# INFORMATIONAL BROADCAST FOR THE FISCAL YEAR 2022 NOTICE OF FUNDING OPPORTUNITY FOR THE RESIDENT OPPORTUNITY & SELF-SUFFICIENCY SERVICE COORDINATOR GRANT PROGRAM

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# Today's Agenda

- Application Basics
- Program Overview & Purpose of the Program
- Core Functions of a ROSS Service Coordinator
- Changes from Previous NOFOs
- Award Information
- Eligible Use of Funds
- Eligible Applicants
- Threshold Requirements
- Application Requirements, Assembling Your Application and more!

#### **APPLICATION BASICS:**

Application deadline: July 18, 2022 by 11:59:59 PM EST

Total funding: \$35 million

#### Eligible applicants:

- Public Housing Authorities
- Resident Associations (either locally-incorporated nonprofit or 501(c)(3) status)
- Tribes/Tribally Designated Housing Entities
- 501(c)(3) Nonprofit Organizations <u>must be supported by one of the above organizations</u>.

# RESIDENT OPPORTUNITY & SELF-SUFFICIENCY SERVICE COORDINATOR (ROSS-SC) PROGRAM OVERVIEW

#### PROGRAM OVERVIEW





- ROSS is a place-based program that targets the entire resident community in the project(s) to be served.
- Program should address the areas of need at both the individual and community level.
- The ROSS-SC must work with residents, PHA staff, local partners, and other stakeholders to develop local strategies to address the needs of residents and remove barriers to self-sufficiency/aging in place.

#### PROGRAM OVERVIEW

- The Resident Opportunity & Self Sufficiency Service Coordinator (ROSS-SC) program is designed to assist residents of Public and Indian Housing make progress towards economic and housing self-sufficiency.
- The ROSS-SC assesses resident needs and links them to supportive services and activities, enabling residents to make progress towards economic self-sufficiency.
- The ROSS-SC links elderly/disabled residents to services to assist with aging/remaining in place.
- NEW in FY2021: ROSS grantees may provide some direct services.

#### CORE FUNCTIONS OF A ROSS-SC



RESIDENT NEEDS ASSESSMENT



PARTNERSHIP BUILDING/COORDINATION



RESIDENT ENGAGEMENT



CASE MANAGEMENT



**DIRECT SERVICES** 



**EVALUATION** 

#### TYPES OF SERVICES TO BE COORDINATED

- Child Care Services
- Adult Basic Education/Literacy Classes
- After-school programming for K-12
- High School/ GED Program
- Programs to assist with FAFSA completion
- Job Training and Skills
- Digital Inclusion Activities
- Credit Counseling/Financial Literacy
- Health Care Coordination
- Assistance with activities of daily living for elderly and persons with disabilities

#### TYPES OF SERVICES TO BE COORDINATED

- Congregate Services/Meal Delivery Services
- Employer Linkage and Job Placement
- Nutrition Courses
- Transportation
- Expunging, sealing, or correcting criminal records or securing certificates of rehabilitation
- Substance use Treatment
- Civil Legal Assistance

# Changes From Previous NOFOs FY2021 and FY2019

#### CHANGES FROM FY2021 NOFO

#### **Salary Updates for ROSS-SC Position:**

- Salary increase to \$75,000 HUD is establishing a salary maximum and will fund up to \$75,000 for the annual ROSS-SC salary.
- Salary Comparability Information Salary comparability information is NOT required. Instead, salary information will be taken from the <u>Bureau of Labor Statistics (BLS)</u> locality pay data, plus 20 percent added for fringe benefits.
- Salary Location Your salary location is based upon your agency's ZIP Code that you submit in your application. You will use this ZIP Code to determine your salary maximum with BLS, per Section I.A.2.b.1. of the NOFO.

#### CHANGES FROM FY2021 NOFO

- Client/ROSS-SC Ratio The ROSS-SC program must provide general case management to residents which includes intake, assessment, education, and referral to service providers in the local community and/or subcontractors. HUD expects each ROSS-SC to have a caseload of 50 ROSS participants at any given time during the grant term, except for new grantees, who will have six months to reach 50 ROSS participants.
- **EDSC Removal** HUD removed the Elderly/Disabled Service Coordinator (EDSC) program restrictions. FY22 applicants that receive EDSC funding from the Public Housing Operating Fund may now apply to serve elderly and disabled populations through the ROSS Program.

## CHANGES FROM FY2019 NOFO

- Provision of **Direct Services** and subcontracting for direct services is now permitted!
- **Digital Inclusion** added as an Area of Need
- Letter of Support for Joint Applicants Lead applicants must obtain a letter of support from each joint applicant they apply with (Lead applicants are those listed first on the SF-424 and 52768)
- HUD-52768 This is the primary application form and continues to be a required
  document that all applicants must complete and submit. This mandatory form is now an
  electronic fillable form on www.grants.gov. For this NOFO, HUD will exclusively use BLS
  data to determine ROSS-SC salary comparability.
- Rating Criteria All applicants must submit a narrative addressing rating criteria. Applicants will be reviewed for past performance and capacity using a point system. Each applicant will need a minimum of 30 points to be eligible for funding.

## CHANGES FROM FY2019 NOFO

- Current Non-profit status All renewal applicants must certify that their nonprofit status is in good standing by certifying this on the 52768. All new applicants must submit a copy of their 2020 or 2021 annual return information.
- Narrative Requirement for All Applicants In the past, HUD required that only new applicants submit a narrative. Under this NOFO, all renewal and new applicants must submit a narrative statement in order to be eligible for FY22 ROSS funding.
- Unexpended Balance Threshold Renewal applicants that have an unexpended balance of 60 percent or more of their FY19 ROSS funds by the application deadline are ineligible for FY22 ROSS funding.
- Reduced Awards HUD reserves the right to adjust requested funding amounts downward, as determined necessary by HUD, at its sole discretion, based on an applicant's demonstrated financial need, capacity, and prior performance.
- **Grant Term** The term of the FY22 ROSS grant is 36 months from the effective date of the grant agreement.
- Non-Site Based Resident Associations These applicants must include a letter of support from the Public Housing Authority they intend to serve.

# CHANGES FROM FY2019 NOFO

- Maximum Number of ROSS-SC per Application Number of ROSS-SCs funded will be based on the number of <u>occupied PH/NAHASDA-assisted</u> rental units as of the application deadline in the developments you apply to serve.
- Eligible Public Housing Units Mixed-finance public housing units are eligible to be served.
- Eligibility of PHA affiliates/instrumentalities PHA affiliates or instrumentalities that are nonprofits are prohibited from applying for funds if the PHA they intend to serve would not be an eligible applicant.
  - The reverse is also true.
- Resident Association Definition Resident Associations (RAs) do not include nation-wide or nationally-based resident organizations.
- List of Minimum Qualifications for the ROSS-SC has been reintroduced.

#### **BUDGET LINE ITEMS AMOUNTS**

- Salary increase to \$75,000 per year (NEW)
- Training and Travel Costs Applicants can request up to \$2,500 per SC position.
- Administrative Costs The maximum funding for administrative costs can't exceed 10% of the combined salary/fringe and training/travel amounts per position.

#### IMPORTANT APPLICATION REMINDERS

- HUD-52768 This is the primary application form and continues to be a required document that all applicants must complete and submit. This mandatory form is now an electronic fillable form on www.grants.gov. For this NOFO, HUD will exclusively use BLS data to determine ROSS-SC salary comparability.
- Unexpended Balance Threshold Renewal applicants that have an unexpended balance of 60% or more of their FY19 funds by the application deadline are ineligible for FY22 funding.
- Narrative Requirement All applicants must submit a narrative statement. Follow the NOFO outline and answer only what is being asked.
- Rating Criteria- Applicants will be reviewed for past performance and capacity using a point system. 30 points are necessary to be eligible for funding.

#### IMPORTANT APPLICATION REMINDERS

- Resident Needs Assessment- All applicants are required to complete a resident needs assessment with a minimum response rate of 20% of the residents living in the project(s) you intend to serve. A sample is provided in the FY2022 NOFO.
- Non-Site Based Resident Associations must include a letter of support from the PHA they intend to serve. (Must also have nonprofit status).
- Narrative Requirement for Tribes Designated as High Risk. Tribes that have been informed of performance deficiencies or designated by their area ONAP as "high risk grantees" must submit a narrative outlining corrective actions that have been put in place in response to special conditions put in place by their area ONAP.

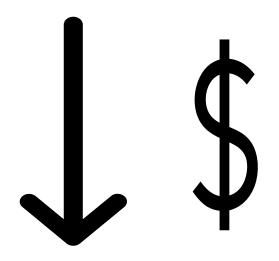
# **AWARD INFORMATION**

#### MAXIMUM AWARD INFORMATION

Number of PHA/tribe/TDHE Units	Max # of ROSS- SCs	Max Grant
50-1,000	1	\$255,750
1,001-2,5000	2	\$511,500
2,501 or more	3	\$767,250

- Funding of \$35,000,000 is available.
- Some carryover may be available.
- HUD expects to make approximately 125 awards.

#### Reduced Awards



HUD reserves the right to adjust requested funding amounts downward as determined necessary by HUD, in its sole discretion, based on an applicant's demonstrated financial need, capacity, and prior performance.

# Unexpended Balance Threshold

Renewal Applicants that have an unexpended balance of 60% or more of their FY19 ROSS grant funds by the application deadline are ineligible for FY22 ROSS Funding.

ROSS grantees that received ROSS-SC funding prior to FY19 are ineligible for FY22 funding as new applicants if they have an unexpended balance of 40% or more of their pre-FY19 ROSS-SC grant(s).

# ELIGIBLE USE OF FUNDS

# Eligible Use of Funds

#### **Salary and Fringe Benefits**

- Only for the salary and fringe of the ROSS-SC.
- Salary and fringe must be supported by BLS data.

#### **Training and Travel**

- Training and Travel Costs must be for the professional and/or program development of the ROSS-SC.
- All training and associated travel must be approved by HUD field office before incurring expense.
- The maximum amount is \$2500 per year.

#### Administrative Costs

- Funds may only be used to support the ROSS Program.
- Can be used for subcontracting for direct services and other fees to support needs of *active* ROSS participants. (NEW since FY2021 NOFO). Pre-approval required from HUD.
- The maximum amount for admin is 10% of the salary/fringe and training/travel amount.

#### Request ONLY the amount you need.

# Salary Comparability Information

 Award amounts will vary depending on the information provided by the U.S. Bureau of Labor Statistics (BLS) for the "Community and Social Service Specialists, All Others" occupation at the median level in your ZIP Code

(<u>www.careeronestop.org/Toolkit/Wages/find-salary.aspx</u>).

• If there is no salary information for your ZIP Code in BLS, then HUD will use the "median regional" or "balance of state" information. If there is no salary information for your regional or balance of state information in BLS, then HUD will use the United States' median salary information from BLS.

# Salary Comparability Information

- You must request funding that is commensurate with the above BLS data. NOTE: HUD will consider a salary request to be commensurate if it does not exceed the BLS salary data.
- HUD may also fund up to 20 percent above the BLS salary for fringe benefits. However the total amount of salary and fringe must not exceed \$75,000 per year for each ROSS-SC.
- You must use the BLS salary finder to calculate and determine salary comparability information for your ROSS-SCs. If the BLS amount for salary and fringe is sufficient to meet your local request then you do not need to submit salary comparability information on the HUD 52768 (Part III).

# Salary Comparability Information

- However, if you believe that the BLS salary comparison amount is insufficient, then you may submit salary comparability information from three different sources (i.e., employers) to justify your salary and fringe request on the HUD-52768 (Part III). Please see Section IV.B.2.c for salary comparability information requirements.
- NOTE: If you are selected as a ROSS-SC grantee under this NOFO, the Service Coordinator(s) hired must have similar education and qualifications as described in the NOFO.

# DETERMINING ELIGIBLE AMOUNT FOR ADMINISTRATIVE COSTS

#### STEP 1

#### Salary and Fringe Amount

• \$75,000 (max salary/fringe per year) multiplied by 3 (number of years of grant term) = \$225,000 (total salary/fringe amount)

#### STEP 2

## Training/Travel Cost Amount:

• \$2,500 (training cost per year) multiplied by 3 (number years of grant term) = \$7,500 (total training cost)

#### STEP<sub>3</sub>

### Administrative Cost Amount

• 10% (administrative cost percentage) of \$232,500 (total salary/fringe plus training cost) = \$22,350 (administrative cost amount).

#### STEP 4

#### Total Award Amount =

#### \$255,750

\$232,500 (total salary/fringe and training cost) plus
 \$22,350 (administrative cost)
 = \$255,750 (total award amount)

#### **EXAMPLES OF ADMINISTRATIVE EXPENSES**

#### Common administrative expenses:

- Administrative staff support
- Lease/rental of space (no repairs or renovations)
- Local transportation by SCs
- Program outreach, printing, postage, utilities (including Internet connectivity for the ROSS-SC's office, community space/computer lab
- Office furniture, supplies, equipment
- Hardware and/or software to support ROSS participants' educational, financial, professional and information needs (not for individuals but for SC's office, or community room, Neighborhood Networks center/computer lab);
- Tracking and evaluation software
- Stipends for reasonable out-of-pocket expenses for *active* **ROSS participants** for such things as local transportation, supplemental educational materials;

#### **EXAMPLES OF ADMINISTRATIVE EXPENSES**

- Newer expenses for active ROSS participants only (see p. 48-49):
  - Adult Basic Education/Literacy Classes and/or test fees;
  - Assistance with Activities of Daily Living;
  - Child-care expenses (bridge funding) full costs for up to 8 weeks;
  - Credit counseling/financial literacy programs;
  - Digital Inclusion costs for such things as device lending programs; digital literacy training classes;
  - Fees to remove barriers e.g. obtaining identification, occupational or driver's license; correcting criminal records; HS/GED fees;
  - Fees to support substance abuse treatment such as transportation to treatment;
  - Incentives to encourage participation such as IDAs;
  - Healthcare coordination; and
  - Job training/skills programs.

# USE OF ADMINISTRATIVE BLI FOR DIRECT SERVICES/SUBCONTRACTING

- New and being tested
- REMEMBER:
  - One of the core functions of the SC is to build partnerships with local organizations/service providers to coordinate the delivery of needed services.
  - You MUST use your MATCH contributions!
  - Before utilizing grant funds for direct services/subcontracting, you must assess whether there are gaps in available local service providers who can offer the services your active ROSS participants need. (See NOFO, p. 4).
  - You must follow your organization's procurement policies.
  - Before entering into a subcontract, you must receive HUD approval. (See NOFO, p. 49)

# EIGHT AREAS OF NEED

# 8 AREAS OF NEED

- **1. Education:** Coordinators work with residents to achieve educational and/or training levels to prepare them for a career that pays a living wage and can support housing, healthcare, childcare and food expenses.
- 2. Health & Wellness: Coordinators work with residents to help them overcome health (including behavioral and mental health) related barriers that hinder educational, professional, and self-sufficiency goals and engage in properly supported pro-health activities.
- 3. Reentry: Coordinators work with residents and/or their families who are involved with the justice system to ensure they are receiving the necessary legal aid services and other supportive services to help them meet their educational, professional, and self-sufficiency goals.
- **4. Employment:** Coordinators work with residents to help them gain employment with adequate pay and benefits; and will connect the resident to supports in order to help them maintain employment for more than 12 months.

# 8 AREAS OF NEED

- **5. Financial Literacy:** Coordinators support residents in financial coaching and literacy so that the residents' household monthly expenses/debts do not exceed their monthly earned income and their earned income is enough to support housing, healthcare, and food expenses.
- **6. Elderly/Disabled:** Coordinators work to coordinate services for elderly and/or disabled residents to better enable them to reach and maintain their desired level of independence while aging/remaining in place; therefore avoiding more costly forms of care.
- **7. Substance Use:** Coordinators work with service providers to address residents' substance use through prevention and intervention services.
- **8. Digital Inclusion (NEW since FY2021):** Coordinators will develop programs to help residents access affordable Internet in their homes, affordable devices, and necessary digital literacy training.

# ELIGIBILITY

#### **ELIGIBLE APPLICANTS**

#### **Eligible Applicants Are:**

- 1. Public Housing Authorities
- 2. **Resident Associations** (must be either locally-incorporated nonprofit or 501(c)(3) status)
- 3. Tribes/Tribally Designated Housing Entities as defined in section 4(22) of NAHASDA (25 U.S.C. 4103).
- 4. Indian Tribes as defined in section 4(13) of NAHASDA.
- 5. **Nonprofit Organizations** supported by any of the above the organizations

**NOTE**: PHA affiliates or their instrumentalities that are nonprofits are prohibited from applying for funds if the PHA they intend to serve would not be an eligible applicant. **The reverse is also true**.

# RENEWAL and NEW Applicants

#### 1. RENEWAL APPLICANTS:

- Applicants that were funded under the FY19 ROSS NOFA.
- Your grant number would look like this: ROSS201234
- The Previously Awarded list provides names of all FY19 grantees:

https://www.hud.gov/program\_offices/spm/gmomgmt/grantsinfo/fundingopps/fy21\_ross\_sc

#### 2. NEW APPLICANTS:

- An applicant that either never received ROSS funding OR a previously awarded ROSS grantee that received ROSS-SC funding prior to FY19 that has an unexpended balance of less than 40%.
- FY20 and FY21 ROSS grantees will not be considered as new applicants and therefore are ineligible for FY22 ROSS funding.

# ELDERLY/DISABLED SERVICE COORDINATOR (EDSC) PROVISION

•EDSC Removal – HUD removed the Elderly/Disabled Service Coordinator (EDSC) program restrictions. FY22 applicants that receive EDSC funding from the Public Housing Operating Fund may apply to serve elderly and disabled populations through the ROSS Program.

# THRESHOLD REQUIREMENTS

#### WHAT IS A THRESHOLD REQUIREMENT?

- An application requirement(s) that MUST be met at time of application.
- **HUD cannot request clarifying information** during application review period.
- •If any threshold is not met or is missing from your application, your application will be INELIGIBLE.

# 1. Timely Submission of Applications

- Applications submitted after July 18, 2022, that do not meet the requirements of the grace period policy will be marked late.
- <u>Late applications are ineligible and</u> will not be considered for funding.
- If your application is received by grants.gov before the deadline, but is rejected with errors, you will have a24-hour grace period after the application deadline to submit a corrected, received, and validated application through grants.gov.

### 2. Match

- 1. All applicants are required to have in place a firmly committed match contribution of at least 25% of the total grant amount requested.
- 2. The match may be provided as a cash or inkind donation.
- 3. Applicants must maintain all letters from partners attesting to match contributions. Refer to NOFO for match letter requirements and how to calculate the value of the match.(p.23 of NOFO)
- 4. Match amount is provided using form 52768.
- 5. Applicants should ensure that the area(s) of need the applicant is applying to address have firmly committed partners and match.
- 6. If you're submitting more than 1 application, you must have separate match commitments.

# 3. Letters of Support for Non-profit Applicants

❖If you are a non-profit organization that is not a sitebased RA, you must include a letter of support from either the PHA, or tribe/TDHE you intend to serve (see page 25 of NOFO).

#### Letters of Support must:

- Be signed by an authorized representative of the supporting organization.
- Be dated between the publication date of this NOFO and the application deadline published in this NOFO.
- Indicate the number of eligible units at the PHA (or those represented by an RA).
- List the project names (and/or project number(s), where applicable of the projects to be served and the total number of units to be served.
- Describe to what extent the PHA/tribe/TDHE is familiar with your non-profit and indicate their support.
- Include contact information.

# 4. Letter of Support for Joint Applicants

• Lead applicants must obtain a letter of support from *each* joint applicant they intend to serve. The letter(s) must be dated between the NOFO publication date and application due date. See p. 28 of NOFO for information the letter(s) must contain.

# 5. Non-profit Status

- Renewal applicants must certify that their non-profit status is current and in good standing on the HUD-52768 form. The submission of this certification is a non-curable threshold requirement.
- All new applicants must submit a copy of **their 2020 or 2021 federal annual information return** submitted to the IRS (for 501(c)(3) applicants) or the state o tribal equivalent.
- If no annual return exists, the new applicant must submit other documentation that verifies the non-profit status is active and in good standing.
- The submission of this documentation but not the underlying non-profit status, is a curable requirement.

# 6. Contract Administrator

- All Resident Associations and Troubled PHA applicants must have a Contract Administrator.
- If the HUD-52755 is required and not submitted as part of an application, it will not be requested during the deficiency period and your application will be deemed ineligible.

# 7. Narrative Statement(s)

• All renewal and new applicants must submit a narrative statement. If the narrative statement is not submitted as part of the application, it will not be requested during the deficiency period.

• In addition to meeting the narrative statement requirement, all tribes designated as high-risk must submit a detailed narrative that clearly address the corrective actions they have put in place in response to the area ONAP's findings.

## 8. UNEXPENDED THRESHOLD

- Renewal applicants that have 60% or more of their FY19 ROSS grant remaining by the application deadline will be considered ineligible for funding.
- New applicants with prior ROSS grants (preceding FY19) with 40% or more remaining in their grant, will also be ineligible.

### 9. HUD FORM 52768

- This is the main ROSS application form.
- If it is missing, your application will be ineligible.
- Any missing information from this form could render your application ineligible.
- COMMON MISTAKE:
  - Forgetting to include property names <u>AND</u> number of occupied units in Part II of the form.

# 10. Resolution of Civil Rights Matters

- Outstanding civil rights matters must be resolved by the application deadline.
- Applicants, who after review are confirmed to have unresolved civil rights matters by the application deadline, will be deemed ineligible.

# ADDITIONAL NOFO REQUIREMENTS

#### AREAS OF NEED



- Applicants may select three areas of need to be addressed
- If an applicant selects Digital Inclusion, Health and Wellness, Reentry, or Substance Use, the applicant must also select either Education, Employment, or Financial Literacy.
- Each Area of Need must be supported by the resident needs assessment.

### Resident Needs Assessment

- All applicants must complete a resident needs assessment for the project(s) included in their FY22 ROSS application.
- Can be from within the past 3 years.
- Applicants are not required (although encouraged) to use the Sample Community Needs Assessment (Appendix B).
- If you don't use Appendix B you have to submit the needs assessment tool you used.

#### Resident Needs Assessment

- There must be at least a 20%
  response rate of the households
  that reside in projects included in
  the application.
- All applicants must identify the needs of residents the ROSS-SC will address on the HUD-52768 form.

### NUMBER OF POSITIONS: PHAs and Tribes

- PHAs, tribes/TDHEs and 501(c)(3)
   nonprofits applying on their behalf may
   apply for up to 3 ROSS-SCs depending
   on number of occupied ACC or
   NAHASDA-assisted units as of
   application deadline in properties to be
   served by the ROSS-SC:
  - 50-1000 occupied units = 1 ROSS-SC
  - 1001-2500 occupied units = 2 ROSS-SCs
  - 2501+ occupied units = 3 ROSS-SCs
- **NOTE**: Each application must have AT LEAST 50 occupied public housing units.

### NUMBER OF POSITIONS: Site-Based RAs

- Site-based Resident Associations:
  - May apply for 1 ROSS-SC for the project that they represent.
  - Site-based RAs may apply jointly if necessary to add up to at least 50 units
  - Only three site-based RAs from any one PHA may be funded – for NEW AND/OR RENEWAL

### NUMBER OF POSITIONS: Non site-based RAs

#### Non-site-based RAs:

- If <u>availing</u> themselves of the 25% set-aside:
  - 1 SC
- If <u>not availing</u> themselves of the 25% set-aside **up to**:
  - 9 SCs for 3 different PHAs

• Contract Administrator Partnership Agreements are required of <u>all</u> RAs.

# NUMBER OF POSITIONS: Nonprofits

#### Nonprofits may apply for:

- Up to 9 SC positions (RENEWAL and/or NEW)
- Up to 3 applications (3 coordinators per PHA, tribe/TDHE)
- A letter of support (and other information) is required from every PHA/ tribe/TDHE that a nonprofit intends to serve.

## 3 ROSS-SC Position Limit!

- HUD will fund a maximum of 3 ROSS-SC positions to a PHA/tribe/TDHE
  - <u>Depending on occupied unit count as of application deadline.</u>
- Example: If a PHA has 3 site-based RAs that apply and the PHA is eligible for and applies for 3 positions as well only 3 positions will be funded total.
- If more than one application proposing to serve the same project(s) is received, they will each be reviewed and, if each is deemed eligible, the application with the highest score will be funded. (If there's a tie, a lottery will be held).

# INFORMATION FOR RENEWAL APPLICANTS

- May apply to serve the same projects; entirely different projects; or a mix thereof.
- May change budget, number of SCs, population to be served, funding request, etc.
- PHA/TDHE/nonprofit applicants: If the number of ACC/NAHASDA rental units has changed, you may be eligible for more SC positions (or less) depending on occupied unit count.
- Renewal PHA and RA Applicants <u>may not</u> also submit applications under the "new" category

## FUNDING RESTRICTIONS

#### ELIGIBLE PARTICIPANTS

- All program participants must be public housing residents or NAHASDA-assisted rental housing residents.
- To the extent that other residents (e.g. Section 8) live at an assisted project as may be the case in a Mixed-Finance public housing project, only the public housing or NAHASDA-assisted residents may be served by the ROSS-SC.
- Section 8 residents are not eligible to be served by this grant.

**NOTE**: Community events such as job fairs or health fairs can be open to non-public housing residents.

#### INELIGIBLE ACTIVITIES

- Salary of FSS Coordinator
- Costs associated with preparing your application
- Funds awarded for the ROSS program can only be used for the ROSS program
- Grantees may not pay the ROSS-SC(s) less than the salary/fringe amount granted by HUD
- Work and expenses outside the scope and intent of this program (above list not exhaustive)

# RENTAL ASSISTANCE DEMONSTRATION

- Applicants may not apply to serve
   Properties that have converted through
   RAD; OR
- Properties that have received a RAD Commitment to enter into a Housing Assistance Payment (CHAP) as of the deadline date of this NOFO.



# NARRATIVE INFORMATON & REVIEW CRITERIA

## RENEWALAPPLICANTS

### RENEWAL APPLICANTS – Rating Factor 1

Renewal applicants will be scored and ranked based on the total number of points they earn for each of the rating factors.

Rating Factor 1 –PAST PERFORMANCE (25 Maximum Points) – Based on Annual Reporting and Timely Use of Funds (No Narrative Required)

#### A. Capacity to meet program requirements (15 points)

- 1. Achievement of serving residents (Up to 10 points). Score depends on number of ROSS-SC positions and number of residents served.
- 2. Submission of Annual Reports. (5 points). Annual reports are due October 30<sup>th</sup> of each year. Applicants that have not submitted their reports by the due dates will receive zero points.

## RENEWAL APPLICANTS – Rating Factor 1

Number of Coordinators in 2019	Number of Residents Served	Points
1	25-49	5
1	50+	10
2	25-49	3
2	50-99	5
2	100+	10
3	25-49	1
3	50-99	3
3	100-149	5
3	150+	10

### RENEWAL APPLICANTS – Rating Factor 1

B. Timely Use of Funds. Renewal Applicants will receive up to 10 points based on timely expenditure of FY19 ROSS-SC grant funds.

Percent Unexpended FY19 ROSS-SC funds	Points Awarded	FY22 Funding Award Reduction Amount
50-59.9%	0	30% of FY19 ROSS grant unexpended balance
40-49.99%	5	20% of FY19 ROSS grant unexpended balance
≤ 39.99%	10	No FY22 award reduction.

C. **Past Performance**. If your FY19 ROSS grant received additional conditions on the award or a grant suspension, **it will result in a 10-point reduction from your score**.

### RENEWAL APPLICANTS—Rating Factor 2

Rating Factor 2 — Soundness of Approach (20 Maximum Points) — Narrative Portion

#### TIPS:

- 5 pages, double-spaced, with one-inch margins and 12-point Times New Roman font. **Text over the 5-page limit will not be read.**
- Be clear and answer the questions in the order they are asked in the NOFO.
- The areas of need addressed must be those identified on the HUD-52768.
- Do not provide extraneous information.
- In the past, we found identical narratives cross several applicants. Applications with narratives that are found to be repetitive of other applicants' narratives may not be considered or evaluated.
- Fewer points will be awarded for lack of detail.

## RENEWAL APPLICANTS—Rating Factor 2

Rating Factor 2 – Soundness of Approach (20 Maximum Points) – Narrative Portion

#### Narrative must include:

- Project names where residents were surveyed
- Number of respondents **and** response rate
- Total number of households
- Analysis of results (you can make this a chart)
- How you and/or partners will address each selected area of need (include details on programming and/or partnership agreements)
- Describe your capacity and partner's capacity
- Capacity/experience of existing or proposed staff
- Describe how the staffing at your agency, including your organization's leadership, will support the ROSS program

**High-Risk Designation for Tribe must submit a detailed narrative** that addresses the corrective actions that have been put in place in response to ONAP findings.

## **NEW APPLICANTS**

## **NEW APPLICANTS** – Rating Factor 1

New applicants will be scored and ranked based on the total number of points allocated for each of the rating factors. **Both Rating Factors are narratives. 10-page limit.** (See NOFO p.54-55)

Rating Factor 1 – Capacity (25 points)

- Describe experience with and capacity to manage multi-year grants that served public housing, native Americans, and/or low-income residents within the past 5 years. Provide the grant name, grant year(s), source of funds, and grant amount (up to 5 points)
- Describe experience and achievements with providing supportive services to public housing, Native Americans, and/or low-income residents within the past 5 years. Describe needs your program(s) were designed to meet and outcomes. (up to 5 points)

### **NEW APPLICANTS**—Rating Factor 1

Rating Factor 1 – Capacity (25 points), continued

- 3. Provide examples of partnerships created with relevant entities and the services or contributions they made to ensure supportive services were offered. (up to 5 points).
- 4. **Describe staff experience with case management tracking at the individual client level** and reporting on client progress relating to supportive service programs over the past 5 years. (**up to 5 points**)
- 5. Describe how your agency has recruited and retained residents in your supportive services programs. Include approaches to providing case management and engaging residents that may be unemployed, under-employed, youth, disabled, elderly, and multi-generational households (up to 5 points)

### **NEW APPLICANTS**—Rating Factor 2

#### Rating Factor 2 – Soundness of Approach – (20 points total)

- Describe the results of the needs assessment and how you will address the needs
- The areas of need must be those identified on the HUD-52768
- Narrative must include:
  - Project names where residents were surveyed
  - Number of respondents and response rate
  - Number of households in each project to be served
  - Analysis of results (you can use a chart)

#### **NEW APPLICANTS**—Review Criteria

Rating Factor 2 – Soundness of Approach – (continued)

#### Narrative must include (continued):

- How you and/or partners will address each selected area of need (include detail on programming/partnership agreements).
- How will staffing at your agency support the ROSS Program
- How you will track progress and submit annual reports
- Capacity/experience of existing or proposed staff.

#### **REMEMBER:**

- Be clear, concise. <u>Do not provide extraneous info</u>. Have someone else read your narrative!
- Answer questions in the order found in the NOFO.
- Points may be deducted for lack of detail.

## Preference Points for Opportunity Zones

•HUD will not award preference points for qualified activities supporting Opportunity Zones (OZs).

# MINIMUM/MAXIMUM SCORES FOR ELIGIBILITY

Minimum number of points obtainable for funding eligibility	30
Maximum number of points obtainable for new applicants	45

## FUNDING PRIORITIES

#### Funding Priorities

Applications that meet all NOFO requirements will be rated and ranked.

Applicants that earn less than 30 points are not eligible for funding.

- Funding Category 1: Resident Association Applicants
   25% set-aside
  - HUD will fund this category in ranked order starting with the highest review score.
  - If more than one applicant shares the same score and there is not enough funding to award them, HUD will conduct a lottery.
  - Resident Associations not funded in the set-aside will be placed in the appropriate renewal or new funding category.

#### Funding Priorities

#### 2. Funding Category 2: Renewal Applicants

- HUD will fund this category in ranked order starting with the highest review score.
- If more than one applicant shares the same score and there is not enough funding to award them, HUD will conduct a lottery.
- Renewal applicants may be subject to a reduced award.

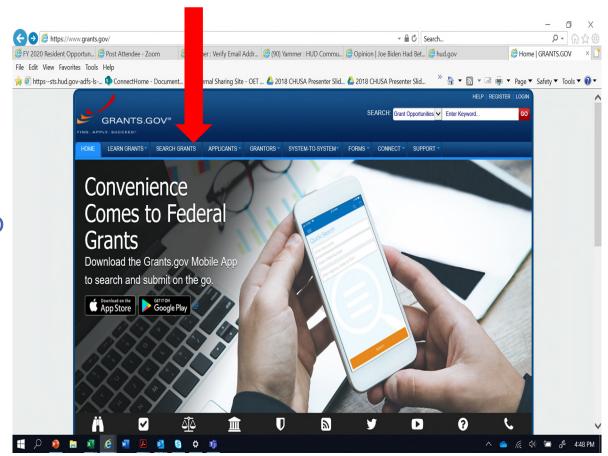
#### 3. Funding Category 3: New Applicants

- HUD will fund this category in ranked order starting with the highest review score.
- If more than one applicant shares the same score and there is not enough funding to award them, HUD will conduct a lottery.

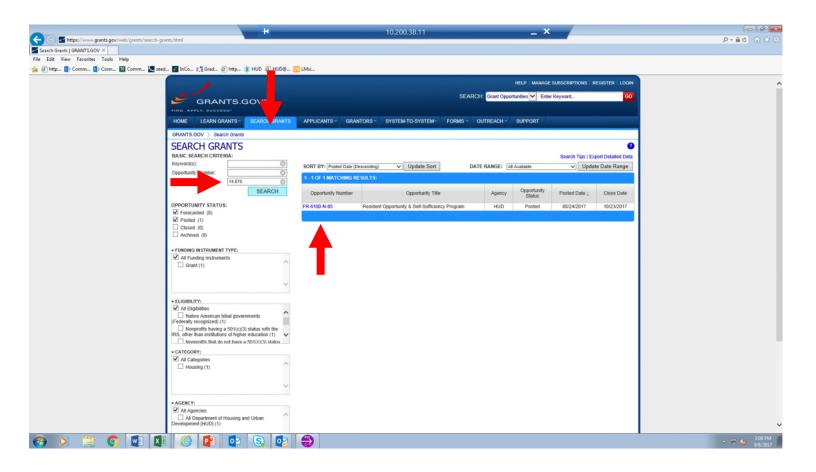
## ACCESSING APPLICATION

#### HOW TO ACCESS THE APPLICATION

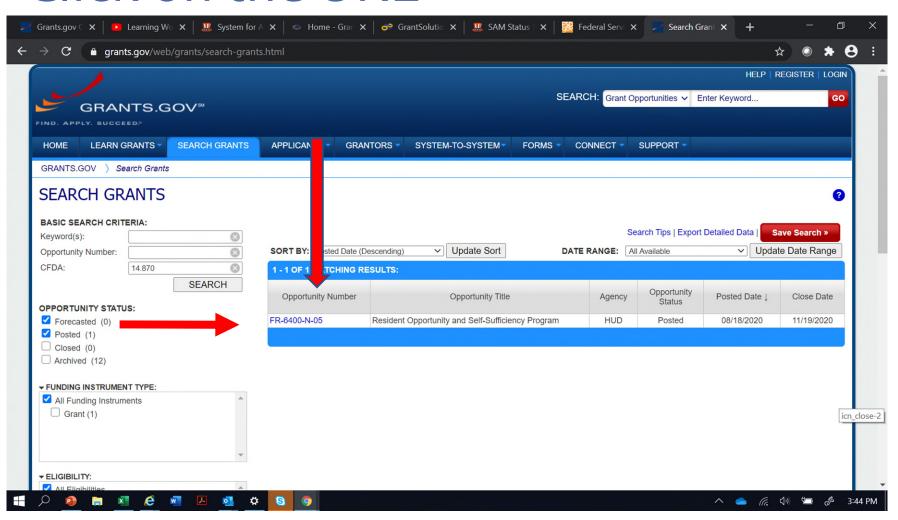
- Go to <u>www.grants.gov</u> or <u>View</u> <u>Opportunity | GRANTS.GOV</u>
- Click on "Search Grants" Tab
- Easiest way to locate the application is by CFDA #: 14.870



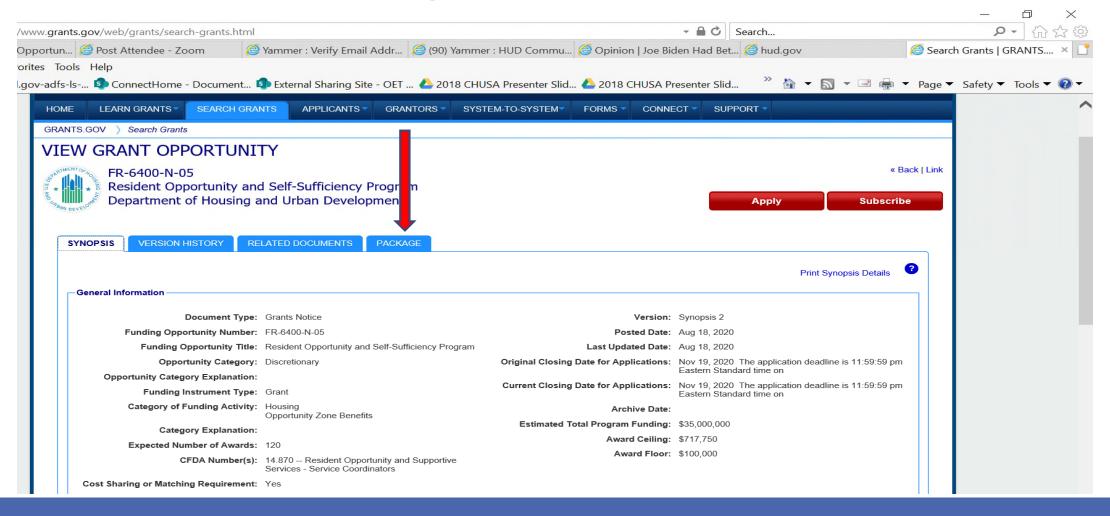
## HOW TO ACCESS THE APPLICATION Enter CFDA #



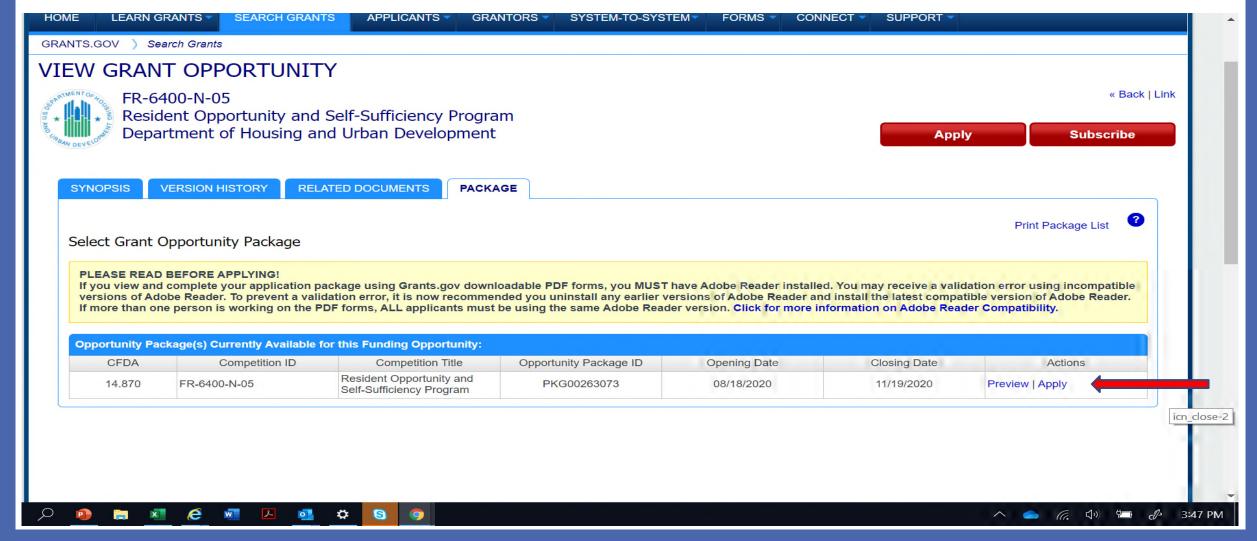
## HOW TO ACCESS THE APPLICATION Click on the URL



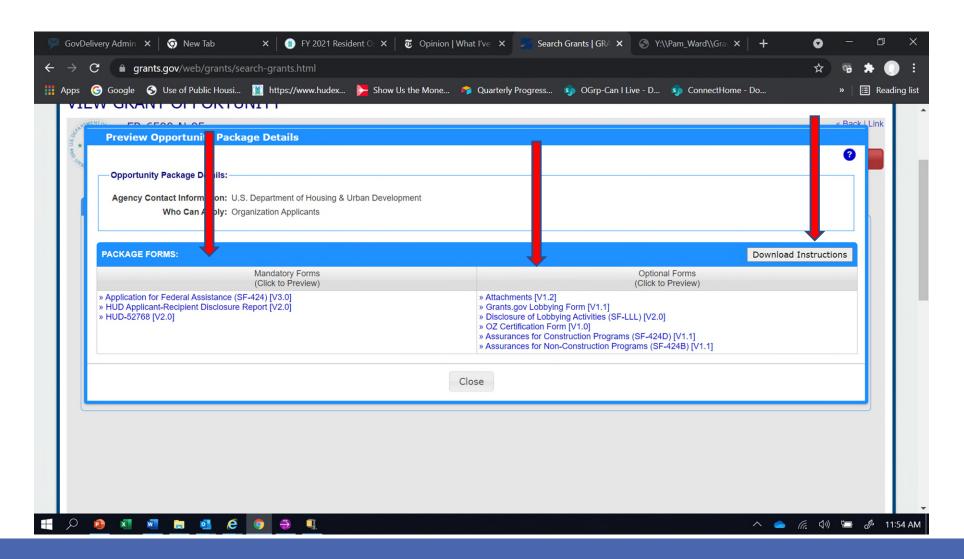
# HOW TO ACCESS THE APPLICATION Click on "Package"



# HOW TO ACCESS THE APPLICATION Click on "Preview or Apply"



## THREE PARTS: MANDATORY, OPTIONAL AND INSTRUCTIONS DOWNLOAD



#### FORMS UNDER "MANDATORY"

- Application for Federal Assistance SF424 (budget form)
- HUD Applicant-Recipient Disclosure Report (HUD 2880)
- ROSS Application Form HUD52768

#### COMPLETING THE HUD-2880

- HUD-2880 Applicant Disclosure Report (Application Download)
  - **Answer to question 1** "Are you applying for assistance for a specific project or activity?" is YES
  - Answer to question 2 "Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 -Sep. 30)?" should only be YES if you are requesting more than \$200,000 for THIS grant for the FIRST YEAR. MANY OF YOU WILL BE "NO" (This question in Part I Threshold Determination applies only to THIS grant application.
  - IF YOU ANSWER "YES" TO BOTH YOU MUST FILL OUT PARTS II OR III.
  - Most of you that need to enter ANYTHING in parts II or III will enter N/A.

#### FORMS UNDER "OPTIONAL"

- Grants.gov lobbying form one page
  - Asking for you to certify that no funds will be used for lobbying; if you will be using funds to lobby you must fill out SFLLL.
- Disclosure of Lobbying Activities SFLLL (if applicable)
- Assurances for Non-Construction Programs (SF424B)-required, but curable
- Assurance for Construction Programs (SF424D, not necessary)

#### THE INSTRUCTIONS DOWNLOAD

PKG00268272-instructions (1).zip

Actions

52752 - Certification of Consistency with Indian Housing Plan.pdf
Type: Kofax Power PDF Document

Date modified: 7/15/2021 5:11 PM Size: 266 KB → 257 KB

52753 - Certification of Election of Resident Council Board.pdf
Type: Kofax Power PDF Document

Date modified: 5/12/2021 11:17 AM

Size: 92.1 KB → 88.3 KB

52755 - Sample CAPA.pdf
Type: Kofax Power PDF Document

Date modified: 4/6/2021 2:53 PM Size: 165 KB → 161 KB

FR-6500-N-05 FY21 ROSS NOFO.pdf
Type: Kofax Power PDF Document

Date modified: 7/23/2021 1:57 PM Size: 1.10 MB → 0.98 MB

Create a folder to save all these files, you could name it "FY22 ROSS Instructions Download."

#### **ASSEMBLING YOUR APPLICATION**

Remember: Mandatory forms plus ROSS Program Specific forms

#### **ROSS Program-Specific Forms & Documents**:

- HUD52752 Certification of Consistency with Indian Housing Plan (curable)
- HUD52753 Certification of Election of Resident Council Board (curable)
- **HUD52755** Contract Administrator Partnership Agreement (not curable)
- **HUD52768** ROSS Service Coordinators Funding Request Form (**not curable**)

Make a Checklist!

#### **ASSEMBLING YOUR APPLICATION**

#### **ROSS Program Specific Forms & Documents**:

- Letter of Support for nonprofits applying on behalf of a PHA/tribe/RA (non-curable)
- Letter of Support for Joint Applicants from joint applicants demonstrating support of lead applicant (non-curable)
- Narrative for all applicants (non-curable)
- Narrative for tribes designated as "high-risk" (non-curable)

#### **ASSEMBLING YOUR APPLICATION**

#### **ROSS Program Forms & Documents:**

- Resident Needs Assessment Appendix B (results of); if you're not using Appendix B, please attach assessment tool you used
- Evidence of 501(c)(3) status and 2020 or 2021 Information Return for new applicants (curable)
- One last thing...Code of Conduct
  - Check here: <u>https://www.hud.gov/program\_offices/spm/gmomgmt/grantsinfo/conductgrants</u>
  - If there are no changes, no action needed.

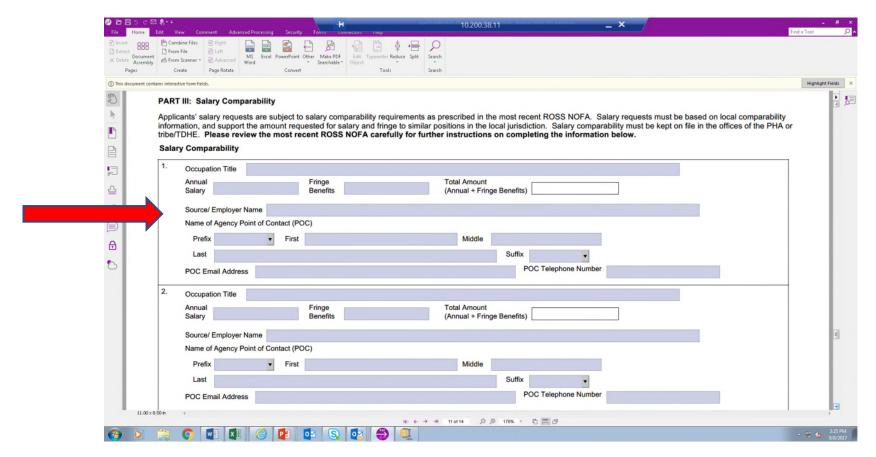
# FORM 52768 – THE ROSS FUNDING FORM – PART I – General Information

PART I: General Information  ***Please read the ROSS NOFA carefully for instructions for the completion of this form and minimum requirements. ***									
A. Applicant Type (please check)									
Public Housing Authority (PHA)  Tribe/ Tribally Designated Housing Entity (TDHE)  Resident Association (RA)  501(c)(3) Nonprofit applicant (Not a RA)  • 501(c)(3) applicants must be supported by a PHA, Tribe/TDHE, or RA									
B. Applicant Legal Name (For joint applicants, lead Applicant name):  Address:									
Street1:									
Street2:									
City:									
County/Parish:									
State:									
Province:									
Country: USA: UNITED STATES									
Zip / Postal Code:									
DUNS Number:  Employer/Taxpayer Identification Number (FIN/TIN):									

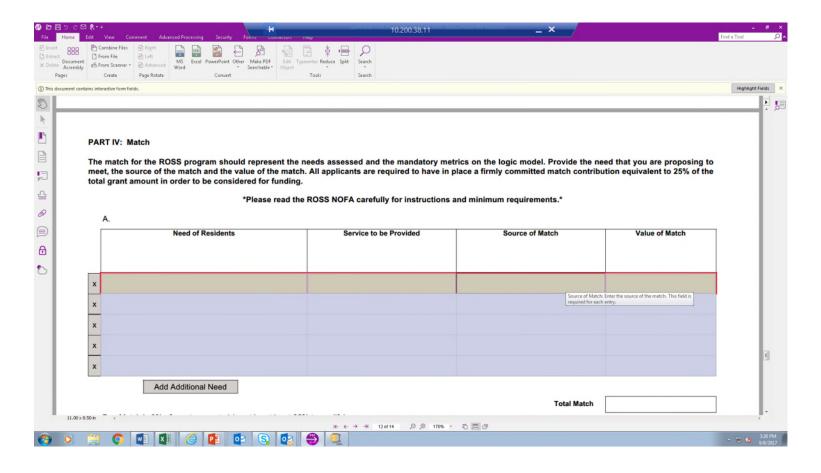
## FORM 52768 – THE ROSS FUNDING FORM – Part II - Salary Request, Units, etc.

SC positions requested	Project(s) to be served (See NOFA for limits. If different PHAs, list all.)	Number of units to be served (See NOFA for minimum number of units)	Area(s) of Need Service Coordinator will focus	Year	Salary/Fringe (See NOFA for limits.)	Admin (See NOFA for limits.)	Training (See NOFA for limits.)
1			Education Financial Literacy	1			
			Health & Wellness Employment Elderly/Disabled	2			
			Reentry Substance Abuse	3			
2			Education Financial Literacy Health & Wellness	1			
			Employment Elderly/Disabled	2			
			Reentry Substance Abuse	3			
3			Education Financial Literacy	1			
			Health & Wellness Employment Elderly/Disabled	2			
			Reentry Substance Abuse	3			
				Total			
			Total of Nu	mber of	units	Total Grant Requested	

# FORM 52768 – THE ROSS FUNDING FORM – Salary BLS/Comps Section



## FORM 52768 – THE ROSS FUNDING FORM – Part IV - Match



## FORM 52768 – THE ROSS FUNDING FORM - ATTACHMENTS

#### Please attach with this form:

Renewal Applicants:			
Narrative Statement	Add Attachment	Delete Attachment	View Attachment
New Applicants:			
- Narrative Statement	Add Attachment	Delete Attachment	View Attachment
Nonprofit Status (if applicable)	Add Attachment	Delete Attachment	View Attachment
Nonprofit Organizations:			
• Letter of Support from the PHA, tribe/TDHE, or RA	Add Attachment	Delete Attachment	View Attachment
Joint Applicant(s):			
Letter of Support from Joint Applicant(s)	Add Attachment	Delete Attachment	View Attachment
PHAS Troubled:			
Contract Administrator Partnership Agreement	Add Attachment	Delete Attachment	View Attachment
Resident Associations:			
Contract Administrator Partnership Agreement	Add Attachment	Delete Attachment	View Attachment
Tribes Designated High-Risk:			
Narrative Statement	Add Attachment	Delete Attachment	View Attachment

# IMPORTANT APPLICATION REMINDERS

# RENEWAL/UPDATE REGISTRATION PROCESS FOR SAM POCs

- You must renew your Systems for Award Management (SAM) Registration annually.
- Registrations in SAM are active for one year.
- The SAM registrant is notified by email 60, 30, 15 days prior to the registration expiration.
- Please go to: <u>www.sam.gov</u> for more information.
- Problems with SAM? Call the Federal Service Desk at 334-206-7828.

\*\*\*\*NO FEE TO REGISTER OR RENEW\*\*\*\*

# IMPORTANT REMINDERS ABOUT REGISTRATION

- If you changed your eBusiness Point of Contact in the SAM Registration, make sure that the new eBusiness Point of Contact has also granted permission to the person submitting the application to be the Authorized Organizational Representative (AOR).
- DUNS is no longer required. Instead you will need a Unique Entity Identity #. (For UEI information, please see slides at the end of the presentation for First Time Registration.)
- You are submitting your application through *Workspace* on Grants.gov.
- Good overview of registration process click <u>here</u>.
   (https://www.grants.gov/documents/19/18243 /GrantsgovApplicantOverviewTraining.pptx).
- For a series of videos and guides from grants.gov, click <u>here</u>. (<u>https://www.grants.gov/web/grants/applica</u>

#### **APPLICATION TIPS**

## 1. Read the FY22 ROSS NOFO carefully and thoroughly.

- This will ensure that mistakes are not made.
- Create a checklist!
- Every year, avoidable mistakes are made which lead to ineligible applications.

#### 2. Start Now!

- 3. Review Your Application Prior to Submission.
  - Get a second set of eyes to review your narrative and application.

## OTHER USEFUL HUD LINKS

#### **HUD Grants Page:**

• <u>www.hud.gov/grants</u> - includes information about the registration process, grants management, grant regulations and policies and funding opportunities

#### **ROSS NOFO page:**

https://www.hud.gov/program\_offices/spm/gm omgmt/grantsinfo/fundingopps/fy22\_ross\_sc

You can get the following from the above link:

- FY22 ROSS NOFO posted here
- FAQs
- Previously Awarded List
- Recording of this webcast will be posted here;
   and
- Other information that may be issued.

## OTHER USEFUL GRANTS.GOV LINKS

## REMINDER: You will have to submit your application through Grants.gov's "Workspace"

 Workspace Information and Tutorial Videos:

https://www.grants.gov/web/grants/applicants/workspace-overview.html

Applicant FAQs:

https://www.grants.gov/web/grants/applicants/applicants/applicant-faqs.html

# Contact Information ROSS-PIH@hud.gov

To join the ROSS Mailing List:

https://public.govdelivery.com/accounts/USHUDPIH/signup/32524

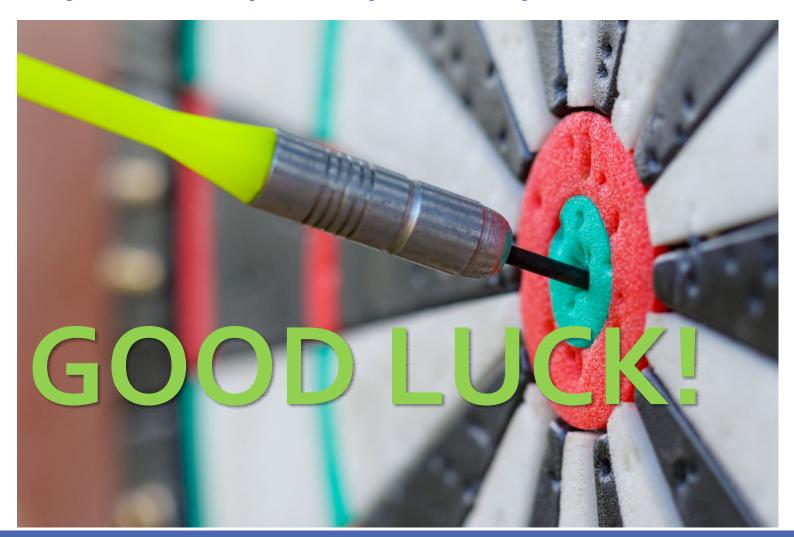
#### **About ROSS:**

https://www.hud.gov/program\_offices/public\_indian\_housing/programs/ph/ross/about

**ROSS HUD Exchange Page:** 

https://www.hudexchange.info/programs/ross/

### Thank you for your participation and...



## FIRSTTIME REGISTRATION INFORMATION

The slides below are provided as a courtesy only. Refer to <a href="www.grants.gov">www.grants.gov</a> for complete information, a few helpful grants.gov sites are provided here:

- Good overview of registration process click <u>here</u>.
- For a series of videos and guides from grants.gov, click here.

# FIRST TIME REGISTRATION PROCESS

- 1. The Data Universal Number System (DUNS) Number is now the Unique Entity ID (UEI), you may obtain one here: <a href="https://sam.gov/content/duns-uei">https://sam.gov/content/duns-uei</a>
- 2. Register with the SAM.gov to designate E-Biz Point of Contact. An alternate E-Biz POC is required so there are 2 names in the system. <a href="https://sam.gov/content/entity-registration">https://sam.gov/content/entity-registration</a>
- 3. When creating or updating your profile at SAM, identify the E-Biz Point of Contact (**E-Biz POC**). The person completing the profile must create a 9-digit Marketing Partner ID Number (MPIN).

NOTE: The MPIN is mandatory for SAM registrants and contains nine characters: at least one letter (upper or lower case) and one number. No spaces or special characters are permitted. An MPIN is a personal code that allows you to access other government applications such as the Past Performance Information Retrieval System (PPIRS). The MPIN acts as your password in these and other federal systems, and you should safeguard it.

# FIRST TIME REGISTRATION PROCESS

- The person submitting the application through Grants.gov, is the "Authorized Organization Representative" (AOR). The AOR must register with Grants.gov (using the organization's UEI #).
- 5. When AOR registers with Grants.gov, the E-Biz POC receives an email.
- 6. The E-Biz POC must log into Grants.gov using UEI and MPIN (at E-Biz login) to confirm that the AOR is authorized to submit an application on behalf of the organization.
- AOR will receive email from Grants.gov informing them when they've been approved. An organization may have more than one AOR. AOR should not wait for email but should login and check status of registration. The status must be "Authorized."

# FIRST TIME REGISTRATION PROCESS

- If you aren't already registered, **BEGINYOUR REGISTRATION PROCESS IMMEDIATELY!**
- If your AOR has left, follow registration steps 4-6 to have a new one registered and authorized to submit the application.
- Incomplete registration has been a common error and resulted in applicants not receiving funding. <u>Do not rely on automatic email</u> <u>notifications; proactively login to verify success</u> <u>of each step of the registration process.</u>
- Step-by-step process for registration including video tutorials are available on grants.gov (click on "Applicants" tab). Be sure to select "Registering as an Organization Applicant."

## REGISTRATION PROCESS

• If you changed your eBusiness Point of Contact in the SAM Registration, make sure that the new eBusiness Point of Contact has also granted permission to the person submitting the application to be the Authorized Organizational Representative (AOR).