1. Does the project include repair, rehabilitation or conversion of existing properties, new construction, the acquisition of undeveloped land, or any construction that requires ground disturbance?

☐ No: STOP here. The Section 106 Historic Preservation review is complete. Record your determination on the Statutory Worksheet or Environmental Assessment.

☐ Yes: PROCEED to #2

2. Does the project involve only those activities permitted without further consultation under the programmatic agreement between the City/Tribe and the State Historic Preservation Officer (SHPO)/Advisory Council on Historic Preservation (The City/Tribe must be a party to this agreement, if you are unsure contact your HUD Representative, i.e. Grants Management Specialist or CPD Representative.)

☐ Yes: STOP here. The Section 106 Historic Preservation review is complete. Record the date of the programmatic agreement, the section of the Programmatic Agreement that identifies your specific project and your determination on the Statutory Worksheet or Environmental Assessment.

☐ No: PROCEED to #3

3. Consult with SHPO or THPO and any tribes or groups that may have an interest in the project to determine if the project is eligible for the National Historic Register.

- You must define and consider the Area of Potential Effect (APE). The APE is the geographic area within which an undertaking may directly or indirectly cause changes in the character or use of historic properties. The APE is influenced by the scale and nature of an undertaking. (36 CFR Part 800.16).

- Determine if there are tribes or groups that have an interest in the historic aspects of the project and invite them to participate in the consultation. For ground disturbing activities, you must make a reasonable and good faith effort to identify Indian tribes that may have an interest. HUD’s website lists interested tribes by county: https://egis.hud.gov/tdat/ It is suggested that you go to the Tribal website or contact the SHPO to make sure contact information is current.

- Consult the State Historic Preservation Officer (SHPO), or if the project is on certain tribal lands, the Tribal Historic Preservation Officer (THPO), with details of the project and project site and your determination if it is eligible for the National Historic Register. SHPO or THPO has 30 days from receipt of a well-documented request of review of your determination. We recommend sending the letter with a return receipt form to document the contact. If they do not respond within the timeframe, or provide a description of additional information needed, you may proceed with the next step of the process based on your finding or consult with the Advisory Council on Historic Preservation (ACHP).

State Historic Preservation Officer contacts: http://www.nps.gov/nr/shpolist.htm
Tribal Historic Preservation Officers contacts: http://www.nathpo.org/map.html
Proceed as appropriate based on the Finding:

☐ **No Historic Properties Affected:** STOP here. The Section 106 Historic Preservation review is complete. Attach SHPO/THPO concurrence, copies of letters to and from other interested parties and the tribes, and your response to the ERR. If SHPO/THPO did not respond within 30 days, your dated letter documents compliance. Record your determination of no historic properties affected on the Statutory Worksheet or Environmental Assessment.

☐ **No Adverse Effect on Historic Property:** STOP here. The Section 106 Historic Preservation review is complete. Categorically Excluded projects (24 CFR Part 58.35(a)) CANNOT convert to exempt with this determination. Attach SHPO/THPO concurrence, copies of letters to and from other interested parties and the tribes, and your response to the ERR. Record your determination of no adverse affect on historic properties on the Statutory Worksheet or Environmental Assessment.

☐ **Adverse Effect on Historic Property** Resolve Adverse Effects per 800.6 in consultation with SHPO/THPO, the ACHP if participating, and any consulting parties. The loan or grant may not be approved until adverse effects are resolved according to 800.6 or you have complied with 36 CFR Part 800. Categorically Excluded projects (24 CFR Part 58.35(a)) CANNOT convert to exempt with this determination. Make sure that the resolution is fully documented in your ERR with all SHPO/THPO correspondence, copies of letters to and from other interested parties and the tribes, surveys, MOAs etc.

**DISCLAIMER:** This document is intended as a tool to help grantees and HUD staff complete NEPA requirements. This document is subject to change. This is not a policy statement. Legislation and Regulations take precedence over any information found in this document.