



**U.S. Department of Housing and Urban Development**

Fair Housing and Equal Opportunity

Fair Housing Initiative Program - Education and Outreach Initiative

FR-6500-N-21-A

06/14/2021

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**Program Office:**

Fair Housing and Equal Opportunity

**Funding Opportunity Title:**

Fair Housing Initiative Program - Education and Outreach Initiative

**Funding Opportunity Number:**

FR-6500-N-21-A

**Primary CFDA Number:**

14.416

**Due Date for Applications:**

06/14/2021

**Overview**

The U.S. Department of Housing and Urban Development (HUD) issues this Notice of Funding Opportunity (NOFO) to invite applications from eligible applicants for the program and purpose described within this NOFO. Prospective applicants should carefully read all instructions in all sections to avoid sending an incomplete or ineligible application. HUD funding is highly competitive. Failure to respond accurately to any submission requirement could result in an incomplete or noncompetitive proposal.

During the selection process HUD is prohibited from disclosing 1) information regarding any applicant's relative standing, 2) the amount of assistance requested by an applicant, and 3) any information contained in the application. Prior to the application deadline, HUD may not disclose the identity of any applicant or the number of applicants that have applied for assistance.

For Further Information Regarding this NOFO: Please direct questions regarding the specific requirements of this Notice of Funding Opportunity (NOFO) to the office contact identified in Section VII.

***Paperwork Reduction Act Statement.*** *The information collection requirements in this notice have been approved by OMB under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid OMB control number. Each NOFO will identify its applicable OMB control number unless its collection of information is excluded from these requirements under 5 CFR part 1320.*

**OMB Approval Number(s):**

2529-0033

**I. FUNDING OPPORTUNITY DESCRIPTION.****A. Program Description.****1. Purpose**

The Fair Housing Initiatives Program (FHIP) funds fair housing organizations and other non-profits that assist individuals who believe that they have been victims of housing discrimination. Currently FHIP provides funds to eligible organizations through competitive grants under several initiatives to carry out enforcement activities to prevent or eliminate discriminatory housing

practices and inform individuals of their rights and responsibilities under the Fair Housing Act. The Initiatives are the Fair Housing Organization Initiative (FHOI), the Private Enforcement Initiative (PEI) and the Education and Outreach Initiative (EOI). In addition, consistent with HUD appropriations directives, FHEO is publishing a separate NOFO for Tester Coordinator Training.

This Education and Outreach Initiative (EOI) NOFO makes available \$7,223,649 million (which includes \$343,649 of FHIP FY 2020 Education and Outreach Initiative carryover funds) to develop, implement, carry out, and coordinate education and outreach programs designed to inform members of the public concerning their rights and obligations under the provisions of the Fair Housing Act. Under the EOI NOFO, activities eligible for funding include but are not limited to: developing educational advertising campaigns, developing and distributing material, and conducting educational activities (workshops, conferences, seminars, training etc.) that inform people of their rights and responsibilities under the Fair Housing Act (see Section III.A for detailed eligible activities). The specific EOI NOFO includes funding for three components:

#### Education and Outreach Initiative

##### 1. National Based Programs (\$1,300,000)

**(a) \$1,000,000** for a National Media Campaign

**(b) \$300,000** for a National Programs Component – Disability Deinstitutionalization and Integration Educational Campaign

##### 2. Regional/Local Program

**(a) \$5,923,649** for general education and outreach activities aimed to inform people of their rights and responsibilities under the Fair Housing Act.

This NOFO will receive applications for the EOI NOFO only. Please see the specific FHOI, PEI and Tester Coordinator Training NOFOs for the additional FHIP funding opportunities.

#### **2. Changes from Previous NOFO.**

a. This FY2021 NOFO adds a new National Programs Component - Disability Deinstitutionalization and Integration Campaign.

b. Section I.A-3 - Standard Definitions. This section includes a definition of "Affirmatively Furthering Fair Housing" under the Departmental Definitions.

c. Section I.A.4 – Program Definitions. This section expands the definition of “Underserved Populations”.

d. Section III.D- Threshold Eligibility Requirements. This section changes an FY2020 eligibility requirement heading from "Outstanding Civil Rights Matters" to "Resolution of Civil Rights Matters" and expands the language under this section to clearly outline the eligibility for unresolved civil rights matters and describes issues which constitute unresolved civil rights issues.

e. Section IV.F- Funding Restriction. Section F.5 adds a “compensation” section to provide guidance on compensation limitations for individual’s compensation for grantees. The language provides guidance to applicants which may assist in proposed budget formulation.

f. Section IV.G.1 – Application Assurance and Certifications. The current section has been expanded to clarify an application’s obligation to submit assurances of compliance with federal

civil rights requirements; and clarifies the specific form for which HUD accepts assurances (Form SF-424B and SF-424D).

g. Factor 3 – Soundness of Approach. This section adds guidance for Statement of Work tasks considerations during the COVID-19 pandemic.

h. Factor 4 Leveraging has been removed. This change is in response to applicant concerns about their profound difficulty in obtaining partner in-kind commitments and performance as a result of the COVID-19 pandemic. The evaluation points previously available under Factor 4 have been reassigned to Factor 2, Need/Distress/Extent of the Problem; accordingly, the total number of scoring points remains unchanged.

i. NOFO Section V.B.2 – Assessing Applicant Risk. The number of points that will be deducted if an applicant receives less than a “good” on the applicant’s most recent FHIP final performance assessment has increased from one to five points. This change is consistent with NOFO Section IV.F – Funding Restriction, which requires applicants to maintain an annual performance assessment as a “good” or funding may be reduced until issues are resolved or rescinded if unresolved.

### **3. Definitions.**

#### **a. Standard Definitions**

**Affirmatively Furthering Fair Housing (AFFH).** Affirmatively Furthering Fair Housing (AFFH) means taking meaningful actions, in addition to combating discrimination, that overcome patterns of segregation and foster inclusive communities free from barriers that restrict access to opportunity based on protected characteristics. Specifically, affirmatively furthering fair housing means taking meaningful actions that, taken together, address significant disparities in housing needs and in access to opportunity, replacing segregated living patterns with truly integrated and balanced living patterns, transforming racially and ethnically concentrated areas of poverty into areas of opportunity, and fostering and maintaining compliance with civil rights and fair housing laws. The duty to affirmatively further fair housing extends to all of a program participant’s activities and programs relating to housing and urban development.

**Assistance Listings** means a unique number assigned to identify a Federal Assistance Listing, formerly known as the CFDA Number.

**Federal Financial Assistance** means assistance that entities received or administer in the form of:

- 1) Grant;
2. Cooperative agreements (which does not include a cooperative research and development agreement pursuant to the Federal Technology Transfer Act of 1986, as amended (15 U.S.C. 3710a));
3. Loans;
4. Loan guarantees;
5. Subsidies;
6. Insurance;
7. Food commodities;
8. Direct appropriations;

9. Assessed or voluntary contributions; or
  10. Any other financial assistance transaction that authorizes the non-Federal entity's expenditure of Federal funds.
- b. Federal Financial Assistance does not include:
1. Technical assistance, which provides services in lieu of money; and
  2. A transfer of title to federally owned property provided in lieu of money, even if the award is called a grant.

**Authorized Organization Representative (AOR)** is the person authorized to submit applications on behalf of the organization via Grants.gov. The AOR is authorized by the E-Biz point of contact in the System for Award Management. The AOR is listed in item 21 on the SF-424.

**Consolidated Plan** is a document developed by states and local jurisdictions. This plan is completed by engaging in a participatory process to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions with funding from formula grant programs. (See 24 CFR part 91 HUD's requirements regarding the Consolidated Plan and related Action Plan).

**Contract** means, for the purpose of Federal financial assistance, a legal instrument by which a recipient or subrecipient purchases property or services needed to carry out the project or program under a Federal award. For additional information on contractor and subrecipient determinations see 2 CFR 200.331.

**Contractor** means an entity that receives a contract as defined in 2 CFR 200.1.

**Deficiency** is information missing or omitted within a submitted application. Examples of deficiencies include missing documents, information on a form, or some other type of unsatisfied information requirement (e.g., an unsigned form, unchecked box.). Depending on specific criteria, deficiencies may be either curable or non-curable.

- *Curable Deficiencies* may be corrected by the applicant with timely action. To be curable the deficiency must:
- Not be a threshold requirement, except for documentation of applicant eligibility;
- Not influence how an applicant is ranked or scored versus other applicants; and
- Be remedied within the time frame specified in the notice of deficiency.
- *Non-Curable Deficiencies* cannot be corrected by an applicant after the submission deadline.

Non-curable deficiencies are deficiencies that, if corrected, would change an applicant's score or rank versus other applicants. Non-curable deficiencies may result in an application being marked ineligible, or otherwise adversely affect an application's score and final determination.

**DUNS Number** is the nine-digit Dun and Bradstreet Data Universal Number

System identification number assigned to a business or organization by Dun & Bradstreet and provides a means of identifying business entities on a location-specific basis. OMB removed

duplicate recipients based on recipient Data Universal Number System (DUNS) numbers, from Dun & Bradstreet (D&B). At this time all Federal financial assistance recipients are required to register for DUNS numbers.

**E-Business Point of Contact (E-Biz POC)** A user registered as an organization applicant who is responsible for the administration and management of grant activities for his or her organization. The E-Biz POC is likely to be an organization's chief financial officer or authorizing official. The E-Biz POC authorizes representatives of their organization to apply on behalf of the organization (see Standard AOR and Expanded AOR). There can only be one E-Biz POC per DUNS Number.)

**Eligibility requirements** are mandatory requirements for an application to be eligible for funding.

**Federal award**, has the meaning, depending on the context, in either paragraph (1) or (2) of this definition:

(1)(i) The Federal financial assistance that a recipient receives directly from a Federal awarding agency or a subrecipient receives indirectly from a pass-through entity, as described in 2 CFR §200.101; or

- ii. The cost-reimbursement contract under the Federal Acquisition Regulations that a non-Federal entity receives directly from a Federal awarding agency or indirectly from a pass-through entity, as described in 2 CFR §200.101.
2. The instrument setting forth the terms and conditions. The instrument is the grant agreement, cooperative agreement, other agreement for assistance covered in paragraph (2) of the definitions of Federal financial assistance in 2 CFR §200.1, or the cost-reimbursement contract awarded under the Federal Acquisition Regulations.
3. Federal award does not include other contracts that a Federal agency uses to buy goods or services from a contractor or a contract to operate Federal Government owned, contractor operated facilities (GOCOs).
4. See also definitions of Federal financial assistance, grant agreement, and cooperative agreement.

**Grants.gov** is the website serving as the Federal government's central portal for searching and applying for Federal financial assistance throughout the Federal government. Registration on Grants.gov is required for submission of applications to prospective agencies unless otherwise specified in this NOFO.

**Non-Federal Entity (NFE)** means a state, local government, Indian tribe, Institution of Higher Education (IHE), or non-profit organization that carries out a Federal award as a recipient or subrecipient.

**Opportunity Zone (OZs)** are defined in 26 U.S.C. 1400Z-1. In general, OZs are census tracts located in low-income communities where new investments, under certain conditions, may be eligible for preferential tax treatment.

**Point of Contact (POC)** is the person who may be contacted with questions about the application submitted by the AOR. The POC is listed in item 8F on the SF-424.



**Promise Zones (PZs)** are high poverty communities where the federal government partners with local leaders to increase economic activity, improve educational opportunities, leverage private investment, reduce violent crime, enhance public health and address other priorities identified by the community.

**Recipient** means an entity, usually but not limited to non-Federal entities, that receives a Federal award directly from HUD. The term recipient does not include subrecipients or individuals that are beneficiaries of the award.

**Small business** is defined as a privately-owned corporation, partnership, or sole proprietorship that has fewer employees and less annual revenue than a corporation or regular-sized business. The definition of "small"—in terms of being able to apply for government support and qualify for preferential tax policy—varies by country and industry. The U.S. Small Business Administration defines a small business according to a set of standards based on specific industries. {[e-CFR Title 13-Chapter I – Part 121](#)}

**Subaward** means an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the pass-through entity. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal program. A subaward may be provided through any form of legal agreement, including an agreement that pass-through entity considers a contract.

**Subrecipient** means an entity, usually but not limited to non-Federal entities, that receives a subaward from a pass-through entity to carry out part of a Federal award but does not include an individual that is a beneficiary of such award. A subrecipient may also be a recipient of other Federal awards directly for a Federal awarding agency.

**System for Award Management (SAM)** means the Federal Repository into which an entity must provide information required for the conduct of business as a recipient. Registration with SAM is required for submission of applications via Grants.gov. You can access the website at <https://www.sam.gov/SAM/>. There is no cost to use SAM.

**Threshold Requirements** are an eligibility requirement that must be met for an application to be reviewed. Threshold requirements are not curable, except for documentation of applicant eligibility and are listed in Section III.D Threshold Eligibility Requirements. Similarly, there are eligibility requirements under Section III.E, Statutory and Regulatory Requirements Affecting Eligibility.

**Unique Entity Identifier** means the identifier required for SAM registration to uniquely identify business entities.

#### **4. Program Definitions**

**Administrative Costs** are reasonable costs of overall program management, coordination, monitoring and evaluation. Such costs include, but are not limited to, necessary expenditures for the following: (1) Salaries, wages, and related costs of the recipient's staff or other staff engaged in program administration; and (2) Providing or securing legal services, providing or securing financial management services such as accountants, consultants, sub-contractors, or others retained by the organization.

**Affiliate** is a business entity effectively controlling or controlled by another or associated with

others under common ownership or control.

**Broad-based** means not limited to a single fair housing issue (such as insurance, mortgage lending, or advertising), but rather covering more than one issue related to discrimination in the provision of housing covered under the Fair Housing Act.

**Complaint** is a filed fair housing complaint accepted by HUD or a Fair Housing Assistance Program agency as jurisdictional, or a complaint filed in a state or federal court alleging violations of the Fair Housing Act.

**Fair Housing Act** is Title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988 (42 U.S.C. 3601-3620).

**Fair Housing Assistance Program (FHAP) Agencies**, as described in 24 CFR 115, are State and local fair housing enforcement government agencies that receive FHAP funds to administer laws deemed substantially equivalent to the Fair Housing Act.

**Fair Housing Enforcement Organization (FHO)** is an organization engaged in fair housing activities as defined in 24 CFR 125.103.

**Intake** is a fair housing allegation contact/query received by a fair housing organization. Intake does not include inquiries unrelated to fair housing including general housing, landlord/tenant or others. Intakes may be in-person or by phone, mail or email contact and documented through the fair housing organization's standard intake form or database entry. Information gathered at intake starts the fair housing process. Repetitive contacts from a single individual may not be counted as an additional intake unless the contact contains a new allegation or relates to a new respondent. Intakes do not include someone returning a non-enforcement related telephone call, a telemarketer calling the office, or incoming personal telephone calls.

**Integration** or **Integrated Settings**, for purposes of this NOFO, means in the context of housing, settings that enable individuals with disabilities to live like individuals without disabilities. Integrated settings also enable individuals with disabilities to live independently with individuals without disabilities and without restrictive rules that limit their activities or impede their ability to interact with individuals without disabilities. Examples of integrated settings include scattered-site apartments providing permanent supportive housing, tenant-based rental assistance that enables individuals with disabilities to lease housing in integrated developments, and apartments for individuals with various disabilities scattered throughout public and multifamily housing developments. By contrast, segregated settings are occupied exclusively or primarily by individuals with disabilities. Segregated settings sometimes have qualities of an institutional nature, including, but not limited to, regimentation in daily activities, lack of privacy or autonomy, policies limiting visitors, limits on individuals' ability to engage freely in community activities and manage their own activities of daily living, or daytime activities primarily with other individuals with disabilities.

**Lending discrimination** means discriminatory practices against persons protected under the Fair Housing Act, in the mortgage process and includes actions that may violate the Fair Housing Act

in the making, servicing, or purchasing of home loans or loans secured by a home. For instance, it includes – but is not limited to – the use of deceptive, misleading or discriminatory practices to sell services that promise foreclosure relief to homeowners, and the failure to deliver those services.

**Operating Budget** is an organization's total planned budget expenditures from all sources, including the value of in-kind and monetary contributions, in the period for which funding is requested.

**Partnership** is a collaboration with one or more identified agencies, task forces, FHAP organizations, or other groups to successfully realize the service or activity planned. A partnership may be formal and contractual with specific roles and deliverables defined, or less formal cooperation through volunteer efforts accomplished without a contract.

**Qualified Fair Housing Enforcement Organization (QFHO)** is an organization engaged in fair housing activities as defined in 24 CFR 125.103.

**Referral** means referring an intake to HUD or a FHAP agency.

**Regional/Local/Community-Based Activities** are defined at 24 CFR 125.301(a) and (d).

**Rural Areas** include any of the following:

a. A non-urban place having fewer than 2,500 inhabitants (within or outside of the metropolitan areas).

b. A county or parish with an urban population of 20,000 inhabitants or less.

c. Territory, including its persons and housing units, in rural portions of “extended cities.” The Census Bureau identifies the rural portions of extended cities.

d. Open country that is not part of or associated with an urban area. The United States Department of Agriculture describes "open country" as a site separated by open space from any adjacent densely populated urban area. Open space includes undeveloped land, agricultural land, or sparsely settled areas, but does not include physical barriers (such as rivers and canals), public parks, commercial and industrial developments, small areas reserved for recreational purposes, or open space set aside for future development.

e. Any place in whole or in part, not located in a Metropolitan Statistical Area.

**Statement of Work (SOW)** is a document that describes all the tasks necessary to do the work, includes all the steps needed for good management control and specificity regarding work to be done and deliverables, and provides a basis for mutual understanding of the requirements and tasks.

**Technical Evaluation Panel (TEP)** is a panel whose mission is to accomplish sound, impartial, and comprehensive evaluation of proposals consistent with the NOFO. TEP members are selected from a diverse pool of evaluators, including HUD staff and outside (contract) individuals. Individuals are selected for TEP participation based on skill, background or expertise in areas which include but are not limited to fair housing experience, grant writing and evaluation expertise, strong analytical and writing skill, or education and outreach, civil rights or other related experience.

**Testing** is an investigative tool used to gather evidence. A test is a covert investigation by a QFHO or FHO involving one or more persons who initiate contact with a person or entity for gathering information about housing policies, treatment and/or practices to compare with the requirements of fair housing laws or other civil rights laws. A test may involve comparing how persons similarly situated except for a protected characteristic are being treated.

**Underserved Areas** are areas where there are no FHIP or FHAP agencies, and where either no public or private fair housing enforcement organizations exist or the jurisdiction is not sufficiently served by one or more public or private enforcement fair housing organizations and there is a need for service.

**Underserved Populations** means groups of individuals who are:

- a. Persons of an immigrant population (especially racial and ethnic minorities who are non-English-speaking or have limited English proficiency);
- b. Persons living in a rural area, particularly minority groups in such areas;
- c. Persons who are homeless or otherwise experiencing housing instability;
- d. Persons with disabilities (physical or mental), particularly persons living in housing with one or more qualities of an institutional setting, persons leaving institutions, or persons at-risk of institutionalization;
- e. Persons in areas where there is inadequate protection and ability to provide fair housing services from a State or local government or private fair housing organization;
- f. Persons living in impoverished areas or experiencing persistent poverty or inequality, including historical wealth disparities as compared to majority groups;
- g. Lesbian, gay, bisexual, transgender, and queer (LGBTQ+) persons, and particularly LGBTQ+ youth;
- h. Indigenous and Native American persons; and
- i. Due to the COVID-19 Pandemic, experiencing housing instability and/or whose access to opportunity has been exacerbated.

## **B. Authority.**

1. FHIP is authorized by section 561 of the Housing and Community Development Act of 1987, as amended (42 U.S.C. 3616-361a) The implementing regulations are found at 24 CFR Part 125.
2. Funding for this NOFO is provided by the Consolidated Appropriations Act, 2021 (Public Law 116-260, approved December 27, 2020) and the Further Consolidated Appropriations Act, 2020 (Public Law 116-94, approved December 20, 2019).

## **II. Award Information.**

### **A. Available Funds**

Funding of approximately \$ **7,223,649** is available through this NOFO. Additional funds may become available for award under this NOFO, because of HUD's efforts to recapture funds, use carryover funds, or because of the availability of additional appropriated funds. Use of these funds is subject to statutory constraints. All awards are subject to the funding restrictions contained in this NOFO.

However, subject to statutory constraints, at its discretion, HUD may limit the amount and actual awards made under this NOFO

## **B. Number of Awards.**

HUD expects to make approximately 52 awards from the funds available under this NOFO.

The number of awards made from funds available under this NOFO depends on the number of eligible applicants and other factors. We reserve the right to re-allocate funds as appropriate. For information on the methodology used to make award determinations and the reallocation and adjustment of funds under this NOFO, please see Section V.B. Review and Selection Process below.

## **C. Minimum/Maximum Award Information**

The maximum award possible under this solicitation varies. The specific maximum award amounts per component are listed under Section III, Eligibility Information.

Estimated Total Funding:

\$ 7,223,649

Minimum Award Amount:

\$ 125,000

Per Project Period

Maximum Award Amount:

\$ 500,000

Per Project Period

## **D. Period of Performance**

The period of performance under this initiative is 12 months.

Estimated Project Start Date:

10/04/2021

Estimated Project End Date:

10/03/2022

Length of Project Periods:

12-month project period and budget period

Length of Periods Explanation of Other:

The period of performance under this initiative is 12 months. Obligations should be made within six months of notice of award and all grants expended in the specified timeframe(s) established in negotiations. However, expenditures should be no more than 18-24 months from award.

## **E. Type of Funding Instrument.**

Funding Instrument Type:

G (Grant)

HUD expects to award a cooperative or grant agreement to each applicant selected for an award. The grant agreement will set forth the relationship between HUD and an awardee using a grant or cooperative agreement, where the principal purpose is the transfer of funds, property, services, or anything of value to the awardee to accomplish an eligible public purpose.

The agreement will identify the eligible activities to be undertaken, financial controls, and special conditions, including sanctions for violations of the agreement, reporting requirements including sub-recipient reporting requirements under the Federal Funding Accountability and Transparency Act of 2006 and integrity requirements under Section 872 of the Duncan Hunter Defense Authorization Act of 2009. HUD will determine the type of instrument under which the award will be made and monitor progress to ensure that the awardee has achieved the objectives set out in the agreement. Failure to meet such objectives may be the basis for HUD determining the awardee to be in default of the grant or cooperative agreement and for exercising available sanctions, including suspension, termination, and/or recapture of funds. Also, HUD may refer violations or suspected violations to enforcement offices within HUD, the Department of Justice, or other enforcement authorities.

If funds are provided subject to a cooperative agreement, HUD will also exercise the right to have substantial involvement in all proposed deliverables, as well as the Work Plan or Statement of Work (SOW). For grants and cooperative agreements, HUD will conduct monitoring reviews, request quarterly reports, and approve all proposed deliverables as documented in the applicant's Work Plan or SOW. Most FHIP funds are awarded under grant agreements. However, national funds are awarded under cooperative agreements and remain in Headquarters since the grants have a nationwide impact, and therefore require more complex and frequent oversight as well as Departmental approval of deliverables. This is a higher-level approval process than funds awarded under grant agreements.

### **III. Eligibility Information.**

#### **A. Eligible Applicants.**

25 (Others (see text field entitled "Additional Information on Eligibility" for clarification))

#### **Additional Information on Eligibility**

Eligible applicants may be Qualified Fair Housing Enforcement Organizations (QFHOs) and Fair Housing Enforcement Organizations (FHOs), public or private not-for-profit organizations or institutions, and other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices; agencies of State or local governments; and agencies that participate in the Fair Housing Assistance Program (FHAP). All applicants are required to complete Appendix B, EOI Eligibility Certification and submit as a part of their application.

HUD will not evaluate applications from ineligible applicants.

The following list details the EOI Components, the approximate available funding, and the eligible applicants and activities:

#### **National Media Campaign Component (EOI-NMC)**

- Amount Available - \$1,000,000 (HUD reserves the right to re-allocate funds to the other EOI funding component as appropriate).

- Applicant Eligibility - QFHOs, other FHOs, and other nonprofit organizations representing groups of persons protected under Title VIII of the Civil Rights Act of 1968. Applicants must have at least 5 years of experience as an advertising, media or public relations organization or must partner with an advertising and media services organization with at least 5 years of experience as an advertising, media, or public relations organizations.
- Project Period - 12-18 months
- Award Caps - Up to \$500,000
- Eligible Activities Eligible activities must include more than one protected class as a proposed focus area. Eligible activities shall include development of a creative and innovative education and advertising campaign designated to highlight foreclosure, eviction, and other COVID and/or post-COVID related issues for the FY 2022 Fair Housing Month that will: 1) Provide online and other education and outreach activities that have a connection to the Fair Housing Act including activities prohibited by, and rights protected under the Act. The proposed campaign must provide a nexus between the Fair Housing Act and members of one or more protected classes under the Act; 2) The materials must be connected by a Fair Housing common theme or graphic design approved by HUD; 3) The applicant must identify gaps in the existing materials, including their production and availability in at least two languages in addition to English; 4) The applicant may propose up to four new activities to expand existing materials or develop new materials; 5) The applicant must develop a plan to publish and distribute to fair housing groups and other organizations serving members of the Fair Housing Act's protected classes; and 6) Eligible activities include, but are not limited to the development of Facebook, Twitter, Instagram, or YouTube public service advertisements; and training materials including PowerPoint presentations and other materials designed to educate individuals, organizations and housing providers about the Fair Housing Act that can be used for multiple distribution. Delivery systems may include printing hard copy materials, production of user-friendly materials on disc or electronically, and the use of social media strategies. The above activities must be developed for national distribution with appropriate number of copies, and all materials created must demonstrate a connection to furthering the non-discrimination provisions of the Fair Housing Act.

#### National Programs Component – Disability Deinstitutionalization and Integration Educational Campaign (EOI-DDIEC)

- Amount Available - \$300,000 (HUD reserves the right to re-allocate funds to the other EOI funding component as appropriate).
- Applicant Eligibility – Organizations with demonstrated expertise in advocating for and educating on the rights of individuals with disabilities in support of integration, deinstitutionalization, and implementation of the United States Supreme Court’s decision in *Olmstead v. L.C.* QFHOs, other FHOs, and other nonprofit organizations representing groups of persons protected under Title VIII of the Civil Rights Act of 1968. The applicant must show that they have the experience and capability to reach groups they target, or that the application proposes partnering with an organization that has the experience and capacity to reach such groups. In addition, the applicant must show that

they have experience working with the targeted populations or will partner with an organization experienced in directing national campaigns to persons with disabilities, housing providers, and/or advocates for persons with disabilities.

- Project Period - 12-18 months
- Award Caps - Up to \$300,000
- Eligible Activities. Eligible activities are those that propose a national education program that undertakes specific education and outreach projects consistent with fair housing laws that focus on the following categories:
  1. The fair housing rights of persons with disabilities living in, at-risk of entering, or leaving institutional or segregated settings;
  2. Forms of housing discrimination encountered by individuals with disabilities living in, at-risk of entering, or leaving institutional or segregated settings; and
  3. Integrated housing opportunities for persons with disabilities.

Activities include developing and implementing novel ideas on a national basis to inform the general public, affected persons, advocacy organizations, and/or housing providers about disability discrimination in housing. Such activities may include, but are not limited to: (1) developing and distributing brochures, literature, or other media to educate individuals of their rights under fair housing laws; (2) coordinating with advocacy organizations nationwide to develop a strategy to disseminate information to persons living in, at-risk of entering, and leaving institutional and segregated settings; (3) facilitating webinars and other trainings for advocacy organizations on reaching such persons with disabilities concerning their fair housing rights; (4) developing Train-the-Trainer toolkits for organizations to use to provide fair housing education to persons with disabilities outlined above; (5) developing materials into multiple languages for national distribution; (6) providing outreach and information on fair housing issues related to persons living in, at-risk of entering, and leaving institutional and segregated settings through electronic media; and (7) creating outreach materials that may be adapted to a localizable format for re-use by other fair housing, non-profit, and advocacy organizations. Activities must include developing products that may be easily replicated in media, brochures, and other formats. In addition, applicants should look to a common theme in development of different types of fair housing materials to ensure a consistent message across media. Materials must be new materials. Products must be provided in appropriate tone and audience-focused and deliverables must be provided in electronic/digital format or other format suitable for internet access and must be Section 508 compliant.

#### General Component (EOI-G)

- Amount Available - \$5,923,649
- Applicant Eligibility - QFHOs, other FHOs, and other nonprofit organizations representing groups of persons protected under Title VIII of the Civil Rights Act of 1968, agencies of State or local governments and agencies certified by the Secretary under section 810(f) of the Fair Housing Act or other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices.
- Project Period - 12-18 months
- Award Caps - Up to \$125,000



- Eligible Activities - Eligible activities are those that inform people of their rights or responsibilities under the Fair Housing Act that may include, but are not limited to: developing and distributing fair housing material (i.e. brochures, webinars, and social media advertising); conducting educational symposia or other training; and providing fair housing information outreach through printed and electronic media into languages applicable to communities in the proposed project area (i.e. Public Service Announcements for radio, television, and newspaper advertisements).
- Applicants should look to a common theme in the development of all fair housing material. All activities must have a direct connection to the Fair Housing Act or issues that may violate the Act. Housing Counseling Services are not eligible activities.
- Applicants must describe a referral process that will result in referral of fair housing complaints to HUD or FHAP substantially equivalent agencies. If funded, the grantee will have to develop and implement the complaint referral process referenced in the application.

### **B. Ineligible Applicants.**

HUD does not award grants to individuals. HUD will not evaluate applications from ineligible applicants, including those that do not meet the threshold, statutory and regulatory, or program eligibility requirements under III.D, E and F.

### **C. Cost Sharing or Matching.**

This Program does not require cost sharing or matching.

### **D. Threshold Eligibility Requirements.**

Applicants who fail to meet any of the following threshold eligibility requirements will be deemed ineligible. Applications from ineligible applicants will not be evaluated.

**1. Resolution of Civil Rights Matters.** Outstanding civil rights matters must be resolved before the application deadline. Applicants, who after review are confirmed to have civil rights matters unresolved at the application deadline, will be deemed ineligible. Their applications will receive no further review, will not be rated and ranked, and they will not receive funding.

- a. Applicants having any of the charges, cause determinations, lawsuits, or letters of findings referenced in subparagraphs (1) – (5) that have not been resolved to HUD’s satisfaction before or on the application deadline date are ineligible for funding. Such matters include:
  1. Charges from HUD concerning a systemic violation of the Fair Housing Act or receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of a substantially equivalent state or local fair housing law proscribing discrimination because of race, color, religion, sex, national origin, disability or familial status;
  2. Status as a defendant in a Fair Housing Act lawsuit filed by the Department of Justice alleging a pattern or practice of discrimination or denial of rights to a group of persons raising an issue of general public importance under 42 U.S.C. 3614(a);
  3. Status as a defendant in any other lawsuit filed or joined by the Department of Justice, or in which the Department of Justice has intervened, or filed an amicus brief or statement of interest, alleging a pattern or practice or systemic violation of Title VI

of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974, the Americans with Disabilities Act or a claim under the False Claims Act related to fair housing, non-discrimination, or civil rights generally including an alleged failure to affirmatively further fair housing;

4. Receipt of a letter of findings identifying systemic non-compliance with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Section 109 of the Housing and Community Development Act of 1974; or the Americans with Disabilities Act; or
  5. Receipt of a cause determination from a substantially equivalent state or local fair housing agency concerning a systemic violation of provisions of a state or local law prohibiting discrimination in housing based on sexual orientation, gender identity, or lawful source of income.
- b. HUD will determine if actions to resolve the charge, cause determination, lawsuit, or letter of findings taken before the application deadline date will resolve the matter. Examples of actions that may be sufficient to resolve the matter include, but are not limited to:
1. Current compliance with a voluntary compliance agreement signed by all the parties;
  2. Current compliance with a HUD-approved conciliation agreement signed by all the parties;
  3. Current compliance with a conciliation agreement signed by all the parties and approved by the state governmental or local administrative agency with jurisdiction over the matter;
  4. Current compliance with a consent order or consent decree;
  5. Current compliance with a final judicial ruling or administrative ruling or decision; or
  6. Dismissal of charges.

**2. Timely Submission of Applications.** Applications submitted after the deadline stated within this NOFO that do not meet the requirements of the grace period policy will be marked late. Late applications are ineligible and will not be considered for funding. See Section IV. D. Application Submission Dates and Times.

3. **Minimum Score.** Applicants must receive a minimum Technical Evaluation Panel (TEP) score of 75 points to be considered for funding, not including preference points.

4. **Eligible Activities.** When the majority of the proposed activities are ineligible (more than 50%) HUD will not fund the application.

5. **Suits Against the United States.** An application is ineligible for funding if, as a current recipient of FHIP funds (within the last three years), the organization used any funds provided by HUD for the payment of expenses in connection with litigation against the United States (24 CFR 125.104(f)).

6. **Other Litigation.** An application is ineligible for funding if the organization proposes in its current application or used FHIP funds provided by HUD (within the last three years) under this Program to fund or support the settlement of a claim, satisfy a judgment, or fulfill a court order in any defensive litigation (42 U.S.C. 3616 note).

Only applicants deemed eligible will be ranked and rated. Applicants that do not meet one or more of the threshold requirements will not be eligible for funding.

## **E. Statutory and Regulatory Requirements Affecting Eligibility.**

### **Eligibility Requirements for Applicants of HUD's Grants Programs**

The following requirements affect applicant eligibility. Detailed information on each requirement is posted on [HUD's Funding Opportunities Page](#).

- Active Prime and Sub Recipient registration with SAM.gov
- Outstanding Delinquent Federal Debts
- Debarments and/or Suspensions
- Pre-selection Review of Performance
- Sufficiency of Financial Management System
- False Statements
- Mandatory Disclosure Requirement
- Prohibition Against Lobbying Activities
- Equal Participation of Faith-Based Organizations in HUD Programs and Activities

## **F. Program-Specific Requirements.**

Applicants who fail to meet any of the following Program-Specific eligibility requirements will be deemed ineligible. Applications from ineligible applicants will not be evaluated.

1. Fair Housing Related Activities. All program activities/tasks and costs within the SOW and budget must be fair housing related activities and must demonstrate an explicit connection to furthering the non-discrimination provisions of the Fair Housing Act. HUD will not fund any portion of an application that is not eligible for funding under the regulatory requirements or that does not meet the requirements under this NOFO. Only the eligible portions of a successful application will be funded.
2. Fair Housing Act/Protected Classes. All FHIP-funded projects must address housing discrimination based on race, color, religion, sex, disability, familial status, and/or national origin and further the non-discrimination provisions of the Fair Housing Act. All services and activities must be available to all protected class members. The application's proposed activities must reflect the commitment to address housing discrimination affecting all the protected classes listed above.
3. For EOI-G Applicants only. Applicants must describe a referral process that will result in referral of fair housing complaints to HUD or FHAP substantially equivalent agencies. If funded, the grantee will have to develop and implement the complaint referral process referenced in the application.
4. For EOI-G Applicants only. If the application has income restrictions for services, the application must identify the restriction, and describe how individuals who fall outside of the restrictions will be equally served and how that service is provided. If not provided, the applicant will be deemed ineligible. HUD reserves the right to request proof if the organization is selected for funding.
5. HUD will not fund an organization if the parent or its affiliate/subsidiary organization has an open grant (previously funded) under the same EOI component. In addition, HUD will not fund both a parent organization and its affiliate/subsidiary organization under this NOFO for the same component. In addition, HUD will not allow affiliate and parent organization staff sharing protocols whereby the parent organization shares key staff with an affiliate(s). All funded organizations must be fully independent

functional organizations, e.g., able to conduct business on an on-going basis without support from parent or its staff to complete proposed program activities and set apart from parent organization.

6. Co-applicants or members of a consortium must each meet the eligibility requirements of this NOFO or the applicant will be deemed ineligible. All applicants must be eligible as defined in Section III A.
7. If an applicant is applying as a 501(c)(3), the applicant must be a 501(c)(3) tax-exempt organization as determined by the Internal Revenue Service (IRS) prior to the application deadline date to be eligible for funding. Applications must include an IRS report showing 501(c)(3) status. If an applicant's 501(c)(3) status has expired prior to application deadline date, the application must show that the organization has applied for renewal of tax-exempt status and that the application is pending. If 501(c)(3) status has expired and there is no information regarding the application for renewal in the organization's application at the deadline date, the applicant will be deemed ineligible. In addition, if an organization with a pending 501(c)(3) application is selected for a funding award, the applicant must provide proof that the 501(c)(3) renewal is approved prior to release of funding. If the applicant's pending application for renewal is subsequently denied, the applicant's organization will be deemed ineligible and the award selection will be rescinded. Further, the applicant must maintain 501(c)(3) status throughout the grant period.
8. Fund Request exceeding the Maximum Amount. Applicants may not request funding over the maximum amount allowed under the initiative or component for which they have applied, or the applicant will be deemed ineligible.
9. Inconsistencies in the Requested Amount or Miscalculations. Inconsistencies in the requested amount and/or miscalculations that result in amounts over the maximum award amount will be considered excessive and the application will be deemed ineligible.
10. Performance. An organization is deemed ineligible for funding under this NOFO if it received a "poor" rating on its last or most recent performance assessment on a prior FHIP grant for any initiative/component. The organization will remain ineligible for a period of two years after the NOFO closure date unless the organization provides evidence that the rating was overturned through an appeal or that another resolution was accepted by the Government Technical Representative/Government Technical Monitor (GTR/GTM) to improve future performance.

In addition, if an applicant receives a "poor" performance rating on another FHIP grant after selection and receipt of funding under this NOFO, all remaining FHIP grant funds received under this NOFO will be rescinded and the organization will be deemed ineligible. The organization will remain ineligible for a period of two years after receipt of the "poor" performance rating unless the organization provides evidence that the rating was overturned through an appeal or that another resolution was accepted by the GTR/GTM to improve future performance.

11. FHAP agencies under a suspension or performance improvement plan, as designated under 24 CFR Part 115.210(a) and (b) at the time of application submission are ineligible for funding unless the performance issues are resolved to HUD's satisfaction before the application deadline.

12. Research/Survey Activities. Applicants are ineligible for funding if their project is aimed solely at research or data gathering (such as Audit Testing). If a successful application contains research and other activities, only the eligible portions of the application will be funded. Proposed surveys or data gathering must be approved by HUD or OMB under the Paperwork Reduction Act before the application submission, or the activity is deemed ineligible.
13. Applicants must submit a separate application for each component and/or initiative for which the applicant applies.
14. Applicants and recipients are required to submit assurances of compliance with federal civil rights requirements. *See, e.g.*, Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments Act of 1972, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975; *see also* 24 C.F.R. §§ 1.5; 3.115; 8.50; and 146.25. HUD accepts these assurances in the form of the SF-424B and SF-424D, which also require compliance with all general federal nondiscrimination requirements in the administration of the grant.

Only applicants deemed eligible will be ranked and rated.

This program requires a certification of Consistency with the Consolidated Plan under 24 CFR 91.2. This certification means the proposed activities in the application are consistent with the jurisdiction's strategic plan, and the location of the proposed activities is consistent with the geographic areas specified in the Consolidated Plan.

The Consolidated Plan also includes the jurisdiction's certification to affirmatively further fair housing, which means jurisdictions must comply with the regulations with respect to conducting Analyses of Impediments to Fair Housing Choice, as applicable. Under 24 CFR 91.510, for competitive programs, a certification of consistency of the application with the approved consolidated plan for the jurisdiction may be required, whether the applicant is the jurisdiction or another applicant. If you fail to provide the certification, and you do not cure the omission as a curable deficiency, HUD will not fund the application.

**Participative Planning and Implementation:** Applicants must identify the steps they will take to ensure that traditionally marginalized populations (such as racial and ethnic minorities and persons with disabilities) will be able to meaningfully participate in the planning process. The applicant must identify the specific populations that it will include, identify community organizations that represent these populations, and describe how these populations will be included in the planning process. For capital investment projects, grantees should commit to and demonstrate plans to employ low-income and very low-income persons and/or utilize Section 3 businesses at levels beyond those required by Section 3. In seeking public participation, applicants and recipients must ensure that all communications are provided in a manner that is effective for persons with hearing, visual, and other communication-related disabilities consistent with Section 504 of the Rehabilitation Act of 1973 and, as applicable, the Americans with Disabilities Act. In addition, Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000d and Executive Order 13166 require that grantees take reasonable steps to ensure meaningful access to services, programs, and activities by persons with Limited English Proficiency (LEP persons).

## **G. Criteria for Beneficiaries.**

**1. Single Audit Requirement.** All applicants who have expended \$750,000 or more in Federal financial assistance within a single year (this can be a program or fiscal year) must be audited in accordance with the requirements as established in 2 CFR Part 200.501. If an applicant receives \$750,000 or more in Federal financial assistance, the application must set aside funds to complete the audit.

**2. Dun and Bradstreet Numbering System.** (DUNS) Numbering Requirement (refer to the [www.Sam.gov](http://www.Sam.gov) website). The DUNS number used in the application must be for the applicant organization identified in Box 8a on the SF-424, Application for Federal Financial Assistance.

**3. Independence of Awards.** The application submitted must be independent and capable of being implemented without reliance of other applications, or other grantees(s), including staff sharing protocols of key staff. Applicants applying under one of the FHIP Initiatives may not use the performance (e.g., performance review rating or successfully completed activities) of another organization to meet the requirements of Rating Factor 1, Staffing and Organization Experience.

**4. Training Funds.** The applicant's proposed budget must set aside \$5,000 annually to be utilized to participate in HUD sponsored mandatory or approved training. Grants that have a performance period of 12-18 months are considered 12-month grants for purposes of this requirement. Do not specify amounts over \$5,000 for this training set-aside under the applicant's budget submission.

If an applicant is awarded more than one FHIP grant (under more than one component), HUD reserves the right to examine the requested training needs. If additional training funds are not needed, HUD reserves the right to transfer funds back to fair housing activities during negotiations.

**5. Grant Closeouts.** Closeouts should be undertaken as specified in 2 CFR 200.343 "Closeouts".

## **IV. Application and Submission Information.**

### **A. Obtaining an Application Package.**

#### **Instructions for Applicants.**

You must download both the Application Instructions and the Application Package from Grants.gov. You must verify that the Assistance Listing Number and Assistance Listing Description on the first page of the Application Package, and the Funding Opportunity Title and the Funding Opportunity Number match the Program and NOFO to which you are applying.

The Application Package contains the portable document forms (PDFs) available on Grants.gov, such as the SF-424 Family. The Instruction Download contains official copies of the NOFO and forms necessary for a complete application. The Instruction Download may include Microsoft Word, Microsoft Excel and additional documents.

An applicant demonstrating good cause may request a waiver from the requirement for electronic submission, for example, a lack of available Internet access in the geographic area in which your business offices are located. Lack of SAM registration or valid DUNS Number is not good cause. If you cannot submit your application electronically, you must ask in writing for a waiver of the electronic grant submission requirements. HUD will not grant a waiver if HUD does not receive your written mailed, shipped, or emailed request at least 15 calendar days before the

application deadline and if you do not demonstrate good cause. If HUD waives the requirement, HUD must receive your paper application before the deadline of this NOFO. To request a waiver, you must contact:

Name:

Kimberly Harley

Email:

Kimberly.R.Harley@hud.gov

HUD Organization:

FHEO

Street:

451 7th Street SW

City:

Washington

State:

DC DISTRICT OF COLUMBIA

Zip:

20410

All waiver requests will be submitted to FHEO's Assistant Secretary for a final determination. If you are granted a waiver, the notification will provide instructions on where to submit the application and how many copies are required. If you receive a waiver of the electronic application submission requirement, your paper copy application must be received by HUD in room 5222B no later than 4:30 pm eastern time on the application deadline date. HUD applications are scanned. The scanning facility at HUD Headquarters closes at 5:00 pm eastern standard time. Applicants must allow adequate time for the application to be scanned through this facility. Applications not received in 5222B by the 4:30 pm Eastern Time deadline date shall be deemed ineligible. There is no grace period for paper application submissions.

HUD will not accept a paper application without a waiver being granted and such paper applications will be returned to sender.

## **B. Content and Form of Application Submission.**

You must verify that boxes 11, 12, and 13 on the SF-424 match the NOFO for which you are applying. If they do not match, you have downloaded the wrong Application Instruction and Application Package.

Submission of an application that is otherwise sufficient, under the wrong Assistance Listing and Funding Opportunity Number is non curable unless otherwise stated in Threshold requirements.

### **1. Content.**

<b>Forms/Assurances/Certifications</b>	<b>Submission Requirement</b>	<b>Notes/Description</b>
Application for Federal Assistance (SF424)	Submission is required for all applicants by the application due date.	
Disclosure of Lobbying Activities (SFLLL), if applicable	HUD will provide instructions to grantees on how the form is to be submitted.	If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award.
HUD Applicant Recipient Disclosure Report (HUD) 2880 Applicant/Recipient Disclosure/Update Report	HUD will provide instructions to grantees on how the form is to be submitted.	HUD instructions to grantees are provided by webcast, To view the webcast, click here.
Application for Federal Assistance and Assurances - Non-Construction Programs	Form SF-424 and SF-424B. Applicants must include the nine-digit zip code (ZIP code plus four digits) associated with the applicant's address in box 8d of	Both forms are required. The SF-424B Covers compliance with Federal legal requirements such as age and sex discrimination, environment standards and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA)



<b>Forms/Assurances/Certifications</b>	<b>Submission Requirement</b>	<b>Notes/Description</b>
	the SF-424. Also, in Box 15, along with the Initiative, an applicant should include the Component to which you are applying.	
Survey for Ensuring Equal Opportunity for Applicants	Based EEO Survey (HUD424SUPP); (per required form). Non-profit private organizations (not including private universities) are encouraged to submit the survey with their application. Applicants applying electronically, may submit this survey along with the application as part of the appendix or as a separate document.	Encouraged Form.
Race and Ethnic Data Reporting Form	HUD-27061	Required form - post award
Budget Information	HUD Form 424-CBW ("HUD Detailed Budget Form and Worksheet)	Required form
Certification Regarding Lobbying	All applicants (except as noted below) must submit with their	The Byrd Amendment and 24 CFR part 87 prohibit recipients of federal awards from using appropriated funds for lobbying

<b>Forms/Assurances/Certifications</b>	<b>Submission Requirement</b>	<b>Notes/Description</b>
	application the signed Certification Regarding Lobbying included in the download from Grants. gov. Federally-recognized Indian tribes and tribally designated housing entities (TDHEs) established by federally-recognized Indian tribes are excluded from coverage of the Byrd Amendment.	the executive or legislative branches of the Federal government in connection with a specific award.
Certification of Consistency with Promise Zone Goals and Implementation, if applicable.	HUD Form 50153 Promise Zone Certification	This form is required if applicant would like to apply for Promise Zone Bonus points.
Opportunity Zones	HUD Form 2996 Opportunity Zones Certification	This form is required if applicant would like to apply for Opportunity Zone Bonus points.

Additionally, your complete application must include the following narratives and non-form attachments.

Additionally, applicants must include the following narratives and non-form attachments.

- Provide separate narrative responses to the Factor 1-4 criteria for each factor as described in Section V, "Application Review Information"
- Project Abstract (one-page summary – must include the Initiative and Component applied, amount of funding requested, statement identifying if the organization is a new FHIP applicant, general description of project activities, areas of concentration, and issues pending resolution, if any)
- SOW Narrative and SOW chart (see Appendix A for sample chart)
- Budget Work Plan Narrative and Form Grant Application Detailed Worksheet (HUD 424-CBW)
- Any Rating Factor charts required under Section V of this NOFO

- Complete Appendix B, EOI Eligibility Certification and submit it as a part of your application

Further, all applicants must read and adhere to Initiative and Component specific submission requirements under Section III, Eligibility. Applicants must submit a separate completed electronic application for each Initiative and Component for which the applicant applies. All applications must be submitted on or before the deadline date.

## **2. Format and Form.**

Narratives and other attachments to your application must follow the following format guidelines.

\_\_\_\_\_ Pages maximum length of narratives

- a. The maximum length of the narrative response is ten (10) pages for each separate factor. Applicants may submit fewer pages if desired. The narrative pages must be double-spaced. This includes all text, titles and headings. Applicants must use 12-point font and respond fully to each rating factor to obtain maximum points. Failure to provide narrative responses to each rating factors or omitting requested information will result in less than the maximum points available being awarded for the rating factor or sub-factor. The following items do not count towards the 10page maximum: SOW and Budget and Factor 1 Organization Experience chart(s).
- b. If an applicant cannot access the toll-free number or experience problems, please call (215) 825-8798 (this is not a toll-free number). Failure to do so will result in the application being rejected by the Grants.gov system with a "VIRUS DETECT" error message.

## **C. System for Award Management (SAM) and Dun and Bradstreet Universal Numbering System (DUNS) Number.**

### **1. SAM Registration Requirement.**

Applicants must be registered with <https://www.sam.gov/> before submitting their application. Applicants must maintain current information in SAM on immediate and highest-level owner and subsidiaries, as well as on all predecessors that have been awarded a Federal contract or grant within the last three years, if applicable. Information in SAM must be current for all times during which the applicant has an active Federal award or an application or plan under consideration by HUD.

### **2. DUNS Number Requirement.**

Applicants must provide a valid DUNS number, registered and active at <https://www.sam.gov/> in the application. DUNS numbers may be obtained for free from Dun & Bradstreet.

### **3. Requirement to Register with Grants.gov.**

Anyone planning to submit applications on behalf of an organization must register at [grants.gov](https://www.grants.gov/) and be approved by the E-Biz POC in SAM to submit applications for the organization. Registration for SAM and [grants.gov](https://www.grants.gov/) is a multi-step process and can take four (4) weeks or longer to complete if data issues arise. Applicants without a valid registration cannot apply through [grants.gov](https://www.grants.gov/). Complete registration instructions and guidance are provided on [grants.gov](https://www.grants.gov/).

## D. Application Submission Dates and Times.

### Application Due Date Explanation

The application deadline is 11:59:59 PM Eastern Standard time on

06/14/2021

Applications must be received no later than the deadline, or, if HUD has issued you a waiver allowing you to submit your application in paper form, by HUD no later than the deadline.

Submit your application to Grants.gov unless a waiver has been issued allowing you to submit your application in paper form. Instructions for submitting your paper application will be contained in the waiver of electronic submission.

"Received by Grants.gov" means the applicant received a confirmation of receipt and an application tracking number from Grants.gov. Grants.gov then assigns an application tracking number and date-and timestamps each application upon successful receipt by the Grants.gov system. A submission attempt not resulting in confirmation of receipt and an application tracking number is not considered received by Grants.gov.

Applications received by Grants.gov must be validated by Grants.gov to be received by HUD.

"Validated by Grants.gov" means the application has been accepted and was not rejected with errors. You can track the status of your application by logging into Grants.gov, selecting "Applicants" from the top navigation, and selecting "Track my application" from the dropdown list. If the application status is "rejected with errors," you must correct the error(s) and resubmit the application before the 24-hour grace period ends. Applications in "rejected with errors" status after the 24-hour grace period expires will not be received by HUD. Visit Grants.gov for a complete description of processing steps after applying.

HUD strongly recommends you submit your applications at least **48 hours before the deadline** and during regular business hours to allow enough time to correct errors or overcome other problems.

**Grants.gov Customer Support.** Grants.gov provides customer support information on its website at <https://www.grants.gov/web/grants/support.html> . Applicants having difficulty accessing the application and instructions or having technical problems can receive customer support from Grants.gov by calling (800) 518-GRANTS (this is a toll-free number) or by sending an email to [support@grants.gov](mailto:support@grants.gov). The customer support center is open 24 hours a day, seven days per week, except Federal holidays. The phone number above may also be reached by individuals who are deaf or hard of hearing, or who have speech disabilities, through the Federal Relay Service's teletype service at 800-877-8339.

You can verify the contents of your submitted application to confirm Grants.gov received everything you intended to submit. To verify the contents of your submitted application:

- Log in to Grants.gov.
- Click the Check Application Status link, which appears under the Grant Applications heading in the Applicant Center page. This will take you to the Check Application Status page.

- Enter search criteria and a date range to narrow your search results.
- Click the Search button. To review your search results in Microsoft Excel, click the Export Data button.
- Review the Status column, to view more detailed submission information, click the Details link in the Actions column.
- To download the submitted application, click the Download link in the Actions column.

Please make note of the Grants.gov tracking number as it will be needed by the Grants.gov Help Desk if you seek their assistance.

HUD may extend the application deadline for any program if Grants.gov is offline or not available to applicants for at least 24 hours immediately prior to the deadline date, or the system is down for 24 hours or longer and impacts the ability of applicants to cure a submission deficiency within the grace period.

HUD may also extend the application deadline upon request if there is a presidentially declared disaster in the applicant's area.

If these events occur, HUD will post a notice on its website establishing the new, extended deadline for the affected applicants. HUD will also include the fact of the extension in the program's Notice of Funding Awards required to be published in the Federal Register.

In determining whether to grant a request for an extension based on a presidentially-declared disaster, HUD will consider the totality of the circumstances including the date of an applicant's extension request (how closely it followed the basis for the extension), whether other applicants in the geographic area are similarly affected by the disaster, and how quickly power or services are restored to enable the applicant to submit its application.

**PLEASE NOTE:** Busy servers, slow processing, large file sizes, improper registration or password issues are not valid circumstances to extend the deadline dates or the grace period.

### **1. Amending or Resubmitting an Application.**

Before the submission deadline, you may amend a validated application through Grants.gov by resubmitting a revised application containing the new or changed material. The resubmitted application must be received and validated by Grants.gov by the applicable deadline.

If HUD receives an original and a revised application for a single proposal, HUD will evaluate only the last submission received by Grants.gov before the deadline.

### **2. Grace Period for Grants.gov Submissions.**

If your application is received by Grants.gov before the deadline, but is rejected with errors, you have a grace period of 24 hours after the application deadline to submit a corrected, received, and validated application through Grants.gov. The date and time stamp on the Grants.gov system determines the application receipt time. Any application submitted during the grace period not received and validated by Grants.gov will not be considered for funding. There is no grace period for paper applications.

### **3. Late Applications.**

An application received after the NOFO deadline date that does not meet the Grace Period requirements will be marked late and will not be received by HUD for funding consideration. Improper or expired registration and password issues are not causes that allow HUD to accept applications after the deadline.

### **4. Corrections to Deficient Applications.**

HUD will not consider information from applicants after the application deadline.

HUD will uniformly notify applicants of each curable deficiency. See curable deficiency in the definitions section (Section I.A.3). Examples of curable (correctable) deficiencies include inconsistencies in the funding request and failure to submit required certifications. These examples are non-exhaustive.

When HUD identifies a curable deficiency, HUD will notify the authorized organization representative identified on the SF 424 Application for Federal Assistance via email. This email is the official notification of a curable deficiency.

Applicants must email corrections of curable deficiencies to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) within the time limits specified in the notification. The time allowed to correct deficiencies will be no less than 48 hours and no more than 14 calendar days from the date of the email notification. The start of the cure period will be the date stamp on the email sent from HUD. If the deficiency cure deadline date falls on a Saturday, Sunday, Federal holiday, or on a day when HUD's Headquarters are closed, then the applicant's correction must be received on the next business day HUD Headquarters offices in Washington, DC are open.

The subject line of the email sent to [applicationsupport@hud.gov](mailto:applicationsupport@hud.gov) must state: Technical Cure and include the Grants.gov application tracking number or the GrantSolutions application number (e.g., Subject: Technical Cure - GRANT123456 or Technical Cure - XXXXXXXXXXXX). If this information is not included, HUD cannot match the response with the application under review and the application may be rejected due to the deficiency.

Corrections to a paper application must be sent in accordance with and to the address indicated in the notification of deficiency. HUD will treat a paper application submitted in accordance with a waiver of electronic application containing the wrong DUNS number as having a curable deficiency. Failure to correct the deficiency and meet the requirement to have a DUNS number and active registration in SAM will render the application ineligible for funding.

**5. Authoritative Versions of HUD NOFOs.** The version of these NOFOs as posted on Grants.gov are the official documents HUD uses to solicit applications.

**6. Exemptions.** Parties that believe the requirements of the NOFO would impose a substantial burden on the exercise of their religion should seek an exemption under the Religious Freedom Restoration Act (RFRA).

## E. Intergovernmental Review.

This program is not subject to Executive Order 12372, Intergovernmental Review of Federal Programs.

## F. Funding Restrictions.

1. **Retainer Fees.** FHIP recipients are under specific restrictions (outlined in the grant agreement documents) regarding establishment of retainer agreements and recovery of legal fees from HUD-funded cases. Data on fees, settlements, and verdicts are matters of public record. Awardees must provide this information to HUD annually. Neither the grantee nor the individual(s) on whose behalf any action is filed can request that HUD waive these provisions.
2. **Number of Awards.** An organization may not receive more than one award under a single component. This restriction includes two organizations with separate EIN's that apply for separate funding under a single component but identify more than one of the same direct personnel and/or key staff for both organizations (i.e. key staff sharing). FHIP will fund only one organization. This restriction ensures FHIP's commitment to continued program integrity by eliminating double payments under a single component to an organization or individuals. This restriction includes but is not limited to any organization affiliate, associate, subsidiary, or other organizations that engage in direct personnel and/or key staff sharing protocols.
3. **Performance Standards.** Applicants must maintain an annual performance assessment rating from the FHIP HUD GTR as "good" or funding may be reduced until performance issues are resolved and if unresolved, HUD has a right to rescind funding.
4. **Funding.** Grantees must use FHIP funds only for those activities funded under their grant agreement.
5. **Compensation.** Grantees cannot charge more than Level IV of the Federal Executive Schedule annually for an individual's compensation even if the individual earns more than the capped amount. The salary limitation for Executive Level IV is \$172,500 and was effective January 2021. This compensation cap does not require grantees to limit the amount paid to individuals under this grant; however, the individual's remaining compensation over the cap must be paid from an alternative organization funding source. HUD reserves the right to determine whether compensation is reasonable and customary for the skill set provided and the areas being served.
6. **Administrative Costs.** Salaries, fringe benefits and other administrative costs charged against the project or program element for the sole purpose of administering the grant/cooperative agreements shall not exceed 10% of the annual grant award (Federal and cost share). One hundred percent of the salaries and fringe benefits related to these functions are considered administrative costs. Examples of administrative costs include, but are not limited to: preparation and submission of grant applications, fiscal tracking of grants funds, maintaining project files and performance measures, collection and submission of deliverables accounting staff wages and benefits, building rent, consulting expenses, management wages and benefits (such as Executive Director and support staff), depreciation of office equipment, insurance, legal staff wages and benefits, office supplies. Building rent is eligible only under the following conditions: the rent must be for existing facilities not requiring rehabilitation or construction except for minimal alterations to make the facilities accessible for a person with disabilities; no repairs or

renovations of the property may be undertaken with funds under this NOFO; and properties in the Coastal Barrier Resources System designated under the Coastal Barrier Resources Act (16 U.S.C. 3501) cannot be leased or rented with federal funds.

Non-administrative Costs. Salaries and fringe benefits related to the implementation of the project or program element of the grant/cooperative agreement are not considered administrative costs. For example: the salaries and fringe benefits for technical staff to conduct work to accomplish specific Fair Housing goals as outlined in the program or project elements are not administrative costs.

Indirect Cost Rate.

### **Indirect Cost Rate.**

Normal indirect cost rules under 2 CFR part 200, subpart E apply. If you intend to charge indirect costs to your award, your application must clearly state the rate and distribution base you intend to use. If you have a Federally negotiated indirect cost rate, your application must also include a letter or other documentation from the cognizant agency showing the approved rate. Successful applicants whose rate changes after the application deadline must submit new rate and documentation.

Applicants other than state and local governments. If you have a Federally negotiated indirect cost rate, your application must clearly state the approved rate and distribution base and must include a letter or other documentation from the cognizant agency showing the approved rate. If your agency does not have a current negotiated rate (including provisional) rate and elects to use the de minimis rate, your application must clearly state you intend to use the de minimis rate of 10% of Modified Total Direct Costs (MTDC). As described in 2 CFR 200.403, costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

State and local governments. If your department or agency unit has a Federally negotiated indirect cost rate, your application must include that rate, the applicable distribution base, and a letter or other documentation from the cognizant agency showing the negotiated rate. If your department or agency unit receives more than \$35 million in direct Federal funding per year, you may not claim indirect costs until you receive a negotiated rate from your cognizant agency for indirect costs as provided in Appendix VII to 2 CFR Part 200.

If your department or agency unit receives no more than \$35 million in direct Federal funding per year and your department or agency unit has developed and maintains an indirect cost rate proposal and supporting documentation for audit in accordance with 2 CFR Part 200, Appendix VII, you may use the rate and distribution base specified in that indirect cost rate proposal. Alternatively, if your department or agency unit receives no more than \$35 million in direct Federal funding per year and does not have a current negotiated rate (including provisional) rate, you may elect to use the de minimis rate of 10% of MTDC. As described in 2 CFR 200.403,



costs must be consistently charged as either indirect or direct costs but may not be double charged or inconsistently charged as both. Once elected, the de minimis rate must be applied consistently for all Federal awards until you choose to negotiate for a rate, which you may apply to do at any time. Documentation of the decision to use the de minimis rate must be retained on file for audit.

Statutory or Regulatory Restrictions Apply – ONLY if a statute or regulation imposes restrictions that are different from the indirect cost rate requirements in 2 CFR 200, OR there has been a HUD-wide decision to prevent program offices from seeking an approved deviation from the negotiated rates under the conditions provided by 2CFR 200.414(c).

## **G. Other Submission Requirements.**

### **1. Application, Assurances and Certifications.**

Standard Form 424 (SF-424) Application for Federal Assistance Programs is the government-wide form required to apply for Application for Federal Assistance Programs, discretionary Federal grants and other forms of financial assistance programs. Applicants for this Federal assistance program must submit all required forms in the SF-424 Family of forms, including SF-424B (Assurances of Non construction Programs) or SF424D (Assurances for Construction Programs). Applications receiving funds for both non-construction programs and construction programs must submit both the SF-424B and SF-424D.

By signing the forms in the SF-424 either through electronic submission or in paper copy submission (for those granted a waiver), the applicant and the signing authorized organization representative affirm that they have reviewed the certifications and assurances associated with the application for Federal assistance and (1) are aware the submission of the SF-424 is an assertion that the relevant certifications and assurances are established and (2) acknowledge that the truthfulness of the certifications and assurances are material representations upon which HUD will rely when making an award to the applicant. If it is later determined the signing authorized organization representative to the application made a false certification or assurance, caused the submission of a false certification or assurance, or did not have the authority to make a legally binding commitment for the applicant, the applicant and the individual who signed the application may be subject to administrative, civil, or criminal action. Additionally, HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to Federally recognized Indian tribes, or Alaska native villages and those applicable to applicants other than federally recognized Indian tribes or Alaska native villages.

**Assurances.** By submitting your application, you provide assurances that, if selected to receive an award, you will comply with U.S. statutory and public policy requirements, including, but not limited to civil rights requirements. Applicants and recipients are required to submit assurances of compliance with federal civil rights requirements. *See, e.g.,* Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments Act of 1972, Section 504 of the Rehabilitation Act of 1973, and the Age Discrimination Act of 1975; *see also* 24 C.F.R. §§ 1.5; 3.115; 8.50; and 146.25. HUD accepts these assurances in the form of the SF-424B and SF-424D, which also require compliance with all general federal nondiscrimination requirements in the administration of the grant.

## V. Application Review Information.

### A. Review Criteria.

#### 1. Rating Factors.

The criteria for rating and ranking applications, and the maximum points for each Rating Factor are provided below. Applicants must provide responses to all four rating factors, or points will be deducted. The maximum number of Rating Factor points awarded under this NOFO is 100. Each factor has a maximum 10-page narrative limit unless otherwise specified by this NOFO.

In addition to the Rating Factor points, applicants may receive a maximum two additional points under Preference Points.

Rating Factors Summary	Points
Capacity of Applicant and Relevant Organization Experience	
<ul style="list-style-type: none"> <li>Description and expertise of staff</li> </ul>	20
<ul style="list-style-type: none"> <li>Organization Expertise</li> </ul>	16
Need/Distress/Extent of the Problem	
<ul style="list-style-type: none"> <li>Proposed Statement</li> </ul>	7
<ul style="list-style-type: none"> <li>Proposed Solution</li> </ul>	8
<ul style="list-style-type: none"> <li>Continued Need</li> </ul>	4
Soundness of Approach	
<ul style="list-style-type: none"> <li>Proposed Statement of Work and Information Requirements</li> </ul>	16
<ul style="list-style-type: none"> <li>Budget and Cost Estimates</li> </ul>	14
Achieving Results and Program Evaluation	
<ul style="list-style-type: none"> <li>Measurement</li> </ul>	5
<ul style="list-style-type: none"> <li>Evaluation Plan</li> </ul>	10
<b>TOTAL</b>	<b>100</b>
Preference Points - Promise Zone, Opportunity Zone Designation and/or Historically Black College or University (HBCU)	+2
<b>Maximum Points including Rating Factor and possible Preference Points</b>	<b>102</b>

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*\*Rating Factors include questions or point distributions unique to EOI components.*

**Rating Factor 1: Capacity of Applicant and Relevant Organizational Experience**

**Maximum Points: 36**

This factor addresses the extent to which the organization has the staff experience and organizational capacity necessary to successfully complete the proposed project for the duration of the grant performance period, including actively maintaining staff/consultants and/or contractors throughout this period. HUD will consider the extent to which the applicant clearly addresses:

**1) Description and expertise of staff (up to 20)**

The applicant narrative must describe staff expertise showing that the applicant organization will have sufficient and qualified staff available to complete the proposed activities. The applicant must:

describe the organization staffing plan including a proposal to add staff (employees) or contractors or consultants, if applicable. To receive full points under this factor, the plan must:

1. Demonstrate that the applicant organization has more than one current staff with fair housing related experience at the time that the application is submitted; and describe the organization's proposal to add staff and/or contractors and consultants to complete the proposed project. The application description must demonstrate that the applicant organization is independent and capable of implementing the proposed project without relying on other applications, or other grantee(s), including sharing of key staff. Applicants may not use the performance (e.g. performance review rating or successfully completed activities) of another organization to meet the requirements of this Rating Factor. The applicant must have current key staff on hand to receive points under this factor. In addition, if the applicant organization or applicant staff is sharing activities with other FHIP funded organizations, the applicant must list the name of the organization sharing activities, and the role and time staff devotes to all FHIP related activities that the organization/staff is undertaking, including as a grantee, sub-grantee, contractor, consultant, or any other capacity. This information may be provided in a chart and will not be included within the 10-page limit. (up to six points).

Failure to provide information that demonstrates that the applicant organization has current sufficient staff to complete the proposed project will result in the applicant receiving 0 points under Factor 1.

2. The staffing plan should identify each staff assigned to the proposed project by name and position, and the percentage of estimated time that each will devote to the proposed project. This description must also identify a current proposed Project Manager, by name and title, and percentage of time that the individual will devote to the proposed project. The Project Manager must be currently on staff and devote at least 30 percent of time to the proposed project. Points will be deducted if less time is identified. The plan must also describe how the applicant will evaluate and provide oversight for each contractor, if applicable.

If applicable, the applicant must further identify FY 2018, FY 2019 and FY 2020 FHIP grant awards, and identify each staff person assigned to the projects, including staff name, position and percentage of time devoted to those project(s). Staff time and effort under each Initiative/Component must represent time and effort over the entire grant period. Applicants who are applying for, or currently have multiple open FHIP grants must describe how the applicant will manage, operate, and maintain activities, performance requirements and timetables for these multiple grants. The applicant's list or chart does not count towards the 10-page limit for Factor 1. Applicants will not be deducted points for not identifying these grant awards and sub-grantee projects if this section is not applicable. (up to six points)

3. Describe each key staff person's experience as it relates to the proposed project position. This should include fair housing related experience for any proposed fair housing related professional. The staffing plan must describe how all key staff (fair housing or otherwise) experience contributes to the proposed project. Please do not include resumes. For proposed hires, the applicant must identify the position, percentage of time the proposed staff will devote to the project, and the position requirements that will assist the organization in successful project completion. For proposed consultants, contractors or partnerships, the applicant must describe experience that contributes to the organization completing the proposed activities, including any fair housing related experience, if applicable. (up to four points)
4. Describe applicant organization staff experience and expertise in providing fair housing or similar training or disseminating information to community, college, university, public or other large audiences. The applicant also must describe specific staff experience in developing or updating training material, or other effective methods for outreach or training to large audiences that will contribute to the proposed project. (up to four points)

**2) Organizational Experience (up to 16 points).** The applicant must describe the organization's ability to complete the proposed project within the grant period and show the effectiveness of the project:

- a) Show that the applicant organization has conducted past project(s) similar in scope and complexity (whether FHIP funded or not) to the project proposed or engaged in activities that, although not necessarily similar, are readily transferable to the proposed project and the results. (up to four points)
- b) Describe the applicant organization's knowledge of and proximity to the targeted (service) area. (up to two points)
- c) Describe the applicant organization's independent "Specialized Experience" (up to 10 points). Please respond to the requirements below for the specific component for which the applicant is applying.

**EOI National Media Campaign Component Only.**

1. Describe the organizational resources necessary to successfully implement the proposed activities in a timely manner and the applicant's ability to develop and implement large information campaign projects as appropriate on a national level and a regional/local level. (up

to two points)

2. Describe staff experience in production of written materials relating to fair housing education and experience in public relations, including experience in production of materials in at least two languages other than English and in alternative formats for persons with disabilities. (up to three points)

3. Show the ability to develop, implement, make modifications as necessary, and manage an interactive online media campaign on a national and regional/local scale for members of the protected classes under the Fair Housing Act. The proposed campaign must provide a nexus between the Fair Housing Act and members of the protected classes under the Act. This capacity may be demonstrated via partnership with an organization that has comparable experience to undertake a national fair housing education, outreach and training program. (up to three points). However, if the applicant fails to meet this requirement, there will be a 10-point reduction and no points will be given under this section.

4. Demonstrate how the applicant organization represents groups of persons protected under the Fair Housing Act. (up to two points)

#### **EOI National Programs Component Only.**

1. Describe the organizational resources necessary to successfully implement the proposed activities in a timely manner and the applicant's ability to develop and implement large information/education campaign projects on a national level and a regional/local level. (up to two points)

2. Describe staff experience in production of written materials relating to fair housing education, including experience in the production of materials in at least two languages other than English and in alternative formats for persons with disabilities. (up to two points)

3. Show the ability to develop, implement, make modifications as necessary, and manage an education campaign, including developing and disseminating material on a national and regional/local scale to the targeted populations. This capacity may be demonstrated via partnership with an organization with comparable experience directing national education, outreach and training campaigns. (up to two points). If the applicant fails to meet this requirement, there will be a 10-point reduction and no points will be given under this section.

4. Demonstrate organization experience representing groups of persons protected under the Fair Housing Act, and direct experience addressing the fair housing needs of persons with disabilities under one or more of the focused areas: (1) housing discrimination encountered by individuals with disabilities leaving institutional or segregated settings; (2) housing discrimination encountered by individuals with cognitive/intellectual disabilities or mental illness; and (3) housing discrimination encountered by individuals with developmental disabilities (up to two points)

5. Describe organization experience conducting educational symposia and/or training, including developing, printing and distributing material utilizing various methods which may include printed and electronic media to effectively reach and deliver information to those individuals in the focused areas outlined above on a national level. (up to two points)

#### **EOI General Components Only.**

1. Describe how the organization set specific targets and measured results in providing outreach for past projects. (up to five points)

2. Describe experience in conducting educational symposia and/or training, including developing, printing and distributing material utilizing various methods which may include printed and electronic media to effectively reach and deliver information to groups with varying skill levels. (up to five points)

**Rating Factor 2: Need/Distress/Extent of the Problem**

**Maximum Points: 19**

This factor addresses the extent to which there is a need for funding the proposed activities, including addressing the needs of highly segregated, rural and/or underserved populations. The need(s) described must be relevant to the activities for which funds are being requested under factor (3); and must describe how you will meet the needs of highly segregated, rural and/or underserved populations.

**Applicants must address the specific criteria for the component in which the applicant is applying.**

**A. EOI National Media Campaign Component Only.**

**(1) Problem Statement (up to seven points).** Applicants must describe and document national fair housing needs:

a) Identify gaps in placement of advertising in areas of high segregation, high concentration of foreclosure, eviction, and other post COVID related issues and limited English proficiency, and rural or underserved populations.

**(2) Proposed Solution (up to eight points).** Applicants must describe how the proposed solution will meet the identified need:

a) Show how the proposed project will be measured in relation to the need identified.

b) Address how the project and distributing the material will increase the view and/or knowledge of fair housing for various targeted audiences and national audience, including those areas of high segregation, high concentration of limited English proficiency, rural or underserved populations.

c) Show how the proposal offers the most effective approach for meeting that national need.

d) Describe how the organization will monitor and track the results of the national project.

**(3) Continued Need (up to four points).** The applicant must:

a) Address the need for language assistance services in languages other than English that have been identified in your application. Print media and all other materials being disseminated to the public must be in English and at least two other languages.

**B. EOI National Programs Component - Disability Deinstitutionalization and Integration Educational Campaign Only.**

1. **Problem Statement (up to seven points).** The applicant must describe and document the national need to address housing discrimination against individuals with disabilities under one or more of the focused areas: (1) housing discrimination encountered by individuals with disabilities living in, at-risk of entering, or leaving institutional or segregated settings; (2) education on integrated housing opportunities for persons with disabilities.

The description of the national need will be used to evaluate the depth of the applicant's understanding of the fair housing problem as an indication of ability to address the problem.

The applicant's problem statement must also describe any gaps in addressing education and outreach needs specific to disability discrimination for the targeted population in underserved populations.

**(2) Proposed Solution (up to eight points).** Applicants must describe how the proposed solution will meet the identified need:

- a) Show how the proposed project will be measured in relation to the need identified.
- b) Address how the project and distributed material or training will increase the view and/or knowledge of fair housing rights for the targeted populations on a national level.
- c) Show how the proposal offers the most effective approach for meeting that national need.
- d) Describe how the organization will monitor and track the results of the national project.

**(3) Continued Need (up to four points).** The applicant must:

- a) Address the need for language assistance services in languages other than English that have been identified in your application. Print media and all other materials being disseminated to the public must be in English and at least two other languages.

### **C. EOI General Only.**

**(1) Problem Statement (up to seven points).** The applicant should define the housing need that the applicant has identified through the use of data/evidence which may include but is not limited to Census data, Assessments of Fair Housing, Analysis of Impediment to Fair Housing Choice (AI), other fair housing planning documents, Consolidated Plans or other, to support that a need exists. Applicants must:

- a) Describe the specific fair housing needs, including those areas of high segregation, high concentration of limited English proficiency, rural or underserved populations of the community or communities that will be addressed under this application.
- b) Address the target area's impediments to fair housing choice.

**(2) Proposed solution (up to eight points).** The applicant should describe the proposed solution to address the fair housing need identified above. Supporting evidence demonstrating the effectiveness of the proposed interventions is required. Evidence may include but is not limited to case studies, evaluation of applicant best practices, etc. Applicants must address the following:

- a) Describe how the applicant plans to address the identified fair housing need(s) and describe the link between the need and the applicant's proposed activities. This should include the presence of systemic housing discrimination, high segregation indices, or evidence of discrimination prohibited by the Fair Housing Act within the project area.
- b) Describe why the proposed intervention will be effective.
- c) Show how the use of any other relevant information from various studies done by HUD or any other reliable source (e.g. Consolidated Plan, AI/Assessment of Fair Housing, etc.) are used to support need to the proposed target area. Applicants should not submit copies of reports, but

should reference any reports, statistics or other data used by providing appropriate websites where the data was found. Reference information should be current within the last five years or up to 2 points will be deducted.

d) Address the need for language assistance services in languages other than English by describing the target population's language need and action the applicant will take to make the applicant's activities available to meet those needs. All printed material being disseminated to the public must be in English and at least two other languages. The application should briefly describe what added languages will be used based on the targeted population and how material will be translated and by whom.

**(3) Continued Need (up to four points)**

Describe the urgency of the fair housing needs, including any imminent adverse consequences to persons in the protected classes covered under the Fair Housing Act if not selected for funding.

**Rating Factor 3: Soundness of Approach**

**Maximum Points: 30**

This factor addresses the soundness, quality, and effectiveness of the proposed work plan and the commitment of the applicant to sustain the proposed project activities. Two sub-factor requirements make up the total possible points (up to 30 points) for Rating Factor 3: SOW, and Budget and Cost Estimates. In responding to the SOW sub-factor, the applicant's proposed activities (narrative and chart) should consider possible COVID-19 limitations, including but not limited to social distancing which may prohibit or limit the applicant's ability to complete the proposed project. The SOW should reflect program tasks which the applicant expects are reasonable for completion during the pandemic and in compliance with Centers for Disease Control guidelines which are current at the time of the application. If funded, the grantee is responsible for completing the SOW activities agreed upon during negotiations.

**a. Proposed SOW and Information Requirements (up to 16 points).** This sub-factor does not count toward the 10 page limit on the narrative. The sub-factor will be evaluated on the extent to which the applicant provides a clear detailed description of the proposed project goals/objectives, activities, and where applicable, proposed outcome measures. Applicants must submit a SOW narrative and a SOW chart (see Appendix A). Each SOW narrative and chart must be based on the specific EOI activities proposed in the organization's application.

1) The SOW narrative should identify quantifiable project goals and describe how those goals are related to each of the specific needs identified in Rating Factor 2 "Need/Extent of Problem." Goals are the results or outcomes the applicant aims to accomplish. The SOW narrative should also describe the proposed activities, specific deliverables and anticipated outcomes. (up to six points)

2) SOW Chart (Appendix A). All applicants should use the format outlined in Appendix A as an example to describe the organization's specific SOW tasks. The Administrative Tasks listed in Appendix A are standard tasks, but the applicant may edit the section to fit the applicant's specific tasks, including adding additional tasks specific to the proposed project. Under the Program Tasks section, each applicant should complete a detailed SOW based on the specific EOI activities proposed in the organization's application, including activities, tasks, and



proposed outcomes where applicable. The SOW chart must describe in detail:

- a) all proposed project activities and major tasks (up to three points),
- b) a list of team members/staff and partners as identified in Factor 1 who will be responsible and accountable for completing the task (up to three points),
- c) the steps to successfully implement the proposed project (up to two points), and
- d) the proposed outcomes where applicable (up to two points).

Applicants may receive up to six points for the submission of a complete SOW narrative and up to 10 points for a complete SOW chart.

In addition to the SOW requirements above, applicants who apply under the component(s) below must also include the following activities in their SOW and budget:

**(1) National Media Campaign Component Applicants.** The applicant must propose activities that effectively demonstrate a coordinated, comprehensive, and centralized educational and advertising campaign with an emphasis on social media targeting majority and minority markets. Social media campaign should be broad-based, utilizing the various social networking applications available. Applicants should only propose the development of new materials to address the gaps identified under Factor 2. Applicants should describe the ability to provide materials in a camera-ready format and in a form suitable for internet use and distribution for any new materials being developed under this project). All products developed under this campaign should have a common theme regarding the Fair Housing Act. An applicant's proposed deliverables may be modified by the GTR during the negotiation process based on the GTR and grantee's agreed maximum benefits to specific geographical areas, proposed target populations or other factors.

**(2) National Programs Component – Disability Deinstitutionalization and Integration Educational Campaign.** The applicant must propose activities that effectively demonstrate a coordinated, comprehensive, and centralized national educational program utilizing appropriate media, which may include social media, print, radio, public service announcement, and other broad-based methods, to conduct specific education and outreach projects consistent with fair housing laws that focus on integration and deinstitutionalization of persons with disabilities. Applicants should propose the development of new materials and describe the ability to provide materials in an electronic format and in a form suitable for internet use, physical use after reproduction, and national distribution. Products should be localizable for dissemination and use by other organizations. All products developed under this campaign should have a common theme. The SOW must describe an education and outreach program addressing the targeted populations and provide a detailed set of deliverables and time frames for the proposed activities designed to educate individuals with disabilities living in, at-risk of entering, and leaving institutional and segregated settings, the public, organizations, service providers, and housing providers about fair housing rights, forms of housing discrimination, actions to take when faced with discrimination, and integrated housing opportunities for persons with disabilities, focusing in particular on specific issues encountered by individuals living in, at-risk of entering, and leaving institutional and segregated settings.

An applicant's proposed deliverables may be modified by the GTR during the negotiation process based on the GTR and grantee's agreed maximum benefits to specific geographical areas, proposed target populations, or other agreed upon factors to further benefit the targeted population.

**b. Budget and Cost Estimates (up to 14 points)**

**For all applicants.** HUD will assess the soundness of the planned approach by evaluating the quality, thoroughness, necessity, cost effectiveness, and reasonableness of costs to carry out the project activities, and the rationale for the proposed budget and narrative. The applicant’s budget submission must include the applicant’s Narrative Budget Work Plan and 424 CBW Budget Worksheet; a narrative budget work plan for all sub-contractors or sub-recipients; and a budget worksheet for sub-contractors or sub-recipients whose budget amounts exceeds \$5,000. The applicant must include the 424-CBW.

1) The applicant’s budget narrative and 424 CBW must relate to the tasks in the SOW, including identifying key team members/staff and partners, as identified in Factor 1 who will be responsible and accountable for completing major tasks. In addition, the budget narrative should describe the organization’s Financial Management Capacity, including the organization’s financial management system and its Board’s role in financial management or oversight.

2) The applicant’s budget narrative must describe their organization’s capabilities in handling financial resources, disseminating payments to third parties, e.g. contractors, and maintaining adequate accounting and internal control procedures. In addition, applicants with current or prior FHIP grants should provide a list or chart of all HUD FHIP grants received since 2017, including the grant number, dollar amount awarded, the amount expended and obligated as of 30 days prior to the date the application is submitted and a description of the applicant’s activities and results (outcomes) achieved in the previous FHIP grant(s).

All Factor 3 criteria are exempt from the 10-page limit. Applicants may receive up to seven points for the Narrative Budget Plan and up to seven points for the 424 CBW worksheet. Under the 424 CBW score, there will be an automatic five-point reduction (in addition to any other point reduction(s)) if the applicant submits a 424 CB, but not the detailed 424 CBW.

**Rating Factor 4: Achieving Results and Program Evaluation**

**Maximum Points: 15**

The applicant should outline its strategy for evaluating and improving (if necessary) its program performance against proposed goals during the grant period.

The applicant must describe:

**For EOI-G and National Media Campaign Applicants:**

1. **Measurement** (up to five points) – What strategy or strategies will the organization utilize to measure its outputs and outcomes? Points are given to organizations that propose outputs, outcomes, and methods that can result in reliable, valid data. This description should include but is not limited to:

(a) quantifiable outputs and outcomes that measure progress on the goals identified in the Factor 3, SOW;

(b) data collection methods used to measure outputs and outcomes and the frequency of data collection;

(c) technological tools in place to capture, maintain, and share data; and

(d) an explanation of how the applicant's data will be validated.

Applicant outcomes should reflect the anticipated effect the organization's proposed activities will have on its beneficiaries. In other words, outcomes measure progress made towards achieving the program goals, while outputs are the measurable organization activities. An example output is the number of people expected to participate in education and outreach efforts or the number of people reached through such efforts.

2. **Evaluation Plan** (up to ten points) - What plan will be put in place to evaluate the proposed project and ensure the applicant makes progress on the stated objectives and goals? This description should include:

- thresholds in the output and outcome data that will be used to determine progress on objectives/goals and what, if any, improvements are necessary;
- timelines for continuous evaluation and adjustments as needed; and
- a description of how evaluation results will be used to increase efficiency and effectiveness of the program, including achievement of goals

Based on activities outlined in Appendix A, applicants should explain the impact of the grantee's activities on education and outreach efforts. Applicants should express how outputs achieve the outcomes desired or the intended impact of outputs on the outcomes desired.

If selected for funding, quarterly reports will capture the following information:

How many new clients were served based on your proposed number because of your education and outreach efforts?

How many clients were reached from methods, including conferences/presentations, mailings, internet ready materials, meetings/workshops, PSAs, publications, social network/websites, training etc.?

## **2. Other Factors.**

### **Preference Points**

HUD encourages activities in support of the Secretary's Initiatives. HUD may award no more than two (2) points for any of the three (3) preferences (OZ, PZ or HBCU). Each preference is worth two points and only one preference can apply to any situation, meaning that applicants will ONLY be awarded 2 points for being within an OZ, PZ or involving HBCUs.

### **Opportunity Zones.**

**Opportunity Zone (OZ) Certification:** HUD encourages activities in Opportunity Zones. To receive two (2) points for this preference, applicants proposing projects in an Opportunity Zone community must submit the Certification for Opportunity Zone Preference Points (HUD-2996) that identifies the state, county and census tract(s) of the opportunity zone(s) in which the activity will be carried out. To view the list of designated Opportunity Zones, please see the

following link on the U.S. Department of the Treasury website:  
<https://opportunityzones.hud.gov/>

Applicants who do not complete this form and submit it along with the rest of their application package will not be eligible to receive the points. Additionally, applicants who do complete and submit this form, but indicate they intend to use less than 50% of the award within Opportunity Zone tracts, will also be ineligible to receive preference points, unless: a.) the applicant can show why they are unable to expend at least 50% of the grant award within Opportunity Zone designated tracts, or b.) the applicant is able to make a compelling case for why the amount that will be expended will have a significant impact within Opportunity Zone designated tracts. [Click here to view HUD's Opportunity Zones webpage.](#)

### **HBCU.**

An applicant partnering with a Historically Black College or University (HBCU) will receive up to two (2) Preference Points when the application includes a Letter of Commitment certifying that an HBCU Partnership is in place and signed by an authorizing official of the HBCU and documentation of the college or university's status as an HBCU. [Click here to view the list of accredited HBCU's](#)

### **Promise Zones**

HUD encourages activities in Promise Zones (PZ). To receive Promise Zones Preference Points, applicants must submit form HUD-50153, "Certification of Consistency with Promise Zone Goals and Implementation," signed by the Promise Zone Official authorized to certify the project meets the criteria to receive preference points. To view the list of designated Promise Zones and persons authorized to certify, please see the [Promise Zone](#) pages on HUD's website.

## **B. Review and Selection Process.**

### **1. Past Performance**

In evaluating applications for funding, HUD will consider an applicant's past performance in managing funds. Items HUD will consider include, but are not limited to:

The ability to account for funds in compliance with applicable reporting and recordkeeping requirements;

Timely use of funds received from HUD;

Timely submission and quality of reports submitted to HUD;

Meeting program requirements;

Meeting performance targets as established in the grant agreement;

The applicant's organizational capacity, including staffing structures and capabilities;

Timely completion of activities and receipt and expenditure of promised matching or leveraged funds;

The number of persons served or targeted for assistance;

Promoting self-sufficiency and economic independence

Producing positive outcomes and results.

Encouraging participation of and coordination with faith-based entities.

The number of persons served or targeted for assistance.

HUD may reduce scores based on the past performance review, as specified under V.A. Review Criteria. Whenever possible, HUD will obtain past performance information. If this review results in an adverse finding related to integrity of performance, HUD reserves the right to take any of the remedies provided in Section III E., Statutory and Regulatory Requirements Affecting Eligibility, "Pre-selection Review of Performance" document link above.

## **2. Assessing Applicant Risk.**

In evaluating risks posed by applicants, HUD may use a risk-based approach and may consider any items such as the following:

- Financial stability;
- Quality of management systems and ability to meet the management standards prescribed in this part;
- History of performance. The applicant's record in managing Federal awards, if it is a prior recipient of Federal awards, including timeliness of compliance with applicable reporting requirements, failing to make significant progress in a timely manner, failing to meet planned activities in a timely manner, conformance to the terms and conditions of previous Federal awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards;
- Reports and findings from audits performed under Subpart F—Audit Requirements of this part or the reports and findings of any other available audits; and
- The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.

This section incorporates past performance with the assessment of an applicant's risk. For current FHIP grantees, past performance will be based on the applicant's most recent FHIP GTR Final Performance Assessment Report. GTR Final Performance Assessment Reports contain these items: (1) performance of all requirements under the grant, (2) acceptance of work performed, and (3) quality of performance. Up to seven points will be deducted from an applicant's overall rating score based on that assessment, as follows:

- **one** point if the applicant received less than an "Excellent"
- **five** points if the applicant received less than a "Good"
- **one** point if the applicant is deemed "High Risk" (i.e. new FHIP applicants)

An organization is deemed ineligible for funding under this NOFO if the organization received a "poor" rating on its last performance assessment on a prior FHIP grant for any initiative/component.

In addition, HUD evaluates the integrity of the applicant as reflected in government-wide websites, information in HUD's files, the federal Do Not Pay portal, public information and information received during HUD's Name Check Review process. If this integrity evaluation results in an adverse finding, HUD reserves the right to take any of the remedies provided in Section III.C.4.a.5, Do Not Pay website Review.

**3. Rating and Ranking.** Applicants may be funded under these **Education and Outreach Initiative (EOI) Components:**

**(1) National-Based Programs**

National Media Campaign Component (EOI-NMC) - \$1,000,000

National Programs Component – Disability Deinstitutionalization and Integration Educational Campaign (EOI-DDIEC) - \$300,000

**(2) Regional/Local/Community Based Program**

General Component (EOI-G) - \$5,923,649

**4. Maximum Score.** The maximum number of points to be awarded under this NOFO for the Rating Factors is 100. Applicants may also be awarded up to two (2) preference points for Promise Zones, Opportunity Zones and/or for HBCU collaboration. Applicants may receive a total of 102 points including the rating factor and Preference points.

**a. Ineligible Applications.** Ineligible applications will not be rated or ranked.

**b. Minimum Score to be Funded.** Only applications with a rating score of 75 points or more will be considered of sufficient quality for funding. Preference points may not be included in reaching this minimum score.

**c. Ranking.** All eligible applicants will be placed in rank order based on the total application score.

**d. Tie Breaking.** When two or more applications have the same total overall score, the application with the higher score under Rating Factor 2 will be ranked higher. If applications still have the same score, the tie will be broken by the Rating Factor 1 score. If a tie remains, then the tie will be broken by the Rating Factor 3 score.

**5. Adjustments to Funding.** HUD may approve an application for an amount lower than the amount requested, fund only portions of the application, withhold funds after approval, reallocate funds among activities and/or require that special conditions be added to the grant agreement, under 24 CFR 84.14 or where:

a. HUD determines the amount requested for one or more eligible activities is unreasonable or unnecessary;

b. An ineligible activity is proposed in an otherwise eligible project;

c. Insufficient funding amounts remain to award the full amount requested in the application, and HUD determines that partial funding is a viable option;

d. The past record of key personnel warrants special conditions; or

e. An applicant has not reserved the required FHIP training fund allocation in the budget, HUD will modify the applicant's budget, reallocating the appropriate training amount.

f. An applicant is awarded more than one grant, HUD reserves the right to examine the requested training needs and transfer funds back to fair housing activities during negotiations if additional training funds are not needed.

**6. Reallocation of Funds.** After all applications within the funding range have been selected or obligations are completed, if funds are still available, HUD will have the discretion to reallocate those remaining funds among Initiatives. First, funds from any EOI component will be reallocated first within the EOI Initiative, then if additional funds remain, those funds shall be reallocated to PEI.

**7. Funding Errors.** If HUD commits an error that when corrected would cause selection of an applicant during the funding round of a Program NOFA, HUD may select that applicant for funding, subject to the availability of funds.

## **VI. Award Administration Information.**

### **A. Award Notices.**

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline, but have not been chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF424.

**Negotiation.** After HUD has made selections, HUD will negotiate specific terms of the funding agreement and budget with selected applicants. If HUD and a selected applicant do not successfully conclude negotiations in a timely manner, or a selected applicant fails to provide requested information, an award will not be made to that applicant. In this case, HUD may select another eligible applicant.

HUD may impose special conditions on an award as provided under 2 CFR 200.208:

- Based on HUD's review of the applicant's risk under 2 CFR 200.206;
- When the applicant or recipient has a history of failure to comply with the general or specific terms and conditions of a Federal award;
- When the applicant or recipient fails to meet expected performance goals contained in a Federal award; or
- When the applicant or recipient is not otherwise responsible.

**Adjustments to Funding.** To ensure the fair distribution of funds and enable the purposes or requirements of a specific program to be met, HUD reserves the right to fund less than the amount requested in an application.

a. HUD will fund no portion of an application that:

- (1) Is not eligible for funding under applicable statutory or regulatory requirements;
- (2) Does not meet the requirements of this notice; or
- (3) Duplicates other funded programs or activities from prior year awards or other selected applicants.

b. If funds are available after funding the highest-ranking application, HUD may fund all or part of another eligible fundable application. If an applicant turns down an award offer, or if HUD

and an applicant do not successfully complete grant negotiations, HUD may withdraw the award offer and make an offer of funding to another eligible application.

c. If funds remain after all selections have been made, remaining funds may be made available within the current FY for other competitions within the program area, or be held for future competitions, or be used as otherwise provided by authorizing statute or appropriation.

d. If, after announcement of awards made under the current NOFO, additional funds become available either through the current appropriations, a supplemental appropriation, other appropriations or recapture of funds, HUD may use the additional funds to provide additional funding to an applicant awarded less than the requested amount of funds to make the full award, and/or to fund additional applicants that were eligible to receive an award but for which there were no funds available.

**Funding Errors.** If HUD commits an error that when corrected would cause selection of an applicant during the funding round of a Program NOFO, HUD may select that applicant for funding, subject to the availability of funds. If funding is not available to award in the current fiscal year, HUD may make an award to this applicant during the next fiscal year, if funding is available then.

#### **Additional Applicant Notification and Award Procedures.**

a. Notification. Information about the review and award process will not be available during the HUD evaluation period, which begins on the application deadline date for this NOFO and lasts for approximately 90 days thereafter. However, applicants will be advised, in writing or by telephone, if HUD determines that your application is ineligible, or has technical deficiencies which may be corrected. HUD will only communicate with persons specifically identified in the SF-424 in the application. HUD will not provide information about the application to any third party such as contractors.

b. Negotiations. If your application is selected, HUD will require you to participate in negotiations to determine the specific terms of your cooperative or grant agreement. The selection is conditional and does not become final until the negotiations are successfully concluded and the cooperative or grant agreement is signed and executed based upon the negotiations. HUD will negotiate only with the person identified in the application as the Director of the organization or if specifically identified in the application as the Project Director. HUD will not negotiate with any third party (i.e., a contractor, etc.). The GO and GTR will determine on a case-by-case basis if technical assistance or special conditions are required.

c. Applicant Scores. After awards are announced, applicants will receive a copy of their final score. HUD will not release the names of applicants or their scores to any third party. Upon request via email, FHEO will provide applicants a list of frequent areas where the Technical Evaluation Panel deducted points. This panel information will consist of a review of areas in which applicants frequently lose points such that all applicants may make modifications to strengthen their application.



## **B. Statutory and Administrative, National and Department Requirements for HUD Recipients**

For this NOFO, the following [Administrative, National and Department Policy Requirements and Terms for HUD Financial Assistance Awards](#) apply. (Please select the linked text to read the detailed description of each applicable requirement).

1. Unless otherwise specified, these non-discrimination and equal opportunity authorities and other requirements apply to all NOFOs. Please read the following requirements carefully as the requirements are different among HUD's programs.

- Compliance with Fair Housing and Civil Rights Laws, Which Encompass the Fair Housing Act and Related Authorities (cf. 24 CFR 5.105(a)).

- Affirmatively Furthering Fair Housing.

- Improving Access to Services for Persons with Limited English Proficiency (LEP) See [https://www.hud.gov/program\\_offices/fair\\_housing\\_equal\\_opp/limited\\_english\\_proficiency](https://www.hud.gov/program_offices/fair_housing_equal_opp/limited_english_proficiency).

- Accessible Technology. See

<https://www.hud.gov/sites/dfiles/OCIO/documents/s508103017.pdf>

2. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

3. Drug-Free Workplace.

4. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282) (Transparency Act), as amended.

5. Accessibility for Persons with Disabilities. See

[https://www.hud.gov/program\\_offices/fair\\_housing\\_equal\\_opp/disability\\_overview](https://www.hud.gov/program_offices/fair_housing_equal_opp/disability_overview)

6. Conducting Business in Accordance with Ethical Standards/Code of Conduct.

In accordance with 24 CFR 50.19(b)(2), (3), (4), (9), (12), and (13), activities funded under this NOFO are exempt or categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321) and not subject to environmental review under related laws and authorities.

2 CFR 200.216 *Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment*

### **Lead Based Paint Requirements.**

When providing education or counseling on buying or renting housing that may include pre-1978 housing under your grant you must inform clients of their rights under the Lead Disclosure Rule (24 CFR part 35, subpart A), and, if the focus of the education or counseling is on rental or purchase of HUD-assisted pre-1978 housing, the Lead Safe Housing Rule (subparts B, R, and, as applicable, F - M).

## **C. Reporting.**

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions.

**1. Recipient Integrity and Performance Matters.** Applicants should be aware that if the total Federal share of your Federal award includes more than \$ 500,000 over the period of

performance, you may be subject to post award reporting requirements reflected in Appendix XII to Part 200-Award Term and Condition for Recipient Integrity and Performance Matters.

**2. Race, Ethnicity and Other Data Reporting.** HUD requires recipients that provide HUD-funded program benefits to individuals or families to report data on the race, color, religion, sex, national origin, age, disability, and family characteristics of persons and households who are applicants for, participants in, or beneficiaries or potential beneficiaries of HUD programs in order to carry out the Department's responsibilities under the Fair Housing Act, Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of the Housing and Community Development Act of 1987.

**4. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282) as amended (FFATA).** FFATA requires information on Federal awards be made available to the public via a single, searchable website, which is [www.USASpending.gov](http://www.USASpending.gov). Accordingly, each award HUD makes under this NOFO will be subject to the requirements provided by the Award Term in Appendix A to 2 CFR Part 170, "REPORTING SUBAWARD AND EXECUTIVE COMPENSATION INFORMATION," unless the Federal funding for the award (including funding that may be added through amendments) is not expected to equal or exceed \$30,000. Requirements under this Award Term include filing subaward information in the Federal Funding Accountability and Transparency Act (FFATA) Sub-award Reporting System (FSRS.gov) by the end of the month following the month in which the recipient awards any sub-grant equal to or greater than \$30,000. Each applicant under this NOFO must have the necessary processes and systems in place to comply with this Award Term, in the event that they receive an award, unless an exception applies under 2 CFR 170.110.

#### **5. Program-Specific Reporting Requirements**

**a. Performance Reporting.** All HUD-funded programs, including this program, require recipients to submit, not less than annually, a report documenting achievement of outcomes under the purpose of the program and the work plan in the award agreement.

**b. Quarterly Reporting/Final Report.** All grant recipients under this NOFO are required to submit quarterly reports and a final report which must include meaningful data derived from client feedback on how they benefited from the organization's project activities. Reports must also include outcomes and evidence of continued project success in quarterly reports and final performance reports, which may include but are not limited to: (1) positive customer experience; (2) efficient and effective administrative costs; (3) high ethical standards; (4) overall positive community/target area changes; (5) innovative strategies that contribute to cost effectiveness and other program improvements and success stories.

#### **D. Debriefing.**

For a period of at least 120 days, beginning 30 days after the public announcement of awards under this NOFO, HUD will provide a debriefing related to their application to requesting applicants. A request for debriefing must be made in writing or by email by the authorized organization representative whose signature appears on the SF-424 or by his or her successor in office and be submitted to the POC in Section VII Agency Contact(s), below. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

## **VII. Agency Contact(s).**

HUD staff will be available to provide clarification on the content of this NOFO.

Questions regarding specific program requirements for this NOFO should be directed to the POC listed below.

Name:

Kimberly Harley

Phone:

202-402-4753

Email:

Kimberly.R.Harley@hud.gov

Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339. Please note that HUD staff cannot assist applicants in preparing their applications.

## **VIII. Other Information.**

### **1. National Environmental Policy Act.**

This NOFO provides funding under the Fair Housing Initiatives Program (FHIP) at 24 CFR part 125, which does not contain environmental review provisions because it concerns activities that are listed in 24 CFR 50.19(b)(2), (3), (4), (9), (12), and (13) as categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321). Accordingly, under 24 CFR 50.19(c)(5), this NOFO is categorically excluded from environmental review under NEPA.

### **2. Web Resources.**

- [\*\*Affirmatively Furthering Fair Housing\*\*](#)
- [\*\*Code of Conduct list\*\*](#)
- [\*\*CFDA\*\*](#)
- [\*\*Dun & Bradstreet\*\*](#)
- [\*\*Equal Participation of Faith-Based Organizations\*\*](#)
- [\*\*Federal Awardee Performance and Integrity Information System\*\*](#)
- [\*\*FFATA Subaward Reporting System\*\*](#)
- [\*\*Grants.gov\*\*](#)
- [\*\*HBCUs\*\*](#)
- [\*\*Healthy Homes Strategic Plan\*\*](#)
- [\*\*Healthy Housing Reference Manual\*\*](#)
- [\*\*HUD's Strategic Plan\*\*](#)
- [\*\*HUD Grants\*\*](#)
- [\*\*Limited English Proficiency\*\*](#)
- [\*\*NOFO Webcasts\*\*](#)
- [\*\*Opportunity Zone\*\*](#)
- [\*\*Procurement of Recovered Materials\*\*](#)
- [\*\*Promise Zones\*\*](#)
- [\*\*Section 3 Business Registry\*\*](#)

- [State Point of Contact List](#)
- [System for Award Management \(SAM\)](#)
- [Uniform Relocation Assistance and Real Property Acquisition Act of 1970 \(URA\)](#)
- [USA Spending](#)

### 3. Program Relevant Web Resources

### 4. Additional Program Requirements Include

**a. Product Information.** Press releases and any other products intended to be disseminated to the public must be submitted to the GTR four days before release for approval and acceptance. The GTR will work with the Office of Education and Outreach for information clearance and approval.

**b. Payment Contingent on Completion.** Payment of FHIP funds is made on a fixed price basis. Payments are contingent on the satisfactory and timely completion of your project activities and products as reflected in your grant or cooperative agreement. Requests for funds must be accompanied by financial and progress reports.

**c. Copyright Materials.** You may copyright any work that is eligible for copyright protection subject to HUD's right to reproduce, publish, or otherwise use your work for Federal purposes, and to authorize others to do so as required in 2 CFR 215.36.

**d. Complaints Against Awardees.** Each FHIP award is overseen by a HUD GO (See [hud.gov](http://hud.gov) for list of FHEO Regional Directors). Complaints from the public against FHIP grantees should be forwarded to the POC listed above in Section VII Agency Contact(s) If, after notice and consideration of relevant information, the GO concludes that there has been inappropriate conduct, such as a violation of FHIP requirements, terms or conditions of the cooperative or grant agreement, or any other applicable statute, regulation or other requirement, HUD will take appropriate action under 24 CFR § 84.62. Such action may include: written reprimand; consideration of past performance in awarding future FHIP applications; repayment to HUD of funds received under the grant; temporary or permanent denial of participation in the FHIP under 24 CFR part 24.

**e. Double Payments.** If the applicant is awarded funds under this NOFO, the applicant (and any contractor or consultant) may not charge or claim credit for the activities performed under this project under any other Federally assisted project.

**g. Performance Sanctions.** A grantee or contractor violating the requirements set forth in its cooperative or grant agreement will be liable for such sanctions as may be authorized by law, including repayment of improperly used funds, termination of further participation in the FHIP, and denial of further participation in programs of HUD or any federal agency.

**h. Corrections to Deficient Applications.** In order not to unreasonably exclude applications from being rated and ranked, HUD may contact applicants to ensure proper completion of the application and will do so uniformly for all applicants. HUD may not seek clarification of items or responses that improve the substantive quality of an applicant's response to any rating factors or correct deficiencies which are in whole or part of a rating factor. Applicants will have 5 business days from date of notification to submit the required documents to HUD. Applicants will be notified by email and telephone about corrections to ensure notification. The applicants must submit the corrections by using the HUD Form 90611 found in their downloaded

application to submit the technical cures to HUD.

**APPENDIX**

**APPENDIX A**

**STATEMENT OF WORK- SAMPLE FORMAT** - All applicants should use the format outlined in this Appendix as an example and guidance to describe the organization's specific SOW tasks. The Administrative Tasks listed in Appendix A are standard tasks, however an applicant may edit the section to fit its specific tasks. Under the Program Tasks section, each applicant should complete a detailed SOW based on the specific EOI activities proposed in the organization's application, including activities and tasks, and proposed outcomes where applicable.

**FY 2021 NOFO**

**Statement of Work for:**

The recipient, \_\_\_\_\_, agrees to undertake the following activities in accordance with its FY 2021 application for funding under the Initiative-\_\_\_\_\_ Project (if applicable) for a \_\_\_\_ - month project commencing \_\_\_\_\_ 2021/22 in the geographic area of \_\_\_\_\_.

**ADMINISTRATIVE TASKS**

<b>Activities</b>	<b>Tasks</b>	<b>Submitted By</b>	<b>Key person(s)</b>
1. Assign staff to project	Submit assignment memo or other documentation assigning staff by name and number of hours to be spent on the project.	30 days	
2. Execute subcontract agreements (if any)	Submit draft contract for GTR approval. Submit copy of signed agreement.	30 days	
3. Submit evidence of compliance with 24 CFR 125.107 (if program activities include testing)	Submit copy of organization written conflict of interest policy, demonstrating 24 CFR 125.107 compliance including conflict of interest and other requirements.	TBD during negotiations	
4. Complete HUD-2880 Disclosure Statements	Submit Disclosure Statement. If no changes occur, submit statement of no change.	Quarterly when changes occur	

5. Complete SF-LLL Disclosure of Lobbying Activities	Submit updated form. If no changes occur, submit statement of no change.	Quarterly when changes occur	
6. Quarterly Report of Performance	Submit (2) copies of quarterly report of performance. Include outcomes and progress towards achieving outcomes.	Quarterly	
7. Complete SF-425 Financial Status Report and Written Quarterly Status Reports on All Activities	Submit (2) copies of SF-425 and Coy of Written Report.	Quarterly	
8. Voucher of Payment	Submit payment request to LOCCS.	Per payment schedule	
9. Complete listing of current or pending Grants/Contracts/Other Financial Agreements	Submit listing for Recipient and any contractors.	45 days and at the end of grant	
10. Prepare summary of First Year (36-month grants)	Submit summary of first year accomplishments	395 days	
11. Prepare and submit draft of Final Report	Submit (2) copies of draft final report. Report summary should include <b>objectives, accomplishments and results (outcomes)</b> . Complaint and testing activities should summarize data on complaints received and test conducted by basis and issue and outcomes should include number of credible, legitimate complaints filed with HUD, State and local Fair Housing Agency, Department of Justice or Private Litigator; and Types of Relief/Results.	One month before end of grant term.	
12. Complete final report and all program products produced under the grant (with	Submit (2) copies of the Final Report and all final products not previously submitted to GTR and GTM. Submit activities and database entry sheet(s) or detailed description of items to HUD.	Within 90 days after end of grant term.	

CD/flash drive, where feasible).			

**PROGRAM TASKS -**

**These activities are a sample only. Activities and tasks are based on an organization's individual proposed activities and tasks.**

<b>Activities/Tasks</b>	<b>Outcome(s)</b>	<b>Submitted By</b>	<b>Key Person</b>
1. Contact HUD and/or other information sources to obtain any appropriate materials prior to development of new material. Task: List of materials requested and copy of final products.		90 days	
2. Review/refine process to refer potential victims to HUD, DOJ, a state or local fair housing agency, or a private attorney. Task: Copy of referral process. All audit-based enforcement actions should be referred to HUD.		45 days	
3. Intake and process complaints, including testing and referral. Complete Enforcement Log which details complaints received; dates; the protected basis of complaints; the issue, test type, and number of tests utilized in the investigation of each allegation; the respondent type and testing results; the time for case processing; including administrative or judicial proceedings; the cost of testing activities and case processing; to whom the case was referred. Task: Submit copy of Enforcement Log and a report on number of enforcement proposals referred to HUD.		Quarterly	
4. Submit training and other forms for review/approval.		60 days	
5. Train staff on fair housing. Task: number of hours spent in training.			
6. Conduct at least ___ trainings for landlords, lenders, insurers or real estate agents.			
7. Conduct at least ___ trainings for potential victims of discrimination.			
8. Make at least ___ referrals of non-fair housing issues.			

9. Evaluate at least ___ potential complaints for enforcement.			
10. Distribute fair housing PSA to ___ media outlets.			
11. Conduct ___ meetings with housing industry and/or government officials.			
12. Provide fair housing information to local/state officials on obligations to AFFH.			
13. Develop partnership with local university (or others) to develop and execute innovative approaches for the creation of diverse communities.			

14. Conduct \_\_\_ fair housing workshops for members of the protected classes and housing industry personnel.

**APPENDIX B**

Education & Outreach Application CERTIFICATION

I/WE [applicant] \_\_\_\_\_, certify that the information provided below is true and correct. WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to five years, fines, and civil and administrative penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 32 U.S.C § 3729, 3802)

Applicant Name: \_\_\_\_\_  
*Applicant name as it appears on SF-424*

In accordance with 24 CFR 125.103 (definitions) and 125.301 (Education and Outreach Initiative), which states:

§ 125.301 Education and Outreach Initiative.

(a) The Education and Outreach Initiative provides funding for the purpose of developing, implementing carrying out, or coordinating education and outreach programs designed to inform members of the public concerning their rights and obligations under the provisions of fair housing laws.

1. Fair Housing Enforcement & Qualified Fair Housing Enforcement Organizations

I \_\_\_\_\_ certify that  
*Applicant name as it appears on SF-424*  
\_\_\_\_\_ is a private,

*Applicant Organization name as it appears on SF-424*  
tax-exempt, nonprofit, charitable organization and has a “letter of determination” from the IRS confirming 501(c)(3).

AND



I \_\_\_\_\_ certify that  
*Applicant name as it appears on SF-424*  
\_\_\_\_\_ is a Qualified Fair  
*Applicant Organization name as it appears on SF-424*

Housing Organization (QFHO) or a Fair Housing Enforcement Organization (FHO) with at least one year's experience in complaint intake, complaint investigation, testing for fair housing violations, and meritorious claims.

As defined by 24 CFR Part 125.103, my organization is:

QFHO (entity has at least two years of enforcement-related experience); and is currently engaged in each of the activities at the time this application is submitted: Complaint intake; Complaint investigation; Testing for fair housing violations; and Enforcement of meritorious claims.

OR

FHO (entity has at least one year of enforcement-related experience); and is currently engaged in each of the following activities and upon receipt of funds will continue to be engaged in each enforcement-related activity: Complaint intake; Complaint investigation; Testing for fair housing violations; and Enforcement of meritorious claims

OR

## 2. Other

Nonprofit organization representing groups of persons protected under Title VIII of the Civil Rights Act of 1968.

Agency of State or local governments and agencies certified by the Secretary under section 810(f) of the Fair Housing Act.

Other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices.

AND

Additional Certification for National Media Campaign Component Only. Applicants must have at least five years of experience as an advertising, media or public relations organization or must partner with an advertising and media services organization with at least five years of experience as an advertising public relations organization.

NOTE: The Technical Evaluation Panel will verify this certification through the organization's response to Factor 1.