (this includes grants that will be active at the submission deadline for this NOFA).
- Rating Factor 1 also provides additional description on how elements of poor prior performance, and weaknesses in the responses to rating factors, will affect the rating of an application.
- Rating Factor 2, Need/Extent of the Problem, provides revised criteria for evaluating the extent of need in communities and in the proposed target area.
- Rating Factor 3, Soundness of Approach:
 - Provides updated criteria more closely aligned with the sequence of events for performing the grant.
 - Partnerships with housing agencies and partnerships with health department for encouraging enrollment of housing units through formal agreements with those agencies are given more points
- Rating Factor 5 on Achieving Results and Program Evaluation provides revised criteria for evaluating proposed production goals.

3. Definitions.

a. Standard Definitions

Analysis of Impediments to Fair Housing Choice (AI) is a review of impediments or barriers that affect the rights related to fair housing choice, and pertains to program participants in jurisdictions operating under a current Consolidated Plan and public housing agencies operating under a PHA Plan.

Assessment of Fair Housing (AFH) is the analysis undertaken pursuant to 24 CFR 5.154. AFH includes an analysis of fair housing data, an assessment of fair housing issues and contributing factors, the prioritization of contributing factors, and the identification of fair housing goals. It is conducted and submitted to HUD using the Assessment Tool. Entities obligated to prepare and submit an AFH are: (1) Jurisdictions and Insular Areas that are required to submit Consolidated Plans for the following programs: (i) The Community Development Block Grant (CDBG) program (see 24 CFR part 570, subparts D and I); (ii) The Emergency Solutions Grants (ESG) program (see 24 CFR part 576); (iii) The HOME Investment Partnerships (HOME) program (see 24 CFR part 92); and (iv) The Housing Opportunities for Persons With AIDS (HOPWA) program (see 24 CFR part 574); and (2) Public housing agencies (PHAs) receiving assistance under sections 8 or 9 of the United States Housing Act of 1937 (42 U.S.C. 1437f or 42 U.S.C.1437g).

Authorized Organization Representative (AOR) is the person authorized by the E-Biz point of contact in the System for Award Management to submit applications on behalf of the organization. The AOR is listed in item 21 on the SF-424.

Catalog of Federal Domestic Assistance (CFDA) is a directory of the various Federal programs, projects, services and activities that offer financial and non financial assistance and benefits to the American public. CFDA Number is the unique number assigned to each program, project, service or activity listed in the Catalog of Federal Domestic Assistance (CFDA).

Consolidated Plan is a document developed by states and local jurisdictions, which they complete by engaging in a participatory process to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions with funding from formula grant programs. (See 24 CFR 91 for more information about the Consolidated Plan and related Annual Action Plan.)

Contract means a legal instrument by which a non-Federal entity purchases property or services needed to carry out the project or program under a Federal award. The term as used with respect to awards, subawards, and cooperative agreements subject to 2 CFR part 200 does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward. (See 2 CFR 200.22 and 200.92.)

Contractor means an entity that receives a contract.

Deficiency – Deficiency is information missing or omitted within a submitted application. Deficiencies typically involve missing documents, information on a form, or some other type of unsatisfied information requirement (e.g., an unsigned form, unchecked box, etc.). Depending on specific criteria, deficiencies may be either curable or non-curable.

- Curable Deficiency – Applicants may correct a curable deficiency with timely action. To be curable the deficiency must:
 - Not be a threshold requirement;
 - Not influence how an applicant is ranked or scored versus other applicants; and
 - Be remedied within the time frame specified in the notice of deficiency.
- Non-Curable Deficiency – An applicant cannot correct a non-curable deficiency after the submission deadline. Non-curable deficiencies are deficiencies that if corrected would change an applicant's score or rank versus other applicants. Non-curable deficiencies may result in an application being marked ineligible, or otherwise adversely affect an application's score and final determination

DUNS Number is the nine-digit identification number assigned to a business or organization by Dun & Bradstreet and provides a means of identifying business entities on a location-specific basis. Requests for a DUNS number can be made by visiting the Online DUNS Request Portal.

Eligibility Requirements – Eligibility requirements are those requirements that must be met for an application to be eligible for funding. Deficiencies in meeting an eligibility requirement may be categorized as either curable or non-curable.

Federal Awardee Performance and Integrity Information System (FAPIIS) is a database that has been established to track contractor misconduct and performance.

Grants.gov is the website that serves as the Federal government's central portal for searching for and applying for grants throughout the Federal government.

Non-Federal Entity means a state, local government, Indian tribe, institution of higher education (IHE), or non-profit organization that carries out a Federal award as a recipient or subrecipient.

Pass-through Entity means a non-Federal entity that provides a subaward to a subrecipient to carry out part of a Federal program.

Personally identifiable information (PII), as defined in [Office of Management and Budget M-07-16](#), is any information which can be used to distinguish or trace an individual's identity, such as their name, social security number, biometric records, etc. alone, or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, mother's maiden name, etc.

Point of Contact (POC) is the person who may be contacted with questions about the application submitted by the AOR. The point of contact is listed in item 8F on the SF-424.

Preferred Sustainability Status Communities (PSS) for the purposes of HUD's FY2017 funding competitions, are communities that have received PSS under HUD's FY2011 Sustainable Communities Regional Planning Grant Program and/or HUD's FY2011 Community Challenge Planning Grant Program. [Click here for list](#). Promise Zones are federally-designated, high-poverty urban, rural and tribal communities where the Federal government will partner with and invest in communities to accomplish these goals: create jobs, leverage private investment, increase economic activity, expand educational opportunities, and reduce violent crime.

Promotores/Promotoras are Spanish-speaking Community Health Workers who work in their communities to reduce barriers to health services and make health care systems more responsive.

Recipient means a non-Federal entity that receives an award directly from HUD to carry out an activity under a HUD program.

Section 3 Business Concern means a business concern (1) that is 51 percent or more owned by Section 3 residents; or (2) of which at least 30 percent of permanent, full-time employees are currently Section 3 residents, or were Section 3 Residents within three years of the date of first employment with the business concern; or (3) that provides evidence of a commitment to subcontract over 25 percent of the dollar award of all subcontracts to be awarded to business concerns that meet the qualifications in this definition.

Section 3 Residents means: 1) Public housing residents; or 2) Low and very-low income persons, as defined in 24 CFR 135.5, who live in the metropolitan area or non-metropolitan county where a HUD-assisted project for housing or community development is located.

Standard Form 424 (SF-424) is the Application for Federal Assistance Programs required by discretionary grant programs.

Subaward means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the pass-through entity. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract.

Subrecipient means a non-Federal entity that receives a subaward from a pass-through entity to carry out part of a HUD program; but does not include an individual that is a beneficiary of such program. A subrecipient may also receive other Federal awards directly from a Federal awarding agency (including HUD).

System for Award Management (SAM), located at the website sam.gov, is the official U.S. Government system that consolidated the capabilities of Central Contractor Registry (CCR), Excluded Parties List System (EPLS) and the Online Representations and Certifications Application (ORCA). Registration with [Sam.gov](http://sam.gov) is required for submission of applications via grants.gov.

Threshold Requirement – Threshold requirements are a type of eligibility requirement. Threshold requirements must be met in order for an application to be reviewed. Threshold requirements are not curable. Threshold requirements are listed in Section III.C.1. Threshold Requirements of this Program NOFA.

Applicants must ensure their application package addresses all threshold requirements. Please check your application carefully!

b. Program Definitions

f. Allowable Costs. Allowable costs are determined in accordance with the cost principles in 2 CFR part 200, subpart E—Cost Principles. Costs and activities outlined in sections 1) and 2) below are not considered administrative costs and, therefore, do not count as part of the 10 percent administrative cost cap of this program.

1) Lead Hazard Control Program Costs. Lead hazard control costs are direct costs specifically related to the performance of lead hazard control activities, as defined below. When preparing the budget, you must document how at least 65 percent of the requested federal grant amount for the Lead-Based Paint Hazard Control Grant program is dedicated specifically for lead hazard control activities. Lead hazard control activities are defined as:

a) Inspections/Testing. Performing lead dust, soil and paint-chip testing, lead-based paint inspections, risk assessments, clearance examination, and engineering and architectural activities that are required for, and in direct support of, interim control and lead hazard control work, of eligible housing units constructed prior to 1978 to determine the presence of lead-based paint and/or lead hazards from paint, dust, or soil through the use of acceptable testing procedures.

b) Hazard Control. The control or elimination of all lead-based paint hazards identified in housing units and in common areas of multi-family housing through either interim controls or lead-based paint abatement, or a combination of both. For a complete description of interim controls and abatement, see HUD's Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing ("HUD Guidelines"), located at http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/lbp/hudguidelines.

c) Minimal Rehabilitation. Undertaking minimal housing intervention activities that are specifically required in order to carry out effective hazard control, and without which the hazard control could not be completed, maintained, and sustained. Refer to HUD Policy Guidance 2008 02 on the OLHCHH website, located at http://www.hud.gov/offices/lead/library/lead/PGI-2008-02_Doing_Minimal_Rehab.pdf.

d) Relocation. Carrying out temporary relocation for families and individuals while the remediation is conducted and until the time the affected unit receives clearance for re-occupancy. When persons with disabilities are temporarily relocated, they must be placed in housing that provides, at a minimum, the same accessibility features as the housing in which they currently reside. HUD expects that most temporary relocation for lead hazard control work would be for 10 days or less.

e) Support Costs Associated with Lead Hazard Control Activities. Activities that directly support the undertaking of lead hazard control, and without such support activities, the lead hazard control could not be conducted. These costs may include: staff costs for intake, review and approval of applications and preparation of documents to be signed by applicants for lead remediation work; staff costs for arranging for temporary relocation; staff costs associated with reimbursement and providing assistance to residents in relation to lead hazard control; on-site monitoring of lead hazard control activities; and travel and transportation for staff that perform lead hazard control.

2) Other Program Costs. Costs for the activities below are allowable direct costs, but must not be counted towards satisfying the minimum 65 percent for lead hazard control program costs, above, required under this NOFA:

a) Equipment. You may not purchase or lease equipment having a per-unit cost in excess of \$5,000, except for the purchase or lease of up to two X-ray fluorescence (XRF) analyzers to be used exclusively by the grant program. Award recipients that purchase (XRF) analyzers must submit the General Services Administration's annual Tangible Personal Property Report, and its components, Standard Form (SF) 428 and SF 428-A through 428-D, the Annual Report, the Final (Award Closeout) Report, and the Disposition Report/Request, and, if needed, the Supplemental Sheet (see http://www.whitehouse.gov/omb/grants_forms). Generally, the average estimated time to complete each of these form components is 0.5 hours; it is likely to be less for this grant program. For purchasing or leasing equipment under \$5,000 apiece, the recipient need not complete the Tangible Personal Property Report.

b) Worker Protection. Performing blood lead testing or air sampling to protect the health of the hazard control workers, supervisors, and contractors.

c) Occupant Blood Testing. Conducting pre-hazard control blood lead testing of persons residing in or frequently visiting units enrolled for or undergoing lead hazard control work.

d) Outreach, Education, and Training. Conducting targeted outreach, affirmative marketing, education or outreach programs on lead hazard control and lead poisoning prevention that will result in increased lead hazard control activities or that are designed to increase the ability of the program to deliver lead hazard control services, including educating owners of rental properties, tenants, and others on the Residential Lead-Based Paint Hazard Reduction Act, Lead Disclosure Rule, Lead Safe Housing Rule (24 CFR part 35, subparts A, and B-R, respectively), the EPA Renovation, Repair and Painting (RRP) Rule (40 CFR 745, especially subpart E), and applicable provisions of the Fair Housing Act, especially as it pertains to familial status (e.g., families with children) and disability discrimination, providing meaningful access to these program benefits and information to Limited English Proficient (LEP) individuals through language assistance strategies and services, in accordance with Title VI of the Civil Rights Act of 1964 and the Final Guidance to Federal Financial Assistance Recipients Regarding Title VI, Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons published on January 22, 2007 in the Federal Register (72 FR 2732), and providing training on lead-safe maintenance and renovation practices and management. Upon request, this also would include making all materials available in alternative formats to persons with disabilities (e.g., Braille, audio, and large type) pursuant to 24 CFR 8.6(b) and Titles II and III of the Americans with Disabilities Act, as applicable. Also, a registry of lead-safe units must be developed and provided to families as part of the outreach program.

e) Capacity Building. Providing resources to build capacity for lead-safe housing and lead hazard control, including free delivery of HUD-approved lead-safe work practices training courses for housing rehabilitation contractors, rehabilitation workers, renovators, remodelers, homeowners, renters, painters, maintenance staff, and others conducting renovation, rehabilitation, maintenance, hazard control, or other work in private housing; free delivery of lead based paint inspector and risk assessor, lead-based paint abatement worker or contractor certification training; subsidies for licensing or certification fees to low-income persons seeking credentials as lead-based paint abatement workers or contractors, certified renovators to be used by property managers and owners; and completion of other HUD-approved courses that further the effectiveness of lead hazard control interventions or promote the integration of this grant program with housing rehabilitation, property maintenance, weatherization, healthy homes initiatives, and housing-related health hazard interventions, such as the Essentials for Healthy Homes Practitioners Course. For example can be conducted through building capacity among within housing inspectors completing Housing Quality Standards (HQS), Uniform Physical Condition Standards, (UPCS), and preventative health home nurse visiting programs.

f) Lead Safe Housing Rule compliance. Conducting planning, coordination, and training activities to comply with HUD's Lead Safe Housing Rule (24 CFR 35, subparts B-R). These activities must support the expansion of a workforce properly trained in lead-safe work practices that is available to conduct interim controls on HUD-assisted housing covered by these regulations. Activities should also include a outreach and collaboration to Public Housing Agencies serving the community .

g) Insurance. Securing liability insurance for housing-related environmental health and safety hazard evaluation and control activities, if the scope of the insurance is restricted to work under this grant. Note: If the scope of the insurance is restricted to work under this grant; the cost is a direct cost. If the scope of the insurance is not restricted to work under this grant, such as insurance for facilities or those costs covered under an indirect cost rate plan, the insurance cost is considered an indirect cost .

h) Professional Certifications and Licenses. Securing and maintaining certification and licenses for identification, remediation, and clearance of lead and other housing-related health and safety hazards.

i) Research and Studies. Participating in technical studies, or developing information systems to enhance the delivery, analysis, or conduct of lead hazard control activities; or to facilitate targeting and consolidating resources to further childhood lead poisoning prevention efforts. For this program, we do not expect research that could affect human subjects to be conducted.

3) Administrative Costs. You can utilize up to 10 percent of the federal award, excluding the Healthy Homes Supplemental funding request, for payments of reasonable grant administrative costs related to planning and executing the project, preparation/submission of HUD reports, etc. Administrative costs must be reflected under each appropriate line items (e.g., salaries, fringe, supplies, on the Form HUD_424_CBW) and a detailed cost element breakdown in the budget narrative must be provided. The 10 percent administrative

cost cap for this program must include any indirect cost rates placed in HUD share budget columns, as well as the sum of the budget line items that have inherent administrative costs per OLHCHH Policy Guidance 2015-1, plus any administrative costs of subrecipient organizations (also detailed by budget line item and budget narrative).

There are two categories of administrative costs: direct administrative costs and indirect costs. For the purposes of this grant, all direct administrative costs and all indirect costs count towards the 10 percent administrative cost limit.

4) Direct Administrative Costs. Direct administrative costs are the reasonable, necessary, allocable, and otherwise allowable costs of general management, oversight, and coordination of the grant (i.e., program administration). Such costs include, but are not necessarily limited to, expenditures for:

a) Salaries, wages, fringe benefits, and related costs of the recipient's staff engaged in program administration that can be specifically identified with the grant. In charging costs to this category the recipient may either include the entire salary, wages, and related costs allocable to the program of each person whose primary responsibilities with regard to the program involve program administration assignments, or the pro rata share of the salary, wages, and related costs of each person whose job includes any program administration activities. The recipient may use only one of these methods during the grant period. Program administration includes, but is not limited to, the following types of activities:

- Providing local officials and citizens with information about the program, except for targeted outreach, affirmative marketing, education or outreach for lead hazard control programs;
- Preparing program budgets and schedules, and amendments thereto;
- Developing systems for assuring compliance with program requirements, except for participating in technical studies, or developing information systems to enhance the delivery, analysis, or conduct of lead hazard control activities;
- Developing interagency agreements and agreements with subrecipients and contractors to carry out program activities;
- Monitoring program activities for progress and compliance with program requirements, except for on-site monitoring of lead hazard control;
- Preparing reports and other documents related to the program for submission to HUD;
- Coordinating the resolution of audit and monitoring findings;
- Evaluating program results against stated objectives; and
- Managing or supervising persons whose primary responsibilities with regard to the program include such assignments as those described in paragraphs 1 and 2 of this section (above).

b) Travel costs incurred for official business in general program administration that can be specifically identified with the grant program;

c) Transportation costs incurred for general program administration that can be specifically identified with the grant program;

d) Equipment, supplies (especially office supplies), and materials used for program administration that can be specifically identified with the grant program;

e) HUD-required or HUD-approved trainings or conferences; and

f) Certification and licensing costs required for program administration responsibilities.

5) Indirect Costs, if applicable. Indirect facilities and administrative (F&A) costs are, by nature, administrative and represent the expenses of doing business that are not readily identified exclusively with a specific grant, contract, project function or activity, but are necessary for the general operation of the organization and the conduct of activities it performs. These types of costs are often referred to as “overhead costs.” 2 CFR 200, subpart E—Cost Principles, establishes the federal requirements for the determination of allowable and unallowable direct and indirect (F&A) costs, and is available at: http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl. Indirect (F&A) costs may only be charged to an OLHCHH grant program under a cost allocation plan or an indirect cost rate agreement or in accordance with the requirements of 2 CFR 200.414(f), on the de minimis rate.

For more information, see PGI 2015-01 (Clarification of Costs for LHRD and LBPHC grant programs), available at http://portal.hud.gov/hudportal/documents/huddoc?id=2015-01_cost_categories.pdf.

6) Healthy Homes Supplemental Funding, if applicable. Healthy Homes Supplemental funding is exclusively for direct costs associated with the identification and remediation of housing related health and safety hazards using the Healthy Home Rating System (HHRS). Those costs allowable with the Healthy Homes Supplemental funding include costs for the assessment of housing units, for housing-related health and safety hazards, development of scopes of work for remediation of identified housing-related health hazards, conducting such remediation, re-evaluation of the completed work, reporting, notification to occupants and owners, if different, of the nature and results of the remediation. See Policy Guidance PG2016-01, Purpose and Use of Healthy Homes Supplemental Funding, at http://portal.hud.gov/hudportal/HUD?src=/program_of_fices/healthy_homes/lbp/pg for additional information.

4. Resources.

- [Grants.gov](#)
- [HUD Funds available](#)
- [Code of Conduct list](#)
- [SAM](#)
- [Dun & Bradstreet](#)
- [Do Not Pay](#)
- [FAPIS](#)

B. Authority.

The Lead-Based Paint Hazard Control (LBPHC) Grant Program is authorized by Section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X of the Housing and Community Development Act of 1992, 42 U.S.C. 4852) and funding is provided by the Consolidated Appropriations Act, XXX (Public Law 114-113, approved XXXXXXXXXXXXXXX).

You may also apply for Healthy Homes Supplemental funding, which is intended to promote and develop healthy housing programs. The Healthy Homes Supplemental funding is authorized under Section 502 of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-2).

II. Award Information.

A. Available Funds.

Congress has not yet appropriated funds for this program. Therefore, the amount of available funding is not known and is contingent on future Congressional action.

For information purposes, HUD is providing the minimum/maximum information used in the previous (FY16) NOFA for this program.

For the purposes of application under this FY 2017 NOFA in the event that permanent FY 2017 HUD appropriations are not available by the application submission date, you should consider the FY 2016 minimum and maximum funding amounts below as you develop your program approach and budget you want to be considered for.

Following the receipt of the HUD appropriation, the amount of available funds for each program will be published in a Federal Register Notice and posted as an Amendment to this NOFA on [Grants.gov](#).

B. Number of Awards.

HUD expects to make approximately 20 awards from the funds available under this NOFA.

This estimate assumes funding at the same level as FY16. The number of awards made from funds available under this NOFA will depend on the amount of funding in the FY 17 appropriation, the number of eligible applicants, and other factors. For information on the methodology used to make award determinations under this NOFA, please see Section V.B Review and Selection Process below.

C. Minimum/Maximum Award Information.

For the purposes of application under this FY 2017 NOFA in the event that permanent FY 2017 HUD appropriations are not available by the application submission date, you should consider the FY 2016 minimum and maximum funding amounts below as you develop your program approach and budget you want to be considered for.

The maximum award amount for the Lead-Based Paint Hazard Control grant program (Catalog of Federal Domestic Assistance (CFDA) number 14.900) in FY16 was \$2,500,000, except as noted below for applicants who had never received an award under either the Lead-Based Paint Hazard Control or Lead Hazard Reduction Demonstration grant programs. The minimum award amount for the Lead-Based Paint Hazard Control grant program was \$1,000,000. If you had received such an award before, the maximum amount that you could be awarded for Healthy Homes Supplemental funding, if you wished to request such optional funding, was \$400,000. Awards that include the Healthy Homes Supplemental funding therefore had a maximum award amount of \$2,900,000.

If you had never received an award under either the Lead-Based Paint Hazard Control or Lead Hazard Reduction Demonstration grant programs, the maximum award amount in FY16 was \$1,500,000 for lead hazard control activities, and the minimum award amount was \$1,000,000. The maximum amount that you could be awarded for Healthy Homes Supplement activities, if you wished to request such optional funding, was \$150,000. Awards to these grantees that include the Healthy Homes Supplemental funding therefore had a maximum award amount of \$1,650,000.

Congress has not yet appropriated funds for this Program.

D. Period of Performance.

Estimated Project Start Date:	07/01/2017
Estimated Project End Date:	06/30/2020
Length of Project Periods:	36-month project with three 12-month budget periods
Length of Project Periods	
Explanation of Other:	

E. Type of Funding Instrument.

Funding Instrument Type:	Grant
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F. Supplementation.

Pending final FY17 appropriations levels, HUD may provide grantees with an option to request Healthy Homes Supplemental funding. If this option is provided, the Healthy Homes Supplemental funding request is an additional amount distinct from the requested federal lead hazard control grant award amount for this program and must be treated as such. Nevertheless, due to limitations of the SF424, you must enter the total, combined funding request on line 18a of the SF424. For example, if you are requesting \$2,500,000 for the LBPHC Grant Program for lead hazard control activities and \$400,000 for the promotion of healthy housing, your total requested funding amount to be identified on the SF424 (line 18a) would be \$2,900,000.

III. Eligibility Information.

A. Eligible Applicants.

State governments
County governments
City or township governments
Special district governments
Native American tribal governments (Federally recognized)
Others (see text field entitled "Additional Information on Eligibility" for clarification)

Additional Information on Eligibility:

If your organization is established under section 501(c) (including any subsidiary sections) of the Internal Revenue Code, you are not eligible to apply for funding under this NOFA. Under the authorizing statute for this grant program, only cities, counties/parishes, and other units of local government, and certain States and Native American Tribes (see below) are eligible to receive funding under this NOFA. State government and Native American tribal applicants (whether they are the principal applicant or partner applicants in a consortium) are eligible to apply for funding under this NOFA only if they have an Environmental Protection Agency (EPA) authorized lead-based paint training and certification program as of the submission deadline date (see www.epa.gov/lead/pubs/traincert.htm). Multiple agencies within a local government, or multiple units of local government, or a state and one or more units of local government may apply as a consortium; however, an eligible principal (lead) applicant that will be responsible for ensuring compliance with all requirements in this NOFA must be identified. Where an application involves multiple entities, each entity must meet the civil rights threshold requirement of Resolution of Civil Rights Matters.

If you, the applicant, are not a component of the executive branch of your jurisdiction's government, that is, if your department or agency does not report directly or through a direct chain of command to the chief executive officer of your jurisdiction (e.g., the governor, the county executive (by whatever title), or the mayor (by whatever title), you must identify the law that provides the authority establishing you as a part of the State government or the unit of local government. To do so, you must provide the specific statutory citation(s) (e.g., 1 Mystate Revised Code 2345), and either the relevant wording as an attachment, or the specific web address(es) of the relevant wording in the body of your application. Be sure to verify that each web address cited is active and available without cost.

The following are not eligible for this competition

The following characteristics of applicants and their applications exclude applications from eligibility for review:

1. Non-Profit Organizations. Applications from organizations established under section 501(c) (including any subsidiary sections) of the Internal Revenue Code will not be reviewed.
2. FY 2016 Awardees. Applicants that received an award under the 2016 NOFA cycle for either the Lead-Based Paint Hazard Control Program (LBPHC) or Lead Hazard Reduction Demonstration (this NOFA) program will not be reviewed.

3. Existing Grantees with Performance Deficiencies. Current grantees with the following performance deficiencies are not eligible to apply:

- a. Applicants that are designated as High Risk for any existing OLHCHH grant at the submission deadline; or
- b. Applicants that have received a Red performance designation from the OLHCHH for any existing OLHCHH grant(s) for the two previous consecutive quarters ending on or before the submission deadline.

4. Closed Grantees with Outstanding Findings. Applicants that have a closed grant from any OLHCHH grant program with existing or unresolved audit and/or monitoring findings on the submission deadline are not eligible to apply.

5. Closed Grantees whose grant was terminated for cause within 36 months prior to the submission date of this NOFA are not eligible to apply.

6. Closed Grantees that closed on High Risk Status are not eligible to apply if the end of the performance period was less than 24 months before the submission deadline for this NOFA.

You must also refer to the General Section for information on HUD-wide eligibility requirements. These requirements may determine whether your application is reviewed or make your application ineligible for funding.

HUD does not award grants to individuals. HUD will not evaluate applications from ineligible applicants.

B. Cost Sharing or Matching.

This Program requires an applicant to leverage resources through cost sharing or matching as described below.

Generally, Federal sources are not allowed to be used as cost share or match unless otherwise permitted by a program’s authorizing statute (for example, HUD’s Community Development Block Grants program).

The chart below describes the match percentage requirement, minimum percentage of Federal funds for lead hazard control activities, and maximum administrative cost (as a percentage of federal funds). The minimum match requirement applies to the LBPHC requested amount and excludes the requested Healthy Homes (HH) Supplemental funding amount.

Match Requirements and Costs Table.

Program	Minimum Match (of federal request)	Minimum Lead Hazard Control Costs	Maximum Administrative Costs
Lead-Based Paint Hazard Control(CFDA 14.900)	10 percent (excluding HH Supplemental funds)	65 percent (excluding HH Supplemental funds)	10 percent (excluding HH supplemental funds)

Matching Funds Evaluation. You must provide clear documentation with your application of the source and use of all eligible match funds you want to be considered for meeting the statutory minimums required . (See also, the paragraph on Evidence of match commitment, below.) Failure to document match contributions in accordance with the requirements set forth in this NOFA (i.e., documented eligible sources of match funding and eligible uses of match funding) may result in your application being deemed ineligible if minimum match requirements are not included in materials received with your final application submission.

NOTE: You are responsible for providing the total amount of the match dollars for proposed contributions with your application. Even if any of these contributions are not received from committed donors during the

period of performance of the grant, you are still responsible for providing the match you proposed, during the period of performance

The Matching Contribution Table provided below is provided for your reference and does not replace commitment documentation to be included as required in your application submission. In this or a similar table, indicate the source, proposed eligible uses and amounts of match committed on the SF424 and Form HUD_424_CBW. Add additional rows to the table, as needed, for each match.

Matching Contribution Table.

Eligible Match Source Type and Donor [a]	Eligible Match Use [b]	Match Amount Committed [c]	Matched Staffing Level (If Applicable) in FTE-Years [d]	Evidence of Match Commitment Submitted with Application? [e]
<i>Insert source of match, including the type and donor</i>	<i>Insert eligible use for match</i>	<i>Insert amount of eligible match</i>	<i>Insert level of matched staffing</i>	<i>Yes / No</i>

Note a: For example, CDBG funds from Mystate Department of Community Development, in-kind residential construction labor from Mytown Community Development Corporation, etc.

Note b: For example, relocation, direct lead hazard control interventions, outreach, etc.

Note c: If the match is not cash (or cash equivalents), provide its fair market value, as discussed in the segment on Permissible Match Contributions, below.

Note d: FTE-years are the number of full-time equivalent (FTE) personnel, multiplied by the number of years they will be working under the grant. For example, 4 full-time staff working for 3 years would be estimated as 4 workers * 100 percent of full-time * 3 years = 12 FTE-years. Similarly, 1 half-time staff person working for one-half year (for example, on grant startup) is estimated as 1 worker * 50 percent of full-time * ½ = ¼ FTE-years. If the fraction of time and/or duration of the work vary among individuals or groups of individuals, calculate the FTE-years for each individual or group of individuals and provide the total. If the match donor normally uses labor hours, rather than FTEs, apply the General Accountability Office’s average value of 2,087 actual work hours per calendar year (www.gao.gov/products/FPCD-81-60). (Rounding to a tenth of an FTE-year, if using decimals, or to a quarter of an FTE-year, if using fractions, is acceptable.) If labor is not being provided in a particular match (e.g., supplies are being provided), enter “N/A” rather than “0” or a blank, for clarity.

Note e: See segment on Evidence of match commitment, below.

Evidence of match commitment. You must provide documentation of all match indicated on the SF424 and the Form HUD_424_CBW by letters of firm commitment, such as Memoranda of Understanding or other signed agreements from those entities identified as partners in the application **with your submission to this NOFA**. All letters of commitment, including those provided by your organization, **MUST** clearly identify the dollar amount or value, the source(s) of the funds, and the proposed uses of matching funds being committed. Commitments for match to be supplied by your organization must be supported by a letter signed by the authorized official whose signature appears on the SF424 detailing sources and uses of the committed match. The commitment documentation must mention this NOFA and have been signed on or after the date this NOFA was published. You must show that matching contributions will be used specifically for allowable program costs and come from allowable non-federal sources—both the source of the funds and use of the funds must comply with the requirements of this NOFA. The Department will track and monitor all match commitments according to Office of Management and Budget (OMB) and program requirements

As noted in the General Section, the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, set forth in 2 CFR part 200, apply to this Federal award. You must also note that all shared costs or matching funds and contributions must meet the criteria set forth in 2 CFR

200.306, including the requirement in 2 CFR 200.306(b)(5) that any shared costs or matching funds and contributions must not be paid by the Federal government under another Federal award, except where the Federal statute authorizing a program specifically provides that Federal funds made available for such program can be applied to matching or cost sharing requirements of other Federal programs. In addition, the proposed use of matching funds must be for those costs allowable under this NOFA.

Proposed matching commitments that are not eligible, such as, funding sources that are federal (e.g. HOME or Weatherization Assistance Program funds) or that are not committed for allowable uses (e.g., rehabilitation, code compliance, etc.), will not be counted towards satisfying the match requirements of the programs in this NOFA. NOTE: Community Development Block Grant (CDBG) funds may be used as match to satisfy the matching resource requirements of this NOFA provided they are specifically designated for the activities and costs allowed in this NOFA. In addition, CDBG funds used for match may only be used for CDBG-eligible activities and must be included in the CDBG recipient's annual action plan. HOME funds, and all other funds that prohibit their use to satisfy federal matching requirements, may not be used to satisfy match requirements of this NOFA.

Permissible Match Contributions. Examples of eligible sources that are permissible as match contributions include:

- Documentation of Contributions from Property Owners. Homeowners or landlords (owners) may contribute match dollars. You must provide detailed documentation of the cost to be paid by the homeowner or landlord. Only owner contributions for eligible activities will count as match. Owner contributions must be supported and verified by a third party: for example, materials or labor that the owner paid for or provided must be substantiated via receipts/records. You must document and verify all owner-provided labor through a third party, and this labor must be valued at market rates.
- Donations. The value of in-kind donated items, such as paint and other materials or equipment that are used for lead-based paint hazard control, must be established at market rates.
- Discounts. For services or products provided at a discounted rate and used an eligible use under the grant, the discounted part of the fee or price is the eligible match, not the entire value of the services or products. For example: if a supply company provides a product to the contractor at a lower rate, the difference in the cost of the product the supplier would typically charge and the discounted rate is a match if otherwise eligible.
- Third Party In-Kind Contributions. See 2 CFR 200.306 for additional information on third party in-kind contributions.

C. Threshold Requirements.

Applicants who fail to meet any of the following threshold eligibility requirements will be deemed ineligible. Applications from ineligible applicants will not be evaluated. See also Section I.A.3. Definitions.

1. Timely Submission of Applications – Applications submitted after the deadline stated within this NOFA and that do not meet the requirements of the grace period policy will be marked late. Late applications are deemed ineligible and will not be considered for funding. See also Section IV Application and Submission Information, part D. Application Submission Dates and Times.
2. Resolution of Civil Rights Matters. Outstanding civil rights matters must be resolved before the application deadline. Applicants who after review are confirmed to have civil rights matters unresolved at the application deadline will be deemed ineligible; the application will receive no further review, will not be rated and ranked, and will not receive funding.
 - a. Applicants having any of the charges, cause determinations, lawsuits, or letters of findings referenced in subparagraphs (a) – (e) that have not been resolved to HUD's satisfaction before or on the application deadline date are ineligible for funding. Such matters include:
 - (1) Charges from HUD concerning a systemic violation of the Fair Housing Act or receipt of a cause

determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of a substantially equivalent state or local fair housing law proscribing discrimination because of race, color, religion, sex, national origin, disability or familial status;

(2) Status as a defendant in a Fair Housing Act lawsuit filed by the Department of Justice alleging a pattern or practice of discrimination or denial of rights to a group of persons raising an issue of general public importance under 42 U.S.C. 3614(a);

(3) Status as a defendant in any other lawsuit filed or joined by the Department of Justice, or in which the Department of Justice has intervened, or filed an amicus brief or statement of interest, alleging a pattern or practice or systemic violation of Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974, the Americans with Disabilities Act or a claim under the False Claims Act related to fair housing, non-discrimination, or civil rights generally including an alleged failure to affirmatively further fair housing;

(4) Receipt of a letter of findings identifying systemic non-compliance with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974; or the Americans with Disabilities Act; or

(5) Receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of provisions of a state or local law prohibiting discrimination in housing based on sexual orientation, gender identity, or lawful source of income.

b. HUD will determine if actions to resolve the charge, cause determination, lawsuit, or letter of findings taken before the application deadline date will resolve the matter. Examples of actions that may be sufficient to resolve the matter include, but are not limited to:

- Current compliance with a voluntary compliance agreement signed by all the parties;
- Current compliance with a HUD-approved conciliation agreement signed by all the parties;
- Current compliance with a conciliation agreement signed by all the parties and approved by the state governmental or local administrative agency with jurisdiction over the matter;
- Current compliance with a consent order or consent decree;
- Current compliance with a final judicial ruling or administrative ruling or decision; or
- Dismissal of charges.

The following will make your application ineligible for review.

1. Requested Funds Exceeding Maximum Award Amount. If you request more than the maximum federal amount you are permitted to be awarded for this program for either lead hazard control activities or the Healthy Homes Supplement, as described in section II.C, Minimum/Maximum Award Information above, your application will not be reviewed. You must clearly document the requested federal funding amount on line 18a of the SF424 (Application for Federal Assistance), and the Form HUD_424_CBW (HUD Detailed Budget Worksheet including Total Budget).

2. Applications Not in Scope with Program Purpose. Applications submitted to conduct activities other than lead-hazard control evaluation and remediation and, if Healthy Homes Supplement funding is also being requested, evaluation and control of housing-related health and safety hazards, will not be reviewed.

3. Duplicate Applications. Only one application will be accepted from any given state, tribal or local government under this NOFA (i.e., the LHRD Grant Program). If more than one application is received from a state, tribal or local government, the last (most recent) application that was received by Grants.gov that meets the timely receipt requirements will be considered for review and funding.

4. The application must include documentation that details the minimum 10 percent matching requirement as described in Section III.B, Cost Sharing or Matching, above, to be reviewed.

5. The application must contain each of the required application documents as indicated in Section IV.B, below, for their applications to meet threshold requirements and be reviewed.

D. Statutory and Regulatory Requirements Affecting Eligibility.

1. Compliance with Non-discrimination and Related Requirements.

Unless otherwise specified, these non-discrimination and equal opportunity authorities and other requirements apply to all Program NOFAs. Please read the following requirements carefully as the requirements are different among HUD's programs.

2. HUD- or Federal government-wide Requirements.

a. Outstanding Delinquent Federal Debts – It is HUD policy, consistent with the purposes and intent of 31 U.S.C. 3720B and 28 U.S.C. 3201(e), that applicants with outstanding delinquent federal debt will not be eligible to receive an award of funds, unless:

- A negotiated repayment schedule is established and the repayment schedule is not delinquent, or
- Other arrangements satisfactory to HUD are made prior to the award of funds by HUD.

If satisfactory arrangements cannot be completed within 90 days of notification of selection, HUD will not make an award of funds to the applicant, and instead offer the award to the next eligible applicant. HUD may act earlier than the above stated 90 days to ensure, in HUD's determination, that the funds can be obligated in a timely manner. Applicants selected for funding, or awarded funds, must report any changes in status of current agreements covering federal debt. HUD may withhold funding, terminate an award, or seek other remedies from a grantee if a previously agreed-upon payment schedule has not been followed or a new agreement with the federal agency to which the debt is owed has not been signed.

b. Sufficiency of Financial Management System. HUD will not award or disburse funds to applicants that do not have a financial management system that meets Federal standards as described at 2 CFR 200.302. HUD may arrange for a survey of financial management systems for applicants selected for award who have not previously received Federal financial assistance, where HUD Program officials have reason to question whether a financial management system meets Federal standards, or for applicants considered high risk based on past performance or financial management findings.

c. Debarments and/or Suspensions – Under 2 CFR 2424, no award of Federal funds may be made to debarred or suspended applicants, or those proposed to be debarred or suspended from doing business with the Federal government.

d. False Statements – A false statement in an application is grounds for denial or termination of an award and possible punishment, as provided in 18 U.S.C. 1001.

e. Pre-selection Review of Performance. – If your organization has delinquent federal debt or is excluded from doing business with the Federal government, the organization may be ineligible for an award. In addition, before making a Federal award, HUD reviews information available through any OMB-designated repositories of government-wide eligibility qualification or financial integrity information, such as Federal Awardee Performance and Integrity Information System (FAPIIS), and the "Do Not Pay" website. HUD may consider other public sources such as newspapers, Inspector General or Government Accountability Office reports or findings, or other complaints that have been proven to have merit. Applicants may review and comment on any information in FAPIIS through SAM. HUD reserves the right to:

- Deny funding, or with a renewal or continuing award, consider suspension or termination of an award immediately for cause,
- Require the removal of any key individual from association with management or implementation of the award, and
- Make provisions or revisions regarding the method of payment or financial reporting requirements.

f. **Mandatory Disclosure Requirement.** Recipients or applicants must disclose in writing to the awarding program office at HUD, all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award within ten days after learning of the violation. Recipients that have received a Federal award including the term and condition outlined in Appendix XII to Part 200—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in §200.338 Remedies for noncompliance, including suspension or debarment. (See also 2 CFR part 180, 31 U.S.C. 3321, and 41 U.S.C. 2313.)

g. **Conducting Business in Accordance with Ethical Standards/Code of Conduct –**

Before entering into an agreement with HUD, applicants selected for award must ensure an up-to-date copy of the organization’s code of conduct, dated and signed by the Executive Director, Chair, or equivalent official, of the governing body of the organization has been submitted to HUD.

Codes of conduct must prohibit real and apparent conflicts of interest that may arise among officers, employees, or agents; prohibit the solicitation and acceptance of gifts or gratuities over minimal value by officers, employees, or agents for their personal benefit; and outline administrative and disciplinary actions available to remedy violations of such standards. (See 2 CFR 200.112 and 2 CFR 200.318.)

If the recipient has a parent, affiliate, or subsidiary organization, whether non-profit or for-profit, the recipient must also maintain written standards of conduct covering organizational conflicts of interest. “Organizational conflicts of interest” means that because of relationships with a parent, affiliate, or subsidiary organization, the recipient is unable, or appears to be unable, to be impartial in administering the award or serving as a pass-through-entity.

h. **Conflict of Interest of Consultants or Technical Experts Assisting HUD –** Consultants and technical experts who assist HUD in rating and ranking applications for funding under published FY 2017 Program NOFAs are subject to 18 U.S.C. 208, the federal criminal conflict-of-interest statute, and the Standards of Ethical Conduct for Employees of the Executive Branch regulation published at 5 CFR part 2635. As a result, consultants and technical experts who have assisted or plan to assist applicants with preparing applications for FY 2017 Program NOFAs may not serve on a selection panel and may not serve as a technical advisor to HUD. Anyone involved in rating and ranking FY 2017 Program NOFA applications, including departmental staff, experts and consultants must avoid conflicts of interest or the appearance of such conflicts. These individuals must also disclose to HUD’s Office of General Counsel Ethics Law Division the following information, if applicable:

- How the selection or non-selection of any applicant under a FY 2017 Program NOFA will affect the individual’s financial interests, as provided in 18 U.S.C. 208, or
- How the application process involves a party with whom the individual has a covered relationship under 5 CFR 2635.502

The consultant or technical expert assisting HUD must disclose this information before participating in any matter regarding an FY 2017 program NOFA. Applicants with questions regarding these provisions or concerning a conflict of interest, please call the Office of General Counsel, Ethics Law Division, at (202) 708-3815 (this is not a toll-free number). The phone number above may also be reached by individuals who are deaf or hard of hearing, or who have speech disabilities, through the Federal Relay Services service at 1-800-877-8339.

i. **Prohibition Against Lobbying Activities –** Applicants are subject to the provisions of Section 319 of Public Law 101-121, 31 U.S.C. 1352, (the Byrd Amendment) and 24 CFR part 87, which prohibit recipients of

federal contracts, grants, or loans from using appropriated funds for lobbying the executive or legislative branches of the Federal government in connection with a specific contract, grant, loan, or cooperative agreement. In addition, applicants must disclose, using Standard Form LLL (SFLLL), "Disclosure of Lobbying Activities," any funds, other than federally appropriated funds, that will be or have been used to influence federal employees, members of Congress, or congressional staff regarding specific grants or contracts. Federally-recognized Indian tribes and tribally designated housing entities (TDHEs) established by federally-recognized Indian tribes as a result of the exercise of the tribe's sovereign power are excluded from coverage of the Byrd Amendment, but state-recognized Indian tribes and TDHEs established only under state law shall comply with this requirement. Applicants must submit the SFLLL if they have used or intend to use non-federal funds for lobbying activities.

k. Consistency with the Consolidated Plan and Analysis of Impediments (AI)/Assessment of Fair Housing – Certain competitive Programs require applications to contain a certification of consistency with a HUD-approved Consolidated Plan. This certification means that the proposed activities are consistent with the jurisdiction's strategic plan, and the location of the proposed activities is consistent with the geographic areas specified in the Consolidated Plan. The Consolidated Plan also includes the jurisdiction's certification to affirmatively further fair housing which means, among other requirements, that the jurisdiction has conducted an AI/Assessment of Fair Housing. If a program NOFA requires a certification of consistency with the Consolidated Plan and you fail to provide the certification, and you do not cure the omission as a curable deficiency, HUD will not fund the application.

Under HUD's regulations at 24 CFR 91.2(d), an applicant's PHA Plan must include a certification by the appropriate state or local official that the PHA Plan is consistent with the applicable Consolidated Plan for the jurisdiction in which the PHA is located and must describe the manner in which the applicable contents of the PHA Plan are consistent with the Consolidated Plan.

E. Program Specific Requirements.

Program Requirements and Prohibitions.

a. Blood Lead Testing. You must test each child under the age of six years who resides in a housing unit that is to have lead hazard control work done for an elevated blood lead level within the six months preceding the lead hazard control work, unless the child's parent or legal guardian chooses not to have the child tested. You must refer any child with an elevated blood lead level for appropriate medical follow-up. The standards for blood lead testing are described in the U.S. Centers for Disease Control and Prevention (CDC) publications Preventing Lead Poisoning in Young Children (1991), and Screening Young Children for Lead Poisoning: Guidance for State and Local Public Health Officials (1997). See also, the CDC Response to Advisory Committee on Childhood Lead Poisoning Prevention Recommendations in "Low Level Lead Exposure Harms Children: A Renewed Call of Primary Prevention" (2012) available at http://www.cdc.gov/nceh/lead/ACCLPP/CDC_Response_Lead_Exposure_Recs.pdf.

b. Code of Conduct. If you are awarded a grant, you must be prepared to submit a copy of your organization's Code of Conduct and describe the methods you will use to ensure that all officers, employees, and agents of their organization are aware of your Code of Conduct, prior to entering into a grant agreement with HUD. You will not be required to submit another copy if you were awarded a grant in Fiscal Year 2015, provided that you can provide documentation that your Code of Conduct is current and is listed on HUD's Code of Conduct website:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/grants/conduct/

c. Collaboration and Coordination with Other Agencies and Partners. HUD encourages collaboration and coordination with other agencies and partners to identify and eliminate lead-based paint and housing-related health and safety hazards. You are encouraged to enter into formal arrangements with partners, such as childhood lead poisoning prevention programs, health agencies, community development agencies, public housing agencies (noting, however, that lead hazard control funds may not be used for evaluating or controlling lead-based paint hazards in public housing), weatherization assistance agencies, fair housing

organizations, code enforcement agencies, state Medicaid agencies, community-based non-profit organizations, and faith-based or other community-based organizations. These formal arrangements may be in the form of a contract, a Memorandum of Understanding (MOU), a Memorandum of Agreement (MOA), or other comparable documentation of agreement. Such relationships must be established prior to the execution of an award or be contingent upon award, becoming effective within 60 days after award. Agreements for goods and services must be procured through a competitive process as defined in 2 CFR sections 200.317–200.326, as applicable.

d. Compliance with HUD Regulations and Guidelines. You must conduct lead hazard evaluation and control work in compliance with HUD’s Lead Safe Housing Rule, the current HUD Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing (current HUD guidelines; http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/lbp/hudguidelines/), and applicable federal, state and local regulations and guidance, including, but not limited to the EPA’s Renovation, Repair, and Painting (RRP) Rule (found within 40 CFR part 745; see <http://www2.epa.gov/lead/renovation-repair-and-painting-program/>).

e. Prohibited Practices. Grantees are not permitted to engage in practices prohibited under HUD’s Lead Safe Housing Rule at 24 CFR 35.140, EPA’s RRP Rule at 40 CFR 745.83(a)(3), or EPA’s lead abatement rule at 40 CFR 745.227(e)(6).

f. Compliance with Section 504 of the Rehabilitation Act. Facilities where program participants come for assistance (e.g., for intake and enrollment in the program), training or education, must be held in facilities that are accessible to persons with disabilities in accordance with Section 504 of the Rehabilitation Act and its implementing regulations at 24 CFR Part 8, and with Titles II and III of the Americans with Disabilities Act, as applicable.

g. Consolidated Plans. You must submit Form HUD2991. (By submitting Form HUD2991, you certify that the work will be conducted in accordance with your and other jurisdictions’ Consolidated Plans for areas where the project will be carried out. You must also submit, as an attachment, the current lead-based paint element from your approved Consolidated Plan or a web site address where the Consolidated Plan is located, and identify the location of the lead-based paint element within the Plan (e.g., section/subsection number, and/or page number). Be sure to verify that the web address is active, and available without cost. If the jurisdiction does not have a currently approved Consolidated Plan, but is otherwise eligible for this grant program, you must include the jurisdiction’s abbreviated Consolidated Plan that includes a lead-based paint hazard control strategy developed in accordance with 24 CFR 91.235. (If a jurisdiction does not include such a strategy, it is ineligible to participate in the grant.)

h. Continued Availability of Lead-Safe Housing to Low-Income Families. Units in which lead hazards have been controlled under this program must be occupied by or continue to be available to low-income residents as required by Title X, Section 1011. You must describe previous efforts, whether on your own or in partnership with others, such as the organizations listed in paragraph c, above, if applicable, to maintain a publicly accessible registry (listing) of low-income units made lead-safe because of previous activities. You must also describe the plans you have, again, on your own or in partnership with others, for continuing an existing registry or establishing a new registry, and procedures for affirmatively marketing these units to low-income families with children less than six years of age. Your approach must include the entire period of performance, the process, persons responsible and actions that will occur when violations are noted.

i. Control/Elimination Strategies. All lead-based paint hazards identified in housing units and in common areas of multifamily housing enrolled in this grant program must be controlled or eliminated by either of the following strategies or a combination of the two methods below within 10 days or less :

- Interim Controls. In accordance with the current HUD Guidelines, interim controls of lead-based paint hazards including lead-contaminated dust and soil in housing must include specialized cleaning techniques to address lead dust.
- Abatement. Abatement means any set of measures designed to permanently eliminate lead-based paint hazards in accordance with standards established by the EPA. Abatement includes the removal of lead-based paint and lead contaminated dust, the permanent containment or encapsulation of lead-based paint, the replacement of lead painted surfaces or fixtures, and the removal or covering of lead contaminated soil; and

all preparation, cleanup, disposal, and post-abatement clearance testing activities associated with such measures.

j. Cooperation with Related Research and Evaluation. Grantees must cooperate fully with any research or evaluation sponsored by HUD or another government agency associated with this grant program, including preservation of project data and records and compiling requested information in formats provided by the researchers, evaluators or HUD. This may include the compiling of certain relevant local demographic, dwelling unit, and participant data not contemplated in the original proposal. Participant data must be subject to the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA). HIPAA and the Privacy Rule can be found at <http://www.hhs.gov/ocr/privacy/>. For the program in this NOFA, HUD does not expect research to be conducted that could affect human subjects.

k. Data Collection. You must collect, maintain, and provide to HUD the data necessary to document and evaluate grant program outputs and outcomes.

l. Economic Opportunities for Low- and Very Low-Income Persons (Section 3). Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. § 1701u) is applicable to grants funded under this program NOFA (see 24 CFR 135.3(a)(2)(i)). All grantees that receive awards exceeding \$200,000 are required to comply with Section 3. If you plan to hire any new employees or award contracts to carry out the grant, you must comply with the Section 3 requirements found at 24 CFR 135.32. Additionally, any contractor, subcontractor or sub-grantee receiving contracts under the grant totaling more than \$100,000 must comply with the Section 3 requirements for any new training, hiring or sub-contracting opportunities provided under those contracts.

m. Environmental Requirements. Award of an FY 2017 Lead-Based Paint Hazard Control grant does not constitute approval of specific sites where activities that are subject to environmental review may be carried out. Recipients of funding under this NOFA that are states, units of general local government or Native American tribes must carry out environmental review responsibilities as a responsible entity under 24 CFR part 58, "Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities." For recipients that are not a state, unit of general local government, or Native American tribe (or a consortium with such a government as principal applicant), HUD will perform environmental reviews of proposed activities under 24 CFR part 50. Reasonable expenses incurred for compliance with these environmental requirements are eligible expenses under this NOFA. Recipients must also meet environmental justice requirements noted earlier in the NOFA.

n. For all grants awarded under this NOFA, recipients and other participants in the project are prohibited from undertaking, committing or expending HUD or non-HUD funds on a project or activities under this NOFA (other than activities listed at 24 CFR 58.34, 58.35(b) or 58.22(f), e.g., lead-based paint inspections, risk assessments, and housing related health hazard assessments using the Healthy Homes Rating System (HHRS) or a Green Building assessment protocol under the NOFA Priority in section V.2.B.1, below) until the recipient completes an environmental review including the submission, and HUD approval, of a Request for Release of Funds and the recipient's Environmental Certification (both on Form HUD 7015.15) or, in the case where the recipient is not a state, unit of general local government, or Native American tribe and HUD performs the environmental review under part 50, HUD has completed the review and notified the recipient of its approval. For applicants that are not States, units of general local government, or Native American tribes, in accordance with 24 CFR 50.3(h) the application constitutes an assurance that the applicant will comply with this prohibition until HUD approval of the property is received, and that the applicant will assist HUD in complying with part 50; will supply HUD with all available, relevant information necessary for HUD to perform for each property any required environmental review; and will carry out mitigating measures required by HUD or select alternate eligible property. The results of the environmental review may require that proposed activities be modified or proposed sites rejected.

- For Part 58 procedures see: <https://www.onecpd.info/environmental-review/>. For assistance during the period of performance of a grant under this NOFA, contact Karen Griego, the Office of Lead Hazard Control and Healthy Homes' Program Environmental Clearance Officer at 505-346-6462 (this is not a toll free number)

- If you are a hearing- or speech-impaired person, you may reach either telephone number above via TTY by

calling the Federal Relay Service at 800-877-8339. Recipients of a grant under this NOFA will be provided additional guidance in these environmental responsibilities.

o. Required Grantee Trainings. If you are awarded a grant under this NOFA, you will be required to attend a one-time OLHCHH New Grantee Orientation and an annual Program Manager School. You are required to send a minimum of two representatives from each active award (if concurrent) to each training, as applicable. If your grant agreement is not signed prior to the New Grantee Orientation, you will be reimbursed for any reasonable costs you incur to attend the New Grantee Orientation that are allowable in accordance with 2 CFR part 200, especially 2 CFR 200.458 and 2 CFR 200.474. HUD reserves the right to disallow costs that are not reasonable, allowable and allocable in accordance with OMB Cost Principles, 2 CFR part 200, subpart E.

p. Institutional Review Board (IRB). For the program in this NOFA, HUD does not expect research that could affect human subjects to be conducted. However, if such research is conducted, it shall be conducted in accordance with 24 CFR part 60, Protection of Human Subjects, which invokes the Department of Health and Human Services' Common Rule at 45 CFR part 46, subpart A.

q. Lead-Based Paint and Lead-Based Paint Hazard Identification. A complete lead-based paint inspection and lead hazard risk assessment, including either separate reports or a combined report are required for all properties enrolled under this program. Presumption of the presence of lead-based paint or lead-based paint hazards is not permitted. Paint inspections and risk assessments must follow the procedures as defined in the HUD Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing and as defined by the policies of the Lead-Based Paint Hazard Control Grant Program. Refer to Policy Guidance 2013-01 on the OLHCHH website http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/lbp/pg for additional requirements.

r. Notification Requirements. All lead-based paint testing results, summaries of lead-based paint hazard control treatments, and clearances must be provided to the owner of the unit, together with a notice describing the owner's legal duty to disclose the results to tenants and buyers (see 24 CFR 35.88 of the Lead Disclosure Rule). Grantees must ensure that this information is provided in a manner that is effective for persons with disabilities (24 CFR 8.6) and those persons with limited English proficiency (LEP) will have meaningful access to it (see Executive Order 13166). Grant files must contain verifiable evidence of providing lead hazard evaluation and control reports to owners and tenants, such as a signed and dated receipt. You must also describe how you will provide owners with lead hazard evaluation and control information generated by activities under this grant, so that the owner can comply with the Lead Disclosure Rule (24 CFR part 35, subpart A, or the equivalent 40 CFR part 745, subpart F), the Lead Safe Housing Rule (24 CFR part 35, subparts B–R), and the EPA's Renovation, Repair, and Painting (RRP) Rule (see 40 CFR part 745 and <http://www2.epa.gov/lead/renovation-repair-and-painting-program>).

s. Procurement Requirements. All goods and services must be procured through a competitive process. Recipients must follow federal procurement requirements as defined in 2 CFR 200.317–326, as applicable. The designation of an entity as a “subrecipient” or “contractor” must follow program policies and 2 CFR 200.330. According to 2 CFR 200.330, a recipient acting as a pass-through entity must make a case-by-case determination whether each agreement it makes for the disbursement of Federal program funds cast by the party receiving the funds in the role of a subrecipient or contractor.

t. Temporary Relocation. HUD expects that the lead hazard control work and temporary relocation will take 10 days or less. Assisting with reasonable costs of temporary relocation for those persons required to vacate housing while participating in this voluntary maintenance program for lead hazard reduction is an eligible activity of the program described in this NOFA. Occupants who enroll in the programs described in this NOFA must be treated fairly and equitably, in particular, regarding removing participation barriers created by relocation requirements if housing must be vacated while lead hazard reduction measures are being conducted. Such tenant-occupants are entitled to receive temporary relocation assistance where applicable pursuant to the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA), 42 U.S.C. §§ 4601-4655, as described in regulations at 49 CFR 24.2(a)(9)(ii)(D)) and the corresponding Appendix A to Part 24. (These regulations can be accessed from the Government Publishing Office website at (<http://www.gpoaccess.gov/cfr/index.html>.) Owner-occupants temporarily relocating while lead hazard

reduction measures are conducted pursuant to a program described in this NOFA are not entitled to URA relocation assistance. When tenant occupants with physical disabilities are temporarily relocated, they must be offered housing that can be approached, entered, and used by persons with physical disabilities. For additional information on relocation requirements, see the HUD Handbook 1378 (Real Estate Acquisition and Relocation Policy and Guidance).

u. Testing. All testing, sampling and laboratory analysis for lead must comply with the Lead Safe Housing Rule and conform to the current HUD Guidelines, the EPA lead hazard standards at 40 CFR part 745, and federal, state, or tribal regulations developed as part of the appropriate contractor certification program, whichever is most protective of children. All laboratory analyses conducted on paint chips, soil and/or dust samples must be performed by an environmental laboratory recognized by EPA under the National Lead Laboratory Accreditation Program pursuant to the Toxic Substances Control Act (15 U.S.C. 2685) (See the list of laboratories at www.epa.gov/lead/national-lead-laboratory-accreditation-program-list).

v. Trained and Certified Professionals. Funded activities must be conducted by firms certified for, and persons qualified for, the activities according to 24 CFR part 35, subparts B–R (possessing certification as risk assessors, inspectors, abatement supervisors, abatement workers, or sampling technicians (clearance inspections); or certified renovator (for workers and supervisors performing non-abatement work), as applicable to each unit scope of work. Any abatement activities conducted under this grant program requires a certified abatement firm, certified abatement supervisor, and certified abatement worker credentialing. Each certified person must work for an appropriately certified firm, e.g., a certified risk assessment, certified inspection, certified abatement or certified renovation firm, as applicable. EPA RRP certification is NOT sufficient for work under this program that includes measures designed to permanently eliminate lead-based paint hazards including but not limited to window and substrate remove and replacement activities.

w. Waste Disposal. You must handle waste disposal according to the requirements of the appropriate local, state, and federal regulatory agencies, and the HUD Guidelines. The HUD Guidelines are available at http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/lbp/hudguidelines.

x. Worker Protection Procedures. You must observe the procedures for worker protection established in the current HUD Guidelines, as well as the requirements of the Occupational Health and Safety Administration (OSHA) (in particular, 29 CFR 1910.1025, Lead, and/or 29 CFR 1926.62, Lead Exposure in Construction, as applicable), or the state or local occupational safety and health regulations, whichever are most protective.

y. Written Policies and Procedures. You will be required to develop written policy and procedures during the first 60 days of the new award. The policies and procedures must describe how your program will handle items such as, but not limited, to: procurements (contracting), unit eligibility, unit selection and prioritization, all phases of lead hazard evaluation and control, including risk assessments, inspections, development of specifications for contractor bids, pre-hazard control blood lead testing, financing, temporary relocation and clearance examinations. You, and your sub-contractors, sub-grantees, sub-recipients, and their contractors at all tiers must adhere to these policies and procedures.

F. Criteria for Beneficiaries.

This program has eligibility criteria for beneficiaries.

Funds must only be used under this grant program to provide assistance for housing that meets the following criteria:

a. For rental housing, at least 50 percent of the units must be occupied by or made available to families with incomes at or below 50 percent of the area median income level and the remaining units must be occupied or made available to families with incomes at or below 80 percent of the area median income level, and in all cases the landlord must give priority in renting units assisted under this section, for not less than 3 years following the completion of lead abatement activities, to families with a child under the age of six years, except that buildings with five or more units may have 20 percent of the units occupied by families with incomes above 80 percent of area median income level;

b. For housing owned by owner-occupants, all units assisted with grants under this section must be the

principal residence of families with income at or below 80 percent of the area median income level, and not less than 90 percent of the units assisted with grants under this section must be occupied by a child under the age of six years or must be units where a child under the age of six years spends a significant amount of time visiting.

See Policy Guidance PG2014-01, Eligibility of Units for Assistance, at http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/lbp/pg for additional information.

IV. Application and Submission Information.

A. Obtaining an Application Package.

Instructions for Applicants

You must download both the Application Instruction and the Application Package from Grants.gov. To ensure you are using the correct Application Package and Application Instructions, you must verify that the CFDA Number and CFDA Description on the first page of the Application Package, and the Opportunity Title and the Funding Opportunity Number match the Program and NOFA to which you are applying.

The Application Package contains the Adobe forms created by Grants.gov. The Instruction download contains official copies of the General Section and Program NOFA, and forms necessary for a complete application. The Instruction download may include Microsoft Word, Microsoft Excel and additional Adobe Portable Document Format documents.

An applicant demonstrating good cause may request a waiver from the requirement for electronic submission. For example, a lack of available Internet access in the geographic area in which your business offices are located. Lack of SAM registration or valid DUNS is not deemed good cause. If you cannot submit your application electronically, you must ask in writing for a waiver of the electronic grant submission requirements. HUD will not grant a waiver if HUD does not receive your written request at least 15 days before the application deadline or if you do not demonstrate good cause. If HUD waives the requirement, HUD must receive your paper application before the deadline of this NOFA. To request a waiver and receive a paper copy of the application materials, you should contact:

Shannon Steinbauer

Phone: (202) 402-6885

Email: Shannon.e.steinbauer@hud.gov

Office of Lead Hazard Control and Healthy Homes

US Department of Housing and Urban Development

451 SW 7th Street (Room 8236)

Washington, DC 20410

The phone number above may also be reached by individuals who are deaf or hard of hearing, or who have speech disabilities, through the Federal Relay Service's teletype service at 800-877-8339; for additional means of communicating with federal agencies, go to www.gsa.gov/fedrelay.

B. Content and Form of Application Submission.

To ensure that the correct Application Package and Application Instructions are used, applicants must verify that the CFDA Number and CFDA Description on the first page of the Application Package downloaded from Grants.gov, as well as the Funding Opportunity Title, and the Funding Opportunity Number match the Program and NOFA to which they are applying. You must verify that boxes 11, 12, and 13 on the SF-424

match the NOFA for which you are applying. If they do not match, you have downloaded the wrong Application Instruction and Application Package.

Submission of an application under the wrong CFDA and Funding Opportunity Number is a curable deficiency.

1. Content.

Forms for your package include the forms outlined below:

Forms / Assurances / Certifications	Submission Requirement	Notes / Description
HUD Applicant Recipient Disclosure Report (HUD) 2880 Applicant/Recipient Disclosure/Update Report	Must submit with your application	
Form HUD_424_CBW: HUD Detailed Budget Worksheet	Must submit with your application	Amounts on HUD_424_CBW must be consistent with requested and matched amounts on lines 18b-f of the SF424, Application for Federal Assistance.
Standard Form 424 (SF424), Application for Federal Assistance	Applicants must include the nine digit zip code -plus four digits associated with the applicant address in box 8d of the SF424. Available on Grants.gov.	Applicant contributions reported on the SF424 in lines 18b-f must correspond to what is reported on the Form HUD_424_CBW: HUD Detailed Budget Worksheet. Failure to adequately and accurately document matching contributions will adversely affect the threshold evaluation of your application. (See Instructions to SF424)
Form HUD_2991, Consistency with Consolidated Plan	Must submit with your application.	By signing the Form_HUD2991, the applicant certifies that the work will be conducted in accordance with its and other jurisdictions' Consolidated Plans for areas where the project will be carried out.
Acknowledgment of Application Receipt (HUD) 2993, if applicable	Only required for paper submissions	

Additionally, your complete application must include the following narratives and non-form attachments.

2. Format and Form.

Narratives and other attachments to your application must follow the following format guidelines.

a. Abstract. A 2-page abstract (project summary) must contain the information detailed below (abstracts are not evaluated with your application but can provide a good introduction to your proposed program):

- Indicate whether you are a new applicant, or a current or prior grantee, under either the LBPHC or LHRD programs; if a current or prior grantee, list the fiscal year and program of each award and grant award number;
- A general summary of the project you intend to run, including target areas, performance (output) goals, reasons for applying for lead hazard control funding and, if applicable, healthy homes funding, key participants in the effort and any other information you deem relevant; and
- All applicants requesting the Healthy Homes Supplemental funding must indicate in the abstract that the application includes a request for the promotion and development of healthy housing intervention funding, the amount of funding being requested and a discussion of the proposed uses of the Healthy Homes Supplemental request.

b. Narrative Response. The narrative responses to Rating Factors 1 to 5, below, are limited to:

- A maximum of 35 pages (excluding appendices, budget forms/narrative, and worksheets)
 - Double Spaced
 - Letter sized paper, 8-1/2 x 11 inches
 - 12-point (minimum) Times New Roman font
 - At least 1 inch margins on all sides
- While the rating factor response page limit does not include attachments, appendices, and other required forms, you must not rely on excessive appendices to address the rating factors – you must clearly describe and support your responses in the rating factor narrative itself. Any information submitted in response to the Rating Factors beyond the page limit, that is not explicitly and substantively linked to a rating factor narrative, or that pertains to a Rating Factor other than the one in which the information is located, will not be reviewed.

c. Budget Narrative. Your application must include a budget narrative separate from the rating factor narrative that details the eligible cost amounts and items for each budget line. The narrative must provide details on administrative costs (maximum of 10 percent of the federal requested amount excluding Healthy Homes Supplement request amount), details on staffing costs, details on which costs are included in the minimum 65 percent lead hazard control cost requirement, and, if applicable, details on how you will budget your Healthy Homes Supplemental request amount. See section IV.F, Funding restrictions, below, regarding restrictions on the use of funds, and, especially, section IV.F.6, on the use of Healthy Homes Supplemental funds.)

d. Consolidated Plan Lead-Based Paint Element. You must provide a copy of your jurisdiction's Lead-Based Paint Element or the link to the website identifying where the Lead-Based Paint Element can be found, from its Consolidated Plan or abbreviated Consolidated Plan (as applicable; see section III.C.5.g, above).

e. Appendices. You must provide materials in the appendices, such as résumés, the Consolidated Plan's lead segment (or a link to it), and documentation of minimum match requirements through commitment letters. These attachments must directly refer to the specific rating factor narrative to which they pertain. Material provided in the appendices must support Rating Factor narrative information and will not be used in lieu of information provided in response to the Rating Factors. You are strongly urged to submit only information that is required and/or requested in the NOFA or relevant to a specific narrative response. All attachments must identify the related Rating Factor in the page footer by providing the related Rating Factor number and the page number of the attachment (e.g., Factor 1 Attachment, page 1).

C. System for Award Management (SAM) and Dun and Bradstreet Universal Numbering System (DUNS) Number.

1. SAM Registration Requirement

Applicants must be registered with SAM before submitting their application. In addition, applicants must maintain an active SAM registration with current information at all times when they have an active Federal award or an application or plan under consideration by HUD.

2. DUNS Number Requirement.

Applicants must provide a valid DUNS number, registered and active at SAM, in the application. DUNS numbers may be obtained for free from [Dun and Bradstreet](#).

3. Requirement to Register with Grants.gov.

Anyone planning to submit grant applications on behalf of an organization must register at Grants.gov and be approved by the EBiz Point of Contact in SAM to submit applications for the organization.

Registration for SAM and Grants.gov is a multi-step process and can take four (4) weeks or longer to complete if data issues arise Applicants without a valid registration cannot submit an application through Grants.gov. Complete registration instructions and guidance are provided at [Grants.gov](#). See also Section IV.B for necessary form and content information.

D. Application Submission Dates and Times.

The application deadline is 11:59:59 p.m. Eastern time on **03/23/2017**. Applications must be received no later than the deadline.

Submit your application to [Grants.gov](#) unless a waiver has been issued allowing you to submit your application in paper form. Instructions for submitting your application to [Grants.gov](#) are contained in the Application Package you downloaded from [Grants.gov](#). Instructions for submitting your paper application will be contained in the waiver of electronic submission.

Applications under HUD's Continuum of Care (CoC) grant program are an exception to the submission requirements detailed in the previous paragraph. Applications for that grant program are submitted through HUD's e-snaps system.

"Received by [Grants.gov](#)" means the applicant received a confirmation of receipt and an application tracking number from [Grants.gov](#). [Grants.gov](#) assigns an application tracking number and date- and time-stamps each application upon successful receipt by the [Grants.gov](#) system. A submission attempt that does not result in confirmation of receipt and an application tracking number is not considered received by [Grants.gov](#).

Applications received by Grants.gov must be validated by [Grants.gov](#) in order to be received by HUD. "Validated by Grants.gov" means the application has been accepted and was not rejected with errors. You can track the status of your application by logging into Grants.gov, selecting "Applicants" from the top navigation, and selecting "Track my application" from the dropdown list. If the application status is "rejected with errors," you must correct the error(s) and resubmit the application before the 24-hour grace period ends. Applications in "rejected with errors" status after the 24-hour grace period expires will not be received by HUD. Visit Grants.gov for a complete description of processing steps after submitting an application. To quickly check the status of your application:

- Go to www.grants.gov.
- Under the APPLICANTS tab on the Home page header, select [Track My Application](#).
- In the text box provided, enter your Grants.gov application tracking number and click on the red Submit Application Tracking Numbers button. If the status is rejected with errors, you can get more information by logging in to Grants.gov with the username and password for the AOR account used to submit the application.

HUD strongly recommends Applications be submitted at least 48 hours before the deadline and during regular business hours to allow enough time to correct errors or overcome other problems.

Note: Now you can verify the contents of your submitted application to confirm Grants.gov received everything you intended to submit. To verify the contents of your submitted application:

- Go to www.grants.gov.
- On the top, right corner, click on the LOGIN link.
- Under the APPLICANT tab, enter the username and password for the AOR account used to submit the application and click on the LOGIN button.
- If your organization has Standard AOR Access Level, please click on the CHECK MY APPLICATION STATUS link on the left. If your organization has Expanded AOR Access Level, please click on the CHECK APPLICATION STATUS FOR ORGANIZATION link.
- Select SEARCH BY: ALL and click on the SEARCH button.
- Click on the submission you wish to download to highlight it.
- Click on the DOWNLOAD APPLICATION button.
- You will be prompted to OPEN or SAVE a ZIP file. Click on the button for the option of your choice.

Please make note of the associated tracking number as it will be referenced by the Grants.gov Help Desk. Make note of the ticket number in case you need help from grants.gov.

HUD may extend the application deadline for any program if Grants.gov is offline or not available to applicants for at least 24 hours immediately prior to the deadline date, or the system is down for 24 hours or longer and impacts the ability of applicants to cure a submission deficiency within the grace period.

HUD may also extend the application deadline upon request if there is a presidentially-declared disaster in the applicant's area.

In the event of either of these events, HUD will post a notice on its website that establishes the new, extended deadline for the affected applicants. HUD will also include the fact of the extension in the program's Notice of Funding Awards that is required to be published in the [Federal Register](#).

In determining whether to grant a request for an extension based on a presidentially-declared disaster, HUD will consider the totality of the circumstances including the date of an applicant's extension request (how closely it followed the basis for the extension), whether other applicants in the geographic area are similarly affected by the disaster, and how quickly power or services are restored to enable the applicant to submit its application.

PLEASE NOTE: Busy servers, slow processing, or large file sizes, improper registration or password issues are not valid circumstances to extend the deadline dates or the grace period.

1. Amending or Resubmitting an Application.

Before the submission deadline, you may amend an application that has been validated by Grants.gov by resubmitting a revised application containing the new or changed material. The resubmitted application must be received and validated by grants.gov by the applicable deadline. If HUD receives an original and a revised application for a single proposal, HUD will evaluate only the last submission received by Grants.gov before

the deadline.

2. Grace Period for Grants.gov Submissions.

If your application is received by Grants.gov before the deadline, but is rejected with errors, you have a grace period of 24 hours after the application deadline to submit a corrected application that is received and validated by Grants.gov. The date and time stamp on the Grants.gov system determines the application receipt time. Any application submitted during the grace period that is not received and validated by grants.gov will not be considered for funding. There is no grace period for paper applications.

3. Late Applications.

An application received after the Program NOFA deadline date that does not meet the Grace period requirements will be marked late and will not be received by HUD for funding consideration. Improper or expired registration and password issues are not causes that allow HUD to accept applications after the deadline.

4. Corrections to Deficient Applications.

Except as provided by the electronic submission grace period described in this NOFA, HUD may not consider any information that applicants may want to provide after the application deadline. HUD may not seek or consider clarification of application items or responses that improve the substantive quality of an application or which correct deficiencies which are in whole or part of a rating factor, including items that impact preference points. HUD may contact the applicant to clarify other items in its application. In order not to unreasonably exclude applications from being rated and ranked where there are curable deficiencies, HUD will uniformly notify applicants of each curable deficiency. A curable deficiency is an error or oversight which, if corrected, would not alter, in a positive or negative fashion, the review and rating of the application. Examples of curable (correctable) deficiencies include inconsistencies in the funding request, failure to submit the proper certifications, and failure to submit an application that contains a signature by an official able to make a legally binding commitment on behalf of the applicant. These examples are non-exhaustive.

When HUD identifies a curable deficiency, HUD will notify the authorized representative in item 21 of the SF-424 describing the curable deficiency. The email notifications are the official notification of the need to cure a curable deficiency. Each applicant must provide accurate email addresses for receipt of these notifications and must monitor their email accounts to determine whether a deficiency notification has been received. The applicant must carefully review the request for cure of a curable deficiency and must provide the response in accordance with the instructions contained in the deficiency notification.

Applicants must email corrections of curable deficiencies to applicationsupport@hud.gov within the time limits specified in the notification. The time allowed to correct deficiencies will not exceed 14 calendar days or be less than 48 hours from the date of the email notification. The start of the cure period will be the date stamp on the email sent from HUD (or GrantSolutions). If the deficiency cure deadline date falls on a Saturday, Sunday, Federal holiday, or other day when HUD's Headquarters offices in Washington, DC, are closed, then the applicant's correction must be received on the next business day that HUD Headquarters offices in Washington, DC are open.

The subject line of the email sent to applicationsupport@hud.gov must state: "Technical Cure" and include the Grants.gov application tracking number (e.g., Subject: Technical Cure - GRANT123456). If this information is not included, HUD cannot match the response with the application under review and the application may be rejected due to the deficiency.

Corrections to a paper application must be sent in accordance with and to the address indicated in the notification of deficiency. HUD will treat a paper application submitted in accordance with a waiver of electronic application that contains the wrong DUNS number as having a curable deficiency. Failure to

correct the deficiency and meet the requirement to have a DUNS number and active registration in SAM will render the application ineligible for funding.

E. Intergovernmental Review.

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

F. Funding Restrictions.

1. **Administrative Costs.** Administrative costs, as defined in Sections I.A.3.f. 3) and 4), above, may not exceed 10 percent of the grant award, excluding the Healthy Homes Supplemental funds. Administrative costs are determined based on the nature of the activity being performed and, therefore, may be found in both the direct and indirect cost categories. OLHCHH considers all costs included in your negotiated indirect cost rate as “administrative costs”.
2. **Lead Hazard Control Costs.** This NOFA requires awardees to expend not less than 65 percent of the federal award on lead hazard control activities, as outlined in Section 1, above. The federal award amount alone is used to determine the minimum percent of expenditure and does not include the requested Healthy Homes Supplemental funds.
3. **Indirect Costs.** Indirect costs may only be charged to an OLHCHH grant program under a cost allocation plan, an indirect cost rate agreement, or in accordance with the requirements of 2 CFR 200.414(f) de minimis.
4. **Ineligible Costs and Activities.** You may not use grant funds for any of the following activities:
 - a. Purchase of real property.
 - b. Purchase or lease of equipment having a per-unit cost in excess of \$5,000, except for the purchase or lease of up to two X-ray fluorescence analyzers to be used exclusively by the grant program.
 - c. Chelation or other medical treatment costs, including case management, related to children with elevated blood lead levels (EBLs). Non-federal funds used to cover these costs may not be counted as part of the matching contribution.
 - d. Lead hazard evaluation or control activities in public housing, project-based Section 8 housing, housing for the elderly or persons with disabilities (except if a child under age 6 resides or is expected to reside in such a housing unit), zero bedroom housing units, or housing built after 1977).
 - e. Lead hazard evaluation or control activities in housing covered by a pending or final HUD, EPA, and/or Department of Justice (DOJ) settlement agreement, consent decree, court order or other similar action regarding violation of the Lead Disclosure Rule (24 CFR part 35, Subpart A, or the equivalent 40 CFR part 745, subpart F), or by HUD or DOJ regarding the Lead Safe Housing Rule (24 CFR part 35, subparts B–R).
 - f. Activities that do not comply with the Coastal Barrier Resources Act (16 U.S.C. § 3501).
 - g. Lead-hazard control or rehabilitation of a building or manufactured home that is located in an area identified by the Federal Emergency Management Agency (FEMA) under the Flood Disaster Protection Act of 1973 (42 U.S.C. §§ 4001– 4128) as having special flood hazards unless:
 - (1) The community in which the area is situated is participating in the National Flood Insurance Program in accordance with the applicable regulations (44 CFR parts 59–79), or less than a year has passed since FEMA notification regarding these hazards; and
 - (2) Where the community is participating in the National Flood Insurance Program, flood insurance on the property is obtained in accordance with section 102(a) of the Flood Disaster Protection Act (42 U.S.C. § 4012a(a)). You are responsible for assuring that flood insurance is obtained and maintained for the appropriate amount and term.
 - h. Demolition of housing units or detached buildings.
5. **Replacing Existing Resources.** Funds received under the grant programs covered under this NOFA must not be used to replace existing community resources dedicated to any on-going project.
6. **Healthy Homes Supplemental Funds.** Healthy Homes Supplemental funds may not be used to pay for salary or fringe benefits, indirect costs, administrative costs, or outreach costs. Refer to Policy for additional

detail.

Indirect Cost Rate.

Indirect Cost Rate: No restrictions, applicants may use their negotiated rate or the de minimis rate (10% of Modified Total Direct Costs).

- Non-governmental organizations: If you are using a negotiated indirect cost rate, your application must clearly state the approved rate and distribution base and include a letter or other documentation from the cognizant agency showing the approved rate. If your organization has never had an indirect cost rate and wishes to use the de minimis rate, your application must clearly state you intend to use the de minimis 10% of Modified Total Direct Costs (MTDC).
- Governmental organizations: If your organization has a negotiated indirect cost rate, your application must include the rate and a letter or other documentation from the cognizant agency showing the negotiated rate. If your organization has prepared and maintains documentation supporting an indirect rate proposal but has not negotiated approval of the rate, your application's budget narrative must include the rate and applicable distribution base. State and local government departments that have never negotiated indirect cost rates with the Federal government and receive less than \$35 million in direct Federal funding per year may use the 10% de minimis indirect cost rate, and must keep the documentation of this decision on file. Federally recognized Indian tribes that have never negotiated an indirect cost rate with the Federal government may also use the 10% de minimis rate and must keep the documentation of this decision on file.

G. Other Submission Requirements.

1. Discrepancies between the NOFA on Grants.gov and Other Documents.

The Program NOFA posted at the Grants.gov website is the official document HUD uses to solicit applications. Applicants are advised to review their application submission against the requirements in the posted Program NOFA. If there is a discrepancy between the Program NOFA posted on Grants.gov and other information provided in any other copy or version or supporting documentation, the posted Program NOFA located at www.Grants.gov prevails. If discrepancies are found, please notify HUD immediately by calling the program contact listed in the Program NOFA. HUD will post any corrections or changes to a Program NOFA on the Grants.gov website. Applicants must enroll an email address at the application download page to receive an e-mail alert from Grants.gov in the event the opportunity is changed.

2. Application Certifications and Assurances.

Applicants signing the SF424 cover page either through electronic submission or in paper copy submission (for those granted a waiver) affirm that the certifications and assurances associated with the application are material representations of the facts upon which HUD will rely when making an award to the applicant. If it is later determined that the signatory to the application submission knowingly made a false certification or assurance or did not have the authority to make a legally binding commitment for the applicant, the applicant may be subject to criminal prosecution, and HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to federally-recognized Indian tribes, and those applicable to applicants other than federally-recognized Indian tribes. All program-specific certifications and assurances are included in the program Instructions Download on Grants.gov.

3. Lead Based Paint References

Not Applicable

V. Application Review Information.

A. Review Criteria.

1. Rating Factors.

HUD will consider whether your application is clear, concise and well organized. Each rating factor is reviewed independently. Be sure your response for each rating factor does not include information that belongs with another rating factor.

For example, if your application describes your organization's past experience in the narrative for rating factor 3 instead of rating factor 1, the past experience will only be scored based on the information included in rating factor 1.

In addition, material provided in the appendices must support narrative information but will not be used in lieu of information provided in response to the Rating Factors and will not be used for scoring.

Maximum Points: 0

Maximum Points: 0

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Rating Factor 1: Capacity of the Applicant and Relevant Organizational Experience

Maximum Points: 20

HUD will consider numerous factors of your prior performance, such as monitoring results, performance against benchmarks, and other relevant information, to evaluate capacity and relevant organization experience.

You should respond to only one of the three subsections (1a, 1b, or 1c) within Rating Factor 1, as follows:

- Respond to Factor 1a if you have never been awarded either a Lead-Based Paint Hazard Control (LBPHC, CFDA number 14.900) grant or a Lead Hazard Reduction Demonstration (LHRD, CFDA number 14.905) grant. (Never Grantee)
- Respond to Factor 1b if you have been awarded either a LBPHC grant or a LHRD grant for which the period of performance ended BEFORE October 1, 2016. (*Previous but not active grantee*)
- Respond to Factor 1c if you have been awarded either a LBPHC grant or a LHRD grant for which the period of performance ended ON or AFTER October 1, 2016, or will end after the submission deadline for this NOFA. (*You have an active OLHCHH grant award, extension or are in closeout*)

As a quality control measure regarding your selection of application sections to respond to, at the beginning of your response to Rating Factor 1, you must include a filled-in version of one of the following sentences.

1. The [jurisdiction of the applicant, e.g., City of A, County of B, or State of C; not the specific department or agency within the jurisdiction] has never had a Lead-Based Paint Hazard Control grant or a Lead Hazard Reduction Demonstration grant.
2. The period of performance of our most recent LBPHC or LHRD grant ended before October 1, 2016, specifically, on [enter actual Month and Year of end date].

3. The period of performance of our most recent LBPHC or LHRD grant ended or will end on or after October 1, 2016, specifically, on [enter actual Month and Year or end date].

The first of these sentences is based on Title X, section 1011(b) authorizing lead hazard control grants to certain states and local governments, but not to their agencies or departments directly. Accordingly, if your agency or department, or another agency or department of the same state government or the same local government received a LBPHC grant or a LHRD grant, you must respond to Factors 1b or 1c, as applicable based on when the most recent such grant ended or will end, but not Factor 1a. If your jurisdiction has had either Lead-Based Paint Hazard Control grant(s) and Lead Hazard Reduction Demonstration grant(s), include the sentence pertaining to the grant for which the period of performance ended most recently or will end the furthest in the future.

a. Applicants that have NOT previously had a LBPHC or LHRD grant should respond to this section; **20 points**.

As a reminder, at the beginning of your response to Rating Factor 1, you should include a filled-in version of the following sentence:

1. The [jurisdiction of the applicant, e.g., City of A, County of B, or State of C; not the specific department or agency within the jurisdiction] has never had a Lead-Based Paint Hazard Control grant or a Lead Hazard Reduction Demonstration grant.

a-1. Capacity of Applicant; *10 Points*

You must demonstrate that your organization has sufficient qualified personnel, or will actively retain qualified experts or professionals, and is prepared to perform lead-based paint hazard evaluation, lead-based paint hazard control intervention work, and other proposed activities within 60 days of the grant award to successfully implement and complete the project. If any of the key personnel titles/roles you list below are not filled on your staff when you submit your application, describe your plan to fill the vacancies timely.

(a) Key Personnel. Key personnel must include, at a minimum, a Project Director (PD) and a Program Manager (PM). Unless financial management of the grant falls under the responsibility of the PD or PM, you must also identify the person responsible for the financial management of the grant. You must provide resumes, no longer than 3 pages, of the key personnel and job descriptions for planned key personnel, including the person responsible for the financial management of the grant. You must describe the roles and responsibilities of each key personnel for the project. Please do not include the Social Security Numbers (SSN) of any person. Use the table below to provide this required information (add rows as necessary).

Personnel Name & Organization	Title/Role	% Time (FTE)*	Duties/Responsibilities
	Project Director		
	Program Manager		

* FTE = Full time equivalent; if labor hours are used, convert to FTE on the basis of 1 FTE = 2,087 hours per year.

The day-to-day Program Manager must be experienced in housing rehabilitation, lead hazard control, or other work related to the project. The Program Manager must have demonstrated project management experience and must dedicate at least 75 percent of his/her time for the proposed project. If you have not yet hired a Program Manager, you must include the job announcement for this position in the Appendix to

your application. Applications that propose key personnel that do not meet the minimum qualifications described above will not receive full points under this subfactor.

(b) Partners. In addition, to receive full points under this subfactor, you must address the following related to partner organizations that will receive federal funds from your program and that will provide critical services and/or carry out critical activities for the proposed grant program (vs. commercial services and off-the-shelf suppliers):

(1) List and describe sub-grantees, sub-contractor organizations, sub-recipients and consultants at all tiers that will provide these critical services and activities;

(2) Detail each of these critical partner entities' qualifications and experience in initiating and implementing related environmental, health, or housing projects; and

(3) List the key personnel from each of these critical partner entities, their respective roles and responsibilities, their qualifications and experience, and the percentages of time committed for all key personnel identified. You may provide this information in your narrative or in resumes, no longer than 3 pages, on each of the key personnel from these critical partner entities.

(4) Include the DUNS number and Legal Business Name of all partner organizations. For any partner that has no DUNS number, include the date of incorporation. If a partner organization will be a co-recipient or subrecipient, it needs to acquire a DUNS number

(c) Program Administration and Oversight. How will you administer the lead grant program?

(1) Describe how you will administer this program, including how you will address oversight and financial management and provide examples of current financial reports.

(2) Describe how funding will flow from you to those who will perform work under you on this program, and whether and, if so, how you will ensure that acceptable work is conducted and acceptable products were provided before you pay invoices and before you submit invoices to HUD.

(3) Describe the staff, procedures, and electronic management system you will use on this program to ensure proper project oversight/monitoring, contract administration, routine monitoring and, if you will conduct it, targeted monitoring, of all sub-grantees and contractors to ensure conformity to the terms, conditions and specifications of contracts or other formal agreements.

(d) Engaging with External Agencies. Describe your involvement in coordination among critical agencies, including participation in the statewide or jurisdiction-wide strategic plan to eliminate childhood lead poisoning as a major health problem. If you do not describe strong engagement with external agencies in addressing childhood lead poisoning you will not receive full points.

(e) Involving Grass-roots Organizations. Describe how grassroots community-based non-profit organizations, including faith-based organizations, will be involved in your grant program's activities. These activities may include outreach, community education, marketing, program sustainability activities and lead-based paint inspections/risk assessments and lead hazard control work. If you do not describe strong engagement with external non-profit organizations in addressing childhood lead poisoning across the period of performance you will not receive full points.

a-2. Relevant Organization Experience; 10 Points

(a) List federal, state, tribal, and local projects completed by your organization that demonstrate experience in implementing lead hazard control or other complex related environmental, health or housing programs, e.g., Community Development Block Grant's housing rehabilitation activities, Tribal housing projects, Childhood Lead Poisoning Prevention Program, Healthy Homes Demonstration, Healthy Homes Production, Weatherization, comparable state or local programs, etc. ("similar programs"), in the three years before the submission date for this NOFA.

(1) Describe how you administered similar programs, including whether and how you achieved performance benchmarks, addressed program oversight, and implemented financial management. Among elements of prior performance that will cause your score to be reduced:

- Termination for cause.
- Failure to achieve production benchmarks (e.g., number of housing units evaluated, number of housing units treated, rehabilitated, controlled, etc.), financial benchmarks (e.g., amount or percentage of funds from the awarding agency disbursed, amount or percentage of match expended, etc.), or other

requirements of the program.

- Failure to meet your match commitment.

(2) Describe how funding flowed from you to those who performed work under you on similar programs, and whether and, if so, how you ensured that acceptable work was conducted and acceptable products were provided before you paid invoices and before you submitted invoices to the entity that provided you the grant or contract.

(3) Describe the staff and procedures used on similar programs to ensure proper project oversight/monitoring, contract administration, routine monitoring and, if you conducted it, targeted monitoring, of all sub-grantees and contractors to ensure conformity to the terms, conditions and specifications of contracts or other formal agreements.

(4) Describe whether, and if so demonstrate that, routine monitoring of all sub-grantees and contractors ensured conformity to the terms, conditions and specifications of contracts or other formal agreements and requirements, and the requirements of similar programs.

If you do not describe significant experience providing oversight and management of similar programs, you will not receive full points.

b. . Applicants whose most recent LBPHC or LHRD grant had a period of performance ending BEFORE October 1, 2016 should respond to this section; **20 points**.

As a reminder, at the beginning of your response to Rating Factor 1, you **must** include a filled-in version of the following sentence:

2. The period of performance of our most recent LBPHC or LHRD grant ended before October 1, 2016, specifically, on [enter actual Month and Year of end date].

b-1. Capacity of Applicant; 10 Points

You must demonstrate that your organization has sufficient qualified personnel, or will actively retain qualified experts or professionals, and is prepared to perform lead-based paint hazard evaluation, lead-based paint hazard control intervention work, and other proposed activities within 60 days of the grant award to successfully implement and complete the project. If any of the key personnel titles/roles you list below are not filled on your staff when you submit your application, describe your plan to fill the vacancies timely.

(a) Key Personnel. Key personnel must include, at a minimum, a Project Director (PD) and a Program Manager (PM). Unless financial management of the grant falls under the responsibility of the PD or PM, you must also identify the person responsible for the financial management of the grant. You must provide resumes, no longer than 3 pages, of the key personnel and job descriptions for planned key personnel, including the person responsible for the financial management of the grant. You must describe the roles and responsibilities of each key personnel for the project. Please do not include the Social Security Numbers (SSN) of any person. Use the table below to provide this required information (add rows as necessary).

Personnel Name & Organization	Title/Role	% Time (FTE) *	Duties/Responsibilities
	Project Director		
	Program Manager		

* FTE = Full time equivalent; if labor hours are used, convert to FTE on the basis of 1 FTE = 2087 hours per year.

The day-to-day Program Manager must be experienced in housing rehabilitation, lead hazard control, or other work related to the project. The Program Manager must have demonstrated project management experience and must dedicate at least 75 percent of his/her time for the proposed project. If you have not yet hired a Program Manager, you must include the job announcement for this position in the Appendix to your application. Applications that propose key personnel that do not meet the minimum qualifications described above will not receive full points under this subfactor.

(b) Partners. In addition, to receive full points under this subfactor, you must address the following related to partner organizations that will receive federal funds from your program and that will provide critical services and/or carry out critical activities for the proposed grant program (vs. commercial services and off-the-shelf suppliers):

(1) List and describe sub-grantees, sub-contractor organizations, sub-recipients and consultants at all tiers that will provide these critical services and activities;

(2) Detail each of these critical partner entities' qualifications and experience in initiating and implementing related environmental, health, or housing projects; and

(3) List the key personnel from each of these critical partner entities, their respective roles and responsibilities, their qualifications and experience, and the percentages of time committed for all key personnel identified. You may provide this information in your narrative or in resumes, no longer than 3 pages, on each of the key personnel from these critical partner entities.

(4) Include the DUNS number and Legal Business Name of all partner organizations. For any partner that does not have a DUNS number, include the date of incorporation.

(c) Program Administration and Oversight. How will you administer the lead grant program?

(1) Describe how you will administer this program, including how you will address oversight and financial management and provide examples of current financial reports.

(2) Describe how funding will flow from you to those who will perform work under you on this program, and whether and, if so, how you will ensure that acceptable work is conducted and acceptable products were provided before you pay invoices and before you submit invoices to HUD.

(3) Describe the staff, procedures, and electronic management system you will use on this program to ensure proper project oversight/monitoring, contract administration, routine monitoring and, if you will conduct it, targeted monitoring, of all sub-grantees and contractors to ensure conformity to the terms, conditions and specifications of contracts or other formal agreements.

(d) Engaging with External Agencies. Describe your involvement in coordination among critical agencies, including participation in the statewide or jurisdiction-wide strategic plan to eliminate childhood lead poisoning as a major health problem. If you do not describe strong engagement with external agencies in addressing childhood lead poisoning you will not receive full points.

(e) Involving Grass-roots Organizations. Describe how grassroots community-based non-profit organizations, including faith-based organizations, will be involved in your grant program's activities. These activities may include outreach, community education, marketing, program sustainability activities and lead-based paint inspections/risk assessments and lead hazard control work. If you do not describe strong engagement with external non-profit organizations in addressing childhood lead poisoning across the period of performance you will not receive full points.

b-2. Relevant Organization Experience; 10 Points

(a) List projects completed by your organization that demonstrate experience in implementing your lead hazard control or other complex related environmental, health or housing programs, e.g., Community Development Block Grant's housing rehabilitation activities, Childhood Lead Poisoning Prevention Program, Healthy Homes Demonstration, Healthy Homes Production, or Weatherization, etc., in the three years before the submission date for this NOFA.

(i) Describe how you administered your lead hazard control grant(s) and, if applicable, similar programs,

including whether how you achieved performance benchmarks, addressed program oversight, and implemented financial management. If you have a documented history of avoidable or unresolved implementation, compliance or performance deficiencies, you will not receive full points. Among elements of prior performance that will cause your score to be reduced:

- Termination for cause from a previous grant awarded by the OLHCHH.
- Failure to expend at least 97% of federal grant funds initially awarded under a previous grant by the OLHCHH, whether or not the grant period was changed or the grant amount was reduced after execution of the grant.
- Failure to achieve, under any such program, whether for lead hazard control or not, production targets against program benchmarks (e.g., number of eligible housing units evaluated, number of eligible housing units controlled, etc.), financial targets against benchmarks (e.g., amount or percentage of funds from the awarding agency disbursed, amount or percentage of match expended, etc.), etc.
- Failure to meet your match commitment.

(ii) Describe how funding under your lead hazard control grant(s) and, if applicable, similar programs flowed from you to those who performed work under you, and whether and, if so, how you ensured that acceptable work was conducted and acceptable products were provided before you paid invoices and before you submitted invoices to the entity that provided you the grant or contract.

(iii) Describe the staff and procedures used under your lead hazard control grant(s) and, if applicable, similar programs to ensure proper project oversight/monitoring, contract administration, routine monitoring and, if you conducted it, targeted monitoring, of all sub-grantees and contractors to ensure conformity to the terms, conditions and specifications of contracts or other formal agreements.

(iv) Describe whether, and if so demonstrate that, routine monitoring of all sub-grantees and contractors under your lead hazard control grant(s) and, if applicable, similar programs ensured conformity to the terms, conditions and specifications of contracts or other formal agreements and requirements, and the requirements of similar programs.

If you do not describe significant experience providing oversight and management of your lead hazard control grant(s) and, if applicable, similar programs, you will not receive full points.

c. Capacity and Relevant Organization Experience; **20 Points**

Applicants whose most recent LBPHC grant or LHRD grant had a period of performance that ended ON or AFTER October 1, 2016, or will end after the submission deadline for this NOFA, should respond to this section only.

As a reminder, at the beginning of your response to Rating Factor 1, you **must** include a filled-in version of the following sentence:

3. The period of performance of our most recent LBPHC or LHRD grant ended or will end on or after October 1, 2016, specifically, on [enter actual Month and Year or end date]

c-1 Capacity of Applicant; *4 Points*

You must demonstrate that your organization has sufficient qualified personnel, or will actively retain qualified experts or professionals, and is prepared to perform lead-based paint hazard evaluation, lead-based paint hazard control intervention work, and other required and proposed activities within 60 days of the grant award to successfully implement and complete the project. If any of the key personnel titles/roles you list below are not filled on your staff when you submit your application, describe your plan to fill the vacancies in a timely manner.

(a) Key personnel. Key personnel must include, at a minimum, a Project Director (PD) and a day-to-day Program Manager (PM). Unless financial management of the grant falls under the responsibility of the PD or PM, you must also identify the person responsible for the financial management of the grant. You must

provide resumes, no longer than 3 pages, for the key personnel and job descriptions for planned key personnel, including the person responsible for the financial management of the grant. You must describe the roles and responsibilities of each key personnel for the project. The Program Manager must have all required certifications to perform, review and approve program work as applicable within the job description. The Program Manager must have demonstrated experience in project management, housing rehabilitation, and lead hazard control, and MUST dedicate at least 75 percent of his/her time for this proposed project. Use the table below to provide this required information (add rows as necessary).

Personnel Name & Organization	Title/Role	% Time (FTE) *	Duties/Responsibilities
	Project Director		
	Program Manager		

* FTE = Full time equivalent; if labor hours are used, convert to FTE on the basis of 1 FTE = 2087 hours per year.

For all staff expected to be included in addition to key staff; provide justification and unique contribution that they will provide to the grant activities that will justify their inclusion in budgeted HUD Share of cost or match documentation for this proposal.

(b) Partners. In addition, to receive full points under this subfactor, you must address the following related to partner organizations that will receive federal funds from your program and that will provide critical services and/or carry out critical activities for the proposed grant program (vs. commercial services and off-the-shelf suppliers):

(1) List and describe sub-grantees, sub-contractor organizations, sub-recipients and consultants at all tiers that will provide these unique and reasonable services and activities;

(2) Detail each of these critical partner entities' qualifications and experience in initiating and implementing related environmental, health, or housing projects; and

(3) List the key personnel from each of these unique partner entities, their respective roles and responsibilities, their qualifications and experience, and the percentages of time committed for all key personnel identified. You may provide this information in your narrative or in resumes, no longer than 3 pages, on each of the key personnel from these critical partner entities.

(c) Coordination among Lead Hazard Control Grants. If you intend to operate the lead hazard control grant you are applying for under this NOFA concurrently with any other lead hazard control grant(s), whether from the OHLCHH or another funding source, you MUST describe in detail how you will manage these grants without performance disruptions, to include how you will allocate staff and other program costs or resources for all program funds under this grant, and describe the safeguards in place to avoid commingling of funds and duplication of effort while maintaining performance through benchmarks for both grants concurrently within established period of performance time lines.

c-2. Relevant Organization Experience; 14 Points

(a) Provide a detailed description of your organization's progress and performance in implementing your most recent lead hazard control grant, including the total number of housing units enrolled, assessed, and completed and cleared, the corresponding target numbers of housing units in each category, the number of individuals receiving lead inspection, risk assessment, or abatement certifications as a result of training, as a result of program efforts, community capacity building efforts, and the disbursement of federal funds and expenditure of match funds.

(i) Describe how you administered your most recent lead hazard control grant, including whether and how you achieved performance benchmarks, addressed program oversight, and implemented financial management. Note that the OHLCHH will be using Quarterly Score data regarding your most recent grant's performance to determine the likelihood you will meet established benchmarks under this NOFA,

as well as the risk of your not completing proposed tasks. Evidence of effective program implementation can be correlated to higher quarterly scores

(ii) If, on your most recent lead hazard control grant, or any other lead hazard control grant or other complex related environmental, health or housing programs, e.g., Community Development Block Grant's housing rehabilitation activities, Childhood Lead Poisoning Prevention Program, Healthy Homes Demonstration, Healthy Homes Production, Weatherization, comparable state or local programs, etc., in the three years before the submission date for this NOFA ("similar programs") you have a documented history of avoidable or unresolved implementation, compliance or performance deficiencies, you will not receive full points. Among elements of prior performance that will result in a lower score are:

- Termination for cause from a previous grant awarded by the OLHCHH.
- Failure to expend at least 97% of federal grant funds initially awarded under a previous grant awarded by the OLHCHH, whether or not the grant period was changed or the grant amount was reduced after execution of the grant.
- Failure to achieve, under any such program, whether for lead hazard control or not, production targets against program benchmarks (e.g., number of eligible housing units evaluated, number of eligible housing units controlled, financial targets against benchmarks (e.g., amount or percentage of funds from the awarding agency disbursed, amount or percentage of match expended, etc.), etc.
- Failure to meet your match commitment.

C-3 Contributions to the Community; 2 points

Demonstrate that your most recent lead hazard control grant, or any other lead hazard control grant, made positive contributions to the community with specific examples, and indicate what enduring enhancements or expansion of the local infrastructure resulted from your activities. You must demonstrate meaningful and documented positive impact and infrastructure development in your community to receive full points.

Rating Factor 2: Need/Extent of the Problem

Maximum Points: 20

You will be scored in this rating factor based upon need documented through publicly available data and information. There must be a direct and substantial relationship between the proposed lead hazard control activities, the Consolidated Plan's lead-based paint element, if applicable, and documented community needs. The data submitted in response to this rating factor will be verified using data available from the Census, HUD USER, and other data available to HUD and CDC, and you must indicate what source of data is being used. You must ensure that the current residents of the target area, whose demographic characteristics constitute the data for this rating factor, are the individuals and families that will receive priority for the benefits of the funded programs and this must be addressed in the remainder of the application.

Points will be awarded in this rating factor based upon need demonstrated under segments a, Elevated blood level data, b, Income data, c, Housing data, and d, Other Factors Contributing to Need, below. Please provide data responses in simple table format. (NOTE: Provide data only for areas that lie within your target area – do not provide data for areas beyond your target area (e.g., your whole jurisdiction when your target area is a portion of it, such as select neighborhoods with the greatest need) or that overlap into another jurisdiction (e.g., going beyond city limits to encompass a whole county) unless you demonstrate that target area data or jurisdiction-level data are not available. Provide verifiable sources for your data). Each section identifies how your data will be scored.

If any portion of your target area is located outside of the area of your jurisdiction, provide a contract, MOU, MOA or comparable documentation of agreement between your jurisdiction and the jurisdiction in which each such target area is located that you may perform activities under this grant program in that other jurisdiction, and that the other jurisdiction will provide required resources, information, and documentation, such as for the environmental review, pertaining to work in the area of that jurisdiction.

You should select your target area carefully for effectiveness of the program effort. For example, while selecting a large area, such as an entire jurisdiction, rather than one or more neighborhoods with a high percentage of children under age 6 years with elevated blood lead levels, increases the number of such children, it tends to decrease the percentage of such children. In addition, it tends to decrease the percentage of families at or below 80 percent of the area median income level.

Your Target Area.

In the table below, provide the census tract(s), ZIP code(s), or other identifying name(s) or number(s) for the target area(s) you propose to work (use additional rows, as needed):

a. Elevated blood lead data in Target Area (7 points maximum).

(1) In the table below, provide in row:

- a) total population in target area;
- b) the most recently available total number of children under the age of six (6) residing in your proposed Target Area(s);
- c) the percentage of children under the age of six (6) in your target area
- d) the most recently available number of children under the age of six (6) tested for elevated blood levels in your proposed Target Area(s)
- e) the most recently available number of children under the age of six (6) with an elevated blood lead level of 5 µg/dL or above in your proposed Target Area(s);
- f) the percentage of children under the age of six (6) tested for elevated blood lead levels in your proposed target area(s), calculated as the number in row b) divided by the number in row a), multiplied by 100%;
- g) the percentage of children under the age of six (6) tested for elevated blood lead levels who had an elevated blood lead level of 5 µg/dL or above in your proposed target area(s), calculated as the number in row c) divided by the number in row b), multiplied by 100%; and
- h) the source and date of your data (you may expand the response box to fit your answer; if the data are available from a publicly accessible online source, provide the specific Internet address(es) (uniform resource locator(s); URL(s)), such as www.mytownsbllldatasource.gov/mytargetarea).

a) Total population in target area	
b) Total number of children under the age of six (6) in your proposed target area(s)	
c) Percentage of children under the age of six (6) in proposed target area[b/a, %]	
d) Number of children under the age of six (6) tested for elevated blood lead level in your proposed target area(s)	

e) Number of children under the age of six (6) with an elevated blood lead level of 5 µg/dL or above in your proposed target area(s)	
f) Percentage of children under the age of six (6) with an elevated blood lead level of 5 µg/dL or above in your proposed target area(s) [d/b, %]	
g) Percentage of children under the age of six (6) tested for an elevated blood lead level in your proposed target area(s)[b/e, %]	
h) Source and date (and, if applicable, URL) of your data	

How you will be scored:

Percent of children under age six in target area	Points Awarded
0% or no data reported	0
More than 0 to 5%	0.5
More than 5 to 10%	1.0
More than 10 to 15%	1.5
More than 15 to 20%	2.0
More than 20%	2.5

How you will be scored:

Percent of children under age six tested for blood lead levels who had a confirmed elevated blood lead level (5 ug/dl) in your proposed target area.	Points Awarded
Up to 0.9%	1.0
1.0-1.9%	2.0
2.0-2.9%	3.0
3.0- 3.9%	4.0
More than 4.0%	4.5

b. Income data in Target Area (2 points Maximum).

In the table below, provide in a) the percentage of families in your proposed target area(s) at or below 80 percent of the area median income level (AMI), and b) the source and date of your data (you may expand the response box to fit your answer; if the data are available from a publicly accessible online source, provide the specific URL(s), such as www.mytownsincomedatasource.gov/mytargetarea).

a) Enter percentage of families < 80% AMI in target area	
b) Enter the percentage of families <50% AMI in target area	

b) Source and date (and, if applicable, URL) of your data	
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How you will be scored:

Percentage of families at or below 80% AMI in Target Area	Points Awarded
Up to 20% or no data reported	0
More than 20% and up to 30%	0.25
More than 30% and up to 40%	0.50
More than 40% and up to 50%	0.75
More than 50%	1.0

How you will be scored:

Percentage of families at or below 50% AMI in Target Area	Points Awarded
Up to 20% or no data reported	0
More than 20% and up to 30%	0.25
More than 30% and up to 40%	0.50
More than 40% and up to 50%	0.75
More than 50%	1.0

c. Housing Age and Tenure Data in Target Area (10 *points maximum*).

In the table below, provide the number of housing units built before 1970 in your proposed Target Area(s) for, in rows:

- a) pre-1970 rental housing units;
- b) pre-1970 occupied rental housing units;
- c) pre-1970 owner occupied housing units;
- d) total number of pre-1970 vacant housing units,
- e) Total Number of pre-1970 rental housing units
- e1) Percentage of pre-1970 housing units
- e2) percentage of pre-1970 occupied housing units; and

f) the source and date of your data (you may expand the response box to fit your answer; if the data are available from a publicly accessible online source, provide the specific URL(s)), such as www.mytownshousingdatasource.gov/mytargetarea).

(For reference, nationally, in 2015, rental units constituted an estimated 36.3% of the occupied national housing stock, and non-seasonal vacant housing constituted an estimated 9.6% of the national housing stock, according to the Census Bureau. The percentages for pre-1970 housing are likely to be different.)

a) Total Number of housing units	
b) Number of pre-1970 occupied rental housing units	
c) Number of pre-1970 owner-occupied housing units	
d) Number of pre-1970 vacant rental housing units	

e) Total number of pre-1970 housing units [b+c+d]	
e1) Percentage of pre-1970 housing units [e/a]	
e2) Percentage of occupied pre-1970 housing units [b+c/e]	
f) Source and date (and, if applicable, URL) of your data	

How you will be scored:

Number of Pre-1970 Housing Units in Target Area	Points Awarded
0% or no data reported	0
More than 10% and up to 15%	0.5
More than 15% and up to 25%	1.0
More than 25% and up to 35%	1.5
More than 35% and up to 40%	2.0
More than 40% and up to 45%	2.5
More than 45%	3.0

Percentage of Pre-1970 Housing Units in Target Area	Points Awarded
Up to 10% or no data reported	0
More than 10% and up to 15%	0.5
More than 15% and up to 25%	1.0
More than 25% and up to 35%	1.5
More than 35% and up to 40%	2.0
More than 40% and up to 45%	2.5
More than 45%	3.0

Percentage of All Occupied Pre-1970 Housing Units in Target Area	Points Awarded
Up to 10% or not data reported	0
More than 10% and up to 20%	1.0
More than 20% and up to 30%	2.0

More than 30% and up to 40%	3.0
More than 40%	4.0

d. Narrative Response: Other Factors Demonstrating Need (1 points maximum).

Provide additional information (especially quantitative data) that describes the need created by the presence of lead-based paint hazards in the housing in your proposed Target Area(s). Describe in detail:

(a) How you will collect and/or retrieve existing data on the number of children under the age of six (6), the number of children under the age of six (6) tested for elevated blood lead levels, and the number of children under the age of six (6) with an elevated blood lead level of 5 µg/dL and above in your proposed target area(s); and

(b) How you will use the data to prioritize the selection of housing units to recruit for lead hazard control work under the grant.

[1] U.S. Census Bureau. Housing Vacancies and Homeownership (CPS/HVS) [Current Population Survey / Housing Vacancy Survey], www.census.gov/housing/hvs/data/histtabs.html, Table 7a. www.census.gov/housing/hvs/data/hist_tab7a_v2015.xlsx. (Downloaded October 14, 2016.)

Rating Factor 3: Soundness of Approach

Maximum Points: 46

The activities supported by the grant programs of the OLHCHH represent some of the most important elements of the national effort to achieve the goal of eliminating childhood lead poisoning as a major public health problem, and to respond to other important housing-related health hazards. The degree of success achieved by grantees will significantly shape the success of the national effort. This factor addresses the quality and cost-effectiveness of your proposed work plan. In general, there are four key stages in the life of a grant: application, start-up, implementation, and close-out. You must describe in detail your work plan to address start-up and implementation phases of the grant that includes specific, measurable and time-phased objectives for each major program activity.

You will be rated on: (a) your ability to implement your work plan; and (b) the quality of your work plan, for each major program activity that reflects benchmark performance standards for unit evaluation, unit enrollment, unit production, LOCCS draw down, community outreach and education, skills training, and other activities. Your proposed unit completion and financial benchmarks must be included in a table as part of the narrative.

A. Program Work Plan Strategy (17 points maximum).

1. Start Up (4 points).

You will be evaluated on describing the overall strategy for the initial startup of your grant award. If you are an existing grantee, how will this start up time be minimized to allow work to begin sooner. Describe the startup phase (first 60 days) of your proposed program, including: development of your program work plan with time phased strategies to complete work within the 36 month period of performance; development of your program policy and procedures; completion of your Environmental Review process; plan to hire and train staff; plan to establish a qualified contractor pool; development of plans and process for outreach, marketing, and recruitment of eligible families and units from your target area; development of plans for education and training of identified strategic referral partners; development and plan for prioritization of units from within your target area; and develop and plan for intake and enrollment.

2. Build and Establish Partnerships (4 points).

For the categories of partners below, describe your process for establishing contracts, MOUs, MOAs or

comparable documentation of agreement with partners and sub-recipients for goods and services. Include and describe any local procurement requirements impact on this process. Describe your process for monitoring and tracking your partners' performance including activities and commitments. Explain your process if activities are not being met as agreed in the contract, MOU or MOA.

- Describe how your program will coordinate with State and/or local housing agencies to encourage, receive and process referrals from tenant-based voucher programs (such as Section 8 Housing Choice Vouchers) for enrollment in this grant program (2 points).
- Describe how your program will coordinate with health or childcare providers, State Medicaid agencies, or other healthcare partner organizations to identify children with elevated blood lead levels in housing and families eligible for enrollment in this grant program (2 points).

3. Outreach and Marketing (1 point).

- Describe in detail the methods and strategies you will use, including the individuals and/or sub-grantees, sub-recipients or contractors responsible, to affirmatively market and outreach the program to your intended target area(s) and/or residents with Limited English Proficient guidelines and affirmatively furthering fair housing obligations specific to marketing and outreach activities, respectively. Include in your description how your strategy is based on the data provided in Factor 2 regarding housing and children you are targeting.
- Describe the measures your program will take to sustain recruitment, and identify the staff responsible for both monitoring recruitment activities and implementing the measures identified to sustain recruitment.
- Discuss your proposed outreach activities and expected outcomes, as it relates to unit enrollment, program sustainability and short and long term reduction of childhood lead poisoning in the target area. You must describe how the intended education program(s) will be culturally sensitive, targeted, and linguistically appropriate and identify the means available to supply the educational materials in other languages (identify all that apply) common to the community. Outreach must also be provided in alternative formats for people with disabilities (See 24 CFR 8.6). You must include the estimated number of individuals to receive the intended education and the estimated number of events to be delivered.

4. Prioritization and Eligibility of Units (3 points).

- Describe your plan and numerical goal for the number of eligible privately-owned housing units to be enrolled, in accordance with the program requirements outlined in Section C, Other, 1. Statutory and Regulatory Requirements, above, including owner-occupied, rental, vacant, single and/or multi-family units. You must clearly connect the identified need and target area from previous factors to the selection criteria and approach for this factor. NOTE: Priority must be given to units with children six years of age or younger residing in target housing prior to considering any vacant units or occupied units with no children under the age of 6 in residence or expected to reside. Include your description how your strategy is based on the provided in Rating Factor 2 regarding housing and children you are targeting.
- Describe your strategies and methodologies to affirmatively further fair housing and to increase access to lead-safe housing for all segments of the population: homeowners, owners of rental properties, and tenants. These strategies may include, but are not limited to: expanded fair housing choice by affording greater opportunities for families in obtaining affordable, lead-safe and sustainable housing in a revitalized area, creation of lead-safe housing for individuals least likely to know of, or apply for, housing opportunities in the revitalized area, and that lead-safe housing will be available to families with young children for the greatest possible length of time.
- Describe how your program will target units that meet eligibility requirements for the Lead-Based Paint Hazard Control program as indicated above.

5. Intake and Enrollment (2 points).

- Describe the specific measures your program will use to complete intake and enrollment of housing units. Include in your description how your strategy is based on the data provided in Rating Factor 2 regarding housing and children you are targeting.
- Describe the specific measures your program will take to sustain your unit pipeline.

- Identify the staff responsible for both monitoring of this process step in addition to the actual recruitment activities by your agency staff.

6. Compliance with HIPAA (*1 point*).

- Describe how you will ensure safety, security and privacy of files and all protected information, including but not limited to blood lead level and other health history information, gained for each applicant. See the OLHCHH’s Policy Guidance on the confidentiality of information on childhood blood lead level information (OLHCHH-16-0X, November 2015).

7. Blood Lead Testing Prior to Lead Hazard Control Work (*1 point*).

- Describe the methods, measures and cost for performing blood lead testing of children less than six years of age and efforts to perform blood lead testing of children within the target area(s) and other screening efforts.
- Describe how all children under the age of six years who occupy units to be assisted with lead hazard control work will receive blood lead testing within six months before commencement of lead hazard control work on the unit, except when a parent or guardian chooses to decline such testing of the child.
- Identify the individual responsible to ensure that children identified with an elevated blood-lead level are referred to appropriate medical care and how patient confidentiality will be maintained and the security of medical information will be protected in accordance with HIPAA.

8. Economic Opportunity (*1 point*). You must describe how Section 3 requirements of the Housing and Urban Development Act of 1968 (12 U.S.C. § 1701u) and HUD’s implementing rules at 24 CFR Part 135 will be accomplished by:

- identifying the number of new jobs
- contracts
- training opportunities
- how trained individuals will be linked to new employment opportunities with covered contractors
- how efforts will be made to ensure that 10 percent of the total dollar amount of construction contracts will be awarded to businesses owned by and/or employing low and very-low-income persons living within your jurisdiction

NOTE: While contractors and subcontractors receiving contracts under the grant totaling \$100,000 or less need not meet the Section 3 requirements as a regulatory mandate (see section III.C.2.g of this NOFA), all grantees that receive awards exceeding \$200,000 are required to comply with Section 3.

B. Technical Approach/Lead Hazard Control Interventions (*23 points maximum*).

You will be evaluated on the proposed technical approach for evaluating and controlling lead-based paint hazards (and associated costs), including the approach for inspecting and assessing units for lead-based paint hazards, how will you incorporate a healthy homes inspection/assessment as well as incorporate the rating of the identified hazards with the healthy homes rating system, completing the unit specific environmental review process, developing scopes of work and estimates, developing occupant and worker protection plans, temporary relocation, unit contract and bidding process, performing lead hazard control interventions and associated hazard reduction strategies within 10 days or less, monitoring of the worksites, and clearance.

1. Overview of Lead Hazard Control Activities (*10 points*)

- Describe the production process from intake to unit completion/clearance and follow-up testing (if applicable), including identifying the agency/person responsible for each phase, the timeline to complete each phase and how monitoring will be performed to both prevent and resolve production impediments.
- Describe the proposed number of units that will receive lead hazard control work based on your capacity and expected accomplishments. Describe your approach to maximizing the number of housing units to receive lead hazard control work within the period of performance. Unit completion estimates must include consideration of potential impediments and unforeseen delays. Remember that, if awarded a grant,

you will be held to your production commitments, and that the operational goal of this grant program is the production of lead safe units to protect as many families, and especially to protect as many children, as feasible, and, to the extent funds are available for the healthy homes supplemental funding, to address housing related health hazards.

Describe the benchmarking of your project performance goals. Performance indicators must be objectively quantifiable and measure actual achievements against anticipated achievements. The activities and associated targets per quarter in the table below represent benchmarks against which your progress will be reported and measured each quarter. You must complete and submit the Benchmark Performance Standards Table, below, with your narrative based on the project goals established. When completing the Benchmarks Table, use cumulative (not incremental) numbering. In evaluating your benchmarks, OLHCHH will consider such aspects of your application that describes activities that will enhance the speed of your unit production startup, the impact of weather on your production plan, the labor and material cost of completing work in your target area, and the availability of certified inspectors, assessors, and contractors, etc. Include a detailed analysis of your proposed unit production plan for Units Cleared of Lead Hazards. Provide information to support your numbers of units proposed to be enrolled, and to be completed and cleared. As a program recommendation and general guidance, OLHCHH expects that a lead-safe unit should be created for no more than \$12,000 of Direct Lead Hazard Control funding requested. Because the Direct Lead Hazard Control funding makes up at least 65% of your total LBPHC award amount, the number of units to be treated will vary based on the dollar amount, and, thus, percentage, of funding you are requesting to be applied to Direct Lead Hazard Control. Please note that this is a recommended per-unit cost provided as general program guidance; if you have quantitative data demonstrating why you cannot have a cost at or below this per-unit amount, and provide it with a narrative justification. For example, if you are requesting \$1,000,000 in LBPHC funds, a minimum of 65% (\$650,000) must be expended on Direct Lead Hazard Control activities. If, in this example, you propose to expend \$700,000 on Direct Lead Hazard Control activities, and your per-unit cost is \$10,000, your expected number of units completed would be ($\$700,000/\$10,000=$) 70 Units Cleared of Lead Hazards. Benchmark Performance Standards Table.

Activity by Quarter	Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12
Units Enrolled												
Inspections/Assessments												
Unit Cleared of Lead Hazards												
Federal Award Expended												

- Describe how you will keep costs per housing unit under tight control, such as through your process of selecting housing units to enroll that meet the scope of resources available with this opportunity and identifying match minimums and partners that can assist with high cost units within your target area so that the maximum number of children and families will have their health and safety protected as an outcome of your work.
- Describe your planned approach to control lead-based paint hazards for families with a child under the age of six years with an EBL including; prioritization for enrollment and assessment, match partners that

can assist with high cost scopes of work, collaboration with other services to ensure gap resources are utilized as needed to complete a lead safe and healthy unit for these highly vulnerable families.

- If you plan to complete any units that are vacant, describe your plan to ensure they are made available to low income families with children under the age of 6. Describe the protocol to ensure those families currently residing in homes with lead hazards will be prioritized before vacant units for intake, enrollment and unit completion with the resources available through this grant opportunity.

2. Lead Based Paint and Healthy Homes Inspection/Risk Assessments (*4 points*).

A complete lead inspection and risk assessment is required for every unit you assist using funds under this program.

- Describe the testing methods, schedules, and estimated costs for lead-based paint inspections and risk assessments you propose. If you propose to use a more restrictive standard than the HUD/EPA thresholds for assessments and reporting, you must provide the standard(s) that will be used with applicable statutory references. All testing must be performed in accordance with applicable regulations and be no less restrictive than the references provided for these activities with no exceptions.
- Describe how you will provide owners with lead hazard evaluation and control information generated by activities under this grant, so that the owner can comply with the Lead Disclosure Rule (24 CFR part 35, subpart A, or the equivalent 40 CFR part 745, subpart F) and the Lead Safe Housing Rule (24 CFR part 35, subparts B–R).
- Describe the process for developing the work specifications, and the lead hazard control contractor bid and selection process for properties enrolled for lead hazard control work, and indicate the individual or entity responsible for this ensuring the integrity of this process.
- Describe the inspection/assessment methods, schedules, and estimated costs for the healthy homes supplemental funds you propose. All testing must be performed in accordance with applicable regulations or this notice, where more stringent, and be no less restrictive than the references provided for these activities with no exceptions.
- If you are using Healthy Homes Supplemental funding in a unit, you must describe how the Healthy Homes Rating System (HHRS) (see the HHRS webpage at http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/hhrs) will be used to prioritize the identified health and safety hazards found in a unit, including a clear description of how the identified hazards will be prioritized and selected to receive intervention funding from these funds through your program. See Policy Guidance PG2016-01, Purpose and Use of Healthy Homes Supplemental Funding, at http://portal.hud.gov/hudportal/HUD?src=/program_offices/healthy_homes/lbp/pg for additional information.

3. Bid/Procurement/Selection of Contractors (*1 point*)

- Describe the process for developing the lead hazard control contractor bid and selection process for properties enrolled for lead hazard control work, and indicate the individual or entity responsible for ensuring the integrity of this process. Include a description of the role competition plays in the selection process.

4. Lead Hazard Control and Healthy Homes Interventions (*4 points*).

- Describe the interim control and hazard abatement methods you propose to use (include average cost estimates for units to receive lead hazard control work).
- Describe how contractors, property owners and maintenance personnel performing interim controls and lead hazard abatement work will be properly trained and/or certified and monitored to ensure that contractors perform work of acceptable quality in compliance with work specifications and applicable federal/state/local regulations, including, but not limited to HUD's Lead Safe Housing Rule and the EPA's Renovation, Repair, and Painting (RRP) Rule (found within 40 CFR part 745; see <http://www2.epa.gov/lead/renovation-repair-and-painting-program>.)
- Describe the coordination of relevant lead hazard control activities with rehabilitation, weatherization, healthy homes initiatives, and other housing improvement activities. You must describe the sequencing of

the work done in conjunction with lead hazard control.

- If using Healthy Homes Supplemental funding, describe the intervention methods to be used, including average cost estimates anticipated and your technical bases for choosing the hazards to be remediated in order to achieve your outcomes, and for choosing the intervention methods. You must describe how the healthy homes interventions will be completed alongside the lead hazard control work, timeline for the work completion, and how the people performing the healthy homes interventions will be properly trained and how they will be monitored and supervised in regard to ensuring they control the health and safety hazards.
- Describe how you will ensure that the home improvements that result from these lead hazard control and, if applicable, healthy homes interventions comply with building and other local codes, especially code provisions to ensure energy savings and/or resilience of the housing.

NOTE: In selecting lead hazard control interventions, applicants must consider several factors: the relative costs and durability of the treatments, the characteristics of the unit, and the condition and projected durability of the component(s) treated. Applicants must choose appropriate control methods using best judgment in each case. However, complete abatement of all lead-based painted surfaces in units is not generally a cost effective strategy and is discouraged in these programs. In cases where relatively few surfaces have identified large lead-based paint hazards and complete paint abatement is therefore likely to be cost-effective, grantees must provide a detailed rationale, for approval in advance of work by the HUD Government Technical Representative (GTR) for the grant, for selecting complete paint abatement as a strategy.

5. Temporary Relocation (1 point).

- Describe plans and processes for the relocation of occupants of units selected for remediation if temporary relocation is necessary. (e.g., the use of lead-safe houses and other lead-safe housing arrangements, responsibility and plan for protecting household goods, incentives, etc.),
- Describe plans for ensuring the roles and responsibilities of owner, tenants, contractor and program are clear regarding the planned relocation resources and time lines in units where the occupants have had to be temporarily relocated for the lead hazard control work to be performed.
- Describe plans for ensuring the accessibility of relocation or replacement housing for persons with disabilities in accordance with Section 504, and its implementing regulations at 24 CFR Part 8, and with Titles II and III of the Americans with Disabilities Act, as applicable, and HUD requirements.

NOTE: HUD expects that temporary relocation for lead hazard control work would be for 10 days or less.

6. Occupant Protection Measures (1 point).

- Describe how occupant protection measures will be documented and implemented by the awarded contractor and monitored by your grant program. The occupant protection plan must detail daily lead hazard control activities planned as well as the corresponding containment and safety measures that will protect the returning occupants and workers on site for the duration of the onsite work through clearance. The occupant protection plan must reflect a planned work period of no more than 10 business days without written review and approval from the GTR for the grant.
- If temporary relocation is not necessary, describe measures to protect the occupants during remediation of lead hazards.
- Applicants must describe compliance with state regulations for Occupancy Protection Plans for lead abatement work in your response.

7. Clearance and Re-Assessment Examinations (1 point).

- Describe the timeline for completing clearance examinations and related processes (i.e., laboratory analysis, receipt of test results and re-occupancy of unit or area).
- Describe the responsibility and payment of additional costs if a unit does not meet the clearance standards for re-occupancy.
- If using Healthy Homes Supplemental funding, you must describe the time line for completing follow up reassessment to ensure the health and safety interventions are completed and reduce the risk as identified in the initial HHRS assessment and rating.

8. Post-Lead Hazard Control Maintenance of Units. (1 point)

- Describe how the unit will be monitored and evaluated to ensure that units comply with the terms and conditions of the financing of the work and that the unit remains lead safe, including but not limited to marketing and efforts to make available to low income families with children under the age of six years and long term lead hazard assessment and maintenance. You are encouraged to review the National Evaluation of lead hazard control methods provided at <http://www.hud.gov/offices/lead/library/misc/NatEval.pdf>.
- Describe how work will be monitored and supervised to ensure that contractors perform work of acceptable quality in compliance with work specifications and applicable federal/state/local regulations, including, but not limited to HUD's Lead Safe Housing Rule and the EPA's Renovation, Repair, and Painting (RRP) Rule (found within 40 CFR part 745; see <http://www2.epa.gov/lead/renovation-repair-and-painting-program>).

C. Lead Hazard Control Program Sustainability (6 points). You will be evaluated on the proposed plan for sustaining your program and the efforts to eliminate lead based paint hazards in your proposed target area.

1. Build and Sustain Program Sustainability (4 points)

- Describe how your program will identify and incorporate additional community and private sector resources that can be combined with HUD's program resources to increase the effectiveness of the proposed program activities including policy, prioritization and fiscal support.
- Describe how and with whom your program will build and maintain strategic partnerships and other funding streams to ensure financing and sustainability of the program activities past the 36-month period of performance for this grant.
- Describe how your program will work to adopt lead and healthy homes housing policy at the agency, neighborhood, city, county or state level.
- Describe how your program will continue to build and maintain community capacity for certified lead and healthy homes professionals

2. Lead-Safe Housing Registry-Availability of Lead-Safe Housing to Low-Income Families (2 points).

- Describe how units in which lead-based paint hazards have been controlled under the program must be occupied by, or continue to be available to low-income residents as required by Title X (see section VIII.B in this NOFA), and plans, if any, to increase the length of use restrictions above and beyond the three year minimum mandated by law.
- Describe efforts to either develop or maintain an existing registry (listing) of low-income units in which lead-based paint hazards have been controlled ("lead-safe units") as well as procedures for ensuring that these units are affirmatively marketed at turnover targeting low-income families with children under the age of six years.

Rating Factor 4: Budget Proposal

Maximum Points: 10

a. Budget Estimate of Costs. (3 points)

You must thoroughly estimate all applicable costs, including direct, indirect, and administrative expenses, and present them in a clear and coherent format. Note that HUD is not required to approve or fund all proposed activities. In completing your estimations, you must document and justify all budget categories and costs and all major tasks of your organization, sub-recipients, major sub-contractors, joint venture participants, or others contributing resources to the project.

- A separate budget must be submitted using the HUD_424_CBW: HUD Detailed Budget Worksheet for yourself and for each partner that would receive more than 10 percent of the federal budget request, for the lead hazard control activities.
- Similarly, if you are applying for Healthy Homes Supplemental funding, you must submit a HUD_424_CBW: HUD Detailed Budget Worksheet for yourself and for each partner that would receive more than 10 percent of the Healthy Homes Supplemental funding, for the healthy homes activities.
- You must provide clear details on administrative costs (maximum 10 percent of grant, excluding the

Healthy Homes Supplemental funds), staffing costs and details on which costs are included and not included in the direct lead hazard control minimum cost requirement.

- You must clearly identify the funding or cash equivalent amounts being provided as matching resources. These funds must reflect the numbers and contributions provided in response to Section III.B, Cost Sharing or Matching, above, e.g., support by letters of commitment.
- You will be evaluated on the extent to which resources are appropriate for the scope of the proposed project. NOTE: The lead hazard control minimum cost and match requirements for the Lead-Based Paint Hazard Control Grant Program are 65 percent and 10 percent, respectively, and exclude the Healthy Homes Supplemental funds.

b. Budget Narrative. (4 points)

You must submit the budget narrative justification associated with these budgeted costs as part of the Total Budget (Federal Share and Matching).

- Separate narrative justification must be submitted for yourself and for each partner that is submitting a separate budget or that will receive 10 percent or more of the federal award amount for lead hazard control activities. The budget narrative must have a line by line detail of the expenses that are expected to be included as eligible invoices under the line allocation.
- Similarly, if you are applying for Healthy Homes Supplemental funding, separate narrative justification must be submitted for yourself and for each partner that would receive more than 10 percent of the Healthy Homes Supplemental funding, for healthy homes activities.
- You must discuss or denote administrative costs, indirect costs, staffing costs and details on which costs are included and not included in the direct lead hazard control minimum cost requirement.
- You must discuss or denote the funding or cash equivalent amounts being provided as matching resources.
- You will be evaluated on the extent to which your narrative justifies the allocation of funds among successfully completed tasks, units within your organization, and your partners, in support of the scope of the proposed project. In particular, your narrative should show:
 - o The linkage between production of successfully treated housing units, whether for lead or both lead and healthy homes, and payments associated with activities that resulted in those units being treated (from the outreach stage through recruitment, enrollment, evaluation and control).
 - o How you will keep costs per housing unit under tight control, so that the maximum number of children and families will have their health and safety protected as an outcome of your work.

c. Financing Strategy (3 points).

- Discuss your lead hazard control financing strategy (i.e., the type of assistance - grants, deferred/forgivable loans, etc.), including verification of income eligibility requirements, terms, conditions, dollar limits, amounts available for lead hazard control work in the various categories of housing (e.g., single-family, multi-family, vacant, owner or tenant-occupied).
- Identify who is responsible for establishing, administering and overseeing this aspect of the program, and the role of other resources such as private sector financing and matching, if any, from rental property owners.
- Describe how the recapture of grant or loan funds to owners of assisted units will occur if recipients fail to comply with any terms and conditions of the financing arrangement (e.g., failure to comply with fair housing and civil rights requirements, affordability, affirmative marketing and providing priority to renting units to families with a child under the age of six years, sale of property requirements, etc.).
- You will be evaluated on the extent to which your narrative provides a sound and reasonable approach to financing your proposed lead hazard control work, including the documentation and justification of these costs.

This rating factor reflects HUD’s goal to embrace high standards of ethics, management, and accountability. This factor emphasizes HUD’s commitment to ensuring that you achieve the goals outlined in your work plan and other benchmark standards and assess your performance to ensure performance goals are met during the period of performance.

a. Monitoring performance. (2 points)

Identify the procedures that will be followed to monitor and make adjustments to improve performance if benchmarks are not met within established timeframes. In evaluating this factor, HUD will consider how you have described outcome performance measures and benefits of your program including:

- Discuss the degree to which lead hazard control work will be done in conjunction with other housing-improvement activities (e.g, rehabilitation, weatherization, correction of code violations, sustainable home initiatives, and other similar work), or your plan for the integration and coordination of lead hazard control activities into those activities in the future; and
- Describe your ability to measure and evaluate additional community and private sector resources that can be combined with HUD’s program resources to increase the effectiveness of the proposed program activities including policy, prioritization and fiscal support. You will be given points based on the description and strategy for obtaining measurable outcomes for program award activity sustainability. Measurable outcomes can include the number and extent of policy insertion for lead and healthy homes into existing organizational framework, prioritization for assistance of target populations in target housing for hazard remediation, requirement of and course completion of community stakeholders employees/participants for lead and healthy home assessment and intervention, and any establishment of dollars specifically for lead and healthy home unit remediation.

b. Measuring your program’s success. (2 points)

(1) Describe how program oversight will ensure you are meeting program goals, objectives, and the actions undertaken in implementing the grant program.

(2) Provide a description of the activities you will use to assess progress and track performance in meeting the goals and objectives outlined in the work plan. You must provide assurances that work plans and performance measures developed for the program will assist intended beneficiaries, and that work will be conducted in a timely and cost-effective manner within the scope of the opportunity provided. This evaluation must explore how well the technical strategy meets the conditions and needs found in your target area. In evaluating this factor, HUD will consider how you have described your plan to measure and evaluate key outcomes and benefits of your program including:

- The feasibility of the measures to assure accountability; and
- The appropriateness of the work plan to accomplish stated goals.

Bonus Points

Maximum Points: 2

In support of certain inter-agency initiatives, HUD awards bonus points to projects where the preponderance of work will occur in a designated zone, community or region. **These points will be awarded only if the application otherwise meets or exceeds the Program's minimum fundable score based on the rating factors of this NOFA.**

2. Preference Points.

HUD encourages activities in communities with Preferred Sustainability Status (PSS) and/or Promise Zones (PZ). HUD will award two (2) points for qualified activities within a designated zone or area and supporting either or both initiative(s). In no case will HUD award more than two preference points for these activities.

a. To receive Preferred Sustainability Status Communities Preference Points, applicants must submit form HUD 2995, Certification of Consistency with Sustainable Communities Planning and Implementation,

signed by the designated Preferred Sustainability Status Community point of contact. Designated PSS Communities Points of Contacts can be found on HUD's website ([click here](#)).

b. To receive Promise Zones Preference Points, applicants must submit form HUD 50153, Certification of Consistency with Promise Zone Goals and Implementation, signed by the Promise Zone Official authorized to certify the project meets the criteria to receive preference points. To view the list of designated Promise Zones and persons authorized to certify, please see the [Promise Zone pages on HUDExchange](#).

B. Review and Selection Process.

1. Past Performance

In evaluating applications for funding, HUD will take into account an applicant's past performance in managing funds. Items HUD may consider include, but are not limited to:

- a. The ability to account for funds appropriately;
- b. Timely use of funds received from HUD;
- c. Timely submission and quality of reports submitted to HUD;
- d. Meeting program requirements;
- e. Meeting performance targets as established in the grant agreement;
- f. The applicant's organizational capacity, including staffing structures and capabilities;
- g. Time-lines for completion of activities and receipt of promised matching or leveraged funds; and
- h. The number of persons to be served or targeted for assistance.

HUD may deduct points from the rating score or establish threshold levels as specified under the Factors for Award in the Program NOFAs. Each Program NOFA will specify how past performance will be rated or otherwise used in the determination of award amounts. Whenever possible, HUD will obtain past performance information from staff with the greatest knowledge and understanding of each applicant's performance. If this evaluation results in an adverse finding related to integrity or performance, HUD reserves the right to take any of the remedies provided in Section III.C.2.b.(5), Pre-selection Review of Performance, above.

2. Assessing Applicant Risk

1. Applications that meet all of the threshold requirements will be eligible for review and rating.
2. Minimum Score. Applications scoring 75 points or more will be eligible to receive an award.
3. Applications will be scored and ranked, based on the total number of points allocated for each of the rating factors described in Section V.A of this NOFA.
4. Maximum Number of Points. The maximum number of points to be awarded is 102. This maximum includes two bonus points as described above.

As detailed previously in this NOFA, funding will be set aside for applicants that have never received an award under the LBPHC or LHRD grant programs. In the event that there are an insufficient number of eligible applicants to use this set aside, the funding will be made available to other eligible grant applicants. In the event that there are more eligible applicants for this set aside than can be funded using the set aside, the four highest rated applicants will be awarded and the remaining eligible applicants will be evaluated along with the remaining group of eligible applicants.

C. Anticipated Announcement and Award Dates.

The award date for grants will be approximately 180 days from publication of the Notice of Funding Availability in the Federal Register.

VI. Award Administration Information.

A. Award Notices.

Following the evaluation process HUD will notify successful applicants of their selection for funding. HUD will also notify all other applicants, whose applications were received by the deadline, that have not been chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF-424.

Successful applicants will receive a letter from the Office of Lead Hazard Control and Healthy Homes providing details regarding the effective start date of the grant agreement and any conditions, additional data and information to be submitted to execute the grant. You will then participate in negotiations to determine the specific terms of the grant agreement, budget, work plan, benchmarks, or other requirements. If HUD is not able to successfully conclude negotiations with a selected applicant within a period determined by HUD, an award will not be made.

If you accept the terms and conditions of the grant agreement, you must return a signed grant agreement by the date specified. Instructions on how to have the grant agreement account entered into HUD's Line of Credit Control System (LOCCS) payment system will be provided. In accordance with 2 CFR part 200, subpart F—Audit Requirements, if you expend \$750,000 in federal funds in a single year, you must follow the requirements of the Single Audit Act and must submit your completed audit-reporting package along with the Data Collection Form (SF-SAC) to the Single Audit Clearinghouse. The address can be obtained from its website. The SF-SAC can be downloaded from: <http://harvester.census.gov/sac/>.

B. Administrative, National and Department Policy Requirements.

For this NOFA, the following requirements apply:

Ensuring the Participation of Small Disadvantaged Business, and Women-Owned Business.

HUD is committed to ensuring that small businesses, small disadvantaged businesses, and women-owned businesses, and Labor Surplus Area Firms participate fully in the direct contracting and contracting opportunities generated by HUD's financial assistance. State, local, and Indian tribal governments are required by 24 CFR 85.36(e) to take all necessary affirmative steps in contracting for the purchase of goods or services to assure that minority firms, women-owned business enterprises, and labor surplus area firms are used whenever possible or as specified in the Program NOFAs. Nonprofit recipients of assistance (grantees and subgrantees) are required by 2 CFR 200.321 to make positive efforts to utilize small businesses, minority-owned firms, and women's business enterprises, and Labor Surplus Area Firms whenever possible.

Certain Administrative, National and Departmental Policy Requirements apply to all HUD programs, including this NOFA.

1. Davis-Bacon Wage Rates. The Davis-Bacon wage rates are not applicable to these programs. However, if you use grant funds in conjunction with other federal programs, Davis-Bacon requirements may apply to the extent required under the other federal programs.
2. Compliance with Section 872 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Pub. L. 110-417) ("Section 872"). Section 872 requires the establishment of a government wide data system - the Federal Awardee Performance and Integrity Information System (FAPIIS) - to contain information related to the integrity and performance of entities awarded federal financial assistance and making use of the information by federal officials in making awards. Grantees must follow OMB guidance regarding federal agency implementation of Section 872 requirements for grants, at 2 CFR Subtitle A, Chapter I, and Part 25 (75 FR 55671-76, September 14, 2010).
3. Registration at SAM.gov Requirement. You must register or update your current registration in the Central Contractor Registration now part of the System for Award Management (SAM), found at <http://www.sam.gov>

[://www.sam.gov](http://www.sam.gov). You must have an active registration to receive funding from HUD.

C. Reporting.

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions.

1. Reporting Requirements and Frequency of Reporting. This Program NOFA and award agreement will specify the reporting requirements, including content, method of data collection, and reporting frequency. Applicants should be aware that if the total Federal share of your Federal award may include more than \$ 500,000 over the period of performance, you may be subject to post award reporting requirements reflected in Appendix XII to Part 200--Award Term and Condition for Recipient Integrity and Performance Matters.

2. FOIA Reporting. HUD makes frequently requested materials, including information on the highest-scoring funding grant applications available on its [Freedom of Information Act \(FOIA\) website](#). To avoid disclosure of PII, proprietary information, business confidential information, or other content that should not be disclosed to the public, HUD will only post applications redacted pursuant to FOIA. Those recipients who receive the highest score in each competition must provide a redacted version of their applications within 30 days of notification from HUD that they submitted the highest-scoring application. If multiple applicants receive the same score, all of them will be asked to submit redacted applications. When submitting a redacted version, recipients may black out information that would cause the recipient substantial competitive harm, as the standard is provided in [5 U.S.C. 552\(b\)\(4\)](#), should the information be disclosed to the public. HUD will take the recipient's proposed redactions under advisement when determining what information should be released to the public.

3. Race, Ethnicity and Other Data Reporting. HUD requires recipients that provide HUD-funded program benefits to individuals or families to report data on the race, color, religion, sex, national origin, age, handicap (disability), and family characteristics of persons and households who are applicants for, participants in, or beneficiaries or potential beneficiaries of HUD programs in order to carry out the Department's responsibilities under the Fair Housing Act, Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of the Housing and Community Development Act of 1987. Program NOFAs may specify the data collection and reporting requirements. Many programs use the Race and Ethnic Data U.S. Department of Housing OMB Approval No. 2535-0113 Reporting Form HUD-27061.

4. Performance Reporting. All HUD funded programs, including this program, require recipients to submit, not less than annually, a report documenting achievement of outcomes under the purpose of the program and the work plan in the award agreement.

If you execute a grant under this NOFA, reports must comply with the specific program reporting requirements as described below.

1. You must submit applicable quarterly, annual, and final program and financial reports according to the requirements of the OLHCHH, including new Transparency Act Reporting requirements. Specific guidance and additional details will be provided to successful applicants in the grant agreement. OLHCHH will include these reporting requirements in its terms and conditions as part of the grant agreement in its 2017 awards.

2. You must comply with reporting requirements of subpart E (Section 3 of the Housing and Urban Development Act of 1968, 12 U.S.C. § 1701u (Economic Opportunities for Low- and Very Low-Income Persons in Connection with Assisted Projects)) and the HUD regulations at 24 CFR 135.

3. If you purchase X-ray fluorescence (XRF) analyzers in excess of \$5,000 apiece, you must complete and submit to OLHCHH the General Services Administration's annual Tangible Personal Property Report, if and after that report receives OMB approval under the Paperwork Reduction Act of 1995 (see 75 Federal Register 14441-14442; March 25, 2010). This report has four components: the Annual Report, the Final

(Award Closeout) Report, the Disposition Report/Request, and, if needed, the Supplemental Sheet (see http://www.whitehouse.gov/omb/grants_standard_report_forms). Generally, the average estimated time to complete each of these components is 0.5 hours; it is likely to be less for this grant program.

4. You must collect demographic data by using the HUD approved Race/Ethnic Form (HUD-27061).

5. Transparency Act Reporting. You must report on funding and expenditures for yourself and subrecipients to meet the requirements of the Federal Funding Accountability and Transparency Act of 2006.

6. Questions regarding specific program requirements should be directed to the point of contact listed in Section VII, below.

Please direct questions regarding specific reporting requirements to the point of contact listed in Section VII. Agency Contact(s), below.

D. Debriefing.

For a period of at least 120 days, beginning 30 days after the awards for a Program NOFA are publicly announced, HUD will provide to a requesting applicant a debriefing related to its application. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF424 or by his or her successor in office, and be submitted to the person, organization, or email address identified as the contact under the section entitled Agency Contact(s) in the Program NOFA. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

VII. Agency Contact(s).

HUD staff will be available to provide clarification on the content of this NOFA. Please note that HUD staff cannot assist applicants in preparing their applications.

Questions regarding specific program requirements for this NOFA should be directed to the point of contact listed below.

Shannon Steinbauer

(202) 402-6885

Shannon.e.steinbauer@hud.gov

Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339.

VIII. Other Information.

Paperwork Reduction Act Statement. The information collection requirements in this notice have been approved by OMB under the Paperwork Reduction Act of 1995 (44 U.S.C.3501-3520). In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. Each Program NOFA will identify its applicable OMB control number unless its collection of information is excluded from these requirements under 5 CFR part 1320.

National Environmental Policy Act.

A Finding of No Significant Impact (FONSI) with respect to the environment has been made for this NOFA in accordance with HUD regulations at 24 CFR Part 50, which implement section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)). The FONSI is available for inspection at HUD's Funds Available web page at http://portal.hud.gov/hudportal/ HUD?src=/program_offices/administration/grants/fundsavail.

HUD's Office of Lead Hazard Control and Healthy Homes administers this program, which is aligned with HUD's 2014-2018 Strategic Plan and HUD's 2012-2015 Environmental Justice Strategy. The Strategic Plan includes an Agency Priority Goal to "increase the health and safety of homes and embed comprehensive energy efficiency and healthy housing criteria across HUD programs." The Environmental Justice Strategy, which is in the process of being updated (see <http://portal.hud.gov/hudportal/documents/huddoc?id=HUDEnvJustStratUBF.pdf>), addresses environmental and human health issues in high-risk populations, such as minorities, low-income homes, children, and people with disabilities.

For more information about HUD's Strategic Plan, refer to: <http://portal.hud.gov/hudportal/documents/huddoc?id=hudstrategicplan2014-2018.pdf>. For more information about HUD's Environmental Justice Strategy, refer to: <http://portal.hud.gov/hudportal/documents/huddoc?id=envjustice.pdf> and the August 2015 HUD Environmental Justice Strategy Update, at <http://portal.hud.gov/hudportal/documents/huddoc?id=HUDEnvJustStratUBF.pdf>.