



**home**forward

hope. access. potential.

**Fiscal Year 2019**

# Moving to Work Plan

**January 1, 2019 through December 31, 2019**

Original Submission:  
October 18, 2018



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# Introduction

## Introduction

Moving to Work (MTW) is a U.S. Department of Housing and Urban Development (HUD) demonstration program that offers public housing authorities (PHAs), like Home Forward, the opportunity to develop and pilot innovative, locally-designed housing and economic independence strategies for families by allowing exemptions from existing public housing and Housing Choice Voucher regulations. The program also permits PHAs to combine operating, capital, and tenant-based assistance funds into a single agency-wide funding source. The purposes of the MTW program are to give PHAs and HUD the flexibility to design and test various approaches for providing and administering housing assistance that accomplish three primary goals:

- Reduce cost and achieve greater cost effectiveness in Federal expenditures;
- Give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient; and
- Increase housing choices for low-income families.

Home Forward has been designated an MTW agency since 1998. The recently approved 2016 Consolidated Appropriations Act extended the MTW demonstration through fiscal year 2028 for Home Forward. This allows Home Forward to continue to utilize MTW flexibility to support our mission of assuring that the people of the community are sheltered.

The following Fiscal Year 2019 Moving to Work Plan details how Home Forward intends to use our flexibility. We will continue to use our MTW authority to best meet the needs of our community, with thoughtful intent, and the ability to quickly respond to changes in our region's rental market and economy. Home Forward will continue to collaborate with local government and community service providers to expand and sustain housing resources for the community. These partnerships and Home Forward's MTW flexibility are more important than ever as the Portland Metropolitan Area continues to experience a housing crisis, a crisis the Portland City Council recently extended through at least October 2018.

## Overview of the Agency's Long-Term MTW Goals and Objectives

Home Forward has developed and adopted a new strategic plan to guide our work through 2020. The development of the strategic plan included input from staff, the board of directors, our Resident Advisory Committee and partners. The plan focuses on five key areas and includes an emphasis on equity, connection, unity and racial justice.

One Portfolio: We'll continue to build and acquire housing with a goal of adding 500 affordable housing units to Home Forward's portfolio by 2020. We will convert our public housing properties to a Section 8-based subsidy while performing critical rehabs to best position them to be stable for generations to come and to meet the needs of the people and neighborhoods they serve. We will also develop and implement performance standards at the property level and across the portfolio.

One Resource: We will work to create deeper connections and continuity between the types of housing assistance we provide to respond to the evolving needs of the people we serve. We'll make changes to the way we manage our waiting lists to better meet the needs of the community in the current housing market. In an effort to make ourselves more available to the community and to better align staff resources and processes, we'll explore a new model of service delivery that puts more of our staff in the neighborhoods we serve.

One Agency: We will build our skills and work together in ways that help us constantly evolve and improve our ability to serve our community. As we move forward with our public housing conversion through the Rental Assistance Demonstration (RAD) program, we will prepare ourselves for the implications of converting our portfolio to a Section 8-based platform. We will create and implement a values-based communications plan, increase the use of surveys, focus groups and other forms of outreach to get resident input, assess current practices to identify opportunities to integrate a trauma-informed lens to our work, and increase hard skills training and leadership development for staff at all levels of the organization.

One Community: We will continue to define our equity platform with a particular emphasis on racial justice. Identify opportunities and set goals to increase participation of Minority, Women and Emerging Small Businesses (MWESB) in our contracting processes. Optimize our use of technology to increase the community's ability to interact and transact with us, and work to increase resident access to technology. We'll deepen our focus on supporting the needs of East Multnomah County and ensure the region is included in all of our strategic initiatives.

One System: We will leverage our role as the largest provider of affordable housing in Oregon to improve collaboration and efficacy between systems impacting people in poverty. We'll strengthen our engagement with the education and health care systems, and we will work tirelessly to add more affordable housing in our community, regardless of our role or ownership stake.

## Overview of the Agency's Moving to Work Goals and Objectives for the Year

The Portland metro region continues to experience a challenging housing market in terms of unprecedented rent increases and historically low vacancy rates. As a reaction to the state's housing rental crisis, the Oregon state legislature passed several bills in 2016 related to housing and the City of Portland extended our declared housing emergency until at least October 2018.

Home Forward recognized the signs of the looming crisis years ago and proactively implemented Moving to Work activities to assist Housing Choice Voucher holders and residents in past MTW plans. We were able to optimize our MTW flexibility in critical ways early on in our region's housing emergency and we are closely monitoring those activities to ensure they are assisting our participants in the most effective ways possible. Our two proposed initiatives in this plan will help us to continue to address our local housing crisis by making sure people transitioning from homelessness into certain Single Room Occupancy (SRO) buildings will not be impacted by minimum rents while they work towards stability and by creating flexibility in our project-based voucher program to enhance the development of more affordable housing in our community.

Home Forward's strategic plan was developed during our region's housing emergency and every initiative was designed through the lens of this crisis. We have taken a bold yet thoughtful approach to the next three years and our staff and leadership will stretch their capacity to help our community through these difficult times.

We are also intentionally dedicating organizational capacity towards the U.S. Department of Housing and Urban Development's (HUD) Rental Assistance Demonstration (RAD) program. Home Forward is in the process of converting our public housing portfolio to a Section 8-based platform through RAD, a tool that enables the preservation of this critical affordable housing infrastructure for generations to come. This effort will create a significant body of work for the entire organization for years to come. The subsidy conversion transitions the public housing portfolio to a more stable funding source and will give Home Forward the ability to rehabilitate buildings and address long-standing capital needs while continuing to serve the same vulnerable population. We recognize RAD impacts our current residents who call our buildings home and additional organizational capacity will be required to effectively communicate and prepare them for the transition.

## Overview of Non MTW Activities

### Mobility Counseling and Transportation Toolkit

In 2013, Home Forward was awarded a grant from Metro, a regional governmental planning agency, to work collaboratively with the three metro-area housing authorities to develop tools to provide mobility counseling to Housing Choice Voucher participants. The goal is to reduce participants' combined housing and transportation costs and assist them in identifying housing locations with affordable transit options. This work also achieves goals to further Fair Housing goals. Since April 2014, each housing authority introduced the transportation toolkit to participants when they move or attend the initial program orientation. The online and paper toolkit includes a video, brochures for considering transportation costs when moving, a worksheet to compare transportation costs of housing choices, and a tutorial for using WalkScore. In August 2015, the evaluation of the project was completed. Due to the challenging rental market during the evaluation period, transportation costs and access did not show up as a significant indicator of housing choice. Nonetheless, participants that were shown the toolkit did report better access to transit in their new home and that their housing costs decreased. In December 2015, the Mobility Counseling grant was closed out with Metro. At the project's end, each housing authority made a commitment to maintain access to the toolkit on their website and continue to introduce the toolkit to new participants at program orientations and current participants at the time of moving. The online and paper toolkit includes a video, brochures for considering transportation costs when moving, a worksheet to compare transportation costs of housing choices, and a tutorial for using WalkScore.

### VASH Households

Veterans Affairs Supportive Housing (VASH) vouchers are an important and valuable resource for homeless veterans in our community. Home Forward currently administers 664 VASH vouchers, up from our original award of 70 vouchers in FY2009. Most recently, Home Forward was awarded 75 new VASH vouchers with an effective date of April 1, 2018. We are proud to administer these vouchers for the veteran men and women in our community.

Our March 2018 VASH utilization level was up to 96%. With the April 2018 award, our utilization has dropped as we work with the VA and community partners to lease-up these new vouchers. As of July 2018, our utilization is at 88%.

Our ability to effectively increase the scale of our VASH program in the face of an incredibly tight rental market is testament to the success of our partnership with the local Veterans Administration Medical Center, Multnomah County, the City of Portland and a host of non-profit agencies including Transition Projects, our communities Supportive Services for Veteran Families recipient. Home Forward continues to provide security deposit assistance, and our jurisdictional partners, through the Joint Office for Homeless Services, funds additional flexible placement and retention assistance which can be used for any costs related to removing barriers related to lease-up, such as application fees, utility and housing debt, and transportation costs associated with housing search. Additionally, Home Forward is working with the VA and partners to increase the number of Project Based VASH, in order to increase access to housing for Veterans that face the greatest barriers to lease up in the private rental market. There are currently twelve Project Based VASH units, and we anticipate the lease up of 80 more Project Based VASH units in four properties in 2019.

In fall of 2014 we requested authorization from the HUD Voucher Office to include VASH voucher holders in the application of approved MTW activities. In November and December 2014, we received approval to apply the requested MTW activities to VASH voucher holders. In August 2016, we requested authorization to apply to VASH aspects of our local MTW Project Based Voucher program together with new MTW activities included in our FY 2017 plan.

## A Home for Everyone

A Home for Everyone is a community-wide effort to better assist people experiencing homelessness in Multnomah County. Established through a charter adopted by Home Forward, Multnomah County, and the cities of Portland and Gresham, A Home for Everyone is led by an executive committee comprised of jurisdictional and funding partners and supported by a coordinating board comprised of a diverse set of community, nonprofit and government stakeholders. By charter, Home Forward has a seat on the Home for Everyone Executive Committee. Through our participation in A Home for Everyone, Home Forward works to strategically align our resources with the community's larger efforts to address homelessness.

In 2014, the Home for Everyone Coordinating Board developed staged action plans related to community efforts to address homelessness. This included an actionable plan to end veteran homelessness, an assessment of housing needs and gaps for people experiencing homelessness, and a plan to reduce the gap by 50%. In response to action plans developed by a Home for Everyone, Home Forward committed to new targeting of Housing Choice Vouchers in two areas:

1. A limited preference for up to fifty vouchers for veteran families that are ineligible for Veterans Affairs Supportive Housing vouchers.
2. A limited preference for up to 200 vouchers for families assisted through Multnomah County's Homeless Family System of Care.

These preferences were fully utilized in FY 2017. An evaluation of these preferences showed their success at achieving strong lease-up, retention and resource alignment outcomes for families and individuals leaving homelessness. Home Forward may continue or expand these efforts utilizing the Tenant-Based Voucher Set Aside activity approved with our FY 2017 Moving to Work Plan. Unfortunately, the disparity between voucher expenses and voucher funding levels has limited Home Forward's ability to issue vouchers and we have been unable, yet, to continue or expand these successful preferences.

In 2018, Home Forward was selected by A Home For Everyone and local government funders to administer the Long Term Rent Assistance (LRA) program. This pilot project serves forty-five households with permanent rent assistance. Assisted households were either experiencing or in danger of homelessness at the outset of assistance and were selected by community non-profits to receive permanent rent assistance paired with housing retention supports.

## High Rise Preservation Efforts: 85 Stories

As part of our Strategic Plan goal, One Portfolio, we will increase the number of housing units for our community through preservation, development and acquisition. With approvals from HUD in both Section 18 and RAD, Home Forwards entire public housing portfolio will be transitioned to either project-based vouchers or project-based rental assistance.

Phase I (The first four high rise towers): HUD approved our proposal to change the operating subsidy at four of the buildings from public housing to project-based Section 8 funding (via a Section 18 disposition process). This subsidy change occurred during September 2013 and transfer to the LIHTC partnership (and the accompanying affordable housing lease up) happened during FY2015. The Phase I budget was approximately \$124.7 million. Because of the great importance of this work, the agency contributed a significant amount of its own resources to Phase I: \$13.6 million (11% of the total funding). Construction was completed during FY2017 at each of the first four properties (Group 1: Northwest Tower & Annex, plus Gallagher Plaza; and Group 2: Hollywood East, and Sellwood Center).



Phase II: RAD conversions focused in two groups occurred during Fall 2017. Group 3 included six mixed finance properties which were not in need of renovations. Group 4 included three HOPE VI properties which are also newer properties that were not in need of renovations

Phase III: To complete the RAD and Section 18 conversions, we have developed a financial strategy that allows the agency to complete the process by FY2021 by converting public housing in groups (or bundles) of properties. Group 5 includes two Section 18 properties and five RAD properties. Financial closing for Group 5 is scheduled for November 2017, and followed by extensive renovation at the two Section 18 properties and moderate rehab at the remaining properties. Group 6 also includes two Section 18 properties along with seven RAD properties/ Financial closing for Group 6 is scheduled for March 2019. Our remaining properties are broken into three more groups (Groups 7-9) and include four more Section 18 and 13 RAD conversions.

### Public Housing Strategy

Several important policies guide us as we undertake this complex and challenging initiative. We developed these policies through our work over the last 15 years, starting with our first HOPE VI redevelopment, to preserve this vital community resource.

### Policy Guidelines for Subsidy Conversion

- 1) Continue to serve very low-income populations in these communities
- 2) Maintain ownership or control of the properties
- 3) Improve the physical and financial condition of the properties
- 4) Partner to optimize public and private resources on behalf of the properties and our residents

As described in the previous 85 Stories section, the subsidy conversion process uses a combination of HUD's RAD and Section 18 programs. The charts below summarize the conversion of properties by HUD program.

### REMAINING RAD CONVERSIONS

| AMP (PH units) | Property Name     | Total Public Housing Units in CHAPS | Converted 2018 | Conversion FY19 | Conversion Post FY19 | 85 Stories Group |
|----------------|-------------------|-------------------------------------|----------------|-----------------|----------------------|------------------|
| OR002000237    | Powellhurst       | 34                                  |                | 34              |                      | Group 6          |
| OR002000121    | Fir Acres         | 32                                  |                |                 | 32                   | Group 7          |
| OR002000337    | Alderwood         | 20                                  |                | 20              |                      | Group 6          |
| OR002000251    | Tillicum North    | 18                                  |                | 18              |                      | Group 6          |
| OR002000151    | Tillicum South    | 12                                  |                | 12              |                      | Group 6          |
| OR002000252    | Hunters Run *     | 10                                  |                | 10              |                      | Group 6          |
| OR002000122    | Townhouse Terrace | 32                                  |                |                 | 32                   | Group 7          |

|             |                    |    |    |    |         |
|-------------|--------------------|----|----|----|---------|
| OR002000123 | Stark Manor        | 30 |    | 30 | Group 7 |
| OR002000124 | Lexington Court    | 20 |    | 20 | Group 8 |
| OR002000125 | Eastwood Court     | 32 |    | 32 | Group 7 |
| OR002000126 | Carlton Court      | 24 |    | 24 | Group 7 |
| OR002000131 | Slavin Court       | 24 |    | 24 | Group 8 |
| OR002000132 | Demar Downs        | 18 |    | 18 | Group 7 |
| OR002000138 | Eliot Square       | 30 | 30 |    | Group 5 |
| OR002000142 | Celilo Court       | 28 |    | 28 | Group 7 |
| OR002000152 | Harold Lee Village | 10 |    | 10 | Group 6 |
| OR002000153 | Floresta           | 20 |    | 20 | Group 6 |
| OR002000203 | Maple Mallory      | 48 | 48 |    | Group 5 |
| OR002000232 | Bel Park           | 10 | 10 |    | Group 5 |
| OR002000236 | Winchell Court     | 10 | 10 |    | Group 5 |
| OR002000332 | Camelia Court      | 14 | 14 |    | Group 5 |
| OR002000336 | Cora Park          | 10 |    | 10 | Group 8 |
| OR002000436 | Chateau Apt.       | 10 |    | 10 | Group 8 |
| OR002000705 | Scattered East A   | 7  |    | 7  | Group 8 |
| OR002000108 | Peaceful Villa     | 70 |    |    | Group 8 |

Previous 85 Stories RAD Conversions - Group 3 converted using the RAD program and included The Jeffrey, Rockwood Station, Martha Washington, Bud Clark Commons, Madrona Place, and Fairview Oaks and Woods. As Group 4, Home Forward's three HOPE VI properties (containing seven mixed-finance partnerships) also converted to project-based Section 8 subsidy using the RAD program.

**Section 18 Disposition** – During Spring 2018, Home Forward received approval from HUD for our remaining Section 18 conversions. These followed the successful first conversions comprised of Group 1 and 2 with four of our high-rise properties.

#### REMAINING SECTION 18 CONVERSIONS

| AMP         | Property Name      | Total Public Housing ACC Units | Units in Section 18 | 85 Stories Group |
|-------------|--------------------|--------------------------------|---------------------|------------------|
| OR002000111 | Dekum Court        | 40                             | 40                  | Group 9          |
| #N/A        | Dekum Addition     |                                | 20                  | Group 9          |
| OR002000114 | Dahlke Manor       | 115                            | 115                 | Group 7          |
| OR002000113 | Tamarack Apts.     | 120                            | 120                 | Group 5          |
| OR002000115 | Holgate House      | 80                             | 80                  | Group 8          |
| OR002000117 | Schrunk Rivertower | 118                            | 118                 | Group 5          |
| OR002000118 | Williams Plaza     | 101                            | 101                 | Group 6          |
| OR002000139 | Medallion Apts.    | 90                             | 90                  | Group 6          |
| OR002000140 | Ruth Haefner Plaza | 73                             | 73                  | Group 8          |

# General Operating Information

## A. Housing Stock Information

### i. Planned New Public Housing Units

New public housing units that the MTW PHA anticipates will be added during the Plan Year.

| ASSET MANAGEMENT<br>PROJECT (AMP)<br>NAME AND NUMBER    | BEDROOM SIZE |   |   |   |   |    | TOTAL<br>UNITS | POPULATION<br>TYPE* | # of Uniform Federal<br>Accessibility Standards<br>(UFAS) Units |           |
|---------------------------------------------------------|--------------|---|---|---|---|----|----------------|---------------------|-----------------------------------------------------------------|-----------|
|                                                         | 0/1          | 2 | 3 | 4 | 5 | 6+ |                |                     | Fully<br>Accessible                                             | Adaptable |
| N/A                                                     | 0            | 0 | 0 | 0 | 0 | 0  | 0              | N/A                 | 0                                                               | 0         |
| Total Public Housing Units to be Added in the Plan Year |              |   |   |   |   |    | 0              |                     |                                                                 |           |

\* Select "Population Type" from: General, Elderly, Disabled, Elderly/Disabled, Other

If "Population Type" is "Other" please describe:

N/A

### ii. Planned Public Housing Units to be Removed

Public housing units that the MTW PHA anticipates will be removed during the Plan Year.

| AMP NAME AND<br>NUMBER            | NUMBER OF UNITS TO BE<br>REMOVED | EXPLANATION FOR REMOVAL                                                                                                                      |
|-----------------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| Alderwood<br>OR002000337          | 20                               | Rental Assistance Demonstration – received CHAPs<br>(Commitment to Enter into a Housing Assistance Payment<br>Contract) from HUD August 2016 |
| Hunters Run<br>OR002000252        | 10                               |                                                                                                                                              |
| Tillicum South<br>OR002000151     | 12                               |                                                                                                                                              |
| Harold Lee Village<br>OR002000152 | 10                               |                                                                                                                                              |
| Floresta<br>OR002000153           | 20                               |                                                                                                                                              |
| Powellhurst<br>OR002000237        | 34                               |                                                                                                                                              |
| Tillicum North<br>OR002000251     | 18                               |                                                                                                                                              |
| Fir Acres<br>OR002000121          | 32                               |                                                                                                                                              |
| Townhouse Terrace<br>OR002000122  | 32                               |                                                                                                                                              |
| Stark Manor<br>OR002000123        | 30                               |                                                                                                                                              |
| Eastwood Court<br>OR002000125     | 32                               |                                                                                                                                              |

|                                |     |                                                           |
|--------------------------------|-----|-----------------------------------------------------------|
| Carlton Court<br>OR002000126   | 24  |                                                           |
| Demar Downs<br>OR002000132     | 18  |                                                           |
| Celilo Court<br>OR002000142    | 28  |                                                           |
| Dahlke Manor<br>OR002000114    | 115 |                                                           |
| Medallion Apts.<br>OR002000139 | 90  | Section 18                                                |
| Williams Plaza<br>OR002000118  | 101 | Section 18                                                |
|                                | 626 | Total Public Housing Units to be Removed in the Plan Year |

**iii. Planned New Project Based Vouchers**

Tenant-based vouchers that the MTW PHA anticipates project-basing for the first time during the Plan Year. These include only those in which at least an Agreement to enter into a Housing Assistance Payment (AHAP) will be in place by the end of the Plan Year. Indicate whether the unit is included in the Rental Assistance Demonstration (RAD).

| PROPERTY NAME        | NUMBER OF<br>VOUCHERS TO BE<br>PROJECT-BASED | RAD?                                             | DESCRIPTION OF PROJECT                                                                                              |
|----------------------|----------------------------------------------|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| The Rose             | 57                                           | Yes                                              | SRO Mod Rehab RAD II Conversion                                                                                     |
| Biltmore Hotel       | 75                                           | Yes                                              | SRO Mod Rehab RAD II Conversion                                                                                     |
| Kenton Neighborhood  | 18                                           | No                                               | New construction with Transition Projects. Will include 18 Project-Based VASH                                       |
| NE 8th/Alberta Alive | 25                                           | No                                               | New construction with Community Development Partners. Will include 25 Project-Based VASH                            |
| St. Mark's           | 20                                           | No                                               | New construction with Do Good Multnomah. Will include 20 Project-Based VASH                                         |
| King Park Apts       | 20                                           | No                                               | New construction with PCRI, Inc. Will include 20 project-based vouchers for families leaving homelessness           |
| N. Williams          | 40                                           | No                                               | New construction with Bridge Housing, Inc. Will include 40 project-based vouchers for families leaving homelessness |
|                      | 255                                          | Planned Total Vouchers to be Newly Project-Based |                                                                                                                     |

**iv. Planned Existing Project Based Vouchers**

Tenant-based vouchers that the MTW PHA is currently project-basing in the Plan Year. These include only those in which at least an AHAP is already in place at the beginning of the Plan Year. Indicate whether the unit is included in RAD.

| PROPERTY NAME              | NUMBER OF<br>PROJECT-BASED<br>VOUCHERS | PLANNED<br>STATUS AT END<br>OF PLAN YEAR* | RAD? | DESCRIPTION OF PROJECT    |
|----------------------------|----------------------------------------|-------------------------------------------|------|---------------------------|
| Tamarack                   | 120                                    | Leased/Issued                             | Yes  | Section 18 RAD Conversion |
| Schrunk Riverview<br>Tower | 118                                    | Leased/Issued                             | Yes  | Section 18 RAD Conversion |
| Camelia Court              | 14                                     | Leased/Issued                             | Yes  | RAD I PBV Conversion      |

|                         |     |                                               |     |                                                                                                    |
|-------------------------|-----|-----------------------------------------------|-----|----------------------------------------------------------------------------------------------------|
| Eliot Square            | 30  | Leased/Issued                                 | Yes |                                                                                                    |
| Maple Mallory           | 48  | Leased/Issued                                 | Yes |                                                                                                    |
| Bel Park                | 10  | Leased/Issued                                 | Yes |                                                                                                    |
| Winchell Court          | 10  | Leased/Issued                                 | Yes |                                                                                                    |
| Beatrice Morrow         | 20  | Committed                                     | No  | Portland Housing Bureau PBV<br>NOFA of 2015, awarded in 2016,<br>Home Forward RFP award<br>process |
| NW Raleigh              | 40  | Committed                                     | No  |                                                                                                    |
| Woody Guthrie           | 15  | Committed                                     | No  |                                                                                                    |
| The Jade                | 12  | Committed                                     | No  |                                                                                                    |
| RiverPlace              | 80  | Committed                                     | No  |                                                                                                    |
| 72 <sup>nd</sup> Foster | 20  | Committed                                     | No  |                                                                                                    |
| NE Grand                | 20  | Committed                                     | No  |                                                                                                    |
| Garfield                | 28  | Leased/Issued                                 | No  | New construction with Do Good<br>Multnomah/NW Ventures. Will<br>include 28 Project-Based VASH      |
|                         | 585 | Planned Total Existing Project-Based Vouchers |     |                                                                                                    |

\* Select "Planned Status at the End of Plan Year" from: Committed, Leased/Issued

**v. Planned Other Changes to MTW Housing Stock Anticipated During the Plan Year**

Examples of the types of other changes can include (but are not limited to): units held off-line due to relocation or substantial rehabilitation, local, non-traditional units to be acquired/developed, etc.

**PLANNED OTHER CHANGES TO MTW HOUSING STOCK ANTICIPATED IN THE PLAN YEAR**

N/A

**General Description of All Planned Capital Expenditures During the Plan Year**

Narrative general description of all planned capital expenditures of MTW funds during the Plan Year.

| GENERAL DESCRIPTION OF ALL PLANNED CAPITAL EXPENDITURES DURING THE PLAN YEAR |                                              |                  |                            |                  |                              |
|------------------------------------------------------------------------------|----------------------------------------------|------------------|----------------------------|------------------|------------------------------|
| Community                                                                    | Activity                                     | Capital Fund     | Percentage of Capital Fund | Total Expended   | Percentage of Total Expended |
| PHA Wide                                                                     | 15% Administrative Allowance                 | 973,529          | 10.04%                     | 973,529          | 10.04%                       |
| Dekum Court                                                                  | Sewer Line Repairs                           | 24,501           | 0.25%                      | 24,501           | 0.25%                        |
| Dekum Court                                                                  | Abatement                                    | 10,427           | 0.11%                      | 10,427           | 0.11%                        |
| Tamarack                                                                     | Emergency Sewer Repairs                      | 34,675           | 0.36%                      | 34,675           | 0.36%                        |
| Tamarack                                                                     | Flooring Abatement                           | 20,278           | 0.21%                      | 20,278           | 0.21%                        |
| Dahlke Manor                                                                 | Appliances                                   | 20,256           | 0.21%                      | 20,256           | 0.21%                        |
| Dahlke Manor                                                                 | Asbestos Abatement                           | 8,000            | 0.08%                      | 8,000            | 0.08%                        |
| Holgate House                                                                | Appliances                                   | 5,507            | 0.06%                      | 5,507            | 0.06%                        |
| Holgate House                                                                | Door Entry System                            | 9,000            | 0.09%                      | 9,000            | 0.09%                        |
| Schrunk Riverview Tower                                                      | Abatement                                    | 14,482           | 0.15%                      | 14,482           | 0.15%                        |
| Schrunk Riverview Tower                                                      | Elevator Repair                              | 50,000           | 0.52%                      | 50,000           | 0.52%                        |
| Williams Plaza                                                               | Flooring Abatement                           | 10,000           | 0.10%                      | 10,000           | 0.10%                        |
| Williams Plaza                                                               | Appliances                                   | 16,703           | 0.17%                      | 16,703           | 0.17%                        |
| Williams Plaza                                                               | Roofing Re-coat                              | 4,000            | 0.04%                      | 4,000            | 0.04%                        |
| Townhouse Terrace                                                            | New Fence                                    | 5,000            | 0.05%                      | 5,000            | 0.05%                        |
| Lexington Court                                                              | New Fence                                    | 6,000            | 0.06%                      | 6,000            | 0.06%                        |
| Demar Downs                                                                  | Garbage Enclosure Repair                     | 36,196           | 0.37%                      | 36,196           | 0.37%                        |
| Medallion                                                                    | Abatement                                    | 15,722           | 0.16%                      | 15,722           | 0.16%                        |
| Medallion                                                                    | Appliances                                   | 12,639           | 0.13%                      | 12,639           | 0.13%                        |
| Ruth Haefner                                                                 | Flooring and Abatement                       | 24,000           | 0.25%                      | 24,000           | 0.25%                        |
| Ruth Haefner                                                                 | Roof Repair                                  | 5,000            | 0.05%                      | 5,000            | 0.05%                        |
| Ruth Haefner                                                                 | Door Entry System                            | 20,000           | 0.21%                      | 20,000           | 0.21%                        |
| Celilo Court                                                                 | Sidewalk Repair                              | 15,000           | 0.15%                      | 15,000           | 0.15%                        |
| Celilo Court                                                                 | Windows Replacement                          | 10,280           | 0.11%                      | 10,280           | 0.11%                        |
| Celilo Court                                                                 | Parking Lot Restriping                       | 5,100            | 0.05%                      | 5,100            | 0.05%                        |
| Floresta                                                                     | Office Furnace Replacement                   | 6,000            | 0.06%                      | 6,000            | 0.06%                        |
| Maple Mallory                                                                | Radon Mitigation                             | 60,000           | 0.62%                      | 60,000           | 0.62%                        |
| Maple Mallory                                                                | Boiler Replacement                           | 9,000            | 0.09%                      | 9,000            | 0.09%                        |
| Winchell Court                                                               | Radon Mitigation                             | 30,000           | 0.31%                      | 30,000           | 0.31%                        |
| Powellhurst                                                                  | Sewer Line Repairs                           | 5,000            | 0.05%                      | 5,000            | 0.05%                        |
| Camelia Court                                                                | Radon Mitigation                             | 30,000           | 0.31%                      | 30,000           | 0.31%                        |
| Various Properties                                                           | PH Discretionary Project Funds               | 571,405          | 5.89%                      | 571,405          | 5.89%                        |
| *Various Properties                                                          | Major Systems Upgrades - 85 Stories Projects | 7,626,339        | 78.67%                     | 7,626,339        | 78.67%                       |
| <b>Total Capital Expenditures Budget</b>                                     |                                              | <b>9,694,038</b> | <b>100%</b>                | <b>9,694,038</b> | <b>100%</b>                  |

\*Note: The Major Systems Upgrades titled 85 Stories is planned for Home Forward's public housing properties converting to HUD's RAD and/or Section 18 Disposition programs.

Groups 1 & 2 have been approved for disposition and completed construction in December 2016. The work were bundled into two tax credit entities. Group 1 consist of Gallagher Plaza and Northwest Tower and Group 2 consist of Sellwood Center and Hollywood East.

Groups 3 & 4 received approval through the HUD's RAD Program and were converted to RAD in December 2017. Group 3 consist of six mixed finance properties - Fairview Oaks & Woods, Rockwood Station, The Jeffrey, Martha

Washington, Madrona Place and Bud Clark Commons. Group 4 consist of seven HOPE VI properties - Humboldt Gardens, Haven Limited Partnership, Cecelia Limited Partnership, Trouton Limited Partnership, Woolsey Limited Partnership, Stephen's Creek Crossing South and Stephen's Creek Crossing North.

Groups 5 & 6 received approval through HUD's RAD and Section 18 Disposition Programs and are still in the planning phase. The work will be bundled into two tax credit entities. Group 5 is planned to consist seven properties - Tamarack, Schunk Tower, Eliot Square, Maple Mallory, Bel Park, Winchell Court and Camelia Court. Group 6 is planned to consist nine properties - Williams Plaza, Medallion, Harold Lee, Floresta, Powellhurst, Tillicum North, Tillicum South, Hunter's Run and Alderwood.

## B. Leasing Information

### i. Planned Number of Households Served

Snapshot and unit month information on the number of households the MTW PHA plans to serve at the end of the Plan Year.

| PLANNED NUMBER OF HOUSEHOLDS SERVED THROUGH: | PLANNED NUMBER OF UNIT MONTHS OCCUPIED/LEASED* | PLANNED NUMBER OF HOUSEHOLDS TO BE SERVED** |
|----------------------------------------------|------------------------------------------------|---------------------------------------------|
| MTW Public Housing Units Leased              | 11,172                                         | 931                                         |
| MTW Housing Choice Vouchers (HCV) Utilized   | 103,524                                        | 8,627                                       |
| Local, Non-Traditional: Tenant-Based^        | 1,389                                          | 116                                         |
| Local, Non-Traditional: Property-Based^      | 60                                             | 5                                           |
| Local, Non-Traditional: Homeownership^       | 0                                              | 0                                           |
| <b>Planned Total Households Served</b>       | <b>116,145</b>                                 | <b>9,679</b>                                |

\* "Planned Number of Unit Months Occupied/Leased" is the total number of months the MTW PHA plans to have leased/occupied in each category throughout the full Plan Year.

\*\* "Planned Number of Households to be Served" is calculated by dividing the "Planned Number of Unit Months Occupied/Leased" by the number of months in the Plan Year.

^ In instances when a local, non-traditional program provides a certain subsidy level but does not specify a number of units/households to be served, the MTW PHA should estimate the number of households to be served.

| LOCAL, NON-TRADITIONAL CATEGORY | MTW ACTIVITY NAME/NUMBER      | PLANNED NUMBER OF UNIT MONTHS OCCUPIED/LEASED* | PLANNED NUMBER OF HOUSEHOLDS TO BE SERVED* |
|---------------------------------|-------------------------------|------------------------------------------------|--------------------------------------------|
| Tenant-Based                    | Program Based Assistance / 14 | 1,389                                          | 116                                        |
| Property-Based                  | Program Based Assistance / 14 | 60                                             | 5                                          |
| Homeownership                   | N/A                           | 0                                              | 0                                          |

\* The sum of the figures provided should match the totals provided for each local, non-traditional categories in the previous table. Figures should be given by individual activity. Multiple entries may be made for each category if applicable.

### ii. Discussion of Any Anticipated Issues/Possible Solutions Related to Leasing

Discussions of any anticipated issues and solutions in the MTW housing programs listed.

| HOUSING PROGRAM            | DESCRIPTION OF ANTICIPATED LEASING ISSUES AND POSSIBLE SOLUTIONS                                                                                        |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| MTW Public Housing         | There are no anticipated issues related to leasing public housing units.                                                                                |
| MTW Housing Choice Voucher | We continue to experience a very tight rental market, making it difficult for voucher holders to find affordable housing. To support voucher holders in |



|                        |                                                                                                                                                   |
|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
|                        | their search, we have been offering deposit assistance to participants that complete a tenant education class taught by a community organization. |
| Local, Non-Traditional | There are no anticipated issues related to local, non-traditional units.                                                                          |

## C. Waiting List Information

### i. Waiting List Information Anticipated

Snapshot information of waiting list data as anticipated at the beginning of the Plan Year. The “Description” column should detail the structure of the waiting list and the population(s) served.

| WAITING LIST NAME                                | DESCRIPTION       | NUMBER OF HOUSEHOLDS ON WAITING LIST | WAITING LIST OPEN, PARTIALLY OPEN OR CLOSED | PLANS TO OPEN THE WAITING LIST DURING THE PLAN YEAR |
|--------------------------------------------------|-------------------|--------------------------------------|---------------------------------------------|-----------------------------------------------------|
| MTW Public Housing                               | Site-Based        | 7,034                                | Partially Open                              | Yes                                                 |
| MTW Housing Choice Voucher                       | Community-Wide    | 3,066                                | Partially Open                              | No                                                  |
| MTW Housing Choice Voucher                       | Site-Based        | 11,988                               | Partially Open                              | Yes                                                 |
| Tenant-Based Local, Non-Traditional MTW Program  | Other (see below) | 0                                    | Open                                        | No                                                  |
| Project-Based Local, Non-Traditional MTW Program | Site Based        | 0                                    | Closed                                      | No                                                  |

Please describe any duplication of applicants across waiting lists:

329 households are duplicated across the MTW Public Housing and MTW Housing Choice Voucher (Community-Wide) waitlists. The MTW Housing Choice Voucher (Site-Based) is a Project-Based voucher waitlist (see Activity 10), with waitlists kept by partner agencies administering the PBV contract. Additional households may be duplicated between MTW Public Housing, MTW Housing Choice Voucher (Community-Wide) and MTW Housing Choice Voucher (Site-Based).

Our MTW Public Housing waiting list is currently closed except for households needing an ADA accessible unit or applicants who have a documented terminal illness with a life expectancy of less than 12 months.

In FY2019 we anticipate opening the waiting list for at least two of our buildings designated for seniors and people with disabilities, as well as waiting lists for at least one to two family properties.

Our Tenant-Based Local, Non-Traditional program is our Program Based Assistance program (described in detail in Activity 14). This program largely contracts funds to partner agencies, which then manage the participant selection process, sometimes in partnership with Home Forward.

Each agency currently uses different methods for participant selection, but all programs target families who are homeless or at risk of homelessness.

In 2019, Home Forward will fund three tenant-based local, non-traditional programs. These three programs are administered by two partner agencies.

- Two of these tenant-based programs utilize waiting lists. These programs are for families with children at Alder School and at Earl Boyles Elementary School. These programs receive referrals to the waitlist during the school year.
- The third tenant-based program, Economic Opportunity Program, does not utilize a waiting list. Rather, when funding becomes available, the STRA agency begins accepting referrals from their partner agency, Worksystems, until the available funding is obligated.

**ii. Planned Changes to Waiting List in the Plan Year**

Please describe any anticipated changes to the organizational structure or policies of the waiting list(s), including any opening or closing of a waiting list, during the Plan Year.

| WAITING LIST NAME | DESCRIPTION OF PLANNED CHANGES TO WAITING LIST |
|-------------------|------------------------------------------------|
| N/A               | N/A                                            |

# Proposed MTW Activities

## Mod Rehab and Mod Rehab SRO Rent Assistance Demonstration Rent Reform

Home Forward implemented its comprehensive Rent Reform activity in FY2012 (page 21). The Rent Reform activity eliminates deductions from the subsidy calculation, utilizes an alternative percentage calculation for total tenant payment, and implements a tiered subsidy structure for non-elderly, non-disabled (“work-focused”) households.

Home Forward administers project-based rent assistance for 517 units of housing assisted through the U.S. Department of Housing and Urban Development’s Section 8 Moderate Rehabilitation and McKinney Moderate Rehabilitation Single Room Occupancy programs. This housing is a critical component of our community’s housing stock available to people leaving homelessness. Owners of these properties have the opportunity to participate in the U.S. Department of Housing and Urban Development’s Rent Assistance Demonstration (RAD) in order to preserve and improve their properties. Participation in RAD can involve the conversion of a properties existing subsidy contract into a Project Based Voucher contract that may operate under the program requirements of Home Forward’s Local Project Based Voucher MTW activity (page 38). Participants in Home Forward’s Local Project Based Voucher program are subject to Home Forward’s Rent Reform Activity.

While the Rent Reform activity has been successful in meeting its cost savings and self-sufficiency objectives, Home Forward recognizes that the tiered rent structure for work-focused households does not adequately meet the needs of certain households or programs. Specifically, analysis shows that implementing the full Rent Reform activity at our Section 8 Mod Rehab and Mod Rehab SRO properties, which serve significant numbers of households moving out of homelessness, may result in household displacement over time and have a significant effect on these properties’ ability to continue to serve these households. Home Forward instead proposes implementing an alternative rent reform initiative for these properties post-RAD to allow owners to take advantage of the Rent Assistance Demonstration while continuing to serve the same tenant population profile.

The SRO Mod Rehab Rent Reform initiative mirrors our existing previously approved Rent Reform activity but eliminates certain aspects of that activity, such as the tiered rent structure for work-focused households. Specifically the SRO Mod Rehab Rent Reform Activity implements the following policies:

- Eliminates all deductions in rent calculations. To offset the loss in deduction, rent will instead be calculated based on 28.5% of gross income. There is no minimum rent and utility reimbursements are allowed.
- Implements a triennial income re-certification schedule. For our existing Rent Reform activity, Home Forward created a separate “release of information” form to supplement the HUD Form 9886, in order to obtain a release of information that covers the appropriate biennial or triennial review cycle which will be utilized in this activity.
- Simplifies the proration of subsidy for mixed-families to a flat \$100 monthly reduction in assistance, regardless of the number of ineligible members.

### MTW authorization:

Attachment C, Section D(3)(b) – Eligibility of Participants

Attachment D, Section B(2) – Rent Structure and Rent Reform

### Statutory objective:

Reduce cost and achieve greater cost effectiveness in Federal expenditures

Increase housing choices for low-income families

- Requires an interim review for a household that reports a change in family size and has resided in their unit for at least 12 months. Any changes to voucher size, payment standard, and subsidy calculation will be effective 120 days after the interim review.
- Eliminates the earned income disallowance.
- Makes use of actual past income to determine annual income for participant families.
- Uses all income sources as currently defined by HUD to determine a household's assistance, with the following exceptions:
  - The value of any asset or the value of any income derived from that asset is not used in the rent calculation, except when the asset makes regular payments (quarterly or more often) to the resident or participant. However, the value of assets or the value of any income derived from assets is used to determine initial eligibility. Home Forward allows households to self-certify assets with a net value of \$5,000 or less.
  - All earned income of full-time students age 18 and over is excluded from the rent calculation, unless they are the head, co-head or spouse of the household.
  - Student financial assistance is considered only for the purpose of determining eligibility. Student financial assistance is not used to determine annual income for rent and subsidy calculation.
  - All adoption assistance payments are excluded from the rent calculation.
  - Households have the option to not report income that is not used in the rent calculation, such as foster care payments. However, Home Forward will accept income reporting of such sources for use in determining affordability of a unit. Home Forward permits families to rent units where the family share is up to 50% of their gross income.

#### Activity Metrics:

| Metric                                                           | Baseline         | Benchmark                  | Final Projected Outcome     |
|------------------------------------------------------------------|------------------|----------------------------|-----------------------------|
| Agency cost savings (Standard Metric: CE#1)                      |                  |                            |                             |
| Total cost of task                                               | FY2018: \$1,660  | FY2019: Less than \$1,660  | Less than \$1,660 annually  |
| Staff time savings (Standard Metric: CE#2)                       |                  |                            |                             |
| Total time to complete the task                                  | FY2018: 80 hours | FY2019: Less than 80 hours | Less than 80 hours annually |
| Decrease in error rate of task execution (Standard Metric: CE#3) |                  |                            |                             |
| Average error rate in completing task                            | FY2018: 7.5%     | FY2019: 7.5% or less       | Maintain 7.5% or less       |
| Increase in tenant share of rent (Standard Metric: CE#5)         |                  |                            |                             |
| Total annual tenant share of rent                                | FY2018: \$17,344 | FY2019: \$17,344           | \$17,344                    |
| Increase in household income (Standard Metric: SS#1)             |                  |                            |                             |

| Metric                                                                                                                                                                                                                                                                                                                                                           | Baseline              | Benchmark             | Final Projected Outcome |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|-------------------------|
| Average earned income of households                                                                                                                                                                                                                                                                                                                              | FY2018: \$1,228       | FY2019:\$1,228        | \$1,228                 |
| Increase in positive outcomes in employment status (Standard Metric: SS#3)<br>Note: Home Forward does not collect detailed employment data from SRO participants. The number provided reflects the total number of work-focused households.                                                                                                                      |                       |                       |                         |
| Number of heads of households who:<br>(6) Other                                                                                                                                                                                                                                                                                                                  | FY2018: 32 households | FY2019: 32 households | 32 households           |
| Percent of work-focused households who:<br>(6) Other                                                                                                                                                                                                                                                                                                             | FY2018: 32 households | FY2019: 32 households | 32 households           |
| Households Removed from Temporary Assistance for Needy Families (TANF) (Standard Metric: SS#4)                                                                                                                                                                                                                                                                   |                       |                       |                         |
| Number of households receiving TANF assistance                                                                                                                                                                                                                                                                                                                   | FY2018: 1 households  | FY2019: 0 households  | 0 households            |
| Households transitioned to self-sufficiency (Standard Metric: SS#8)<br>Note: Home Forward's SRO properties assist households transitioning from homelessness to permanent, stable housing. Rather than moving to self-sufficiency, Home Forward anticipates participant households will move from an SRO property into a long-term Home Forward subsidy program. |                       |                       |                         |
| Number of households transitioned to self-sufficiency (Defined as households that have earned or permanent income that results in area median income (AMI) above 50% and/or that have voluntarily exited housing assistance)                                                                                                                                     | FY2018: 0 households  | FY2019: 0 households  | 0 households            |

**Cost Implication:**

Home Forward anticipates the proposed activity will result in some staff time savings upon implementation. The increased capacity will be used to allow Home Forward staff to provide additional resources for residents.

**Need for MTW Flexibility:**

Home Forward is using our rent reform authorizations to eliminate deductions, simplify the rent calculation, change review schedules, and implement other policy adjustments that, as a whole, make up our rent reform activity, as described above. The requested waiver authority will result in cost and time savings for the agency while ensuring the effected properties can continue to their mission of assisting households moving out of homelessness.

**Impact Analysis:**

Home Forward completed an impact analysis for Biltmore Hotel (sc825) and The Rose (sc840), the two properties slated for Mod Rehab in 2019. Thirty-two households (24%) at the two properties fall under the work-focused

category while 100 (68%) fall under Senior/Disabled. Of the work-focused, 7 (22%) have income and 25 (78%) are zero income.

Under the proposed activity, analysis shows that 81 households (61%) will see a decrease or no change in their tenant rent at the Biltmore Hotel or The Rose. The remaining would see an average increase of \$3 or \$4 dollars, respectively, primarily due to the loss of deductions. Average monthly subsidy will increase by \$1 at the Biltmore Hotel and remain the same at The Rose.

**Hardship Policy:**

As with our standard Rent Reform activity, households may apply for a hardship review if their total monthly shelter costs exceed 50% of the total monthly income used to determine their rent subsidy. Section 8 participants who choose to rent housing where the total shelter costs exceed 50% of total monthly income at the time of initial lease-up in that unit will not generally qualify for hardship review; however, all households have the right to request a hardship and exceptions may be made. The committee has a menu of remedies to reduce a qualifying household's burden.

**Annual Reevaluation:**

Home Forward's YARDI database continues to serve as the source for household income and total tenant rent payment information. The baseline data for hours required to conduct rent calculation and income reviews was collected through staff interviews and workflow analysis. This process will be repeated in subsequent years to determine progress towards benchmarks and goals.

**Transition Period:**

Home Forward currently provides assistance to households in nine SRO properties. Of those, two properties, Biltmore and Rose, are currently in the RAD process. Implementation of the activity will occur post-RAD conversion. We expect additional properties to begin the RAD process in the near future.

## Transfer of Project-Based Voucher Contract to Support Local Preservation or Development of Affordable Housing

Home Forward works closely with local affordable housing owners and developers to preserve and increase the affordable housing stock in the community. For many property owners participating in our Project-Based Voucher (PBV) program, the ability to transfer an existing PBV contract to a new location before its expiration would greatly increase the owner's ability to expand the number of affordable units in the region. Currently, only a project owner that has completed a RAD conversion may request a contract transfer. Non-RAD PBV Project Owners have no such recourse.

Home Forward believes this situation discourages some property owners from entering a HAP Contract as it limits the property owner's ability to engage in short- and long-term development and planning. In addition, Home Forward is concerned that with the extreme pressures on Portland's housing stock, property owners may opt to not renew a HAP Contract, as witnessed in similar tight housing markets. Such a situation would create a significant increase in the number of existing households switching to tenant-based assistance. This increase would tie up valuable staff resources and reduce our ability to help new households off the waiting list. Fiscally, an unanticipated increase in tenant-based vouchers reduces our ability to predict costs and may require us to redirect resources currently allocated to opportunity neighborhoods.

Home Forward proposes to create a local Project-Based Contract Transfer activity for all non-RAD PBV property owners. This activity balances the needs to provide a streamlined process for PBV Project Owners with the need to ensure existing households retain their subsidy without impacting our ability to serve new households.

With Home Forward approval, a Project Owner may request a transfer of assistance after 5 years from the effective date of the initial HAP Contract. Home Forward may waive the 5-year requirement for properties for the following reasons:

- The agency or an affiliate holds ownership interest or manages the property;
- A transfer is needed sooner as a result of events such as eminent domain proceedings, natural disasters or unforeseen events; or
- HUD provides approval of a transfer for any other reason.

Home Forward may consider a partial or complete transfer of assistance to a new location if the new location complies with applicable site selection standards. If applicable, any lender and/or investor of the Covered Project must approve the transfer of the assistance. In the event of such transfer, the Project Owner may request, subject to Home Forward consent, that the original Project-Based Voucher Contract be modified or released to reflect such transfer of assistance.

Home Forward will only approve such a request where the following policy goals and technical requirements are met:

- The proposed new development must add or preserve affordable housing in the community;

### MTW authorization:

Attachment C, Section B(2) – Partnerships with For-Profit and Non-Profit Entities

Attachment C, Section D(7) – Establishment of an Agency MTW Section 8 Project Based Program

Attachment D, Section B(3) – Local Unit Based Subsidy Program

### Statutory objective:

Reduce cost and achieve greater cost effectiveness in Federal expenditures

Increase housing choices for low-income families

- The proposed new development must otherwise comply with environmental review and subsidy layering review;
- Home Forward does not require a competitive process for the proposed new development as the initial PBV allocation removes the need for a subsequent competition. However, the proposed new development must meet all Home Forward's Site Selection Standards as well as any appropriate laws and regulations.
- Tenants residing in subsidized units within the assisted development must be offered the option of retaining a project-based voucher subsidy pursuant to the following guidelines:
  - Tenants within the assisted development must be offered the option of moving to a comparable subsidized unit in the new or preserved development upon completion of purchase, rehabilitation, or construction, or to another comparable subsidized unit offered by the Project Owner;
  - Comparable units must adhere to all required rules and regulations regarding relocations and tenant moves. Home Forward will prioritize preventing moves to distant geographic areas and/or lower opportunity neighborhoods but believes this ultimate choice should be left to the tenant, as we recognize that they may have circumstances that make some areas more desirable.
  - If tenants decline to move, they may remain in the assisted development and the subsidy will remain in place until the subsidized tenant vacates the assisted unit, at which point the project-based voucher subsidy will be converted to the new development and added to the new Project-Based Voucher Contract;
  - Because the above requirements protect the ability of tenants to remain in their choice of Project-Based Voucher subsidized units, Choice-Mobility vouchers will not be available for tenants under this proposal as a result of the contract transfer.
- Rents and bedroom sizes must remain comparable between the assisted development and the new development to maintain stability in Housing Assistance Payment costs.

In addition to the criteria above, Home Forward retains full discretion to deny a transfer of project-based voucher assistance. The activity applies to all current and future properties under a Project Based Voucher HAP Contract

#### Activity Metrics:

| Metric                                                                                                                                                                                                                                     | Baseline        | Benchmark       | Final Projected Outcome |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------|-------------------------|
| Agency cost savings (Standard Metric: CE#1)<br>Note: Home Forward is unable to calculate anticipated costs absent the activity.                                                                                                            |                 |                 |                         |
| Total cost of task                                                                                                                                                                                                                         | FY2018: \$0     | FY2019: \$0     | \$0                     |
| Staff time savings (Standard Metric: CE#2)<br>Note: Home Forward is unable to calculate anticipated costs absent the activity.                                                                                                             |                 |                 |                         |
| Total time to complete the task                                                                                                                                                                                                            | FY2018: 0 hours | FY2019: 0 hours | 0 hours                 |
| Displacement prevention (Standard Metric: HC#4)<br>Note: Number of households in a unit that has completed, or will complete, RAD conversion by December 31, 2018 used as a proxy for potential displacement absent the proposed activity. |                 |                 |                         |



| Metric                                                                              | Baseline               | Benchmark            | Final Projected Outcome |
|-------------------------------------------------------------------------------------|------------------------|----------------------|-------------------------|
| Number of households at or below 80% AMI that would lose assistance or need to move | FY2018: 903 households | FY2019: 0 households | 0 households            |

**Cost Implication:**

Home Forward anticipates the proposed activity will be budget neutral. Instead we look to offset anticipated future costs which would occur should Project Owners choose to transfer, or not renew, their contract in the future. By retaining the discretion to approve or deny a PBV contract transfer request, Home Forward is able to uphold fiscal discipline around maintaining PBV costs while preventing household displacement.

**Need for MTW Flexibility:**

Home Forward is utilizing our MTW flexibilities to give the agency authority to provide a contract transfer option to non-RAD property owners under a PBV contract and require Project Owners requesting a contract transfer to provide the same or better unit upon completion, as described above. The requested waiver authority will increase or preserve the number of affordable housing units in the community and decrease the number of households forced to move due to the contract transfer.

# Approved MTW Activities

## Implemented Activities

### 01 Rent Reform

#### Approved FY2012, Implemented FY2012, Amended FY2014

This activity was originally approved and implemented in FY2012. In FY2014, an amendment to the activity was approved and implemented. Our FY2015 MTW Plan included three modifications, which have also been implemented. All amendments and modifications are included in the following full description of our rent reform activity:

In FY2012, Home Forward implemented a large-scale reform of rent calculation methods, applicable to all MTW public housing and Section 8 households, as well as VASH and FUP voucher holders. The simplified method distinguishes between the populations of seniors / people with disabilities and “work-focused” households. The fundamental premise is that deductions are eliminated from the subsidy calculation and the total tenant payment is determined using a percentage of gross income.

In early 2013, the federal government imposed sequestration: across-the-board reductions in federal funding. In response to these funding cuts, Home Forward amended the activity in FY2014 to increase the percentage of income used to calculate rent. The amended percentages are reflected below.

For **seniors and people with disabilities**, rent is calculated based on 28.5% of gross income. All deductions are eliminated and this group has triennial income re-certifications. We define those aged 55 and older as “seniors”, and households fall into this population category if the head, co-head or spouse listed on the lease is 55 or older, or is disabled under the current HUD definition used by Home Forward.

This group has a \$0 minimum rent and utility reimbursements are allowed.

All households that do not fall into the population category above are considered **work-focused households**. All deductions are eliminated and this group has biennial income re-certifications. The percentages of income used to calculate the tenant portion of rent are as follows:

- **Years 1 and 2:** rent is based on 29.5% of gross income, with no minimum rent. Utility reimbursements are allowed.
- **Years 3 and 4:** rent is based on 29.5% of gross income or \$100 minimum rent, whichever is greater. Utility allowances are factored in the assistance, but utility reimbursements are not allowed.

#### MTW authorization:

Attachment C, Section B(3) – Definition of Elderly Family

Attachment C, Section D(3)(b) – Eligibility of Participants

Attachment D, Section B(2) – Rent Structure and Rent Reform

#### Statutory objective:

Reduce cost and achieve greater cost effectiveness in Federal expenditures

Give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient

- **Years 5 and 6, and biennially thereafter:** rent is based on 31% of gross income or \$200 minimum rent, whichever is greater. Utility allowances are factored in the assistance, but utility reimbursements are not allowed.

The following policies apply to all households:

- Zero-income households meet with their public housing site manager or Section 8 case manager every six months, so that staff can provide referrals to community service providers and check on progress towards obtaining an income source.
- The proration of subsidy for mixed-families is simplified so that a flat \$100 monthly reduction in assistance is applied to the household, regardless of the number of ineligible members.
- The ceiling rent for public housing is set to match Section 8 payment standards. There is no flat-rent option.
- Home Forward has created a separate “release of information” form to supplement the HUD Form 9886, in order to obtain a release of information that covers the appropriate biennial or triennial review cycle.
- For Section 8 households where the gross rent of the unit exceeds the applicable payment standard, Home Forward will approve the tenancy at initial occupancy so long as the household share does not exceed 50 percent of the household’s gross income.
- When a Section 8 household is approved to move and the identified unit has a gross rent that exceeds the payment standard, Home Forward will use the existing income verification on file to test for affordability. Home Forward will not require a re-examination to verify income for this purpose, unless the family requests it.
- When a Section 8 household reports a change in family size, if the household has resided in their unit for at least 12 months, Home Forward will require an interim review. Any changes to voucher size, payment standard and subsidy calculation will be effective 120 days after the interim review.
- The earned income disallowance is eliminated.
- All GOALS participants are included in the rent reform calculation.
- Home Forward uses actual past income to determine annual income for participant families.
- All income sources used to determine a household’s public housing rent or Section 8 assistance are the same as currently defined by HUD, with the following exceptions:
  - The value of any asset or the value of any income derived from that asset is not used in the rent calculation, except when the asset makes regular payments (quarterly or more often) to the resident or participant. However, the value of assets or the value of any income derived from assets is used to determine initial eligibility. Home Forward allows households to self-certify assets with a net value of \$5,000 or less.
- All earned income of full-time students age 18 and over is excluded from the rent calculation, unless they are the head, co-head or spouse of the household.
- Student financial assistance is considered only for the purpose of determining eligibility. Student financial assistance is not used to determine annual income for rent and subsidy calculation.
- All adoption assistance payments are excluded from the rent calculation.
- Households have the option to not report income that is not used in the rent calculation, such as foster care payments. However, Home Forward will accept income reporting of such sources for use in determining

affordability of a unit. Home Forward permits families to rent units where the family share is up to 50% of their gross income.

- Households may apply for a hardship review if their total monthly shelter costs exceed 50% of the total monthly income used to determine their rent subsidy. Section 8 participants who choose to rent housing where the total shelter costs exceed 50% of total monthly income at the time of initial lease-up in that unit will not generally qualify for hardship review; however, all households have the right to request a hardship and exceptions may be made. The committee has a menu of remedies to reduce a qualifying household's burden.

Rent reform has been fully implemented. At this time, all MTW public housing and Section 8 households, as well as VASH and FUP voucher holders, are on the rent reform calculation. The earliest group of work-focused households transitioned to the second level of rent payment beginning in FY2015, and is now subject to the \$100 minimum rent payment. Home Forward continues to monitor hardship requests, household and agency financial impacts and staff feedback to prepare for any changes that may need to be made to the activity.

### Changes or Modifications:

We are not anticipating any changes to this activity.

### Activity Metrics:

| Metric                                                                                                                                                                                                                                                                                                        | Baseline                        | Benchmark                       | Final Projected Outcome                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------|-------------------------------------------|
| Agency cost savings (Standard Metric: CE#1)                                                                                                                                                                                                                                                                   |                                 |                                 |                                           |
| Total cost of task                                                                                                                                                                                                                                                                                            | FY2011: \$140,228               | FY2019: \$128,656               | Less than \$130,000 annually              |
| Staff time savings (Standard Metric: CE#2)                                                                                                                                                                                                                                                                    |                                 |                                 |                                           |
| Total time to complete the task                                                                                                                                                                                                                                                                               | FY2011: 5,340 hours             | FY2019: 3,740                   | Less than 4,000 hours annually            |
| Decrease in error rate of task execution (Standard Metric: CE#3)<br>Note: Because Home Forward does not have a pre-implementation baseline for this metric, we are not able to show the historical impact of this activity. Through our quality control process, every error that is identified is corrected. |                                 |                                 |                                           |
| Average error rate in completing task                                                                                                                                                                                                                                                                         | FY2015: 7.5%                    | FY2019: 7.5%                    | Maintain 7.5% or less                     |
| Increase in tenant share of rent (Standard Metric: CE#5)                                                                                                                                                                                                                                                      |                                 |                                 |                                           |
| Total annual tenant share of rent                                                                                                                                                                                                                                                                             | FY2012: \$25,342,942            | FY2019: \$31,669,284            | FY2019: \$31,669,284                      |
| Increase in household income (Standard Metric: SS#1)                                                                                                                                                                                                                                                          |                                 |                                 |                                           |
| Average earned income of households                                                                                                                                                                                                                                                                           | FY2011: \$3,324                 | FY2019: \$3,458                 | FY2019: \$3,827                           |
| Increase in positive outcomes in employment status (Standard Metric: SS#3)<br>Note: Because Home Forward has implemented biennial and triennial review schedules, the number of households who report an increase in earned income each year is reduced.                                                      |                                 |                                 |                                           |
| Number of heads of households who: 6) Other (defined as having an increase in earned income)                                                                                                                                                                                                                  | FY2011: 866 heads of households | FY2019: 525 heads of households | At least 525 heads of households annually |

| Metric                                                                                                                                                                                                                                                                                        | Baseline                                                       | Benchmark                                       | Final Projected Outcome                                        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------|----------------------------------------------------------------|
| Percent of work-focused households who: 6) Other (defined as having an increase in earned income)                                                                                                                                                                                             | FY2011: 16% of work-focused households                         | FY2019: 16% of work-focused households          | At least 16% of work-focused households                        |
| Households Removed from Temporary Assistance for Needy Families (TANF) (Standard Metric: SS#4)                                                                                                                                                                                                |                                                                |                                                 |                                                                |
| Number of households receiving TANF assistance                                                                                                                                                                                                                                                | FY2012: 1,859 households receiving TANF (17.7%)                | FY2019: 1,780 households receiving TANF (16.5%) | Less than 1,780 (16.5%) households receiving TANF              |
| Reducing the per unit subsidy costs for participating households (Standard Metric: SS#6)                                                                                                                                                                                                      |                                                                |                                                 |                                                                |
| Average amount of subsidy per household                                                                                                                                                                                                                                                       | FY2012: \$524 per household                                    | FY2019: \$776                                   | Less than \$780 per household                                  |
| Households transitioned to self-sufficiency (Standard Metric: SS#8)                                                                                                                                                                                                                           |                                                                |                                                 |                                                                |
| Number of households transitioned to self-sufficiency (Defined as households that have earned or permanent income that results in area median income (AMI) above 50% and/or that have voluntarily exited housing assistance)                                                                  | FY2014: 521 households                                         | FY2019: 550 households                          | At least 550 households annually                               |
| Displacement prevention (Standard Metric: HC#4)<br>Note: This is a standard reporting metric used by HUD to measure impacts across agencies on a national level. Home Forward does not believe this metric is an accurate measure of this activity, but we have included it at HUD's request. |                                                                |                                                 |                                                                |
| Number of households at or below 80% AMI that would lose assistance or need to move                                                                                                                                                                                                           | FY2011: 233 households                                         | FY2019: 0 households                            | 0 households are required to move                              |
| Increase in resident mobility (Standard Metric: HC#5)<br>Note: This is a standard reporting metric used by HUD to measure impacts across agencies on a national level. Home Forward does not use rent reform to impact mobility, but we have included it at HUD's request.                    |                                                                |                                                 |                                                                |
| Number of households able to move to a better unit and/or neighborhood of opportunity (defined as low poverty census tracts where poverty is below 17.1%)                                                                                                                                     | FY2013: 3,092 (28.4%) households lived in better neighborhoods | FY2019: 3,283 households                        | FY2019: 3,283 (30.4%) households lived in better neighborhoods |

**Additional Metrics:**

| Metric                                                      | Baseline | Benchmark | Final Projected Outcome |
|-------------------------------------------------------------|----------|-----------|-------------------------|
| Maintain stability for seniors and people with disabilities |          |           |                         |

| Metric                                                                                                                  | Baseline                                                                              | Benchmark                                                              | Final Projected Outcome                                                                                                    |
|-------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| Shelter burden (rent <sup>1</sup> + utility allowance divided by gross income) for seniors and people with disabilities | Before implementation, shelter burden was 27%                                         | FY2019: Shelter burden will remain below 29%                           | Seniors and people with disabilities will maintain stability, with a shelter                                               |
| Increased contribution to rent                                                                                          |                                                                                       |                                                                        |                                                                                                                            |
| Total tenant payment (rent <sup>2</sup> + utility allowance) for work-focused households                                | Before implementation:<br>Section 8 average - \$267<br>Public housing average - \$249 | FY2019:<br>Section 8 average - \$346<br>Public housing average - \$301 | Maintain an average total tenant payment of at least \$307 for Section 8 and \$286 for Public Housing (15% above baseline) |
| Increased income in work-focused households                                                                             |                                                                                       |                                                                        |                                                                                                                            |
| Average income for work-focused households, as percentage of Median Family Income (MFI)                                 | Before implementation, average income for work-focused households was 16.4% MFI       | FY2019: 18% MFI                                                        | 18% MFI                                                                                                                    |

Home Forward's YARDI database continues to serve as the source for household income and total tenant rent payment information. The baseline data for hours required to conduct rent calculation and income reviews was collected through staff interviews and workflow analysis. This process will be repeated in subsequent years to determine progress towards benchmarks and goals.

#### **MTW Flexibility:**

Home Forward has used our rent reform authorizations to eliminate deductions, simplify the rent calculation, change review schedules, and implement other policy adjustments that as a whole, make up our rent reform activity, as described above.

<sup>1</sup> For purposes of these metrics, Housing Choice Voucher rents are calculated with gross rent capped at payment standard.

## 02 GOALS – Home Forward’s Family Self Sufficiency Program

**Approved FY2014, Implemented FY2014**

In our FY2014 Plan, Home Forward proposed an activity to align existing self-sufficiency programs into one consolidated program, which we refer to as the GOALS (Greater Opportunities to Advance, Learn and Succeed) program. This program is tailored to meet the needs of our community and be efficient for staff to administer. GOALS program requirements are the same regardless of funding source, program or property, with a few minor exceptions for site-based programs.

The key elements of the GOALS program are as follows:

- The rent reform calculation (Activity 01) is used for all GOALS participants.
- Participants who are engaged in a designated program intended to increase the family’s economic independence (such as an employment or training program) will receive a preference on the GOALS waiting list. 100% of the GOALS slots may be filled with participants utilizing this preference.
- Home Forward will allow the GOALS contract to be in the name of any adult member of the household.
- The traditional escrow account used in HUD Family Self-Sufficiency (FSS) programs has been replaced with a managed savings account. Any rent paid by a participant household above \$350 (known as the “strike point”) will be placed into the managed savings account. The monthly amount placed into a family’s managed savings account is limited to the difference between the strike point and the family’s ceiling rent (for public housing families) or voucher payment standard (for Section 8 families).
- The length of time on the program will be five years, with the opportunity to extend for an additional two years. Eligibility for the two-year extension follows current policy and HUD guidelines.
- Participants graduate and have access to the funds in their managed savings account when they have completed their training plan and fulfilled the obligations identified in their contract.
- Families who leave the program prior to graduation will forfeit any money accrued in their managed savings account.
- Staff implementing the GOALS program will be funded by a combination of HUD-funded FSS Coordinator money, grant funding and agency budgeting.
- FSS Coordinator funds will be used only for FSS Coordinator salaries, as directed by the respective NOFAs.
- At properties where participation in GOALS was mandatory, the property will continue to utilize a site-based preference on the GOALS waitlist to encourage participation in the family self-sufficiency program at these sites.
- When a public housing resident reaches ceiling rent, or a housing assistance payment for a voucher participant drops to zero, deposits into the family’s managed savings account will cease. Families can continue to participate in the program for an additional six months, but no savings will accrue during this time. If the family is still at

**MTW authorization:**

Attachment C, Section E – Family Self Sufficiency Programs

Attachment C, Section B(1) – Single Fund Budget with Full Flexibility

**Statutory objective:**

Give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient

ceiling rent or zero assistance after six months, they will graduate from the program. If the family's income decreases to a level that housing assistance is reinstated during that six-month period, they may begin to earn escrow again, and continue participation in the program as long as the contract determines they are still eligible.

- GOALS participants who enroll in the Individual Development Account (IDA) program will be able to withdraw from their managed savings account up to \$700 per year, for a maximum of 3 consecutive years or \$2,100. In order to utilize managed savings account for IDA deposits, participants will need to be co-enrolled in GOALS and IDA programs. If a participant withdraws from the IDA program before successfully completing the IDA program, the IDA provider will refund the full managed savings account to Home Forward. By allowing participants the option to apply more restricted funds towards their IDA, the intent is to allow households to use their more readily accessible, or unrestricted, funds such as earned income tax credit (EITC) returns, to meet more immediate financial needs. This would permit financially vulnerable households to continue working towards long term financial investment while maximizing choice in how to best apply unrestricted funds towards any current financial obligations.

### Changes or Modifications:

We are not anticipating any changes to this activity.

### Activity Metrics:

| Metric                                                                                                                                                                                                                                                             | Baseline          | Benchmark         | Final Projected Outcome                                                                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------|-----------------------------------------------------------------------------------------|
| Agency cost savings (Standard Metric: CE#1)<br>Note: This is a standard reporting metric used by HUD to measure impacts across agencies on a national level. Home Forward does not save costs through this activity, but we have included it at HUD's request.     |                   |                   |                                                                                         |
| Total cost of task                                                                                                                                                                                                                                                 | FY2013: \$186,400 | FY2019: \$164,363 | At least \$300,000 in staff costs dedicated to site-based GOALS <sup>2</sup>            |
| Staff time savings (Standard Metric: CE#2)<br>Note: This is a standard reporting metric used by HUD to measure impacts across agencies on a national level. Home Forward does not save staff time through this activity, but we have included it at HUD's request. |                   |                   |                                                                                         |
| Total time to complete task                                                                                                                                                                                                                                        | FY2013: 6,240     | FY2019: 4,176     | At least 10,000 staff hours dedicated to site-based GOALS <sup>2</sup>                  |
| Decrease in error rate of task execution (Standard Metric: CE#3)<br>Note: Because Home Forward does not have a pre-implementation baseline for this metric, we are not able to show the historical impact of this activity.                                        |                   |                   |                                                                                         |
| Average error rate in completing task                                                                                                                                                                                                                              | FY2015: 2%        | FY2019: 2%        | Maintain 2% or less                                                                     |
| Increase in resources leveraged (Standard Metric: CE#4)                                                                                                                                                                                                            |                   |                   |                                                                                         |
| Amount of funds leveraged                                                                                                                                                                                                                                          | FY2013: \$0       | FY2019: \$164,363 | At least \$300,000 in internal funding leveraged to staff site-based GOALS <sup>2</sup> |

<sup>2</sup> Home Forward uses our MTW flexibility to include coordinators at our site-based programs in our overall GOALS FSS program. This is shown here as an increase in staffing costs and hours, since we're now able to include these costs under the combined program. In addition to site-based coordinators, there are 8 traditional coordinators supported via HUD-funded FSS coordinator funds.



| Metric                                                                                                                                                                                                                                                               | Baseline               | Benchmark              | Final Projected Outcome |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|------------------------|-------------------------|
| Increase in household income (Standard Metric: SS#1)                                                                                                                                                                                                                 |                        |                        |                         |
| Average earned income of households                                                                                                                                                                                                                                  | FY2013: \$9,277        | FY2019: 11,500         | FY2019: \$11,500        |
| Increase in household savings (Standard Metric: SS#2)                                                                                                                                                                                                                |                        |                        |                         |
| Average amount of escrow of households                                                                                                                                                                                                                               | FY2013: \$1,292        | FY2019: \$1,350        | FY2019: \$1,350         |
| Increase in positive outcomes in employment status (Standard Metric: SS#3) <sup>3</sup>                                                                                                                                                                              |                        |                        |                         |
| Number of heads of households:                                                                                                                                                                                                                                       | FY2013:                | FY2019:                | FY2019:                 |
| 1) Employed full-time                                                                                                                                                                                                                                                | 1) 283                 | 1) 185                 | 1) 185                  |
| 2) Employed part-time <sup>4</sup>                                                                                                                                                                                                                                   | 2) N/A                 | 2) 120                 | 2) 120                  |
| 3) Enrolled in an educational program                                                                                                                                                                                                                                | 3) 113                 | 3) 120                 | 3) 120                  |
| 4) Enrolled in a job-training program                                                                                                                                                                                                                                | 4) 69                  | 4) 75                  | 4) 75                   |
| 5) Unemployed                                                                                                                                                                                                                                                        | 5) 281                 | 5) 260                 | 5) 260                  |
| 6) Other (defined as having completed an education or job training program)                                                                                                                                                                                          | 6) 38                  | 6) 45                  | 6) 45                   |
| Increase in positive outcomes in employment status (Standard Metric: SS#3) <sup>3</sup>                                                                                                                                                                              |                        |                        |                         |
| Percentage of work-able households:                                                                                                                                                                                                                                  | FY2013:                | FY2019:                | FY2019:                 |
| 1) Employed full-time                                                                                                                                                                                                                                                | 1) 53%                 | 1) 37%                 | 1) 37%                  |
| 2) Employed part-time <sup>5</sup>                                                                                                                                                                                                                                   | 2) N/A                 | 2) 22%                 | 2) 22%                  |
| 3) Enrolled in an educational program                                                                                                                                                                                                                                | 3) 20%                 | 3) 20%                 | 3) 20%                  |
| 4) Enrolled in a job-training program                                                                                                                                                                                                                                | 4) 12%                 | 4) 15%                 | 4) 15%                  |
| 5) Unemployed                                                                                                                                                                                                                                                        | 5) 47%                 | 5) 41%                 | 5) 41%                  |
| 6) Other (defined as having completed an education or job training program)                                                                                                                                                                                          | 6) 6%                  | 6) 10%                 | 6) 10%                  |
| Households removed from TANF (Standard Metric: SS#4)                                                                                                                                                                                                                 |                        |                        |                         |
| Note: This metric is measured as a point in time count, which does not account for individual households who give up TANF assistance, graduate from the GOALS program, and are then replaced by income GOALS participants who have not yet given up TANF assistance. |                        |                        |                         |
| Number of households receiving TANF assistance                                                                                                                                                                                                                       | FY2013: 126 households | FY2019: 118 households | FY2019: 118 households  |
| Households assisted by services that increase self-sufficiency (Standard Metric: SS#5)                                                                                                                                                                               |                        |                        |                         |

<sup>3</sup> Households may be counted in more than one category in the employment statuses shown above. For example, a household may be considered unemployed while enrolled in an educational program.

<sup>4</sup> Home Forward did not track full-time vs part-time employment prior to implementation. For the purposes of this baseline metrics, all employed households were counted as employed full-time. We have updated these metrics with the part-time employment statistics.

| Metric                                                                                                                                                                                                                       | Baseline                        | Benchmark                       | Final Projected Outcome                        |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------|------------------------------------------------|
| Number of households enrolled in GOALS                                                                                                                                                                                       | FY2013: 564 households enrolled | FY2019: 600 households enrolled | Maintain enrollment of at least 600 households |
| Reducing per unit subsidy costs for participating households (Standard Metric: SS#6)                                                                                                                                         |                                 |                                 |                                                |
| Average amount of subsidy per household                                                                                                                                                                                      | FY2013: \$490.65 per household  | FY2019: \$485 per household     | Less than \$490 per household                  |
| Increase in tenant share of rent (Standard Metric: SS#7)                                                                                                                                                                     |                                 |                                 |                                                |
| Tenant share of rent                                                                                                                                                                                                         | FY2013: \$986,971               | FY2019: \$1,305,000             | At least \$1,100,000 annually                  |
| Households transitioned to self-sufficiency (Standard Metric: SS#8)                                                                                                                                                          |                                 |                                 |                                                |
| Number of households transitioned to self-sufficiency (Defined as households that have earned or permanent income that results in area median income (AMI) above 50% and/or that have voluntarily exited housing assistance) | FY2014: 30 households           | FY2019: 35 households           | At least 30 households annually                |

**Additional Metrics:**

| Metric                                                                             | Baseline                                                                                       | Benchmark                                                                                               | Final Projected Outcome                                                            |
|------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| Increase in average income for exiting participants                                |                                                                                                |                                                                                                         |                                                                                    |
| Increase in average participant earned income between enrollment and exit          | Average earned income at time of GOALS enrollment for all current GOALS participants = \$8,745 | Average earned income for all participants exiting (for any reason) in FY2019 - \$11,500                | Increase in average participant earned income between enrollment and exit          |
| Increase in average savings for exiting participants                               |                                                                                                |                                                                                                         |                                                                                    |
| Increase in average participant managed savings account balance at exit from GOALS | Average managed savings account balance at entry to GOALS = \$0                                | Average managed savings balance disbursed to all participants exiting for any reason in FY2019 –\$2,350 | Increase in average participant managed savings account balance at exit from GOALS |
| Increase in positive outcomes for exiting participants                             |                                                                                                |                                                                                                         |                                                                                    |

| Metric                                                                                                                                                                                                                                                     | Baseline                                                                                      | Benchmark                                                             | Final Projected Outcome                                                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| Percentage of households:<br>1) Employed full-time<br>2) Employed part-time<br>3) Enrolled in educational program<br>4) Enrolled in job training program<br>5) Unemployed<br>6) Other (defined as having completed an educational or job training program) | For 81 households exited in FY2013:<br>1) 40%<br>2) N/A<br>3) 9%<br>4) 2%<br>5) 50%<br>6) 14% | By FY2019:<br>1) 42%<br>2) 21%<br>3) 10%<br>4) 8%<br>5) 37%<br>6) 15% | By FY2019:<br>1) 42%<br>2) 21%<br>3) 10%<br>4) 8%<br>5) 37%<br>6) 15%                                   |
| Exiting participants removed from TANF                                                                                                                                                                                                                     |                                                                                               |                                                                       |                                                                                                         |
| Percent of households giving up TANF at exit                                                                                                                                                                                                               | FY2013: 24% of households who had TANF during participation had given up TANF at exit         | FY2019: 35% of exiting households                                     | At least 30% of families who had TANF during participation will have given up TANF at exit <sup>5</sup> |

**MTW Flexibility:**

Home Forward has used our authority to develop a family self-sufficiency program that meets the needs of our local community. This program is specifically designed to meet the statutory objective of giving incentive to our families to obtain employment and work towards becoming economically self-sufficient.

<sup>5</sup> Households are required to give up TANF benefits in order to graduate. The percentage of households is pulled down due to families exiting the program without graduating.

## 03 Local Blended Subsidy

**Approved FY2012, Implemented FY2012**

Home Forward has created a local blended subsidy (LBS) program to improve the financial viability of adding “banked” public housing units back into the portfolio. Public housing operating subsidy alone is often insufficient to support bringing these units back to properties. The LBS program uses a blend of MTW Section 8 and public housing operating funds to subsidize units reserved for families earning 80 percent or below of area median income. These units may be new construction, rehabilitated, or existing housing.

The LBS program combines tenant paid rent, Section 8 funds, and public housing funds, resulting in a total per unit rent amount. Rents are set by an internal process to determine the amount of subsidy that will meet property needs, and are subject to completion of rent reasonableness tests. Home Forward uses the payment standard as the maximum rent for LBS units, or up to 125% of Fair Market Rents in the case of service-enriched units. This leveraging of resources allows for a more adequate revenue stream and increases the number of households that can be served.

**MTW authorization:**

Attachment C, Section B(1) – Single Fund Budget with Full Flexibility

Attachment C, Section C(2) – Local Preferences and Admission and Continued Occupancy Policies and Procedures

Attachment D, Section B(3) – Local Unit Based Subsidy Program

**Statutory objective:**

Increase housing choice for low-income families

**Changes or modifications:**

We are not anticipating any changes to this activity.

**Activity Metrics:**

| Metric                                                                                                                | Baseline                                      | Benchmark                                            | Final Projected Outcome                            |
|-----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|------------------------------------------------------|----------------------------------------------------|
| Additional units of housing made available (Standard Metric: HC#1)                                                    |                                               |                                                      |                                                    |
| Number of new housing units made available for households at or below 80% AMI                                         | Before implementation, 0 units made available | FY2019: 239 units made available                     | 239 units made available                           |
| Units of housing preserved (Standard Metric: HC#2)                                                                    |                                               |                                                      |                                                    |
| Number of housing units preserved for households at or below 80% AMI                                                  | Before implementation, 0 units preserved      | FY2019: 45 units preserved after full implementation | 45 units preserved                                 |
| Increase in resident mobility (Standard Metric: HC#5)                                                                 |                                               |                                                      |                                                    |
| Number of households living in better neighborhoods (defined as low poverty census tracts where poverty is below 17%) | Before implementation, 0 households           | FY2019: 109 households                               | 109 LBS households located in better neighborhoods |
| Increase in resources leveraged (Standard Metric: CE#4)                                                               |                                               |                                                      |                                                    |

| Metric                    | Baseline                   | Benchmark            | Final Projected Outcome                                       |
|---------------------------|----------------------------|----------------------|---------------------------------------------------------------|
| Amount of funds leveraged | Before implementation, \$0 | FY2019: \$11,145,307 | \$11,145,307 in leveraged debt, equity and increased services |

**MTW Flexibility:**

This activity uses single fund budget flexibility and authorization to develop a local unit-based subsidy program in order to create the administrative and funding structure for LBS. This increases housing choice for low-income families by allowing Home Forward to add financially viable, subsidized units back into its portfolio. LBS has allowed Home Forward to leverage debt, equity and increased services at these three properties. Additionally, the ability to create local preferences, and admission and occupancy policies and procedures allows Home Forward to manage the units to provide similar protections as public housing and also adapt the rules for efficiency and local needs.

Home Forward understands and is committed to our obligation to continue to serve substantially the same number of families as if we had not participated in the MTW demonstration. We are aware of the pressure our LBS activity may place on the agency to continue to meet our baseline households served, and we carefully consider this information before moving forward with implementation strategies. We are continuing to explore and develop additional alternative options for local rent assistance programs that will serve a significant need in our community, and will also support our ability to meet our baseline households served once LBS is fully implemented.

## 06 Alternative Inspection Requirements for Partner-Based Programs

**Approved FY2012, Implemented FY2012**

Home Forward aligns our housing resources with the services of jurisdictional and community partners in order to maximize impact and effectiveness. In an effort to reduce costs and increase efficiencies, Home Forward uses alternate inspection standards for programs where we contract out resources to be administered by partners. Rather than requiring full Housing Quality Standards (HQS) inspections, Home Forward requires that these units meet the habitability

standards, unit inspection requirement, and lead-based paint visual assessment requirements of the US Department of Housing and Urban Development's Homelessness Prevention and Rapid Re-Housing Program. Staff from jurisdictional and community providers are able to arrange for and conduct required inspections themselves, in conjunction with other required visits to the assisted units, which is often more efficient and allows clients to move in faster than if they had to wait for a scheduled Home Forward inspection.

**MTW authorization:**

Attachment C, Section D(5) – Ability to Certify Housing Quality Standards

**Statutory objective:**

Reduce cost and achieve greater cost effectiveness in Federal expenditures

**Changes or modifications:**

We are not anticipating any changes to this activity.

**Activity Metrics:**

| Metric                                                                                                                                                                                           | Baseline                         | Benchmark            | Final Projected Outcome |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|----------------------|-------------------------|
| Agency cost savings (Standard Metric: CE#1)                                                                                                                                                      |                                  |                      |                         |
| Total cost of task                                                                                                                                                                               | Before implementation, \$35,500  | FY2019: \$0          | \$0                     |
| Staff time savings (Standard Metric: CE#2)                                                                                                                                                       |                                  |                      |                         |
| Total time to complete the task                                                                                                                                                                  | Before implementation, 500 hours | FY2019: 0 hours      | 0 hours                 |
| Decrease in error rate of task execution (Standard Metric: CE#3)<br>Note: Because this metric was established after implementation, Home Forward does not anticipate a change in the error rate. |                                  |                      |                         |
| Average error rate in completing a task                                                                                                                                                          | FY2014: 4%                       | FY2019: Less than 5% | Less than 5%            |

**MTW Flexibility:**

Home Forward uses MTW authority to allow alternative inspection requirements for units assisted with rent assistance that we have contracted to community partners. These alternate inspection requirements ensure housing standards while increasing efficiency and cost effectiveness.

## 07 Landlord Self-Certification of Minor Repairs

**Approved FY2013, Implemented FY2013**

In many cases, units may fail an initial or biennial inspection due to minor items, such as cracked socket plates or closet doors that are off track. Requiring a Home Forward inspector to make a trip back to a unit to verify such minor repairs is inefficient and costly. Home Forward has implemented a policy that in cases where there are no more than four minor deficiencies, we may accept an owner's certification that required repairs were made. This allowance is made at Home Forward's discretion, and in cases where all deficiencies are minor items as determined by an approved list maintained by Home Forward.

**MTW authorization:**

Attachment C, Section D(5) – Ability to Certify Housing Quality Standards

**Statutory objective:**

Reduce cost and achieve greater cost effectiveness in Federal expenditures

Allowing a landlord to self-certify a minor repair is left to each individual inspector's discretion, and inspectors remain cautious and thoughtful about when the option is best utilized. Because of this, the activity has not yet produced the level of savings hoped for. However, the inspections supervisor continues to work with inspectors on identifying situations where the strategy can be employed to save additional staff time. Home Forward believes this activity is still an effective strategy for saving time and money.

**Changes or modifications:**

We are not anticipating any changes to this activity.

**Activity Metrics:**

| Metric                                                                                                                                  | Baseline                           | Benchmark            | Final Projected Outcome                         |
|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|----------------------|-------------------------------------------------|
| Agency cost savings (Standard Metric: CE#1)                                                                                             |                                    |                      |                                                 |
| Total cost of task                                                                                                                      | Before implementation, \$140,092   | FY2019: \$112,790    | Less than \$120,000 to complete re- inspections |
| Staff time savings (Standard Metric: CE#2)                                                                                              |                                    |                      |                                                 |
| Total time to complete the task                                                                                                         | Before implementation, 1,326 hours | FY2019: 1,046 hours  | Less than 2,000 hours annually                  |
| Decrease in error rate of task execution (Standard Metric: CE#3)                                                                        |                                    |                      |                                                 |
| Note: Because Home Forward does not have a pre-implementation baseline, we are not able to show the historical impact of this activity. |                                    |                      |                                                 |
| Average error rate in completing a task                                                                                                 | FY2015: 0%                         | FY2019: Less than 3% | Less than 3%                                    |

**MTW Flexibility:**

This activity uses alternate criteria, in the form of an owner's written certification, to verify minor repairs in a unit that failed its initial or biennial HQS inspection as a result of four or fewer minor deficiencies. This policy increases efficiency, and saves the agency the cost of these re-inspections.

## 08 Inspections and Rent Reasonableness at Home Forward-Owned Properties

**Approved FY2013, Implemented FY2013**

Home Forward owns over 5,000 units of affordable housing in Multnomah County. Many of these units have project-based Section 8 vouchers attached, and additional units are rented to families that are utilizing tenant-based Section 8 vouchers. In cases where a voucher holder is renting a unit we own, Home Forward utilizes our own staff to perform inspections and determine rent reasonableness.

In cases where Home Forward both owns and manages the unit, we hire a third party to conduct quality control inspections and rent reasonableness testing at a sample of these units. This ensures standards are being met while mitigating any conflict of interest. Since the implementation of this activity, 100% of quality control inspections reported the same result as the Home Forward inspection, and no problems have been identified with rent reasonableness.

**MTW authorization:**

Attachment C, Section D(5) – Ability to Certify Housing Quality Standards

Attachment C, Section D(2)(c) – Rent Policies and Term Limits

**Statutory objective:**

Reduce cost and achieve greater cost effectiveness in Federal expenditures

**Changes or modifications:**

We are not anticipating any changes to this activity.

**Activity Metrics:**

| Metric                                                                                                                                                                                                      | Baseline                           | Benchmark            | Final Projected Outcome |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|----------------------|-------------------------|
| Agency cost savings (Standard Metric: CE#1)                                                                                                                                                                 |                                    |                      |                         |
| Total cost of task                                                                                                                                                                                          | Prior to implementation, \$17,750  | FY2019: \$0          | \$0                     |
| Staff time savings (Standard Metric: CE#2)                                                                                                                                                                  |                                    |                      |                         |
| Total time to complete the task                                                                                                                                                                             | Prior to implementation, 370 hours | FY2019: 0 hours      | 0 hours                 |
| Decrease in error rate of task execution (Standard Metric: CE#3)<br>Note: Because Home Forward does not have a pre-implementation baseline, we are not able to show the historical impact of this activity. |                                    |                      |                         |
| Average error rate in completing a task                                                                                                                                                                     | FY2015: 0%                         | FY2019: Less than 5% | Less than 3%            |

**MTW Flexibility:**

Home Forward uses MTW authority to set rent reasonableness and inspect units we own, in place of contracting with a third party to do so. This results in cost savings for the agency.



## 09 Measures to Improve the Rate of Voucher Holders Who Successfully Lease-Up

**Approved FY2010, Implemented FY2010**

Since 2010, Home Forward has implemented a variety of measures to improve landlord acceptance of Housing Choice Vouchers in our community and improve the ability of voucher holders to successfully lease up. Based on much of Home Forward's work, the Oregon State Legislature passed HB2639 in July 2014. The legislation prohibits landlords from refusing rental applications of voucher holders only because they have a voucher and offers landlords access to a state-managed Landlord Guarantee Fund if a voucher holder vacates a unit and leaves financial damages, such as property damage, unpaid rent, or fees.

In addition, Home Forward has initiatives focused on improving landlord participation and the leasing success of voucher holders:

**MTW authorization:**

Attachment C, Section B(1) – Single Fund Budget with Full Flexibility

Attachment C, Section D(1)(d) – Operational Policies and Procedures

Attachment C, Section D(3)(b) – Eligibility of Participants

Attachment D, Section D(1) – Establishment of a Local Section 8 / Housing Choice Voucher Program

**Statutory objective:**

Increase housing choice for low-income families

- **Vacancy Loss Payment** – We provide vacancy loss payment to owners through the end of the month after the move-out month when vacancies are unforeseen or unexpected (such as a death or an unannounced move-out) and the owners have not received proper notice of the intent to vacate.
- **Landlord Incentive Payment** – Since July 2014, the Landlord Incentive Payment focuses on new landlords. Home Forward makes a one-time payment of \$200 to new landlords, defined as those who have not worked in partnership with us for the past two years. At the time of receiving payment, landlords are also given the opportunity to complete a survey to provide feedback on their experience and Home Forward's lease-up process.
- **Tenant Education Class** – Since May 2016, Home Forward contracts with the Community Alliance of Tenants (CAT) to teach a tenant education class to voucher holders. Class graduates have access to up to \$200 to help with a security deposit in their housing search.

Despite these initiatives, leasing success rates have fallen as a result of an extremely tight rental market, rapidly rising rents and an overall lack of affordable units. Even with the above measures in place and the new legislation requiring landlords to accept Housing Choice Vouchers, leasing success rates have fallen below our 2009 baseline. In an attempt to keep pace with the market and ensure neighborhood choice for voucher holders, Home Forward increased payment standards most recently in December 2017.

In addition to the initiatives listed above, Home Forward began two new programs in FY2017, using single-fund flexibility, to help voucher holders lease up:

- **Security and Success Loan Program** – This is a partnership between Home Forward and Innovative Changes, a non-profit community-based lender, to offer low-interest loans for moving costs to voucher holders with a household income of at least 30% of the Area Median Income (AMI). With a qualifying income, a voucher holder can borrow up to \$1,200 to help with deposits, fees, and other moving costs. The program offers affordable monthly payments, extra incentives for financial education, and the opportunity for a household to build a positive credit history.

- **Housing Search Advocates** – This program is a partnership between Home Forward and two community partners, Human Solutions, Inc. and Transition Projects, Inc., who work with people experiencing low-incomes and homelessness. Three Housing Search Advocate positions have been funded at the organizations to help voucher holders lease up. If a voucher holder has not leased up within 90 days of receiving their voucher or have been identified by HCV staff as experiencing high barriers, they are referred to the Housing Search Advocates for additional support in their housing search.

We have continued with a variety of policy changes (which do not require MTW flexibility) to make the voucher program more appealing to landlords since the passage of HB2639. These include:

- Allowing flexible lease terms based on an individual landlord's standard practice (including month-to-month)
- Accepting electronic Requests for Tenancy Approval from landlords to speed the process
- Posting tools for landlords on our website so landlords can assess whether their unit is likely to pass rent reasonable and affordability tests

In addition to the initiatives and new changes above, we continue to support voucher holders with an improved program orientation, with an increased focus on housing search, and utilizing the higher payment standards in opportunity neighborhoods in hopes of helping voucher holders be better prepared for their housing search.

#### Changes or modifications:

We are not anticipating any changes to this activity. We will continue to evaluate both the lease-up rate and rental market data to determine if the current payment standards remain adequate or adjustments need to be made.

#### Activity Metrics:

| Metric                                                                               | Baseline                            | Benchmark              | Final Projected Outcome                                                   |
|--------------------------------------------------------------------------------------|-------------------------------------|------------------------|---------------------------------------------------------------------------|
| Households assisted by services that increase housing choice (Standard Metric: HC#7) |                                     |                        |                                                                           |
| Number of households receiving services aimed at increasing housing choice           | Before implementation, 0 households | FY2019: 180 households | At least 180 households per year will benefit from the Landlord Incentive |

#### Additional Metrics:

| Metric                                                  | Baseline                       | Benchmark       | Final Projected Outcome   |
|---------------------------------------------------------|--------------------------------|-----------------|---------------------------|
| Improve voucher success rate                            |                                |                 |                           |
| Issued voucher success rate                             | FY2009: 74%                    | FY2019: 80%     | FY2018: 80%               |
| Decrease in lease-up time                               |                                |                 |                           |
| Average number of days for a voucher holder to lease up | Before implementation, 51 days | FY2019: 50 days | FY2018: less than 50 days |

#### MTW Flexibility:

Home Forward has made changes to operational policies and procedures and funds these activities through our single-fund budget flexibility. This activity works to increase landlord participation in the program, and therefore, increase housing choice for low-income households.

## 10 Local Project-Based Voucher Program

### Approved FY2012, Implemented FY2012

Home Forward has created a project-based voucher (PBV) program tailored to meet the needs of the local community. We currently administer over 2,000 PBVs in the community via more than 87 separate contracts. The program continues to represent our focus on coordinating with jurisdictional partners and enhancing the supply of permanent supportive housing for households with barriers to housing.

In FY2015, Home Forward changed the operating subsidy at four of our high-rise buildings from public housing to project-based Section 8 funding, as part of our preservation strategy to renovate ten buildings. These four buildings accounted for 654 units, and serve seniors and persons with disabilities. The flexibility to place project-based vouchers at these buildings, as well as in other buildings through service provider and jurisdictional partnerships, ensures that affordable housing remains available to some of the most vulnerable households in our community.

#### MTW authorization:

Attachment C, Section D(7) – Establishment of an Agency MTW Section 8 Project-Based Program

Attachment C, Section D(4) – Waiting List Policies

Attachment C, Section D(2) – Rent Policies and Term Limits

Attachment C, Section D(1)(e) – Operational Policies and Procedures

#### Statutory objective:

Increase housing choice for low-income families

Reduce cost and achieve greater cost effectiveness in Federal expenditures

The objective of the project-based voucher program is to provide housing certainty for low- income, high-barrier households who would be unlikely to succeed with a tenant-based voucher. With that in mind, we are focusing project-based vouchers on buildings with on-site services, ideally in opportunity areas, offering tenants affordable rents and access to community resources. Many of the original project-based voucher contracts have expired over the past year, and Home Forward has made it our policy to offer renewals only to owners who have agreed to set waiting list preferences for one or more vulnerable populations, and to make services available to those households. This will result in all project-based voucher buildings offering affordable housing with services to those most in need as contracts are renewed.

In the 2017 fiscal year (4/1/2016-3/31/2017), Home Forward received approval from their Board of Commissioners to set aside 500 vouchers from the Housing Choice Voucher pool to be assigned as Project Based Vouchers over the course of three to five years. Home Forward used two methods by which to assign these vouchers. First we issued our own Request for Proposals (RFP) in the winter of 2015 and allocated over 200 vouchers in the initial Request for Proposals. Awards were made to 12 individual projects across Multnomah County. Secondly, we committed another 100 vouchers in the Fall 2015 Portland Housing Bureau Notice of Funding Availability (NOFA). Through this process four individual projects were awarded the 100 vouchers. All in areas of high opportunity and low poverty census tracts.

In our 2019 fiscal year we have 130 vouchers yet to be assigned. Home Forward will continue on the current path as noted above and outlined in the Section 8 Administrative Plan to make the remaining awards until we exhaust the 500 voucher commitment made by the board of commissioners.

Home Forward has modified owner proposal selection procedures for PBV units in order to increase Permanent Supportive Housing in our community by awarding PBV units via a local competitive process in collaboration with the City of Portland and Multnomah County. This local process includes issuing a Notice of Funding Availability and accepting proposals from housing developers and owners across the County. This effort ensures that PBVs are aligned with capital and services funding made available from our jurisdictional partners.

The local competitive process may be waived and PBVs may be awarded based on a formal approval and resolution process by Home Forward's Board of Commissioners when the property is owned directly or indirectly by Home Forward, subject to HUD's requirements regarding subsidy layering. The owned units would not be subject to any required assessments for voluntary conversion.

#### Changes or modifications:

We are not anticipating any changes to this activity.

#### Activity Metrics:

| Metric                                                                                                                                                                                                       | Baseline                          | Benchmark                          | Final Projected Outcome                                                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------------------|------------------------------------------------------------------------------------------------------------------|
| Additional units of housing made available (Standard Metric: HC#1)                                                                                                                                           |                                   |                                    |                                                                                                                  |
| Number of new housing units made available for households at or below 80% AMI                                                                                                                                | FY2011: 1,100 units               | FY2019: 1,500 units made available | Maintain at least 1,100 units that have been made available through this activity                                |
| Units of housing preserved (Standard Metric: HC#2)                                                                                                                                                           |                                   |                                    |                                                                                                                  |
| Number of units preserved for households at or below 80% AMI that would otherwise not be available                                                                                                           | Prior to implementation: 0 units  | FY2019: 654 units preserved        | 654 units will be preserved through conversion from public housing to project-based vouchers                     |
| Decrease in wait list time (Standard Metric: HC#3)<br>Note: Because Home Forward does not have a pre-implementation baseline for this metric, we are not able to show the historical impact of this activity |                                   |                                    |                                                                                                                  |
| Average applicant time on wait list in months                                                                                                                                                                | FY2014: 15 months                 | FY2019: 28 months                  | 28 months                                                                                                        |
| Displacement prevention (Standard Metric: HC#4)<br>Note: Because Home Forward does not have a pre-implementation baseline for this metric, we are not able to show the historical impact of this activity    |                                   |                                    |                                                                                                                  |
| Number of households at or below 80% AMI that would lose assistance or need to move                                                                                                                          | FY2015: 904 households            | FY2019: 900 households             | Over 800 additional project-based voucher units are available through our ability to exceed the 25% building cap |
| Increase in Resident Mobility (Standard Metric: HC#5)                                                                                                                                                        |                                   |                                    |                                                                                                                  |
| Number of households able to move to a better unit and/or neighborhood of opportunity (defined as low poverty census tracts where poverty is below 17%)                                                      | FY2011: 93 households             | FY2019: 400 households             | 400 households                                                                                                   |
| Agency Cost Savings (Standard Metric: CE#1)                                                                                                                                                                  |                                   |                                    |                                                                                                                  |
| Total cost of task in dollars                                                                                                                                                                                | Prior to implementation: \$30,720 | FY2019: \$19,656                   | \$24,575                                                                                                         |
| Staff time savings (Standard Metric: CE#2)                                                                                                                                                                   |                                   |                                    |                                                                                                                  |

| Metric                                                                                                                                                                                                     | Baseline                           | Benchmark            | Final Projected Outcome                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|----------------------|-----------------------------------------|
| Total time to complete the task                                                                                                                                                                            | Prior to implementation, 917 hours | FY2019: 500 hours    | Less than 700 hours                     |
| Decrease in error rate of task execution (Standard Metric: CE#3)<br>Note: Because Home Forward does not have a pre-implementation baseline, we are not able to show the historical impact of this activity |                                    |                      |                                         |
| Average error rate in completing task                                                                                                                                                                      | FY2015: 2%                         | FY2019: Less than 5% | Less than 5%                            |
| Increase in tenant share of rent (Standard Metric: CE#5)<br>Note: Because Home Forward does not have a pre-implementation baseline, we are not able to show the historical impact of this activity         |                                    |                      |                                         |
| Total annual tenant share of rent                                                                                                                                                                          | FY2014: \$3,570,859                | FY2019: \$5,404,344  | Annual tenant rent share of \$5,000,000 |

### MTW Flexibility:

The PBV program increases housing choice and affirmatively furthers fair housing by preserving existing affordable housing and focusing on the needs of populations that tend to be less successful in the tenant-based program, including participants with disabilities, extremely low incomes, or backgrounds that may create high barriers to housing. Most of the PBV buildings offer services for specific populations, which help households not only to obtain suitable housing, but also to access additional services that give the household stability in the community. Below is a list of the ways Home Forward utilizes MTW authority for the local PBV program:

- Home Forward exceeds the traditional 25% limit of PBVs in a single building, and allows project-based vouchers to be awarded to more than 25% of units in a given complex.
- Home Forward has modified waitlist policies to allow each PBV building to maintain its own site-based waiting list with its own preferences. It would not be practical or cost effective for Home Forward to manage so many separate PBV waiting lists with separate preferences. Multiple waitlists also ensure that there are almost always open waitlists at any point in time.
- Home Forward does not provide a preference on the tenant-based waiting list for PBV residents, and requires PBV residents to apply for and remain on the tenant-based waitlist in order to transfer to a tenant-based voucher unit.
- Home Forward modifies screening and eligibility requirements to differ from the traditional criteria at certain PBV properties which offer supportive services, therefore increasing housing choice for participants who might otherwise be ineligible for Section 8 housing. Home Forward determines an applicant's eligibility for a specific PBV property based on the capacity of the service provider who owns or contracts to manage the property.
- Home Forward has modified owner proposal selection procedures for PBV units in order to increase Permanent Supportive Housing in our community by awarding PBV units via a local competitive process in collaboration with the City of Portland and Multnomah County. This local process includes issuing a Notice of Funding Availability and accepting proposals from housing developers and owners across the County. This effort ensures that PBVs are aligned with capital and services funding made available from our jurisdictional partners.
- The local competitive process may be waived and PBVs may be awarded based on a formal approval and resolution process by Home Forward's Board of Commissioners when the property is owned directly or indirectly by Home Forward, subject to HUD's requirements regarding subsidy layering. The owned units would not be subject to any required assessments for voluntary conversion.

- Home Forward has adopted the local city and county site selection standards for PBV units in order to ensure alignment with jurisdictional partners in regards to site selection for low-income housing aimed at ending homelessness. Site selection standards are designed to deconcentrate poverty and expand housing and economic opportunities in census tracts with poverty concentrations of 20% or less.
- Home Forward has modified subsidy standards regarding under- and over-housing in order to ensure full utilization of PBV units. Subsidy standards are the same for PBVs as those used for tenant-based vouchers, but exceptions are granted when there are no appropriately sized households on the waiting list to fill a vacant unit.
- Home Forward has modified lease terms, renewal options, and termination policies to limit owners' ability to terminate tenancy without cause, maximizing housing choice for the families in those units. After the initial term, PBV leases convert to a month-to-month agreement unless owners and tenants agree to a longer term, and owners may not refuse to renew leases without cause. Owners of PBV units may not terminate tenancy without cause, except as follows:
  - The owner of a PBV unit may terminate tenancy for a family if Home Forward terminates the family's assistance for any reason in order to ensure that another low-income applicant can be served. However, instead of terminating tenancy, the owner may request Home Forward's approval to amend the PBV contract to remove a unit occupied by a zero subsidy family or amend the PBV contract to substitute a different unit with the same number of bedrooms in the same building.
- Home Forward modified the way contract rents are determined for PBV units by limiting PBV contract rents to a maximum of the payment standard less any applicable tenant paid utility allowance, ensuring that PBV units are affordable even to zero-income households.
- Home Forward adapted the timing of applying payment standard adjustments for PBV participants. Any increase in payment standards to the PBV units is applied on the next anniversary date of the PBV Housing Assistance Payments Contract, following the effective date of the increase. Any decrease in payment standards to the unit is applied beginning on the second anniversary date of the PBV Housing Assistance Payments Contract following the effective date of the decrease. Home Forward also applies any changes to the utility allowances at the same time as the payment standard adjustments.
- Home Forward uses an alternate rent setting policy that allows the Rent Assistance Director, with Board approval, to set exception payment standards that are greater than 110% (up to a maximum of 150%) of Fair Market Rents for service-enriched buildings without requesting HUD approval. The payment standard granted applies to any unit under the project-based voucher contract serving a highly vulnerable population with intensive services. Data is required of the owner to verify the value of the services being provided, and this cost will not be included when conducting rent reasonableness tests.
- Home Forward allows Home Forward staff to conduct inspections, set rents and determine rent reasonableness for Home Forward-owned units that utilize PBVs. When Home Forward both owns and manages the unit, it hires a third party to conduct quality control testing of inspections and rent reasonableness determinations for a sample of these units. This activity is also described under Activity 08: Inspection and Rent Reasonableness at Home Forward-Owned Units.
- Home Forward has eliminated the cap limiting project-basing to up to 20% of the amount of budget authority allocated to the agency by HUD in the voucher program.

## 11 Align Utility Allowance Adjustment Procedures

**Approved FY2011, Implemented FY2011**

In our FY2011 MTW Plan, Home Forward received approval to align the public housing process for calculating and implementing utility allowance adjustments with that of Section 8. Previously, the public housing utility allowance process required Home Forward to conduct engineering surveys to determine energy consumption, which was cumbersome and costly. Additionally, public housing protocol required that a re-certification be completed for each resident when there were adjustments to the utility allowance.

**MTW authorization:**

Attachment C, Section C(11) – Rent Policies and Term Limits

**Statutory objective:**

Reduce cost and achieve greater cost effectiveness in Federal expenditures.

Aligning the utility allowance adjustment process with that of Section 8 allows public housing to adopt the methodology of using HUD's standard calculation, which is based on the type of utility and type of building. As in the Section 8 program, public housing staff will review the utility allowance adjustments annually, with the adjustment going into effect at the resident's next regular review.

**Changes or modifications:**

We are not anticipating any changes to this activity.

**Activity Metrics:**

| Metric                                      | Baseline                                       | Benchmark       | Final Projected Outcome |
|---------------------------------------------|------------------------------------------------|-----------------|-------------------------|
| Agency cost savings (Standard Metric: CE#1) |                                                |                 |                         |
| Total cost of task                          | Before implementation, \$8,000 per year        | FY2019: \$0     | \$0 to complete survey  |
| Staff time savings (Standard Metric: CE#2)  |                                                |                 |                         |
| Total time to complete task                 | Before implementation, approximately 393 hours | FY2019: 0 hours | 0 hours                 |

**MTW Flexibility:**

The standard public housing utility allowance process requires engineering surveys to determine energy consumption, and that a re-certification be completed for each resident when there is a utility allowance adjustment. Our MTW flexibility allows us to align the public housing process with that of Section 8, resulting in agency cost and staff time savings.



### 13 Broaden Range of Approved Payment Standards

#### Approved FY2015, Implemented FY2015, Amended FY2017

Regulations require that payment standards are set between 90% and 110% of Fair Market Rents (FMR), as defined by HUD for a Housing Authority's jurisdiction. Home Forward serves all of Multnomah County, a large geographic area with rents that differ throughout several submarkets, i.e. downtown Portland and East County, which is more rural. When the rental market conditions tighten, it is not uncommon for 110% of Fair Market Rent to fall short of what is needed to rent a quality unit in large, and often higher opportunity, areas of Multnomah County. In addition, payment standards that are too high in particular neighborhoods can create concentrations of poverty.

#### MTW authorization:

Attachment C, Section D(2)(a) – Rent Policies and Term Limits

#### Statutory objective:

Increase housing choice for low-income families

In order to ensure that payment standards are sufficient to allow Housing Choice Voucher participants reasonable choice in neighborhoods, Home Forward has used MTW authority to broaden its "base range" for payment standards to between 80% and 160% of the Fair Market Rents without prior HUD approval. (In FY2015, Home Forward received authorization to set payment standards between 80% and 120% of Fair Market Rents. In FY2017, Home Forward received authorization to broaden that range between 80% and 160% of Fair Market Rents.)

Home Forward uses the following parameters to establish payment standards:

- Payment standards are broken down by nine separate neighborhoods as defined by ZIP codes. Each of these neighborhood payment standards is broken down by bedroom size.
- Payment standards are set at the market rate.
- Because data shows that market rents are significantly different for three-bedroom apartments and three-bedroom single-family homes and duplexes, Home Forward has established separate payment standards for the two housing types with three bedrooms.

In response to a FMR study commissioned by the local metro-area housing authorities, on February 3, 2016, HUD adopted revised FMRs for the Portland- Vancouver-Hillsboro, OR-WA, HUD Metropolitan Statistical Area (MSA). In March 2016, utilizing these new and substantially increased FMRs together with our existing authority, at the time, to set payment standards up to 120% of FMR. Home Forward's Board of Commissioners adopted new payment standards to be effective April 1, 2016. These payment standards are set at up to 118% of FMR in some neighborhoods to reflect actual market costs in order to give participants a greater chance to lease up in low poverty, high-opportunity neighborhoods.

With these new payment standards, from April 1, 2016 – August 31, 2016, approximately 5,244 families (82% of Housing Choice Voucher participants) have units that benefitted from the implementation of the payment standards set beyond the basic range of 90-110% FMR. Even with the increased FMRs, continued rent increases have proven payment standards up to 120% are insufficient in many neighborhoods we serve, effectively barring low-income families from accessing housing in these areas without paying high market rents in excess of the payment standards. Despite setting payment standards at 118% of FMR, our April 1st, 2016 payment standards for studio apartments are not below 90% of actual market rate in three of the nine payment standard areas, the payment standards for 1-bedroom apartments are not below 90% of market in any of the nine payment standard areas, and the payment standards for 2-bedroom apartments are below 90% of market in two of the nine payment standard areas. The following table illustrates the shortfall in studios, one-bedrooms and two-bedrooms throughout the county:



| Submarket                    | Studio           |                       | 1 Bedroom        |                       | 2 Bedroom        |                       |
|------------------------------|------------------|-----------------------|------------------|-----------------------|------------------|-----------------------|
|                              | Avg Shelter Cost | Shelter Cost as FMR % | Avg Shelter Cost | Shelter Cost as FMR % | Avg Shelter Cost | Shelter Cost as FMR % |
| Downtown Portland            | \$1,282          | 125%                  | \$1,451          | 128%                  | \$1,789          | 135%                  |
| NW Portland                  | \$1,298          | 127%                  | \$1,538          | 136%                  | \$1,777          | 134%                  |
| Gresham, Fairview, Troutdale | \$825            | 80%                   | \$1,027          | 91%                   | \$1,183          | 89%                   |
| Inner & Central NE           | \$1,336          | 130%                  | \$1,406          | 124%                  | \$1,672          | 126%                  |
| Inner & Central SE           | \$1,313          | 128%                  | \$1,392          | 123%                  | \$1,337          | 101%                  |
| N Portland & St. Johns       | \$1,334          | 130%                  | \$1,377          | 122%                  | \$1,238          | 93%                   |
| Outer NE                     | \$765            | 75%                   | \$1,041          | 92%                   | \$1,193          | 90%                   |
| Outer SE                     | \$908            | 88%                   | \$1,034          | 91%                   | \$1,148          | 86%                   |
| SW Portland                  | \$1,349          | 131%                  | \$1,468          | 130%                  | \$1,281          | 96%                   |

We will always need to balance the opportunity created with higher payment standards with the increased per family costs associated with higher payment standards. Higher payment standards can put limits on our ability to respond to the overwhelming need in our community, but given the nature of the rental market in Multnomah County, the flexibility to set payment standards up to 160% of FMR may be necessary to create reasonable choice for participant families, particularly in low-poverty, high-opportunity areas. We anticipate revising payment standards in FY2019, and depending on updated market survey data, utilization levels, and lease-up success, we may implement payment standards above 120% in some areas.

Home Forward has also been authorized to approve Exception Payment Standards up to 160% of Fair Market Rents in low-poverty areas or as a reasonable accommodation for a family that includes a person with disabilities.

Home Forward participants are on biennial and triennial recertification schedules, per Activity 01 Rent Reform. Because of this, there is sometimes a delay of several months or even years before the increased payment standard is applied to a participant's rent calculation. In the meantime, those participants may be experiencing a larger shelter burden as their landlords increase rent without the accompanying increase in payment standards. To address this delay, Home Forward has been authorized to apply updated payment standards at a participant's interim review.

#### Changes or modifications:

We are not anticipating any changes to this activity.

#### Activity Metrics:

| Metric                                                | Baseline | Benchmark | Final Projected Outcome |
|-------------------------------------------------------|----------|-----------|-------------------------|
| Increase in resident mobility (Standard Metric: HC#5) |          |           |                         |

| Metric                                                                                                                                                                                                                                                        | Baseline                                                     | Benchmark                      | Final Projected Outcome                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|--------------------------------|-----------------------------------------|
| Number of households living in better neighborhoods (defined as low poverty census tracts where poverty is below 17%) <sup>6</sup>                                                                                                                            | FY2013: 1,896 (30%) households lived in better neighborhoods | FY2019: 2,600 (30%) households | FY2019: at least 2,600 households (30%) |
| Agency cost savings (Standard Metric: CE#1)<br>Note: This is a standard reporting metric used by HUD to measure impacts across agencies on a national level. Home Forward does not save costs through this activity, but we have included it at HUD's request |                                                              |                                |                                         |
| Total cost of task                                                                                                                                                                                                                                            | FY2014: \$48,597,556                                         | FY2019: \$66,300,000           | FY2019: \$66,300,000                    |

**Additional Metrics:**

| Metric                                     | Baseline        | Benchmark       | Final Projected Outcome |
|--------------------------------------------|-----------------|-----------------|-------------------------|
| Average Housing Assistance Payment Expense |                 |                 |                         |
| Average annual HAP expense by household    | FY2014: \$6,690 | FY2019: \$8,134 | FY2019: \$8,133         |

**MTW Flexibility:**

Home Forward uses our MTW authority to expand the range for which Home Forward may set payment standards across the various submarkets of Multnomah County to between 80% and 160% of HUD-established Fair Market Rents, and to allow for exception payment standards up to 160% of Fair Market Rents, without HUD approval. This ensures that payment standards are sufficient to allow all families, including those that need a reasonable accommodation, to choose to rent units in all nine of the defined areas in Multnomah County (so long as Housing Choice Voucher funding is sufficient to permit this). Home Forward may also choose to reduce payment standards in areas with lower market rents. Home Forward may apply updated payment standards at a participant's interim review.

<sup>6</sup> Data will be collected from YARDI, Home Forward's database, which tracks the census tract and Payment Standard used for each household.

## 14 Program Based Assistance

### Approved FY2015, Implemented FY2015

The need for rental assistance in Multnomah County far outstrips the supply. When Home Forward opened the Section 8 waiting list in 2016 for the first time in 4 years, over 16,000 households applied for 3,000 waiting list slots.

In an attempt to increase the number of households served over a given period of time, Home Forward has designed Program Based Assistance. This local, non-traditional rent assistance program offers time-limited rent assistance, paired with services, to help families access and/or retain stable housing. While the Housing Choice Voucher and public housing programs provide permanent subsidies in order to ensure long-term affordability for low-income families, the focus of Program Based Assistance is helping families achieve stability. These households will likely remain rent-burdened, but with services available to help families address other challenges in their lives, many will be able to avoid homelessness and remain permanently housed.

To administer Program Based Assistance, Home Forward sets aside a pool of flexible rent assistance funds to serve targeted populations, in partnership with one or more local service providers who ensure that the families have access to the supportive services or resources they need to be stable and successful. Target populations for Program Based Assistance are families for whom: 1) success on the Section 8 Housing Choice Voucher program would be unlikely; 2) the delay in accessing rent assistance due to the Section 8 waitlist would most likely have devastating results (recidivism, relapse, death, homelessness, etc.); or 3) the need for rental subsidy is short term while the client is receiving the support needed to stabilize in permanent housing. Examples of target populations include families who are homeless or at risk of homelessness, families with children attending Alder Elementary School (which has one of the highest mobility rates in the County and was adopted by the I Have a Dream Foundation in order to improve outcomes), and former foster youth.

Program Based Assistance partners work with Home Forward to set program policies that are specific to the target population they are serving. Home Forward ensures that policies are clear, equitably managed, and in compliance with Fair Housing laws. All programs have common elements which include:

- **Uses of Funds:** Rental Assistance funds may be used for rent assistance, rent arrears with a current landlord, move-in fees and deposits, utility assistance and arrears, motel vouchers if housing is identified but not immediately available, and documented debt to a past landlord (other than a public housing authority).
- **Eligibility:** Eligibility for Program Based Assistance is as low barrier as possible in order to provide housing access for hard-to-serve households. The only limitations on eligibility are: 1) the household must include at least one person who is a U.S. citizen, U.S. national, or noncitizen with eligible immigration status; 2) the household may not include any member who is subject to lifetime registration as a sex offender or has been convicted of production/manufacture of methamphetamine on premises of federally assisted housing; 3) no one in the household may owe Home Forward money; and 4) annual gross income cannot exceed 50% of area median income.
- **Subsidy Determination Method:** Each partner is required to write clear policies and procedures for how subsidy amount and duration will be determined. These policies must be applied to all participants in that partner's program.

**MTW authorization:**

Attachment C, Section D(2)(a) – Rent Policies and Term Limits

**Statutory objective:**

Increase housing choice for low-income families

- **Service Requirements:** Home Forward makes these funds available to target populations in partnership with one or more partners who are experts in providing the supports families may need to remain stably housed and move towards a stable, permanent housing situation. Therefore, partner agencies are required to make services available to all families accessing Program Based Assistance. Partner agencies will also have the discretion to discontinue rental assistance to households who violate their program policies or fail to engage in services after repeated attempts at engagement.

### Changes or modifications:

We are not anticipating any changes to this activity. However, benchmarks are decreased; the disparity between per-unit Housing Choice Voucher funding and expenses means Home Forward has reduced ability to fund program based assistance.

### Activity Metrics:

| Metric                                                                                | Baseline                            | Benchmark                          | Final Projected Outcome                                             |
|---------------------------------------------------------------------------------------|-------------------------------------|------------------------------------|---------------------------------------------------------------------|
| Additional Units of Housing Made Available (Standard Metric: HC#1)                    |                                     |                                    |                                                                     |
| Number of new housing units made available for households at or below 80% AMI         | Before implementation, 0 units      | FY2019: 5 units                    | 5 additional units made available through the New Doors project for |
| Increase in Resident Mobility (Standard Metric: HC #5)                                |                                     |                                    |                                                                     |
| Number of households able to move to a better unit and/or neighborhood of opportunity | Before implementation, 0 households | FY2019: 27 unduplicated households | 27 formerly homeless households receive placement services annually |
| Households Assisted by Services that Increase Housing Choice (Standard Metric: HC #7) |                                     |                                    |                                                                     |
| Number of households receiving services aimed to increase housing choice              | Before implementation, 0 households | FY2019: 76 unduplicated households | 76 households receive services annually through Program Based       |

Home Forward tracks this information through a combination of our YARDI database system, reporting by partner agencies, and the community's Homeless Management Information System (HMIS) software.

### MTW Flexibility:

Home Forward uses MTW flexibility to blend program funds into a single budget used to fund Program Based Assistance. This allows Home Forward to administer a form of non-traditional rent assistance that can target households in crisis and help them reach or maintain housing stability.

## 15 Tenant-Based Voucher Set Aside Policies

### Approved FY2016, Implemented FY2016

Home Forward operates or participates in a number of programs that have been designed to align housing with supportive services in order to ensure success for participating families. These include local non-traditional rent assistance programs, our local project based voucher programs, and the Veterans Affairs Supportive Housing (VASH) program. In all cases, jurisdictional or community partners provide supports for families who access the housing, with target populations including families exiting homelessness, former foster youth, seniors, and people with disabilities.

**MTW authorization:**

Attachment C, Section D(4) – Waiting List Policies

**Statutory objective:**

Reduce cost and achieve greater cost effectiveness in Federal expenditures

Home Forward has found that leveraging our housing resources with services from partners often results in better housing stability and success for families with barriers to succeeding with a voucher alone. In addition to the above programs, we have also established a series of limited preferences for tenant-based vouchers. Through these limited preferences, specific community partners refer target populations to Home Forward for housing as they provide services. Among the set aside programs we've instituted are:

- Home Forward sets aside up to 200 vouchers for families currently served by Multnomah County's Homeless Family System of Care.
- We also set aside up to 50 vouchers for families that include a veteran experiencing homelessness, who are ineligible for HUD-VASH vouchers. These families are referred by and received services from Transition Projects, Inc.

HUD regulations for tenant-based vouchers require a housing authority to select all participants from a waiting list. In order to adhere to regulations, current families served by the two set aside programs are chosen and referred to Home Forward by the community partner, added to the tenant based voucher waiting list, then immediately pulled from the waiting list to be served with the vouchers that are dedicated to the program. This process is inefficient, time-consuming and cumbersome.

Home Forward is proposing to instead model the referral and selection procedures after the existing VASH program. As with VASH, Home Forward will accept referrals from the specified partners and award those households the dedicated vouchers. Written documentation of the referral will be maintained in the tenant file by Home Forward, but Home Forward will not add these households to the waiting list to be immediately selected. This flexibility will increase efficiency and may reduce the number of days a family has to wait between referral and issuance of the voucher.

For each limited preference program, Home Forward will execute a Memorandum of Understanding with the partner specifying:

- Number of vouchers set aside for the preference;
- Eligibility criteria for the preference;
- Criteria for determining how families will be selected and referred to Home Forward by the partner(s);
- Type and duration of services the partner(s) will make available to the household; and

- Understanding that all referrals must be in writing and include a certification from the partner(s) that the family was selected and referred to Home Forward in accordance with the criteria outlined in the Memorandum of Understanding.

Home Forward will audit partner agencies to ensure that they adhere to selection criteria specified in the Memorandum of Understanding.

The initial lease-up of the current set aside programs Home Forward is complete. However, should they be renewed, Home Forward will shift to the referral system (with no waiting list) for these set aside programs as well.

### Impact on Statutory Objective(s)

Since households are referred for set aside vouchers by community partners, it is inefficient and duplicative for Home Forward to maintain a waiting list of the families that partners have selected, only to immediately pull those families off the list. Accepting referrals from the partners of the set aside program, who have selected families based on the criteria outlined in the Memorandum of Understanding, will create efficiencies.

### Changes or modifications:

We are not anticipating any changes to this activity.

### Activity Metrics:

| Metric                                                                                                                                                                                                                                                                                                                 | Baseline           | Benchmark        | Final Projected Outcome |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------|-------------------------|
| Agency cost savings (Standard Metric: CE#1)                                                                                                                                                                                                                                                                            |                    |                  |                         |
| Total cost of task                                                                                                                                                                                                                                                                                                     | FY2016: \$875      | FY2019: \$0      | \$0                     |
| Staff time savings (Standard Metric: CE#2)                                                                                                                                                                                                                                                                             |                    |                  |                         |
| Total time to complete the task                                                                                                                                                                                                                                                                                        | FY2016: 29.5 hours | FY2019: 0 hours  | 0 hours                 |
| Decrease in wait list time (Standard Metric: HC#3)<br>Note: This is a standard reporting metric used by HUD to measure impacts across agencies on a national level. Because households are immediately pulled from the waiting list, this will have no impact on this metric; but we have included it at HUD's request |                    |                  |                         |
| Average applicant time on wait list in months                                                                                                                                                                                                                                                                          | FY2016: 0 months   | FY2019: 0 months | 0 months                |

### MTW Flexibility:

Home Forward uses MTW flexibility to blend program funds into a single budget used to fund Program Based Assistance. This allows Home Forward to administer a form of non-traditional rent assistance that can target households in crisis and help them reach or maintain housing stability.

## 16 Affordable Housing General Obligation Bond Project-Based Voucher Allocation

**Approved FY2018, Implemented FY2018**

Home Forward received approval to allocate up to 400 project based vouchers to support the goals of a \$258.4 million Portland Housing General Obligation Bond that was approved by voters in November of 2016. From time to time, local jurisdictions may issue general obligation bonds to acquire, develop and rehabilitate

land and/or properties for affordable housing. Home Forward, as a Moving to Work agency, is granted the ability to support such local housing programs. This includes collaborating with local jurisdictions to provide affordable housing and services for low income and/or disabled households in our community.

**MTW authorization:**

Attachment C, Section D(7)(b) – Local Competitive Process

**Statutory objective:**

Additional Units of Housing Made Available.

Given that local jurisdictions may be the only owner of such housing when using general obligation bonds, for this specific financing situation, Home Forward is defining the ballot initiative as a competitive process. Ultimately, the local jurisdiction is competing for the use of vouchers and is seeking authorization from voters. If the voters elect to pass such a ballot measure, it is done so with the public's knowledge that the sole owner of these properties must be the jurisdiction issuing the bonds. Home Forward will have permission to allocate project-based vouchers to such general obligation bond funded properties to ensure housing opportunities for very-low and extremely low-income families. Home Forward will measure the number of units made available to members of the community through utilization of this designation.

The Portland Housing Bond was designed to increase the affordable housing stock in a community with rapidly rising rents that limit choice for families living with low incomes. One of the location priorities of the Portland Housing Bond's framework is to prioritize acquiring land for new housing in high opportunity areas with access to education and economic opportunities, among other amenities. The Portland Housing Bond's framework includes recommendations for services related to "workforce skill development/employment resources and parenting resources, youth engagement and academic assistance" among other resident services' priorities.

The Portland Housing Bond will create approximately 1,300 permanently affordable units throughout the City of Portland, with 600 designated for households earning 0-30% of the area median incomes. According to the City of Portland, the allocation of up to 400 vouchers to the affordable housing general obligation bond will leverage an additional 200 units of housing for families earning between 0-30% of the area median income, netting a total of 600 units of deeply affordable, permanent housing added to the City of Portland. These permanent units will increase choice across the city for families living with low and extremely low incomes. The vouchers will be allocated over five to seven years as properties are acquired and built. On average, Home Forward anticipates that 57-70 vouchers will be deployed each year.

**Changes or modifications:**

We are not anticipating any changes to this activity.

**Activity Metrics:**

| Metric                                                             | Baseline | Benchmark | Final Projected Outcome |
|--------------------------------------------------------------------|----------|-----------|-------------------------|
| Additional units of housing made available (Standard Metric: HC#1) |          |           |                         |

| Metric                                                                                                                                                                                                                                                    | Baseline  | Benchmark         | Final Projected Outcome |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------------|-------------------------|
| The Portland Housing Bond anticipates adding 1,300 units of affordable housing over a seven year period. The 400 vouchers will be used to leverage an additional 200 units being available for households earning between 0 and 30% median family income. | FY2018: 0 | FY2019: 400 units | 600 units               |

Home Forward will measure the number of units made available to members of the community through the City of Portland's Housing Bond reports to the community. Home Forward will measure the number of units made available to members of the community internally through utilization of this designation.

#### **MTW Flexibility:**

Home Forward designates voter-approved affordable housing general obligation bond ballot measures as a competitive process. This is an additional waiver of 24 CFR 983.51. In Oregon, local jurisdictions may issue general obligation bonds. These bonds are secured by tax levies and provide communities with access to debt at favorable interest rates. Unlike other states, however, the Oregon constitution has language that prohibits jurisdictions from raising money to aid parties other than the issuing jurisdiction. In other words, in the State of Oregon, jurisdictions may only use general obligation bonds for capital costs incurred and owned by the issuing jurisdiction. This happens through a popular vote of the citizenry and can leverage 200 additional units of affordable housing made available to the community.



## Not Yet Implemented Activities

Home Forward does not have any approved activities yet to be implemented.

## Closed Out Activities

12 Alternative Initial Housing Assistance Policy

### **Approved FY2015, Never Implemented**

Home Forward determined that the administrative costs to manage this activity would offset the proposed savings.

Alternate Rent Calculation for Public Housing Units

### **Approved FY2011, Implemented FY2022, Closed Out FY2012**

This activity was discontinued on April 1, 2012 when our current Rent Reform activity was implemented and the units at those buildings shifted to the Rent Reform calculation.

Limits for Zero-Subsidy Participants

### **Approved FY2012, Implemented FY2020, Closed out FY2012**

This activity was discontinued on April 1, 2012 with the implementation of Rent Reform.

Limiting Portability in Higher Cost Areas

### **Approved FY2013, Never Implemented**

Home Forward determined that the administrative costs to manage this activity would offset the proposed savings.

04 Bud Clark Commons

### **Approved FY2010, Implemented FY2010, Closed Out FY2014**

Home Forward has determined that operations at Bud Clark Commons do not utilize MTW flexibility.

05 Biennial Inspections

### **Approved FY2008, Implemented FY2008, Closed out FY2015**

The FY2014 Appropriations Act allows all housing authorities to conduct inspections on a biennial basis. This activity no longer requires MTW flexibility.

# Sources and Uses of MTW Funds

## A. Estimated Sources and Uses of MTW Funds

### i. Estimated Sources of MTW Funds

The MTW PHA shall provide the estimated sources and amount of MTW funding by Financial Data Schedule (FDS) line item.

| FDS LINE ITEM NUMBER                         | FDS LINE ITEM NAME                     | DOLLAR AMOUNT |
|----------------------------------------------|----------------------------------------|---------------|
| 70500 (70300+70400)                          | Total Tenant Revenue                   | \$3,895,306   |
| 70600                                        | HUD PHA Operating Grants               | \$92,648,184  |
| 70610                                        | Capital Grants                         | \$6,760,857   |
| 708000                                       | Other Government Grants                | \$-           |
| 70700<br>(70710+70720+70730<br>+70740+70750) | Total Fee Revenue                      | \$-           |
| 71100+72000                                  | Interest Income                        | \$-           |
| 71600                                        | Gain or Loss on Sale of Capital Assets | \$(7,852)     |
| 71200+71300+71310+<br>71400+71500            | Other Income                           | \$11,548,531  |
| 70000                                        | Total Revenue                          | \$114,845,026 |

### ii. Estimated Uses of MTW Funds

The MTW PHA shall provide the estimated uses and amount of MTW spending by Financial Data Schedule (FDS) line item.

| FDS LINE ITEM NUMBER                                           | FDS LINE ITEM NAME               | DOLLAR AMOUNT    |
|----------------------------------------------------------------|----------------------------------|------------------|
| 91000<br>(91100+91200+91400+91500+91600+91700+918<br>00+91900) | Total Operating - Administrative | \$<br>13,924,745 |
| 91300+91310+92000                                              | Management Fee Expense           | \$<br>-          |
| 91810                                                          | Allocated Overhead               | \$<br>4,628,577  |
| 92500 (92100+92200+92300+92400)                                | Total Tenant Services            | \$<br>379,714    |
| 93000<br>(93100+93600+93200+93300+93400+93800)                 | Total Utilities                  | \$<br>2,171,394  |
| 93500+93700                                                    | Labor                            | \$<br>-          |
| 94000 (94100+94200+94300+94500)                                | Total Ordinary Maintenance       | \$<br>5,664,423  |
| 95000 (95100+95200+95300+95500)                                | Total Protective Services        | \$<br>86,761     |
| 96100 (96110+96120+96130+96140)                                | Total Insurance Premiums         | \$<br>597,120    |

|                                                      |                                            |                   |
|------------------------------------------------------|--------------------------------------------|-------------------|
| 96000<br>(96200+96210+96300+96400+96500+96600+96800) | Total Other General Expenses               | \$<br>611,140     |
| 96700 (96710+96720+96730)                            | Total Interest Expense & Amortization Cost | \$<br>-           |
| 97100+97200                                          | Total Extraordinary Maintenance            | \$<br>-           |
| 97300+97350                                          | HAP + HAP Portability-In                   | \$<br>87,803,620  |
| 97400                                                | Depreciation Expense                       | \$<br>2,743,451   |
| 97500+97600+97700+97800                              | All Other Expense                          | \$<br>-           |
| 90000                                                | Total Expenses                             | \$<br>118,610,945 |

**Please describe any variance between Estimated Total Revenue and Estimated Total Expenses:**

We have used preliminary estimates for our FY 19 operating budget as the basis for this schedule. Home Forward has commissioned Washington State to do an independent market rent survey. This data will hopefully be used to increase voucher funding for CY 19.

**iii. Description of Planned Use of MTW Single Fund Flexibility**

The MTW PHA shall provide a thorough narrative of planned activities that use only the MTW single fund flexibility. Where possible, the MTW PHA may provide metrics to track the outcomes of these programs and/or activities. Activities that use other MTW authorizations in Attachment C and/or D of the Standard MTW Agreement (or analogous section in a successor MTW Agreement) do not need to be described here, as they are already found in Section (III) or Section (IV) of the Annual MTW Plan. The MTW PHA shall also provide a thorough description of how it plans to use MTW single fund flexibility to direct funding towards specific housing and/or service programs in a way that responds to local needs (that is, at a higher or lower level than would be possible without MTW single fund flexibility).

**PLANNED USE OF MTW SINGLE FUND FLEXIBILITY**

**Replacement Housing Factor Funds/Demolition or Disposition Transition Funding**

Home Forward's efforts to reposition its public housing portfolio can result in a formal disposition approval from HUD and then the sale of the asset. In these instances, Replacement Housing Factor (RHF) or Demolition or Disposition Transitional Funding (DDTF) funds are received by Home Forward as part of the Capital Fund Formula and used to create a new public housing unit. Home Forward utilizes MTW authority to use these RHF or DDTF funds within its single fund flexibility to create new public housing units in a mixed-finance project. In doing so, these funds provide a portion of the total development capital needed for a particular project. Given the development cash flow needs of any particular mixed-finance project, Home Forward may also use these funds to repay construction financing. This would be done without formally pledging the future RHF or DDTF funds to the lender as collateral.

### MTW Initiative Funds

Home Forward has created MTW Initiative Funds, comprised of MTW reserve funds in their entirety. This is a funding source to support initiatives that will advance the goals and objectives of MTW and Home Forward's Strategic Operations Plan. Some of these initiatives are aspects of our MTW Activities, described earlier in this Plan.

Listed below are initiatives that only use single-fund flexibility:

- Action for Prosperity: Action for Prosperity is a partnership between Home Forward, Worksystems, Inc., the Multnomah County Anti-Poverty system, and the State Department of Human Services. Each system leverages its resources by delivering core services and utilizing the other systems to provide wrap-around supports. With access to stable housing, the appropriate level of case management, and priority access to workforce services, we believe that a significant number of households will be able to develop the skills they need to gain employment within two years. Home Forward contributes rent assistance, in the form of Program Based Assistance, which is contracted to agencies in the Anti-Poverty system who use it to help stabilize families who are engaged in training or employment programming.
- Families Forward: Families Forward is the umbrella name for our strategic initiatives designed to help youth attain education success in order to alleviate or exit poverty, and to help adults make economic progress, with the ultimate goal of exiting poverty for those who are able.
  - For adults, the current priority is to create a single framework for all of the agency's Economic Opportunity efforts, integrating the following four strategies: collecting information about families through an Employment and Training Interest Inventory; aligning existing self-sufficiency programs into a single program called GOALS, with site-based and non-site based components; facilitating the hiring of low-income (Section 3) residents and participants by Home Forward and contractors; and integrating Action for Prosperity (mentioned above) into Economic Opportunity work. Ultimately, the goals for this initiative include increases in resident/participant earned income, increases in residents'/participants' contribution to rent, and residents/participants reaching a living wage if they exit housing subsidy.
  - Youth Initiatives: Home Forward's youth and education work for the next fiscal year will largely focus on early childhood (children prenatally through age 8) in several key areas: improving school attendance, especially in the early grades; increasing our support of parents with children ages 0-5 to improve school readiness; and aligning our services with key educational and parent support partners to improve parent and child well-being and school readiness. These efforts will be coordinated with local sector partners and collective impact efforts focused on creating a more seamless early childhood system of support and addressing chronic school absence across Multnomah County.
- Aging at Home Strategies: Home Forward is developing and implementing initiatives to increase independence and a sense of community at our properties that serve seniors and people with disabilities. We have hired a Health & Support Services Coordinator to assist in the enhancement and development of partnerships and systems alignment with a variety of partners including Aging & Disability Services, Cedar Sinai Park, Care Oregon, Health Share and Family Care, clinics and educational bodies. In addition, we plan to continue administering the Congregate Housing Services Program at five properties and are evaluating expansion of this program and/or implementing certain aspects of this program at other properties.
- Staff Training: Home Forward's current strategic plan (2016-2020) recognizes that how our work is done matters to our community and staff. To strengthen our relationship with the people we serve and with each other, Home Forward continues to re-evaluate best practices and approaches to offer relevant information and training for staff. Training includes Trauma- Informed practice, a recognized model that recognizes the impact trauma can have on people and commits to not repeating traumatic experiences. The goal is to provide staff with knowledge and tools suitable for their position to recognizing symptoms of trauma and applying trauma informed awareness in engaging in the work and to support this by creating Trauma Informed policies and procedures that encourage healing and a sense of safety.

- Security Deposit Assistance: Home Forward uses single-fund flexibility to offer security deposit assistance to two populations: participants leasing up with Veterans Affairs Supportive Housing (VASH) vouchers, and former foster youth leasing up with Family Unification Program (FUP) vouchers. For homeless veterans, a lack of funds for security deposits is a serious barrier to successful use of VASH vouchers. Similarly, youth aging out of the foster care system often do not have the resources to pay for security deposits when trying to utilize FUP vouchers. Security deposit assistance is a key support to finding housing for veterans and youth. Home Forward's funds are to be used only when the service agencies working with these populations are not able to otherwise arrange for deposit assistance.
- Tenant Education Class and Deposit Assistance: During FY2016, Home Forward entered into a contract with a community-based tenant advocate organization, the Community Alliance of Tenants (CAT), to teach new and transferring Housing Choice Voucher holders about their rights and responsibilities as tenants. Upon completing the class, voucher holders are given one-time access to \$200 in deposit assistance for their housing search. This partnership grew out of concern that in the current competitive rental market, and with the recent changes in Oregon landlord/tenant law, voucher holders needed more education about how to be successful applicants and tenants.
- Inspection Related Moving Fund: As described in Activity 12, Home Forward has created a moving assistance fund to assist households in making deposit payments in the unlikely event that a landlord fails to make necessary repairs to their unit after the initial inspection. (Not yet implemented; see Activity 12)
- Inter-jurisdictional Transfer Program for Survivors of Domestic Violence: In collaboration with other MTW-authorized housing authorities and the local domestic violence service system, Home Forward has implemented an inter-jurisdictional transfer program to assist participants who are survivors of domestic violence. The program ensures continued access to stable and safe housing when it is deemed necessary that the household move to another jurisdiction to avoid violence that is likely to become lethal or near-lethal. A local domestic violence service provider has assigned two full-time advocates to work on-site with Home Forward participants and residents. The advocates can recommend voucher participants to this transfer program and provide advocacy and assistance with relocation to the new jurisdiction. Clients are connected with a local domestic violence agency in the new jurisdiction for support after their transfer. Home Forward allocates up to \$2,000 per household for relocation costs, for up to five households each year. In addition, Home Forward intends to absorb the vouchers of up to five families referred by partnering MTW agencies.
- Section 8 Success Fund: Home Forward is testing two pilot programs in an effort to increase utilization rates and decrease the number of days to lease up for Housing Choice Voucher (HCV) households. The Success Fund is jointly funded by the City of Portland and Home Forward MTW Initiative Funds.
  - Security and Success Loan: HCV households of 30% AMI or more are offered low-interest loans with affordable re-payment terms in order to assist with moving related costs, such as security deposits. Home Forward has partnered with Local Community Development Financial Institution; Innovative Changes is serve as the lender. The loan is also an opportunity for credit building and financial education.
  - Housing Search Advocates: Home Forward contracts with three Housing Search Advocates to provide housing search and placement assistance to HCV households. The Advocates are employed by two non-profits who specialize in housing, Transition Projects Inc. and Human Solutions. Along with case management supports, the Advocates can also provide a limited amount of financial assistance to help reduce barriers to housing such as security deposits, application fees, and transportation for the housing search.
- Expungement Partnership: Home Forward is collaborating with Metropolitan Public Defender (MPD), a non-profit legal firm, to provide legal services to assist Home Forward residents,

participants, and waitlist households with criminal record expungements along with consultation on any outstanding obligations to the court system. By reducing a barrier to housing and employment that is associated with a criminal background, Home Forward will help residents gain greater housing choice options in our community and ability for increased opportunity for work focused households to obtain employment. Home Forward also plans to see a decrease in administrative costs related to screening denials as an outcome of this project. Home Forward is using MTW Initiative Funds to support this program.

- **Affordable Housing Opportunities:** With incredibly low vacancy rates and a lack of affordable housing, Home Forward is dedicated to preserving and increasing the number of housing units in our community. Home Forward will use MTW Initiative Funds to leverage additional funding for the preservation of existing affordable housing and development of new affordable housing. By using methods such as site acquisition, predevelopment loans, and gap financing, Home Forward is able to invest in projects to expand the availability of housing that is affordable to families at different income levels in our community.
- **Grant shortfalls:** A large share of tenant/resident services are funded from grants and foundations. These funds augment local funds to provide supportive services and self-sufficiency services to residents. In order to optimize available services, any costs not eligible for state and local grants will be funded by single-fund flexibility.
- **Emergency fund:** In the event of an emergency that affects a public housing family's ability to live safely in their unit, Home Forward has created a fund to help the family temporarily find safe housing in a hotel.
- **Landlord portal:** Home Forward is developing an e-Center which will allow landlords to electronically process a Request for Tenancy Approval, view and schedule inspections, view payment information, and communicate with Home Forward. It is our objective to streamline the entire process and reduce the time required to lease an apartment or home to a voucher holder. Our staff is working with stakeholders to identify critical requirements and to develop a roadmap to deploying a beneficial tool to both our landlords and Home Forward.
- **MTW Operating Reserve:** Home Forward will set aside funds each year, as determined by the Board of Commissioners, towards building an Operating Reserve sufficient for Operating Expenses and Housing Assistance Payments.

## B. Local Asset Management Plan

- i. **Is the MTW PHA allocating costs within statute?** No
- ii. **Is the MTW PHA implementing a local asset management plan (LAMP)?** Yes
- iii. **Has the MTW PHA provide a LAMP in the appendix?** Yes
- iv. **If the MTW PHA has provided a LAMP in the appendix, please describe any proposed changes to the LAMP in the Plan Year or state that the MTW PHA does not plan to make any changes in the Plan Year.**  
Home Forward does not plan to make any changes to its Local Asset Management Plan in FY2019.

## C. Rental Assistance Demonstration (RAD) Participation

**i. Description of RAD Participation**

The MTW PHA shall provide a brief description of its participation in RAD. This description must include the proposed and/or planned number of units to be converted under RAD, under which component the conversion(s) will occur, and approximate timing of major milestones. The MTW PHA should also give the planned/actual submission dates of all RAD Significant Amendments. Dates of any approved RAD Significant Amendments should also be provided.

**RENTAL ASSISTANCE DEMONSTRATION (RAD) PARTICIPATION**

Additional detail about Home Forward's RAD conversion can be found in Attachment R

**ii. Has the MTW PHA submitted a RAD Significant Amendment in the appendix? A RAD Significant Amendment should only be included if it is a new or amended version that requires HUD approval.**

No

**iii. If the MTW PHA has provided a RAD Significant Amendment in the appendix, please state whether it is the first RAD Significant Amendment submitted or describe any proposed changes from the prior RAD Significant Amendment?**

N/A

# Administrative

## A. Board Resolution and Certifications of Compliance



### MEMORANDUM

|                                                                      |                                                                                                      |
|----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| <b>To:</b> Board of Commissioners                                    | <b>Date:</b> October 16, 2018                                                                        |
| <b>From:</b> Tim Collier, Communications<br>Director<br>503.802.8432 | <b>Subject:</b> Authorize Fiscal Year 2019 Draft<br>Moving to Work (MTW) Plan<br>Resolution 18-10-05 |

The Board of Commissioners is requested to authorize staff to submit the 20<sup>th</sup> Year Moving to Work (MTW) Annual Plan to the Department of Housing and Urban Development. This plan corresponds to Home Forward's fiscal year 2019.

### ISSUE

Home Forward's designation as an MTW agency affords us regulatory relief in the administration of the Housing Choice Voucher program (Section 8) and Public Housing operating subsidies and capital allocations, as well as the ability to waive certain HUD regulations in favor of locally developed policies and initiatives for the benefit of our residents, participants and the community. All activities that use MTW authority must support at least one of three MTW objectives: (1) reduce costs and increase efficiencies, (2) increase housing choice for low-income families and, (3) provide incentives to families to become economically self-sufficient. Home Forward's current ten-year agreement with HUD preserves our MTW designation until 2028.

This year's MTW Plan has been posted to Home Forward's website, and presented to the Resident Advisory Committee and the Board of Commissioners at a public hearing. Any feedback and Home Forward responses are included in the MTW Plan and will be provided to HUD as part of our submission. Home Forward staff will continue to work closely with residents, participants and stakeholders as we refine and examine our existing activities, and as we consider any new activities to propose in future years.





## RESOLUTION 18-10-05

RESOLUTION 18-10-05 AUTHORIZES HOME FORWARD STAFF TO SUBMIT THE 20<sup>TH</sup> YEAR ANNUAL MOVING TO WORK (MTW) PLAN TO THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)

WHEREAS, the MTW Plan provides Home Forward with the authority to adopt new policies and to flexibly use HUD funding to maximize the effectiveness of this important resource; and

WHEREAS, the plan has been publicly noticed in the Oregonian on September 17, 2018, and posted to the Home Forward website; and

WHEREAS, on September 11, 2018 Home Forward staff met with members of the Resident Advisory Committee to review the draft plan; and

WHEREAS, on September 18, 2018 the Home Forward Board of Commissioners conducted a public hearing on the draft MTW plan; and

WHEREAS, HUD has requested that the Home Forward Board of Commissioners authorize the execution of its Twentieth-Year MTW Annual Plan.

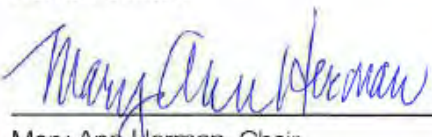
NOW, THEREFORE, BE IT RESOLVED, by the Board of Commissioners of Home Forward that the Chair of the Board of Commissioners is authorized to enter into and execute the Twentieth-Year Annual MTW Plan with the Department of Housing and Urban Development.

ADOPTED: OCTOBER 16, 2018

Attest:

  
\_\_\_\_\_  
Ian Davie, for Secretary

Home Forward:

  
\_\_\_\_\_  
Mary Ann Herman, Chair

OMB Control Number: 2557-0216

Expiration Date: 01/31/2021

**CERTIFICATIONS OF COMPLIANCE**

**U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**  
**OFFICE OF PUBLIC AND INDIAN HOUSING**  
**Certifications of Compliance with Regulations:**  
**Board Resolution to Accompany the Annual Moving to Work Plan**

Acting on behalf of the Board of Commissioners of the Moving to Work Public Housing Agency (MTW PHA) listed below, as its Chairman or other authorized MTW PHA official if there is no Board of Commissioners, I approve the submission of the Annual Moving to Work Plan for the MTW PHA Plan Year beginning 01/01/2019, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- (1) The MTW PHA published a notice that a hearing would be held, that the Plan and all information relevant to the public hearing was available for public inspection for at least 30 days, that there were no less than 15 days between the public hearing and the approval of the Plan by the Board of Commissioners, and that the MTW PHA conducted a public hearing to discuss the Plan and invited public comment.
- (2) The MTW PHA took into consideration public and resident comments (including those of its Resident Advisory Board or Boards) before approval of the Plan by the Board of Commissioners or Board of Directors in order to incorporate any public comments into the Annual MTW Plan.
- (3) The MTW PHA certifies that the Board of Directors has reviewed and approved the budget for the Capital Fund Program grants contained in the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1 (or successor form as required by HUD).
- (4) The MTW PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
- (5) The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- (6) The Plan contains a certification by the appropriate state or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the MTW PHA's jurisdiction and a description of the manner in which the Plan is consistent with the applicable Consolidated Plan.
- (7) The MTW PHA will affirmatively further fair housing by fulfilling the requirements at 24 CFR 903.7(o) and 24 CFR 903.15(d), which means that it will take meaningful actions to further the goals identified in the Assessment of Fair Housing (AFH) conducted in accordance with the requirements of 24 CFR 5.150 through 5.180, that it will take no action that is materially inconsistent with its obligation to affirmatively further fair housing, and that it will address fair housing issues and contributing factors in its programs, in accordance with 24 CFR 903.7(o)(3). Until such time as the MTW PHA is required to submit an AFH, and that AFH has been accepted by HUD, the MTW PHA will address impediments to fair housing choice identified in the Analysis of Impediments to fair housing choice associated with any applicable Consolidated or Annual Action Plan under 24 CFR Part 91.
- (8) The MTW PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
- (9) In accordance with 24 CFR 5.105(a)(2), HUD's Equal Access Rule, the MTW PHA will not make a determination of eligibility for housing based on sexual orientation, gender identify, or marital status and will make no inquiries concerning the gender identification or sexual orientation of an applicant for or occupant of HUD-assisted housing.
- (10) The MTW PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- (11) The MTW PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
- (12) The MTW PHA will comply with requirements with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
- (13) The MTW PHA will comply with requirements with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.

OMB Control Number: 2557-0216

Expiration Date: 01/31/2021

- (14) The MTW PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
- (15) The MTW PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
- (16) The MTW PHA will provide HUD or the responsible entity any documentation needed to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58. Regardless of who acts as the responsible entity, the MTW PHA will maintain documentation that verifies compliance with environmental requirements pursuant to 24 Part 58 and 24 CFR Part 50 and will make this documentation available to HUD upon its request.
- (17) With respect to public housing and applicable local, non-traditional development the MTW PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- (18) The MTW PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- (19) The MTW PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
- (20) The MTW PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 200.
- (21) The MTW PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the Moving to Work Agreement and Statement of Authorizations and included in its Plan.
- (22) All attachments to the Plan have been and will continue to be available at all times and all locations that the Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the MTW PHA in its Plan and will continue to be made available at least at the primary business office of the MTW PHA.

**Home Forward****OR002****MTW PHA NAME****MTW PHA NUMBER/HA CODE**

*I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).*

**Mary Ann Herman****Chair****NAME OF AUTHORIZED OFFICIAL****TITLE**

**SIGNATURE**

**DATE**

**\* Must be signed by either the Chairman or Secretary of the Board of the MTW PHA's legislative body. This certification cannot be signed by an employee unless authorized by the MTW PHA Board to do so. If this document is not signed by the Chairman or Secretary, documentation such as the by-laws or authorizing board resolution must accompany this certification.**

## B. Documentation of Public Process



### The Oregonian

#### LEGAL AFFIDAVIT

AD#: 0008791565

Total

\$283.86

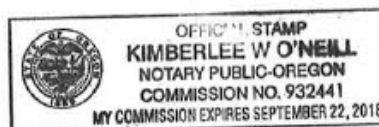
State of Oregon,) ss

County of Multnomah)

Justin Eubanks being duly sworn, deposes that he/she is principal clerk of Oregonian Media Group; that The Oregonian is a public newspaper published in the city of Portland, with general circulation in Oregon, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Oregonian 09/16/2018

Principal Clerk of the Publisher



Sworn to and subscribed before me this 17th day of September 2018

Notary Public

#### PUBLIC HEARING

Home Forward (formerly the Housing Authority of Portland) will hold a public hearing on Tuesday, September 18, 2018 @ 6:15 pm at Gresham City Hall, 1333 NW Eastman Parkway, Gresham, 97030, OR. The purpose of this meeting is to inform and seek input from the public, including current and potential residents / participants, concerning activities that Home Forward operates under the Federal Department of Housing and Urban Development's national demonstration program called "Moving to Work" (MTW). Home Forward's activities are outlined in the "Draft FY2019 MTW Annual Plan." The draft plan is available for viewing on Home Forward's website:

<http://www.homeforward.org/home-forward/moving-to-work>

Federal regulations under MTW authority emphasize cost-efficiency, resident self-sufficiency and increased housing choices.

Home Forward will be accepting written comments regarding the Annual Plan until October 15, 2018. Please submit written comments to the following address: Home Forward, Attn: Tim Collier, 135 SW Ash Street, Portland, OR 97204. Comments can also be emailed to [Tim.Collier@homeforward.org](mailto:Tim.Collier@homeforward.org)

Total Attendees: 24

#### Public Comments

**Question (from staff):** Would Home Forward owned and managed PBV properties also be subject to the 5-year wait period under the PBV Contract Transfer activity? Specifically, how would the new activity be implemented for phased projects, such as Dekum, and under the proposed upcoming municipal bonds?

**Comment:** No, the 5-year wait period will not be applied to Home Forward owned and managed properties. The activity has been updated for clarity.



## C. Planned and Ongoing Evaluations

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

| Part I: Summary                                                                                                                                                                                                                  |                                                              | Grant Type and Number<br>Capital Fund Program Grant No: OR10P00250116<br>Replacement Housing Factor Grant No:<br>Date of CFFP:                      |                      | FFY of Grant: 2016<br>FFY of Grant Approval:                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland<br><input type="checkbox"/> Original Annual Statement<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019 |                                                              | <input type="checkbox"/> Reserve for Disasters/Emergencies<br><input type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019 |                      | <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input type="checkbox"/> Final Performance and Evaluation Report |
| Line                                                                                                                                                                                                                             | Summary by Development Account                               | Original                                                                                                                                            | Revised <sup>2</sup> | Total Actual Cost <sup>1</sup><br>Expended                                                                                            |
| 1                                                                                                                                                                                                                                | Total non-CFFP Funds                                         |                                                                                                                                                     |                      |                                                                                                                                       |
| 2                                                                                                                                                                                                                                | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                                                                                                                                                     |                      |                                                                                                                                       |
| 3                                                                                                                                                                                                                                | 1408 Management Improvements                                 |                                                                                                                                                     |                      |                                                                                                                                       |
| 4                                                                                                                                                                                                                                | 1410 Administration (may not exceed 10% of line 21)          |                                                                                                                                                     |                      |                                                                                                                                       |
| 5                                                                                                                                                                                                                                | 1411 Audit                                                   |                                                                                                                                                     |                      |                                                                                                                                       |
| 6                                                                                                                                                                                                                                | 1415 Liquidated Damages                                      |                                                                                                                                                     |                      |                                                                                                                                       |
| 7                                                                                                                                                                                                                                | 1430 Fees and Costs                                          |                                                                                                                                                     |                      |                                                                                                                                       |
| 8                                                                                                                                                                                                                                | 1440 Site Acquisition                                        |                                                                                                                                                     |                      |                                                                                                                                       |
| 9                                                                                                                                                                                                                                | 1450 Site Improvement                                        |                                                                                                                                                     |                      |                                                                                                                                       |
| 10                                                                                                                                                                                                                               | 1460 Dwelling Structures                                     |                                                                                                                                                     |                      |                                                                                                                                       |
| 11                                                                                                                                                                                                                               | 1465.1 Dwelling Equipment—Nonexpendable                      |                                                                                                                                                     |                      |                                                                                                                                       |
| 12                                                                                                                                                                                                                               | 1470 Non-dwelling Structures                                 |                                                                                                                                                     |                      |                                                                                                                                       |
| 13                                                                                                                                                                                                                               | 1475 Non-dwelling Equipment                                  |                                                                                                                                                     |                      |                                                                                                                                       |
| 14                                                                                                                                                                                                                               | 1485 Demolition                                              |                                                                                                                                                     |                      |                                                                                                                                       |
| 15                                                                                                                                                                                                                               | 1492 Moving to Work Demonstration                            |                                                                                                                                                     |                      |                                                                                                                                       |
| 16                                                                                                                                                                                                                               | 1495.1 Relocation Costs                                      | 3,602,203.50                                                                                                                                        |                      | 2,731,641.13                                                                                                                          |
| 17                                                                                                                                                                                                                               | 1499 Development Activities <sup>4</sup>                     |                                                                                                                                                     |                      |                                                                                                                                       |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

|                                                                                                                                 |                                                                          |                                                                                                                                       |                  |                                                                   |  |
|---------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------------------|--|
| <b>Part I: Summary</b>                                                                                                          |                                                                          | <b>FFY of Grant: 2016</b>                                                                                                             |                  | <b>FFY of Grant Approval:</b>                                     |  |
| <b>PHA Name:</b><br>Home Forward<br>formerly known as<br>Housing Authority<br>of Portland                                       |                                                                          | <b>Grant Type and Number</b><br>Capital Fund Program Grant No: OR16P00250116<br>Replacement Housing Factor Grant No:<br>Date of CFFP: |                  |                                                                   |  |
| <b>Type of Grant</b>                                                                                                            |                                                                          | <input type="checkbox"/> Original Annual Statement                                                                                    |                  | <input type="checkbox"/> Revised Annual Statement (revision no: ) |  |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019                                |                                                                          | <input type="checkbox"/> Reserve for Disasters/Emergencies                                                                            |                  |                                                                   |  |
| <b>Summary by Development Account</b>                                                                                           |                                                                          | <b>Total Estimated Cost</b>                                                                                                           |                  | <b>Total Actual Cost<sup>1</sup></b>                              |  |
| <b>Line</b>                                                                                                                     | <b>Original</b>                                                          | <b>Revised<sup>2</sup></b>                                                                                                            | <b>Obligated</b> | <b>Expended</b>                                                   |  |
| 18a                                                                                                                             | 1501 Collateralization or Debt Service paid by the PHA                   |                                                                                                                                       |                  |                                                                   |  |
| 18ba                                                                                                                            | 9000 Collateralization or Debt Service paid Via System of Direct Payment | 360,818.50                                                                                                                            | 1,231,380.87     |                                                                   |  |
| 19                                                                                                                              | 1502 Contingency (may not exceed 8% of line 20)                          |                                                                                                                                       |                  |                                                                   |  |
| 20                                                                                                                              | Amount of Annual Grant: (sum of lines 2 - 19)                            | 3,963,022.00                                                                                                                          | 3,963,022.00     |                                                                   |  |
| 21                                                                                                                              | Amount of line 20 Related to LBP Activities                              |                                                                                                                                       |                  |                                                                   |  |
| 22                                                                                                                              | Amount of line 20 Related to Section 504 Activities                      |                                                                                                                                       |                  |                                                                   |  |
| 23                                                                                                                              | Amount of line 20 Related to Security - Soft Costs                       |                                                                                                                                       |                  |                                                                   |  |
| 24                                                                                                                              | Amount of line 20 Related to Security - Hard Costs                       |                                                                                                                                       |                  |                                                                   |  |
| 25                                                                                                                              | Amount of line 20 Related to Energy Conservation Measures                |                                                                                                                                       |                  |                                                                   |  |
| <b>Signature of Executive Director</b><br> |                                                                          | <b>Signature of Public Housing Director</b>                                                                                           |                  | <b>Date</b><br>8/21/18                                            |  |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFF Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

| Part II: Supporting Pages                                              |                                              |                         | Grant Type and Number                        |                      |                      | Federal FY of Grant: 2016    |                             |
|------------------------------------------------------------------------|----------------------------------------------|-------------------------|----------------------------------------------|----------------------|----------------------|------------------------------|-----------------------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                              |                         | Capital Fund Program Grant No: OR16P00250116 |                      |                      |                              |                             |
|                                                                        |                                              |                         | CFPP (Yes/No):                               |                      |                      |                              |                             |
|                                                                        |                                              |                         | Replacement Housing Factor Grant No:         |                      |                      |                              |                             |
| Development Number                                                     | General Description of Major Work Categories | Development Account No. | Quantity                                     | Total Estimated Cost |                      | Total Actual Cost            | Status of Work              |
| Name/PHA-Wide Activities                                               |                                              |                         |                                              | Original             | Revised <sup>1</sup> | Funds Obligated <sup>2</sup> | Funds Expended <sup>2</sup> |
| OR 2 - PHA Wide                                                        | 15% Allowance to support operations          | 1492                    |                                              | 792,604.40           | 594,453.30           | 247,749.40                   | FY18 Actuals                |
| OR 2 - PHA Wide                                                        | PH Portfolio                                 | 1492                    |                                              | 2,128,461.43         | 0                    |                              | FY18 MTW Plan               |
| OR 2 - PHA Wide                                                        | Unidentified Future Projects                 |                         |                                              |                      |                      |                              | FY18 MTW Plan               |
| OR 2 - 060                                                             | PH Portfolio                                 | 1492                    |                                              | 150,000.00           | 221,405.00           |                              | FY18 MTW Plan               |
|                                                                        | Discretionary Project Funds                  |                         |                                              |                      |                      |                              |                             |
| OR 2 - 060                                                             | Rockwood Station                             | 1492                    | 25                                           | 0.00                 | 3,323.26             | 3,323.26                     | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 061                                                             | The Jeffrey                                  | 1492                    | 20                                           | 0.00                 | 831.20               | 831.20                       | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 062                                                             | Martha Washington                            | 1492                    | 25                                           | 0.00                 | 330.88               | 330.88                       | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 063                                                             | Bud Clark Commons                            | 1492                    | 130                                          | 0.00                 | 10,396.78            | 10,396.78                    | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 064                                                             | Madrona Place                                | 1492                    | 45                                           | 0.00                 | 595.58               | 595.58                       | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 320                                                             | Fairview Oaks & Woods                        | 1492                    | 40                                           | 0.00                 | 3,434.77             | 3,434.77                     | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 058                                                             | Humboldt Gardens                             | 1492                    | 100                                          | 0.00                 | 5,681.02             | 5,681.02                     | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |
| OR 2 - 305                                                             | Haven Limited Partnership                    | 1492                    | 29                                           | 0.00                 | 5,815.58             | 5,815.58                     | FY18 Actuals                |
|                                                                        | RAD Conversion Costs                         |                         |                                              |                      |                      |                              |                             |

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

**Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

| Part II: Supporting Pages                      |                                                       |                         |          | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250116<br>CFFP (Yes/No):<br>Replacement Housing Factor Grant No: |                      |                              |                             | Federal FY of Grant: 2016 |  |
|------------------------------------------------|-------------------------------------------------------|-------------------------|----------|---------------------------------------------------------------------------------------------------------------------------------|----------------------|------------------------------|-----------------------------|---------------------------|--|
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work Categories          | Development Account No. | Quantity | Total Estimated Cost                                                                                                            |                      | Total Actual Cost            |                             | Status of Work            |  |
|                                                |                                                       |                         |          | Original                                                                                                                        | Revised <sup>1</sup> | Funds Obligated <sup>2</sup> | Funds Expended <sup>2</sup> |                           |  |
| OR 2 - 310                                     | Cecelia Limited Partnership<br>RAD Conversion Costs   | 1492                    | 72       | 0.00                                                                                                                            | 7,792.03             |                              | 7,792.03                    | FY18 Actuals              |  |
| OR 2 - 315                                     | Trouton Limited Partnership<br>RAD Conversion Costs   | 1492                    | 125      | 0.00                                                                                                                            | 7,982.25             |                              | 7,982.25                    | FY18 Actuals              |  |
| OR 2 - 325                                     | Woolsey Limited Partnership<br>RAD Conversion Costs   | 1492                    | 71       | 0.00                                                                                                                            | 5,112.06             |                              | 5,112.06                    | FY18 Actuals              |  |
| OR 2 - 065                                     | Stephens Creek Crossing South<br>RAD Conversion Costs | 1492                    | 45       | 0.00                                                                                                                            | 7,417.41             |                              | 7,417.41                    | FY18 Actuals              |  |
| OR 2 - 066                                     | Stephens Creek Crossing North<br>RAD Conversion Costs | 1492                    | 64       | 0.00                                                                                                                            | 5,805.39             |                              | 5,805.39                    | FY18 Actuals              |  |
| OR 2 - 111                                     | Dekum Court<br>Exterior Lighting Repair               | 1492                    | 40       | 0.00                                                                                                                            | 9,809.80             |                              | 9,809.80                    | FY18 Actuals              |  |
| OR 2 - 113                                     | Tamarack<br>Mold Remediation                          | 1492                    | 120      | 0.00                                                                                                                            | 19,944.00            |                              | 19,944.00                   | FY18 Actuals              |  |
| OR 2 - 114                                     | Dahlke Manor<br>Radon Mitigation                      | 1492                    | 115      | 0.00                                                                                                                            | 30,077.13            |                              | 30,077.13                   | FY18 Actuals              |  |
| OR 2 - 114                                     | Dahlke Manor<br>Boiler Replacement                    | 1492                    | 115      | 0.00                                                                                                                            | 37,512.60            |                              | 37,512.60                   | FY18 Actuals              |  |
| OR 2 - 118                                     | Williams Plaza<br>Water Damage Restoration            | 1492                    | 101      | 0.00                                                                                                                            | 72,950.58            |                              | 72,950.58                   | FY18 Actuals              |  |
| OR 2 - 140                                     | Ruth Hoeftner Plaza<br>Abatement                      | 1492                    | 73       | 0.00                                                                                                                            | 25,050.54            |                              | 25,050.54                   | FY18 Actuals              |  |

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



**Annual Statement/Performance and Evaluation Report**  
**Capital Fund Program, Capital Fund Program Replacement Housing Factor and**  
**Capital Fund Financing Program**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 06/30/2017

| Part II: Supporting Pages                                              |                                              |                         | Grant Type and Number                                                                                  |                      |                      | Federal FY of Grant: 2016    |                             |                |
|------------------------------------------------------------------------|----------------------------------------------|-------------------------|--------------------------------------------------------------------------------------------------------|----------------------|----------------------|------------------------------|-----------------------------|----------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                              |                         | Capital Fund Program Grant No: OR16P00250116<br>CFPP (Yes/No):<br>Replacement Housing Factor Grant No: |                      |                      |                              |                             |                |
| Development Number                                                     | General Description of Major Work Categories | Development Account No. | Quantity                                                                                               | Total Estimated Cost |                      | Total Actual Cost            |                             | Status of Work |
| Name/PHA-Wide Activities                                               |                                              |                         |                                                                                                        | Original             | Revised <sup>1</sup> | Funds Obligated <sup>2</sup> | Funds Expended <sup>2</sup> |                |
| OR 2 - 152                                                             | Harold Lee Village                           | 1492                    | 10                                                                                                     | 0.00                 | 18,040.00            |                              | 18,040.00                   | FY18 Actuals   |
|                                                                        | Radon Mitigation                             |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR 2 - 337                                                             | Alderwood                                    | 1492                    | 20                                                                                                     | 0.00                 | 15,410.00            |                              | 15,410.00                   | FY18 Actuals   |
|                                                                        | Radon Mitigation                             |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR 2 - 113                                                             | Tamarack                                     | 1492                    | 120                                                                                                    | 0.00                 | 4,692.00             |                              | 4,692.00                    | FY18 Actuals   |
|                                                                        | Roof Repair                                  |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR 2 - 113                                                             | Tamarack                                     | 1492                    | 120                                                                                                    | 0.00                 | 5,753.00             |                              | 5,753.00                    | FY18 Actuals   |
|                                                                        | Appliances                                   |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 152                                                               | Harold Lee Village                           | 1492                    | 10                                                                                                     | 0.00                 | 120,259.10           |                              | 120,259.10                  | FY18 Actuals   |
|                                                                        | Comprehensive Rehab                          |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR 2 - 111                                                             | Dekum Court                                  | 1492                    | 40                                                                                                     | 0.00                 | 24,501.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Sewer Line Repair                            |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR 2 - 111                                                             | Dekum Court                                  | 1492                    | 40                                                                                                     | 0.00                 | 17,884.00            |                              | 7,457.00                    | FY18 Actuals   |
|                                                                        | Abatement                                    |                         |                                                                                                        |                      |                      |                              |                             | FY19 MTW Plan  |
| OR 2 - 113                                                             | Tamarack                                     | 1492                    | 120                                                                                                    | 0.00                 | 54,045.37            |                              | 19,370.30                   | FY18 Actuals   |
|                                                                        | Emergency Sewer Repair                       |                         |                                                                                                        |                      |                      |                              |                             | FY19 MTW Plan  |
| OR 2 - 113                                                             | Tamarack                                     | 1492                    | 120                                                                                                    | 0.00                 | 32,000.00            |                              | 11,722.00                   | FY18 Actuals   |
|                                                                        | Flooring Abatement                           |                         |                                                                                                        |                      |                      |                              |                             | FY19 MTW Plan  |
| OR 2 - 114                                                             | Dahlke Manor                                 | 1492                    | 115                                                                                                    | 0.00                 | 20,256.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Appliances                                   |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR 2 - 114                                                             | Dahlke Manor                                 | 1492                    | 115                                                                                                    | 0.00                 | 8,000.00             |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Asbestos Abatement                           |                         |                                                                                                        |                      |                      |                              |                             |                |

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<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

| Part II: Supporting Pages                                              |                                                             |                                                                                                                                 |          | Federal FY of Grant: 2016                             |                                                                               |                               |
|------------------------------------------------------------------------|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|----------|-------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                                             | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250116<br>CFPP (Yes/No):<br>Replacement Housing Factor Grant No: |          |                                                       |                                                                               |                               |
| Development Number<br>Name/PHA-Wide Activities                         | General Description of Major Work Categories                | Development Account No.                                                                                                         | Quantity | Total Estimated Cost<br>Original Revised <sup>1</sup> | Total Actual Cost<br>Funds Obligated <sup>2</sup> Funds Expended <sup>2</sup> | Status of Work                |
| OR 2 - 115                                                             | Holgate House Appliances                                    | 1492                                                                                                                            | 80       | 0.00 5,507.00                                         | 0.00                                                                          | FY19 MTW Plan                 |
| OR 2 - 115                                                             | Holgate House                                               | 1492                                                                                                                            | 80       | 0.00 9,000.00                                         | 0.00                                                                          | FY19 MTW Plan                 |
| OR 2 - 117                                                             | Door Entry System<br>Schmuk Riverview Tower Elevator Repair | 1492                                                                                                                            | 118      | 0.00 50,000.00                                        | 0.00                                                                          | FY19 MTW Plan                 |
| OR 2 - 117                                                             | Schmuk Riverview Tower Abatement                            | 1492                                                                                                                            | 118      | 0.00 17,500.00                                        | 3,018.00                                                                      | FY18 Actuals<br>FY19 MTW Plan |
| OR 2 - 118                                                             | Williams Plaza Appliances                                   | 1492                                                                                                                            | 101      | 0.00 17,477.00                                        | 774.00                                                                        | FY18 Actuals<br>FY19 MTW Plan |
| OR 2 - 118                                                             | Williams Plaza                                              | 1492                                                                                                                            | 101      | 0.00 4,000.00                                         | 0.00                                                                          | FY19 MTW Plan                 |
| OR 2 - 118                                                             | Roofing Re-coat<br>Williams Plaza                           | 1492                                                                                                                            | 101      | 0.00 12,600.00                                        | 2,600.00                                                                      | FY18 Actuals<br>FY19 MTW Plan |
| OR 2 - 122                                                             | Flooring Abatement<br>Townhouse Terrace                     | 1492                                                                                                                            | 32       | 0.00 5,000.00                                         | 0.00                                                                          | FY19 MTW Plan                 |
| OR - 124                                                               | New Fence<br>Lexington Court                                | 1492                                                                                                                            | 20       | 0.00 6,000.00                                         | 0.00                                                                          | FY19 MTW Plan                 |
| OR - 132                                                               | New Fence<br>Daanr Downs                                    | 1492                                                                                                                            | 18       | 0.00 36,196.00                                        | 0.00                                                                          | FY19 MTW Plan                 |
| OR - 139                                                               | Garbage Enclosure Repair<br>Medallion Apartments            | 1492                                                                                                                            | 90       | 0.00 26,680.00                                        | 10,938.00                                                                     | FY18 Actuals<br>FY19 MTW Plan |
|                                                                        | Abatement                                                   |                                                                                                                                 |          |                                                       |                                                                               |                               |

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Annual Statement/Performance and Evaluation Report  
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Office of Public and Indian Housing  
OMB No. 2577-0226  
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| Part II: Supporting Pages                      |                                              |                         | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250116<br>CFPP (Yes/No):<br>Replacement Housing Factor Grant No: |                      |                      | Federal FY of Grant: 2016    |                             |                                |
|------------------------------------------------|----------------------------------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|------------------------------|-----------------------------|--------------------------------|
| Development Number<br>Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity                                                                                                                        | Total Estimated Cost |                      | Total Actual Cost            |                             | Status of Work                 |
|                                                |                                              |                         |                                                                                                                                 | Original             | Revised <sup>1</sup> | Funds Obligated <sup>2</sup> | Funds Expended <sup>2</sup> |                                |
| OR - 139                                       | Medallion Apartments Appliances              | 1492                    | 90                                                                                                                              | 0.00                 | 15,380.00            |                              | 2,741.30                    | FY18 Actuals                   |
| OR - 140                                       | Ruth Haefner Plaza Flooring & Abatement      | 1492                    | 73                                                                                                                              | 0.00                 | 33,411.00            |                              | 9,411.18                    | FY19 MTW Plan<br>FY18 Actuals  |
| OR - 140                                       | Ruth Haefner Plaza Roof Repair               | 1492                    | 73                                                                                                                              | 0.00                 | 5,000.00             |                              | 0.00                        | FY19 MTW Plan<br>FY19 MTW Plan |
| OR - 140                                       | Ruth Haefner Plaza Door Entry System         | 1492                    | 73                                                                                                                              | 0.00                 | 20,000.00            |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 142                                       | Celilo Court Sidewalk Repair                 | 1492                    | 28                                                                                                                              | 0.00                 | 15,000.00            |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 142                                       | Celilo Court Windows Replacement             | 1492                    | 28                                                                                                                              | 0.00                 | 10,280.00            |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 142                                       | Celilo Court Parking Lot Restripping         | 1492                    | 28                                                                                                                              | 0.00                 | 5,100.00             |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 153                                       | Floresta Offices Furnace Replacement         | 1492                    | 20                                                                                                                              | 0.00                 | 6,000.00             |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 203                                       | Maple Mallory Radon Mitigation               | 1492                    | 48                                                                                                                              | 0.00                 | 60,000.00            |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 203                                       | Maple Mallory Boiler Replacement             | 1492                    | 48                                                                                                                              | 0.00                 | 9,000.00             |                              | 0.00                        | FY19 MTW Plan                  |
| OR - 236                                       | Wuchell Court Radon Mitigation               | 1492                    | 10                                                                                                                              | 0.00                 | 30,000.00            |                              | 0.00                        | FY19 MTW Plan                  |

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U.S. Department of Housing and Urban Development  
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| Part II: Supporting Pages                                              |                                                                         |                         | Grant Type and Number                                                                                  |                      |                      | Federal FY of Grant: 2016    |                             |                |
|------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------|--------------------------------------------------------------------------------------------------------|----------------------|----------------------|------------------------------|-----------------------------|----------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                                                         |                         | Capital Fund Program Grant No: OR16P00250116<br>CFPP (Yes/No):<br>Replacement Housing Factor Grant No: |                      |                      |                              |                             |                |
| Development Number<br>Name/PHA-Wide Activities                         | General Description of Major Work Categories                            | Development Account No. | Quantity                                                                                               | Total Estimated Cost |                      | Total Actual Cost            |                             | Status of Work |
|                                                                        |                                                                         |                         |                                                                                                        | Original             | Revised <sup>1</sup> | Funds Obligated <sup>2</sup> | Funds Expended <sup>2</sup> |                |
| OR - 237                                                               | Powellhurst                                                             | 1492                    | 34                                                                                                     | 0.00                 | 5,000.00             |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Sewer Line Repairs                                                      |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 332                                                               | Camelia Court                                                           | 1492                    | 14                                                                                                     | 0.00                 | 30,000.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Radon Mitigation                                                        |                         |                                                                                                        |                      |                      |                              |                             |                |
| Blended Component Unit                                                 | Northwest Tower - 85 Stories Group 1<br>Preservation Initiative / Rehab | 1492                    |                                                                                                        | 254,946.08           | 0.00                 |                              | 0.00                        | FY18 MTW Plan  |
| Blended Component Unit                                                 | Hollywood East - 85 Stories Group 2<br>Preservation Initiative / Rehab  | 1492                    |                                                                                                        | 276,191.59           | 0.00                 |                              | 0.00                        | FY18 MTW Plan  |
|                                                                        |                                                                         |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 113                                                               | Tamarack - 85 Stories Group 5                                           | 1492                    | 120                                                                                                    | 0.00                 | 184,699.00           |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                         |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 117                                                               | Schmuck Tower - 85 Stories Group 5                                      | 1492                    | 118                                                                                                    | 0.00                 | 181,620.00           |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                         |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 138                                                               | Eliot Square - 85 Stories Group 5                                       | 1492                    | 30                                                                                                     | 0.00                 | 46,174.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                         |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 203                                                               | Maple Mallory - 85 Stories Group 5                                      | 1492                    | 48                                                                                                     | 0.00                 | 73,879.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                         |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR - 232                                                               | Bel Park - 85 Stories Group 5                                           | 1492                    | 10                                                                                                     | 0.00                 | 15,391.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                         |                         |                                                                                                        |                      |                      |                              |                             |                |
| OR -236                                                                | Winchell Court - 85 Stories Group 5                                     | 1492                    | 10                                                                                                     | 0.00                 | 15,391.00            |                              | 0.00                        | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                         |                         |                                                                                                        |                      |                      |                              |                             |                |

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
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U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
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[illegible]

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
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[illegible]

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 06/30/2017

| Part I: Summary                                                                                                                                                         |                                                                                                | FFY of Grant: 2017<br>FFY of Grant Approval:                                                                                          |                                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland                                                                                                  |                                                                                                | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250117<br>Replacement Housing Factor Grant No:<br>Date of CFP:         |                                           |
| Type of Grant<br><input type="checkbox"/> Original Annual Statement<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019 |                                                                                                | <input type="checkbox"/> Revised Annual Statement (revision no. )<br><input type="checkbox"/> Final Performance and Evaluation Report |                                           |
| Line                                                                                                                                                                    | Summary by Development Account                                                                 | Original                                                                                                                              | Total Estimated Cost Revised <sup>1</sup> |
| 1                                                                                                                                                                       | Total non-CFP Funds                                                                            |                                                                                                                                       |                                           |
| 2                                                                                                                                                                       | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup>                                   |                                                                                                                                       |                                           |
| 3                                                                                                                                                                       | 1408 Management Improvements                                                                   |                                                                                                                                       |                                           |
| 4                                                                                                                                                                       | 1410 Administration (may not exceed 10% of line 21)                                            |                                                                                                                                       |                                           |
| 5                                                                                                                                                                       | 1411 Audit                                                                                     |                                                                                                                                       |                                           |
| 6                                                                                                                                                                       | 1415 Liquidated Damages                                                                        |                                                                                                                                       |                                           |
| 7                                                                                                                                                                       | 1430 Fees and Costs                                                                            |                                                                                                                                       |                                           |
| 8                                                                                                                                                                       | 1440 Site Acquisition                                                                          |                                                                                                                                       |                                           |
| 9                                                                                                                                                                       | 1450 Site Improvement                                                                          |                                                                                                                                       |                                           |
| 10                                                                                                                                                                      | 1460 Dwelling Structures                                                                       |                                                                                                                                       |                                           |
| 11                                                                                                                                                                      | 1465.1 Dwelling Equipment—Nonexpendable                                                        |                                                                                                                                       |                                           |
| 12                                                                                                                                                                      | 1470 Non-dwelling Structures                                                                   |                                                                                                                                       |                                           |
| 13                                                                                                                                                                      | 1475 Non-dwelling Equipment                                                                    |                                                                                                                                       |                                           |
| 14                                                                                                                                                                      | 1485 Demolition                                                                                |                                                                                                                                       |                                           |
| 15                                                                                                                                                                      | 1492 Moving to Work Demonstration                                                              | 3,604,251.50                                                                                                                          | 3,525,645.50                              |
| 16                                                                                                                                                                      | 1495.1 Relocation Costs                                                                        |                                                                                                                                       |                                           |
| 17a                                                                                                                                                                     | 1499 Development Activities<br>1503 – Rental Assistance Demonstration – Madrona Place          | 0.00                                                                                                                                  | 4,438.00                                  |
| 17b                                                                                                                                                                     | 1499 Development Activities<br>1503 – Rental Assistance Demonstration – The Jeffrey Apartments | 0.00                                                                                                                                  | 2,308.00                                  |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

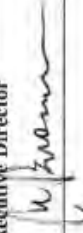






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| Part I: Summary                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                | FFY of Grant: 2017<br>FFY of Grant Approval: |                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------|
| PHA Name:<br>Home Forward<br>formerly known as<br>Housing Authority<br>of Portland                                                                                                                                                                                                                                                                                              | Grant Type and Number<br>Capital Fund Program Grant No: OR16P01250117<br>Replacement Housing Factor Grant No:<br>Date of CFFP: |                                              |                   |
| Type of Grant<br><input type="checkbox"/> Original Annual Statement<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019<br><input type="checkbox"/> Reserve for Disasters/Emergencies<br><input type="checkbox"/> Revised Annual Statement (revision no.: )<br><input type="checkbox"/> Final Performance and Evaluation Report |                                                                                                                                |                                              |                   |
| Line                                                                                                                                                                                                                                                                                                                                                                            | Summary by Development Account                                                                                                 | Total Estimated Cost                         | Total Actual Cost |
| 18a                                                                                                                                                                                                                                                                                                                                                                             | 1501 Collateralization or Debt Service paid by the PHA                                                                         |                                              |                   |
| 18ba                                                                                                                                                                                                                                                                                                                                                                            | 9000 Collateralization or Debt Service paid Via System of Direct Payment                                                       | 359,982.50                                   | 359,982.50        |
| 19                                                                                                                                                                                                                                                                                                                                                                              | 1502 Contingency (may not exceed 8% of line 20)                                                                                |                                              |                   |
| 20                                                                                                                                                                                                                                                                                                                                                                              | Amount of Annual Grant (sum of lines 2 - 19)                                                                                   | 3,964,234.00                                 | 3,964,234.00      |
| 21                                                                                                                                                                                                                                                                                                                                                                              | Amount of line 20 Related to LBP Activities                                                                                    |                                              |                   |
| 22                                                                                                                                                                                                                                                                                                                                                                              | Amount of line 20 Related to Section 504 Activities                                                                            |                                              |                   |
| 23                                                                                                                                                                                                                                                                                                                                                                              | Amount of line 20 Related to Security - Soft Costs                                                                             |                                              |                   |
| 24                                                                                                                                                                                                                                                                                                                                                                              | Amount of line 20 Related to Security - Hard Costs                                                                             |                                              |                   |
| 25                                                                                                                                                                                                                                                                                                                                                                              | Amount of line 20 Related to Energy Conservation Measures                                                                      |                                              |                   |
| Signature of Executive Director                                                                                                                                                                                                                                                             |                                                                                                                                | Signature of Public Housing Director         |                   |
| Date 8/21/18                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                | Date                                         |                   |

**Annual Statement/Performance and Evaluation Report  
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U.S. Department of Housing and Urban Development  
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| <b>Part II: Supporting Pages</b>                                       |                                                                          |                            | <b>Federal FFY of Grant: 2017</b>                                                                                               |                                 |                                |                |
|------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                                                          |                            | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250117<br>CFRP (Yes/No):<br>Replacement Housing Factor Grant No: |                                 |                                |                |
| Development Number<br>Name/PHA-Wide<br>Activities                      | General Description of Major Work<br>Categories                          | Development<br>Account No. | Quantity                                                                                                                        | Total Estimated Cost            |                                | Status of Work |
|                                                                        |                                                                          |                            |                                                                                                                                 | Original                        | Revised <sup>1</sup>           |                |
|                                                                        |                                                                          |                            |                                                                                                                                 | Funds<br>Obligated <sup>2</sup> | Funds<br>Expended <sup>2</sup> |                |
| OR 2 - PHA Wide                                                        | 15% Allowance to support operations                                      | 1492                       |                                                                                                                                 | 394,635.10                      | 0.00                           |                |
| OR 2 - PHA Wide                                                        | PH Portfolio                                                             | 1492                       |                                                                                                                                 |                                 |                                |                |
| OR 2 - PHA Wide                                                        | Un-programmed<br>PH Portfolio                                            | 1492                       |                                                                                                                                 | 3,009,616.40                    | 0.00                           |                |
|                                                                        | Discretionary Project Funds                                              |                            |                                                                                                                                 | 0.00                            | 200,000.00                     | FY19 MTW Plan  |
| OR 2 - 113                                                             | Tamarack - 85 Stories Group 5<br>Preservation Initiative / Rehab         | 1492                       | 120                                                                                                                             | 0.00                            | 1,263,643.00                   | FY19 MTW Plan  |
| OR 2 - 117                                                             | Schrank Tower - 85 Stories Group 5<br>Preservation Initiative / Rehab    | 1492                       | 118                                                                                                                             | 0.00                            | 1,242,383.00                   | FY19 MTW Plan  |
| OR 2 - 138                                                             | Elliot Square - 85 Stories Group 5<br>Preservation Initiative / Rehab    | 1492                       | 30                                                                                                                              | 0.00                            | 315,911.00                     | FY19 MTW Plan  |
| OR 2 - 203                                                             | Maple Mallory - 85 Stories Group 5<br>Preservation Initiative / Rehab    | 1492                       | 48                                                                                                                              | 0.00                            | 505,458.00                     | FY19 MTW Plan  |
| OR 2 - 232                                                             | Bel Park - 85 Stories Group 5<br>Preservation Initiative / Rehab         | 1492                       | 10                                                                                                                              | 0.00                            | 105,304.00                     | FY19 MTW Plan  |
| OR 2 - 236                                                             | Winchell Court - 85 Stories Group 5<br>Preservation Initiative / Rehab   | 1492                       | 10                                                                                                                              | 0.00                            | 105,304.00                     | FY19 MTW Plan  |
| OR 2 - 332                                                             | Candelaria Court - 85 Stories Group 5<br>Preservation Initiative / Rehab | 1492                       | 14                                                                                                                              | 0.00                            | 147,425.00                     | FY19 MTW Plan  |
| OR 2 - 064                                                             | Madrona Place<br>Rental Assistance Demonstration                         | 1503                       | 45                                                                                                                              | 0.00                            | 4,438.00                       | FY18 Actuals   |
| OR 2 - 061                                                             | The Jeffrey Apartments<br>Rental Assistance Demonstration                | 1503                       | 20                                                                                                                              | 0.00                            | 2,308.00                       | FY18 Actuals   |

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

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Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

| Part II: Supporting Pages                                              |                                                                  |                            | Federal FFY of Grant: 2017                                                                                                      |                      | Status of Work       |                                                              |
|------------------------------------------------------------------------|------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|--------------------------------------------------------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                                                  |                            | Grant Type and Number<br>Capital Fund Program Grant No: OP10P00250117<br>CFPP (Yes/No):<br>Replacement Housing Factor Grant No: |                      |                      |                                                              |
| Development Number<br>Name/PHA-Wide<br>Activities                      | General Description of Major Work<br>Categories                  | Development<br>Account No. | Quantity                                                                                                                        | Total Estimated Cost |                      | Total Actual Cost                                            |
|                                                                        |                                                                  |                            |                                                                                                                                 | Original             | Revised <sup>1</sup> |                                                              |
| OR 2 - 062                                                             | Martha Washington Apartments                                     | 1503                       | 25                                                                                                                              | 0.00                 | 2,316.00             | Funds<br>Obligated <sup>2</sup><br>2,316.00<br>FY18 Actuals  |
| OR 2 - 063                                                             | Rental Assistance Demonstration<br>Bud Clark Commons             | 1503                       | 130                                                                                                                             | 0.00                 | 15,051.00            | Funds<br>Obligated <sup>2</sup><br>15,051.00<br>FY18 Actuals |
| OR 2 - 320                                                             | Rental Assistance Demonstration<br>Fairview Oaks & Woods         | 1503                       | 40                                                                                                                              | 0.00                 | 3,644.00             | Funds<br>Obligated <sup>2</sup><br>3,644.00<br>FY18 Actuals  |
| OR 2 - 060                                                             | Rental Assistance Demonstration<br>Rockwood Station              | 1503                       | 25                                                                                                                              | 0.00                 | 2,331.00             | Funds<br>Obligated <sup>2</sup><br>2,331.00<br>FY18 Actuals  |
| OR 2 - 325                                                             | Rental Assistance Demonstration<br>Woolsey Limited Partnership   | 1503                       | 71                                                                                                                              | 0.00                 | 6,967.00             | Funds<br>Obligated <sup>2</sup><br>6,967.00<br>FY18 Actuals  |
| OR 2 - 065                                                             | Rental Assistance Demonstration<br>Stephens Creek Crossing South | 1503                       | 45                                                                                                                              | 0.00                 | 4,674.00             | Funds<br>Obligated <sup>2</sup><br>4,674.00<br>FY18 Actuals  |
| OR 2 - 058                                                             | Rental Assistance Demonstration<br>Humboldt Gardens              | 1503                       | 100                                                                                                                             | 0.00                 | 8,559.00             | Funds<br>Obligated <sup>2</sup><br>8,559.00<br>FY18 Actuals  |
| OR 2 - 066                                                             | Rental Assistance Demonstration<br>Stephens Creek Crossing North | 1503                       | 64                                                                                                                              | 0.00                 | 6,783.00             | Funds<br>Obligated <sup>2</sup><br>6,783.00<br>FY18 Actuals  |
| OR 2 - 305                                                             | Rental Assistance Demonstration<br>Haven Limited Partnership     | 1503                       | 29                                                                                                                              | 0.00                 | 2,704.00             | Funds<br>Obligated <sup>2</sup><br>2,704.00<br>FY18 Actuals  |
| OR 2 - 310                                                             | Rental Assistance Demonstration<br>Cecelia Limited Partnership   | 1503                       | 72                                                                                                                              | 0.00                 | 6,825.00             | Funds<br>Obligated <sup>2</sup><br>6,825.00<br>FY18 Actuals  |
| OR 2 - 315                                                             | Rental Assistance Demonstration<br>Trouton Limited Partnership   | 1503                       | 125                                                                                                                             | 0.00                 | 12,006.00            | Funds<br>Obligated <sup>2</sup><br>12,006.00<br>FY18 Actuals |
| OR 2 -                                                                 | Debt Service - OR002D001B                                        | 9000                       |                                                                                                                                 | 359,982.50           | 0.00                 | 0.00<br>FY18 Actuals                                         |

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report

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form HUD-50075.1 (07/2014)

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U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
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Expires 06/30/2017

### Part III: Implementation Schedule for Capital Fund Financing Program

**PHA Name:** Home Forward formerly known as Housing Authority of Portland

[illegible]

Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
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U.S. Department of Housing and Urban Development  
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 OMB No. 2577-0226  
 Expires 06/30/2017

| Part I: Summary                                                                                                                                                         |                                                              | FFY of Grant: 2018<br>FFY of Grant Approval:                                                                                          |                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland                                                                                                  |                                                              | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250118<br>Replacement Housing Factor Grant No:<br>Date of CFFP:        |                                |
| Type of Grant<br><input type="checkbox"/> Original Annual Statement<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019 |                                                              | <input type="checkbox"/> Revised Annual Statement (revision no. )<br><input type="checkbox"/> Final Performance and Evaluation Report |                                |
| Summary by Development Account                                                                                                                                          |                                                              | Original                                                                                                                              | Revised <sup>1</sup>           |
| Line                                                                                                                                                                    |                                                              | Total Estimated Cost                                                                                                                  | Total Actual Cost <sup>1</sup> |
|                                                                                                                                                                         |                                                              | Obligated                                                                                                                             | Expended                       |
| 1                                                                                                                                                                       | Total non-CFFP Funds                                         |                                                                                                                                       |                                |
| 2                                                                                                                                                                       | 1406 Operations (may not exceed 20% of line 21) <sup>3</sup> |                                                                                                                                       |                                |
| 3                                                                                                                                                                       | 1408 Management Improvements                                 |                                                                                                                                       |                                |
| 4                                                                                                                                                                       | 1410 Administration (may not exceed 10% of line 21)          |                                                                                                                                       |                                |
| 5                                                                                                                                                                       | 1411 Audit                                                   |                                                                                                                                       |                                |
| 6                                                                                                                                                                       | 1415 Liquidated Damages                                      |                                                                                                                                       |                                |
| 7                                                                                                                                                                       | 1430 Fees and Costs                                          |                                                                                                                                       |                                |
| 8                                                                                                                                                                       | 1440 Site Acquisition                                        |                                                                                                                                       |                                |
| 9                                                                                                                                                                       | 1450 Site Improvement                                        |                                                                                                                                       |                                |
| 10                                                                                                                                                                      | 1460 Dwelling Structures                                     |                                                                                                                                       |                                |
| 11                                                                                                                                                                      | 1465.1 Dwelling Equipment—Nonexpendable                      |                                                                                                                                       |                                |
| 12                                                                                                                                                                      | 1470 Non-dwelling Structures                                 |                                                                                                                                       |                                |
| 13                                                                                                                                                                      | 1475 Non-dwelling Equipment                                  |                                                                                                                                       |                                |
| 14                                                                                                                                                                      | 1485 Demolition                                              |                                                                                                                                       |                                |
| 15                                                                                                                                                                      | 1492 Moving to Work Demonstration                            | 4,178,834.00                                                                                                                          |                                |
| 16                                                                                                                                                                      | 1495.1 Relocation Costs                                      |                                                                                                                                       |                                |
| 17                                                                                                                                                                      | 1499 Development Activities                                  |                                                                                                                                       |                                |

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

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Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

| Part I: Summary                                                                                                                                                         |                                                                          | FFY of Grant: 2018<br>FFY of Grant Approval:                                                                                          |                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| PHA Name:<br>Home Forward<br>formerly known as<br>Housing Authority<br>of Portland                                                                                      |                                                                          | Grant Type and Number<br>Capital Fund Program Grant No: OR16P00250118<br>Replacement Housing Factor Grant No:<br>Date of CFPP:        |                                |
| Type of Grant<br><input type="checkbox"/> Original Annual Statement<br><input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/2019 |                                                                          | <input type="checkbox"/> Revised Annual Statement (revision no: )<br><input type="checkbox"/> Final Performance and Evaluation Report |                                |
| Summary by Development Account                                                                                                                                          |                                                                          | Total Estimated Cost                                                                                                                  | Total Actual Cost <sup>1</sup> |
| Line                                                                                                                                                                    |                                                                          |                                                                                                                                       |                                |
| 18a                                                                                                                                                                     | 1501 Collateralization or Debt Service paid by the PHA                   |                                                                                                                                       |                                |
| 18ba                                                                                                                                                                    | 9000 Collateralization or Debt Service paid Via System of Direct Payment |                                                                                                                                       |                                |
| 19                                                                                                                                                                      | 1502 Contingency (may not exceed 8% of line 20)                          |                                                                                                                                       |                                |
| 20                                                                                                                                                                      | Amount of Annual Grant (sum of lines 2 - 19)                             | 4,178,834.00                                                                                                                          |                                |
| 21                                                                                                                                                                      | Amount of line 20 Related to LBP Activities                              |                                                                                                                                       |                                |
| 22                                                                                                                                                                      | Amount of line 20 Related to Section 504 Activities                      |                                                                                                                                       |                                |
| 23                                                                                                                                                                      | Amount of line 20 Related to Security - Soft Costs                       |                                                                                                                                       |                                |
| 24                                                                                                                                                                      | Amount of line 20 Related to Security - Hard Costs                       |                                                                                                                                       |                                |
| 25                                                                                                                                                                      | Amount of line 20 Related to Energy Conservation Measures                |                                                                                                                                       |                                |
| Signature of Executive Director                                                                                                                                         |                                                                          | Signature of Public Housing Director                                                                                                  |                                |
| Date<br>8/21/18                                                                                                                                                         |                                                                          | Date                                                                                                                                  |                                |

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Annual Statement/Performance and Evaluation Report  
Capital Fund Program: Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

| Part II: Supporting Pages                                              |                                                                        |                            | Grant Type and Number                                                                                   |                      |                      | Federal FFY of Grant: 2018      |                                |                |
|------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Home Forward formerly known as Housing Authority of Portland |                                                                        |                            | Capital Fund Program Grant No: OR16P00250118<br>CFPP (Yes/ No):<br>Replacement Housing Factor Grant No: |                      |                      |                                 |                                |                |
| Development Number<br>Name/PHA-Wide<br>Activities                      | General Description of Major Work<br>Categories                        | Development<br>Account No. | Quantity                                                                                                | Total Estimated Cost |                      | Total Actual Cost               |                                | Status of Work |
|                                                                        |                                                                        |                            |                                                                                                         | Original             | Revised <sup>1</sup> | Funds<br>Obligated <sup>2</sup> | Funds<br>Expended <sup>2</sup> |                |
| OR 2 – PHA Wide                                                        | 15% Allowance to support operations                                    | 1492                       |                                                                                                         | 626,825.00           |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 – PHA Wide                                                        | PH Portfolio                                                           | 1492                       |                                                                                                         | 150,000.00           |                      |                                 |                                | FY19 MTW Plan  |
|                                                                        | Discretionary Projects Funds                                           |                            |                                                                                                         |                      |                      |                                 |                                |                |
| OR 2 - 113                                                             | Tamarack - 85 Stories Group 5                                          | 1492                       | 120                                                                                                     | 436,687.00           |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 - 117                                                             | Preservation Initiative / Rehab<br>Schunk Tower - 85 Stories Group 5   | 1492                       | 118                                                                                                     | 429,409.00           |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 - 138                                                             | Preservation Initiative / Rehab<br>Eliot Square - 85 Stories Group 5   | 1492                       | 30                                                                                                      | 109,171.00           |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 - 203                                                             | Preservation Initiative / Rehab<br>Maple Mallory - 85 Stories Group 5  | 1492                       | 48                                                                                                      | 174,674.00           |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 - 232                                                             | Preservation Initiative / Rehab<br>Bel Park - 85 Stories Group 5       | 1492                       | 10                                                                                                      | 36,391.00            |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 - 256                                                             | Preservation Initiative / Rehab<br>Winchell Court - 85 Stories Group 5 | 1492                       | 10                                                                                                      | 36,391.00            |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 - 332                                                             | Preservation Initiative / Rehab<br>Camellia Court - 85 Stories Group 5 | 1492                       | 14                                                                                                      | 50,947.00            |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 – 118                                                             | Preservation Initiative / Rehab<br>Williams Plaza - 85 Stories Group 6 | 1492                       | 101                                                                                                     | 682,420.00           |                      |                                 |                                | FY19 MTW Plan  |
| OR 2 – 139                                                             | Preservation Initiative / Rehab<br>Medallion - 85 Stories Group 6      | 1492                       | 90                                                                                                      | 608,097.00           |                      |                                 |                                | FY19 MTW Plan  |
|                                                                        | Preservation Initiative / Rehab                                        |                            |                                                                                                         |                      |                      |                                 |                                |                |

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



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U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

## Part II: Supporting Pages

**PHA Name:** Home Forward formerly known as Housing Authority of Portland

Grant Type and Number  
Capital Fund Program Grant No: QP16FP00250118  
CFEP (Yes/No):  
Replacement Housing Factor Grant No:

Federal FTY of Grant: 2018

[illegible]

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report

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form HUD-50075.1 (07/2014)



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Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 06/30/2017

[illegible]

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

## D. Lobbying Disclosures

**Certification of Payments  
to Influence Federal Transactions**

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 01/31/2017)

Applicant Name

Home Forward

Program/Activity Receiving Federal Grant Funding

Moving to Work

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.  
**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Ian Davie

Title

Acting Executive Director

Signature



Date (mm/dd/yyyy)

10/10/2018

Previous edition is obsolete

form HUD 50071 (01/14)  
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

# Appendix A

## Local Asset Management Plan

### Home Forward Asset Management Program

The First Amendment to the Amended and Restated Moving to Work (MTW) Agreement allows Home Forward to develop a local asset management program for its Public Housing Program. The following describes Home Forward's asset management program and identifies where differences exist from HUD's asset management guidance.

#### Home Forward's Local Asset Management Program

Home Forward operates a property/project-based management, budgeting, accounting, and reporting system. Our project-based management systems include:

- Annual budgets are developed by on-site property managers. These budgets are reviewed and further consolidated into portfolio level budgets managed by housing program managers.
- Budgets at the property level are provided an allocation of public housing operating subsidy based on factors which differentiate subsidy based on building age, type, size, and relative poverty of the population of the various public housing properties.
- Weekly monitoring of occupancy by property, including notices, vacancies, and applicants, is published to the Public Housing management and Executive management.
- Monthly property-based financial reports comparing month-to date and year-to-date actual to budget performance for the current year are provided to site managers, portfolio managers, and the Director of Property Management. These reports are available to other management staff as needed to monitor specific properties.
  - Monthly reviews are held at the property level with Site Managers and their portfolio management.
  - Quarterly reviews of the Public Housing portfolio in its entirety are held at the division level with Property Management Director and Regional Property
  - Managers, as well as the Chief Operating Officer and Chief Financial Officer. This review covers each property Net Operating Income and Cash Flow.
- Home Forward applies the same project/program based budgeting system and financial performance review to its Housing Choice Voucher program, local MTW programs, and non-federal programs and properties.

### Home Forward's Cost Objectives

OMB Circular A-87 defines cost objective as follows: Cost objective means a function, organizational subdivision, contract, grant, or other activity for which cost data are needed and for which costs are incurred. The Cost Objectives for Home Forward's asset management program are the organizational subdivisions:

- Public Housing properties - includes resident services and management staff directly supporting this program
- Rent Assistance programs - includes management staff directly supporting this program and Family Self Sufficiency staff (including those supporting Public

## Housing residents)

- Moving to Work - includes activities related to our MTW agreement and local programs
- Affordable Housing
- Development

## Home Forward's Treatment of Certain Costs

Under OMB Circular A-87, there is no universal rule for classifying certain costs as either direct or indirect under every accounting system. A cost may be direct with respect to some specific service or function, but indirect with respect to the Federal award or other final cost objective. Therefore, it is essential that each item of cost be treated consistently in like circumstances, either as a direct or an indirect cost. Consistent with OMB Circular A-87 cost principles, Home Forward has identified all of its direct costs and segregated all its costs into pools, as either a direct, direct allocated, or indirect allocated. We have further divided the indirect allocated pool to assign costs based on a relevant metric, as described in Attachment 1.

- **CORE Maintenance:** Home Forward is committed to a cost effective approach to managing our public housing assets. As such, Home Forward has developed a balance of on-site capacity to perform property manager functions and basic maintenance/handyperson services, with more skilled services performed by a centralized group of trades and specialty staff (CORE maintenance). CORE maintenance performs services covering plumbing and electrical repairs, painting and pest control, as well as garbage and recycling. Although these maintenance functions are performed centrally, the decisions and control remains at the property level as it is the property manager and/or housing program manager who determines the level of service required from the CORE maintenance group. All services are provided on a fee for service basis.
- **Procurement:** Home Forward has adopted procurement policies that balance the need for expedient and on-site response through delegated authorization to site staff for purchases under \$5,000. Purchases greater than this limit requires engaging central procurement. The Procurement staff are well trained in the special requirements of procuring goods and services for a federal program and provides necessary contract reporting requirements as well. Central procurement services are part of Home Forward's indirect overhead allocation.
- **Human Resources:** Along with the public housing program and its Section 8 voucher program, Home Forward has non-federal affordable properties, a development group, and locally funded rent assistance programs. Home Forward's Human Resources department serves the entire agency and certain human resource activities that HUD would consider a direct cost, such as recruitment and pre-employment drug testing and screening, are centralized and are part of Home Forward's indirect overhead allocation. Home Forward has determined that the cost of keeping extremely detailed records of HR activity for direct cost assignment exceeds the value received from such effort.
- **Information Technology:** Hardware and software costs will be directly charged to the appropriate cost objective when such costs are available and specific to that cost objective. When a reasonable measurement of such IT costs can be obtained, an allocation based on the number of users (computers, software applications, etc.) will be utilized to directly charge the cost objective.
- **Resident Services:** A large share of tenant/resident services are funded from grants and foundations and these funds augment local funds to provide supportive services and self-sufficiency services to residents. In order to optimize available services, any costs not eligible for state and local grants will be funded by Home Forward's public housing properties and housing choice voucher program.

- Rent: Home Forward charges rent to each cost objective based on the space they occupy in our central office building. Rent is based on estimated costs and adjusted for actual costs at year-end.

### **Home Forward's Treatment of Public Housing Operating Subsidy**

Home Forward's flexibility to use MTW funding resources to support its low-income housing programs is central to our Asset Management Program. Home Forward will exercise our contractual authority to move our MTW funds and project cash flow among projects and programs as the Authority deems necessary to further our mission and preserve our low income housing assets and local programs.

### **Home Forward's Indirect Cost Allocations**

Costs that can specifically and efficiently be identified to a cost objective are counted as direct costs to that objective. Costs that cannot be readily or efficiently identified as specifically benefiting a cost objective will be considered indirect and allocated. The Home Forward Allocation Process – Process Flow Diagram shown at the end of this policy is a graphic representation of Home Forward's allocation methodology. Home Forward has determined that some costs, defined as "direct costs" by HUD for asset management, require effort disproportionate to the results achieved and have included those costs as part of the indirect cost pool allocated to cost objectives as overhead.

### **Home Forward Indirect Costs**

OMB Circular A-87 defines indirect costs as those (a) incurred for a common or joint purpose benefiting more than one cost objective, and (b) not readily assignable to the cost objectives specifically benefitted, without effort disproportionate to the results achieved. Home Forward's indirect costs include, but are not limited to:

- Executive
- Policy & Planning
- Accounting & Finance
- Purchasing
- Human Resources, including job applicant screening, payroll, labor negotiations & organization wide training
- Information Technology: costs not specifically identified and charged as a direct expense to a cost objective

### **Differences – HUD Asset Management vs. Home Forward Local Asset Management Program**

Home Forward is required to describe in the MTW Annual Plan differences between our asset management program and HUD's asset management program as described in HUD's Financial Management Guidebook. Below are several key differences:

- HUD's asset management system and fee for service is limited in focusing only on a fee for service at the Public Housing (PH) property level and voucher program. Home Forward has implemented an indirect allocation methodology that is much more comprehensive than HUD's asset management system which includes all of Home Forward's cost objectives listed above.
- Home Forward has defined the treatment of direct and indirect costs differently than HUD's asset management program. From the agency perspective, we view the program operations management as direct costs of the program.
- These differences include, but are not limited to:

- HUD Indirect/Home Forward Direct:
  - Portfolio and program (“regional”) management, including hiring, supervision and termination of frontline staff is considered a direct cost. These costs are pooled and then allocated to each property based on units, vouchers, or other relevant metrics. Work with auditors and audit preparation by HCV and PH staff is considered a direct expense. Executive management is considered an indirect cost.
  - Storage of HCV and PH records and adherence to federal and/or state records retention requirements will be considered a direct cost of the program.
  - Development and oversight of office furniture, equipment and vehicle replacement plans will be considered a direct cost of the program.
  - Advertising (notification) costs specific to HCV, including applicants and landlords, will be considered a direct expense.
- HUD Direct/Home Forward Indirect:
  - Advertising for new hires will be considered indirect and allocated to the program and properties.
  - Staff recruiting and background checks, etc. will be considered indirect and allocated to the program and properties.
- Other:
  - Using MTW authority to improve efficiencies across programs, all staff associated with the Family Self Sufficiency program, regardless of serving public housing or housing choice voucher residents, will be considered a direct cost of the housing choice voucher program and managed by the HCV management.
  - Preparation and submission of HCV and public housing program budgets, financial reports, etc. to HUD and others will be either direct or indirect, depending on the department from which the reports are prepared. If prepared by program staff, costs will be considered direct. If prepared by administrative department staff, costs will be considered indirect and allocated to the program and properties.
  - Investment and reporting on HCV proceeds will be either direct or indirect, depending on the department from which the reports are prepared. If prepared by program staff, costs will be considered direct. If prepared by administrative department staff, costs will be considered indirect and allocated to the program and properties.
- HUD’s rules limit the transfer of cash flow between projects, programs, and business activities. Home Forward intends to fully use its MTW resources and flexibility to move project cash flow among projects as locally determined and use MTW funding flexibility to provide additional funding to public housing properties when appropriate and necessary to provide for and preserve our public housing assets.
- HUD’s rules provide that maintenance staff be maintained at the property level. Home Forward’s asset management program reflects a cost-effective balance of on-site and central maintenance services for repairs, unit turnover, landscaping, and asset preservation work.

- HUD's rules provide that purchasing is performed at the property level. Home Forward's asset management program reflects a cost-effective balance of on-site and central purchasing, depending on the total cost of procurement and complexity of applicable procurement laws and reporting requirements.
- HUD intends certain property management activities to be at the property level. Home Forward has centralized selected property management functions, including but not limited to denial hearings, occupancy management, transfers, reasonable accommodations, auditing, training, compliance, and some waitlist management, and will allocate these costs as a direct expense to the properties based on a relevant metric such as units.
- Home Forward employs its own development staff. Any work on Public Housing Capital projects will be subject to a cost recovery fee paid from the capital fund to cover costs of development staff engaged in such capital projects.

### **Balance Sheet Accounts**

Most balance sheet accounts will be reported in compliance with HUD's Asset Management Requirements and some will deviate from HUD's requirements, as discussed below:

- Cash
- Restricted Cash
- Petty Cash
- Investments
- Selected Prepaid Expenses and Deferred Charges
- Selected Accrued Liabilities
- Payroll Liabilities
- Compensated Absences
- Other Post-Employment Benefits (OPEB) Liability
- Unfunded Pension Liabilities (GASB 68)
- Unrestricted and Restricted Net Assets

Home Forward's asset management program will maintain the above balance sheet accounts centrally. Maintaining these accounts centrally has proven to be the most cost effective and least labor intensive method ensuring efficient accounting operations and ultimately reducing costs charged to the programs. This deviates from HUD's asset management requirements as these accounts will not be reported at the AMP or program. Additionally, the centralization of cash and investments is in keeping with the single fund precept of our MTW authority. For those balance sheet accounts that are originated from expense entries, the related expenses will continue to be reported as an expense to the appropriate program, department and AMP-based income and expense statement through direct charges or allocations.

The agency is continually reviewing our asset management practices and will likely revise our approach over the coming years.





# Appendix B

## Resident Rights, Participation, Waiting List, and Grievance Procedures

### Section 1.6 – Special Provisions Affecting Conversions to Project-Based Vouchers from PIH Notice 2013-23

#### C. PBV Resident Rights and Participation

1. **No Re-screening of Tenants upon Conversion.** Pursuant to the RAD statute, at conversion, current households are not subject to rescreening, income eligibility, or income targeting. Consequently, current households will be grandfathered for conditions that occurred prior to conversion but will be subject to any ongoing eligibility requirements for actions that occur after conversion. For example, a unit with a household that was over-income at time of conversion would continue to be treated as an assisted unit. Thus, 24 CFR § 982.201, concerning eligibility and targeting, will not apply for current households.<sup>7</sup> Once that remaining household moves out, the unit must be leased to an eligible family. MTW agencies may not alter this requirement.
2. **Right to Return.** See section 1.4.A.4(b) regarding a resident's right to return.
3. **Renewal of Lease.** Since publication of the PIH Notice 2012-32 Rev 1, the regulations under 24 CFR § 983.257(b)(3) have been amended requiring Project. Owners to renew all leases upon lease expiration, unless cause exists. MTW agencies may not alter this requirement.
4. **Phase-in of Tenant Rent Increases.** If a tenant's monthly rent increases by more than the greater of 10 percent or \$25 purely as a result of conversion, the rent increase will be phased in over 3 or 5 years. To implement this provision, HUD is specifying alternative requirements for section 3(a)(1) of the Act, as well as 24 CFR § 983.3 (definition of "total tenant payment" (TTP)) to the extent necessary to allow for the phase-in of tenant rent increases. A PHA must create a policy setting the length of the phase in period at three years, five years or a combination depending on circumstances. For example, a PHA may create a policy that uses a three-year phase-in for smaller increases in rent and a five-year phase-in for larger increases in rent.

This policy must be in place at conversion and may not be modified after conversion. The method described below explains the set percentage-based phase-in a Project Owner must follow according to the phase-in period established. For purposes of this section "standard TTP" refers to the TTP calculated in accordance with regulations at 24 CFR §5.628 and the "most recently paid TTP" refers to the TTP recorded on line 9j of the family's most recent HUD Form 50058. If a family in a project converting from Public Housing to PBV was paying a flat rent immediately prior to conversion, the PHA should use the flat rent amount to calculate the phase-in amount for Year 1, as illustrated below.

#### Three Year Phase-in:

- Year 1: Any recertification (interim or annual) performed prior to the second annual recertification after conversion – 33% of difference between most recently paid TTP or flat rent and the standard TTP
- Year 2: Year 2 Annual Recertification (AR) and any Interim Recertification (IR) prior to Year 3 AR – 66% of difference between most recently paid TTP and the standard TTP

<sup>7</sup> These protections (as well as all protections in this Notice for current households) apply when in order to facilitate repairs a household is relocated following the conversion and subsequently returns to the property, even if they are considered a "new admission" upon return.

- Year 3: Year 3 AR and all subsequent recertifications – Full standard TTP

Five Year Phase in:

- Year 1: Any recertification (interim or annual) performed prior to the second annual recertification after conversion – 20% of difference between most recently paid TTP or flat rent and the standard TTP
- Year 2: Year 2 AR and any IR prior to Year 3 AR – 40% of difference between most recently paid TTP and the standard TTP
- Year 3: Year 3 AR and any IR prior to Year 4 AR – 60% of difference between most recently paid TTP and the standard TTP
- Year 4: Year 4 AR and any IR prior to Year 5 AR – 80% of difference between most recently paid TTP and the standard TTP
- Year 5 AR and all subsequent recertifications – Full standard TTP

*Please Note:* In either the three-year phase-in or the five-year phase-in, once the standard TTP is equal to or less than the previous TTP, the phase-in ends and tenants will pay full TTP from that point forward. MTW agencies may not alter this requirement.

1. **Family Self Sufficiency (FSS) and Resident Opportunities and Self Sufficiency Service Coordinator (ROSS-SC) programs.** Public Housing residents that are current FSS participants will continue to be eligible for FSS once their housing is converted under RAD, and PHAs will be allowed to use any remaining PH FSS funds, to serve those FSS participants who live in units converted by RAD. Due to the program merger between PH FSS and HCV FSS that took place pursuant to the FY14 Appropriations Act (and was continued in the FY15 Appropriations Act), no special provisions are required to continue serving FSS participants that live in public housing units converting to PBV under RAD.

However, PHAs should note that there are certain FSS requirements (e.g. escrow calculation and escrow forfeitures) that apply differently depending on whether the FSS participant is a participant under the HCV program or a public housing resident, and PHAs must follow such requirements accordingly. All PHAs will be required to administer the FSS program in accordance with FSS regulations at 24 CFR Part 984, the participants' contracts of participation, and the alternative requirements established in the "Waivers and Alternative Requirements for the FSS Program" Federal Register notice, published on December 29, 2014, at 79 FR 78100.<sup>8</sup> Further, upon conversion to PBV, already escrowed funds for FSS participants shall be transferred into the HCV escrow account and be considered TBRA funds, thus reverting to the HAP account if forfeited by the FSS participant.

Current ROSS-SC grantees will be able to finish out their current ROSS-SC grants once their housing is converted under RAD. However, once the property is converted, it will no longer be eligible to be counted towards the unit count for future ROSS-SC grants, nor will its residents be eligible to be served by future ROSS-SC grants, which, by statute, can only serve public housing residents.

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<sup>8</sup> The funding streams for the PH FSS Program and the HCV FSS Program were first merged pursuant to the FY 2014 appropriations act. As a result, PHAs can serve both PH residents and HCV participants, including PBV participants, with FSS funding awarded under the FY 2014 FSS Notice of Funding Availability (FSS NOFA) and any other NOFA under which the combination of funds remains in the applicable appropriations act. For PHAs that had managed both programs separately and now have a merged program, a conversion to PBV should not impact their FSS participants.

2. **Resident Participation and Funding.** In accordance with Attachment 1B, residents of Covered Projects with converted PBV assistance will have the right to establish and operate a resident organization for the purpose of addressing issues related to their living environment and be eligible for resident participation funding.
3. **Resident Procedural Rights.** The following items must be incorporated into both the Section 8 Administrative Plan and the Project Owner's lease, which includes the required tenancy addendum, as appropriate. Evidence of such incorporation may be requested by HUD for purposes of monitoring the program.
  1. Termination Notification. HUD is incorporating additional termination notification requirements to comply with section 6 of the Act for public housing projects that convert assistance under RAD. In addition to the regulations at 24 CFR § 983.257 related to Project Owner termination of tenancy and eviction (which MTW agencies may not alter) the termination procedure for RAD conversions to PBV will require that PHAs provide adequate written notice of termination of the lease which shall not be less than:
    - a. A reasonable period of time, but not to exceed 30 days:
      - i. If the health or safety of other tenants, PHA employees, or persons residing in the immediate vicinity of the premises is threatened; or ii. In the event of any drug-related or violent criminal activity or any felony conviction;
    - b. 14 days in the case of nonpayment of rent; and
    - c. 30 days in any other case, except that if a State or local law provides for a shorter period of time, such shorter period shall apply.
  2. Grievance Process. Pursuant to requirements in the RAD Statute, HUD is establishing additional procedural rights to comply with section 6 of the Act. For issues related to tenancy and termination of assistance, PBV program rules require the Project Owner to provide an opportunity for an informal hearing, as outlined in 24 CFR § 982.555. RAD will specify alternative requirements for 24 CFR § 982.555(b) in part, which outlines when informal hearings are not required, to require that:
    - a. In addition to reasons that require an opportunity for an informal hearing given in 24 CFR § 982.555(a)(1)(i)-(vi),<sup>9</sup> an opportunity for an informal hearing must be given to residents for any dispute that a resident may have with respect to a Project Owner action in accordance with the individual's lease or the contract administrator in accordance with RAD PBV requirements that adversely affect the resident's rights, obligations, welfare, or status.
      - i. For any hearing required under 24 CFR § 982.555(a)(1)(i)-(vi), the contract administrator will perform the hearing, as is the current standard in the program. The hearing officer must be selected in accordance with 24 CFR § 982.555(e)(4)(i).
      - ii. For any additional hearings required under RAD, the Project Owner will perform the hearing.
    - b. There is no right to an informal hearing for class grievances or to disputes between residents not involving the Project Owner or contract administrator.

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<sup>9</sup> § 982.555(a) (1) (IV) is not relevant to RAD as the tenant-based certificate has been repealed.

- c. The Project Owner gives residents notice of their ability to request an informal hearing as outlined in 24 CFR § 982.555(c)(1) for informal hearings that will address circumstances that fall outside of the scope of 24 CFR § 982.555(a)(1)(i)-(vi).
- d. The Project Owner provides opportunity for an informal hearing before an eviction.

Current PBV program rules require that hearing procedures must be outlined in the PHA's Section 8 Administrative Plan.

4. **Earned Income Disregard (EID).** Tenants who are employed and are currently receiving the EID exclusion at the time of conversion will continue to receive the EID after conversion, in accordance with regulations at 24 CFR § 5.617. Upon the expiration of the EID for such families, the rent adjustment shall not be subject to rent phase-in, as described in Section 1.6.C.4; instead, the rent will automatically rise to the appropriate rent level based upon tenant income at that time.

Under the Housing Choice Voucher program, the EID exclusion is limited only to persons with disabilities (24 CFR § 5.617(b)). In order to allow all tenants (including non-disabled persons) who are employed and currently receiving the EID at the time of conversion to continue to benefit from this exclusion in the PBV project, the provision in section 5.617(b) limiting EID to disabled persons is waived. The waiver, and resulting alternative requirement, apply only to tenants receiving the EID at the time of conversion. No other tenant (e.g., tenants who at one time received the EID but are not receiving the EID exclusion at the time of conversion e.g., due to loss of employment; tenants that move into the property following conversion, etc.) is covered by this waiver.

5. **Jobs Plus.** Jobs Plus grantees awarded FY14 and future funds that convert the Jobs Plus target projects(s) under RAD will be able to finish out their Jobs Plus period of performance at that site unless significant re-location and/or change in building occupancy is planned. If either is planned at the Jobs Plus target project(s), HUD may allow for a modification of the Jobs Plus work plan or may, at the Secretary's discretion, choose to end the Jobs Plus program at that project.
6. **When Total Tenant Payment Exceeds Gross Rent.** Under normal PBV rules, the PHA may only select an occupied unit to be included under the PBV HAP contract if the unit's occupants are eligible for housing assistance payments (24 CFR §983.53(d)). Also, a PHA must remove a unit from the contract when no assistance has been paid for 180 days because the family's TTP has risen to a level that is equal to or greater than the contract rent, plus any utility allowance, for the unit (i.e., the Gross Rent)) (24 CFR §983.258). Since the rent limitation under this Section of the Notice may often result in a family's TTP equaling or exceeding the gross rent for the unit, for current residents (i.e. residents living in the public housing property prior to conversion), HUD is waiving both of these provisions and requiring that the unit for such families be placed on and/or remain under the HAP contract when TTP equals or exceeds than the Gross Rent. Further, HUD is establishing the alternative requirement that the rent to owner for the unit equal the family's TTP until such time that the family is eligible for a housing assistance payment. HUD is waiving as necessary to implement this alternative provision, the provisions of Section 8(o)(13)(H) of the Act and the implementing regulations at 24 CFR 983.301 as modified by Section 1.6.B.5 of this Notice.<sup>10</sup> In such cases, the resident is considered a participant under the program and all of the family obligations and protections under RAD and PBV apply to the resident. Likewise, all requirements with respect to the unit, such as compliance with the HQS requirements, apply as long as the unit is under HAP contract.

<sup>10</sup> For example, a public housing family residing in a property converting under RAD has a TTP of \$600. The property has an initial Contract Rent of \$500, with a \$50 Utility Allowance. Following conversion, the residents is still responsible for paying \$600 in tenant rent and utilities.

Assistance may subsequently be reinstated if the tenant becomes eligible for assistance. The PHA is required to process these individuals through the Form- 50058 submodule in PIC.

Following conversion, 24 CFR §983.53(d) applies, and any new families referred to the RAD PBV project must be initially eligible for a HAP payment at admission to the program, which means their TTP may not exceed the gross rent for the unit at that time. Further, a PHA must remove a unit from the contract when no assistance has been paid for 180 days. If units are removed from the HAP contract because a new admission's TTP comes to equal or exceed the gross rent for the unit and if the project is fully assisted, HUD is imposing an alternative requirement that the PHA must reinstate the unit after the family has vacated the property; and, if the project is partially assisted, the PHA may substitute a different unit for the unit on the HAP contract in accordance with 24 CFR §983.207 or, where "floating" units have been permitted, Section 1.6.B.10 of this Notice.

7. **Under-Occupied Unit.** If a family is in an under-occupied unit under 24 CFR 983.259 at the time of conversion, the family may remain in this unit until an appropriate-sized unit becomes available in the Covered Project. When an appropriate sized unit becomes available in the Covered Project, the family living in the under-occupied unit must move to the appropriate-sized unit within a reasonable period of time, as determined by the administering Voucher Agency. In order to allow the family to remain in the under-occupied unit until an appropriate-sized unit becomes available in the Covered Project, 24 CFR 983.259 is waived. MTW agencies may not modify this requirement.

#### **D. PBV: Other Miscellaneous Provisions**

1. **Access to Records, Including Requests for Information Related to Evaluation of Demonstration.** PHAs must agree to any reasonable HUD request for data to support program evaluation, including but not limited to project financial statements, operating data, Choice-Mobility utilization, and rehabilitation work. Please see Appendix IV for reporting units in Form HUD-50058.
2. **Additional Monitoring Requirement.** The PHA's Board must approve the operating budget for the covered project annually in accordance with HUD requirements.<sup>11</sup>
3. **Davis-Bacon Act and Section 3 of the Housing and Urban Development Act of 1968 (Section 3).** This section has been moved to 1.4.A.13 and 1.4.A.14.
4. **Establishment of Waiting List.** 24 CFR § 983.251 sets out PBV program requirements related to establishing and maintaining a voucher-wide, PBV program wide, or site-based waiting list from which residents for the Covered Project will be admitted. These provisions will apply unless the project is covered by a remedial order or agreement that specifies the type of waiting list and other waiting list policies. The PHA shall consider the best means to transition applicants from the current public housing waiting list, including:
  - i. Transferring an existing site-based waiting list to a new site-based waiting list. If the PHA is transferring the assistance to another neighborhood, the PHA must notify applicants on the wait-list of the transfer of assistance, and on how they can apply for residency at the new project site or other sites. Applicants on a project-specific waiting list for a project where the assistance is being transferred shall have priority on the newly formed waiting list for the new project site in accordance with the date and time of their application to the original project's waiting list.

<sup>11</sup> For PBV conversions that are not FHA-insured, a future HUD notice will describe project financial data that may be required to be submitted by a PBV owner for purposes of the evaluation, given that PBV projects do not submit annual financial statements to HUD/REAC.

- ii. Informing applicants on the site-based waiting list on how to apply for a PBV program-wide or HCV program-wide waiting list.
- iii. Informing applicants on a public housing community-wide waiting list on how to apply for a voucher-wide, PBV program-wide, or site-based waiting list. If using a site-based waiting list, PHAs shall establish a waiting list in accordance with 24 CFR § 903.7(b)(2)(ii)-(iv) to ensure that applicants on the PHA's public housing community-wide waiting list have been offered placement on the converted project's initial waiting list. In all cases, PHAs have the discretion to determine the most appropriate means of informing applicants on the public housing community-wide waiting list given the number of applicants, PHA resources, and admissions requirements of the projects being converted under RAD. A PHA may consider contacting every applicant on the public housing waiting list via direct mailing; advertising the availability of housing to the population that is less likely to apply, both minority and non-minority groups, through various forms of media (e.g., radio stations, posters, newspapers) within the marketing area; informing local non-profit entities and advocacy groups (e.g., disability rights groups); and conducting other outreach as appropriate. Applicants on the agency's public housing community-wide waiting list who wish to be placed onto the newly-established site-based waiting list must be done so in accordance with the date and time of their original application to the centralized public housing waiting list. Any activities to contact applicants on the public housing waiting list must be conducted in accordance with the requirements for effective communication with persons with disabilities at 24 CFR § 8.6 and with the obligation to provide meaningful access for persons with limited English proficiency (LEP).<sup>12</sup>

A PHA must maintain any site-based waiting list in accordance with all applicable civil rights and fair housing laws and regulations unless the project is covered by a remedial order or agreement that specifies the type of waiting list and other waiting list policies.

To implement this provision, HUD is specifying alternative requirements for 24 CFR § 983.251(c)(2).

However, after the initial waiting list has been established, the PHA shall administer its waiting list for the converted project in accordance with 24 CFR § 983.251(c).

- 5. **Mandatory Insurance Coverage.** The Covered Project shall maintain at all times commercially available property and liability insurance to protect the project from financial loss and, to the extent insurance proceeds permit, promptly restore, reconstruct, and/or repair any damaged or destroyed project property.
- 6. **Agreement Waiver.** This section has been moved to 1.6.(B)(7).
- 7. **Future Refinancing.** Project Owners must receive HUD approval for any refinancing or restructuring of permanent debt during the HAP contract term, to ensure the financing is consistent with long-term preservation. (Current lenders and investors are also likely to require review and approval of refinancing of the primary permanent debt.)
- 8. **Administrative Fees for Public Housing Conversions during Transition Period.** For the remainder of the Calendar Year in which the HAP Contract is effective (i.e. "transition period"), RAD PBV projects will be funded with public housing funds. For example, if the project's assistance converts effective July 1, 2015, the public housing Annual Contributions Contract (ACC) between the PHA and HUD will be amended to reflect the number of units under HAP contract, but will be for zero dollars, and the RAD PBV contract will be funded with public housing money for July through December 2015. Since TBRA is not the source of funds, PHAs

<sup>12</sup> For more information on serving persons with LEP, please see HUD's Final guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons (72 FR 2732), published on January 22, 2007.

should not report leasing and expenses into VMS during this period, and PHAs will not receive section 8 administrative fee funding for converted units during this time.

For fiscal years 2014 and 2015, PHAs operating HCV program received administrative fees for units under a HAP contract, consistent with recent appropriation act references to "section 8(q) of the [United States Housing Act of 1937] and related appropriations act provisions in effect immediately before the Quality Housing and Responsibility Act of 1998" and 24 CFR § 982.152(b). During the transition period mentioned in the preceding paragraph, these provisions are waived, and PHAs will not receive section 8 ongoing administrative fees for PBV RAD units.

After this transition period, the section 8 ACC will be amended to include section 8 funding that corresponds to the units covered by the section 8 ACC. At that time, the regular section 8 administrative fee funding provisions will apply.

9. **Choice-Mobility.** One of the key features of the PBV program is the mobility component, which provides that if the family has elected to terminate the assisted lease at any time after the first year of occupancy in accordance with program requirements, the PHA must offer the family the opportunity for continued tenant based rental assistance, in the form of either assistance under the voucher program or other comparable tenant-based rental assistance.

If as a result of participation in RAD a significant percentage of the PHA's HCV program becomes PBV assistance, it is possible for most or all of a PHA's turnover vouchers to be used to assist those RAD PBV families who wish to exercise mobility. While HUD is committed to ensuring mobility remains a cornerstone of RAD policy, HUD recognizes that it remains important for the PHA to still be able to use tenant based vouchers to address the specific housing needs and priorities of the community. Therefore, HUD is establishing an alternative requirement for PHAs where, as a result of RAD, the total number of PBV units (including RAD PBV units) under HAP contract administered by the PHA exceeds 20 percent of the PHA's authorized units under its HCV ACC with HUD.

The alternative mobility policy provides that an eligible voucher agency would not be required to provide more than three-quarters of its turnover vouchers in any single year to the residents of Covered Projects. While a voucher agency is not required to establish a voucher inventory turnover cap, if such a cap is implemented, the voucher agency must create and maintain a waiting list in the order in which the requests from eligible households were received. In order to adopt this provision, this alternative mobility policy must be included in an eligible PHA's administrative plan.

To effectuate this provision, HUD is providing an alternative requirement to Section 8(o)(13)(E) and 24 CFR part 983.261(c). Please note that this alternative requirement does not apply to PBVs entered into outside of the context of RAD. MTW agencies may not alter this requirement.

10. **Reserve for Replacement.** The Project Owner shall establish and maintain a replacement reserve in an interest-bearing account to aid in funding extraordinary maintenance and repair and replacement of capital items in accordance with applicable regulations. The reserve must be built up to and maintained at a level determined by HUD to be sufficient to meet projected requirements. For FHA transactions, Replacement Reserves shall be maintained in accordance with the FHA Regulatory Agreement. For all other transactions, Replacement Reserves shall be maintained in a bank account covered under a General Depository Agreement (HUD-51999) or similar instrument, as approved by HUD, where funds will be held by the PHA.

## Attachment 1B: Resident Provisions in Conversions from Public Housing to PBRA and PBV

This Attachment contains two sections, describing:

#### 1B.1 Summary of Resident Provisions

#### 1B.2 Resident Participation and Funding

#### 1B.1 Summary of Resident Provisions

The following is a summary of special provisions and alternative requirements related to tenants of public housing projects converting under RAD:

- Conversion will be considered a significant amendment to a PHA Plan (see Section 1.5(E) of this Notice);
- Notification of proposed conversion, meetings during the conversion process, written response to residents comments on conversion, and notification of conversion approval and impact (see Section 1.8 of this Notice);
- No rescreening at conversion (see Section 1.6(C)(1) of this Notice for conversions to PBV and Section 1.7(B)(1) for conversions to PBRA);
- Right to return after temporary relocation to facilitate rehabilitation or construction (see Section 1.6(C)(2) of this Notice for conversions to PBV and Section 1.7(B)(2) for conversions to PBRA);
- Phase-in of tenant rent increases (see Section 1.6(C)(4) of this Notice for conversions to PBV and Section 1.7(B)(3) for conversions to PBRA);
- Continued participation in the ROSS-SC and FSS programs (see Section 1.6(C)(5) of this Notice, for conversions to PBV and Section 1.7(B)(4) for conversions to PBRA);
- Continued Earned Income Disregard (see Section 1.6(C)(8) of this Notice, for conversions to PBV and Section 1.7.(B)(7) for conversions to PBRA);
- Continued recognition of and funding for legitimate residents organizations (see Section 1.6(C)(6) of this Notice for conversions to PBV, Section 1.7(B)(5) of this Notice for conversions to PBRA, and below in Attachment 1B.2 for additional requirements for both programs);
- Procedural rights consistent with section 6 of the Act (see Section 1.6(C)(7) of this Notice for conversions to PBV and Section 1.7(B)(6) of this Notice for conversions to PBRA); and
- Choice-mobility option allowing a resident to move with a tenant-based voucher after tenancy in the Covered Project (see 24 CFR § 983.260 for conversions to PBV and Section 1.7(C)(5) of this Notice for conversions to PBRA).
- For additional information, refer to Notice H2014-09; PIH 2014-17 for additional information on relocation requirements under RAD.

#### 1B.2 Resident and Participation Funding<sup>13</sup>

The following provisions contain the resident participation and funding requirements for public housing conversions to PBRA and PBV, respectively.

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<sup>13</sup> For the purposes of this Attachment, HUD uses the term “Project Owner” to refer to the owner of a converting or Covered Project. In some instances the owner of a project could be a public, non-profit, or for-profit, e.g., mixed finance projects).



## A. PBRA: Resident Participation and Funding

Residents of Covered Projects converting assistance to PBRA will have the right to establish and operate a resident organization in accordance with 24 CFR Part 245 (Tenant Participation in Multifamily Housing Projects). In addition, a Project Owner must provide \$25 per occupied unit annually for resident participation, of which at least \$15 per occupied unit shall be provided to the legitimate tenant organization at the covered property. These funds must be used for resident education, organizing around tenancy issues, and training activities.

In the absence of a legitimate resident organization at a Covered Project:

1. HUD encourages the Project Owner and residents to work together to determine the most appropriate ways to foster a constructive working relationship, including supporting the formation of a legitimate residents organization. Residents are encouraged to contact the Project Owner directly with questions or concerns regarding issues related to their tenancy. Project Owners are also encouraged to actively engage residents in the absence of a resident organization; and
2. Project Owners must make resident participation funds available to residents for organizing activities in accordance with this Notice. Residents must make requests for these funds in writing to the Project Owner. These requests will be subject to approval by the Project Owner.

## B. PBV: Resident Participation and Funding

To support resident participation following conversion of assistance, residents of Covered Projects converting assistance to the PBV program will have the right to establish and operate a resident organization for the purpose of addressing issues related to their living environment, which includes the terms and conditions of their tenancy as well as activities related to housing and community development.

1. **Legitimate Resident Organization.** A Project Owner must recognize legitimate resident organizations and give reasonable consideration to concerns raised by legitimate resident organizations. A resident organization is legitimate if it has been established by the residents of a Covered Project, meets regularly, operates democratically, is representative of all residents in the project, and is completely independent of the Project Owner, management, and their representatives.

In the absence of a legitimate resident organization at a Covered Project, HUD encourages the Project Owner and residents to work together to determine the most appropriate ways to foster a constructive working relationship, including supporting the formation of a legitimate residents organization. Residents are encouraged to contact the Project Owner directly with questions or concerns regarding issues related to their tenancy. Project Owners are also encouraged to actively engage residents in the absence of a resident organization; and

2. **Protected Activities.** Project Owners must allow residents and resident organizers to conduct the following activities related to the establishment or operation of a resident organization:
  - a. Distributing leaflets in lobby areas;
  - b. Placing leaflets at or under residents' doors;
  - c. Distributing leaflets in common areas;
  - d. Initiating contact with residents;
  - e. Conducting door-to-door surveys of residents to ascertain interest in establishing a resident organization and to offer information about resident organizations;

- f. Posting information on bulletin boards;
- g. Assisting resident to participate in resident organization activities;
- h. Convening regularly scheduled resident organization meetings in a space on site and accessible to residents, in a manner that is fully independent of management representatives. In order to preserve the independence of resident organizations, management representatives may not attend such meetings unless invited by the resident organization to specific meetings to discuss a specific issue or issues; and
  - i. Formulating responses to Project Owner's requests for:
  - ii. Rent increases;
  - iii. Partial payment of claims;
  - iv. The conversion from project-based paid utilities to resident-paid utilities;
  - v. A reduction in resident utility allowances;
  - vi. Converting residential units to non-residential use, cooperative housing, or condominiums;
  - vii. Major capital additions; and
  - viii. Prepayment of loans.

In addition to these activities, Project Owners must allow residents and resident organizers to conduct other reasonable activities related to the establishment or operation of a resident organization.

Project Owners shall not require residents and resident organizers to obtain prior permission before engaging in the activities permitted in this section.

3. **Meeting Space.** Project Owners must reasonably make available the use of any community room or other available space appropriate for meetings that is part of the multifamily housing project when requested by:
- a. Residents or a resident organization and used for activities related to the operation of the resident organization; or
  - b. Residents seeking to establish a resident organization or collectively address issues related to their living environment.

Resident and resident organization meetings must be accessible to persons with disabilities, unless this is impractical for reasons beyond the organization's control. If the project has an accessible common area or areas, it will not be impractical to make organizational meetings accessible to persons with disabilities.

Project Owners may charge a reasonable, customary and usual fee, approved by the Secretary as may normally be imposed for the use of such facilities in accordance with procedures prescribed by the Secretary, for the use of meeting space. A PHA may waive this fee.

4. **Resident Organizers.** A resident organizer is a resident or non-resident who assists residents in establishing and operating a resident organization, and who is not an employee or representative of current or prospective Project Owners, managers, or their agents.

Project Owners must allow resident organizers to assist residents in establishing and operating resident organizations.

5. **Canvassing.** If a Covered Project has a consistently enforced, written policy against canvassing, then a non-resident resident organizer must be accompanied by a resident while on the property of the project.

If a project has a written policy favoring canvassing, any non-resident resident organizer must be afforded the same privileges and rights of access as other uninvited outside parties in the normal course of operations. If the project does not have a consistently enforced, written policy against canvassing, the project shall be treated as if it has a policy favoring canvassing.

A resident has the right not to be re-canvassed against his or her wishes regarding participation in a resident organization.

6. **Funding.** Project Owners must provide \$25 per occupied unit annually for resident participation, of which at least \$15 per occupied unit shall be provided to the legitimate resident organization at the covered property. These funds must be used for resident education, organizing around tenancy issues, and training activities.

In the absence of a legitimate resident organization at a Covered Project:

- a. HUD encourages the Project Owner s and residents to work together to determine the most appropriate ways to foster a constructive working relationship, including supporting the formation of a legitimate residents organization. Residents are encouraged to contact the Project Owner directly with questions or concerns regarding issues related to their tenancy. Project Owner are also encouraged to actively engage residents in the absence of a resident organization; and
- b. Project Owner s must make resident participation funds available to residents for organizing activities in accordance with this Notice. Residents must make requests for these funds in writing to the Project Owner. These requests will be subject to approval by the Project Owner.

# Attachment R

## Rental Assistance Demonstration

Home Forward is a successful applicant in the Rental Assistance Demonstration (RAD).

In May 2015 Home Forward submitted six Rental Assistance Demonstration (RAD) applications for six properties, with a total of 285 public housing units. We received six initial Commitments to enter into a Housing Assistance Payment (CHAPs) in September 2015, with amended CHAPS issued in March 2016 (RAD Phase 1). In addition, Home Forward received 24 additional CHAPs in August 2016, totaling 498 units (part of RAD Phase 2). Home Forward is currently working with HUD to determine the timeline of conversion for these properties (see section titled Public Housing Strategy).

Home Forward is converting to project-based vouchers under the guidelines of PIH Notice 2012-32, REV-1 and any successor Notices. Upon conversion to project-based vouchers, Home Forward will adopt the resident rights, participation, waiting list and grievance procedures listed in Section 1.6 of PIH Notice 2012-32, REV-2; and Joint Housing PIH Notice H-2014-09/PHI-2014-17. These resident rights, participation, waiting list and grievance procedures are appended to this attachment. Additionally, Home Forward certifies that it is currently compliant with all fair housing and civil rights requirements.

RAD was designed by HUD to assist in addressing the capital needs of public housing by providing Housing Authorities access to private sources of capital to repair and preserve its affordable housing assets. Please be aware that upon conversion, Home Forward's Capital Fund Budget will be reduced by the pro rata share of Public Housing Developments converted as part of the Demonstration, and that Home Forward may also borrow funds to address their capital needs. Project-based voucher subsidy is sized to replace the reduction in Capital Funds and operating subsidy lost from the RAD conversions. Home Forward currently has debt under the Capital Fund Financing Program and will be working with Wells Fargo to address outstanding debt issues, which may result in additional reductions of capital funds. Regardless of any funding changes that may occur as a result of conversion under RAD, Home Forward certifies that it will maintain its continued service level.

Under HUD Notice PIH-2012-32, REV-2, Home Forward's RAD conversion is detailed below as part of its Annual Moving to Work Plan.

**The following are the remaining public housing properties that Home Forward anticipates RAD closing or Section 18 approval, with properties converting through FY2021. At time of this writing, Home Forward is working with HUD to identify the order properties will close.**

### Development #1

|                             |                        |                      |        |
|-----------------------------|------------------------|----------------------|--------|
| <b>Development Name</b>     | Tillicum South         |                      |        |
| <b>PIC Development ID #</b> | OR0020151              |                      |        |
| Conversion Type             | Project-Based Vouchers |                      |        |
| Total Current Units         | 12                     | Total Post-RAD Units | 12     |
| Pre-RAD Unit Type           | Family                 | Post-RAD Unit Type   | Family |
| Capital Fund Allocation     | \$15,045.00            |                      |        |
| Transfer of Assistance      | None                   |                      |        |

|                             |                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                    |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|--------------------|
| Pre-Conversion Bedroom Type | 12 3-bedroom units                                                                                                                                                                                                                                                                                                                                                                                    | Post-Conversion Bedroom Type | 12 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                    |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Tillicum South will be moved onto a Home Forward project based voucher site-based waiting list for Tillicum South. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Tillicum South. |                              |                    |
| Other Information           | CHAP awarded August 2016                                                                                                                                                                                                                                                                                                                                                                              |                              |                    |

## Development #2

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                |                              |                                          |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------|
| <b>Development Name</b>     | <b>Powellhurst Woods</b>                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                          |
| <b>PIC Development ID #</b> | <b>OR002000237</b>                                                                                                                                                                                                                                                                                                                                                                                             |                              |                                          |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                         |                              |                                          |
| Total Current Units         | 34                                                                                                                                                                                                                                                                                                                                                                                                             | Total Post-RAD Units         | 34                                       |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                         | Post-RAD Unit Type           | Family                                   |
| Capital Fund Allocation     | \$65,065.00                                                                                                                                                                                                                                                                                                                                                                                                    |                              |                                          |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                          |
| Pre-Conversion Bedroom Type | 23 2-bedroom units<br>11 3-bedroom units                                                                                                                                                                                                                                                                                                                                                                       | Post-Conversion Bedroom Type | 23 2-bedroom units<br>11 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                          |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Powellhurst Woods will be moved onto a Home Forward project based voucher site-based waiting list for Powellhurst Woods. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Powellhurst Woods. |                              |                                          |
| Other Information           | CHAP awarded August 2016                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                          |

## Development #3

|                             |                        |                      |        |
|-----------------------------|------------------------|----------------------|--------|
| <b>Development Name</b>     | <b>Tillicum North</b>  |                      |        |
| <b>PIC Development ID #</b> | <b>OR002000251</b>     |                      |        |
| Conversion Type             | Project-Based Vouchers |                      |        |
| Total Current Units         | 18                     | Total Post-RAD Units | 18     |
| Pre-RAD Unit Type           | Family                 | Post-RAD Unit Type   | Family |

|                             |                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                                    |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------------------|
| Capital Fund Allocation     | \$22,567.00                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                                    |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                                    |
| Pre-Conversion Bedroom Type | 16 3-bedroom units<br>2 3-bedroom accessible units                                                                                                                                                                                                                                                                                                                                                    | Post-Conversion Bedroom Type | 16 3-bedroom units<br>2 3-bedroom accessible units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                                    |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Tillicum North will be moved onto a Home Forward project based voucher site-based waiting list for Tillicum North. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Tillicum North. |                              |                                                    |
| Other Information           | CHAP awarded August 2016                                                                                                                                                                                                                                                                                                                                                                              |                              |                                                    |

#### Development #4

|                             |                                                                                                                                                                                                                                                                                                                                                                                              |                              |                                                   |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------------------------------------|
| <b>Development Name</b>     | <b>Hunters Run</b>                                                                                                                                                                                                                                                                                                                                                                           |                              |                                                   |
| <b>PIC Development ID #</b> | <b>OR002000252</b>                                                                                                                                                                                                                                                                                                                                                                           |                              |                                                   |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                       |                              |                                                   |
| Total Current Units         | 10                                                                                                                                                                                                                                                                                                                                                                                           | Total Post-RAD Units         | 10                                                |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                       | Post-RAD Unit Type           | Family                                            |
| Capital Fund Allocation     | \$12,545.00                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                                   |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                         |                              |                                                   |
| Pre-Conversion Bedroom Type | 8 3-bedroom units<br>2 3-bedroom accessible units                                                                                                                                                                                                                                                                                                                                            | Post-Conversion Bedroom Type | 8 3-bedroom units<br>2 3-bedroom accessible units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                         |                              |                                                   |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Hunters Run will be moved onto a Home Forward project based voucher site-based waiting list for Hunters Run. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Hunters Run. |                              |                                                   |
| Other Information           | CHAP awarded August 2016                                                                                                                                                                                                                                                                                                                                                                     |                              |                                                   |

#### Development #5

**Development Name**   **Fir Acres**  
**PIC Development ID #**   **OR002000121**

|                             |                                                                                                                                                                                                                                                                                                                                                                                        |                              |                                                                         |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                 |                              |                                                                         |
| Total Current Units         | 32                                                                                                                                                                                                                                                                                                                                                                                     | Total Post-RAD Units         | 32                                                                      |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                 | Post-RAD Unit Type           | Family                                                                  |
| Capital Fund Allocation     | \$57,583.00                                                                                                                                                                                                                                                                                                                                                                            |                              |                                                                         |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                                                         |
| Pre-Conversion Bedroom Type | 19 2-bedroom units<br>1 2-bedroom accessible unit<br>12 3-bedroom units                                                                                                                                                                                                                                                                                                                | Post-Conversion Bedroom Type | 19 2-bedroom units<br>1 2-bedroom accessible unit<br>12 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                                                         |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Fir Acres will be moved onto a Home Forward project based voucher site-based waiting list for Fir Acres. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Fir Acres. |                              |                                                                         |
| Other Information           | CHAP awarded August 2016                                                                                                                                                                                                                                                                                                                                                               |                              |                                                                         |

## Development #6

|                             |                                                                                                                                                                                                                                                                                                                                                                                        |                              |                                         |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------------------|
| <b>Development Name</b>     | <b>Alderwood</b>                                                                                                                                                                                                                                                                                                                                                                       |                              |                                         |
| <b>PIC Development ID #</b> | <b>OR002000337</b>                                                                                                                                                                                                                                                                                                                                                                     |                              |                                         |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                 |                              |                                         |
| Total Current Units         | 20                                                                                                                                                                                                                                                                                                                                                                                     | Total Post-RAD Units         | 20                                      |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                 | Post-RAD Unit Type           | Family                                  |
| Capital Fund Allocation     | \$36,332.00                                                                                                                                                                                                                                                                                                                                                                            |                              |                                         |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                         |
| Pre-Conversion Bedroom Type | 13 2-bedroom units<br>7 3-bedroom units                                                                                                                                                                                                                                                                                                                                                | Post-Conversion Bedroom Type | 13 2-bedroom units<br>7 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                         |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Alderwood will be moved onto a Home Forward project based voucher site-based waiting list for Alderwood. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Alderwood. |                              |                                         |
| Other Information           | CHAP awarded August 2016                                                                                                                                                                                                                                                                                                                                                               |                              |                                         |

## Development #7

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                                                                    |
|-----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------------------------------------------------|
| <b>Development Name</b>     | <b>Medallion Apartments</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                                                                                    |
| <b>PIC Development ID #</b> | <b>OR002000139</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                                                                    |
| Conversion Type             | Section 18 Project-Based Vouchers or RAD Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                                                                    |
| Total Current Units         | 90                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Total Post-RAD Units         | 90                                                                                 |
| Pre-RAD Unit Type           | Senior/People with Disabilities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Post-RAD Unit Type           | Senior/People with Disabilities                                                    |
| Capital Fund Allocation     | \$137,121.00                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                              |                                                                                    |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                              |                                                                                    |
| Pre-Conversion Bedroom Type | 85 1-bedroom units<br>3 1-bedroom accessible units<br>2 2-bedroom accessible units                                                                                                                                                                                                                                                                                                                                                                                                                                       | Post-Conversion Bedroom Type | 85 1-bedroom units<br>3 1-bedroom accessible units<br>2 2-bedroom accessible units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                              |                                                                                    |
| Transfer of Waiting List    | If converted under RAD, applicants on Home Forward's public housing site-based waiting list for Medallion Apartments will be moved onto a Home Forward project based voucher site-based waiting list for Medallion Apartments. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Medallion Apartments. If approved for Section 18 Home Forward will not pursue RAD conversion for Medallion Apartments. |                              |                                                                                    |
| Other Information           | Home Forward applied for Section 18 for Medallion Apartments prior to RAD and is anticipating a decision to be made by HUD. If approved for Section 18 Home Forward will not pursue RAD conversion for Medallion Apartments. CHAP awarded in October 2016.                                                                                                                                                                                                                                                               |                              |                                                                                    |

## Development #8

|                             |                                                                 |                      |                                 |
|-----------------------------|-----------------------------------------------------------------|----------------------|---------------------------------|
| <b>Development Name</b>     | <b>Ruth Haefner Apartments</b>                                  |                      |                                 |
| <b>PIC Development ID #</b> | <b>OR002000140</b>                                              |                      |                                 |
| Conversion Type             | Section 18 Project-Based Vouchers or RAD Project-Based Vouchers |                      |                                 |
| Total Current Units         | 73                                                              | Total Post-RAD Units | 73                              |
| Pre-RAD Unit Type           | Senior/People with Disabilities                                 | Post-RAD Unit Type   | Senior/People with Disabilities |
| Capital Fund Allocation     | \$110,562.00                                                    |                      |                                 |
| Transfer of Assistance      | None                                                            |                      |                                 |



|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                                    |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------------------|
| Pre-Conversion Bedroom Type | 65 1-bedroom units<br>8 1-bedroom accessible units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Post-Conversion Bedroom Type | 65 1-bedroom units<br>8 1-bedroom accessible units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                                                    |
| Transfer of Waiting List    | If converted to RAD, applicants on Home Forward's public housing site-based waiting list for Ruth Haefner Apartments will be moved onto a Home Forward project based voucher site-based waiting list for Ruth Haefner Apartments. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Ruth Haefner Apartments. If approved for Section 18 Home Forward will not pursue RAD conversion for Ruth Haefner Apartments. |                              |                                                    |
| Other Information           | CHAP awarded August 2016. Home Forward applied for Section 18 for Ruth Haefner Apartments prior to RAD and is anticipating a decision to be made by HUD. If approved for Section 18 Home Forward will not pursue RAD conversion for Ruth Haefner Apartments.                                                                                                                                                                                                                                                                      |                              |                                                    |

## Development #9

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                |                              |                                                                         |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|
| <b>Development Name</b>     | <b>Townhouse Terrace</b>                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                                                         |
| <b>PIC Development ID #</b> | <b>OR002000122</b>                                                                                                                                                                                                                                                                                                                                                                                             |                              |                                                                         |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                         |                              |                                                                         |
| Total Current Units         | 32                                                                                                                                                                                                                                                                                                                                                                                                             | Total Post-RAD Units         | 32                                                                      |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                         | Post-RAD Unit Type           | Family                                                                  |
| Capital Fund Allocation     | \$57,595.00                                                                                                                                                                                                                                                                                                                                                                                                    |                              |                                                                         |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                                                         |
| Pre-Conversion Bedroom Type | 19 2-bedroom units<br>1 2-bedroom accessible unit<br>12 3-bedroom units                                                                                                                                                                                                                                                                                                                                        | Post-Conversion Bedroom Type | 19 2-bedroom units<br>1 2-bedroom accessible unit<br>12 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                                                         |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Townhouse Terrace will be moved onto a Home Forward project based voucher site-based waiting list for Townhouse Terrace. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Townhouse Terrace. |                              |                                                                         |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                                      |                              |                                                                         |

## Development #10

**Development Name**   **Stark Manor**

**PIC Development ID # OR002000123**

|                             |                                                                                                                                                                                                                                                                                                                                                                                               |                              |                                          |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------|
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                        |                              |                                          |
| Total Current Units         | 30                                                                                                                                                                                                                                                                                                                                                                                            | Total Post-RAD Units         | 30                                       |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                        | Post-RAD Unit Type           | Family                                   |
| Capital Fund Allocation     | \$52,425.00                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                          |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                          |
| Pre-Conversion Bedroom Type | 18 2-bedroom units<br>12 3-bedroom units                                                                                                                                                                                                                                                                                                                                                      | Post-Conversion Bedroom Type | 18 2-bedroom units<br>12 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                          |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Stark Manor will be moved onto a Home Forward project based voucher site-based waiting list for Stark Manor. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site- based waiting list for Stark Manor. |                              |                                          |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                     |                              |                                          |

**Development #11****Development Name Lexington Court****PIC Development ID # OR002000124**

|                             |                                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                         |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------------------|
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                         |
| Total Current Units         | 20                                                                                                                                                                                                                                                                                                                                                                                                       | Total Post-RAD Units         | 20                                      |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                   | Post-RAD Unit Type           | Family                                  |
| Capital Fund Allocation     | \$35,885.00                                                                                                                                                                                                                                                                                                                                                                                              |                              |                                         |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                     |                              |                                         |
| Pre-Conversion Bedroom Type | 12 2-bedroom units<br>8 3-bedroom units                                                                                                                                                                                                                                                                                                                                                                  | Post-Conversion Bedroom Type | 12 2-bedroom units<br>8 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                     |                              |                                         |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Lexington Court will be moved onto a Home Forward project based voucher site-based waiting list for Lexington Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Lexington Court. |                              |                                         |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                                |                              |                                         |

## Development #12

|                             |                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                                                         |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|
| <b>Development Name</b>     | <b>Eastwood Court</b>                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                                                         |
| <b>PIC Development ID #</b> | <b>OR002000125</b>                                                                                                                                                                                                                                                                                                                                                                                    |                              |                                                                         |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                |                              |                                                                         |
| Total Current Units         | 32                                                                                                                                                                                                                                                                                                                                                                                                    | Total Post-RAD Units         | 32                                                                      |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                | Post-RAD Unit Type           | Family                                                                  |
| Capital Fund Allocation     | \$57,728.00                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                                                         |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                                                         |
| Pre-Conversion Bedroom Type | 19 2-bedroom units<br>12 3-bedroom units<br>1 3-bedroom accessible unit                                                                                                                                                                                                                                                                                                                               | Post-Conversion Bedroom Type | 19 2-bedroom units<br>12 3-bedroom units<br>1 3-bedroom accessible unit |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                                                         |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Eastwood Court will be moved onto a Home Forward project based voucher site-based waiting list for Eastwood Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Eastwood Court. |                              |                                                                         |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                             |                              |                                                                         |

## Development #13

|                             |                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                                          |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------|
| <b>Development Name</b>     | <b>Carlton Court</b>                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                          |
| <b>PIC Development ID #</b> | <b>OR002000126</b>                                                                                                                                                                                                                                                                                                                                                                                    |                              |                                          |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                |                              |                                          |
| Total Current Units         | 24                                                                                                                                                                                                                                                                                                                                                                                                    | Total Post-RAD Units         | 24                                       |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                | Post-RAD Unit Type           | Family                                   |
| Capital Fund Allocation     | \$43,363.00                                                                                                                                                                                                                                                                                                                                                                                           |                              |                                          |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                          |
| Pre-Conversion Bedroom Type | 14 2-bedroom units<br>10 3-bedroom units                                                                                                                                                                                                                                                                                                                                                              | Post-Conversion Bedroom Type | 14 2-bedroom units<br>10 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                                          |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Eastwood Court will be moved onto a Home Forward project based voucher site-based waiting list for Eastwood Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Eastwood Court. |                              |                                          |

Other Information CHAP received August 2016

## Development #14

**Development Name** Slavin Court

**PIC Development ID #** OR002000131

Conversion Type Project-Based Vouchers

Total Current Units 24

Total Post-RAD Units 24

Pre-RAD Unit Type Family

Post-RAD Unit Type Family

Capital Fund Allocation \$47,903.00

Transfer of Assistance None

Pre-Conversion Bedroom Type 18 3-bedroom units  
6 4-bedroom units

Post-Conversion Bedroom Type 18 3-bedroom units  
6 4-bedroom units

De Minimus Reduction None

Transfer of Waiting List Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Slavin Court will be moved onto a Home Forward project based voucher site-based waiting list for Carlton Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site- based waiting list for Slavin Court.

Other Information CHAP received August 2016

## Development #15

**Development Name** Demar Downs

**PIC Development ID #** OR002000132

Conversion Type Project-Based Vouchers

Total Current Units 18

Total Post-RAD Units 18

Pre-RAD Unit Type Family

Post-RAD Unit Type Family

Capital Fund Allocation \$31,569.00

Transfer of Assistance None

Pre-Conversion Bedroom Type 15 2-bedroom units  
3 2-bedroom accessible units

Post-Conversion Bedroom Type 15 2-bedroom units  
3 2-bedroom accessible units

De Minimus Reduction None

Transfer of Waiting List Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Demar Downs will be moved onto a Home Forward project based voucher site-based waiting list for Demar Downs. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Demar Downs.

Other Information CHAP received August 2016

## Development #16

**Development Name** Eliot Square

**PIC Development ID #** OR002000138

Conversion Type Project-Based Vouchers

Total Current Units 30

Total Post-RAD Units 30

Pre-RAD Unit Type Family

Post-RAD Unit Type Family

Capital Fund Allocation \$57,318.00

Transfer of Assistance None

Pre-Conversion Bedroom Type  
11 2-bedroom units  
1 2-bedroom accessible unit  
14 3-bedroom units  
4 4-bedroom units

Post-Conversion Bedroom Type  
11 2-bedroom units  
1 2-bedroom accessible unit  
14 3-bedroom units  
4 4-bedroom units

De Minimus Reduction None

Transfer of Waiting List Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Eliot Square will be moved onto a Home Forward project based voucher site-based waiting list for Eliot Square. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Eliot Square.

Other Information CHAP received August 2016

## Development #17

**Development Name** Celilo Court

**PIC Development ID #** OR002000142

Conversion Type Project-Based Vouchers

Total Current Units 28

Total Post-RAD Units 28

Pre-RAD Unit Type Family

Post-RAD Unit Type Family

Capital Fund Allocation \$51,390.00

|                             |                                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                                                          |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|--------------------------------------------------------------------------|
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                            |                              |                                                                          |
| Pre-Conversion Bedroom Type | 15 2-bedroom units<br>2 2-bedroom accessible units<br>11 3-bedroom units                                                                                                                                                                                                                                                                                                                        | Post-Conversion Bedroom Type | 15 2-bedroom units<br>2 2-bedroom accessible units<br>11 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                            |                              |                                                                          |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Celilo Court will be moved onto a Home Forward project based voucher site-based waiting list for Celilo Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Celilo Court. |                              |                                                                          |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                       |                              |                                                                          |

### Development #18

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                   |                              |                    |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|--------------------|
| <b>Development Name</b>     | <b>Harold Lee Village</b>                                                                                                                                                                                                                                                                                                                                                                                         |                              |                    |
| <b>PIC Development ID #</b> | <b>OR002000152</b>                                                                                                                                                                                                                                                                                                                                                                                                |                              |                    |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                            |                              |                    |
| Total Current Units         | 10                                                                                                                                                                                                                                                                                                                                                                                                                | Total Post-RAD Units         | 10                 |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                            | Post-RAD Unit Type           | Family             |
| Capital Fund Allocation     | \$12,545.00                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                    |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                    |
| Pre-Conversion Bedroom Type | 10 3-bedroom units                                                                                                                                                                                                                                                                                                                                                                                                | Post-Conversion Bedroom Type | 10 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                    |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Harold Lee Village will be moved onto a Home Forward project based voucher site-based waiting list for Harold Lee Village. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Harold Lee Village. |                              |                    |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                                         |                              |                    |

### Development #19

|                             |                        |
|-----------------------------|------------------------|
| <b>Development Name</b>     | <b>Floresta</b>        |
| <b>PIC Development ID #</b> | <b>OR002000153</b>     |
| Conversion Type             | Project-Based Vouchers |

|                             |                                                                                                                                                                                                                                                                                                                                                                                     |                              |                                                                         |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------------------------------------------------|
| Total Current Units         | 20                                                                                                                                                                                                                                                                                                                                                                                  | Total Post-RAD Units         | 20                                                                      |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                              | Post-RAD Unit Type           | Family                                                                  |
| Capital Fund Allocation     | \$23,473.00                                                                                                                                                                                                                                                                                                                                                                         |                              |                                                                         |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                |                              |                                                                         |
| Pre-Conversion Bedroom Type | 7 2-bedroom units<br>2 2-bedroom accessible units<br>11 3-bedroom units                                                                                                                                                                                                                                                                                                             | Post-Conversion Bedroom Type | 7 2-bedroom units<br>2 2-bedroom accessible units<br>11 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                |                              |                                                                         |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Floresta will be moved onto a Home Forward project based voucher site-based waiting list for Floresta. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Floresta. |                              |                                                                         |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                           |                              |                                                                         |

## Development #20

|                             |                                                                                                                                                                                                                                                                                                                                                                                                    |                              |                                          |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------------|
| <b>Development Name</b>     | <b>Maple Mallory</b>                                                                                                                                                                                                                                                                                                                                                                               |                              |                                          |
| <b>PIC Development ID #</b> | <b>OR002000203</b>                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                          |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                             |                              |                                          |
| Total Current Units         | 48                                                                                                                                                                                                                                                                                                                                                                                                 | Total Post-RAD Units         | 48                                       |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                             | Post-RAD Unit Type           | Family                                   |
| Capital Fund Allocation     | \$74,808.00                                                                                                                                                                                                                                                                                                                                                                                        |                              |                                          |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                               |                              |                                          |
| Pre-Conversion Bedroom Type | 24 1-bedroom units<br>24 2-bedroom units                                                                                                                                                                                                                                                                                                                                                           | Post-Conversion Bedroom Type | 24 1-bedroom units<br>24 2-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                               |                              |                                          |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Maple Mallory will be moved onto a Home Forward project based voucher site-based waiting list for Maple Mallory. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Maple Mallory. |                              |                                          |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                          |                              |                                          |

## Development #21

**Development Name** Bel Park**PIC Development ID #** OR002000232

Conversion Type Project-Based Vouchers

Total Current Units 10

Total Post-RAD Units 10

Pre-RAD Unit Type Family

Post-RAD Unit Type Family

Capital Fund Allocation \$14,643.00

Transfer of Assistance None

Pre-Conversion Bedroom Type 2 studio units  
8 1-bedroom unitsPost-Conversion Bedroom Type 2 studio units  
8 1-bedroom units

De Minimus Reduction None

Transfer of Waiting List Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Bel Park will be moved onto a Home Forward project based voucher site-based waiting list for Bel Park. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Bel Park.

Other Information CHAP received August 2016

**Development #22****Development Name** Winchell Court**PIC Development ID #** OR002000236

Conversion Type Project-Based Vouchers

Total Current Units 10

Total Post-RAD Units 10

Pre-RAD Unit Type Family

Post-RAD Unit Type Family

Capital Fund Allocation \$15,830.00

Transfer of Assistance None

Pre-Conversion Bedroom Type 8 1-bedroom units  
2 2-bedroom unitsPost-Conversion Bedroom Type 8 1-bedroom units  
2 2-bedroom units

De Minimus Reduction None

Transfer of Waiting List Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Winchell Court will be moved onto a Home Forward project based voucher site-based waiting list for Winchell Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Winchell Court.

Other Information CHAP received August 2016



## Development #23

|                             |                                                                                                                                                                                                                                                                                                                                                                                                    |                              |                    |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|--------------------|
| <b>Development Name</b>     | <b>Camelia Court</b>                                                                                                                                                                                                                                                                                                                                                                               |                              |                    |
| <b>PIC Development ID #</b> | <b>OR002000332</b>                                                                                                                                                                                                                                                                                                                                                                                 |                              |                    |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                             |                              |                    |
| Total Current Units         | 14                                                                                                                                                                                                                                                                                                                                                                                                 | Total Post-RAD Units         | 14                 |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                             | Post-RAD Unit Type           | Family             |
| Capital Fund Allocation     | \$21,045.00                                                                                                                                                                                                                                                                                                                                                                                        |                              |                    |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                               |                              |                    |
| Pre-Conversion Bedroom Type | 14 1-bedroom units                                                                                                                                                                                                                                                                                                                                                                                 | Post-Conversion Bedroom Type | 14 1-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                               |                              |                    |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Camelia Court will be moved onto a Home Forward project based voucher site-based waiting list for Camelia Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Camelia Court. |                              |                    |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                          |                              |                    |

## Development #24

|                             |                                                                                                                                                                                                                                                                                                                                                                                        |                              |                                        |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|
| <b>Development Name</b>     | <b>Cora Park</b>                                                                                                                                                                                                                                                                                                                                                                       |                              |                                        |
| <b>PIC Development ID #</b> | <b>OR002000336</b>                                                                                                                                                                                                                                                                                                                                                                     |                              |                                        |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| Total Current Units         | 10                                                                                                                                                                                                                                                                                                                                                                                     | Total Post-RAD Units         | 10                                     |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                 | Post-RAD Unit Type           | Family                                 |
| Capital Fund Allocation     | \$18,166.00                                                                                                                                                                                                                                                                                                                                                                            |                              |                                        |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                        |
| Pre-Conversion Bedroom Type | 6 2-bedroom units<br>4 3-bedroom units                                                                                                                                                                                                                                                                                                                                                 | Post-Conversion Bedroom Type | 6 2-bedroom units<br>4 3-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                   |                              |                                        |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Cora Park will be moved onto a Home Forward project based voucher site-based waiting list for Cora Park. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Cora Park. |                              |                                        |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                              |                              |                                        |

## Development #25

|                             |                                                                                                                                                                                                                                                                                                                                                                                                       |                              |                    |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|--------------------|
| <b>Development Name</b>     | <b>Chateau Apartments</b>                                                                                                                                                                                                                                                                                                                                                                             |                              |                    |
| <b>PIC Development ID #</b> | <b>OR002000436</b>                                                                                                                                                                                                                                                                                                                                                                                    |                              |                    |
| Conversion Type             | Project-Based Vouchers                                                                                                                                                                                                                                                                                                                                                                                |                              |                    |
| Total Current Units         | 10                                                                                                                                                                                                                                                                                                                                                                                                    | Total Post-RAD Units         | 10                 |
| Pre-RAD Unit Type           | Family                                                                                                                                                                                                                                                                                                                                                                                                | Post-RAD Unit Type           | Family             |
| Capital Fund Allocation     | \$17,107.00                                                                                                                                                                                                                                                                                                                                                                                           |                              |                    |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                    |
| Pre-Conversion Bedroom Type | 10 2-bedroom units                                                                                                                                                                                                                                                                                                                                                                                    | Post-Conversion Bedroom Type | 10 2-bedroom units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                  |                              |                    |
| Transfer of Waiting List    | Upon conversion to RAD, applicants on Home Forward's public housing site-based waiting list for Winchell Court will be moved onto a Home Forward project based voucher site-based waiting list for Winchell Court. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Winchell Court. |                              |                    |
| Other Information           | CHAP received August 2016                                                                                                                                                                                                                                                                                                                                                                             |                              |                    |

## Development #26

|                             |                                                                                                                                                                                                                                                                                                                                                    |                              |                                 |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|---------------------------------|
| <b>Development Name</b>     | <b>Dahlke Manor</b>                                                                                                                                                                                                                                                                                                                                |                              |                                 |
| <b>PIC Development ID #</b> | <b>OR002000114</b>                                                                                                                                                                                                                                                                                                                                 |                              |                                 |
| Conversion Type             | Section 18 Project-Based Vouchers or RAD Project-Based Vouchers                                                                                                                                                                                                                                                                                    |                              |                                 |
| Total Current Units         | 115                                                                                                                                                                                                                                                                                                                                                | Total Post-RAD Units         | 115                             |
| Pre-RAD Unit Type           | Senior/People with Disabilities                                                                                                                                                                                                                                                                                                                    | Post-RAD Unit Type           | Senior/People with Disabilities |
| Capital Fund Allocation     | \$168,059.00                                                                                                                                                                                                                                                                                                                                       |                              |                                 |
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                               |                              |                                 |
| Pre-Conversion Bedroom Type | 115 1-bedroom units                                                                                                                                                                                                                                                                                                                                | Post-Conversion Bedroom Type | 115 1-bedroom units             |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                               |                              |                                 |
| Transfer of Waiting List    | If converted to RAD, applicants on Home Forward's public housing site-based waiting list for Dahlke Manor will be moved onto a Home Forward project based voucher site-based waiting list for Dahlke Manor. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV |                              |                                 |

site- based waiting list for Dahlke Manor. If approved for Section 18 Home Forward will not pursue RAD conversion for Dahlke Manor.

Other Information Home Forward applied for Section 18 for Dahlke Manor prior to RAD and is anticipating a decision to be made by HUD. If approved for Section 18 Home Forward will not pursue RAD conversion for Dahlke Manor. CHAP awarded in October 2016.

## Development #27

**Development Name** Holgate House

**PIC Development ID #** OR002000115

Conversion Type Section 18 Project-Based Vouchers or RAD Project-Based Vouchers

Total Current Units 80 Total Post-RAD Units 80

Pre-RAD Unit Type Senior/People with Disabilities Post-RAD Unit Type Senior/People with Disabilities

Capital Fund Allocation \$117,008.00

Transfer of Assistance None

Pre-Conversion Bedroom Type 80 1-bedroom units Post-Conversion Bedroom Type 80 1-bedroom units

De Minimus Reduction None

Transfer of Waiting List If converted to RAD, applicants on Home Forward's public housing site-based waiting list for Holgate House will be moved onto a Home Forward project based voucher site-based waiting list for Holgate House. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site- based waiting list for Holgate House. If approved for Section 18 Home Forward will not pursue RAD conversion for Holgate House.

Other Information Home Forward applied for Section 18 for Holgate House prior to RAD and is anticipating a decision to be made by HUD. If approved for Section 18 Home Forward will not pursue RAD conversion for Holgate House. CHAP awarded in October 2016.

## Development #28

**Development Name** Williams Plaza

**PIC Development ID #** OR002000118

Conversion Type Section 18 Project-Based Vouchers or RAD Project-Based Vouchers

Total Current Units 101 Total Post-RAD Units 101

Pre-RAD Unit Type Senior/People with Disabilities Post-RAD Unit Type Senior/People with Disabilities

Capital Fund Allocation \$134,561.00

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                              |                                                                       |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------------------------------------------------|
| Transfer of Assistance      | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                                                       |
| Pre-Conversion Bedroom Type | 67 studio units<br>29 1-bedroom units<br>5 1-bedroom accessible units                                                                                                                                                                                                                                                                                                                                                                                                                         | Post-Conversion Bedroom Type | 67 studio units<br>29 1-bedroom units<br>5 1-bedroom accessible units |
| De Minimus Reduction        | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                                                       |
| Transfer of Waiting List    | If converted to RAD, applicants on Home Forward's public housing site-based waiting list for Williams Plaza will be moved onto a Home Forward project based voucher site-based waiting list for Williams Plaza. The applicants will retain their original date and time of application, and will be subject to the preferences available under the PBV site-based waiting list for Williams Plaza. If approved for Section 18 Home Forward will not pursue RAD conversion for Williams Plaza. |                              |                                                                       |
| Other Information           | Home Forward applied for Section 18 for Williams Plaza prior to RAD and is anticipating a decision to be made by HUD. If approved for Section 18 Home Forward will not pursue RAD conversion for Williams Plaza. CHAP awarded in October 2016.                                                                                                                                                                                                                                                |                              |                                                                       |

**Changes in policies that govern eligibility, admission, selection, and occupancy of units at the project after conversion, including any waiting list preferences that will be adopted for the converted project:**

Home Forward presented to the Board of Commissioners requested changes to the Section 8 Administrative Plan to accommodate the RAD transition. This request was approved by the Board of Commissioners on April 19, 2016. The changes include adopting guidelines for choice mobility. At the time of this writing, Home Forward is continuing to review RAD requirements and may submit additional changes to the Section 8 Administrative Plan and the Admissions and Continued Occupancy Policy (ACOP) for public housing. All policy changes adhere to RAD requirements listed below under Appendix A, that informs resident rights, participation, waiting list and grievance procedures.

**Compliance agreements:** Home Forward is currently compliant with all fair housing and civil rights requirements and is not under a Voluntary Compliance Agreement.

**Site selection:** This conversion complies with all applicable site selection and neighborhood reviews standards. All appropriate procedures have been followed.

**Substantial Deviation Definition:** As part of the Rental Assistance Demonstration (RAD), Home Forward is redefining the definition of a substantial deviation from the PHA Plan to exclude the following RAD specific items:

1. The decision to convert to either Project Based Rental Assistance or Project Based Voucher Assistance;
2. Changes to the Capital Fund Budget produced as a result of each approved RAD conversion, regardless of whether the proposed conversion will include use of additional Capital Funds;
3. Changes to the construction and rehabilitation plan for each approved RAD conversion; and
4. Changes to the financing structure for each approved RAD conversion.

**Information regarding use of MTW Fungibility as defined in PIH Notice 2012-32, REV-2: Impact on Capital Fund:**

1. **Estimate the amount of the current Capital Fund grant that is associated with the proposed projects and the impact on the PHA's current Five-Year PHA Plan and Five-Year Capital Action Plan:** The current impact associated with the six CHAPS in this application is \$160,000. \$20,000 has

been set aside for each CHAP and another \$40,000 set aside for Sequoia Square and Schiller Way, the two properties that will receive units for transfer of assistance (upon approval from HUD) from Rockwood Station and Fairview Oaks & Woods.

**2. If the RAD conversion will impact an existing CFFP or EPC, or if it proposes to utilize RHF funds to facilitate conversion, the PHA should also indicate the estimated impact of those activities:**

Home Forward has submitted 31 additional RAD applications that total 1,008 public housing units. These additional applications are on the RAD waitlist and if approved, will impact our formula Capital Fund Grant allocation by approximately 56% of our current public housing unit count. We will not utilize RHF funds to facilitate conversion.

**Special Provisions Affecting MTW Agencies:** MTW agencies will be able to apply activities impacting the PBV program that are approved in its MTW Plan to those properties as long as they do not conflict with RAD requirements. RAD requirements include statutory requirements or specifically identified special provisions affecting conversions to PBVs, or other conditions and requirements, as detailed in PIH Notice 2012-32 REV-1, including, but not limited to, RAD contract forms or Riders. With respect to any existing PBV regulations that are waived or modified below in Appendix B, except where explicitly noted below in Appendix B, MTW agencies may modify these or other requirements to the PBV program if the activity is approved in its MTW Plan. All other RAD Requirements listed below in Appendix A or elsewhere in PIH Notice 2012-32 REV-1 shall apply to MTW agencies.