

# MTW Cohort 1 Baseline Survey

This is the Moving to Work (MTW) Expansion Cohort 1 Baseline Survey. This survey must be completed as part of the PHA's application to participate in cohort 1 of the MTW Expansion. After you complete the survey and submit your responses, the system will notify you and confirm to HUD that the survey was completed. The survey should take about 45 minutes to complete.

Responses to the baseline survey will be used in the evaluation of the MTW expansion.

The Moving to Work (MTW) demonstration was established under Section 204 of the Omnibus Consolidated Rescissions and Appropriations Act of 1996 to provide statutory and regulatory flexibility to participating public housing agencies (PHAs) under three statutory objectives:

1. To reduce cost and achieve greater cost effectiveness in Federal expenditures
2. To give incentives to families with children whose heads of household are either working, seeking work, or are participating in job training, educational or other programs that assist in obtaining employment and becoming economically self-sufficient
3. To increase housing choice for low-income families.

The 2016 MTW Expansion Statute (Section 239 of the Fiscal Year 2016 Appropriations Act, P.L. 114-113) requires that the MTW Expansion PHAs be added to the MTW Demonstration Program in cohorts that will test specific policy changes. The statute further requires that each cohort must be rigorously evaluated. The Baseline Survey will help us complete the rigorous evaluation of cohort 1. For more information about the MTW Expansion, go to this webpage: [https://www.hud.gov/program\\_offices/public\\_indian\\_housing/programs/ph/mtw/expansion](https://www.hud.gov/program_offices/public_indian_housing/programs/ph/mtw/expansion)

***The information gathered in the survey will not be used in the determination of whether or not a PHA is awarded MTW status.*** The Baseline Survey will allow HUD to collect consistent data from all applicant PHAs that will establish basic information about the current operations of each applicant PHA and gather information about the PHA's motivation to join the MTW demonstration. As explained in the Selection Notice (available at <https://www.hud.gov/mtw>), PHAs applying to participate in cohort 1 of the MTW Expansion will be screened for eligibility by the U.S. Department of Housing & Urban Development (HUD). ***All eligible applicant PHAs will have an equal chance to be selected into the MTW demonstration.***

The survey allows you to exit and return to complete the survey later without losing your work. After submitting the survey (which is only possible to do from the last page), however, you will not be able to return to it to view responses or to make changes. If you erroneously submit a survey, contact [mtw-info@hud.gov](mailto:mtw-info@hud.gov) to get the problem fixed.

When you have completed the survey fully and are ready to submit your responses, click on the "SUBMIT RESPONSES NOW" button. Your survey responses will be captured and the fact that you have completed the survey will be recorded automatically by the computer system. You should receive an email confirming that you have completed the survey. Completing the survey is a required part of your application to participate in the MTW expansion, so please make sure that you have received your survey completion confirmation well in advance of the application deadline. Any questions regarding the survey should be directed to [mtw-info@hud.gov](mailto:mtw-info@hud.gov).

**INSTRUCTIONS TO APPLICANTS:** Please provide the best information that you can in response to each question. Please consult other members of your organization as needed to provide the most accurate information possible. ***Responses to the survey are not binding; the survey is designed simply to capture the perspectives of the PHA in regard to the motivation to apply to be a part of cohort 1 of the MTW Expansion.***

## **PART 1: Respondent Information**

This part of the survey collects some basic information about your PHA, so that we can follow-up to resolve inconsistencies if necessary.

### **PHA Identification**

Please fill in your PHA code. The system will then find the PHA name and acronym. Please make sure the PHA name is correct before continuing with the survey. If you are not sure what your PHA Code is, you can look for it here:

[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/pha/contacts](https://www.hud.gov/program_offices/public_indian_housing/pha/contacts). You may also send an inquiry to [mtw-info@hud.gov](mailto:mtw-info@hud.gov).

1. What is your PHA Code?

PHA Code: \_\_\_\_\_

## **PART 2: PHA Motivation for MTW Participation**

This section of the survey seeks information about the motivations for applying for MTW status and the kinds of things that [PHA] might do if granted MTW status. ***Responses to the survey are not binding; the survey is designed simply to capture the perspectives of the PHA in regards to the motivation to apply to be a part of cohort 1 of the MTW Expansion.***

### **PHA Programs**

1. Please indicate which programs [PHA] has.
  - [PHA] has a HOUSING CHOICE VOUCHER program
  - [PHA] has a PUBLIC HOUSING program

### **Importance of Each Statutory Objective**

MTW's three statutory objectives are:

- (1) To reduce cost and achieve greater cost effectiveness in Federal expenditures
- (2) To give incentives to families with children whose heads of household are either working, seeking work, or are participating in job training, educational or other programs that assist in obtaining employment and becoming economically self-sufficient
- (3) To increase housing choice for low-income families.

2. Please assess how important each of these objectives is as a motivation for [PHA] to participate in the MTW Expansion. Please indicate importance along the following scale: Very Important, Important, Moderately Important, Slightly Important, or Not Important.

Cost effectiveness	<input type="radio"/> Very important	<input type="radio"/> Important	<input type="radio"/> Moderately important	<input type="radio"/> Slightly important	<input type="radio"/> Not important
Promoting self-sufficiency	<input type="radio"/> Very important	<input type="radio"/> Important	<input type="radio"/> Moderately important	<input type="radio"/> Slightly important	<input type="radio"/> Not important
Increasing housing choice	<input type="radio"/> Very important	<input type="radio"/> Important	<input type="radio"/> Moderately important	<input type="radio"/> Slightly important	<input type="radio"/> Not important

## Waivers for the HOUSING CHOICE VOUCHER Program

We would like to know which waivers [PHA] intends to use in the HOUSING CHOICE VOUCHER (HCV) program if selected to join the MTW expansion. The waivers and associated activities allowed for MTW expansion agencies are detailed in Appendix A of the MTW Expansion Operations Notice, which can be found [here](#). Please note that the waivers and activities listed in this survey are from the version of the Operations Notice published for 30-day comment and are subject to change upon the final publication of the Operations Notice.

The first set of questions addresses [PHA's] intention to use each waiver in the HCV program, and the second set of questions asks you to rank the identified waivers in order of importance to [PHA].

3. For the HCV program, please check the box which best [PHA's] intent to use each waiver. If the housing authority plans to use the waiver, choose "Yes." If it does NOT plan to use the waiver, choose "No." If it is considering using the waiver but has not decided, please choose "Maybe."

You must indicate a current intention for each waiver listed before you can advance to the next screen.

Please remember, YOUR RESPONSES ARE NOT BINDING IN ANY WAY—The survey is just trying to measure motives for applying to participate in the MTW expansion.

Waiver	Does the PHA plan to use the waiver?		
Tenant Rent Policies	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Payment Standards and Rent Reasonableness	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Increase Rent to Owner	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Reexaminations	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Tenant Rent Policies	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Payment Standards and Rent Reasonableness	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Increase Rent to Owner	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Reexaminations	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Voucher Leasing Incentives	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Short-Term Assistance	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Term-Limited Assistance	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Work Requirements	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe

Waiver	Does the PHA plan to use the waiver?		
Increase Elderly Age	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Increase Total PBV Cap	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Increase PBV Development Cap	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
PBV – Elimination of Competitive Process	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
PBV – Alternate Competitive Process	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
PBV – Unit Types – Shared Housing	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
MTW Self-Sufficiency Program	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe

## Intent to Request Other Waivers for the HOUSING CHOICE VOUCHER program

4. Does [PHA] intend to request one or more waivers for its HCV program that is not included in the above list (which is the same as the list found in Appendix A of the Operations Notice)?

Does PHA plan to request other HCV waivers?	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
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5. Please describe each waiver that would or might be requested for the HCV program and the reason for requesting that particular waiver. Each planned other waiver needs to have a separate entry with its own short name.

PHA fills this out for each “other” waiver if answered “yes” or “maybe” to Question 4

Please name the first planned other waiver for the HCV program.	[name]
Please describe this waiver and the reasons for requesting it.	[description]

Please name the second planned other waiver for the HCV program.	[name]
Please describe this waiver and the reasons for requesting it.	[description]

## Ranking the Importance of Waivers for the HOUSING CHOICE VOUCHER Program

6. It looks like [PHA] intends to use or might be considering using the waivers listed in the table below in its HOUSING CHOICE VOUCHER program. Please tell us which of the waivers are the “top three” waivers that are most important for motivating [PHA’s] participation in the MTW expansion.

PHA fills this out for any waivers identified in Question 3 or 5

Waiver	Is this a “top 3” waiver?
Tenant Rent Policies	<input type="radio"/> Yes <input type="radio"/> No
Payment Standards and Rent Reasonableness	<input type="radio"/> Yes <input type="radio"/> No
Increase Rent to Owner	<input type="radio"/> Yes <input type="radio"/> No
Reexaminations	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policies	<input type="radio"/> Yes <input type="radio"/> No

<b>Waiver</b>	<b>Is this a “top 3” waiver?</b>
Payment Standards and Rent Reasonableness	<input type="radio"/> Yes <input type="radio"/> No
Increase Rent to Owner	<input type="radio"/> Yes <input type="radio"/> No
Reexaminations	<input type="radio"/> Yes <input type="radio"/> No
Voucher Leasing Incentives	<input type="radio"/> Yes <input type="radio"/> No
Short-Term Assistance	<input type="radio"/> Yes <input type="radio"/> No
Term-Limited Assistance	<input type="radio"/> Yes <input type="radio"/> No
Work Requirements	<input type="radio"/> Yes <input type="radio"/> No
Increase Elderly Age	<input type="radio"/> Yes <input type="radio"/> No
Increase Total PBV Cap	<input type="radio"/> Yes <input type="radio"/> No
Increase PBV Development Cap	<input type="radio"/> Yes <input type="radio"/> No
PBV – Elimination of Competitive Process	<input type="radio"/> Yes <input type="radio"/> No
PBV – Alternate Competitive Process	<input type="radio"/> Yes <input type="radio"/> No
PBV – Unit Types – Shared Housing	<input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	<input type="radio"/> Yes <input type="radio"/> No
Other HCV waiver	<input type="radio"/> Yes <input type="radio"/> No

7. It looks like the waivers listed below are most important to [PHA's] interest in joining the MTW expansion. We would like to know the relative importance of these waivers. Please rank them in order of importance from “1” to “3” where “1” is most important. Only ranks 1, 2 or 3 are allowed and the rank field is required.

PHA fills this out for any waivers identified as “top three” in Question 6

<b>Waiver</b>	<b>Rank (1, 2, or 3)</b>
Tenant Rent Policies	[number]
Payment Standards and Rent Reasonableness	[number]
Increase Rent to Owner	[number]
Reexaminations	[number]
Tenant Rent Policies	[number]
Payment Standards and Rent Reasonableness	[number]
Increase Rent to Owner	[number]
Reexaminations	[number]
Voucher Leasing Incentives	[number]
Short-Term Assistance	[number]
Term-Limited Assistance	[number]
Work Requirements	[number]
Increase Elderly Age	[number]
Increase Total PBV Cap	[number]
Increase PBV Development Cap	[number]
PBV – Elimination of Competitive Process	[number]
PBV – Alternate Competitive Process	[number]

<b>Waiver</b>	<b>Rank (1, 2, or 3)</b>
PBV – Unit Types – Shared Housing	[number]
MTW Self-Sufficiency Program	[number]
Other HCV waiver	[number]

8. In the table below, the activities allowed under each of [PHA's] "top 3" waivers are listed. Please indicate whether or not [PHA] is thinking of implementing that type of activity and which statutory objectives would be served by that activity. If you selected a waiver that only has one activity, the table below will be pre-populated with the response that you gave for the Waiver (i.e., with yes or maybe). If you selected a waiver that has more than one activity, you must choose "yes" for at least one activity. If you choose "no" for an activity, the system will automatically fill in "no" for each of the possible statutory objectives.

Below is a sample table including all allowable activities for HCV waivers.  
PHA fills this out only for any waivers ranked 1, 2, or 3 in Question 7

<b>HCV Waiver</b>	<b>Activities Allowed</b>	<b>Does the PHA plan to implement this activity?</b>	<b>Which statutory objective would be served by implementing each activity?</b>	
Tenant Rent Policy	Income Bands	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Stepped Rent	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Minimum Rent	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Total Tenant Payment as a Percentage of Gross Income	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Alternate Utility Allowance	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Fixed Subsidy	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Utility reimbursements	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Initial Rent Burden	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No

<b>HCV Waiver</b>	<b>Activities Allowed</b>	<b>Does the PHA plan to implement this activity?</b>	<b>Which statutory objective would be served by implementing each activity?</b>	
Tenant Rent Policy	Imputed Income	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Elimination of Deduction(s)	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Standard Deductions	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Alternate Income Inclusions/Exclusions	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Payment Standards and Rent Reasonableness	Payment Standards	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Payment Standards and Rent Reasonableness	Rent Reasonableness	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Reexamination	Alternate Reexamination Schedule for Households	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Reexamination	Self-Certification of Assets	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Short-Term Assistance	Short-Term Assistance	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Term-Limited Assistance	Term-Limited Assistance	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Work Requirements	Work Requirements	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Waive Operating a Required FSS Program	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Alternative Program Coordinating Committee	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No

HCV Waiver	Activities Allowed	Does the PHA plan to implement this activity?	Which statutory objective would be served by implementing each activity?	
MTW Self-Sufficiency Program	Alternative Family Selection Procedures	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Modify or Eliminate the Contract of Participation	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Policies for Addressing Increases in Family Income	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No

## Waivers for the PUBLIC HOUSING Program

We would like to know which waivers [PHA] intends to use in the PUBLIC HOUSING (PH) program if selected to join the MTW expansion. The waivers and associated activities allowed for MTW expansion agencies are detailed in Appendix A of the MTW Expansion Operations Notice, which can be found [here](#). Please note that the waivers and activities listed in this survey are from the version of the Operations Notice published for 30-day comment and are subject to change upon the final publication of the Operations Notice.

The first set of questions addresses [PHA's] intention to use each waiver in the PH program, and the second set of questions asks you to rank the identified waivers in order of importance to [PHA].

9. For the PH program, please check the box which best describes [PHA's] intent to use each waiver. If the housing authority plans to use the waiver, choose "Yes." If it does NOT plan to use the waiver, choose "No." If it is considering using the waiver but has not decided, please choose "Maybe."

You must indicate a current intention for each waiver listed before you can advance to the next screen.

Please remember, YOUR RESPONSES ARE NOT BINDING IN ANY WAY—The survey is just trying to measure motives for applying to participate in the MTW expansion.

Waiver	Does the PHA plan to use the waiver?		
Tenant Rent Policies	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Reexamination	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Public Housing Leases	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Short-Term Assistance	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Term-Limited Assistance	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Work Requirements	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
MTW Self-Sufficiency Program	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe



## Intent to Request Other Waivers for the PUBLIC HOUSING Program

10. Does [PHA] intend to request one or more waivers for its PH program that is not included in the above list (which is the same as the list found in Appendix A of the Operations Notice)?

Does PHA plan to request other PH waivers?	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
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11. Please describe each waiver that would or might be requested for the PH program and the reason for requesting that particular waiver. Each planned other waiver needs to have a separate entry with its own short name.

PHA fills this out for each "other" waiver if answered "yes" or "maybe" to Question 10

Please name the first planned other waiver for the HCV program.	[name]
Please describe this waiver and the reasons for requesting it.	[description]

Please name the second planned other waiver for the HCV program.	[name]
Please describe this waiver and the reasons for requesting it.	[description]

## Ranking the Importance of Waivers for the PUBLIC HOUSING Program

12. It looks like [PHA] intends to use or might be considering using the waivers listed in the table below in its PUBLIC HOUSING program. Please tell us which of the waivers are the "top three" waivers that are most important for motivating [PHA's] participation in the MTW expansion.

PHA fills this out for any waivers identified in Question 9 or 11

Waiver	Is this a "top 3" waiver?
Tenant Rent Policies	<input type="radio"/> Yes <input type="radio"/> No
Reexamination	<input type="radio"/> Yes <input type="radio"/> No
Public Housing Leases	<input type="radio"/> Yes <input type="radio"/> No
Short-Term Assistance	<input type="radio"/> Yes <input type="radio"/> No
Term-Limited Assistance	<input type="radio"/> Yes <input type="radio"/> No
Work Requirements	<input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	<input type="radio"/> Yes <input type="radio"/> No
Other PH waiver	<input type="radio"/> Yes <input type="radio"/> No

13. It looks like the waivers listed below are most important to [PHA's] interest in joining the MTW expansion. We would like to know the relative importance of these waivers. Please rank them in order of importance from "1" to "3" where "1" is most important. Only ranks 1, 2 or 3 are allowed and the rank field is required.

PHA fills this out for any waivers identified as "top three" in Question 12

<b>Waiver</b>	<b>Rank (1, 2, or 3)</b>
Tenant Rent Policies	[number]
Reexamination	[number]
Public Housing Leases	[number]
Short-Term Assistance	[number]
Term-Limited Assistance	[number]
Work Requirements	[number]
MTW Self-Sufficiency Program	[number]
Other PH waiver	[number]

14. In the table below, the activities allowed under each of [PHA's] "top 3" waivers are listed. Please indicate whether or not [PHA] is thinking of implementing that type of activity and which statutory objectives would be served by that activity. If you selected a waiver that only has one activity, the table below will be pre-populated with the response that you gave for the Waiver (i.e., with yes or maybe). If you selected a waiver that has more than one activity, you must choose "yes" for at least one activity. If you choose "no" for an activity, the system will automatically fill in "no" for each of the possible statutory objectives.

Below is a sample table including all allowable activities for PH waivers.  
PHA fills this out only for any waivers ranked 1, 2, or 3 in Question 13

<b>PH Waiver</b>	<b>Activities Allowed</b>	<b>Does the PHA plan to implement this activity?</b>	<b>Which statutory objective would be served by implementing each activity?</b>	
Tenant Rent Policy	Income Bands	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Stepped Rent	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Minimum Rent	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Rent as a Percentage of Gross Income	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Alternate Utility Allowance	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Fixed Rents	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Utility reimbursements	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness:	<input type="radio"/> Yes <input type="radio"/> No
			Self-sufficiency:	<input type="radio"/> Yes <input type="radio"/> No
			Housing choice:	<input type="radio"/> Yes <input type="radio"/> No

PH Waiver	Activities Allowed	Does the PHA plan to implement this activity?	Which statutory objective would be served by implementing each activity?	
Tenant Rent Policy	Imputed Income	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Elimination of Deduction(s)	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Standard Deductions	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Tenant Rent Policy	Alternate Income Inclusions/Exclusions	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Reexamination	Alternate Reexamination Schedule for Households	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Reexamination	Self-Certification of Assets	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Public Housing Leases	Establish Community Rules through Local Lease	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Public Housing Leases	Establish Reasonable Fees through Local Lease	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Short-Term Assistance	Short-Term Assistance	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Term-Limited Assistance	Term-Limited Assistance	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
Work Requirements	Work Requirements	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Waive Operating a Required FSS Program	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Alternative Program Coordinating Committee	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No

PH Waiver	Activities Allowed	Does the PHA plan to implement this activity?	Which statutory objective would be served by implementing each activity?	
MTW Self-Sufficiency Program	Alternative Family Selection Procedures	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Modify or Eliminate the Contract of Participation	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
MTW Self-Sufficiency Program	Policies for Addressing Increases in Family Income	<input type="radio"/> Yes <input type="radio"/> No	Cost effectiveness: Self-sufficiency: Housing choice:	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No

## “Local Non-Traditional Activities” – Activities Outside of Sections 8 and 9

MTW status may allow a PHA to use funds for activities outside of the Housing Choice Voucher and Public Housing programs established in Sections 8 and 9 of the U.S. Housing Act of 1937 (1937 Act). Any use of MTW funds outside of the allowable uses listed in the 1937 Act Sections 8(o), 9(d)(1), and 9(e)(1) constitutes a local, non-traditional activity. Federal statutes and regulations that apply to local, non-traditional activities are described in PIH NOTICE 2011-45. HUD organizes local, non-traditional activities into four categories:

- 1) Rental Subsidy Programs—Rental subsidy programs that provide a rental subsidy to a third-party entity (other than a landlord or tenant) who manages intake and administration of the subsidy program to implement activities which may include supportive housing programs and services, supportive living, homeless/transitional housing programs, or programs that address special needs populations.
- 2) Service Provision—Provision of HUD-approved self-sufficiency or supportive services that are not otherwise permitted under the Public Housing and Housing Choice Voucher programs or are provided to eligible individuals who do not receive either Public Housing or Housing Choice Voucher assistance from the PHA.
- 3) Housing Development Programs—Housing development programs that use MTW funds to acquire, renovate, and/or build units that are not Public Housing or PHA-owned PBV units. Eligible activities may include gap financing for non-PHA development of affordable housing or tax credit partnerships.

15. If granted MTW status, does [PHA] plan to implement any local, non-traditional activities?

Does PHA plan to implement any local, non-traditional activities?	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
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16. [If “yes” or “maybe” on Question 15] Please fill in the table below indicating which types of local, non-traditional activities [PHA] is considering implementing and briefly describe the specific activities that would be implemented.

Local, Non-Traditional Activity	Is PHA considering implementing?	If yes, please describe:
Rental Subsidy Programs	<input type="radio"/> Yes <input type="radio"/> No	[text]
Service Provision	<input type="radio"/> Yes <input type="radio"/> No	[text]
Housing Development Programs	<input type="radio"/> Yes <input type="radio"/> No	[text]

## Using MTW Fund Flexibility

MTW agencies will have the flexibility to apply fungibility among public housing Operating Fund, public housing Capital Fund, and HCV HAP and Administrative Fee assistance. These flexibilities expand the eligible uses of each covered funding stream. Please answer the following questions about MTW Funding flexibility:

17. Does the PHA plan to use MTW funding flexibility?

<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
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18. Is there any particular advantage to [PHA] of having MTW funding flexibility?

[text]
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19. Please describe how [PHA] might use MTW funding flexibility and the activities that this flexibility would facilitate or enable [PHA] to undertake.

[text]
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## PART 3: Current PHA Operations & Costs

### Staff Allocation in Areas of Program Administration

The next set of questions gathers basic information about [PHA's] current allocation of staff. First we ask about the number of full-time equivalents [PHA] has on staff annually and then we ask you to estimate the number of FTEs annually committed to different areas of program administration. We are asking for annualized FTEs.

After those items, there is an opportunity to provide information about how many FTES are currently contracted annually by [PHA].

1. Please indicate the number of full-time equivalents (FTEs) currently employed annually by [PHA].

Number of full-time equivalents (FTEs) currently employed annually:	[number]
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## PHA Staff

2. In the table below, please estimate how many FTEs are committed to each of the listed areas of program administration. You may enter fractions as needed. For example, if two people each spend about one quarter of their time on activities related to intake and lease-up, then enter "0.5". If you have one full-time service coordinator and one half-time bus driver for mobility-impaired residents, then enter "1.5" under Resident Services.

Area	PHA Staff Annualized FTEs:
<b>Intake and Lease-Up</b> Intake and lease-up phase activities, including waitlist management, intake and eligibility determination, determination of rent reasonableness, utility allowance, and tenant payments)	[number]
<b>Occupancy</b> Occupancy phase activities, including recertifications, termination, program rule enforcement, relations with landlords, tenant moves and portability processing	[number]
<b>Resident Services</b> Family self-sufficiency program, ROSS, supportive services of all types including transportation services, expanding housing opportunities and deconcentration	[number]
<b>Administrative Services</b> Management, IT, HR, clerical, financial accounting, capital fund management	[number]
<b>Maintenance</b>	[number]
<b>Safety and Security of Residents</b>	[number]
<b>Housing Quality Standards (HQS) Inspections</b>	[number]
<b>Other</b>	[number]
<b>Total PHA Staff FTE (must match number entered above)</b>	[number]

3. [If PHA identifies FTEs for "Other" area] Please list all the "Other" areas here.

[text]

## Contracted Employees

4. Please list work areas for which [PHA] has a contract and the number of full-time equivalent employees who are currently contracted annually under those contracts. For example, if you contract out your HQS inspections and the contract pays for one full-time and one quarter-time inspector annually, list HQS Inspections and 1.25 FTEs.

<b>Type of Work Contracted</b>	<b>Contracted Employees Annualized FTEs:</b>
<b>Intake and Lease-Up</b> Intake and lease-up phase activities, including waitlist management, intake and eligibility determination, determination of rent reasonableness, utility allowance, and tenant payments)	[number]
<b>Occupancy</b> Occupancy phase activities, including recertifications, termination, program rule enforcement, relations with landlords, tenant moves and portability processing	[number]
<b>Resident Services</b> Family self-sufficiency program, ROSS, supportive services of all types including transportation services, expanding housing opportunities and deconcentration	[number]
<b>Administrative Services</b> Management, IT, HR, clerical, financial accounting, capital fund management	[number]
<b>Maintenance</b>	[number]
<b>Safety and Security of Residents</b>	[number]
<b>Housing Quality Standards (HQS) Inspections</b>	[number]
<b>Other</b>	[number]
<b>Total Annually Contracted FTEs</b>	[number]

5. [If PHA identifies FTEs for “Other” area] Please list all the “Other” areas here.

[text]
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## Intentions for Shifts in Allocation of Resources

The next set of questions asks about areas in which [PHA] would like to use MTW to cut costs or decrease staff time. Following those items, we ask about areas that [PHA] would target for reinvestment of the resources and staff time if efforts to cut costs and decrease staff time in other areas are successful.

## Administrative Costs \ Tasks That Could Be Targeted for Reduction

6. Would [PHA] use MTW flexibilities to target any of the listed administrative costs for reduction? Please answer “Yes,” “No,” or “Maybe” for each type of cost listed in the table below.

Administrative Costs/Tasks	Does the PHA plan to use MTW flexibilities to target for cost reduction?		
Inspections or inspection contracts	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Administrative and other types of contracts	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Rent calculations and recertifications	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Savings in Housing Assistance Payments (HAP)	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Payroll costs	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Other	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe

7. [If PHA identifies FTEs for “Other” area] Please list all the “Other” areas here.

[text]

### Importance of Type of Administrative Cost Targeted for Reductions

8. It looks like [PHA] might try to use MTW status to reduce the types of administrative costs listed in the table below. Please tell us which of the costs are the “top three” that [PHA] would like to target if granted MTW status.

PHA fills this out for any cost reduction areas identified in Question 7

Administrative Costs/Tasks	Is this a “top 3” cost the PHA would like to target?
Inspections or inspection contracts	<input type="radio"/> Yes <input type="radio"/> No
Administrative and other types of contracts	<input type="radio"/> Yes <input type="radio"/> No
Rent calculations and recertifications	<input type="radio"/> Yes <input type="radio"/> No
Savings in Housing Assistance Payments (HAP)	<input type="radio"/> Yes <input type="radio"/> No
Payroll costs	<input type="radio"/> Yes <input type="radio"/> No

### Ranking Importance of Administrative Costs Likely to Be Targeted for Reduction

9. It looks like the cost types listed below are most likely to be targeted by [PHA] if [PHA] gains MTW status. We would like to know the relative importance of these cost areas. Please rank them in order of importance to [PHA] from “1” to “3” where “1” is most important.

PHA fills this out for any costs identified as “top three” in Question 8

Administrative Costs/Tasks	Rank (1, 2, or 3)
Inspections or inspection contracts	[number]
Administrative and other types of contracts	[number]
Rent calculations and recertifications	[number]
Savings in Housing Assistance Payments (HAP)	[number]
Payroll costs	[number]



## Reinvestment of Cost Savings or Reallocation of Staff Time

10. If cost or staff time savings could be achieved in some areas through MTW flexibility, in which areas would [PHA] like to reinvest those savings? Please identify the types of activities that [PHA] would shift resources into. For each area listed, please choose “Yes” if [PHA] would reinvest in that area. Choose “No” if [PHA] would not do so and “Maybe” if [PHA] might consider doing so.

Areas for receiving shifted resources	Would PHA shift resources to that area?		
Serve more households	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Serve special needs households not currently being served or increase the number of such households served	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Move current assisted households to better neighborhoods	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Try to house new entrants in opportunity neighborhoods	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Improve the quality of [PHA]’s public housing stock	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Reduce rent burden of assisted households	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Increase escrow or savings in general of assisted households	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Support home ownership	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Improve lease-up rates in the Housing Choice Voucher program	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Improve utilization rates in Public Housing	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Provide services to promote and encourage employment of assisted households	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Provide services to support disabled households to live independently	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Provide services to support elderly households to live independently and to “age in place” when appropriate	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Provide services to support educational achievement of children (include here initiatives to share information with public schools about absenteeism and similar types of programs)	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Maintain administrative stability in the face of fluctuating revenue	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe
Other (please describe):	<input type="radio"/> Yes	<input type="radio"/> No	<input type="radio"/> Maybe

## Importance of Program Areas Targeted for Reinvestment of Cost Savings

11. In the previous item, you stated that if [PHA] created savings with its MTW flexibility it would reinvest resources in the areas listed below. Please tell us which of these areas are the “top three” areas that would be targeted for receiving shifted resources.

PHA fills this out for any areas marked as “yes” or “maybe” in Question 10

Areas for receiving shifted resources	Is this a “top 3” area?
Serve more households	<input type="radio"/> Yes <input type="radio"/> No
Serve special needs households not currently being served or increase the number of such households served	<input type="radio"/> Yes <input type="radio"/> No
Move current assisted households to better neighborhoods	<input type="radio"/> Yes <input type="radio"/> No
Try to house new entrants in opportunity neighborhoods	<input type="radio"/> Yes <input type="radio"/> No
Improve the quality of [PHA]’s public housing stock	<input type="radio"/> Yes <input type="radio"/> No
Reduce rent burden of assisted households	<input type="radio"/> Yes <input type="radio"/> No
Increase escrow or savings in general of assisted households	<input type="radio"/> Yes <input type="radio"/> No
Support home ownership	<input type="radio"/> Yes <input type="radio"/> No
Improve lease-up rates in the Housing Choice Voucher program	<input type="radio"/> Yes <input type="radio"/> No
Improve utilization rates in Public Housing	<input type="radio"/> Yes <input type="radio"/> No
Provide services to promote and encourage employment of assisted households	<input type="radio"/> Yes <input type="radio"/> No
Provide services to support disabled households to live independently	<input type="radio"/> Yes <input type="radio"/> No
Provide services to support elderly households to live independently and to “age in place” when appropriate	<input type="radio"/> Yes <input type="radio"/> No
Provide services to support educational achievement of children (include here initiatives to share information with public schools about absenteeism and similar types of programs)	<input type="radio"/> Yes <input type="radio"/> No
Maintain administrative stability in the face of fluctuating revenue	<input type="radio"/> Yes <input type="radio"/> No
Other area	<input type="radio"/> Yes <input type="radio"/> No

## Ranking Importance of Program Areas Targeted for Reinvestment of Cost Savings

12. It looks like [PHA] is most interested in shifting resources to the program areas listed below. We would like to know the relative importance of these areas as targets for receiving resources [PHA] might save through MTW flexibilities. Please rank them in order of importance from “1” to “3” where “1” is most important.

PHA fills this out for any areas identified as “top three” in Question 11

Areas for receiving shifted resources	Rank (1, 2, or 3)
Serve more households	[number]
Serve special needs households not currently being served or increase the number of such households served	[number]
Move current assisted households to better neighborhoods	[number]

Areas for receiving shifted resources	Rank (1, 2, or 3)
Try to house new entrants in opportunity neighborhoods	[number]
Improve the quality of [PHA]'s public housing stock	[number]
Reduce rent burden of assisted households	[number]
Increase escrow or savings in general of assisted households	[number]
Support home ownership	[number]
Improve lease-up rates in the Housing Choice Voucher program	[number]
Improve utilization rates in Public Housing	[number]
Provide services to promote and encourage employment of assisted households	[number]
Provide services to support disabled households to live independently	[number]
Provide services to support elderly households to live independently and to "age in place" when appropriate	[number]
Provide services to support educational achievement of children (include here initiatives to share information with public schools about absenteeism and similar types of programs)	[number]
Maintain administrative stability in the face of fluctuating revenue	[number]
Other area	[number]

## PART 4: Current Operation of Waiting List(s)

We would like to know if there are waiting list preferences currently in effect at [PHA] for each of the three main programs. Do not count Special Purpose Vouchers that [PHA] administers. In this item we are only collecting information about waiting list preferences.

- Please indicate whether or not [PHA] has each of the waiting lists listed below.** For each type of waiting list that [PHA] has, a few questions about the waiting list will pop up that need to be answered as well.

Separate waiting list for HOUSING CHOICE VOUCHER program	<input type="radio"/> Yes	<input type="radio"/> No
Separate waiting list for PUBLIC HOUSING program	<input type="radio"/> Yes	<input type="radio"/> No
Separate waiting list for PROJECT-BASED VOUCHER units	<input type="radio"/> Yes	<input type="radio"/> No
Separate waiting list for HOUSING CHOICE VOUCHER program	<input type="radio"/> Yes	<input type="radio"/> No
Combined waiting list for HOUSING CHOICE VOUCHER program and PUBLIC HOUSING program	<input type="radio"/> Yes	<input type="radio"/> No
Combined waiting list for HOUSING CHOICE VOUCHER program and PROJECT-BASED VOUCHER units	<input type="radio"/> Yes	<input type="radio"/> No
Combined waiting list for PUBLIC HOUSING program and PROJECT-BASED VOUCHER units	<input type="radio"/> Yes	<input type="radio"/> No
Combined waiting list for HOUSING CHOICE VOUCHER program and PUBLIC HOUSING program and PROJECT-BASED VOUCHER units	<input type="radio"/> Yes	<input type="radio"/> No

If the PHA indicates on Question 1 that it has a separate waiting list for HOUSING CHOICE VOUCHER program, PHA answers Questions 2 and 3.

2. You indicated that [PHA] maintains a separate waiting list for the HOUSING CHOICE VOUCHER program. Approximately how many households are currently on [PHA's] waiting list for the HOUSING CHOICE VOUCHER program?

Number of households:	[number]
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3. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the HOUSING CHOICE VOUCHER program?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

If the PHA indicates on Question 1 that it has a separate waiting list for PUBLIC HOUSING program, PHA answers Questions 4 and 5.

4. You indicated that [PHA] maintains a separate waiting list for the PUBLIC HOUSING program. Approximately how many households are currently on [PHA's] waiting list for the PUBLIC HOUSING program?

Number of households:	[number]
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5. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the PUBLIC HOUSING program?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

If the PHA indicates on Question 1 that it has a separate waiting list for PROJECT-BASED VOUCHER units, PHA answers Questions 6, 7, and 8.

6. You indicated that [PHA] maintains a separate waiting list for the PROJECT-BASED VOUCHER units. Approximately how many households are currently on [PHA's] waiting list for the PROJECT-BASED VOUCHER units?

Number of households: [number]

7. Please describe the target population(s) for [PHA's] PROJECT-BASED VOUCHER units.

[text]

8. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the PROJECT-BASED VOUCHER units?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

If the PHA indicates on Question 1 that it has a combined waiting list for the HOUSING CHOICE VOUCHER program and PUBLIC HOUSING programs, PHA answers Questions 9 and 10.

9. You indicated that [PHA] maintains a combined waiting list for the HOUSING CHOICE VOUCHER program and PUBLIC HOUSING programs. Approximately how many households are currently on [PHA's] combined waiting list for the HOUSING CHOICE VOUCHER program and PUBLIC HOUSING programs?

Number of households: [number]

10. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the combined waiting list for the HOUSING CHOICE VOUCHER program and PUBLIC HOUSING programs?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

If PHA indicates on Question 1 that it has a combined waiting list for the HOUSING CHOICE VOUCHER program and PROJECT-BASED VOUCHER units, PHA answers Questions 11 and 12.

11. You indicated that [PHA] maintains a combined waiting list for the HOUSING CHOICE VOUCHER program and PROJECT-BASED VOUCHER units. Approximately how many households are currently on [PHA's] combined waiting list for the HOUSING CHOICE VOUCHER program and PROJECT-BASED VOUCHER units?

Number of households:	[number]
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12. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the combined waiting list for the HOUSING CHOICE VOUCHER program and PROJECT-BASED VOUCHER units?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

If the PHA indicates on Question 1 that it has a combined waiting list for the PUBLIC HOUSING program and PROJECT-BASED VOUCHER units, PHA answers Questions 13 and 14.

13. You indicated that [PHA] maintains a combined waiting list for the PUBLIC HOUSING program and PROJECT-BASED VOUCHER units. Approximately how many households are currently on [PHA's] combined waiting list for the PUBLIC HOUSING program and PROJECT-BASED VOUCHER units?

Number of households:	[number]
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14. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the combined waiting list for the PUBLIC HOUSING program and PROJECT-BASED VOUCHER units?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

If the PHA indicates on Question 1 that it has a combined waiting list for the HOUSING CHOICE VOUCHER program, PUBLIC HOUSING program, and PROJECT-BASED VOUCHER units, PHA answers Questions 15 and 16.

15. You indicated that [PHA] maintains a combined waiting list for the HOUSING CHOICE VOUCHER program, PUBLIC HOUSING program, and PROJECT-BASED VOUCHER units. Approximately how many households are currently on [PHA's] combined waiting list for the HOUSING CHOICE VOUCHER program, PUBLIC HOUSING program, and PROJECT-BASED VOUCHER units?

Number of households:	[number]
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16. Which of the following statements best describes the status of [PHA's] waiting list during the past year for the combined waiting list for the HOUSING CHOICE VOUCHER program, PUBLIC HOUSING program, and PROJECT-BASED VOUCHER units?

<input type="radio"/> Open to the general public on an ongoing basis
<input type="radio"/> Open to a particular category or categories of applicants on an ongoing basis
<input type="radio"/> Open to the general public during the past year for a limited period of time
<input type="radio"/> Open to a particular category or categories of applicants during the past year for a limited period of time
<input type="radio"/> Closed all year and currently closed
<input type="radio"/> Currently closed
<input type="radio"/> Other (describe):

## Waiting List Preferences

We would like to know if there are waiting list preferences currently in effect at [PHA] for each of the three main programs.

Do not consider Special Purpose Vouchers that [PHA] administers. In this item we are only collecting information about waiting list preferences for the regular housing choice vouchers, public housing, and project-based voucher/other developments.

17. Please fill in the following table. Check the box if [PHA] does have the preference.

Check the box even if the preference does not hold for all of the units in a given program. For example, if a fraction of your project-based vouchers are preferentially available to the elderly, check the box in the row for elderly preference that is in the column for project-based vouchers.

If [PHA] does not have the preference noted for any of its waiting lists, then check the box in the last column of the table ("no preference of this type on any waiting list").

Every row in the table must have an entry before the survey will advance to the final question.

<b>Preference</b>	<b>Housing Choice Voucher</b>	<b>Public Housing</b>	<b>Project Based Voucher</b>	<b>No preference of this type on any of our waiting lists</b>
Current residents of the jurisdiction	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Those with severe rent burden	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Those living in substandard housing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Those displaced by public action	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Those displaced by declared national disaster	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Veterans (not counting special purpose vouchers)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Homeless households	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Elderly people	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
People with disabilities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Non-elderly people with disabilities	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
People with disabilities transitioning from nursing homes or institutions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Victims of domestic violence	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Families referred by public child welfare agencies for family unification	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Youth aging out of foster care	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Shelter Plus Care households transitioning to HCV	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
VASH households transitioning to HCV	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Housing Opportunities for People with AIDS (HOPWA) households transitioning to HCV	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
SRO Mod Rehab households transitioning to HCV	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other (describe)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>



## PART 5: CONCLUSION

In the space provided, please provide any additional information or comments about [PHA's] motivations for wanting to join the MTW expansion.

Additional Comments:

[text]

Thank you for your participation. You have reached the end of the survey. As a reminder, *the information gathered in this survey will not be used in the determination of whether or not a PHA is awarded MTW status*. Rather, the information will provide HUD with consistent information from all applicant PHAs to understand the current operations of each applicant and to gather information about the PHA's motivation to join the MTW demonstration. The information will be used exclusively to evaluate the MTW program. Once you click the "submit" button below, you will receive an e-mail confirming the submission of your completed survey. Please retain this e-mail for your records. Any questions regarding the survey should be directed to [mtw-info@hud.gov](mailto:mtw-info@hud.gov). Thank you.