

**List of HUD Public Housing Operating Fund Program “Certifications and Assurances” for  
Line 21 of the “Application for Federal Assistance SF-424.”**

The following list of certifications and assurances are agreed to by completing the Application for Federal Assistance, SF-424 line 21 which states: “By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001).”

List of certifications and assurances:

1. Operating Fund Obligation letter assurance - The Operating Fund Grant Obligation Letter states that by drawdown of the funds the PHA confirms compliance with all term and conditions of the Operating Fund Grant program, which includes but is not limited to the U.S. Housing Act of 1937, the Consolidated Annual Contributions Contract, 24 CFR 990, 2 CFR 200. A copy of your agency obligation letters can be found at:

[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/programs/ph/am/opfnd2020](https://www.hud.gov/program_offices/public_indian_housing/programs/ph/am/opfnd2020)

2. Public Housing Operating Fund Grant Calculation: PHA-Owned Rental Housing form HUD-52723, Section 5, Certifications:

- a. Based on PHA submission of the form HUD-52723 - “I/We certify under penalty of perjury that the information provided above is true and correct. WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802)”
- b. In accordance with 24 CFR 990.215, I hereby certify that \_\_\_\_\_ Housing Agency is in compliance with the annual income reexamination requirements and that rents and utility allowance calculations have been or will be adjusted in accordance with current HUD requirements and regulations.
- c. If applicable - In accordance with § 223 of Title II of Division K of the Consolidated Appropriations Act, 2010, Pub. L. 111-117 (approved December 16, 2009) and subsequent acts containing the same provisions, I hereby certify that \_\_\_\_\_ Housing Agency has 400 or fewer units and is implementing asset management.
- d. If applicable - In accordance with 24 CFR 990.255 through 990.285 of Subpart H – Asset Management, I hereby certify that \_\_\_\_\_ Housing Agency has 250 units or more and is in compliance with asset management. I understand in accordance with 24 CFR 990.190(f), PHAs that are not in compliance with asset management will forfeit the asset management fee.
- e. In accordance with section 235 of the Department of Housing and Urban Development Appropriations Act of, 2020, I hereby certify that \_\_\_\_\_ Housing Agency is in compliance with the annual contributions contract as was in effect on December 31, 2017

3. HUD 50071 Certification of Payments to Influence Federal Transactions:

- a. Based on PHA submission of the HUD-50071 - “I/We certify under penalty of perjury that the information provided above is true and correct. WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802)”

Signing the SF424 does not exempt the Officials from making the appropriate certifications and assurance on the listed documents.