

U.S. Department of Housing and Urban Development

Policy Development and Research

Impact of RAD on Children in HUD Assisted Households FR-6400-N-66 07/30/2020

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Program Office:

Policy Development and Research

Funding Opportunity Title:

Impact of RAD on Children in HUD Assisted Households

Funding Opportunity Number:

FR-6400-N-66

Primary CFDA Number:

14.536

Due Date for Applications:

07/30/2020

Overview

The U.S. Department of Housing and Urban Development (HUD) issues this Notice of Funding Availability (NOFA) to invite applications from eligible applicants for the program and purpose described within this NOFA. Prospective applicants should carefully read all instructions in all sections to avoid sending an incomplete or ineligible application. HUD funding is highly competitive. Failure to respond accurately to any submission requirement could result in an incomplete or noncompetitive proposal.

During the selection process HUD is prohibited from disclosing 1) information regarding any applicant's relative standing, 2) the amount of assistance requested by an applicant, and 3) any information contained in the application. Prior to the application deadline, HUD may not disclose the identity of any applicant or the number of applicants that have applied for assistance.

For Further Information Regarding this NOFA: Please direct questions regarding the specific requirements of this Notice of Funding Availability (NOFA) to the office contact identified in Section VII.

OMB Approval Number(s):

2528-0299

I. FUNDING OPPORTUNITY DESCRIPTION.

A. Program Description.

1. Purpose

This NOFA announces the availability of \$750,000.

The following project is eligible for award in the amounts listed:

Impact of RAD on Children in HUD-Assisted Housing - HUD may award one or two cooperative agreements, with a total of all awards not to exceed \$750,000. The award floor is \$300,000.

a. Background

The Rental Assistance Demonstration (RAD) was established under the Consolidated and Further Continuing Appropriations Act of 2012 (Public Law 112-55, approved November 18, 2011). The goals of RAD include preserving the affordability of federally assisted properties and improving their physical and financial condition. The program achieves these goals by allowing RAD-converted properties to access private and public funding sources and address immediate and long-term capital needs. The RAD program is currently authorized to convert up to 445,000 public housing units (about one third of the 1.2 million public housing units available nationwide before the program started). It has also assisted other multifamily subsidized housing (Rent Supplement, Rental Assistance Payment, Moderate Rehabilitation, and Section 202 PRAC). The RAD program includes requirements to protect the rights of residents during the conversion and to offer them the option to move out of the property with a tenant-based voucher, one to two years after the conversion. As of January 2020, approximately 129,000 public housing units and 35,000 multifamily units have converted through the RAD program. For more information on RAD, visit the website www.hud.gov/rad.

HUD recently published an evaluation of RAD that examined whether RAD preserved affordable housing, improved physical condition, and stabilized the financial condition of properties.² The evaluation also assessed how tenants and Public Housing Authorities (PHAs) are impacted by the program. The evaluation found that RAD projects raised \$12.6 billion in funding for 103,268 affordable housing units, as of October 2018. RAD properties included in the study were addressing short-term capital needs and meeting their projected long-term capital needs, four years after entering the program. A sample of RAD residents reported overall satisfaction with the RAD process and with their housing.

In 2019, HUD contracted a follow-up evaluation of the RAD program that will assess the implementation and outcomes of the RAD choice mobility option, which gives residents the option to move out of the property with a tenant-based voucher, one to two years after the conversion. The evaluation will also analyze the long-term impact of RAD on the preservation of the former public housing properties and the adequacy of the asset management practices used to monitor the RAD-converted portfolio. If more resources become available, HUD may choose to evaluate PHA's organizational change and additional RAD tenant outcomes. Results from this follow-up evaluation are expected in 2021.

HUD funded, through a grant, a study that examined the effect of RAD on children's well-being in the first site to undergo a RAD conversion, in Fresno, California. The study assessed changes at the property, level of resident engagement, and short-term health and educational outcomes of children. The study used a mixed-method design that included interviews with residents and housing agency staff and analysis of administrative data from the housing agency, the state health agency, and the local school district. According to partial results published recently, residents reported being pleased with aesthetic upgrades, improved thermal comfort, increased feelings of pride, and improved tenant relations after the renovation. However, safety concerns and pest infestations were not completely resolved.³ Results from the study are available at https://www.huduser.gov/portal/sites/default/files/pdf/RADEvaluationFresno.pdf.

Congress and HUD are interested in complementing the larger evaluations of RAD and other studies, by expanding the research on the well-being of children in RAD-converted properties.

b. Research Goals

The goal of this cooperative agreement is to support research project(s) that will advance scientific knowledge and produce policy-relevant evidence on the implementation of the RAD program and its effect on children's well-being. The applicant should be aware of the major research goals for other studies of RAD and propose a project that enhances, replicates, or complements the larger RAD evaluations or other studies such as the one in Fresno, California.

Proposed projects may seek to answer research questions including but not limited to:

- How do families see the implications of RAD for families and children?
- How did the conversion process (relocation, rehabilitation, or construction) affect families and children?
- For families that stayed at the property after conversion, what is their perception of changes in the physical environment, amenities, and services at the property and how these changes affected children?
- For families that left the property during or after conversion, when did they move/exit the property, why, and how did the move/exit affect the family and children?
- Did the family experience vary based on demographic characteristics?
- Did the family's experience vary based on conversion to PBV vs PBRA?
- Did the family's experience vary based on amount of work completed as part of, or immediately following, conversion?

Proposed projects may examine outcomes—including but not limited to housing stability, health status and health care use, access to services, involvement with the criminal justice system, and academic performance—before and after the RAD conversion, potentially using a comparison group of similar children in public housing properties that did not convert under RAD or other comparable group whose baseline characteristics are similar to those of children in the RAD property. The study may examine differences in outcomes based on demographic characteristics. Specific outcomes of interest may depend on the nature of the RAD conversion at a particular property (or group of properties) based on the existing literature and data availability.

The study may employ a qualitative research design that relies heavily on interviews, focus groups, and field observations and considers the context of people's daily lives and their

interaction with institutions. The study may employ a quantitative research design that relies on existing or feasible administrative data linkages or that draws upon primary data collection through family surveys, direct assessment of environmental exposures, or other forms of primary data collection.

A wide range of research questions and research design approaches will be considered within the parameters outlined above. Applicants are encouraged to draw on their knowledge and expertise to propose specific research projects that advance scientific knowledge and produce policy-relevant knowledge about the effect of RAD on children. This may include some of a combination of the approaches described above or other types of studies that meet the stated research goals.

Appendix A includes the list of Public Housing Agencies (PHAs) that have RAD converted properties and where a minimum of eleven households with children live in those properties. The table is included to ensure that applicants focus their research on one or more PHAs where RAD properties include children. If the research design proposal includes a comparison of outcomes for children in RAD properties to outcomes for children living in public housing, applicants are encouraged to obtain data about the number of households with children living in public housing from HUD's Picture of Subsidized Households

(https://www.huduser.gov/portal/datasets/assthsg.html). The number of children per household in public housing at each PHA varies from 1.00 to 3.38, with an average number of 2.07 children per household. Applicants can use this information to estimate the number of children in public housing that are expected to be present at each PHA. If applicants would like to obtain information about properties that are in the pipeline for RAD conversion, they can consult the RAD Resource Desk (http://www.radresource.net/pha_data.cfm).

c. Eligible Activities

Required activities include:

- a. Development of a research design, data collection and analysis plan;
- b. Development of data collection instruments and protocols, if applicable;
- c. Development of a management and work plan;
- d. Development of a quality control plan;
- e. Performing data collection and analysis;
- f. Submission of all reports and deliverables to HUD, including any programming code used to analyze data;
- g. Obtaining Institutional Review Board approval, if applicable; and
- j. Conducting one briefing to HUD staff on study results.

Other eligible activities could include:

- k. Development of short papers or policy briefs on specific topics or describing analysis activities
- 1. As a means of sharing knowledge, HUD encourages recipients to arrange for publication of report and findings in scientific or technical journals. HUD will hold publishing the final report for up to six months, or as negotiated with HUD, to allow for publication in

the journal of the recipients choosing. However, HUD is the owner of the report and associated data.

d. Data and Information Collection and Analysis

This set of activities would include carrying out the methods described in the research design, data collection and analysis plan. It includes gathering existing data and/or administrative data on RAD's program participants and comparison group, as well as collecting additional data using qualitative and/or quantitative methods that may be in-person, by mail, by phone, site visits, or via the Internet. If the research design and work plan identify circumstances requiring the use of incentive payments to achieve a satisfactory response rate, the recipient may use funds from this award to pay those incentive payments. The recipient would also undertake analysis of data to answer the research question(s).

HUD expects the applicant to explain whether access to the data in the future by other researchers would be consistent with the privacy of human subjects, and if so, what provision the applicant proposes to make for that access. Activities could include:

- a. Articulating research questions and explaining their significance;
- b. Preparing applications or paperwork required to access non-HUD administrative data, including the drafting of Data Use Agreements (DUAs) or Memorandums of Understanding (MOUs) as required by the administrators of the data sets needed for analysis;
- c. Merging, tabulating, analyzing, modeling, validating, graphing and presenting data from existing administrative data sources (including third-party sources, if applicable) and surveys (if applicable) to answer the questions as stated in the research design and work plan;
- d. Carrying out methods of data and information collection and analysis described in the research design;
- e. Collection of other relevant data, information and evidence, when applicable.

e. Deliverables

At a minimum, HUD expects the award recipient to produce the following deliverables:

- a. *Management and Work Plan*. The Management and Work Plan will depict the overall strategy for completing this research effort within the budget and time frame allotted, including a description of the research activities to be undertaken, the sequence of tasks, key milestone dates, and key staff engaging in each project activity. A draft Management and Work Plan must be submitted with the application. After award HUD will provide feedback to the awardee, and the final Management and Work Plan must be submitted to HUD within two weeks of the receipt of HUD comments;
- b. Research Design, Data Collection and Analysis Plan (RD/DCAP). The RD/DCAP will provide a detailed plan for technical aspects of the research, including research questions, hypotheses, data sources, data collection methods, data collection instruments (if applicable), and analysis methods. The RD/DCAP must demonstrate how the proposed methodology, including the data collection and analysis strategy, will address the research questions and hypotheses proposed. A draft RD/DCAP must be submitted with the application. After award, HUD will provide feedback to the awardee, and a final

- RD/DCAP must be submitted to HUD within four weeks of the receipt of HUD comments;
- c. *Quality Control Plan (QCP)*. A draft QCP shall be delivered within two weeks after cooperative agreement award and the final QCP shall be submitted for HUD's approval within six weeks of cooperative agreement award. A revised QCP shall be submitted to HUD and within five working days when changes are made thereafter. After HUD's acceptance of the QCP, the awardee shall receive HUD's acceptance in writing of any proposed change to its quality control system;
- d. *Quarterly Progress Reports*: Quarterly progress reports which provide detailed updates on the work conducted for the project during each quarter of the period of performance of the cooperative agreement;
- e. *Interim Report*: The Interim Report will be submitted near the midpoint of this project and provide a summary of the project up to that point. The Interim Report should be considered an opportunity to evaluate the state of the project. The exact deadline and content of the Interim Report will be decided between the HUD and the awardee;
- f. *Final Report*: The Final Report must summarize the entire work, achievements, and findings conducted under the award. The Final Report will present the study objectives, data sources, analysis methods, and results. The Final Report must address the specific outcomes described in the applicant's proposal, the cooperative agreement award, and research design, data collection and analysis plan (RD/DCAP). The Final Report must follow the format and style requirements provided at https://www.huduser.gov/portal/About/style-guide-for-reports.pdf. A *Draft Final Report* shall be submitted in accordance with the milestone of the Management and Work Plan. HUD will have four weeks to review the *Draft Final Report* and return comments to the awardee. A *Final Report*, incorporating HUD's comments, shall be submitted within four weeks of receipt of HUD's comments;
- g. One to two-page plain language summary appropriate for non-technical audience;
- h. All other reports described above in the Eligible Activities section;
- i. Merged data files, to the extent possible based on third-party licensing or use agreements, and Federal data sharing and privacy laws;
- i. All programming code used to run analyses; and
- k. A comprehensive data security plan.

f. References

- ¹ RAD Data and Resources PHA Data, from http://www.radresource.net/pha_data.cfm and RAD Data and Resources Multifamily Data, from https://www.radresource.net/mf_data.cfm, retrieved on 01/27/2020.
- ² Stout, D., Clogston, C., Thackeray, A., Stoloff, J., Anthony, B., Econometrica, Hayes, C., The Urban Institute (2019). *Evaluation of HUD's Rental Assistance Demonstration (RAD): Final Report*. U.S. Department of Housing and Urban Development.

 $\underline{\text{https://www.huduser.gov/portal/publications/RAD-Evaluation-Final-Report.html}}$

³ Hernandez, D., Moore, T., Lazzeroni, S., Nguyen, U.S. (2019). "The 'Projects' Are Nice Now"; Resident Perspectives on the Rental Assistance Demonstration (RAD) Program. *Housing Policy Debate*, 29(6), p.853-864.

2. Changes from Previous NOFA.

This is a new NOFA.

3. Definitions.

a. Standard Definitions

Affirmatively Furthering Fair Housing (AFFH). The obligation to affirmatively further the purposes and policies of the Fair Housing Act.

Assistance Listings (formerly CFDA) is a directory of the various Federal listings, projects, services and activities offering financial and non-financial assistance and benefits to the American public. An Assistance Listing (CFDA) Number is the unique number assigned to each program, project, service or activity listed in the Catalog of Federal Domestic Assistance (CFDA).

Authorized Organization Representative (AOR) is the person authorized to submit applications on behalf of the organization via Grants.gov. The AOR is authorized by the E-Biz point of contact in the System for Award Management. The AOR is listed in item 21 on the SF-424.

Award, as used in this NOFA means a federal grant OR cooperative agreement as specified in Section II.E (Type of Funding Instrument).

Consolidated Plan is a document developed by states and local jurisdictions. This plan is completed by engaging in a participatory process to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions with funding from formula grant programs. (See 24 CFR part 91 for more information about the Consolidated Plan and related Action Plan).

Contract means a legal instrument by which a non-Federal entity purchases property or services needed to carry out the project or program under a Federal award. The term as used in this NOFA does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward (See 2 CFR 200.22.)

Contractor means an entity receiving a contract.

Deficiency is information missing or omitted within a submitted application. Examples of deficiencies include missing documents, information on a form, or some other type of unsatisfied information requirement (e.g., an unsigned form, unchecked box.). Depending on specific criteria, deficiencies may be either curable or non-curable.

• Curable Deficiency – Applicants may correct a curable deficiency with timely action.

To be curable the deficiency must:

• Not be a threshold requirement, except for documentation of applicant eligibility;

- not influence how an applicant is ranked or scored versus other applicants; and
- be remedied within the time frame specified in the notice of deficiency.
- Non-Curable Deficiency An applicant cannot correct a non-curable deficiency after the submission deadline.

Non-curable deficiencies are deficiencies that, if corrected, would change an applicant's score or rank versus other applicants. Non-curable deficiencies may result in an application being marked ineligible, or otherwise adversely affect an application's score and final determination.

DUNS Number is the nine-digit identification number assigned to a business or organization by Dun & Bradstreet and provides a means of identifying business entities on a location-specific basis. Requests for a DUNS number can be made by visiting the Online DUNS Request Portal.

Eligibility requirements are mandatory requirements for an application to be eligible for funding.

Grants.gov is the website serving as the Federal government's central portal for searching and applying for federal financial assistance throughout the Federal government. Registration on Grants.gov is required for submission of applications to prospective agencies unless otherwise specified in this NOFA.

Non-Federal Entity is a state, local government, Indian tribe, institution of higher education (IHE), or non-profit organization carrying out a Federal award as a recipient or sub recipient. If eligible applicants under the NOFA include for profit entities, this definition of non-federal entity includes for profit entities.

Point of Contact (POC) is the person who may be contacted with questions about the application submitted by the AOR. The POC is listed in item 8F on the SF-424. **Recipient** means a non-Federal entity receiving an award directly from HUD to carry out an activity under a HUD program.

Subaward means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the recipient. It does not include payments to a contractor or payments to an individual beneficiary of a Federal program. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract. The legal agreement must contain the subrecipient's assurance of compliance with program requirements, including but not limited to nondiscrimination and equal opportunity requirements.

Subrecipient is a non-Federal entity receiving a subaward from a pass-through entity to carry out part of a HUD program; but does not include an individual beneficiary of such program. A subrecipient may also receive other Federal awards directly from a Federal awarding agency (including HUD).

System for Award Management (SAM), is a U.S. Government system that consolidated the capabilities of Central Contractor Registry (CCR), Excluded Parties List System (EPLS) and the Online Representations and Certifications Application (ORCA). Registration with SAM is

required for submission of applications via Grants.gov. You can access the website at https://www.sam.gov/SAM/. There is no cost to use SAM.

Threshold Requirements are an eligibility requirement that must be met for an application to be reviewed. Threshold requirements are not curable, except for documentation of applicant eligibility and are listed in Section III.D Threshold Eligibility Requirements. Similarly, there are eligibility requirements under Section III.E, Statutory and Regulatory Requirements Affecting Eligibility.

Unique Entity Identifier is a number used to identify a specific commercial, nonprofit, or government entity. SAM states that currently Dun & Bradstreet (D&B) is the designated entity to establish and maintain the DUNS Number as the unique entity identifier required for registration in SAM and further used throughout federal procurement, financial assistance, and financial management systems. Beginning December 2020, the DUNS number will no longer be the official identifier for entities doing business with the government.

4. Program Definitions

Children are persons aged 17 or younger. Researchers may focus on specific age categories based on discipline and/or research question.

Cooperative Agreements are defined as in 2 CFR 200.24. In particular, a cooperative agreement is distinguished from a grant in that it provides for substantial involvement between the Federal awarding agency or pass-through entity and the non-Federal entity in carrying out the activity contemplated by the Federal award.

HUD-Assisted Housing refers to housing where HUD provides rental subsidies to reduced rents for low-income tenants who meet program eligibility requirements. Assistance provided under HUD programs generally falls into three categories: public housing, tenant-based housing programs (Housing Choice Vouchers), and multifamily properties that are privately owned and project-based (e.g. Project-Based Section 8 and other Multifamily housing programs).

Quality Control Plan refers to the document prepared by the grantee to establish a process for ensuring quality control over all research activities, as well as deliverables, under the cooperative agreement. The quality control plan shall govern all work conducted under the cooperative agreement and ensure the production of high-quality deliverables that are submitted on time and within budget. The Government Technical Representative (GTR) shall ensure quality by regularly monitoring grantee performance as described under Quality Assurance.

Quality Assurance refers to the work that the GTR will perform by reviewing quarterly progress reports, monitoring invoices, conducting inspection of all deliverables and maintaining regular contact with grantee's key personnel. All deliverables will be reviewed in accordance with a deliverable schedule and performance requirement standards.

Rental Assistance Demonstration (RAD) refers to a HUD program to improve and preserve atrisk public and assisted housing. For more information about the RAD program visit www.hud.gov/rad.

Research and Evaluation refers to systematic efforts to establish or confirm facts, develop or support scientific theories, as well as develop technical information that would have cross-program application to build useful knowledge and for developing, implementing, and improving the efficiency and effectiveness of housing assistance programs.

Research Team refers to all persons, named or unnamed, who have received or will receive compensation in whole or in part under the Direct Costs in the project budget.

B. Authority.

This program is authorized by Sections 501 and 502 of the Housing and Urban Development Act of 1970 (12 U.S.C. §1701z-1 and §1701z-2) and funding is provided by the Further Consolidated Appropriations Act, 2020 (Public Law 116-94, enacted December 20, 2019).

II. Award Information.

A. Available Funds

Funding of approximately \$ 750,000 is available through this NOFA.

Additional funds may become available for award under this NOFA, because of HUD's efforts to recapture funds, use carryover funds, or because of the availability of additional appropriated funds. Use of these funds is subject to statutory constraints. All awards are subject to the funding restrictions contained in this NOFA.

B. Number of Awards.

HUD expects to make approximately 2 awards from the funds available under this NOFA.

C. Minimum/Maximum Award Information

Estimated Total Funding:

\$ 750,000

Minimum Award Amount:

\$ 300,000

Per Project Period

Maximum Award Amount:

\$ 750,000

Per Project Period

D. Period of Performance

Estimated Project Start Date:

09/01/2020

Estimated Project End Date:

09/01/2023

Length of Project Periods:

36-month project period with three 12-month budget periods

Length of Periods Explanation of Other:

E. Type of Funding Instrument.

Funding Instrument Type:

CA (Cooperative Agreement)

F. Supplementation.

For this NOFA, sub-section "F. Supplementation" is Not Applicable

III. Eligibility Information.

A. Eligible Applicants.

State governments

County governments

City or township governments

Special district governments

Independent school districts

Public and State controlled institutions of higher education

Public housing authorities/Indian housing authorities

Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education

Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education

Private institutions of higher education

For profit organizations other than small businesses

Small businesses

Information on Eligible Applicants

N/A

B. Ineligible Applicants.

Individuals and foreign entities are not eligible applicants.

C. Cost Sharing or Matching.

This Program does not require cost sharing or matching.

D. Threshold Eligibility Requirements.

Applicants who fail to meet any of the following threshold eligibility requirements will be deemed ineligible. Applications from ineligible applicants will not be evaluated.

- **1. Outstanding civil rights matters** must be resolved to HUD's satisfaction prior to grant award, provided that all applicable legal processes have been satisfied.
- **2. Timely Submission of Applications.** Applications submitted after the deadline stated within this NOFA that do not meet the requirements of the grace period policy will be marked late. Late applications are ineligible and will not be considered for funding. See Section IV. D. Application Submission Dates and Times.

E. Statutory and Regulatory Requirements Affecting Eligibility.

Eligibility Requirements for Applicants of HUD's Grants Programs

The following requirements affect applicant eligibility. Detailed information on each requirement is posted on <u>HUD's Funding Opportunities Page</u>.

- Outstanding Delinquent Federal Debts
- Debarments and/or Suspensions

- Pre-selection Review of Performance
- Sufficiency of Financial Management System
- False Statements
- Mandatory Disclosure Requirement
- Prohibition Against Lobbying Activities
- Equal Participation of Faith-Based Organizations in HUD Programs and Activities

F. Program-Specific Requirements Affecting Eligibility.

N/A

G. Criteria for Beneficiaries.

None.

IV. Application and Submission Information.

A. Obtaining an Application Package.

Instructions for Applicants.

You must download both the Application Instructions and the Application Package from Grants.gov. You must verify that the CFDA Number and CFDA Description on the first page of the Application Package, and the Funding Opportunity Title and the Funding Opportunity Number match the Program and NOFA to which you are applying.

The Application Package contains the portable document forms (PDFs) available on Grants.gov, such as the SF-424 Family. The Instruction Download contains official copies of the NOFA and forms necessary for a complete application. The Instruction Download may include Microsoft Word, Microsoft Excel and additional documents.

An applicant demonstrating good cause may request a waiver from the requirement for electronic submission. For example, a lack of available Internet access in the geographic area in which your business offices are located. Lack of SAM registration or valid DUNS is not good cause. If you cannot submit your application electronically, you must ask in writing for a waiver of the electronic grant submission requirements. HUD will not grant a waiver if HUD does not receive your written request at least 15 days before the application deadline and if you do not demonstrate good cause. An email request for a waiver received by HUD 15 days before the application is due will also be considered. If HUD waives the requirement, HUD must receive your paper application before the deadline of this NOFA. To request a waiver you must contact: Name:

Madlyn Wohlman

Email:

madlyn.wohlmanrodriguez@hud.gov

HUD Organization:

PD&R

Street:

451 7th Street SW

City:

Washington

State:

DC DISTRICT OF COLUMBIA

Zip: 20410

Telephone: 202-402-5939

B. Content and Form of Application Submission.

You must verify that boxes 11, 12, and 13 on the SF-424 match the NOFA for which you are applying. If they do not match, you have downloaded the wrong Application Instruction and Application Package.

Submission of an application that is otherwise sufficient, under the wrong CFDA and Funding Opportunity Number is a curable deficiency.

1. Content.

Forms/Assurances/Certifications	Submission Requirement	Notes/Description
Application for Federal Assistance (SF424)	Submission is required for all applicants by the application due date.	
Disclosure of Lobbying Activities (SFLLL), if applicable	HUD will provide instructions to grantees on how the form is to be submitted.	If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award.
HUD Applicant Recipient Disclosure Report (HUD) 2880 Applicant/Recipient Disclosure/Update Report	HUD will provide instructions to grantees on	HUD instructions to grantees are provided by webcast, To view the webcast, click here.

Forms/Assurances/Certifications	Submission Requirement	Notes/Description
	how the form is to be submitted.	

Additionally, your complete application must include the following narratives and non-form attachments.

- One-page application summary as described in Section IV.B.2.b below.
- Narrative statement addressing ratings factors.
- Appendix containing up to 5 resumes of key personnel.
- Appendix that lists the names of the firms for all of the subcontractors and consultants with whom the applicant has entered into an agreement to participate in an award under this NOFA and a brief statement of each firm's qualifications.
- Appendix containing a list of references outlining the applicant's performance of recent (within five years) and relevant social science research or program evaluations.
- Budget submission (display of all anticipated costs during the 2-year performance period, including an indirect cost rate). See Section VI for more information on the budget setting process.
- Code of Conduct. Applicants selected for funding will be required to provide HUD with their written Code of Conduct if they have not previously done so and it is not recorded on the HUD website at:http://www.hud.gov/offices/adm/grants/codeofconduct/cconduct.cfm.

2. Format and Form.

Narratives and other attachments to your application must follow the following format guidelines.

- a. Narrative addressing rating factors should not exceed 25 pages. The narrative page limits do not include required forms, assurances and certifications, the appendix of participating firms and contractors, the appendix of resumes, the appendix of reference letters, the budget narrative, the abstract, and the one-page summary. The narrative must be formatted to fit an 8 1/2 by 11-inch page, double-spaced (information requirements), with one-inch margins, using standard Times New Roman 12-point font. Resumes are subject to a separate 20-page limit as follows:
 - i. the application shall include resumes for no more than 5 key personnel; and
 - ii. no individual resume shall exceed 4 pages. Submitting pages in excess of page limits will not disqualify an applicant; however, HUD will not consider the information on any excess pages. This exclusion may result in a lower score.

b. One-Page Application Summary needs to include:

- i. A brief description of the proposed research and/or evaluation project; and
- ii. Provide funding request in whole dollar amount. The amount requested should be based on the scope of the project, personnel costs, other direct costs, as well as administrative costs, etc.

C. System for Award Management (SAM) and Dun and Bradstreet Universal Numbering System (DUNS) Number.

1. SAM Registration Requirement.

Applicants must be registered with https://www.sam.gov/SAM before submitting their application. In addition, Applicants must maintain an active SAM registration with current information while they have an active Federal award or an application or plan under consideration by HUD.

2. DUNS Number Requirement.

Applicants must provide a valid DUNS number, registered and active at https://www.sam.gov/SAM, in the application. DUNS numbers may be obtained for free from Dun & Bradstreet

3. Requirement to Register with Grants.gov.

Anyone planning to submit applications on behalf of an organization must register at grants.gov and be approved by the EBiz POC in SAM to submit applications for the organization. Registration for SAM and grants.gov is a multi-step process and can take four (4) weeks or longer to complete if data issues arise. Applicants without a valid registration cannot apply through grants.gov. Complete registration instructions and guidance are provided on grants.gov.

D. Application Submission Dates and Times.

Application Due Date Explanation

The application deadline is 11:59:59 pm Eastern Standard time on

07/30/2020

Applications must be received no later than the deadline.

Submit your application to Grants.gov unless a waiver has been issued allowing you to submit your application in paper form. Instructions for submitting your paper application will be contained in the waiver of electronic submission.

"Received by Grants.gov" means the applicant received a confirmation of receipt and an application tracking number from Grants.gov. Grants.gov then assigns an application tracking number and date-and timestamps each application upon successful receipt by the Grants.gov system. A submission attempt not resulting in confirmation of receipt and an application tracking number is not considered received by Grants.gov.

Applications received by Grants.gov must be validated by Grants.gov to be received by HUD.

"Validated by Grants.gov" means the application has been accepted and was not rejected with errors. You can track the status of your application by logging into Grants.gov, selecting "Applicants" from the top navigation, and selecting "Track my application" from the dropdown list. If the application status is "rejected with errors," you must correct the error(s) and resubmit the application before the 24-hour grace period ends. Applications in "rejected with errors" status after the 24-hour grace period expires will not be received by HUD. Visit Grants.gov for a complete description of processing steps after applying.

HUD strongly recommends applications be submitted at least **48 hours before the deadline** and during regular business hours to allow enough time to correct errors or overcome other problems.

You can verify the contents of your submitted application to confirm Grants.gov received everything you intended to submit. To verify the contents of your submitted application:

- Log in to Grants.gov.
- Click the Check Application Status link, which appears under the Grant Applications heading in the Applicant Center page. This will take you to the Check Application Status page.
- Enter search criteria and a date range to narrow your search results.
- Click the Search button. To review your search results in Microsoft Excel, click the Export Data button.
- Review the Status column, to view more detailed submission information, click the Details link in the Actions column.
- To download the submitted application, click the Download link in the Actions column.

Please make note of the Grants.gov tracking number as it will be needed by the Grants.gov Help Desk if you seek their assistance.

HUD may extend the application deadline for any program if Grants.gov is offline or not available to applicants for at least 24 hours immediately prior to the deadline date, or the system is down for 24 hours or longer and impacts the ability of applicants to cure a submission deficiency within the grace period.

HUD may also extend the application deadline upon request if there is a presidentially declared disaster in the applicant's area.

If these events occur, HUD will post a notice on its website establishing the new, extended deadline for the affected applicants. HUD will also include the fact of the extension in the program's Notice of Funding Awards required to be published in the Federal Register.

In determining whether to grant a request for an extension based on a presidentially-declared disaster, HUD will consider the totality of the circumstances including the date of an applicant's extension request (how closely it followed the basis for the extension), whether other applicants in the geographic area are similarly affected by the disaster, and how quickly power or services are restored to enable the applicant to submit its application.

PLEASE NOTE: Busy servers, slow processing, large file sizes, improper registration or password issues are not valid circumstances to extend the deadline dates or the grace period.

1. Amending or Resubmitting an Application.

Before the submission deadline, you may amend a validated application through Grants.gov by resubmitting a revised application containing the new or changed material. The resubmitted application must be received and validated by Grants.gov by the applicable deadline.

If HUD receives an original and a revised application for a single proposal, HUD will evaluate

only the last submission received by Grants.gov before the deadline.

2. Grace Period for Grants.gov Submissions.

If your application is received by Grants.gov before the deadline, but is rejected with errors, you have a grace period of 24 hours after the application deadline to submit a corrected, received, and validated application through Grants.gov. The date and time stamp on the

Grants.gov system determines the application receipt time. Any application submitted during the grace period not received and validated by Grants.gov will not be considered for funding. There is no grace period for paper applications.

3. Late Applications.

An application received after the NOFA deadline date that does not meet the Grace Period requirements will be marked late and will not be received by HUD for funding consideration. Improper or expired registration and password issues are not causes that allow HUD to accept applications after the deadline.

4. Corrections to Deficient Applications.

HUD will not consider information from applicants after the application deadline. Before the deadline, HUD may contact the applicant to clarify information submitted.

HUD will uniformly notify applicants of each curable deficiency. A curable deficiency is an error or oversight that, if corrected, would not alter, in a positive or negative fashion, the review and rating of the application. See curable deficiency in the definitions section (Section I.A.3.). Examples of curable (correctable) deficiencies include inconsistencies in the funding request and failure to submit required certifications. These examples are non-exhaustive. When HUD identifies a curable deficiency, HUD will notify the authorized representative by email. This email is the official notification of a curable deficiency. Each applicant must provide accurate email addresses for receipt of these notifications and must monitor their email accounts to determine whether a deficiency notification has been received. The applicant must carefully review the request to cure a deficiency and must provide the response in accordance with the instructions contained in the deficiency notification.

Applicants must email corrections of curable deficiencies to applicationsupport@hud.gov within the time limits specified in the notification. The time allowed to correct deficiencies will be no less than 48 hours and no more than 14 calendar days from the date of the email notification. The start of the cure period will be the date stamp on the email sent from HUD. If the deficiency cure deadline date falls on a Saturday, Sunday, Federal holiday, or on a day when HUD's Headquarters are closed, then the applicant's correction must be received on the next business day HUD Headquarters offices in Washington, DC are open.

Corrections to a paper application must be sent in accordance with and to the address indicated in the notification of deficiency. HUD will treat a paper application submitted in accordance with a waiver of electronic application containing the wrong DUNS number as having a curable deficiency. Failure to correct the deficiency and meet the requirement to have a DUNS number and active registration in SAM will render the application ineligible for funding.

- **5. Authoritative Versions of HUD NOFAs.** The version of these NOFAs as posted on Grants.gov are the official documents HUD uses to solicit applications.
- **6. Exemptions.** Parties that believe the requirements of the NOFA would impose a substantial burden on the exercise of their religion should seek an exemption under the Religious Freedom Restoration Act (RFRA).

E. Intergovernmental Review.

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

F. Funding Restrictions.

An organization may not conduct research or an evaluation of itself.

HUD will determine whether the salary rates are reasonable, customary for the skill set provided and the tasks to be conducted, and in accordance with federal legal requirements.

Indirect Cost Rate.

Normal indirect cost rules under 2 CFR part 200, subpart E apply. If you intend to charge indirect costs to your award, your application must clearly state the rate and distribution base you intend to use. If you have a Federally negotiated indirect cost rate, your application must also include a letter or other documentation from the cognizant agency showing the approved rate. Successful applicants whose rate changes after the application deadline must submit new rate and documentation.

Applicants other than state, county and local governments. If you have a Federally negotiated indirect cost rate, your application must clearly state the approved rate and distribution base and must include a letter or other documentation from the cognizant agency showing the approved rate. If you have never received a negotiated indirect cost rate and elect to use the de minimis rate, your application must clearly state you intend to use the de minimis rate of 10% of Modified Total Direct Costs (MTDC). As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit. State, county and local governments. If your department or agency unit has a Federally negotiated indirect cost rate, your application must include that rate, the applicable distribution base, and a letter or other documentation from the cognizant agency showing the negotiated rate. If your department or agency unit receives more than \$35 million in direct federal funding per year, you may not claim indirect costs until you receive a negotiated rate from your cognizant agency for indirect costs as provided in Appendix VII to 2 CFR Part 200.

If your department or agency unit receives no more than \$35 million in direct federal funding per

year and your department or agency unit has developed and maintains an indirect cost rate proposal and supporting documentation for audit in accordance with 2 CFR Part 200, Appendix VII, you may use the rate and distribution base specified in that indirect cost rate proposal. Alternatively, if your department or agency unit receives no more than \$35 million in direct Federal funding per year and has never received a negotiated indirect cost rate, you may elect to use the de minimis rate of 10% of MTDC. As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

G. Other Submission Requirements.

1. Application, Assurances and Certifications.

Standard Form 424 (SF-424) Application for Federal Assistance Programs is the government-wide form required to apply for Application for Federal Assistance Programs, discretionary Federal grants and other forms of financial assistance programs. Applicants for this Federal assistance program must submit all required forms in the SF-424 Family of forms, including SF-424B (Assurances of Non construction Programs) or SF424D (Assurances for Construction Programs). Applications receiving funds for both non construction programs and construction programs must submit both the SF-424B and SF-424D.

By signing the forms in the SF-424 either through electronic submission or in paper copy submission (for those granted a waiver), the applicant and the signing authorized representative affirm that they have reviewed the certifications and assurances associated with the application for federal assistance and (1) are aware the submission of the SF424 is an assertion that the relevant certifications and assurances are established and (2) acknowledge that the truthfulness of the certifications and assurances are material representations upon which HUD will rely when making an award to the applicant. If it is later determined the signing authorized representative to the application made a false certification or assurance, caused the submission of a false certification or assurance, or did not have the authority to make a legally binding commitment for the applicant, the applicant and the individual who signed the application may be subject to administrative, civil, or criminal action. Additionally, HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to federally recognized Indian tribes, and those applicable to applicants other than federally recognized Indian tribes. All program specific certifications and assurances are included in the program Instructions Download on Grants.gov.

Assurances. By submitting your application, you provide assurances that, if selected to receive an award, you will comply with U.S. statutory and public policy requirements, including, but not limited to civil rights requirements.

2. Lead Based Paint Requirements.

Not Applicable

V. Application Review Information.

A. Review Criteria.

1. Rating Factors.

The maximum number of points from the rating factors that can be awarded to any application for award is 102. The minimum score for an application to be considered for funding is 75 with individual minimum scores of 5 points required for Factor 1, 25 points required for Factor 2, and 45 points required for Factor 3. Applicants will be selected based on highest score.

a. Rating Factor 1: Need for the Research (Maximum Points: 10)

Minimum Points needed for funding consideration is 5 out of 10 possible maximum points for this Rating Factor.

The applicant should discuss how the proposed research will provide policy-relevant evidence of the effect of RAD on children's well-being. In reviewing this factor, HUD will determine the extent to which the researcher clearly demonstrates that the proposed research: (a) fills a need (i.e. based on identified gaps in the existing literature or knowledge from professional practice); and (b) expected results of the proposed research project can advance scientific knowledge and improve our understanding of the effect of RAD on children's outcomes.

b. Rating Factor 2: Organizational Capacity and Experience and Key Personnel (Maximum Points: 35)

Minimum Points needed for funding consideration is 25 of 35 possible maximum points for this Rating Factor.

i. Organizational Capacity and Experience (Maximum Points: 20)

We will evaluate your organization's past performance based on your descriptions of other recent (within the past 5 years) research or data projects that were fully completed and demonstrate your organization's ability to conduct the applicable functions. At a minimum, these projects must be comparable in size, scope and complexity to the proposed work. For each recent project, applicants should provide:

- a. A statement of the objective of the project;
- b. A description of the research/evaluation or data analysis tasks performed that would be relevant for your proposed project;
- c. List of research questions the project sought to answer and the answers the project provided (or, if the project is still underway, how the research questions are being answered);
- d. A synopsis of how the work was conducted by the applicant, including the number of hours involved, data collected, methods of collection, and response rate, if applicable, and the total cost of the engagement;
- e. The names and contact information for the organizations and roles of up to 5 key personnel who worked on the project and who are proposed for this project; and
- f. A list of written products.

Note: Past performance will be verified with third-party references, including government technical representatives, contracting officer's representatives, and contract officers.

ii. Key Personnel (Maximum Points: 15)

For the purposes of responding to this sub-factor, 'key personnel' is defined as the applicant's inhouse staff, subcontractors and/or consultants for whom a contract or agreement already exists, and who are expected to actually be tasked with developing and conducting the work. To be competitive, you must demonstrate the ability to assemble a skilled research and development team to undertake project tasks. Applicants should include in their proposed research and development team people with expertise in performing the tasks required by the management and research plan.

Provide a list of key team members (up to 5) and their roles in the design, management and/or implementation of this project; and for each member describe their:

- a. Demonstrated experience to carry out their identified role in this project;
- b. Proficiency in clearly and concisely communicating findings for a broad audience;
- c. Subject matter expertise for the proposed research project;
- d. Experience working with and analyzing datasets, including HUD administrative data for research:
- e. Experience linking and matching of administrative data;
- f. Expertise with industry leading data management software applicable to this project; and
- g. Experience writing concise and clear research reports and briefings.

c. Rating Factor 3: Soundness of Approach (Maximum Points: 50)

Minimum points needed for consideration is 45 of 50 possible points for this Rating Factor.

i. Management Work Plan (Maximum Points: 20)

Applicants must produce a management work plan for the project that is reasonable to undertake the work. The narrative should present a clear, practical, and forward-looking plan to deliver products. As such the narrative should include a staffing chart showing the allocation of resources for this project by presenting total person hours for each of the key personnel for each month by project task. The proposed work plan must clearly explain how the applicant will manage these activities, including the anticipated approach to:

- a. Managing communication with HUD staff including providing quarterly status reports that include progress, accomplishments, issues, and budget tracking;
- b. Establishing a timeline for the completion of the research effort;
- c. Ensuring overall project quality control with additional emphasis on implementing a comprehensive, effective and transparent data quality control plan;
- d. Resolving issues or overcoming obstacles that may affect progress;
- e. Identifying points in the schedule where issues are most likely to occur, and the impact on deliverables to HUD;
- f. Identifying, and assigning appropriately skilled staff, allocating total person hours for the key personnel for each work task for each month of the proposed time period to conduct the work;
- g. Identifying costs related to data sets to be used for the research;

h. Setting start dates, completion dates, and other major milestones for each task and sub-task. Where there are interdependencies among the tasks, the work plan shall indicate how each task will provide the necessary input to the other tasks.

ii. Research Design (Maximum Points: 30)

The proposed research design will be rated on technical quality, clarity, creativity, thoroughness, specificity, and feasibility. The applicant is expected to include a preliminary research design that specifically addresses this project. The research design will be evaluated based on the following:

- a. The description of the proposed research, including the project description, key research questions and identification of clear, testable hypotheses;
- b. The adequacy and feasibility of the plan to undertake the analysis;
- c. The statistical soundness of the procedures proposed for analyzing the data.
- d. A description of survey data or administrative data that would be used;
- e. A description of how data would be made available and results would be displayed.
- f. The proposed approach for merging supplemental data with HUD administrative data.
- g. The identification of potential challenges in carrying out the research and appropriate and creative solutions to address these challenges.

d. Rating Factor 4: Performance Evaluation (Maximum Points: 5)

The applicant must describe the general methods and measures it will use to regularly monitor the effectiveness of its work. HUD has identified the broad control measures of what constitutes high quality research. The applicant must present a clear plan for regularly monitoring the effectiveness of its work on the following measures:

- a. Quality of the data collection;
- b. Quality of its data analysis;
- c. Quality of its written products;
- d. Quality of the proposed database structure; and
- e. Timeliness of performance and effectiveness of cost control measures.

2. Other Factors.

Preference Points.

HUD encourages activities in support of the Secretary's FY20 Initiatives. HUD may award up to two (2) points for any of the 3 preferences (OZ, PZ or HBCU).

Opportunity Zones.

This program does not offer Opportunity Zone preference points.

HBCU.

An applicant partnering with a Historically Black College or University (HBCU) will receive up to two (2) Preference Points when the application includes a Letter of Commitment certifying that an HBCU Partnership is in place and signed by an authorizing official of the HBCU and documentation of the college or university's status as an HBCU. Click here to view the list of accredited HBCU's

An applicant designated by the U.S. Department of Education as Historically Black College or University (HBCU) will receive up to two (2) preference points when the application includes documentation of the applicant's status as an HBCU. Click here to view the list of accredited HBCU's

Promise Zones

This program does not offer Promise Zone preference points.

B. Review and Selection Process.

1. Past Performance

In evaluating applications for funding, HUD will consider an applicant's past performance in managing funds. Items HUD will consider include, but are not limited to:

The ability to account for funds in compliance with applicable reporting and recordkeeping requirements;

Timely use of funds received from HUD;

Timely submission and quality of reports submitted to HUD;

Meeting program requirements;

The applicant's organizational capacity, including staffing structures and capabilities;

HUD may reduce scores as specified under V. A. Review Criteria. Whenever possible, HUD will obtain past performance information. If this review results in an adverse finding related to integrity or performance, HUD reserves the right to take any of the remedies provided in Section III. E Statutory and Regulatory Requirements Affecting Eligibility, "Pre-selection Review of Performance" document link above.

2. Assessing Applicant Risk.

In evaluating risks posed by applicants, HUD may use a risk-based approach and may consider any items such as the following:

- Financial stability;
- Quality of management systems and ability to meet the management standards prescribed in this part;
- History of performance. The applicant's record in managing Federal awards, if it is a
 prior recipient of Federal awards, including timeliness of compliance with applicable
 reporting requirements, conformance to the terms and conditions of previous Federal
 awards, and if applicable, the extent to which any previously awarded amounts will be
 expended prior to future awards;
- Reports and findings from audits performed under Subpart F—Audit Requirements of this part or the reports and findings of any other available audits; and
- The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.

VI. Award Administration Information.

A. Award Notices.

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline, but have not been chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF424.

Negotiation. After HUD has made selections, HUD will negotiate specific terms of the funding agreement and budget with selected applicants. If HUD and a selected applicant do not successfully conclude negotiations in a timely manner, or a selected applicant fails to provide requested information, an award will not be made to that applicant. In this case, HUD may select another eligible applicant.HUD may impose special conditions on an award as provided under 2 CFR 200.207:

- Based on HUD's review of the applicant's risk under 2 CFR 200.205;
- When the applicant or recipient has a history of failure to comply with the general or specific terms and conditions of a Federal award;
- When the applicant or recipient fails to meet expected performance goals contained in a Federal award: or
- When the applicant or recipient is not otherwise responsible.

Adjustments to Funding. To ensure the fair distribution of funds and enable the purposes or requirements of a specific program to be met, HUD reserves the right to fund less than the amount requested in an application.

- a. HUD will fund no portion of an application that:
- (1) Is not eligible for funding under applicable statutory or regulatory requirements;
- (2) Does not meet the requirements of this notice; or
- (3) Duplicates other funded programs or activities from prior year awards or other selected applicants.
- b. If funds are available after funding the highest-ranking application, HUD may fund all or part of another eligible fundable application. If an applicant turns down an award offer, or if HUD and an applicant do not successfully complete grant negotiations, HUD may make an offer of funding to another eligible application.
- c. If funds remain after all selections have been made, remaining funds may be made available within the current FY for other competitions within the program area, or be held for future competitions, or be used as otherwise provided by authorizing statute or appropriation.
- d. If, after announcement of awards made under the current NOFA, additional funds become available either through the current appropriations, a supplemental appropriation, other appropriations or recapture of funds, HUD may use the additional funds to provide additional funding to an applicant awarded less than the requested amount of funds to make the full award, and/or to fund additional applicants that were eligible to receive an award but for which there were no funds available.

Funding Errors. If HUD commits an error that when corrected would cause selection of an applicant during the funding round of a Program NOFA, HUD may select that applicant for funding, subject to the availability of funds.

B. Administrative, National and Department Policy Requirements for HUD recipients

For this NOFA, the following <u>Administrative</u>, <u>National and Department Policy Requirements</u> and <u>Terms for HUD Financial Assistance Awards</u> apply. (Please select the linked text to read the detailed description of each applicable requirement).

1. Compliance with Non-discrimination and Related Requirements.

Unless otherwise specified, these non-discrimination and equal opportunity authorities and other requirements apply to all NOFAs. Please read the following requirements carefully as the requirements are different among HUD's programs.

- Compliance with Fair Housing and Civil Rights Laws, Which Encompass the Fair Housing Act and Related Authorities (cf. 24 CFR 5.105(a)).
 - Affirmatively Furthering Fair Housing.
 - Economic Opportunities for Low-and Very Low-income Persons (Section 3).
 - Improving Access to Services for Persons with Limited English Proficiency (LEP).
 - Accessible Technology.
- 2. Equal Access Requirements.
- 3. Ensuring the Participation of Small Disadvantaged Business, and Women-Owned Business.
- 4. Equal Participation of Faith-Based Organizations in HUD Programs and Activities.
- 5. Uniform Relocation Act Real Property Acquisition and Relocation Requirements.
- 6. Participation in HUD-Sponsored Program Evaluation.
- 7. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.
- 8. Drug-Free Workplace.
- 9. Safeguarding Resident/Client Files.
- 10. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub.
- L.109-282) (Transparency Act), as amended.
- 11. Eminent Domain.
- 12. Accessibility for Persons with Disabilities.
- 13. Violence Against Women Act.
- 14. Conducting Business in Accordance with Ethical Standards/Code of Conduct.
- 15. Environmental Requirements.

In accordance with 24 CFR 50.19 (b)(1), (3), and (5) activities funded under this NOFA are exempt or categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321) and not subject to environmental review under related laws and authorities.

C. Reporting.

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions.

- **1. Recipient Integrity and Performance Matters.** Applicants should be aware that if the total Federal share of your Federal award includes more than \$ 500,000 over the period of performance, you may be subject to post award reporting requirements reflected in Appendix XII to Part 200-Award Term and Condition for Recipient Integrity and Performance Matters.
- **2. Race, Ethnicity and Other Data Reporting.** HUD requires recipients that provide HUD-funded program benefits to individuals or families to report data on the race, color, religion, sex, national origin, age, disability, and family characteristics of persons and households who are applicants for, participants in, or beneficiaries or potential beneficiaries of HUD programs in order to carry out the Department's responsibilities under the Fair Housing Act, Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of the Housing and Community Development Act of 1987.

The recipient is not required to submit the Race and Ethnic Data Reporting Form HUD-27061, U.S. Department of Housing OMB Approval No. 2535-0113 or other information in relation to this check box. The cooperative agreement funds a research project and does not provide benefits to individuals or families.

3. Program-Specific Reporting Requirements

At a minimum, HUD expects the award recipient to produce the following deliverables:

- a. *Management and Work Plan*. The Management and Work Plan will depict the overall strategy for completing this research effort within the budget and time frame allotted, including a description of the research activities to be undertaken, the sequence of tasks, key milestone dates, and key staff engaging in each project activity. A draft Management and Work Plan must be submitted with the application. After award HUD will provide feedback to the awardee, and the final Management and Work Plan must be submitted to HUD within two weeks of the receipt of HUD comments;
- b. Research Design, Data Collection and Analysis Plan (RD/DCAP). The RD/DCAP will provide a detailed plan for technical aspects of the research, including research questions, hypotheses, data sources, data collection methods, data collection instruments (if applicable), and analysis methods. The RD/DCAP must demonstrate how the proposed methodology, including the data collection and analysis strategy, will address the research questions and hypotheses proposed. A draft RD/DCAP must be submitted with the application. After award, HUD will provide feedback to the awardee, and a final RD/DCAP must be submitted to HUD within four weeks of the receipt of HUD comments;
- c. Quality Control Plan (QCP). A draft QCP shall be delivered within two weeks after cooperative agreement award and the final QCP shall be submitted for HUD's approval within six weeks of cooperative agreement award. A revised QCP shall be submitted to HUD and within five working days when changes are made thereafter. After HUD's acceptance of the QCP, the awardee shall receive HUD's acceptance in writing of any proposed change to its quality control system;
- d. *Quarterly Progress Reports*: Quarterly progress reports which provide detailed updates on the work conducted for the project during each quarter of the period of performance of the cooperative agreement;
- e. *Interim Report*: The Interim Report will be submitted near the midpoint of this project and provide a summary of the project up to that point. The Interim Report should be considered an opportunity to evaluate the state of the project. The exact deadline and content of the Interim Report will be decided between the HUD and the awardee;
- f. *Final Report*: The Final Report must summarize the entire work, achievements, and findings conducted under the award. The Final Report will present the study objectives, data sources, analysis methods, and results. The Final Report must address the specific outcomes described in the applicant's proposal, the cooperative agreement award, and research design, data collection and analysis plan (RD/DCAP). The Final Report must follow the format and style requirements provided at https://www.huduser.gov/portal/About/style-guide-for-reports.pdf. A *Draft Final Report* shall be submitted in accordance with the milestone of the Management and Work Plan. HUD will have four weeks to review the *Draft Final Report* and return comments to the awardee. A *Final Report*, incorporating HUD's comments, shall be submitted within four weeks of receipt of HUD's comments;
- g. One to two-page plain language summary appropriate for non-technical audience;
- h. All other reports described in Section I.A.1.c. (Eligible Activities) section;

- i. Merged data files, to the extent possible based on third-party licensing or use agreements, and Federal data sharing and privacy laws;
- j. All programming code used to run analyses; and
- k. A comprehensive data security plan.

D. Debriefing.

For a period of at least 120 days, beginning 30 days after the public announcement of awards under this NOFA, HUD will provide a debriefing related to their application to requesting applicants. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF-424 or by his or her successor in office and be submitted to the POC in Section VII Agency Contact(s), below. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

VII. Agency Contact(s).

HUD staff will be available to provide clarification on the content of this NOFA. Questions regarding specific program requirements for this NOFA should be directed to the POC listed below. Name:

Madlyn Wohlman Rodriguez

Phone:

202-402-5939

Email:

madlyn.wohlmanrodriguez@hud.gov

Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339. Please note that HUD staff cannot assist applicants in preparing their applications.

VIII. Other Information.

1. National Environmental Policy Act.

This notice does not direct, provide for assistance or loan and mortgage insurance for, or otherwise govern or regulate, real property acquisition, disposition, leasing, rehabilitation, alteration, demolition, or new construction, or establish, revise or provide for standards for construction or construction materials, manufactured housing, or occupancy. Accordingly, under 24 CFR 50.19(c)(1), this NOFA is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321).

2. Paperwork Reduction Act Statement. The information collection requirements in this notice have been approved by OMB under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. Each NOFA will identify its applicable OMB control number unless its collection of information is excluded from these requirements under 5 CFR part 1320.

3. Web Resources.

- Affirmatively Furthering Fair Housing
- Code of Conduct list

- **CFDA**
- Dun & Bradstreet
- Equal Participation of Faith-Based Organizations
- Federal Awardee Performance and Integrity Information System
- FFATA Subaward Reporting System
- Grants.gov
- HBCUs
- Healthy Homes Strategic Plan
- Healthy Housing Reference Manual
- HUD's Strategic Plan
- **HUD Grants**
- Limited English Proficiency
- NOFA Webcasts
- **Opportunity Zone**
- Procurement of Recovered Materials
- Promise Zones
- Section 3 Business Registry
- State Point of Contact List
- System for Award Management (SAM)
- Uniform Relocation Act Real Property Acquisition and Relocation Requirements
- USA Spending

APPENDIX

Appendix A
Number of Rental Assistance Demonstration (RAD) Properties, Units, and Households with
Children by Public Housing Agency (PHA), September 2019

PHA		Number of RAD	Numb RAD	er of Number of Households		Number of
Code	PHA Name	Properties	Units	w/Children		Children
AL001	Housing Authority of the Birmingham District		2	323	209	375
AL005	PHENIX CITY HOUSING AUTHORITY		2	180	193	403
AL050	HA AUBURN		2	304	281	591
AL054	FLORENCE H/A		5	672	494	883
AL061	HA OPELIKA		3	641	520	1,036
AL067	Housing Authority of the City of Athens, Al		1	240	272	507
AL069	HA LEEDS		1	158	112	232
AL070	City of Union Springs Housing Authority		1	150	145	270

AL072	HA COLUMBIANA	1	161	167	331
AL099	HA SCOTTSBORO	2	316	202	408
AL105	Housing Authority of the City of Talladega, AL	3	499	350	711
AL124	HA MIDLAND CITY	1	46	38	92
AL125	HA BESSEMER	3	364	242	533
AL136	Housing Authority of the Town of Ashland	1	199	89	149
AL155	Housing Authority of the City of GREENVILLE	1	200	113	217
AL159	Housing Authority of the City of Lafayette	1	102	63	126
AL169	The Housing Authority of the City of Prichard	1	197	206	543
AL192	South Central Alabama Regional HA	3	283	363	808
AL193	Housing Authority of the Town of Fort Deposit	1	50	57	125
AR004	Housing Authority of the City of Little Rock	6	780	50	97
AR015	Housing Authority of the City of Texarkana	2	391	404	832
AR017	Housing Authority of the City of Pine Bluff	1	253	261	598
AR031	Hot Springs Housing Authority	1	375	366	772
AR063	Housing Authority of the City of Pocahontas	1	145	83	140
AR097	Housing Authority of the City of Fayetteville	1	52	42	70
AR103	Housing Authority of the City of Melbourne	1	56	19	39
AR121	Paragould Housing Authority	1	187	147	290
AR197	White River Regional Housing Authority	1	100	109	221
AZ009	Housing Authority of Maricopa County	9	904	839	2,051
	Eloy Housing Authority	1	26	34	88
AZ035	Yuma City Housing Authority	1	235	277	693
CA001	Housing Authority of the City & County of SF	18	2,617	346	696
CA001	County of SF	18	2,617	346	696

CA006	Housing Authority City of Fresno	2	279	208	494
CA008	Housing Authority of the County of Kern	1	71	47	115
CA019	Housing Authority of the County of San Bernardino	5	1,180	131	381
CA021	Housing Authority of the County of Santa Barbara	3	213	99	190
CA027	Housing Authority of the County of Riverside	3	469	432	965
CA028	Housing Authority of Fresno County	3	364	283	716
CA033	Housing Authority of the County of Monterey	9	486	261	579
CA035	Housing Authority of the City of San Buenaventura	5	419	39	84
CA064	Housing Authority of the City of San Luis Obispo	1	129	81	191
CA076	Housing Authority of the City of Santa Barbara	2	316	204	429
CO038	Conejos County Housing Authority	1	44	30	68
CO041	Fort Collins Housing Authority	1	84	39	86
CT003	Housing Authority of the City of Hartford	2	128	56	92
CT004	Housing Authority of the City of New Haven	18	1,010	26	51
CT007	Housing Authority of the City of Stamford	1	160	69	127
DE004	Delaware State Housing Authority	3	112	66	105
	TAMPA HOUSING				
	AUTHORITY	14	2,697	834	1,773
FL004	Orlando Housing Authority	1	87	72	136
FL008	SARASOTA HOUSING AUTHORITY	3	211	47	98
FL009	WEST PALM BEACH HOUSING AUTHORITY	2	282	256	674
FL012	HOUSING AUTHORITY OF AVON PARK	1	129	71	159

	HOUSING AUTHORITY OF				
FL019	THE CITY OF COCOA	1	144	65	143
	PINELLAS COUNTY				
FL062	HOUSING AUTHORITY	1	185	102	184
FL079	BROWARD COUNTY HOUSING AUTHORITY	4	373	145	337
	HOUSING AUTHORITY OF THE CITY OF DEERFIELD				
FL081	BEACH	1	196	97	260
GA002	Housing Authority of Savannah	7	1,002	926	1,942
GA004	Housing Authority of the City of Columbus	8	1,366	192	418
071001	Housing Authority of the City of	0	1,500	172	110
GA007	Macon-Bibb	6	1,105	536	1,101
GA010	Housing Authority of the City of Marietta	3	164	22	47
	Housing Authority of the City of				
GA023	Albany	3	583	450	985
GA025	Housing Authority of the City of Cedartown	3	234	151	303
GA026	Housing Authority of the City of LaGrange	1	85	85	190
	Housing Authority of the City of	_			
GA061		1	42	29	56
GA072	Housing Authority of the City of Eatonton	1	114	72	139
GA074	Housing Authority of the City of Elberton	1	185	155	334
	Housing Authority of the City of				
GA077	Cochran	1	115	71	126
GA093	Housing Authority of the City of Lawrenceville	1	212	143	296
GA094	Housing Authority of the City of Lavonia	1	180	127	224
GHOTT	Housing Authority of the City of	1	100	127	224
GA097	Tallapoosa	1	211	87	150
GA099	Housing Authority of the City of Roswell	1	103	63	116
GA101	Housing Authority of the City of Tifton	1	44	41	88
•		•			1

GA106 of Douglas	ĺ		1			ĺ
GA116 Carrollton	GA106	Housing Authority of the County of Douglas	1	110	63	123
GA129 of Lee	GA116		1	234	132	289
GA153 Summerville	GA129		1	98	102	208
GA183 Winder	GA153		1	224	75	163
GA200 Milledgeville	GA183		2	184	84	166
GA201 Jasper	GA200		1	154	114	211
Housing Authority of the City of GA221 Hinesville		Housing Authority of the City of	1	152	59	111
Housing Authority of the County GA237 of Dekalb, GA		Housing Authority of the City of	1	78	46	93
Housing Authority of the City of GA245 Covington	GA237		3	468	106	303
Northeast Georgia Housing GA284 Authority 6 712 417 800 NORTHWEST GA HOUSING GA285 AUTHORITY 3 298 132 297 IL004 Springfield Housing Authority 1 28 15 31 Housing Authority of the City of IL018 Rock Island 1 141 36 49 IL022 Rockford Housing Authority 2 221 262 590 IL025 Housing Authority Cook County 8 1,617 175 458 Menard County Housing 1 108 31 52 Housing Authority of Christian 1 222 29 40 Housing Authority of Greene 1 84 44 96 IL092 Housing Authority of Elgin 2 255 69 192 Housing Authority of the City of IN012 New Albany 2 169 80 135 Housing Authority of the City of IN012 New Albany 2 169 80 135 Housing Authority of the City of Invalid Response 10 10 10 Housing Authority of the City of Invalid Response 10 10 10 Housing Authority of the City of Invalid Response 10 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 10 Housing Authority of the City of Invalid Response 10 Housing Authority of the City of Invalid Response 10 Housing Authority of the City of Invalid Response 10 Housing Authority of the City of Invalid Response 10 Housing Authority of the City of Invalid Response 10 Housing Authority of the City of Invalid Response 10 Housing Authority of the City of Invalid Response 10 Housing Authority 10		Housing Authority of the City of	2	280	225	521
NORTHWEST GA HOUSING GA285 AUTHORITY 1 28 15 31 15 31 16 16 16 16 16 16 16		Northeast Georgia Housing	6	712	417	800
IL004 Springfield Housing Authority Housing Authority of the City of IL018 Rock Island IL022 Rockford Housing Authority IL025 Housing Authority Cook County Menard County Housing IL028 Authority Housing Authority of Christian IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of IN012 New Albany Housing Authority of the City of		NORTHWEST GA HOUSING	3			
Housing Authority of the City of IL018 Rock Island IL022 Rockford Housing Authority IL025 Housing Authority Cook County Menard County Housing IL028 Authority Housing Authority of Christian IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of IN012 New Albany Housing Authority of the City of						
IL022 Rockford Housing Authority IL025 Housing Authority Cook County Menard County Housing IL028 Authority Housing Authority of Christian IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of		Housing Authority of the City of				
IL025 Housing Authority Cook County Menard County Housing IL028 Authority Housing Authority of Christian IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of Housing Authority of the City of			-			
Menard County Housing IL028 Authority IL038 Authority of Christian IL038 County, Illinois IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of IN012 New Albany Housing Authority of the City of		•				
IL028 Authority Housing Authority of Christian IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of	ILU23	·	0	1,017	1/3	436
Housing Authority of Christian IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of Housing Authority of the City of	IL028	<u> </u>	1	108	31	52
IL038 County, Illinois Housing Authority of Greene IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of		•				
IL072 County IL092 Housing Authority of Elgin Housing Authority of the City of IN012 New Albany Housing Authority of the City of	IL038		1	222	29	40
Housing Authority of the City of IN012 New Albany Housing Authority of the City of 2 169 80 135 Housing Authority of the City of	IL072	e ,	1	84	44	96
IN012 New Albany Housing Authority of the City of 2 169 80 135	IL092	Housing Authority of Elgin	2	255	69	192
	IN012	2 ,	2	169	80	135
IN016 Evansville 5 898 292 616	IN016	Housing Authority of the City of Evansville	5	898	292	616

IN017 Indianapolis Housing Agency	12	1,802	1,255	3,034
KS031 City of Clay Center	2	150	12	18
Housing Authority of the City of				
KS100 Herington KS	1	40	13	21
KY053 Housing Authority of Greensburg	1	26	20	40
HOUSING AUTHORITY OF LA002 SHREVEPORT	2	132	111	342
Housing Authority of East Baton LA003 Rouge	1	50	33	70
HOUSING AUTHORITY OF LA004 LAKE CHARLES	3	100	90	225
Housing Authority of the City of LA023 Alexandria	7	521	430	983
LA024 Bogalusa Housing Authority	2	232	219	440
Housing Authority of St. James LA092 Parish	1	66	16	33
Housing Authority of the City of LA111 Leesville	1	193	113	229
MA002 Boston Housing Authority	2	141	11	19
MA003 Cambridge Housing Authority	9	1,272	34	52
Housing Authority Of Baltimore MD002 City	20	3,680	210	402
HOUSING OPPRTY COM OF MD004 MONTGOMERY CO	8	771	180	459
MD010 Housing Authority of Cambridge	1	190	96	195
Wicomico County Housing MD014 Authority	1	50	26	44
Housing Commisson Of Anne MD018 Arundel County	1	154	131	290
Housing Authority of St. Mary's MD021 County, MD	1	32	37	74
Housing Authority of Calvert MD022 County	2	72	57	118
Howard County Housing MD023 Commission	1	50	38	83
MI007 Ecorse Housing Commission	1	200	234	527
MI026 Ypsilanti Housing Commission	1	198	101	245
MI064 Ann Arbor Housing Commission	2	359	138	326

Grand Rapids Housing MI073 Commission	2	191	142	346
MN172 STEARNS COUNTY HRA	1	20	25	60
SCOTT COUNTY COMMUNITY MN184 DEVELOPMENT AGENCY	1	54	57	162
MN211 CARVER COUNTY CDA	1	95	65	173
Housing Authority of the City of MO007 Columbia, MO	4	599	139	287
The Housing Authority of the MS003 City of McComb	3	445	486	894
The Housing Authority of the MS004 City of Meridian	2	185	137	268
The Housing Authority of the MS005 City of Biloxi	10	911	508	996
Tennessee Valley Regional MS006 Housing Authority	10	1,211	780	1,545
Mississippi Regional Housing MS040 Authority No. VIII	11	931	725	1,471
The Housing Authority of the MS059 City of West Point	1	166	170	319
The Housing Authority of the MS063 City of Yazoo City	2	290	166	347
Housing Authority of the City of MS086 Vicksburg	3	430	430	1,079
MS301 Bay Waveland Housing Authority	2	180	63	119
Housing Authority of the City of NC001 Wilmington	1	58	54	99
Housing Authority of the City of NC003 Charlotte	43	5,471	446	854
Housing Authority of the City of NC005 New Bern	1	319	186	398
Housing Authority of the City of NC007 Asheville	4	963	550	979
Fayetteville Metropolitan NC009 Housing Authority	2	244	200	312
Housing Authority of the City of NC011 Greensboro	19	1,783	1,383	3,088
The Housing Authority of the NC013 City of Durham	7	610	238	428

NC016	Housing Authority of the City of Salisbury	4	545	371	716
	Housing Authority of the Town	_	0.0	5,1	, 10
NC018	of Laurinburg	2	499	443	820
NC031	Hertford Housing Authority	1	85	44	84
NC039	Lexington Housing Authority	2	268	217	422
NC048	Maxton Housing Authority	1	90	82	153
NC052	Southern Pines Housing Authority	1	101	108	224
	City of Hickory Public Housing	_			
NC056	Authority	2	311	326	697
NC057	Gastonia Housing Authority	3	400	295	707
NH003	Dover Housing Authority	1	184	178	335
NJ006	Perth Amboy Housing Authority	2	362	89	164
	Housing Authority of the City of				
NJ013	Passaic	2	316	108	187
NJ017	Summit Housing Authority	1	195	36	55
N11022	New Brunswick Housing		1.4.4	4.5	0.4
NJ022	Authority	1	144	45	94
	Princeton Housing Authority	$\frac{1}{2}$	216	73	138
NJU28	Hackensack Housing Authority	2	504	103	198
NJ030	West New York Housing Authority	2	715	47	66
	Woodbridge Housing Authority	2	467	70	148
NJ041	Highlands Housing Authority	2	125	30	53
		2	178	63	131
1,001,	Housing Authority of the	_	1,0		
NJ054	Township of Lakewood	2	268	63	130
NJ056	Berkeley Housing Authority	1	70	35	67
NJ059	Pleasantville Housing Authority	3	209	45	124
NJ063	Vineland Housing Authority	2	225	87	205
	Housing Authority of Bergen				
NJ067	County	5	503	56	109
NJ071	Fort Lee Housing Authority	1	40	12	21
	Madison Housing Authority	1	134	38	61
NM009	Santa Fe Civic Housing Authority	2	433	147	327
NV018	Southern Nevada Regional Housing Authority	6	608	200	364

NIV002	The Municipal Hsng Authority City Yonkers	5	1 206	483	932
N 1 003	•	3	1,396	463	932
NY005	New York City Housing Authority	6	2,899	1,192	2,354
	Troy Housing Authority	6	1,060	1,157	2,191
	Watervliet Housing Authority	2	307	178	290
	Rome Housing Authority	2	150	51	86
	White Plains Housing Authority	2	262	83	133
NY044	Geneva Housing Authority	2	215	104	207
	Ilion Housing Authority	1	161	58	135
NY067	Hornell Housing Authority	2	158	45	97
NY077	Town of Islip Housing Authority	2	360	11	19
	Town of Ramapo Housing				
NY084	Authority	1	200	32	48
	Newark Housing Authority	2	160	85	181
	St. Johnsville Housing Authority	1	42	48	87
	Oneida Housing Authority	1	140	40	71
NY158	Village of Kiryas Joel HA	1	60	62	326
ОН001	Columbus Metropolitan Housing Authority	9	808	477	1,338
ОН003	Cuyahoga Metropolitan Housing Authority	5	994	644	1,426
ОН004	CINCINNATI METROPOLITAN HOUSING AUTHORITY	2	192	72	176
ОН009	Zanesville Metropolitan Housing Authority	1	324	246	377
ОН010	Portsmouth Metropolitan Housing Authority	1	243	241	445
ОН025	LAKE METROPOLITAN HOUSING AUTHORITY	1	241	132	265
ОН038	CLERMONT METROPOLITAN HOUSING AUTHORITY	1	26	34	90
ОН060	Pike Metropolitan Housing Authority	1	97	127	238
ОН070	Fairfield Metropolitan Housing Authority	1	96	102	186
OK002	Housing Authority of the City of Oklahoma City	1	113	92	199

OR002	Housing Authority of Portland	25	1,931	59	142
	Housing Authority of the City of	_			
	Pittsburgh	4	735	198	363
	Philadelphia Housing Authority	14	1,463	33	69
PA004	Allentown Housing Authority	4	194	137	304
PA020	MERCER COUNTY HOUSING AUTHORITY	6	623	552	1,058
PA074	Susquehanna Co Housing/Redevelopment Auth	1	43	37	72
RI002	Housing Authority of the City of Pawtucket	1	292	189	396
SC003	HOUSING AUTHORITY OF SPARTANBURG	7	507	424	797
SC004	THE GREENVILLE HOUSING AUTHORITY	6	375	203	437
SC018	HOUSING AUTHORITY OF LAKE CITY	3	299	207	466
SC036	HOUSING AUTHORITY OF FORT MILL	1	142	100	178
SC039	HOUSING AUTHORITY OF KINGSTREE	1	140	116	262
SC057	HOUSING AUTHORITY OF N CHARLESTON	6	545	284	648
TN001	Memphis Housing Authority	1	73	39	90
TN002	Johnson City Housing Authority	2	257	106	186
TN003	Knoxville's Community Development Corp.	13	2,146	1,187	2,521
TN005	Metropolitan Development & Housing Agency	15	5,602	3,866	8,296
TN006	Kingsport Housing and Redevelopment Authority	3	212	121	223
TN007	Jackson Housing Authority	1	31	34	63
TN015	Athens Housing Authority	1	441	222	431
TN035	Franklin Housing Authority	2	126	47	117
TN046	Columbia Housing and Redevelopment Corporatio	1	296	158	320
TN084	Gallaway Housing Authority	1	60	28	66
TX001	Austin Housing Authority	12	1,575	889	2,191

TV003	Housing Authority of the City of El Paso, TX	11	1 697	2 222	5 250
	, and the second		4,687	2,332	5,350
1 X 0 0 4	Housing Authority of Fort Worth	7	1,432	232	485
TX007	Housing Authority of the City of Brownsville	3	434	247	605
TX008	Corpus Christi Housing Authority	9	1,714	1,388	2,951
TX011	Housing Authority of the City of Laredo	2	230	161	454
TX014	Housing Authority of Texarkana	7	666	162	375
TX016	Del Rio Housing Authority	2	170	221	479
TX027	Housing Authority of McKinney	1	150	108	246
TX034	Housing Authority of Port Arthur	2	270	144	315
TX079	Housing Authority of the City of Killeen	1	75	138	308
	Housing Authority of Groesbeck	1	80	22	45
	Gregory Housing Authority	1	58	37	108
171302	Housing Authority of the City of	1	20	37	100
TX330	Brenham	1	132	131	246
TX421	Uvalde Housing Authority	1	48	48	111
TX480	Housing Authority of Travis County	1	105	77	183
TX486	Housing Authority of the City of Nacogdoches	1	76	65	146
VA001	Portsmouth Redevelopment & Housing Authority	1	296	184	378
VA003	Newport News Redevelopment & Housng Authority	3	415	300	639
VA005	Hopewell Redevelopment & Housing Authority	2	130	27	63
VA006	Norfolk Redevelopment & Housing Authority	5	644	507	1,137
VA018	Franklin Redevelopment and Housing Authority	2	150	106	252
VA019	Fairfax County Redevelopment & Hsg Authority	11	1,065	28	62
VA023	Staunton Redevelopment & Housing Authority	1	150	134	264
	Burlington Housing Authority	2	343	109	278
	Winooski Housing Authority	1	238	72	184

Housing Authority of the City of	1	21	22	4.6
WA003 Bremerton	1	21	23	46
WA005 HA City of Tacoma	4	482	11	27
WA006 HA City of Everett	3	333	125	314
Housing Authority of the City of WA008 Vancouver	2	212	14	27
Housing Authority of Kittitas WA009 County	1	110	91	192
WA018 HA Of Grays Harbor County	3	395	99	205
WA042 HA City of Yakima	1	150	123	310
WA057 HA City of Walla Walla	1	84	93	249
Housing Authority of the City of				
WI002 Milwaukee	10	1,166	98	241
WI017 Merrill Housing Authority	1	102	22	46
WI117 Westby Housing Authority	1	35	16	31
Housing Authority of the City of				
WY004 Casper	1	50	85	242

Note: The table excludes PHAs that have RAD properties with 10 or less households with children.

Data Source: 2019 September PIC Quarterly Extracts and Latest PIC Transaction Files and RAD information as of September 2019.