



Lawrence-Douglas County Housing Authority 2022 MTW Annual Plan

Submitted August 25, 2021





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LDCHA's MISSION

To preserve and expand affordable housing, and provide opportunities for participants to thrive through services and partnerships.

Section I: Introduction

This 2022 MTW Plan provides the strategies for continuing the remarkable success of the MTW Demonstration, and also sets aggressive goals to facilitate the increased self-sufficiency of participants and significantly expansion of affordable housing choices in Lawrence, Kansas.

The LDCHA was created in 2001 through the merger of the Lawrence Housing Authority (KS053) and the Douglas County Housing Authority (KS160). The predecessor, Lawrence Housing Authority, was created in 1968 under the Kansas Municipal Housing Act as an independent agency of the City of Lawrence charged with developing, operating and managing low rent housing for the low income population of Lawrence, Kansas. This action was taken as a result of an in-depth study of housing disparities for low income and minority populations. The LDCHA continues to be involved in the effort to address housing disparities through its leadership in the Community Health Plan as the convener of the Safe & Affordable Housing Working Group, and its work to address homelessness through its transitional housing programs.

The LDCHA is governed by a five-member board of commissioners, two appointed by the Douglas County Commission and three by the Mayor of the City of Lawrence. One member must be a LDCHA program participant and is an appointee of the City. The LDCHA is operated by 40 dedicated staff members who administer a combined budget in excess of 10 million.

Innovation Through Moving to Work

The LDCHA was selected by HUD as one of the original housing authorities to participate in the Moving to Work Demonstration program in 1998. The agency began implementation of the program on June 1, 1999, by adopting the following program initiatives to meet the Congressional MTW Objectives:

1. Abolish the separate public housing and Section 8 program (HCV) administrative structure and create a new program of housing assistance called General Housing.
2. Change or eliminate four basic federal rules under the 1937 Housing Act that contradict customary social and economic norms and create administrative expense. The changes include:

- The institution of suitability criteria as a part of eligibility criteria.
 - Modifying the definition of countable income and adjusted income.
 - The establishment of the concept of annual rent and abolishing interim re-examinations.
 - Comprehensive changes in the rent structure.
3. Establish a rent structure that provides affordability while it:
 - Values the unit.
 - Creates incentives to work.
 - Establishes a work requirement for all work able adults.
 - Establishes meaningful minimum and maximum rents.
 - Increases PHA income by reducing federal subsidy or increasing housing assistance without additional subsidy.
 4. Increase Housing Choice:
 - For all Section 8 participants increase housing choice by permitting full discretion as to location, size and cost without regard to local Fair Market Rents.
 5. Increase usage of existing federal funds:
 - Increase public housing rental income by \$150,000 per year.
 - Free \$500,000 per year of Section 8 subsidy.
 - Use these amounts to serve an additional 100 low income households without additional federal subsidy.
 6. Expand the Family Self Sufficiency program by 100% for MTW public housing and Section 8 households.
 7. Provide homeownership opportunities by awarding a \$3000 down payment match.

The above initiatives created a locally driven housing program, and all of these initiatives continue to be the foundation of LDCHA's MTW program.

In 2008 the agency signed a new 10 year standardized agreement extending the program to 2018. The new agreement provided expanded authorities for the agency, most significantly the establishment of LDCHA's MTW single fund budget with full flexibility that permits LDCHA through adoption of Activity 09-1 to combine its public housing operating subsidies, Capital Funds grants, and Section 8 HCV assistance into a single source to carry out its approved MTW activities. In May of 2016 the agency accepted HUD's offer of a 10-year extension of its existing MTW Restated Agreement, extending the MTW program according to its current terms through 2028.

The regulatory flexibility granted through the MTW Restated Agreement allows LDCHA to develop and implement innovative, market-based solutions to pursue and fulfill the three MTW statutory objectives:

- Reduce cost and achieve greater cost effectiveness in Federal expenditures;
- Give incentives to families with children whose heads of households are either working, seeking work, or participating in job training, educational or other programs that assist in obtaining employment and becoming economically self-sufficient; and
- Increase housing choices for low-income families.

LDCHA elected to participate in the MTW demonstration to make system-wide progressive changes to its housing programs that allow the agency to provide the educational, vocational, and social supports that low-income households need to increase their self-sufficiency.

Due to efficiencies allowed by MTW, the LDCHA has significantly increased its affordable housing since joining the program at its inception, while actually decreasing the number of staff.

LDCHA is currently one of the smallest agencies in the MTW demonstration. The number of households served may seem few comparably, however the impact in a community the size of Lawrence is significant. Just a few examples include:

- 836, or 66% of LDCHA-assisted households, are at or below 30% of AMI and an additional 340, or 27%, are at or below 50% of AMI.
- 203 previously homeless households were transitionally housed from 2008 to 2020, with 83% of those moving to permanent housing assistance.
- 73 additional units of affordable housing were built or acquired by LDCHA since 2006.
- 20 special use MTW vouchers have been created since 2010 to help meet the needs of special populations in our community.
- 102 households became homeowners with a \$3,000 down payment match between 2002-2020.

Furthermore, being an MTW agency has allowed LDCHA to fully participate in local discussions on affordable housing and homelessness issues, and to respond by partnering with community agencies and government to provide expanded solutions. The MTW program has been more successful than initially envisioned and LDCHA remains committed to the innovative changes and opportunities this program has made available for participants.

LDCHA Short-Term MTW Goals

- Maintain or expand core MTW initiatives that support employment, maintaining housing and increasing participant self-sufficiency.
- Execute sound management, maintenance, and preservation of the public housing stock according to the highest standards and provide responsive assistance to those we serve.
- Continue to foster the various community partnerships required to enhance participant opportunities and expand support services such as social services, education, transportation, mental health, and health care programs.

LDCHA Long-Term MTW Goals

- Continue to implement business and fiscal policies that result in long term financial viability and solvency.
- Pursue ways to reduce administrative burden, and costs.
- Continue to develop and institute policies and programs that create incentives for families to work, to increase household income, and to increase self-sufficiency. In so doing, the agency will continue to promote home ownership and create additional housing opportunities for participants.
- The LDCHA is committed to expanding the stock of affordable housing through the acquisition, new construction, reconstruction, moderate or substantial rehabilitation of housing as deemed appropriate by the agency in accordance with its mission. This could include assisted living or other types of housing, possibly in conjunction with commercial facilities or other mixed development consistent with the objectives of the demonstration. LDCHA plans to meet this goal through leveraging its MTW funds to create innovative financing and development strategies through joint ventures or other partnerships.

Non-MTW Related Housing Authority Programs and Partnerships

The LDCHA operates several additional housing programs besides the public housing and Section 8 HCV programs, and has developed several other partnerships that support LDCHA's MTW program.

Clinton Place

The largest is a **58-unit** project based Section 8 multi-family development for the elderly which the agency purchased in late 2006 and significantly renovated in 2007 with MTW funds.

Peterson Acres II

The agency owns an **8-unit** senior development that is fully handicapped accessible. This development is unsubsidized and operates with a below market sliding scale rate rent structure based on household income.

Lawrence Expanded Housing

Seven units of LDCHA owned property that is unsubsidized and operates with below market sliding scale rent structure based on income.

HOME – State – Transitional Housing

LDCHA administers a grant that funds an estimated **18 - 20 vouchers** of Tenant-based Rental Assistance (TBRA) program funded by the State of Kansas with HOME funds. The grantee for this program is the Bert Nash Community Mental Health Center. The LDCHA administers the program on behalf of the Bert Nash Center. Admission is restricted to Bert Nash clients.

HOME – City – Transitional Housing

The LDCHA administers a Transitional Housing program that issues an estimated **15 - 20 vouchers** of TBRA and is grant funded annually by the City of Lawrence's HOME allocation. This program is restricted to homeless families and individuals who do not otherwise qualify for public housing or Section 8 assistance.

In both the Bert Nash program and the City HOME program, participants must enter into a support service agreement. Participants have up to two years to meet the qualifications for public housing or Section 8 assistance. At the end of the two year period they are transferred to Section 8 assistance if they meet the eligibility qualifications. After their completion of the Transitional Housing program, many participants are then prepared to enter LDCHA's MTW program.

New Horizons – Transitional Housing

LDCHA administers a grant from the City of Lawrence and Douglas County to house homeless families from the Lawrence Community Shelter. The program operates with the same requirements as the Transitional Housing Program. This program was developed to address the needs of homeless families with children and supplement the LDCHA Transitional Housing program which has received significant reductions in HOME funds. It currently serves **7 households**.

HOPE Building

The LDCHA also operates **6 units** under the Continuum of Care Permanent Supportive Housing program for chronically homeless individuals who are dual diagnosed with mental health and substance abuse problems. The LDCHA uses MTW funds to provide the required match for this program.

Building Independence III

Building Independence III is a Section 811 PRAC **4-unit** property located in Baldwin City, Kansas. The property is owned by the Bert Nash Community Mental Health Center and operates under an annual housing assistance payment contract with HUD. The LDCHA is the owner's management agent responsible for all aspects of administration, management, operations and maintenance of the property.

Partnership with Douglas County Child Development Association (DCCDA)

The LDCHA leases Units 159 and 160 at Edgewood Homes without a fee to Positive Bright Start to operate an early childhood education program. The lease requires that at least **16 children** of LDCHA residents be enrolled per year in a Positive Bright Start program. This space is also used for special educational services for these students and for providing evening childcare services for LDCHA parent/guardian MTW training opportunities. This program supports LDCHA's MTW program by providing convenient and affordable childcare for working parents.

HUD-VASH Vouchers

The HUD-Veterans Affairs Supportive Housing Program (HUD-VASH) combines Housing Choice Voucher rental assistance for homeless veterans with case management and clinical services provided by the U.S. Department of Veterans Affairs (VA). LDCHA was given the opportunity to join in this effort in 2013 and to expand its participation in 2014 and 2015. The LDCHA readily accepted this opportunity to serve our veterans, and for 2021 will administer a total of **45 VASH vouchers**.

Mainstream Vouchers – Non-Elderly Disabled (NED)

Voucher rental assistance to households that include a non-elderly person with disabilities, aimed primarily to assist persons with disabilities who are transitioning out of institutional or other segregated settings, at serious risk of institutionalization, homeless, or at risk of becoming homeless. Along with housing, a large component in serving these populations is partnerships with health and human service agencies and other community-based organizations serving persons with disabilities. LDCHA will administer a total of **60 Mainstream Vouchers** for 2021.



THE LDCHA VISION
To transform lives through accessible, affordable housing opportunities for all Douglas County residents.

(II) GENERAL OPERATING INFORMATION**ANNUAL MTW PLAN****A. HOUSING STOCK INFORMATION****i. Planned New Public Housing Units**

New public housing units that the MTW PHA anticipates will be added during the Plan Year.

| ASSET MANAGEMENT PROJECT (AMP) NAME AND NUMBER | BEDROOM SIZE | | | | | | TOTAL UNITS | POPULATION TYPE* | # of Uniform Federal Accessibility Standards (UFAS) Units | |
|--|--------------|---|---|---|---|----|----------------|---------------------|---|-----------|
| | 0/1 | 2 | 3 | 4 | 5 | 6+ | | | Fully Accessible | Adaptable |
| Name/Number | 0 | 0 | 0 | 0 | 0 | 0 | 0 | N/A | 0 | 0 |
| Name/Number | 0 | 0 | 0 | 0 | 0 | 0 | 0 | N/A | 0 | 0 |
| Name/Number | 0 | 0 | 0 | 0 | 0 | 0 | 0 | N/A | 0 | 0 |

Total Public Housing Units to be Added in the Plan Year

0

* Select "Population Type" from: General, Elderly, Disabled, Elderly/Disabled, Other

If "Population Type" is "Other" please describe:

N/A

ii. Planned Public Housing Units to be Removed

Public housing units that the MTW PHA anticipates will be removed during the Plan Year.

| AMP NAME AND NUMBER | NUMBER OF UNITS TO BE REMOVED | EXPLANATION FOR REMOVAL |
|---------------------|-------------------------------|-------------------------|
| Name/Number | 0 | N/A |
| Name/Number | 0 | N/A |
| Name/Number | 0 | N/A |

0

Total Public Housing Units to be Removed in the Plan Year

iii. Planned New Project Based Vouchers

Tenant-based vouchers that the MTW PHA anticipates project-basing for the first time during the Plan Year. These include only those in which at least an Agreement to enter into a Housing Assistance Payment (AHAP) will be in place by the end of the Plan Year. Indicate whether the unit is included in the Rental Assistance Demonstration (RAD).

| PROPERTY NAME | NUMBER OF VOUCHERS TO BE PROJECT-BASED | RAD? | DESCRIPTION OF PROJECT |
|------------------------------|--|------|------------------------------|
| The Cottages at Green's Lake | 8 | NO | Permanent Supportive Housing |
| Name | 0 | N/A | N/A |

8

Planned Total Vouchers to be Newly Project-Based

iv. Planned Existing Project Based Vouchers

Tenant-based vouchers that the MTW PHA is currently project-basing in the Plan Year. These include only those in which at least an AHAP is already in place at the beginning of the Plan Year. Indicate whether the unit is included in RAD.

| PROPERTY NAME | NUMBER OF PROJECT-BASED VOUCHERS | PLANNED STATUS AT END OF PLAN YEAR* | RAD? | DESCRIPTION OF PROJECT |
|---------------|----------------------------------|-------------------------------------|------|------------------------|
| N/A | N/A | N/A | N/A | N/A |
| N/A | N/A | N/A | N/A | N/A |

0

Planned Total Existing Project-Based Vouchers

* Select "Planned Status at the End of Plan Year" from: Committed, Leased/Issued

v. Planned Other Changes to MTW Housing Stock Anticipated During the Plan Year

Examples of the types of other changes can include (but are not limited to): units held off-line due to relocation or substantial rehabilitation, local, non-traditional units to be acquired/developed, etc.

| PLANNED OTHER CHANGES TO MTW HOUSING STOCK ANTICIPATED IN THE PLAN YEAR |
|---|
| N/A |

vi. General Description of All Planned Capital Expenditures During the Plan Year

Narrative general description of all planned capital expenditures of MTW funds during the Plan Year.

| GENERAL DESCRIPTION OF ALL PLANNED CAPITAL EXPENDITURES DURING THE PLAN YEAR |
|---|
| LDCHA will use its 2022 Capital Funds grant for maintenance and improvements to public housing developments. The agency anticipates ongoing major expenditure for upgrades at turnover to the interiors of all its developments to include new kitchens, baths, and HVAC units. |

B. LEASING INFORMATION

A. Planned Number of Households Served

Snapshot and unit month information on the number of households the MTW PHA plans to serve at the end of the Plan Year.

| PLANNED NUMBER OF HOUSEHOLDS SERVED THROUGH: | PLANNED NUMBER OF UNIT MONTHS OCCUPIED/LEASED* | PLANNED NUMBER OF HOUSEHOLDS TO BE SERVED** |
|--|--|---|
| MTW Public Housing Units Leased | 4,272 | 356 |
| MTW Housing Choice Vouchers (HCV) Utilized | 8844 | 737 |
| Local, Non-Traditional: Tenant-Based^ | 12 | 1 |
| Local, Non-Traditional: Property-Based^ | 948 | 79 |
| Local, Non-Traditional: Homeownership^ | 36 | 3 |

Planned Total Households Served

14,112

1,176

* "Planned Number of Unit Months Occupied/Leased" is the total number of months the MTW PHA plans to have leased/occupied in each category throughout the full Plan Year.

** "Planned Number of Households to be Served" is calculated by dividing the "Planned Number of Unit Months Occupied/Leased" by the number of months in the Plan Year.

^ In instances when a local, non-traditional program provides a certain subsidy level but does not specify a number of units/households to be served, the MTW PHA should estimate the number of households to be served.

| LOCAL, NON-TRADITIONAL CATEGORY | MTW ACTIVITY NAME/NUMBER | PLANNED NUMBER OF UNIT MONTHS OCCUPIED/LEASED* | PLANNED NUMBER OF HOUSEHOLDS TO BE SERVED* |
|---------------------------------|------------------------------|--|--|
| Property-Based | Cottages at Green's Lake/8 | 96 | 8 |
| Property-Based | Clinton Parkway Apts./58 | 684 | 57 |
| Property-Based | Peterson Acres II/8 | 96 | 8 |
| Property-Based | 1725 New Hampshire/6 | 60 | 5 |
| Homeownership | Homeowner Matching Grant/N/A | 36 | 3 |
| Tenant Based | Moving On Voucher | 12 | 1 |
| Planned Total Households Served | | 948 | 79 |

* The sum of the figures provided should match the totals provided for each local, non-traditional categories in the previous table. Figures should be given by individual activity. Multiple entries may be made for each category if applicable.

B. Discussion of Any Anticipated Issues/Possible Solutions Related to Leasing

Discussions of any anticipated issues and solutions in the MTW housing programs listed.

| HOUSING PROGRAM | DESCRIPTION OF ANTICIPATED LEASING ISSUES AND POSSIBLE SOLUTIONS |
|----------------------------|---|
| MTW Public Housing | <p>The Public Housing occupancy rate averages between 97% - 98%. There is a high turnover rate at the family developments as a result of LDCHA's strict lease enforcement of rent payment and anti-crime policies. The LDCHA conducts monthly outreach to households with non-payment of rent issues.</p> <p>The Kansas Residential Landlord Tenant Act requires all lease holders to give 30 day notice of termination of the lease. This state law notice provision creates a 30 day delay from when a tenant accepts a public housing unit to when they can take occupancy. Vacancy days created by state law are beyond the ability of the agency to control.</p> |
| MTW Housing Choice Voucher | COVID-19 caused significant changes in the rental market. It is anticipated that the time voucher holders are requiring to lease up due to unit availability will increase considerably, causing a reduction in utilization. |
| Local, Non-Traditional | N/A |

C. WAITING LIST INFORMATION

i. Waiting List Information Anticipated

Snapshot information of waiting list data as anticipated at the beginning of the Plan Year. The “Description” column should detail the structure of the waiting list and the population(s) served.

| WAITING LIST NAME | DESCRIPTION | NUMBER OF HOUSEHOLDS ON WAITING LIST | WAITING LIST OPEN, PARTIALLY OPEN OR CLOSED | PLANS TO OPEN THE WAITING LIST DURING THE PLAN YEAR |
|--|--------------------------------|--------------------------------------|---|---|
| General Housing Federal MTW Public Housing – Section 8 HCV | Community-Wide Combined/Merged | 384 | Open | N/A |
| Babcock Place / Peterson Acres I Federal MTW Public Housing Units | Site Based | 75 | Open | N/A |
| Clinton Parkway Project Based Local Non-traditional MTW | Site Based | 20 | Open | N/A |
| Peterson Acres II Project Based Local Non-Traditional MTW | Site Based | 46 | Open | N/A |
| Next Step Federal MTW Housing Choice Voucher Program | Program Specific | 2 | Open | N/A |
| Safe Housing Federal MTW Housing Choice Voucher Program | Program Specific | 19 | Open | N/A |
| Douglas County Re-Entry Program Federal MTW Housing Choice Voucher Program | Program Specific | 0 | Open | N/A |
| HOPE House Project Based Local Non-traditional MTW | Site Based | 0 | Open | N/A |
| Cottages at Green’s Lake Project Based Vouchers Non-Traditional MTW | Site Based | 7 | Open | N/A |

Please describe any duplication of applicants across waiting lists:

The LDCHA has a combined public housing and Section 8 HCV waiting list per Activity 99-1, and all applicants receive offers for HCV and public housing units.

Additionally, there are three site based waiting lists that are designated for elderly and near elderly and an applicant can be on any site based wait list for which they meet the eligibility criteria.

ii. Planned Changes to Waiting List in the Plan Year

Please describe any anticipated changes to the organizational structure or policies of the waiting list(s), including any opening or closing of a waiting list, during the Plan Year.

| WAITING LIST NAME | DESCRIPTION OF PLANNED CHANGES TO WAITING LIST |
|-------------------|--|
| Name | N/A |
| Name | N/A |

Section III: Proposed MTW Activities: HUD Approval Requested

LDCHA has no Proposed MTW Activities for the 2022 Plan year.

Section IV: Approved MTW Activities: HUD approval previously granted

A. IMPLEMENTED ACTIVITIES

Activity 20-1

Moving On Program Vouchers – Rent Assistance for Permanent Supportive Housing Graduates

i. Plan Year Approved, Implemented, Amended

**Approved for 2020 Plan
Implemented 2020**

ii. Description / Update

i. The Activity utilizes MTW flexibility to provide up to three (3) Moving On Housing Program vouchers to individuals who complete their treatment plan and are identified as eligible to exit from the LDCHA's Permanent Supportive Housing (PSH) programs. The decision to exit is made by the participant and promotes self-sufficiency in the least restrictive environment, while opening up the permanent supportive housing units to others in immediate need. Participants are referred by Bert Nash or another service provider. To be eligible participants must meet the LDCHA General Housing eligibility requirements, except the good residential history, and are evaluated by their residency in the PSH program. The LDCHA administers the program including income calculation, determining program eligibility and completing all required fields in HUD-50058 MTW.

The Moving On Program (MOP) voucher is for a 24-month period and participants must be in a support services agreement while receiving MOP housing assistance. Support services are provided by the Bert Nash Community Mental Health Team or another approved provider.

The MOP participant must find a rental unit in Lawrence or Douglas County, Kansas, with a landlord who will enter into a lease and are not subsidy contracted with the LDCHA. The unit must be able to pass an HQS inspection by the LDCHA. The rent and utilities are calculated according to the current income based calculation used in the regular Section 8 program. The MOP participants are exempt from MTW rent structure and work requirement, but can voluntarily participate in the MTW rent structure (MTW Vol) if working.

Vouchers issued under this activity are not portable except for reasonable accommodation or VAWA reasons. MOP voucher-holders that are in good standing at the end of the 24-month period, including working at least 15 hours per week (or exempt from MTW as disabled or

elderly), positive landlord recommendation, and no unresolved pending lease violations or eviction actions, are transferred to a standard Section 8 voucher.

Update:

In 2021, it is anticipated that no Moving On vouchers will be issued.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 18-1

Local Project Based Section 8 Voucher Program Targeted to Special Needs Populations

i. Plan Year Approved, Implemented, Amended

**Approved for 2018 Plan
Implemented 2021**

i. Description, Update

Create a local Project Based Section 8 Voucher Program (PBV) with the following components:

- Allocate PBV subsidy non-competitively process to LDCHA-owned or controlled sites and transitional units,
- Prioritize assignment of PBV assistance to units designed to serve special populations with poverty rates 50% of AMI or below,
- Eliminate the 25% cap on the number of units that can be project-based on a single site for supportive or elderly housing, and for sites with fewer than 20 units,
- Modify eligible unit and housing types to include shared housing, cooperative housing, or transitional housing,
- Allow project partners to manage project wait lists with criteria as determined by LDCHA,
- Use LDCHA's standard HCV process for determining Rent Reasonableness for units in lieu of requiring third-party appraisals,
- Eliminating or modifying the requirement that households living in a unit subsidized through a project-based voucher be given an opportunity to receive tenant-based rental assistance ("exit voucher") if, after one year, they wish to move, however the participants will be given access to the LDCHA transfer policy, and

Assign standard HCV payment standards to PBV units.

This Activity uses MTW flexibility to:

Reduce the administrative time and development costs associated with issuing a Request for Proposal (RFP) when LDCHA has a qualifying development for PBV program.

Increase housing choices for special populations.

Because this is a PBV program it is not considered a local non-traditional program.

These are new units that serve individuals residing outside of the LDCHA inventory. These units were constructed in conjunction with a new Mental Health Crisis Center.

A preference has been established for individuals with serious persistent mental illness who are stabilized at the crisis center and in need of housing due to the services offered at this site. There are supportive services through a partnership with the local Bert Nash Community Mental Health Center and a new peer support program.

The LDCHA executed the Second Amendment to its Amended and Restated Moving to Work Agreement. The LDCHA will conduct HQS inspections and certify that any of the property it owns that has a PBV assigned meets HQS. Additionally the LDCHA will determine rent reasonableness for all PBV units it owns.

Update:

As of June 2021, HUD review of AHAP is pending. This Activity is being implemented in 2021 following the completion of construction in late 2020 and issuance of the certificates of occupancy in February 2021. Standard HUD metrics CE#1, CE#2, CE#3, HC#1, and HC#4 for this Activity will be incorporated into the FY21 MTW Report.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

Remove waiver of the 20% cap on the amount of HCV budget authority that can be project-based, allowing LDCHA to determine the size of the PBV program.

Activity 17-1

Exclude Asset Income from Income Calculations for Households with Assets of \$20,000 or less and Allow Self-Certification of Assets valued at less than \$20,000 after initial certification

i. Plan Year Approved, Implemented, Amended

**Approved for 2017 Plan
Implemented 2017**

ii. Description / Update

This Activity uses MTW flexibility to exclude asset income from income calculations for assets of \$20,000 or less and allow self-certification of the value of assets of \$20,000 or less after initial certification. This meets the statutory objective to reduce administrative costs of recertifications because the verification of asset income process is very time consuming and yields little benefit or impact on rent calculation.

Update:

This Activity is fully implemented for all participants, including all households biennially recertified.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 16-1

Safe Housing Program: Rent Assistance for Victims of Domestic Violence

i. Plan Year Approved, Implemented, Amended

**Approved for 2016 Plan
Implemented 2016**

ii. Description / Update

This Activity uses MTW flexibility to provide ten (10) Safe Housing Program (SHP) transitional housing vouchers issued to eligible households / individuals in the Willow Domestic Violence Center Program (WDVC), or another service provider who certifies the applicant's status as a victim of domestic violence, and is a Douglas County, Kansas resident. To be eligible a SHP participant must meet the LDCHA General Housing eligibility requirements, except the good residential history.

The SHP participants must be in a support services agreement while receiving SHP housing assistance. Support services will be administered by the WDVC or another provider. Participants refusing to participate in a support service agreement will not have their housing assistance renewed at the next annual recertification.

The SHP participant must find a rental unit in Lawrence or Douglas County, Kansas, with a landlord who will enter into a lease and a rent subsidy contract with the LDCHA. The unit must be able to pass an inspection by the LDCHA.

Vouchers issued under this activity are not portable except for reasonable accommodation or VAWA reasons. SHP voucher-holders that are in good standing at the end of the 24-month period, including working at least 15 hours per week (if in the MTW rent structure), positive

landlord recommendation, no pending lease violations or eviction actions, and similar factors will be transferred to a standard Section 8 voucher.

Update:

The SHP has been exceptionally successful. As of June 2021, **9** participants are actively housed and on track to complete the program due to the partnership with the WDVC. In 2020, **8** households successfully transitioned to the regular HCV program, **1** household voluntarily left the program in good standing, and **1** household did not qualify to transfer to the regular HCV program.

In September of 2018, due to available Section 8 funding an additional 10 vouchers were authorized to be issued pursuant to this Activity for a total of 20 vouchers issued. LDCHA will continue to issue additional vouchers when funds are available to do so.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 16-2

Next Step Vouchers (NSV): Housing Assistance for Youth who have Aged Out of Foster Care

i. Plan Year Approved, Implemented, Amended

Approved for 2016 Plan
Implemented 2016
Re-Proposed for 2018 Plan

ii. Description / Update

This Activity description and administration was modified to place the Next Step participants in the income based rent structure while maintaining the requirement that the participants meet the MTW work requirement which can be accomplished through working or participation in an educational program. This will treat these participants 18-21 years of age consistently with other young adults in MTW as set out in Activity 09-6.

This will eliminate the requirement that these participants pay the MTW minimum rent as set in Activity 99-2. This will make interim recertifications available to these participants including the ability to be recertified to zero income and eligible for a utility allowance.

The impact of this change will be to encourage work while reducing the risk of eviction due to the fluctuation of employment normally experienced by this age group of participants.

These participants are able to voluntarily participate in the MTW rent structure (MTW Vol) if the rent calculation is advantageous to them due to the additional deductions. If a participant voluntarily participates in the MTW rent structure, the MTW hardship policy as outlined in Activity 99-2 is available to them. They may also elect to return to the income based rent structure one time between annual re-examinations.

This Activity uses MTW flexibility to create up to five vouchers depending on available funding to house aged out foster youth for up to 36 months or until age 23, whichever comes first. To be eligible a youth must meet the LDCHA General Housing eligibility requirements except the good residential history. The NSV participant must find a rental unit in Lawrence or Douglas County, Kansas, with a landlord who will enter into a lease and a rent subsidy contract with the LDCHA. The unit must be able to pass an inspection by the LDCHA.

NSV voucher-holders that are in good standing, including stabilization, working at least 15 hours per week positive landlord recommendation, no pending lease violation or eviction actions, and similar factors at the end of the 24 - 36-month period, or longer if required for educational purposes, will be transferred to a standard Section 8 voucher. Participant would be able to transfer after 24 months but prior to 36 months if the LDCHA eligibility requirements are met and the participant requests the transfer.

All NSV participants must complete the LDCHA Renters Education program. LDCHA will also require voucher-holders under this activity to begin, continue, and/or maintain appointments and visits with social service providers as recommended by assessment to assist these households in the preparation of living independently and creating a stable living environment.

Vouchers issued under this activity are not portable except for reasonable accommodation or VAWA reasons. LDCHA reserves the authority to extend NSVs up to 12 months to allow full time students to complete their degree.

Update:

Two Next Step households are being utilized in 2021, and **1** current participant is employed. **One** household successfully transitioned to the regular HCV program in 2020. LDCHA will continue to issue available vouchers as eligible participants are identified.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 14-2

| |
|---|
| Create a Landlord On-Site Self-Certification that minor repairs are complete |
| <i>i. Plan Year Approved, Implemented, Amended</i> |
| Approved for 2014 Plan Implemented 2014 |
| <i>ii. Description / Update</i> |
| <p>This Activity uses MTW flexibility to revise the HQS certification to allow Landlord Self-Certification of Correction at LDCHA's discretion and in cases where all deficiencies are minor non-life-threatening, non-safety-hazard deficiencies as determined by an approved list maintained by LDCHA. The "Landlord On-Site Verification and Re-Inspection Form" is included in Appendix II.</p> <p><u>Update:</u> This Activity continues to be actively promoted to landlords, and more are using the Self-Certification. It is anticipated that this activity will have increased participation as the COVID-19 waivers are terminated and inspections return to a normal schedule.</p> |
| <i>iii. Planned Non-Significant Changes</i> |
| No changes or modifications are planned. |
| <i>iv. Planned changes to Metrics/Data Collection</i> |
| No changes or modifications are planned. |
| <i>v. Planned Significant Changes</i> |
| No changes or modifications are planned. |

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|---|
| Activity 14-3 Change the effective dates of variables affecting rent calculations to January 1 |
| <i>i. Plan Year Approved, Implemented, Amended</i> |
| Approved for 2014 Plan Implemented 2014 |
| <i>ii. Description / Update</i> |
| <p>This Activity uses MTW flexibility to change the effective dates for program changes that affect rent calculations such as Fair Market Rent, Voucher Payment Standard and Utility Allowance, etc., to correspond with the beginning of LDCHA's fiscal year, January 1. This reduces cost and achieve greater cost effectiveness by eliminating unnecessary reprinting of key agency documents.</p> |

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| <p><u>Update:</u> The 2021 FMR, VPS and Utility Allowances were adopted in 4th quarter of 2020 and implemented on January 1, 2021. All materials were reprinted only after all changes were made by the agency. The same will occur in 2022.</p> |
| iii. Planned Non-Significant Changes |
| No changes or modifications are planned. |
| iv. Planned changes to Metrics/Data Collection |
| No changes or modifications are planned. |
| v. Planned Significant Changes |
| No changes or modifications are planned. |

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|---|
| <p>Activity 13-1 Create an Affordable Housing Acquisition and Development Fund</p> |
| i. Plan Year Approved, Implemented, Amended |
| <p>Approved for 2013 Plan Implemented 2013</p> |
| ii. Description / Update |
| <p>The LDCHA Board of Commissioners authorized the use of MTW reserve funds for the development of new low income affordable housing, and LDCHA may use its MTW flexibility to purchase land and/or improvements, or participate in project ownership and/or development by providing financing for direct construction or rehabilitation costs. LDCHA may leverage, where possible, additional funds from private and public sources (including Low Income Housing Tax Credits, Private Activity Bonds, or other available financing methods). This activity is designed to increase housing choice for low-income households utilizing MTW reserves.</p> <p><u>Update:</u> The LDCHA entered into a long-term lease for a new property of 10 units to serve special populations including participants with severe and persistent mental illness (SPMI) and/or substance abuse disorder. All units will be leased to households at or below 50% of AMI. Construction began in late 2019, and the occupancy certificate was issued in February 2021. The first residents moved in during April 2021.</p> |
| iii. Planned Non-Significant Changes |
| No changes or modifications are planned. |
| iv. Planned changes to Metrics/Data Collection |
| No changes or modifications are planned. |
| v. Planned Significant Changes |

No changes or modifications are planned.

Activity 10-1

Biennial recertification for public housing and Section 8 elderly and disabled households

i. Plan Year Approved, Implemented, Amended

**Approved for 2010 Plan
Implemented 2010**

ii. Description / Update

Adopt alternative recertification schedule to conduct biennial recertification for all elderly and disabled public housing and Section 8 households on fixed incomes, to reduce the total number of annual recertifications processed to reduce cost and achieve greater administrative efficiencies.

Hardship Policy: Participants may request a hardship and be recertified in the year identified to skip if their annual medical expenses have increased by 10% in the previous 12 months.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 09-5

Homeownership matching grant

i. Plan Year Approved, Implemented, Amended

**Approved for 2017 Plan
Implemented 2017**

ii. Description / Update

Revised original MTW Homeownership Program to create equity between Public Housing and Section 8 to provide up to \$3000 matching grant for MTW households that purchase a home.

Update:

As of the end of January 2021, 102 households have received a homeownership matching grant since 2002.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 09-6

Revise definition of countable income

i. Plan Year Approved, Implemented, Amended

**Approved for 2009 Plan
Implemented 2009**

ii. Description / Update

Excluded earned income of young adult children between the ages of 18 and 21 not enrolled full-time in school, and excluded earned income of young adult children between 18-24 if enrolled full-time as a student, while retaining the work requirement for these groups. This Activity is authorized by the MTW flexibility to establish alternate definitions of income, and to encourage work.

This Activity was designed to not penalize the entire household for the fluctuations in employment of young adult children. Additionally it reduces administrative cost by eliminating the need for frequent rent recalculations and encourages work through program enforcement for this population.

Update:

In 2020, LDCHA had 12 participants who were required to participate in the Resident Services Office employment program and all participants came into compliance with the work requirement.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 09-6.1

Revise Definition of Countable Income: Count income under previously disallowed 12:12:48 Regulation

i. Plan Year Approved, Implemented, Amended

**Approved for 2009 Plan
Implemented 2009**

ii. Description / Update

This Activity is designed to achieve greater administrative efficiency by eliminating the 12:12:48 month Earned Income Disallowance rule, and including those amounts as income, as permitted by the MTW flexibility that allows LDCHA to establish alternate definitions of income.

Update:

This Activity is fully implemented.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 09-8

Create a jail re-entry housing program

i. Plan Year Approved, Implemented, Amended

**Approved for 2009 Plan
Implemented 2010**

ii. Description / Update

Create 5 units of transitional re-entry vouchers in partnership with Douglas County Sheriff's Office for inmates participating in a re-entry program. The Sheriff's Office provides the services for this program. To be eligible for referral to the LDCHA the inmate being released from Douglas County jail must meet performance criteria established by the Jail Re-entry Program. To

qualify for assistance, the inmate must be a Douglas County resident and must not be excluded under the federal housing mandatory prohibition rules.

Update:

This program utilized **2** vouchers in 2020, and **1** household completed this program and transitioned to the regular HCV program.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 99-1

Combined Public Housing and Section 8 HCV programs and operations

i. Plan Year Approved, Implemented, Amended

**Approved for 2017 Plan
Implemented 2017**

ii. Description / Update

This Activity uses MTW flexibility to establish a locally designed waiting list and tenant selection criteria by combining the public housing family housing units and Section 8 HCV into one program called General Housing with one waiting list and single organizational program structure. The objective of this Activity was to decrease the vacancy rate by using the same suitability criteria for both programs and offering the next available unit to the applicant at the top of the waiting list. Additionally it decreases administrative burden by reducing voluntary unit turnover cost.

Update:

This Activity is one of the key innovations in the LDCHA MTW program. It has resulted in an average 98% occupancy rate for the LDCHA public housing program. Additionally the utilization rate for the HCV program is 99%.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 99-2

Alternative rent structure

i. Plan Year Approved, Implemented, Amended

**Approved for 1999 Plan
Implemented 1999**

ii. Description / Update

Developed alternative MTW rent structure with minimum and maximum annual rents that are adjusted periodically and applied to all non-disabled/non-elderly households in the General Housing program. The rent structure requires all non-elderly, non-disabled adults to pay a significant minimum amount of rent regardless of their income. To reward work, the agency set a maximum rent for each size unit.

| Bedroom Size | Minimum | Maximum |
|--------------|---------|---------|
| 1 Bedroom | \$ 185 | \$ 435 |
| 2 Bedroom | \$ 215 | \$ 500 |
| 3 Bedroom | \$ 255 | \$ 575 |
| 4 Bedroom | \$ 275 | \$ 665 |
| 5 Bedroom | \$ 315 | \$ 690 |

To encourage employment advancement the agency established a system of income deductions that increase as hours of work increase.

Special income deductions for MTW households include:

- 10% earned income deduction for those working at least 35 hours/week
- \$2,000 medical deduction for those working at least 35 hours/week
- full out-of-pocket dependent care deduction necessary to allow work or school attendance
- utility allowance as an annual income deduction, not as a monthly deduction from rent
- increase in the child dependent deduction to \$840 per child capped at \$1,680 per household

Flat rents are not applied in the MTW rent structure, and MTW participants are not eligible for the flat rent option.

The LDCHA's Rent Hardship Policy permits a degree of rent relief if the household experiences a loss in income. Under the policy, a household not under termination may be recertified based on the nature and amount of the income loss.

If loss of earned income equals or is greater than 50% of total reported earned income, then the MTW Hardship Rent shall be reset to \$50 a month for the household for a three consecutive month period.

Or

If loss of earned income is at least 25% but is less than 50% of total reported earned income, the MTW Hardship Rent shall be reset \$100 a month for the household for a three consecutive month period.

Amend the policy to establish that hardships requests may be denied based upon a pattern of policy abuse per the LDCHA Fraud Policy - Resolution 539, as amended. The rent reduction is for a period not to exceed three months. A household may have a hardship rent reduction only once every 12 months from the end of an approved hardship request. If the household's income loss is due to a condition that qualifies the individual for a disability under ADA, the household's designation is changed from MTW to income-based and they are then recertified. The alternative rent structure and hardship policies are more fully outlined in Appendix I.

Section 8 portability is restricted. MTW households may not move outside the LDCHA's jurisdiction unless the household applies for and receives an exception from this rule as a reasonable accommodation for a disability, VAWA, or other good cause, such as taking a job in a different city, education, or other household need. Households porting into the LDCHA's jurisdiction must participate in the MTW program.

An important component of the LDCHA's MTW rent structure is the feature of Annual Rent or Fixed Rent. Rent is fixed for one year and does not change, regardless of changes in household income or composition except in instances where a household permanently loses income through death, divorce, or when an income producing adult child whose income was included in the rent calculation moves out of the household.

Update:

The amount of tenant rent is expected to exceed \$1.3 million for 2021; additionally public housing occupancy is at 99%.

There were **120** households that received **hardships due to COVID** in 2020:

- **45** Public housing households received a COVID-related hardship
- **75** Section 8 households received a COVID-related hardship
- **44** of the 120 households received one or more COVID-related hardship extensions beyond the initial 3-month period
 - **10** Public housing households received 1 extension
 - **9** Public housing households received 2 extensions
 - **20** Section 8 households received 1 extension
 - **5** Section 8 households received 2 extensions

The COVID-19 hardship policy was extended through June of 2021.

iii. Planned Non-Significant Changes

No changes or modifications are planned.

iv. Planned changes to Metrics/Data Collection

No changes or modifications are planned.

v. Planned Significant Changes

No changes or modifications are planned.

Activity 99-3

Work Requirement

i. Plan Year Approved, Implemented, Amended

**Approved for 1999 Plan
Implemented 1999**

ii. Description / Update

This Activity establishes an MTW work requirement which applies to all households in the General Housing program with a non-elderly non-disabled adult in the household. The work requirement mandates that all able-bodied adults age 18 and older work a minimum of 15 hours a week. For a two-adult household with minor children, the work requirement can be met if one adult works 35 hours per week. Enrollment in a post-secondary education program or Work Training Program satisfies the work requirement. An adult child in the household is also subject to the work requirement. Residents who fail to meet the work requirement must participate in the LDCHA's Family Self-Sufficiency Program 15 hours per week. Failure to meet the work requirement is a major program breach.

Following are the exemptions to the work requirement and MTW rent structure:

- Elderly/Disabled Status - All adult household members age 62 or over, or who have disability status that prevents employment.
- Temporary Medical Exemption - verified medical condition of a household member lasting longer than 3 months that limits or that prevents work activities. Must be certified to by a licensed physician or medical practitioner.
- Discretionary Exemption - households with only one adult who does not have elderly/disability status and who, due to limitations of employment experience, education or training, or other significant barriers, is unable to earn sufficient income to meet the MTW minimum rent requirement.
- Work Requirement Exemption Only - Households receiving TANF Cash Assistance with one adult member who has been determined "not mandatory for work" by DCF. The household will receive assistance under the MTW rent structure, but the person will not be subject to the work requirement.

Exempt households may elect annually to participate in the MTW rent structure if they meet the work requirement through employment income.

Failure to meet the work requirement results in a lease violation. If not corrected, tenant rent goes to full market rate for the unit.

Update:

During 2020, 2 households had a work requirement enforcement action. Both households came into compliance.

iii. Planned Non-Significant Changes

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| No changes or modifications are planned. |
| <i>iv. Planned changes to Metrics/Data Collection</i> |
| No changes or modifications are planned. |
| <i>v. Planned Significant Changes</i> |
| No changes or modifications are planned. |

B. NOT YET IMPLEMENTED ACTIVITIES

No Activities are not yet implemented.

C. ACTIVITIES ON HOLD

No Activities are currently on hold.

D. CLOSED OUT ACTIVITIES

Closed Out: Activity 14-1

Create a Biennial Housing Quality Standards (HQS) Inspection process for existing Housing Choice Voucher (HCV) properties

i. Years Approved, Implemented, Closed Out

Approved for 2012 Plan
Never Implemented
Closed Out 2020

Revise the HQS certification to permit biennial HQS inspections for units that have a record of good property maintenance, a history of making repairs in a timely manner, and have passed HQS on the first inspection for two consecutive annual inspections.

ii. Reason Activity was Closed Out

It has been confirmed that due to limitations in Lindsey Software Systems, our housing software, the eligible properties and landlords cannot be easily tracked. Lindsey is not working to fix this limitation. The Activity was created to save time and costs for both staff and landlords but tracking it manually will take more staff time, not less.

Closed Out: Activity 12-1

Biennial recertification for Section 8 elderly and disabled households

i. Years Approved, Implemented, Closed Out

Approved for 2012 Plan
Implemented 2012
Closed Out 2015

Conduct biennial recertification for all elderly and disabled Section 8 households.

ii. Reason Activity was Closed Out

Combined with Activity 10-1, and closed out 12-1.

Closed Out: Activity 11-1

Financial Assistance for Vehicle Repair

i. Years Approved, Implemented, Closed Out

Approved for 2011 Plan
Implemented 2011

Closed Out 2015

Provide up to \$500 per household for vehicle repair to assist MTW households with children to obtain or retain employment, employment training, or attend post-secondary education.

ii. Reason Activity was Closed Out

This Activity requires only the MTW Single Fund Authorization. It is being closed out and discussion of annual outcomes will be included in Section V under "Activities that Will Use Only MTW Single Fund Flexibility."

Closed Out: Activity 11-2

Partner with Full Circle Tenant Services, Inc. (FCTS) to create the Full Circle Youth Program *(Originally partnered with Douglas County Housing, Inc. [DCHI])*

i. Years Approved, Implemented, Closed Out

Approved for 2011 Plan
Implemented 2011
Closed Out 2015

Use FCTSI, an affiliated nonprofit, to pursue private and public foundation grant funding that is restricted to nonprofit organizations in order to expand program opportunities and activities for LDCHA youth, particularly the children of parents participating in the MTW program. The Full Circle youth program provides year-round social, educational, health and recreational opportunities for youth.

ii. Reason Activity was Closed Out

This Activity requires only the MTW Single Fund Authorization. It is being closed out and discussion of annual outcomes will be included in Section V under "Activities that Will Use Only MTW Single Fund Flexibility."

Closed Out: Activity 11-3

Combine the Administrative Plan and the Public Housing ACOP into one policy statement

i. Years Approved, Implemented, Closed Out

Approved for 2011 Plan
Implemented 2011

Closed Out 2013

Combine Section 8 Housing Choice Voucher Administrative Plan and public housing Admissions and Continued Occupancy policy and Methods of Administration to create consistency and safeguard staff accuracy in the application of MTW policies under the public housing and Section 8 HCV programs.

ii. Reason Activity was Closed Out

Activity was completed, published for public comment and adopted by the Board of Commissioners on August 26, 2013.

Closed Out: Activity 10-2 Expand employment related services to MTW households.

i. Years Approved, Implemented, Closed Out

Approved for 2009 Plan
Implemented 2009
Closed Out 2015

Provide funding for technical training, education, certifications, employment counseling and childcare services to permit heads of household to seek, obtain and retain employment. This Activity is designed to reduce the barriers to employment and underemployment, to maximize a household's potential for securing long-term employment.

ii. Reason Activity was Closed Out

This Activity requires only the MTW Single Fund Authorization. It is being closed out and discussion of annual outcomes will be included in Section V under "Activities that Will Use Only MTW Single Fund Flexibility."

Closed Out: Activity 10-3 Energy Conservation Improvements

i. Years Approved, Implemented, Closed Out

Provided \$1.5 million from the single fund MTW budget to finance comprehensive energy improvements under HUD Energy Performance Contracting, resulting in guaranteed annual cost savings sufficient to provide funding for the 20 year investment.

ii. Reason Activity was Closed Out

The Energy Performance Contract was completed in 2011, and yearly reporting is provided to HUD Field Office to verify utility savings.

Closed Out: Activity 09-1 **Single fund budget with full flexibility**

i. Years Approved, Implemented, Closed Out

Approved for 2009 Plan
Implemented 2009
Closed Out 2015

Combined its public housing operating and Capital fund subsidies and HCV assistance into a single funding source.

ii. Reason Activity was Closed Out

This Activity only requires the MTW Single Fund Authorization.

Closed Out: Activity 09-2 **Mandatory Orientation**

i. Years Approved, Implemented, Closed Out

Approved for 2010 Plan
Implemented 2010
Closed Out 2015

Required mandatory orientation program for all new residents on the services and programs offered by the LDCHA Resident Services Office. This Activity educates residents about available services to access in times of crisis that could lead to termination of their housing assistance, or as a resource for households motivated toward upward mobility, economic self-sufficiency and homeownership.

ii. Reason Activity was Closed Out

This Activity requires only the MTW Single Fund Authorization. It is being closed out and discussion of annual outcomes will be included in Section V under "Activities that Will Use Only MTW Single Fund Flexibility."

Closed Out: Activity 09-3 **Expand case management services to MTW households**

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| <i>i. Years Approved, Implemented, Closed Out</i> |
| Approved for 2009 Plan Implemented 2009 Closed Out 2013 |
| <p>Provide case management for households below 40% AMI to reduce barriers to employment and underemployment to maximize household's potential for securing long-term employment.</p> |
| <i>ii. Reason Activity was Closed Out</i> |
| <p>Activity 09-3 will be absorbed into Activity 10-2 to encompass a broader scope of employment support. Previous benchmark of Activity 09-3, AMI increase, will report as income per SS #1.</p> |

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| Closed Out: Activity 09-4 Biennial recertifications for MTW households. |
| <i>i. Years Approved, Implemented, Closed Out</i> |
| Approved for 2009 Plan Implemented 2009 Closed Out 2015 |
| <p>Conduct biennial recertifications for public housing and Section 8 participants in the MTW rent structure who are at maximum rent or 50% AMI.</p> |
| <i>ii. Reason Activity was Closed Out</i> |
| <p>This Activity has never had the anticipated impact and it resulted in increasing complexity rather than reducing staff time and achieving greater cost efficiency. This initiative is a voluntary election and is subject to fluctuating tenant income resulting in too many mid-year recertifications. In 2012 there were 102 eligible to participate and only 24 elected to skip recertification. In 2013 there were only 70 eligible households and 38 elected to skip. In 2014 it is projected there will be 111 eligible for MTW biennial recertification and only 37 will skip. This Initiative is difficult to track and creates additional administrative complexity to our program without resulting in a significant benefit to participants.</p> |

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| Closed Out: Activity 09-7 Homeless to Housed |
| <i>i. Years Approved, Implemented, Closed Out</i> |
| Approved for 2009 Plan Implemented 2009 Closed Out 2015 |

Provide housing stabilization case management for recently homeless individuals who are housed in the LDCHA's Transitional Housing (TH) program and the Jail Re-Entry (JRE) program.

ii. Reason Activity was Closed Out

This Activity requires only the MTW Single Fund Authorization. It is being closed out and discussion of annual outcomes will be included in Section V under "Activities that Will Use Only MTW Single Fund Flexibility."

(V) SOURCES AND USES OF MTW FUNDS**ANNUAL MTW PLAN****A. ESTIMATED SOURCES AND USES OF MTW FUNDS****i. Estimated Sources of MTW Funds**

| FDS LINE ITEM NUMBER | FDS LINE ITEM NAME | DOLLAR AMOUNT |
|---------------------------------------|--|---------------|
| 70500 (70300+70400) | Total Tenant Revenue | 1,318,522 |
| 70600 | HUD PHA Operating Grants | 7,887,544 |
| 70610 | Capital Grants | 841,955 |
| 70700 (70710+70720+70730+70740+70750) | Total Fee Revenue | 0 |
| 71100+72000 | Interest Income | 19,068 |
| 71600 | Gain or Loss on Sale of Capital Assets | (714) |
| 71200+71300+71310+71400+71500 | Other Income | 57,437 |
| 70000 | Total Revenue | 10,123,812 |

ii. Estimated Uses of MTW Funds

| FDS LINE ITEM NUMBER | FDS LINE ITEM NAME | DOLLAR AMOUNT |
|---|--|---------------|
| 91000 (91100+91200+91400+91500+91600+91700+91800+91900) | Total Operating - Administrative | 1,438,563 |
| 91300+91310+92000 | Management Fee Expense | 0 |
| 91810 | Allocated Overhead | 0 |
| 92500 (92100+92200+92300+92400) | Total Tenant Services | 86,498 |
| 93000 (93100+93600+93200+93300+93400+93800) | Total Utilities | 341,296 |
| 93500+93700 | Labor | 0 |
| 94000 (94100+94200+94300+94500) | Total Ordinary Maintenance | 691,545 |
| 95000 (95100+95200+95300+95500) | Total Protective Services | 53,855 |
| 96100 (96110+96120+96130+96140) | Total Insurance Premiums | 157,734 |
| 96000 (96200+96210+96300+96400+96500+96600+96800) | Total Other General Expenses | 124,477 |
| 96700 (96710+96720+96730) | Total Interest Expense & Amortization Cost | 0 |
| 97100+97200 | Total Extraordinary Maintenance | 0 |
| 97300+97350 | HAP + HAP Portability-In | 4,791,363 |
| 97400 | Depreciation Expense | 651,512 |
| 97500+97600+97700+97800 | All Other Expense | 0 |
| 90000 | Total Expenses | 8,336,843 |

Please describe any variance between Estimated Total Revenue and Estimated Total Expenses:

The variance will be placed in reserves to be used to complete the capital projects identified in Section II A vi.

iii. Description of Planned Use of MTW Single Fund Flexibility

PLANNED USE OF MTW SINGLE FUND FLEXIBILITY

2022 Sources and Uses of Funds Details

Lawrence-Douglas County Housing Authority has set aside MTW reserves to implement the following activities using the single fund flexibility:

Activity: Develop Long Term Strategic Plan

Program Affected: Public Housing and Section 8

Year Identified: 2020

Effective Date: January 2022

Funding Allocated: \$ 25,000

In 2021 and the first half of 2022, LDCHA will undertake a strategic planning process to set the agency's direction for the next three to five years. The process will engage the Board of Commissioners, staff, residents, key stakeholders and other community members in considering the key questions facing the LDCHA and exploring solutions that allow the agency to meet its mission in the best and most efficient ways possible.

As staff and Board members move through the process, key elements of the MTW Plan will be integrated so that the strategic plan that ultimately gets adopted by the Board of Commissioners is synonymous with the MTW Plan.

The goals of the planning process are to identify a set of key strategic questions, analyze the agency's strengths and weaknesses, incorporated stakeholder assessments of the agency's success in reaching its goals and coalesce an understanding both within and outside the agency around strategic focus for the next three years. LDCHA's long-term MTW Plan will be provided in the 2023 MTW Annual Plan. Funds will also be utilized for re-branding and public outreach.

Activity: Fiber Internet for Edgewood Homes

Program Affected: Public Housing

Year Identified: 2018

Effective Date: January, 2019

Funding Allocated: \$ 50,000

Completed: 2021

Use the MTW Single Fund reserves to fund the installation of a fiber broadband infrastructure at Edgewood Homes. LDCHA has been approved for the second cohort of ConnectHomeUSA, a movement to bridge the digital divide for HUD-assisted housing residents in the United States under the leadership of national nonprofit EveryoneOn. By helping residents in participating communities to get connected at home and providing access to digital literacy and educational content, Connect-HomeUSA aims to make public housing a platform for change. LDCHA is striving to be at the forefront of digital inclusion by having gigabit speeds available to residents for free.

There is a well-researched and documented significant gap (or digital divide) between low- and higher income households in access to technology and the internet. This disproportionately affects low-income children and reduces their achievement in school by making it harder to get homework done, connect socially, and stay on par with their peers. We have two computer labs at Edgewood Homes, one for adults for employment and education and one for children for homework, games, and social media. Many of our children at Edgewood Homes only have access to technology and the internet at their school and at our computer lab. There is Lifeline internet service available to Edgewood tenants currently for \$10 per month through a local provider, but tenants must choose between an internet Lifeline benefit or a cell phone Lifeline benefit. We believe it is important to have access to both services.

Activity: Internet for Edgewood Homes

Program Affected: Public Housing
Year Identified: 2020
Effective Date: January, 2021
Funding Allocated: \$ 25,000 per year
Ongoing

Use up to \$25,000 per year of MTW Funds to provide universal internet access for all households with children at Edgewood Homes for access to educational supports provided by local school district. This supports the 2019 Activity previously approved for Fiber Internet for Edgewood Homes and the ConnectHomeUSA project at the LDCHA.

Activity: Internet Upgrade for Edgewood Homes Main Office

Program Affected: Public Housing, Multifamily
Year Identified: 2020
Effective Date: January, 2021
Funding Allocated: \$ 25,000
Completed: 2021

Use up to \$25,000 of public housing reserve funds to upgrade internet at the Edgewood Homes main office in conjunction with the Fiber Internet project at Edgewood Homes. This will provide a faster and more reliable connection with the agency's "remote" sites (Huppee Community Facility at Edgewood Homes, Babcock Place, and Clinton Place) improving their access to the housing software on the LDCHA servers, and higher VOIP phone quality. The expenditure was approved by the LDCHA Board of Directors under Resolution 2020-13, as it is most cost effective to perform in conjunction with the fiber internet upgrade at Edgewood Homes.

Activity: Funds for Acquiring Birth Certificates

Program Affected: Public Housing & Section 8
Year Identified: 2018
Effective Date: January, 2019
Funding Allocated: \$ 5,000
Ongoing

Use up to \$5,000 of MTW Funds to pay for applicants to get a birth certificate. Several partners, including Family Promise and the Lawrence Community Shelter provide some funding for this but sometimes those funds are unavailable. It is very common that LDCHA receives applications that are incomplete due to the household not having a birth certificate for all family members. This activity would remove a barrier to housing.

Activity: Public Housing Capital Improvements

Program Affected: Public Housing
Year Identified: 2016
Effective Date: Ongoing
Funding Allocated: 2022 - \$

Description of Activity:

The majority of the LDCHA's public housing units are over 40 years old and the major systems including roofs, boilers, HVAC and plumbing systems have reached or exceed their useful life. The agency has evaluated these capital needs and will use MTW / Public Housing reserve funds to fund these ongoing projects when the Capital Fund Grant is insufficient for the completion of these projects.

Activity: Provide Financial Assistance for Vehicle Repair

Program Affected: Public Housing, Housing Choice Voucher, VASH
Year Identified: 2010
Effective Date: January 1, 2011
Funding Allocated: \$20,000 (estimated)
Ongoing

Description of Activity:

Provide up to \$500 per household for vehicle repair to assist MTW households to obtain or retain employment, employment training, or attend postsecondary education.

Activity: Partner with Full Circle Tenant Services, Inc. to create the Full Circle Youth Program. (Previously partnered with Douglas County Housing, Inc. [DCHI])

Program Affected: Public Housing, Housing Choice Voucher, VASH
Year Identified: 2010
Effective Date: January 1, 2011
Funding Allocated: \$50,000 (estimated)
Ongoing

Description of Activity:

Use Full Circle Tenant Services, Inc., an affiliated 501(c)3, to pursue private and public foundation grant funding that is restricted to nonprofit organizations in order to expand program opportunities and activities for LDCHA youth, particularly the children of parents participating in the MTW program. The Full Circle Youth Program provides year-round social, educational, health and recreational opportunities for youth.

Activity: Expand employment related services to MTW households.

Program Affected: Public Housing, Housing Choice Voucher, VASH

Year Identified: 2008

Effective Date: January 1, 2009

Funding Allocated: \$175,000 (estimated)

Ongoing

Description of Activity:

Provide funding for technical training, education, certifications, employment counseling and childcare services to permit heads of household to seek, obtain and retain employment. This Activity is designed to reduce the barriers to employment and underemployment, to maximize a household's potential for securing long-term employment.

Activity: Homeless to Housed.

Program Affected: Public Housing and Housing Choice Voucher

Year Identified: 2008

Effective Date: January 1, 2009

Funding Allocated: \$40,000 (estimated)

Ongoing

Description of Activity:

Provide housing stabilization case management for recently homeless individuals who are housed in the LDCHA's Transitional Housing (TH) program and the Jail Re-Entry (JRE) program.

Activity: Mandatory Orientation

Program Affected: Public Housing and Housing Choice Voucher

Year Identified: 2008

Effective Date: January 1, 2009

Funding Allocated: \$300 (estimated)

Ongoing

Description of Activity:

Required mandatory orientation program for all new residents outlining the services and programs offered by the LDCHA Resident Services Office. This Activity educates residents about available services to access in times of crisis that could lead to termination of their housing assistance, or as a resource for households motivated toward upward mobility, economic self-sufficiency and homeownership.

General Statement on Sources of Funds

Since the 2022 allocation for HUD funded programs cannot be known at this time, the amounts listed for Public Housing Operating Subsidy and Section 8 HCV Budget Authority Renewal are based on 2021 funding allocations. For Capital Funds the allocation is based on the 2021 grant funding award, excluding special COVID-19 funding.

General Statement on Uses of Funds

The uses of funds shows the aggregate expenses for all MTW programs the agency will operate by account and not program. However each individual program operated by the LDCHA contains a program specific budget.

The LDCHA plans to use funds in 2022 for intended purposes of the specific federal and resident services programs even though it will operate its Public Housing, Section 8 assistance and Capital Fund as a single fund budget with full flexibility. The agency will not reduce the number of public housing and Section 8 assisted units it has in 2022. Any development will be paid for through MTW reserves or leveraged funds. Public Housing, Section 8 and Capital Funds, as a single fund will be used to pay for the administrative, operational, maintenance costs and capital fund improvements of the respective program which includes previously approved MTW Activities.

Since all of the agency's public housing and Section 8 HCV units/households are in the MTW program, even though not all households participate in the alternative rent structure and work requirement, these programs are listed as MTW activities in the sources and uses.

Any surplus funds remaining at the end of 2021 will be used for MTW purposes outlined in the 2022 Plan and subsequent years.

B. LOCAL ASSET MANAGEMENT PLAN

- i. Is the MTW PHA allocating costs within statute?

YES

- ii. Is the MTW PHA implementing a local asset management plan (LAMP)?

NO

- iii. Has the MTW PHA provide a LAMP in the appendix?

NO

- iv. If the MTW PHA has provided a LAMP in the appendix, please describe any proposed changes to the LAMP in the Plan Year or state that the MTW PHA does not plan to make any changes in the Plan Year.

The LDCHA has 369 public housing units and opted out of the asset management requirement under the various appropriations acts for 2008-2021. The agency will continue to elect to opt out of asset management for 2022. The LDCHA uses a cost allocation system to prorate expenses among the different programs it administers.

C. RENTAL ASSISTANCE DEMONSTRATION (RAD) PARTICIPATION

- i. Description of RAD Participation

The MTW PHA shall provide a brief description of its participation in RAD. This description must include the proposed and/or planned number of units to be converted under RAD, under which component the conversion(s) will occur, and approximate timing of major milestones. The MTW PHA should also give the planned/actual submission dates of all RAD Significant Amendments. Dates of any approved RAD Significant Amendments should also be provided.

RENTAL ASSISTANCE DEMONSTRATION (RAD) PARTICIPATION

N/A

- ii. Has the MTW PHA submitted a RAD Significant Amendment in the appendix? A RAD Significant Amendment should only be included if it is a new or amended version that requires HUD approval

N/A

- iii. If the MTW PHA has provided a RAD Significant Amendment in the appendix, please state whether it is the first RAD Significant Amendment submitted or describe any proposed changes from the prior RAD Significant Amendment?

N/A

Section VI: Administrative

A. Board Resolution

Resolution 2021-17 was approved by the Board of Commissioners adopting the 2022 Annual MTW Plan on August 23, 2021. It and the Certification of Compliance are included in Appendix II.

B. Public Participation / Comment on the 2022 MTW Plan

Resident Advisory Committee

The LDCHA Resident Advisory Committee participated in the development of this plan and recommended this Plan for approval on June 17, 2021. Due to COVID-19 restrictions, the meeting was conducted online via Zoom rather than in person.

Notice of Public Hearing and Comment Period

PUBLIC NOTICE

Additionally, the LDCHA's Draft MTW Annual Plan for 2022 was available to the public for review and comment during a 30-day period beginning July 12 and ending at 4:00 pm August 12, 2021.

During the public comment periods the Draft MTW Annual Plan for 2022 and the 5-Year Capital Fund Action Plan were available on the LDCHA website, and printed copies of the documents were available at City and County offices, the public library, and the agency's administrative and management offices. The location of copies and the public hearing were advertised in public notices published in the Lawrence-Journal World newspaper and the LDCHA website on July 12, 2021.

The public was invited to comment in writing, delivered or mailed to the LDCHA main office, faxed to 785-842-9596, or emailed to housing@ldcha.org. Comments were received up to 4:00 p.m. August 12, 2021.

The public hearing was held August 12, 2021.

No comments were received during the comment period. Six members of the public attended the hearing and participated in discussion, and no objections were presented.

C. Agency Directed Evaluation of the Demonstration

None at this time.

D. Statement of Significant Amendment or Modification

LDCHA considers a "significant amendment or modification" as a discretionary change in a plan or policy of the agency that fundamentally alters the plan or policy, and which will

require the formal approval of the Board of Commissioners. Specifically the following will be considered to constitute a significant amendment:

- A material change in the policies regarding the manner which tenant rent is calculated,
- A material change in the admissions policy, or
- Any change with regard to demolition or disposition, homeownership, Capital Fund financing, conventional or mixed financing development are considered significant amendments to the Capital Fund 5-Year Action Plan.

Changes that result from HUD regulatory requirements will not be considered a significant amendment or modification to either the 5-Year Capital Fund Action or MTW Annual plans.

Significant changes to the LDCHA MTW Program as defined by HUD Form 50900 will be made through a MTW Plan amendment as approved by HUD.

E. Declaration of Trusts

The LDCHA filed Declaration of Trusts with the Douglas County Register of Deeds on all its public housing and agency owned housing on different dates over the years at the time the deeds were first filed with the registrar. The LDCHA has nine separate housing developments. Each deed was filed with a Declaration of Trust.

F. Resident Commissioner

The LDCHA is in compliance with the requirement that the agency have a commissioner who is a resident of one of the agency's housing programs. Maria Duran, who is a public housing participant, is the resident commissioner. Ms. Duran was appointed to the Board by the Mayor of Lawrence for a four-year term in July 2016 and re-appointed for a second four-year term in May 2020.

Additional Appendix Items

- | | |
|---------------------|--|
| Appendix I | LDCHA's MTW Demonstration Program |
| Appendix II | Certifications and Declarations, and Forms |
| Appendix III | Capital Fund Performance and Evaluation Reports |

Appendix I:

LDCHA's MTW DEMONSTRATION PROGRAM

The LDCHA submits Appendix I to provide a comprehensive outline of its Moving to Work (MTW) program elements that have been developed during its participation in the MTW Demonstration through various MTW Plans submitted to HUD. Additionally, significant data of its MTW Program, maintained since the inception in 1999, will continue to be collected and presented with its annual reports.

The LDCHA merged the Public Housing and Section 8 Housing Assistance programs into one housing program called General Housing Assistance with one waiting list and two forms of assistance. A household whose name comes to the top of the waiting list is offered the first form of assistance available. An applicant is allowed to pass but will be assessed a pass penalty and moved down the waiting list to the date of the offer. Once an applicant has received an offer of all forms of housing and passes they are dropped from the waiting list.

All applicants for General Housing Assistance must meet suitability criteria as well as income eligibility criteria. Applicants with no prior rental experience can qualify by completing the Renter Education Program, the goal of which is to teach applicants various duties, responsibilities and rights as a leaseholder.

The agency developed an MTW rent structure that requires all non-elderly, non-disabled adults to pay a significant minimum rent regardless of their income. The LDCHA's rent structure goal was intended to move participants to work by making them responsible for paying a meaningful rent, high enough to require work but low enough to be affordable. To reward work, the agency set a maximum, or ceiling, rent for each unit by bedroom size. To encourage employment advancement the agency established a system of income deductions that increase as hours of work increase. The LDCHA's MTW rent structure requires a significant minimum payment regardless of income and caps rent as income rises to encourage upward economic mobility. The minimum and maximum MTW rents are adjusted periodically by Board resolution.

Actual MTW monthly rent is determined by:

- annualizing total household income
- subtracting allowable deductions
- multiplying the sum by 30%
- dividing the amount by 12

Income deductions for MTW households include:

- 10% earned income deduction for those working at least 35 hours/week
- \$2,000 medical deduction for those working at least 35 hours/week

- full out-of-pocket dependent care deduction necessary to allow work or school attendance
- utility allowance as an annual income deduction, not as a monthly deduction from rent
- increase in the child dependent deduction to \$840 per child capped at \$1,680 per household

If the final amount is less than the minimum rent for the bedroom size occupied by the household, the annual rent is increased to the minimum. If it is higher than the maximum rent, it is lowered to the maximum. If it falls between the minimum and maximum, it is set where it falls. Section 8 households may pay a rent higher than the maximum if they select a unit with a contract rent that exceeds the payment standard.

Application of MTW Rent Structure

The alternative MTW rent policy and work requirement apply to all households in the General Housing program with a non-disabled adult age 62 or younger in the household. Exempt households may elect to participate in the MTW rent structure if they meet the work requirement through employment income. There are limited exemptions permitted, as follows:

- Elderly/Disabled Status - All adult household members age 62 or over, or who have disability status that prevents employment.
- Temporary Medical Exemption - verified medical condition of a household member lasting longer than 3 months that limits or that prevents work activities. Must be certified to by a licensed physician or medical practitioner.
- Discretionary Exemption - households with only one adult who does not have elderly/disability status and who, due to limitations of employment experience, education or training, or other significant barriers, is unable to earn sufficient income to meet the MTW minimum rent requirement.
- Work Requirement Exemption Only - Households receiving TANF Cash Assistance with one adult member who has been determined "not mandatory for work" by DCF. The household will receive assistance under the MTW rent structure, but the person will not be subject to the work requirement.

Annual Rent

An important component of the LDCHA's MTW rent structure is the feature of Annual Rent or Fixed Rent. Rent is fixed for one year and does not change, regardless of changes in household income or composition except in instances where a household permanently loses income through death, divorce, or when an income producing adult child whose income was included in the rent calculation moves out of the household.

Work Requirement

The work requirement mandates that all able-bodied adults age 18 and older work a minimum of 15 hours a week. For a two-adult household with minor children, the work requirement can be met if one adult works 35 hours per week. Enrollment in a post-secondary education program or Work Training Program satisfies the work requirement. An adult child in the household is also subject to the work requirement. Residents who fail to meet the work requirement must participate in the LDCHA's Family Self-Sufficiency Program 15 hours per week. Failure to meet the work requirement is a major program breach.

Other Approved Rent Reform Elements of the Rent Structure

Section 8 portability is restricted. MTW households may not move outside the LDCHA's jurisdiction unless the household applies for and receives an exception from this rule as a reasonable accommodation for a disability or other good cause, such as taking a job in a different city, education, or other household need. Households porting into the LDCHA's jurisdiction must participate in the MTW program, unless exempt.

Households that have both elderly/disabled members and non-disabled adult members are considered mixed eligibility households and are placed in the MTW rent structure.

Flat rents are not applied in the MTW rent structure, and MTW participants are not eligible for the flat rent option.

Rent Hardship Policy

The LDCHA's Rent Hardship Policy permits a degree of rent relief if the household experiences a loss in employment income or medical illness. Under the policy, a household may be re-certified to a \$50 or \$100 monthly rent based on the nature and amount of the income loss. The rent reduction is for a period not to exceed three months. A household may have a hardship rent reduction only once every 12 months, measured from the completion of any prior hardship .

If the household's income loss is due to a condition that then qualifies the individual for a disability under ADA, the household's designation is changed from MTW to income-based and they are then recertified.

Homeownership

Households that have an annual gross income which exceeds 50% of the Area Median Income (AMI) are offered an opportunity to join the homeownership program.

The LDCHA will provide a \$3,000 matching grant for down payment or closing costs for households that complete the Homeownership Program and purchase a house. Households participating in the Homeownership Program that have income between 80%-99% of AMI are allowed to pay the MTW maximum rent for three to five years or until they purchase a home. Households who do not join the homeownership program may remain in their public housing unit until their gross annual income reaches 80% AMI at which time

they become responsible for paying the full market rent without subsidy. The LDCHA encourages households to leave the housing assistance program when a household's gross annual income reaches 100% AMI, so that higher income households not interested in purchasing a house will move into the private rental market, thereby opening up units of affordable housing for households at or below 80% of AMI.

Households participating in Section 8 voucher must leave the program when their rent obligation equals the full contract rent for their unit for six consecutive months. This is a provision of the Section 8 Housing Assistance Payment contract which serves as a term limit for higher income households.

Public housing households that reach 100% of AMI at the annual recertification will be given a 6 month notice to vacate. If the household has not provided the LDCHA with notice of a pending home purchase, the household will not be eligible for the homeownership down payment match. This will result in Section 8 HCV and public housing households being treated the same.

Alternate Rent Historic Outcomes

| Avg Gross In- come / Partici- pants / Home- ownership | MTW YEAR | AVG GROSS INCOME | AVG TENANT RENT | AVG HAP TO OWNER | AVG CON- TRACT RENT | AVG FAMILY SIZE | MTW RENT PARTICI- PANTS | HOME- OWNER- SHIP |
|--|------------------------|------------------------|-----------------------|------------------------|------------------------------|-----------------------|----------------------------------|-------------------------|
| BASELINE Year 2 | 2000 - 2001 YR 2 | 16,434 | 296 | 213 | 622 | 3 | 391 | |
| | 2001 - 2002 YR 3 | 16,660 | 303 | 223 | 653 | 3 | 401 | 1 |
| | 2002 - 2003 YR 4 | 17,967 | 288 | 375 | 676 | 3 | 517 | 5 |
| BENCHMARK Increase met- rics over time | 2003 - 2004 YR 5 | 19,564 | 329 | 378 | 731 | 3 | 492 | 5 |
| | 2004 - 2005 YR 6 | 19,901 | 332 | 403 | 737 | 3 | 479 | 5 |
| | 2005 - 2006 YR 7 | 19,274 | 324 | 436 | 768 | 3 | 450 | 2 |
| | 2006 - 2007 YR 8 | 20,372 | 349 | 422 | 786 | 3 | 456 | 9 |
| | 2007 - 2008 YR 9 | 21,625 | 368 | 439 | 814 | 3 | 440 | 5 |
| | 2008 - 2009 YR 10 | 20,446 | 367 | 499 | 874 | 3 | 426 | 7 |
| | 2010 YR 11 | 19,776 | 358 | 510 | 872 | 3 | 411 | 7 |
| | 2011 YR 12 | 19,793 | 355 | 513 | 870 | 3 | 411 | 3 |
| | 2012 YR 13 | 21,060 | 376 | 551 | 929 | 3 | 477 | 8 |
| | 2013 YR 14 | 22,558 | 388 | 539 | 937 | 3 | 478 | 7 |
| | 2014 YR 15 | 23,937 | 411 | 521 | 950 | 3 | 472 | 5 |
| | 2015 YR 16 | 27,429 | 424 | 526 | 961 | 3 | 485 | 10 |
| | 2016 YR 17 | 24,345 | 417 | 536 | 977 | 3 | 479 | 2 |
| | 2017 YR 18 | 24,736 | 419 | 561 | 981 | 3 | 475 | 4 |
| | 2018 YR 19 | 23,997 | 410 | 573 | 996 | 3 | 508 | 8 |
| | 2019 YR 20 | 25,176 | 390 | 628 | 1,030 | 3 | 434 | 6 |
| OUTCOME | 2020 YR 21 | 27,417 | 393 | 663 | 1,113 | 3 | 369 | 3 |
| | OVERALL AVERAGE | 21,623 | 365 | 475 | 864 | 3 | 453 | Total 102 |

Appendix II

Certifications and Declarations

- Board Resolution 2021-17 Adopting 2022 Annual MTW Plan
- Certification of Meeting MTW Statutory Requirements and Public Notices
- Certification of a Drug-Free Workplace
- Disclosure of Lobbying Activities
- Certification of Payments
- Affidavit of Publication for Public Notice Ad
- Landlord On-Site Verification and Re-Inspection Form
- Certification of Payments HUD Form 50071

RESOLUTION NO. 2021-17
Approve Submission of the LDCHA FY 2022 MTW Annual Plan.

WHEREAS, Moving to Work is a demonstration program authorized by Congress and implemented by the U.S. Department of Housing and Urban Development; and,

WHEREAS, in establishing MTW, Congress granted broad authority to housing authorities to design programs that would achieve three primary goals:

- Reduce cost and achieve greater cost effectiveness in Federal expenditures;
- Give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employments and become economically self-sufficient; and,
- Increase housing choices for low-income families; and

WHEREAS, the MTW Agreement provides the Lawrence-Douglas County Housing Authority (LDCHA) with the authority to design and test innovative, locally-designed housing and self-sufficiency strategies for low-income families; and

WHEREAS, the LDCHA was given broad authority to test new models for delivering public housing and Section 8 assistance in its original MTW Agreement signed March 30, 1999; and

WHEREAS, these authorities were continued and expanded under the Amended and Restated Moving to Work Agreement signed April 22, 2008; and

WHEREAS, in 2016 HUD and LDCHA executed an amendment to extend the Agency's MTW participation through fiscal year 2028 on the conditions and requirements of participation outlined in the Amended and Restated Agreement (Restated Agreement) between HUD and LDCHA; and

WHEREAS, LDCHA certifies that the housing assisted under the demonstration program is safe, decent, sanitary, and in good repair; and

WHEREAS, with due public notice, on August 12 2021, the LDCHA conducted a public hearing on the FY 2022 MTW Annual Plan; and

WHEREAS, LDCHA provides certifications and other submissions as required by HUD; and

WHEREAS, LDCHA is requesting the Board of Commissioners to adopt LDCHA's FY 2022 MTW Annual Plan and authorize the submission of the FY 2022 MTW Annual Plan to HUD;

THEREFORE BE IT RESOLVED, by the Board of Commissioners of the Lawrence-Douglas County Housing Authority that:

1. LDCHA's FY 2022 MTW Annual Plan is hereby adopted.
2. The Chair of the Board of Commissioners and the Executive Director are hereby authorized to execute any required documents, certifications or HUD forms related to the approval and filing of LDCHA's FY 2022 MTW Annual Plan.
3. The Executive Director is authorized to submit LDCHA's FY 2022 MTW Annual Plan and such other required documents, certifications or forms to HUD with such changes, additions, corrections or amendments as deemed necessary or appropriate or as may be required by HUD.
4. The Executive Director is hereby authorized to negotiate with HUD regarding any portion of LDCHA's FY 2022 MTW Annual Plan and to carry out other actions relating to the Plan.

BOARD VICE CHAIR'S CERTIFICATION

I, Joshua Powers, Chair of the Board of Commissioners of the Lawrence-Douglas County Housing Authority, Lawrence, Kansas, do hereby certify that Resolution No. 2021-17 was properly adopted at a regular meeting held August 23, 2021.

BY: Joshua C. Powers
Joshua Powers
Chair, LDCHA Board

Annual Moving to Work Plan Certifications of Compliance

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing**

Certifications of Compliance with Regulations: Board Resolution to Accompany the Annual Moving to Work Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Vice Chair or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the Annual Moving to Work Plan for the PHA fiscal year beginning **January 1, 2021**, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 30 days before the public hearing, published a notice that a hearing would be held and conducted a public hearing to discuss the Plan and invited public comment.
2. The Agency took into consideration public and resident comment before approval of the Plan by the Board of Commissioners or Board of Directors in order to incorporate any public comments into the Annual MTW Plan;
3. The PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
4. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
5. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
6. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
7. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
8. The PHA will comply with requirements with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
9. The PHA will comply with requirements with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
10. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
11. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
12. The PHA will provide HUD or the responsible entity any documentation that the Department needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58.
13. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.

14. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.

15. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.

16. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments) and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments.).

17. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the Moving to Work Agreement and Statement of Authorizations and included in its Plan.

18. All attachments to the Plan have been and will continue to be available at all times and all locations that the Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its Plan and will continue to be made available at least at the primary business office of the PHA.

Lawrence-Douglas County Housing Authority

PHA Name

KS053

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Joshua Powers

Name of Authorized Official

Chair, LDCHA Board of Commissioners

Title

Joshua C. Powers

Signature

August 25, 2021

Date

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Lawrence-Douglas County Housing Authority

Program/Activity Receiving Federal Grant Funding

Moving To Work Demonstration Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.

(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Shannon Oury

Title

Executive Director

Signature

Date

X

August 24, 2021

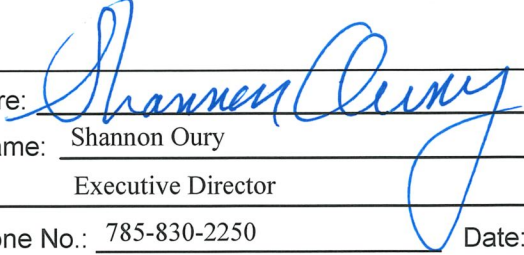
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

| | | | | | |
|--|--|--|---|---|--|
| 1. Type of Federal Action: <input checked="checked" type="checkbox"/> A a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance | | 2. Status of Federal Action: <input checked="checked" type="checkbox"/> NA a. bid/offer/application b. initial award c. post-award | | 3. Report Type: <input checked="checked" type="checkbox"/> A a. initial filing b. material change For Material Change Only: year _____ quarter _____ date of last report _____ | |
| 4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c | | | 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known: | | |
| 6. Federal Department/Agency: U.S. Department of Housing and Urban Development | | | 7. Federal Program Name/Description: CFDA Number, if applicable: _____ | | |
| 8. Federal Action Number, if known: | | | 9. Award Amount, if known: \$ N/A | | |
| 10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): | | | b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):  | | |
| 11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | | | Signature: _____ Print Name: Shannon Oury Title: Executive Director Telephone No.: 785-830-2250 Date: 08/24/2022 | | |
| Federal Use Only: | | | | Authorized for Local Reproduction Standard Form LLL (Rev. 7-97) | |

Affidavit in Proof of Publication

STATE OF KANSAS
Douglas County

(Published in the Lawrence Daily Journal-World on 11th of July, 2021)

Emily Meisenheimer of the Legal Dept. of the Lawrence Daily Journal-World being first duly sworn, deposes and says:

That this daily newspaper printed in the State of Kansas, and published in and of general circulation in Douglas County, Kansas, with a general paid circulation on a daily basis in Douglas County, Kansas, and that said newspaper is not a trade, religious or fraternal publication, and which newspaper has been admitted to the mails as periodicals class matter in said County, and that a notice of which is hereto attached, was published in the regular and entire issue of the Lawrence Daily Journal-World

Said newspaper is published six days per week, 52 weeks per year; has been so published continuously and uninterruptedly in said county and state for a period of more than five years prior to the first publication of said notice and been admitted at the post office of Lawrence in said County as second class matter.

That the attached notice is a true copy thereof and was published in the regular and entire issue of said newspaper for 1 consecutive days/weeks the first publication thereof being made as aforesaid on 07/11/2021 with publications being made on the following dates:

07/11/2021



Subscribed and sworn to before me this 12th day of July, 2021.



Notary Public

My Appointment expires: 8/19/2024

| | |
|----------------------|-----------------|
| Notary And Affidavit | \$0.00 |
| Additional Copies | \$0.00 |
| Publication Charges | \$114.96 |
| | <u>\$114.96</u> |

PUBLIC NOTICE

The Lawrence-Douglas County Housing Authority (LDCHA) Draft MTW Annual Plan for 2022 will be available to the public for review and comment during a 30-day period beginning July 12, 2021 and ending at 4:00 pm August 12, 2021.

Additionally, the LDCHA 5-Year Capital Fund Action Plan will be available to the public for review and comment during a 45-day period beginning July 12, 2021, and ending at 4:00 pm August 27, 2021.

During the public comment period, the Draft MTW Annual Plan and 5-Year Capital Fund Action Plan will be available at <http://www.ldcha.org>, and printed copies will be available at the following locations:

| | |
|---------------------------------|-----------------------------|
| LDCHA Administration Offices | 1600 Haskell Avenue |
| LDCHA Resident Services Office | 1600 Haskell Avenue, #187 |
| Babcock Place | 1700 Massachusetts St. |
| Planning & Development Services | 1 Riverfront Plaza, Suite 1 |
| County Clerk's Office | 1100 Massachusetts St. |
| Lawrence Public Library | 707 Vermont St. |

The public is invited to comment on each Plan in writing, delivered or mailed to Lawrence-Douglas County Housing Authority, 1600 Haskell Avenue, faxed to 842-9596, or emailed to housing@ldcha.org (please put PLAN in the subject line).

An in-person public hearing on the MTW Annual Plan for 2022 will be held August 12, 2021 at 5:00 p.m. at the Huppee Community Facility, Edgewood Homes, 1600 Haskell Avenue, during the LDCHA Back-to-School Cookout.

LOGO LOGO LOGO LOGO





TO: [VENDORNAME] [DATE]
 [VENDORADD1]
 [VENDORADD2]
 [VENDORCITY], [VENDORSTATE] [VENDORZIP]

FROM: LDCHA Property and Program Management Office

Dear Landlord/Manager,

Landlord On-Site Verification and Re-Inspection Form

RE: [TENANTNAME] [TENANTADD1] [TENANTADD2][TENANTCITY], [TENANTSTATE]
 [TENANTZIP]

Your property was recently inspected as part of the Lawrence-Douglas County Housing Authority Housing Quality Inspection process and it did not pass the first inspection. The deficiencies noted were not life threatening and/or did not present any safety hazards.

You are being offered the option to verify repair of the items listed below, which will allow the property to pass, and complete the annual inspection process. In lieu of LDCHA re-inspecting the property, you may complete the necessary repairs, verify that a visual inspection has been performed, and return this form to LDCHA by **09/14/2019**. If the LDCHA does not receive this completed form by the deadline, the LDCHA HQS Inspector will schedule a re-inspection of the property.

 (Initial Here) **The Management staff verifies that the deficiencies were corrected
 in accordance with regulatory, city code and industry standards.**

Repairs were made to correct the deficiencies as part of the LDCHA inspection process: *Owner Responsibilities: Maintaining the unit in accordance with HQS, including performance of ordinary and extraordinary maintenance. CFR 24 982.452 Subpart J Housing Assistance Payments Contract and Owner Responsibilities.*

**LIST ALL ITEMS THAT WERE REPAIRED ALONG WITH A BRIEF STATEMENT OF COMPLETED WORK
 DONE: (USE BACK OF FORM IF NECESSARY)**

1. _____
2. _____
3. _____
4. _____

By completing and signing this form you acknowledge that the work has been completed and a visual inspection has been done. In addition, the information is accurate and true. It is further understood that presenting or participating in false statement(s) is subject to punishment under federal law and may constitute a breach of the HAP contract. *CFR 24 982.453 Subpart J Housing Assistance Payments Contract - Owner breach of contract.*

 Print Name

 Signature

 Date

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Lawrence-Douglas County Housing Authority

Program/Activity Receiving Federal Grant Funding

Public Housing Authority

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Shannon Oury

Title

Executive Director

Signature

Date (mm/dd/yyyy)

08/24/2021

Appendix III

Capital Fund Performance & Evaluation Reports

- 2021 Capital Fund Program
- 2020 Capital Fund Program
- 2019 Capital Fund Program



LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT

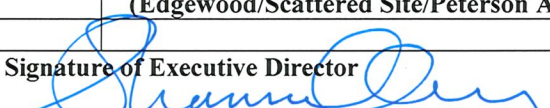
2021 CAPITAL FUND PROGRAM
KS16P053501-21

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 06/30/2017

Page1

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 06/30/2017

| Part I: Summary | | | | | |
|--|--|--|----------------------|---|----------|
| PHA Name: Lawrence-Douglas County Housing Authority | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-21 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant: 2021 FFY of Grant Approval: 2021 | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ¹ | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18b | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 969,360 | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| *Costs that cannot be fully covered by 2021 CFP will be paid by MTW Single Fund Reserves (Edgewood/Scattered Site/Peterson Acres I HVAC Replacement – estimated cost \$500,000) | | | | | |
| Signature of Executive Director  | | Date 7-14-21 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Lawrence-Douglas County Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-21 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2021 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Operations | 1406 | | 155,098 | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Management Improvements | 1408 | | 96,936 | | | | |
| | a. Staff training | | | | | | | |
| | b. Physical needs assessment | | | | | | | |
| | c. Security | | | | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Administration | 1410 | | 96,936 | | | | |
| | a. Salary and fringe | | | | | | | |
| | b. Travel and training | | | | | | | |
| | c. Telephone and sundry | | | | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | General Capital Activity | 1480 | | 620,390 | | | | |

| Part II: Supporting Pages | | | | | | | | |
|---|--|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Lawrence-Douglas County Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-21 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2021 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| | Audit Cost | | | | | | | |
| | Fees and Costs | | | | | | | |
| | Site Acquisition | | | | | | | |
| | Site Improvements | | | | | | | |
| | a. Landscaping/erosion control | | | | | | | |
| | b. Playground equipment | | | | | | | |
| | c. Asphalt, concrete | | | | | | | |
| | d. Fencing, mailboxes, etc. | | | | | | | |
| | Dwelling Structures | | | | | | | |
| | a. Foundation repair and floor slab replacement | | | | | | | |
| | b. Exterior walls, including installation of vinyl siding | | | | | | | |
| | c. Doors and door hardware | | | | | | | |
| | d. Roofs and gutters | | | | | | | |
| | e. HVAC | | | | | | | |
| | f. Plumbing | | | | | | | |
| | g. Cabinets and countertops | | | | | | | |
| | h. Flooring, including carpet, vinyl floor tile and base | | | | | | | |
| | i. Electrical upgrades | | | | | | | |
| | j. Window replacement | | | | | | | |

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 06/30/2017

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Lawrence-Douglas County Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-21 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2021 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| | k. Interior walls, including Babcock studio partition walls and removal of asbestos-containing ceiling material | | | | | | | |
| | l. Elevator upgrades | | | | | | | |
| | m. Testing, including sampling of asbestos containing material and air monitoring | | | | | | | |
| | Dwelling Equipment | | | | | | | |
| | a. Appliances | | | | | | | |
| | b. Blinds | | | | | | | |
| | Non-Dwelling Structures | | | | | | | |
| | a. Warehouse/storage | | | | | | | |
| | Non-Dwelling Equipment | | | | | | | |
| | a. Office furniture & equipment | | | | | | | |
| | Demolition | | | | | | | |
| | Relocation Cost | | | | | | | |
| | Development Activity | | | | | | | |

Part II: Supporting Pages

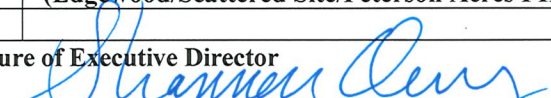


LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT

2020 CAPITAL FUND PROGRAM
KS16P053501-20

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 06/30/2017

Page 1 form HUD-50075.1 (07/2014)

| Part I: Summary | | | | | |
|--|--|--|----------------------|---|----------|
| PHA Name: Lawrence-Douglas County Housing Authority | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-20 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant: 2020 FFY of Grant Approval: 2020 | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ¹ | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18b | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 928,352 | 935,099 | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| *Costs that cannot be fully covered by 2020 CFP will be paid by MTW Single Fund Reserves (Edgewood Roof Replacement – estimated cost \$ 350,000) (Edgewood/Scattered Site/Peterson Acres I HVAC Replacement – estimated cost \$500,000) | | | | | |
| Signature of Executive Director  | | Date 8-23-21 | | Signature of Public Housing Director | |
| | | | | Date | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Lawrence-Douglas County Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-20 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2020 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Operations | 1406 | | 148,535 | 148,535 | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Management Improvements | 1408 | | 92,835 | 93,510 | | | |
| | a. Staff training | | | | | | | |
| | b. Physical needs assessment | | | | | | | |
| | c. Security | | | | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Administration | 1410 | | 92,835 | 93,510 | | | |
| | a. Salary and fringe | | | | | | | |
| | b. Travel and training | | | | | | | |
| | c. Telephone and sundry | | | | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | General Capital Activity | 1480 | | 594,147 | 599,544 | | | |

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|---|
| PHA Name: Lawrence-Douglas County Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-20 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2020 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| | Audit Cost | | | | | | | |
| | Fees and Costs | | | | | | | |
| | Site Acquisition | | | | | | | |
| | Site Improvements | | | | | | | |
| | a. Landscaping/erosion control | | | | | | | |
| | b. Playground equipment | | | | | | | |
| | c. Asphalt, concrete | | | | | | | SS722 |
| | d. Fencing, mailboxes, etc. | | | | | | | |
| | Dwelling Structures | | | | | | | |
| | a. Foundation repair and floor slab replacement | | | | | | | EW142 |
| | b. Exterior walls, including installation of vinyl siding | | | | | | | SS715 |
| | c. Doors and door hardware | | | | | | | SS613, SS317, SS722 SS320 |
| | d. Roofs and gutters | | | | | | | |
| | e. HVAC | | | | | | | SS613, SS317, SS320 EW190 |
| | f. Plumbing | | | | | | | SS613, EW142 |
| | g. Cabinets and countertops | | | | | | | SS613, BCP311, SS317, SS722, BCP607, SS320 |
| | h. Flooring, including carpet, vinyl floor tile and base | | | | | | | SS613, SS715, BCP311, SS317, SS722, BCP607, SS320, EW190, EW142, BCP701 |

| | | | | | | | | |
|--|------------------------|--|--|--|--|--|--|-------|
| | i. Electrical upgrades | | | | | | | |
| | j. Window replacement | | | | | | | SS715 |

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 06/30/2017

| Part II: Supporting Pages | | | | | | | | |
|---|---|--|----------|----------------------|----------------------------|---------------------------------|--------------------------------|---------------------------------|
| PHA Name: Lawrence-Douglas County Housing Authority | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-20 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2020 | | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| | k. Interior walls, including Babcock studio partition walls and removal of asbestos-containing ceiling material | | | | | | | EW188, EW190, BCP701, EW177 |
| | l. Elevator upgrades | | | | | | | |
| | m. Testing, including sampling of asbestos containing material and air monitoring | | | | | | | EW188, EW190, BCP701, EW 177 |
| | Dwelling Equipment | | | | | | | |
| | a. Appliances | | | | | | | |
| | b. Blinds | | | | | | | |
| | Non-Dwelling Structures | | | | | | | |
| | a. Warehouse/storage | | | | | | | |
| | Non-Dwelling Equipment | | | | | | | |
| | a. Office furniture & equipment | | | | | | | |
| | Demolition | | | | | | | |

² To be completed for the Performance and Evaluation Report.



LAWRENCE-DOUGLAS COUNTY HOUSING AUTHORITY
PERFORMANCE & EVALUATION REPORT

2019 CAPITAL FUND PROGRAM
KS01PO53501-19

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 06/30/2017

[illegible]

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 06/30/2017

| Part I: Summary | | | | | |
|---|--|--|----------------------|---|----------|
| PHA Name: Lawrence-Douglas County Housing Authority | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-19 Replacement Housing Factor Grant No: Date of CFFP: | | FFY of Grant: 2019 FFY of Grant Approval: 2019 | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ¹ | Obligated | Expended |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18b | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant:: (sum of lines 2 - 19) | 868,487 | | | |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| *Costs that cannot be fully covered by 2019 CFP will be paid by MTW Single Fund Reserves (Edgewood Roof Replacement – estimated cost \$ 350,000) (Edgewood/Scattered Site/Peterson Acres I HVAC Replacement – estimated cost \$500,000) | | | | | |
| Signature of Executive Director <i>Shannon Deeny</i> | | Date <i>10-8-19</i> | | Signature of Public Housing Director _____ | |
| | | | | Date _____ | |

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|---|---|----------------------------|--|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| PHA Name: Lawrence-Douglas County Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: KS01P053501-19 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | | | Federal FFY of Grant: 2019 | | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Operations | 1406 | | 138,195 | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Management Improvements | 1408 | | 86,350 | | | | |
| | a. Staff training | | | | | | | |
| | b. Physical needs assessment | | | | | | | |
| | c. Security | | | | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | Administration | 1410 | | 86,350 | | | | |
| | a. Salary and fringe | | | | | | | |
| | b. Travel and training | | | | | | | |
| | c. Telephone and sundry | | | | | | | |
| KS053000001/2 Edgewood 101-230 Scattered 301-725 Babcock 201-720 Peterson 801-825 | General Capital Activity | 1480 | | 557,592 | | | | |

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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 Expires 06/30/2017

Part II: Supporting Pages

| | | |
|--|---|-----------------------------------|
| PHA Name: Lawrence-Douglas County Housing Authority | Grant Type and Number Capital Fund Program Grant No: KS01P053501-19 CFFP (Yes/ No): No Replacement Housing Factor Grant No: | Federal FFY of Grant: 2019 |
|--|---|-----------------------------------|

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|--|----------------------------|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| | Audit Cost | | | | | | | |
| | Fees and Costs | | | | | | | |
| | Site Acquisition | | | | | | | |
| | Site Improvements | | | | | | | |
| | a. Landscaping/erosion control | | | | | | | |
| | b. Playground equipment | | | | | | | |
| | c. Asphalt, concrete | | | | | | | |
| | d. Fencing, mailboxes, etc. | | | | | | | |
| | Dwelling Structures | | | | | | | |
| | a. Foundation repair and floor slab replacement | | | | | | | |
| | b. Exterior walls, including installation of vinyl siding | | | | | | | |
| | c. Doors and door hardware | | | | | | | |
| | d. Roofs and gutters | | | | | | | |
| | e. HVAC | | | | | | | |
| | f. Plumbing | | | | | | | |
| | g. Cabinets and countertops | | | | | | | |
| | h. Flooring, including carpet, vinyl floor tile and base | | | | | | | |
| | i. Electrical upgrades | | | | | | | |
| | j. Window replacement | | | | | | | |

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

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Part II: Supporting Pages

PHA Name: Lawrence-Douglas County Housing Authority

Grant Type and Number

Capital Fund Program Grant No: KS01P053501-19

CFFP (Yes/ No): No

Replacement Housing Factor Grant No:

Federal FFY of Grant: 2019

| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
|---|---|----------------------------|----------|----------------------|----------------------|---------------------------------|--------------------------------|----------------|
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| | k. Interior walls, including Babcock studio partition walls and removal of asbestos-containing ceiling material | | | | | | | |
| | l. Elevator upgrades | | | | | | | |
| | m. Testing, including sampling of asbestos containing material and air monitoring | | | | | | | |
| | Dwelling Equipment | | | | | | | |
| | a. Appliances | | | | | | | |
| | b. Blinds | | | | | | | |
| | Non-Dwelling Structures | | | | | | | |
| | a. Warehouse/storage | | | | | | | |
| | Non-Dwelling Equipment | | | | | | | |
| | a. Office furniture & equipment | | | | | | | |
| | Demolition | | | | | | | |
| | Relocation Cost | | | | | | | |
| | Development Activity | | | | | | | |

PHA Name: Lawrence-Douglas County Housing Authority

[illegible]

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.