

TITLE: LIGHTING — AUXILIARY
VERSION: V2.1
DATE PUBLISHED: 4/2/21

DEFINITION: Lighting that is essential to safety in the event of primary power supply failure.
PURPOSE: Assist people egress a building in the event of an emergency.
NAME VARIANTS: Emergency lighting; Backup lighting
COMMON MATERIALS: Brass; Vinyl; Plastic; Metal; Copper; Aluminum; Glass
COMMON COMPONENTS: Rechargeable battery backup; Light bulb; Circuits; Lamps; Test button; Housing assembly
LOCATION: Unit None
 Inside Throughout the Inside.
 Outside Throughout the Outside.
MORE INFORMATION: Exit signs, exposed wiring, and cut hazards are related standards

DEFICIENCY 1: Auxiliary lighting fails to illuminate when tested.
LOCATION: Inside Outside

DEFICIENCY 2: Auxiliary lighting component is damaged or missing.
LOCATION: Inside Outside

DEFICIENCY I — INSIDE: AUXILIARY LIGHTING FAILS TO ILLUMINATE WHEN TESTED.

DEFICIENCY CRITERIA: After pressing the test button, the auxiliary light does not illuminate.

HEALTH AND SAFETY DETERMINATION: Severe Non-Life-Threatening The Severe Non-Life-Threatening category includes deficiencies that, if evident in the home or on the property, present a high risk of permanent disability, or serious injury or illness, to a resident; or the physical security or safety of a resident or their property would be seriously compromised.

CORRECTION TIMEFRAME: 24 hours
HCV PASS / FAIL: Fail
HCV CORRECTION TIMEFRAME: 30 days

RATIONALE:

CODE	CATEGORY	TYPE	DESCRIPTION	EXPLANATION
R2	Safety	Indirect	Resident could be injured because of this condition.	If after pressing the test button, the auxiliary light does not illuminate, and there is an emergency, then the resident may be unable to safely exit the building due to inability to see the egress.
R6	Usability and Operability of Fixtures	Direct	Because of this condition, the resident is unable to use certain fixtures, features, or appliances, which are reasonably assumed to be part of their rent.	If after pressing the test button the auxiliary light does not illuminate, then the resident may be unable to use a feature that is expected to be provided and maintained as part of their rent.
M2	Routine Maintenance	Direct	It is reasonable to expect that this deficiency would be identified through routine daily observations and facilities management would prioritize work orders to fix this deficiency.	Property management would be expected to ensure that staff members understand how to identify an auxiliary light that does not illuminate after pressing the test button. Management practices would be expected to ensure prompt creation and prioritization of a work order to remedy this deficiency because it may result in safety hazards or usability barriers.

INSPECTION PROCESS:

- OBSERVATION:** - Look to ensure that the device responds to the test and illuminates.
- REQUEST FOR HELP:** - Ask the POA for the location of the backup battery, if applicable.
- ACTION:**
- Engage the test button.
 - Verify the emergency light illuminates for the entire time the test button is engaged.
 - Verify all light sources illuminate during the test.
- More Information:**
- Some backup batteries may be located remotely.
 - Combination auxiliary light and exit sign devices must be recorded as two individual deficiencies, each within its respective inspectable item.

TOOLS OR EQUIPMENT:

- REQUIRED: - None
- USEFUL: - Small pole or rod to reach test button on auxiliary light
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DEFICIENCY I — OUTSIDE: AUXILIARY LIGHTING FAILS TO ILLUMINATE WHEN TESTED.

DEFICIENCY CRITERIA: After pressing the test button, the auxiliary light does not illuminate.

HEALTH AND SAFETY DETERMINATION: Severe Non-Life-Threatening The Severe Non-Life-Threatening category includes deficiencies that, if evident in the home or on the property, present a high risk of permanent disability, or serious injury or illness, to a resident; or the physical security or safety of a resident or their property would be seriously compromised.

CORRECTION TIMEFRAME: 24 hours
HCV PASS / FAIL: Fail
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R6	Usability and Operability of Fixtures	Direct	Because of this condition, the resident is unable to use certain fixtures, features, or appliances, which are reasonably assumed to be part of their rent.	If after pressing the test button the auxiliary light does not illuminate, then the resident may be unable to use a feature that is expected to be provided and maintained as part of their rent.
M2	Routine Maintenance	Direct	It is reasonable to expect that this deficiency would be identified through routine daily observations and facilities management would prioritize work orders to fix this deficiency.	Property management would be expected to ensure that staff members understand how to identify an auxiliary light that does not illuminate after pressing the test button. Management practices would be expected to ensure prompt creation and prioritization of a work order to remedy this deficiency because it may result in safety hazards or usability barriers.

INSPECTION PROCESS:

- OBSERVATION:** - Look to ensure that the device responds to the test and illuminates.
- REQUEST FOR HELP:** - Ask the POA for the location of the backup battery, if applicable.
- ACTION:**
- Engage the test button.
 - Verify the emergency light illuminates for the entire time the test button is engaged.
 - Verify all light sources illuminate during the test.
- More Information:**
- Some backup batteries may be located remotely.
 - Combination auxiliary light and exit sign devices must be recorded as two individual deficiencies, each within its respective inspectable item.

TOOLS OR EQUIPMENT:

REQUIRED: - None

USEFUL: - Small pole or rod to reach test button on auxiliary light

DEFICIENCY 2 — INSIDE: AUXILIARY LIGHTING COMPONENT IS DAMAGED OR MISSING.

DEFICIENCY CRITERIA: Auxiliary lighting component is damaged (i.e., visibly defective).
 OR
 Auxiliary lighting component is missing (i.e., evidence of prior installation, but now not present or is incomplete).

HEALTH AND SAFETY DETERMINATION: N/A Deficiencies critical to habitability but not presenting a substantive health or safety risk to resident.

CORRECTION TIMEFRAME: N/A

HCV PASS / FAIL: N/A

HCV CORRECTION TIMEFRAME: N/A

RATIONALE:

CODE	CATEGORY	TYPE	DESCRIPTION	EXPLANATION
M2	Routine Maintenance	Direct	It is reasonable to expect that this deficiency would be identified through routine daily observations and facilities management would prioritize work orders to fix this deficiency.	Property management would be expected to ensure that staff members understand how to identify an auxiliary lighting component that is damaged or missing. Management practices would be expected to ensure prompt creation and prioritization of a work order to remedy this deficiency.

INSPECTION PROCESS:

- OBSERVATION:** Look at the auxiliary light to:
- Identify if the glass or placard is damaged or missing;
 - Determine if the mounting bracket is damaged;
 - Determine if it is hanging loose from its mount (i.e., not securely affixed); and
 - Identify any evidence indicating a component was installed but is missing at the time of inspection.
- REQUEST FOR HELP:** - None
- ACTION:** - None
- More Information:** - If the entire auxiliary lighting fixture is missing, then it should be evaluated under Deficiency 1.
 - If the auxiliary lighting fixture does not illuminate, then it should be evaluated under Deficiency 1.
 - Combination auxiliary lighting and exit sign devices must be recorded as two individual deficiencies, each under its respective standard.

TOOLS OR EQUIPMENT:

- REQUIRED:** - None
- USEFUL:** - None

DEFICIENCY 2 — OUTSIDE: AUXILIARY LIGHTING COMPONENT IS DAMAGED OR MISSING.

DEFICIENCY CRITERIA: Auxiliary lighting component is damaged (i.e., visibly defective).
 OR
 Auxiliary lighting component is missing (i.e., evidence of prior installation, but now not present or is incomplete).

HEALTH AND SAFETY DETERMINATION: N/A Deficiencies critical to habitability but not presenting a substantive health or safety risk to resident.

CORRECTION TIMEFRAME: N/A

HCV PASS / FAIL: N/A

HCV CORRECTION TIMEFRAME: N/A

RATIONALE:

CODE	CATEGORY	TYPE	DESCRIPTION	EXPLANATION
M2	Routine Maintenance	Direct	It is reasonable to expect that this deficiency would be identified through routine daily observations and facilities management would prioritize work orders to fix this deficiency.	Property management would be expected to ensure that staff members understand how to identify an auxiliary lighting component that is damaged or missing. Management practices would be expected to ensure prompt creation and prioritization of a work order to remedy this deficiency.

INSPECTION PROCESS:

- OBSERVATION:** Look at the auxiliary light to:
- Identify if the glass or placard is damaged or missing;
 - Determine if the mounting bracket is damaged;
 - Determine if it is hanging loose from its mount (i.e., not securely affixed); and
 - Identify any evidence indicating a component was installed but is missing at the time of inspection.
- REQUEST FOR HELP:** - None
- ACTION:** - None
- More Information:** - If the entire auxiliary lighting fixture is missing, then it should be evaluated under Deficiency 1.
 - If the auxiliary lighting fixture does not illuminate, then it should be evaluated under Deficiency 1.
 - Combination auxiliary lighting and exit sign devices must be recorded as two individual deficiencies, each under its respective standard.

TOOLS OR EQUIPMENT:

- REQUIRED:** - None
- USEFUL:** - None

SUMMARY OF CHANGES

TITLE: LIGHTING — AUXILIARY
VERSION: V2.1
DATE PUBLISHED: 4/2/21

FIELD	CHANGE	VERSION	DATE
Location	Revised inspectable locations	V2.1	2021-04-02
More Information	Added field	V2.1	2021-04-02
Deficiency 1		V2.1	2021-04-02
Title	Added inspectable location		
Health and Safety Determination	Inside & Outside: Revised determination and description		
Correction Timeframe	Inside & Outside: Revised correction time frame		
Rationale	Inside and Outside: Revised rationale categories, types, and explanations; added standardized codes and descriptions		
Inspection Process	Inside and Outside: Revised Action		
Deficiency 2		V2.1	2021-04-02
Title	Revised title; added inspectable location		
Deficiency Criteria	Inside & Outside: Revised deficiency criteria		
Health and Safety Determination	Inside & Outside: Revised determination and description		
Correction Timeframe	Inside & Outside: Revised correction time frame		
HCV Pass / Fail	Inside & Outside: Revised input to "Pass"		
Rationale	Inside and Outside: Revised rationale categories, types, and explanations; added standardized codes and descriptions		
Inspection Process	Inside and Outside: Revised Observation, Request for Help, Action, and More Information		
Deficiency 1		V2.0	2020-10-28
Health and Safety Determination	Added standardized description		
HCV Pass / Fail	Field added; response input as "Fail"		

Deficiency 2		V2.0	2020-10-28
Health and Safety Determination	Added standardized description		
HCV Pass / Fail	Field added; response input as "Fail"		
Overall Formatting	Complete rework of document format and layout	VI.3	2020-07-31
Definition	Revised definition	VI.3	2020-07-31
Purpose	Field added	VI.3	2020-07-31
More Information	Field added	VI.3	2020-07-31
Deficiency 1	Separated by inspectable locations — Inside	VI.3	2020-07-31
Title	Added inspectable locations		
Health and Safety Determination	Added standardized description		
Correction Timeframe	Field added; response input as "Within 30 days"		
HCV — Correction Timeframe	Field added; response input as "Within 30 days"		
Rationale	Revised rationale categories, types, and explanations; added standardized codes and descriptions		
Inspection Process	Revised observation, request for help, action, and more information		
Tools or Equipment	Field added to deficiency		
Deficiency 2	Separated by inspectable locations — Inside	VI.3	2020-07-31
Title	Revised title; added inspectable locations		
Deficiency Criteria	Revised deficiency criteria		
Health and Safety Determination	Added standardized description		
Correction Timeframe	Field added; response input as "Within 30 days"		
HCV — Correction Timeframe	Field added; response input as "Within 30 days"		
Rationale	Revised rationale categories, types, and explanations; added standardized codes and descriptions		
Inspection Process	Revised observation, request for help, action, and more information		
Tools or Equipment	Field added to deficiency; response revised		
Rationales	Updated following in-house review and public comment	VI-2	2019-12-26
Health and Safety Determinations	Updated following in-house review and public comment	VI-2	2019-12-26

Definition	Updated	VI-I	2019-11-26
Name Variants	Updated	VI-I	2019-11-26
Most Common Materials	Updated	VI-I	2019-11-26
Most Common Components	Updated	VI-I	2019-11-26
Abilities or Knowledge Needed	Updated	VI-I	2019-11-26
Common Locations	Updated	VI-I	2019-11-26
How to Locate	Updated	VI-I	2019-11-26
Deficiency 1	Updated	VI-I	2019-11-26
Title	Updated		
Rationale	Updated		
How to Inspect	Updated		
Inspection Process and Procedure	Updated		
Record Deficiency	Updated		
Deficiency 2	Added	VI-I	2019-11-26