

Revised August 5, 2022  
Originally Submitted October 15, 2021



# MOVING TO WORK ANNUAL PLAN



**FISCAL YEAR 2022**

# INLIVIAN LEADERSHIP

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# I. INTRODUCTION

## A. Overview of Short-Term MTW Goals and Objectives

Moving to Work (MTW) is a U.S. Department of Housing and Urban Development (HUD) demonstration program which allows housing authorities to design and test innovative housing and self-sufficiency initiatives targeted to address local needs. The MTW designation allows INLIVIAN to waive certain statutes and HUD regulations in order to increase housing choice for low-income families, encourage households to pursue self-sufficiency, and improve administrative and operational cost effectiveness. As a high-performing, innovative, and progressive agency, INLIVIAN received its MTW designation in 2006. In April 2016, the MTW agreement was extended for an additional ten years through 2028. INLIVIAN's MTW designation allows it to test new methods to improve housing services and to better meet the dynamic and everchanging needs of the greater Charlotte-Mecklenburg area.

INLIVIAN's success is informed by forward thinking leadership and an ambitious business approach relating to areas of asset and property management; site development; and, services delivery. INLIVIAN's MTW designation in short allows policies to be implemented which reach beyond traditional forms of housing assistance. This allows program innovations to serve as an onramp for housing stability for families on their path to economic independence. It further supports and advances the neighborhoods served by INLIVIAN. INLIVIAN has branded the local effort Moving Forward, which reflects a combination of shared intent, forward movement and an image of affordable housing that serves as a safety net and platform for rebuilding lives.

In reviewing our MTW plan for fiscal year 2020, it is clear: MTW is an essential part of INLIVIAN's day-to-day operations while it continues to achieve its mission to develop, operate and provide quality housing in sustainable communities of choice for residents of diverse incomes. This next year, INLIVIAN will strive to continue to:

### **COMPLETE RENTAL ASSISTANCE DEMONSTRATION (RAD) CONVERSIONS**

With INLIVIAN's capacity to utilize both MTW flexibilities and RAD program guidelines, a role can be assumed that is more conducive to the competitive private market. Making the move to RAD will continue to enable INLIVIAN to achieve major goals while safeguarding long term affordability of units without further burdening clients with increased rental costs in a challenging local market. With the conversion of the agency's Dillehay property in FY 2022, INLIVIAN will have converted a total of 3,282 Public Housing units to Project Based Voucher (PBV) units.

### **UTILIZE QUALITY RESEARCH AND EVALUATION TO DRIVE DECISIONS THAT SHAPE EFFECTIVE, PRACTICAL AGENDAS AND POLICIES**

INLIVIAN looks to further utilize its well-organized data collections and management strategies to produce sound evaluations and impact reports so as to regularly examine MTW policy outcomes to

determine if policies: meet the statutory requirements outlined by HUD, and/or can be improved or streamlined. Close partnerships with such great institutions and leaders in their respective fields, allows INLIVIAN to design policies that better correspond to shifting needs of our clients and surrounding market.

## **B. Overview of Long-Term MTW Goals and Objectives**

### **GOAL**

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#### **Elevate the Customer Experience by Providing a Culture of Service Excellence.**

INLIVIAN has increased capacity to advance a broader range of affordable housing priorities that reach beyond the traditional model of a bricks and sticks Public Housing operation. INLIVIAN will continue to seek efficient outcomes through the high standards of delivery, coupled with the understanding that excellent customer service is a hallmark of a successful agency. Whether by a virtual visit to INLIVIAN's website or various social media platforms, or an in-person visit to INLIVIAN headquarters, INLIVIAN will continue to enhance its customer experience by developing and executing a successful system for gathering and responding to customer feedback. In response to COVID-19, INLIVIAN has enhanced its customer service delivery model with the creation of a Customer Call Center, along with a number of technology enhancements designed to ensure that the agency continues to provide quality customer service even in the midst of a global pandemic.

### **GOAL**

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#### **Acquire, Develop, and Preserve Diverse Price Point Housing.**

An unfortunate by-product of a strong Charlotte economy has been the extreme pressure on the local housing market. This has had a particularly adverse impact on the lowest income populations. As a result, many are relegated to finding housing outside of the city, becoming isolated in areas where there are less job opportunities, access to amenities and transportation options. Understanding that communities are only as sustainable as our vision for the future, INLIVIAN seeks to provide leadership to further affordable housing in the long-term by preserving or creating at least 850 diverse price point units as outlined in the agency's 2019 Five-year strategic plan, entitled, The Blueprint. MTW flexibility will continue to play a vital role in expanding the supply of permanent mixed-income units in Charlotte by maximizing real estate assets through acquisition, rehabilitation, and development of permanent workforce, market rate, and affordable units in desirable neighborhoods. INLIVIAN will be utilizing its instrumentality Horizon Development Properties, Inc. (HDP) in the creation of these units.

## **GOAL**

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### **Create and Enhance Diverse Income Streams to Support INLIVIAN's Mission.**

INLIVIAN looks to continue its success leveraging real estate assets to maximize value through additional mixed-income and mixed-use development projects. Through organizational transformation, and by use of associated instrumentalities, INLIVIAN will utilize vital expertise in development, technology, property management, social service provision, and other areas to generate various lines of business on a fee-for-service basis to other government, non-profit, and for-profit entities. With the successful utilization of RAD and MTW flexibilities, INLIVIAN can continue to foster innovation and evolve from an agency that was bound to complex and firm HUD rules and regulations, to a visionary agency that is able to compete in a competitive market.

## **GOAL**

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### **Develop and Maintain Meaningful Mutually Beneficial External Partnerships that Further INLIVIAN's Mission.**

This new INLIVIAN structure will allow the organization the versatility it needs to use resources more flexibly and enter into partnerships that are more mutually beneficial. Empowered by MTW, INLIVIAN is uniquely positioned in the Charlotte metro region to strategically engage in cross-sector partnerships with allies in education, health, social services, and workforce development to better ensure the impact of social investments for INLIVIAN clients are maximized. With these coordinated efforts, the multiple barriers which often exist on the road to self-sufficiency can be better addressed.

## **GOAL**

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### **Implement a Communication Strategy That Educates the Public on The Agency's Transformation and Engages Stakeholders in Advocacy Efforts that Further INLIVIAN's Mission.**

As INLIVIAN no longer functions like a traditional Public Housing authority, effective engagement will entail clarification of common misconceptions surrounding public housing, what an innovative agency can offer a growing community in the 21st century, and how the agency and its clients are an integral part of Charlotte's larger social, economic, and physical fabric. The enhanced communication strategy is meant to reach a more diverse and broader-based public and to more effectually explain the complexity and the standing of the affordable housing problem in Charlotte.

## GOAL

### Acquire, Retain and Develop Top Talent.

As a viable competitor in the talent market, INLIVIAN must focus on rapidly developing its workforce skills and the capabilities of emerging leadership candidates to shape top talent. Our talent management efforts will continue to focus on acquiring, retaining, and developing the necessary talent to achieve agency goals. To this end, INLIVIAN remains focused upon four talent management initiatives: we will recruit a diverse workforce that will meet the needs of the organization; we will provide comprehensive development and engagement programs to help staff and managers attain professional growth and accomplish their goals; we will provide the necessary tools that prepare our staff for internal and external environmental changes; and we will ensure our compensation and performance management processes align staff performance with organizational goals.

## II. GENERAL OPERATING INFORMATION

### A. Housing Stock Information

#### PLANNED NEW PUBLIC HOUSING UNITS

ASSET MANAGEMENT PROJECT (AMP) NAME AND NUMBER	BEDROOM SIZE						TOTAL UNITS	POPULATION TYPE*	# of Uniform Federal Accessibility Standards (UFAS) Units
	0/1	2	3	4	5	6+			Fully Accessible
N/A	0	0	0	0	0	0	0	N/A	0
N/A	0	0	0	0	0	0	0	N/A	0
N/A	0	0	0	0	0	0	0	N/A	0

Total Public Housing units to be added in the plan year: 0



## PLANNED PUBLIC HOUSING UNITS TO BE REMOVED

PROPERTY NAME	NUMBER OF UNITS TO BE REMOVED	EXPLANATION FOR REMOVAL
Dillehay Courts	100	Phase I of Dillehay consisting of 36 units received early demolition approval through Inventory Removals Application with demolition action. The demolition began in May 2021. The 36 converted voucher subsidies will be transferred off site to a new community being constructed and known as Archdale Flats – Family. The remaining 100 units (Phase II of Dillehay) will be removed through a Section 18 application. All 136 units have reached the end of their useful life, are outdated in appearance and functionality and the current floor plans do not allow for modern amenities like central HVAC, washer/dryers, dishwashers, etc.
Strawn Cottages	11	These units are remaining from a prior conversion and tear down of 124 units of ACC housing. The Strawn Cottages were demolished, and the ACC was transferred to other INLIVIAN-owned sites where it was converted to RAD. It is our desire to convert the remaining ACC subsidies to RAD PBV vouchers through the RAD process and transfer the assistance to a new community being constructed and known as Archdale Flats – Seniors.

### **Total Public Housing units to be removed in the plan year: 111**

This will be the last of the Public Housing Stock in INLIVIAN’s inventory converted to other funding platforms.

## PLANNED NEW PROJECT BASED VOUCHERS

Tenant-based vouchers that INLIVIAN anticipates project-basing for the first time during the Plan Year. These include only those in which at least an Agreement to enter into a Housing Assistance Payment (AHAP) will be in place by the end of the Plan Year.



PROPERTY NAME	NUMBER OF VOUCHERS TO BE NEWLY PROJECT-BASED	RAD?	DESCRIPTION OF PROJECT
8 <sup>th</sup> & Tryon	69	No	HDP is co-developing this exciting mixed- income Uptown Charlotte site that is located in a High Opportunity Area. INLIVIAN wishes to support the development with the issuance of 69 PBVs at its Exception Payment Standards for the 30% - 50% AMI units.
Abbington on Mt Holly*	26	No	Abbington on Mt Holly is a family property consisting of 102 units that is a public private partnership (P3) with Rea Ventures Group. HDP is the Managing (controlling) Member on this transaction and has the right of first refusal to purchase the asset and all partner interests at the end of the initial compliance period of the tax credit/bond financing. At the financial closing for this development in June 2021, INLIVIAN will execute an AHAP for 26 regular PBVs to subsidize the units serving households that earn 30% of the AMI.
Archdale Flats*	36	Yes	Archdale Flats – Family, a family property being developed by HDP, Inc., an instrumentality of INLIVIAN and Elmington Capital Group in a public private partnership (P3). HDP is the General (controlling) Partner on this transaction and has the right of first refusal to purchase the asset and all partner interests at the end of the initial compliance period of the tax credit/bond financing. INLIVIAN has executed an AHAP for 36 units that are serving households that earn 30% AMI using a RAD PBV Transfer of Assistance (TOA) from the Dillehay Courts Phase I public housing site.
Archdale Seniors*	7	Yes	Archdale Flats Seniors is a senior only (55+) property that is a companion property to the Archdale Flats – Family site and is also a P3 with Elmington Capital Group. HDP is the General (controlling) Partner on this transaction and has the right of first refusal to purchase the asset and all partner interests at the end of the initial compliance period of the tax credit/bond financing. If we are able to convert the remaining Strawn Cottages to RAD PBV, we intend to add subsidy to 7 of the units that are serving 30% AMI household by a TOA of the RAD PBV from the Strawn Cottages public housing site.

PROPERTY NAME	NUMBER OF VOUCHERS TO BE NEWLY PROJECT-BASED	RAD?	DESCRIPTION OF PROJECT
Ashley Flats	8	Yes	Ashley Flats is a family property consisting of 150 units that is part of our P3 program. HDP is the General Partner on this transaction. We intend to add subsidy to the 8 30% AMI units by using RAD TOA from previously public housing sites so they can be utilized for redevelopment.
Brookhill	60	No	PBV award pending as part of a 2020 joint Request for Proposal (RFP) with the City of Charlotte and the Local Initiatives Support Corporation (LISC) via MOU.
Eastway Park	40	No	INLIVIAN has received HUD approval to convert 40 of the agency's Veteran Affairs Supportive Housing (VASH) voucher allocation to project-based vouchers for 40- units for Veterans in a 132-unit project targeting households that are 55+ years of age. Eastway Park was previously awarded Housing Trust Fund monies and subsequently approached INLIVIAN for needed subsidy to ensure affordability. *VA support will be needed to convert VASH to PBVs.
Evoke Living at Arrowood*	8	Yes	Evoke living at Arrowood is a family property that is part of our P3 program. HDP is the Managing Member on this transaction. We intend to add subsidy to the 8 30% AMI units by using RAD TOA from previously public housing sites so they can be utilized for redevelopment.
Freedom Flats*	11	Yes	Freedom Flats is a family property consisting of 220 units that is part of our P3 program. HDP is the General Partner on this transaction. We intend to add subsidy to the 11 30% AMI units by using RAD TOA from previously public housing sites so they can be utilized for redevelopment.
Montgomery Gardens	56	No	Recapitalization of a Low-Income Housing Tax Credit (LIHTC) project whose initial compliance period has expired. Use of PBV in the 50% AMI units in order to preserve the units as LIHTC and stabilize the asset.
Nia Point	52	No	Recapitalization of a LIHTC project whose initial compliance period has expired. Agency to make use of PBV in the 50% AMI units in order to preserve the units as LIHTC and stabilize the asset.

PROPERTY NAME	NUMBER OF VOUCHERS TO BE NEWLY PROJECT-BASED	RAD?	DESCRIPTION OF PROJECT
Scaleybark	7	No	PBV award pending as part of a 2019 joint Request for Proposal (RFP) with the City of Charlotte and the Local Initiatives Support Corporation (LISC) via a Memorandum of Understanding (MOU).

\* For description of the agency's P3 Program, please see Appendix F.

### Planned Total Vouchers to be newly Project Based: 380

As part of INLIVIAN's efforts to maximize the agency's MTW authority to meet local housing needs, in 2019 the agency entered into a Memorandum of Understanding (MOU) with the City of Charlotte and the Local Initiatives Support Corporation (LISC) to increase the supply of affordable housing by way of project-based voucher subsidies. INLIVIAN anticipates entering into Housing Assistance Payment (HAP) Agreements with development projects selected as part of a local Request for Proposal (RFP) process in conjunction with the MOU. Under the MOU, INLIVIAN sets aside funding for project-based vouchers annually as part of the agency's existing HUD approved Community Based Rental Assistance (CBRA) policy. Awards are made as part of the selection approach outlined in the CBRA policy (see the CBRA Section in the Appendix for a description). The award of the PBVs will be contingent upon projects meeting HUD requirements, including but not limited to, Environmental Review and Subsidy Layering approvals.

### PLANNED EXISTING PROJECT BASED VOUCHERS

PROPERTY NAME	NUMBER OF VOUCHERS TO BE PROJECT-BASED	RAD?	DESCRIPTION OF PROJECT
940 Brevard	100	yes,40	Senior
Arbor Glen 50	25	yes,25	Family
Arbor Glen I	60	yes,60	Senior, Family
Arbor Glen II	40	yes,40	Family
Arbor Glen III	12	yes,12	Family
Ashley Square @ SouthPark	36	yes,22	Family/Mixed Income
Autumn Place	68	yes,68	Senior
Cedar Knoll	49	yes,49	Family
Charlottetown Terrace	161	yes,161	Disabled
Cherry Gardens	11	No	Senior
Claremont	50	yes,50	Family
Edwin Towers	176	yes,176	Senior
Everett House	10	No	Disabled/Supportive
Fairmarket Square	16	yes,16	Family/Mixed Income
First Ward	132	yes,132	Family/Mixed Income

PROPERTY NAME	NUMBER OF VOUCHERS TO BE PROJECT-BASED	RAD?	DESCRIPTION OF PROJECT
Gladedale: It is INLIVIAN's desire to work with HUD and TOA the RAD to other P3 communities so that Gladedale can be razed and redeveloped into a mixed-income community	49	yes,49	Family
Glen Cove	10	yes,10	Mixed Income
Hampton Creste	60	yes,60	Mixed Income/Supportive
Landing at Park Road	92	No	Senior
Leafcrest	48	yes,48	Family
Mallard Ridge	35	yes,35	Family
McAden Park	60	yes,30	Family, Mixed Income
McAlpine Terrace	26	yes,26	Senior/Mixed Income
McCreech Place	88	yes,63	Supportive
McMullen Woods	21	yes,21	Family/Mixed Income
Meadow Oaks	32	yes,32	Family
Mill Pond	52	No	Mixed Income
Montgomery Gardens	20	yes,20	Family/Mixed Income
Moore Place I & II	120	yes,34	Family/Mixed Income/Supportive
Nia Point	29	yes,29	Family/Mixed Income
Oaks at Cherry	81	yes,81	Family
Park at Oaklawn	89	yes,89	Family/Mixed Income
Parktown Terrace	163	yes,163	Family/Mixed Income
Prosperity Creek	156	yes,156	Senior
Residences at Renaissance I	31	yes,31	Family/Mixed Income
Residences at Renaissance II	67	yes, 67	Family/Mixed Income
Retreat at Renaissance	90	yes,90	Senior
Robinsdale	30	yes,30	Family/Mixed Income
Savanna Woods	49	yes,49	Family
Seigle Point	120	yes,102	Family/Mixed Income
Seneca Woods	17	yes,17	Family/Mixed Income
South Oak Crossing	20	yes,20	Family/Mixed Income
Southside Homes	392	yes,392	Family/Mixed Income
Springcroft @ Ashley Park (SR)	18	yes,18	Senior
Springfield Gardens	22	yes,22	Senior
Steele Creek Seniors	120	yes,120	Senior/Mixed Income
Stonehaven East	24	yes,24	Family
Strawn Tower	170	yes,170	Senior
Sunridge	44	yes,44	Family
Tarlton Hills	50	yes,50	Family/Mixed Income
Victoria Square	31	yes,31	Family
Wallace Woods	48	yes,48	Family

PROPERTY NAME	NUMBER OF VOUCHERS TO BE PROJECT-BASED	RAD?	DESCRIPTION OF PROJECT
Woodlawn House	104	yes,104	Senior
YWCA Families Together	11	No	Supportive/Family

**Planned Existing Project Based Vouchers: 3,635**

### PLANNED OTHER CHANGES TO MTW HOUSING STOCK ANTICIPATED DURING THE PLAN YEAR

Planned other changes to MTW housing stock anticipated during the plan year: 49, see Gladedale in section: “Planned Existing Project Based Vouchers” above.

Dillehay Courts remains the sole Public Housing site operating under the Capital Fund Program. Plans are currently underway to redevelop Dillehay Courts in multiple phases. Phase I closed in 2021 and, depending on the lease up rate of Phase I, INLIVIAN will begin planning Phase II in 2022.

### GENERAL DESCRIPTION OF ACTUAL CAPITAL FUND EXPENDITURES DURING PLAN YEAR 2022

All other INLIVIAN sites have been converted to RAD and therefore utilize Reserves funds for maintenance and capital improvements along with MTW funds. With respect to these sites, a draft plan for capital improvements in the 2022 fiscal year per the Physical Conditions Needs Assessment (“PCNA”) is in the preliminary stage and has not been approved by the INLIVIAN Board. Agency efforts are being made to finalize the scopes of work for much of the planned improvements listed below:

- 940 Brevard –For 2022, work is expected to begin with replacing kitchen cabinets, countertops and sinks as identified in the PCNA.
- Arbor Glen 50 –For 2022, INLIVIAN focus will be on exterior improvements and kitchen cabinets/counter tops.
- Arbor Glen I – Replace bathroom tub/shower surround, bathroom vanities, & accessories – This work is scheduled to be completed in various units in 2021. For 2022, various improvements are needed on the interior and exterior of the buildings. Noted improvements identified in the PCNA include roofs, water heaters, kitchen cabinets/countertops, and ranges.
- Meadow Oaks – Replace refrigerators – All ranges and refrigerators were completed in 2022. Bathroom exhaust fans have been identified as a need to be completed in 2022.
- Montgomery Gardens – Replace HVAC units – HVAC units to be replaced in 2022. Water heaters have been identified as a need as well for 2022.
- Nia Point – Exterior painting, HVAC, kitchen cabinets/countertops, tub/shower surround, ranges.
- Southside Homes – Sidewalk replacement, roof replacement, water heaters, flooring, ranges, refrigerators, kitchen cabinets/countertops.

- Sunridge Apartments- Sunridge Apartments- Various interior and exterior improvements including flooring/kitchen cabinets/appliances and bathroom renovations in the units, and exterior repairs as needed.
- Victoria Square –For 2022, roof replacement has been identified as a priority on several buildings.
- Woodlawn House – Replace tub/shower diverters, louvers for HVAC doors, common area paint, exterior drainage, bi-fold doors, etc. - Tub/shower diverters, louvers and common area painting were completed in 2020. Staff is in the process of identifying the needs for 2022.

As a new MTW Plan (FY 2020) Amendment was recently approved by HUD on June 8<sup>th</sup>, entitled: 2020-2 Development Protection Fund. INLIVIAN intends to utilize the granted flexibility to address substantial renovations on the following local, nontraditional unit sites in 2022:

- Fairmarket Square
- Grove Place
- Oak Valley
- Valley View
- McAlpine Terrace
- Seneca Woods

## B. Leasing Information

PLANNED NUMBER OF HOUSEHOLDS SERVED THROUGH:	PLANNED NUMBER OF UNIT MONTHS OCCUPIED/LEASED*	PLANNED NUMBER OF HOUSEHOLDS TO BE SERVED**
MTW Public Housing Units Leased	0	0
MTW Housing Choice Vouchers (HCV) Utilized	92,220	7,685
Local, Non-Traditional: Tenant-Based	2,700	225
Local, Non-Traditional: Property-Based	16,224	1,352
Local, Non-Traditional: Homeownership	2,076	173

<b>PLANNED TOTAL HOUSEHOLDS SERVED:</b>	<b>113,220</b>	<b>9,435</b>
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LOCAL, NON-TRADITIONAL CATEGORY	MTW ACTIVITY NAME/NUMBER	PLANNED NUMBER OF UNIT MONTHS OCCUPIED/LEASED*	PLANNED NUMBER OF HOUSEHOLDS TO BE SERVED*
Tenant-Based	CBRA (2009-3)	2,700	225
Property-Based	Development Protection Fund (2020-2)	16,224	1,352
Homeownership	Homeownership (2016-1)	2,076	173

<b>PLANNED LOCAL, NON-TRADITIONAL HOUSEHOLDS SERVED:</b>	<b>21,000</b>	<b>1,750</b>
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## ANTICIPATED ISSUES/POSSIBLE SOLUTIONS RELATED TO LEASING

Nothing pertinent to the issue of leasing to note for plan year 2022.

## C. Waiting List Information

### WAITING LIST INFORMATION ANTICIPATED

WAITING LIST NAME	DESCRIPTION	NUMBER OF HOUSEHOLDS ON WAITING LIST	WAITING LIST OPEN, PARTIALLY OPEN OR CLOSED
HCV	Type: Central	5,618	Closed
RAD & PBV	Type: Site Based (Centrally Managed)	46,256	Open

All applicants can choose to be placed on several site-based waiting lists at the same time. This allows for increased housing choices for applicants. When an applicant is housed, they are removed from all other site-based waiting lists managed by INLIVIAN. Currently, there is no limit to the number of RAD or Traditional project-based voucher site-based waiting lists for which an applicant can apply. As such, please note that the 46,236 households listed in the table above represent applicants who have applied to multiple lists.

### PLANNED CHANGES TO WAITING LIST IN THE PLAN YEAR

WAITING LIST NAME	DESCRIPTION OF PLANNED CHANGES TO WAITING LIST
HCV	No Planned Change
RAD and PBV	Based on the need to maximize administrative efficiencies related to managing multiple site-based waiting lists, INLIVIAN is considering limiting the number of properties in which a family can apply for housing, along with other related policy changes. This will continue to ensure housing choice while addressing the need to address administrative challenges.
Federal MTW Public Housing	Waitlist permanently closed in FY 2021 due to portfolio transition to RAD.

During the last fiscal quarter of 2021, INLIVIAN will decide about whether or not there is a need to open the HCV waiting list or close any of the agency's site-based waiting lists.

\* The rest of this page is intentionally left blank.

## III. PROPOSED MTW ACTIVITIES

### Proposed Activity 2022-1 Modification of FSS Program

#### Activity Description

INLIVIAN proposes to modify the Traditional Family Self-Sufficiency program (FSS). INLIVIAN's FSS program is a voluntary program and offered across 16 INLIVIAN properties. INLIVIAN will expand its FSS program portfolio-wide, to include the HCV Program, thereby increasing the number of families eligible to participate. Participants who enroll will select a FSS pathway from one of the following:

1. Housing/Homeownership
2. Asset Building/Financial Strength
3. Employment
4. Education/Training

Enrolled participants will receive one-on-one coaching, and goal setting from a certified Life Coach to assist with successfully reaching short and long-term goals within the 5-7-year program term limit. Life Coaches are employees of INLIVIAN and CORE Programs, INC, these positions were previously referred to as Case Managers. Each staff member attends a 42-hour immersive course with Catalyst Global. Catalyst Global, LLC is an education and training company focused on engaging, empowering, and equipping people to live their lives on and in purpose. The Catalyst Coach® Academy is a subsidiary of Catalyst Global, LLC. Backed by 17 years of industry experience, they have coached and trained over 1200 individuals to improved lives. The same evidenced-based principles, theories, and coaching models that work for them are available to the students of The Catalyst Coach® Academy certification program. The Catalyst Coach® Academy is accredited by the International Coach Federation (ICF). The certification staff obtain is Certified Professional Coach- CPC. Staff are already in place and providing supports for INLIVIAN clients. This will continue under this activity. The above pathways will have an established set of tasks and goals that participants must reach to receive the incentive/pay-out points (monetary deposits into a participant account) within the selected pathway. This proposed approach provides for more direct immediate incentives aligned with tasks and goals that INLIVIAN believes will encourage program participation and ultimately participant success. Participants are eligible to receive pay-out points within other FSS pathways if they have not exceeded their annual FSS deposit limits. Deposits of payout points can range from \$250-\$1,500 with an annual maximum deposit of \$2,000 (see payout points chart, attached as Appendix D to this plan). Participants are also eligible for interim payouts from their deposit accounts to assist with any identified goals within the Individual Training and Services Plan (ITSP).

This requested activity will give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient.

#### Implementation Schedule

Modifications to the FSS program will be implemented through the 1st Quarter of fiscal year 2022, or 90-days after HUD approval of the FSS Action Plan, whichever is sooner.



**Metrics**

SS #1: Increase in Household Income				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Average earned income of households affected by this policy dollars (increase).	Average earned income of households affected by this policy prior to the implementation of the activity (in dollars). <b>\$18,692</b>	Expected averaged earned income of households affected by this policy prior to implementation (in dollars). <b>\$19,066</b>	Actual average earned income of households affected by this policy prior to the implementation (in dollars).	Whether the outcome meets or exceeds the benchmark.
SS #2: Increase in Household Savings				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Average amount of savings/escrow of households affected by this policy in dollars (increase)	Average amount of savings/escrow of households affected by this policy in dollars (in dollars). This number may be zero. <b>\$2,655</b>	Expected amount of savings/escrow of households affected by this policy after implementation of the activity (in dollars) <b>\$2,000</b>	Actual Average savings/escrow amount of households affected by this policy after implementation of the activity (in dollars)	Whether the outcome meets or exceeds the benchmark.
SS #3: Increase in Positive Outcomes in Employment Status				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
(1) Employed FT	Heads(s) of households in <<FSS Program>> prior to implementation of the activity (number). This number may be zero. <b>137</b>	Expected heads(s) of work-able households in <<FSS Program>> after implementation of the activity (number). <b>=&gt;158</b>	Actual head(s) of work-able households in <<FSS Program>> after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.

	Percentage of total work-able households in << FSS Program >> prior to implementation of activity (percent). This number may be zero. <b>25%</b>	Expected percentage of total work-able households in << FSS Program >> after implementation of the activity (percent). <b>40%</b>	Actual percentage of total work-able households in << FSS Program >> after implementation of the activity (percent).	Whether the outcome meets or exceeds the benchmark.
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**SS #3: Increase in Positive Outcomes in Employment Status**

Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
(2) Employed PT	Heads(s) of households in <<FSS Program>> prior to implementation of the activity (number). This number may be zero. <b>411</b>	Expected heads(s) of work-able households in <<FSS Program>> after implementation of the activity (number). <b>473</b>	Actual head(s) of work-able households in <<FSS Program>> after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.
	Percentage of total work-able households in <<FSS Program>> prior to implementation of activity (percent). This number may be zero. <b>75%</b>	Expected percentage of total work-able households in <<FSS Program>> after implementation of the activity (percent). <b>=.&gt;90%</b>	Actual percentage of total work-able households in <<FSS Program>> after implementation of the activity (percent).	Whether the outcome meets or exceeds the benchmark.

**SS #3: Increase in Positive Outcomes in Employment Status**

Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
(3) Enrolled in an Educational Program	Heads(s) of households in <<FSS Program>> prior to implementation of the activity (number). This number may be zero. <b>64</b>	Expected heads(s) of work-able households in <<FSS Program>> after implementation of the activity (number). <b>80</b>	Actual head(s) of work-able households in <<FSS Program>> after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.

	Percentage of total work-able households in <<FSS Program>> prior to implementation of activity (percent). This number may be zero. <b>9%</b>	Expected percentage of total work-able households in <<FSS Program>> after implementation of the activity (percent). <b>11%</b>	Actual percentage of total work-able households in <<FSS Program>> after implementation of the activity (percent).	Whether the outcome meets or exceeds the benchmark.
<b>SS #3: Increase in Positive Outcomes in Employment Status</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
(4) Enrolled in Job Training Program	Heads(s) of households in <<FSS Program >> prior to implementation of the activity (number). This number may be zero. <b>24</b>	Expected heads(s) of work-able households in <<FSS Program>> after implementation of the activity (number). <b>40</b>	Actual head(s) of work-able households in <<FSS Program>> after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.
	Percentage of total work-able households in <<FSS Program>> prior to implementation of activity (percent). This number may be zero. <b>3%</b>	Expected percentage of total work-able households in <<FSS Program>> after implementation of the activity (percent). <b>7%</b>	Actual percentage of total work-able households in <<FSS Program>> after implementation of the activity (percent).	Whether the outcome meets or exceeds the benchmark.
<b>SS #3: Increase in Positive Outcomes in Employment Status</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
(5) Unemployed	Heads(s) of households in <<FSS Program>> prior to implementation of the activity (number). This number may be zero. <b>207</b>	Expected heads(s) of work-able households in <<FSS Program>> after implementation of the activity (number). <b>190</b>	Actual head(s) of work-able households in <<FSS Program>> after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.
	Percentage of total work-able households in <<FSS Program>> prior	Expected percentage of total work-able	Actual percentage of total work-able households in <<FSS Program>> after	Whether the outcome meets

	to implementation of activity (percent). This number may be zero. <b>27.24%</b>	households in <<FSS Program>> after implementation of the activity (percent). <b>25%</b>	implementation of the activity (percent).	or exceeds the benchmark.
<b>SS #4: Households Removed from Temporary Assistance for Needy Families (TANF)</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Number of households receiving TANF assistance (decrease).	Households receiving TANF prior to implementation of the activity (number). <b>6</b>	Expected number of households receiving TANF after implementation of the activity (number). <b>3</b>	Actual households receiving TANF after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.
<b>SS #5: Households Assisted by Services that increase Self-Sufficiency</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Number of households receiving services aimed to increase self-sufficiency (increase).	Households receiving self-sufficiency services prior to the implementation of the activity (number). <b>686</b>	Expected number of households receiving self-sufficiency services after implementation of the activity (number). <b>700</b>	Actual number of households receiving self-sufficiency services after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.
<b>SS #7: Increase in Agency Rental Revenue</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Total Household contributions towards housing assistance (increase).	Household contributions prior to implementation of the activity (in dollars). <b>\$312</b>	Expected household contributions after implementation of the activity (in dollars). <b>\$350</b>	Actual household contributions after implementation of the activity (in dollars).	Whether the outcome meets or exceeds the benchmark.
<b>SS #8: Households Transitioned to Self-Sufficiency</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?

Number of households transitioned to self-sufficiency (increase).	Households transitioned to self-sufficiency (<<PHA definition of self-sufficiency>>) prior to implementation of the activity (number). This number may be zero. <b>68</b>	Expected households transitioned to self-sufficiency (<< PHA definition of self-sufficiency>>) after implementation of the activity (number). <b>85</b>	Actual households transitioned to self-sufficiency (<< PHA definition of self-sufficiency>>) after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.
<b>HC #6: Increase in Homeownership Opportunities</b>				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Number of households that purchased a home as a result of the activity (increase).	Number of households that purchased a home prior to the implementation of the activity (number). This number may be zero. <b>11</b>	Expected Number of households that purchased a home after the implementation of the activity (number). <b>16</b>	Actual Number of households that purchased a home after the implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.

The data source from which the metric data will be compiled is INLIVIAN’s CORE Case Management System (CCMS). This is the database for entering and tracking client data.

### Cost Implications

The proposed activity will not result in increased incentive/escrow amounts for INLIVIAN.

INLIVIAN currently averages \$740,000 in annual escrow amounts. With the proposed incentive payout point, set at a maximum limit of \$2,000 annually; including an average of 380 participants, escrowing we will be within the same average range as current totals.

### Need/Justification for MTW Flexibility

Authorization at Attachment C, Section E Authorizations Related to Family Self Sufficiency. This proposed activity addresses the statutory objective of advancing self-sufficiency. INLIVIAN’s modified program will allow FSS enrolled participants to select a FSS Pathway and receive incentive pay-out points based on goal attainment. The program will also allow participants to receive coaching sessions with Certified Life Coaches who will work with families to identify short-term and long-term goals to self-sufficiency. Participants will complete the program with a savings account that can be used towards their long-term goals such as purchasing of a home, business start-up, or pursuing higher education.

The Agency is authorized to operate any of its existing self-sufficiency and training programs, including its FSS Program, and any successor programs exempt from certain HUD program requirements. These may include those requirements governing program size or participation, including whether to establish escrow accounts and other rent incentives and whether to establish mandatory self-sufficiency participation requirements. If the Agency receives dedicated funding for an FSS coordinator, such funds must be used

to employ a self-sufficiency coordinator. In developing and operating such programs, the Agency is authorized to establish strategic relationships and partnerships with local private and public agencies and service providers to leverage expertise and funding. However, notwithstanding the above, any funds granted pursuant to a competition must be used in accordance with the NOFA and the approved application and work plan. This authorization waives certain provisions of Section 23 of the 1937 Act and 24 C.F.R. 984 as necessary to implement the Agency's Annual MTW Plan. INLIVIAN is requesting waivers to the certain provisions in the 24 CFR 984.

The FSS program is operated under 24 CFR 984. The below authorizations are needed to engage in the proposed activity; therefore, HUD approval is needed for requested waivers applicable to 24 CFR 984 (202, 303, 305).

24 CFR 984.202- Program Coordinating Committee (PCC)- INLIVIAN will utilize its Advisory Board from CORE Programs to fulfill this requirement. CORE's Advisory Board serve similar purposes as the PCC and is comprised of members from within partner organizations, and internal staff of INLIVIAN.

24 CFR 984.303 Contract of Participation-COP (iii) Determination of suitable employment- INLIVIAN will define suitable employment as working a minimum of 20 hours at the minimum wage rate for this jurisdiction.

24 CFR 984.305- FSS account- INLIVIAN developed a modified incentive account that will provide deposit amounts for specific goal attainments within the selected FSS pathway.

#### **Rent Reform/Term Limit Information**

Not applicable.

#### **A. ACTIVITY DESCRIPTION**

##### **Proposed Activity 2022-2 Waiver of Mandatory Initial Inspections**

Currently, INLIVIAN utilizes the initial inspection provision of the Housing Opportunity Through Modernization Act of 2016 to enter into a Housing Assistance Payment Contract for a unit that has 10 or less non-life-threatening inspection items are found during an initial unit inspection. Housing Providers can self-certify these deficiencies with documentation within 30 days. This expedites the voucher holder's move into the unit by eliminating the need for a physical reinspection of the unit and allows INLIVIAN to begin Housing Assistance Payments (HAP) on the voucher holder's behalf to the Housing Provider. To expedite the self-certification that the conditions have been addressed, Housing Providers submit proof electronically.

INLIVIAN would also like to pursue the Safe Harbor Waiver for element(s) of the MTW activity as described in the MTW Operations Notice for COHORT 4.1. Waiver of Mandatory Initial Inspection (HCV). Using this authority, INLIVIAN proposes to eliminate the requirement for an initial inspection under the following circumstances:

- a) the unit is less than five years old (as demonstrated by a certificate of occupancy, provided by the landlord); or
- b) the unit passed an HQS inspection (or equivalent inspection) within the previous three years.

In addition to meeting one of the criteria above, the housing provider will be required to submit an Initial Inspection Self Certification Form that is signed by both the housing provider and the family that the unit in question substantially complies with Housing Quality Standards. The HCV participant will be able to request

an interim inspection. All HCV program units will have regular inspections according to the INLIVIAN’s biennial schedule. INLIVIAN reserves the right to conduct a physical initial inspection of the unit.

The activity would meet HUD’s MTW statutory objective of increasing housing choices for low- income families participating in the agency’s Housing Choice Voucher program. More broadly, the activity would support the agency’s short- and medium-term goals of increasing local housing provider participation in INLIVIAN’s HCVP by allowing the agency to expedite the HAP contract process.

Implementation, inclusive of all necessary changes to the Administrative Plan, standard operating procedures, and data management systems, would begin 90-days after HUD approval of the MTW Plan.

**B. ACTIVITY METRICS INFORMATION**

HC #1 Additional Units of Housing Made Available				
Unit of Measurement	Baseline	Benchmark	Outcome	Benchmark Achieved?
Number of new housing units made available for households at or below 80% AMI as a result of the activity (increase). If units reach a specific type of household, give that type in this box.	0	Expected housing units of this type after implementation of the activity (215).	Actual housing units of this type after implementation of the activity (number).	Whether the outcome meets or exceeds the benchmark.

**C. COST IMPLICATIONS**

This initiative represents a trade-off relative to costs focused on expediting the lease-up process with a focus on incentivizing Housing Providers, while allowing families to move into unit sooner. As such, the proposed activity may increase administrative costs since the activity will require a self-certification and a physical inspection of the unit within 12 months of HAP Contract execution. Increase in administrative cost will be covered by cost savings resulting from other MTW efficiencies. Expected increased administrative costs for FY 2022 total an estimated, \$6,812.

**Need/Justification for MTW Flexibility**

The following authorizations provide INLIVIAN with the flexibility to develop an alternate initial inspections requirement:

**D. NEED/JUSTIFICATION FOR MTW FLEXIBILITY**

Authorization at Attachment C, Section D.5. Ability to Certify Housing Quality Standards. The agency is authorized to certify that housing assisted under MTW will meet housing quality standards established or approved by HUD. Specifically, this initiative would waive 982.305 (b)(1)(i)

which requires the PHA to inspect the unit and determine that the unit satisfies HQS before the lease term.

If this MTW activity is approved, INLIVIAN would exercise this regulatory flexibility to employ alternative requirements in order to place the unit under HAP contract and commence making payments to housing providers, while relying on the owner and voucher holder certification that the owner has no reasonable basis to have knowledge that life threatening conditions exist in the unit or units in question as a substitute of conducting an initial HQS inspection (INLIVIAN may add other requirements or conditions in addition to the owner's certification).

This flexibility is consistent with the Waiver of Mandatory Initial Inspection option, as explicated in Notice PIH 2021-03.

**E. RENT REFORM/TERM LIMIT INFORMATION (if applicable)**

Not applicable as this activity will not modify the tenant rent calculation.





## IV. APPROVED MTW ACTIVITIES

### A. Implemented Activities

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
2020-1 (Replacing 2018-2, 2017-1) Modified Terms of Over-Subsidy	Significantly amending this activity was designed to expand housing choice. Adjustments to this activity are meant to expand existing flexibility to allow new residents moving into RAD PBV communities with tenant portions of rent that are equal to or exceed the contract rent where the contract rent is lower than the payment standard. Once housed these families will be exempt from the 180-day over-subsidy requirement until the household income reaches 80% of AMI. This revised over-subsidy rule was implemented effective September 2020.	2017, 2018, 2020	September, 2020	None	None	None
2020-2 Development Protection Fund	INLIVIAN will use its broader use of funds authority to ensure the long-term stability and viability of existing INLIVIAN- and affiliate-owned rental housing properties. INLIVIAN has properties that need capital investment and others that potentially need re-syndication of tax credits to maintain the quality and quantity of units provided to low-, very low, and extremely low-income seniors and families. In this activity, INLIVIAN will conduct updated detailed capital needs assessments,	2020	June, 2021	None	None	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
	establish priorities for rehabilitation and refinancing, and explore options for upgrading units over a multi-year period.					
2020-3 Enhanced Briefing for Tenant Readiness	<p>The enhanced briefing will empower families and prepare them for success as INLIVIAN clients, reliable tenants, and good neighbors. The enhanced briefing curriculum will be facilitated by CORE programs and implemented through formal partnerships with other organizations that will provide instructors/advisors with expertise in various areas, including but not limited to: housing search/outreach, housing provider/tenant relations, financial literacy, housekeeping and goal setting. The enhanced briefing will entail up to an additional 4 hours of training with opportunity for questions and discussion. Following housing placement, participants will be invited back to participate in STEPS to Economic and Personal Success courses.</p>	2020	Fall, 2021	None	None	None
2019-1 Housing Choice Provider Incentive Program	<p>This program will operate based upon the availability of funding so as to recruit and retain landlords by providing increased housing choices for INLIVIAN's Housing Choice applicant and participant families. A range of incentives such as, but not</p>	2019	September, 2019	None	None	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
	limited to the following will be introduced through the proposed program. Landlord Incentive Payment; Vacancy Assistant Payment; and Risk Mitigation Funds.					
2017-2 Imputed Earned Income	In both the traditional and Project-Based voucher programs, INLIVIAN does not process interims three months prior to a recertification, three months after a recertification or within three months after a lease up, when there is a loss of income (except in cases of unintentional employment termination) for work abled households. The activity was implemented for the April 2018 re-certifications and March 2018 transfer lease ups. This initiative assists in increasing agency cost effectiveness and promoting self-sufficiency.	2017	March-April 2018	N/A	N/A	N/A
2017-3 Exception Payment Standards	To assist families in deconcentrating poverty through upward mobility, INLIVIAN has developed a Choice Mobility program called Opportunity Housing Program. Increasing payment standards for families in the program will increase housing options and expand opportunities for Housing Choice Voucher participants.	2017	February, 2017	None	None	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
2016-1 Home Ownership Initiative	INLIVIAN received approval to implement a Homeownership Program in the FY 2016 Annual Plan for qualifying Housing Choice Voucher participants and RAD-PBV participants who are eligible as a first-time home buyer, as defined by HUD, and have earned income, Social Security (SS), or Supplemental Security income (SSI).	2016	January, 2016	None	None	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
2016-2 Triennial Re-examination	In 2018, INLIVIAN implemented triennial re-examinations for designated elderly and/or disabled households for the Housing Choice Voucher (HCV) and Public Housing programs. In response to the conditions created by the coronavirus (COVID-19) that impede the processing of biennial re-examinations during FY2020, INLIVIAN was granted an expansion of triennial recertifications for every head of household. Based on the expected efficiencies that will be created, INLIVIAN looks to continue the implementation across its entire subsidy portfolio, not just those recertifications due in FY2020.	2016	October, 2018	None	Due to COVID-19, we do not anticipate a decrease in annual recertifications for 2021. Annual recertifications not completed in 2020, will be completed in 2021 increasing the number of recertifications from the baseline year.	None
2016-3 (2010-1) Rent Reform and Work Requirement	<b>Rent Reform:</b> In 2010, INLIVIAN revised the rent calculation to an income-based, stepped rent process. In 2018, INLIVIAN removed the escrow deposit and replaced it with two new incentive programs. Alternate Verification Activity, as approved via COVID-19 related technical amendment, the alternative verification feature to the agency's rent reform activity was applied upon HUD approval in May 2020 The technical amendment: Alternate Verification has improved efficiency for PBV sites layered with tax credit since the alternate verification activity aligns with tax credit verification requirements. <b>Work Requirement:</b> Due to economic and public health conditions caused by the ongoing coronavirus pandemic, INLIVIAN	2011, 2016	2011	None	Metrics specific to the approved Alternate Verification (COVID related technical amendment) have been incorporated to MTW tracking metrics and will be reflected via the FY 2021 MTW Report, once that feature of the activity has been implemented a full calendar year to help determine permanency.	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
	has suspended work requirement enforcement beginning April 2020 until further notice. While the suspension agency work requirement enforcement, INLIVIAN will continue to monitor multiple in-house administrative metrics, including unemployment, availability of childcare and school closures, and COVID-19 case numbers to determine when it is safe and beneficial to reinstate the requirement.					
2016-4 Single Platform for Inspections	INLIVIAN may use the Uniform Physical Condition Standard (UPCS) as the model for inspection in lieu of Housing Quality Standards (HQS) and exclude the UPCS inspection criteria that impacts the building systems, site common areas site amenities. Also, through this activity, the agency's certified/trained UPCS inspector or HCV certified designees as described in the HCV Administrative Plan may conduct inspection of the units for which the agency and/or their subsidiaries have an ownership interest. This activity is designed to improve cost effectiveness through agency costs and staff time savings. Utilization of UPCS inspection criteria for INLIVIAN's tenant-based voucher program has not been implemented.	2016	January, 2016	None	None	None
2016-5 Controlled Program Moves	INLIVIAN will approve elective move transfers only if the participant has lived in the current unit for a minimum of eighteen (18) consecutive months unless the situation involves a mandatory or permissible move.	2016	February, 2016	2021	The activity does not achieve the statutory goal to increase housing choice and	

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
	Documentation examples include a job offer, school letter, police report, bank notices, or any documentation that verifies the legitimacy of the reason for the request to move inside of the 24- month period. This activity applied to all tenant-based voucher holders and port-ins.				increase agency cost savings.	
2016-5 Controlled Program Moves	INLIVIAN will approve elective move transfers only if the participant has lived in the current unit for a minimum of eighteen (18) consecutive months unless the situation involves a mandatory or permissible move. Documentation examples include a job offer, school letter, police report, bank notices, or any documentation that verifies the legitimacy of the reason for the request to move inside of the 24- month period. This activity applied to all tenant-based voucher holders and port-ins.	2016	February, 2016	2021	The activity does not achieve the statutory goal to increase housing choice and increase agency cost savings.	
2016-6 Modified Definition of Elderly	The definition of elderly families for eligibility in both the Public Housing and Project Based Voucher Programs has been modified from head, co- head or spouse age 62 and older, to head, or co-head or spouse age 55 and older. This activity enables INLIVIAN to expand housing choice for near elderly households.	2016	January, 2016	None	None	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
2011-3 New Construction of Affordable Units	Funding flexibility granted via this approved activity allows INLIVIAN to improve 100% low-income properties into mixed-income communities. The projects will include units intended for a wider range of income levels, from market rate to units that are affordable to those earning less than 30% area median income (AMI). Adding these units will increase housing choices for those needing affordable housing in viable and sustainable projects.	2011	January, 2011	Applicable Communities for FY 2021: Baxter Street; Tall Oaks II; Dillehay Courts Phase II; Strawn; Gladedale, Hampton Creste, Little Rock, Seneca Woods.	None	None
2009-3 Community Based Rental Assistance (CBRA)	This program encompasses Traditional Project-Based voucher, Special Needs units (elderly, disabled, supportive service and homeless) and Local Rental Subsidy programs for implementation of Community Based Rental Assistance (CBRA). The CBRA policy is also applicable to units converted to PBV under the Rental Assistance Demonstration (RAD) program. Specific policy regarding the CBRA program is further explained in the Appendix of this document. INLIVIAN anticipates entering into Agreements to enter into Housing Assistance Payment (AHAP) with development projects selected as part of a local Request for Proposal (RFP) process in conjunction with the MOU. Under the MOU, INLIVIAN sets aside funding for project-based vouchers annually as part of the agency's existing HUD approved CBRA	2009	January, 2009	None	None	None



IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
	policy. Awards are made as part of the “Joint Proposals with the City and State” selection approach outlined in the CBRA policy (see the CBRA Section in the Appendix for a description). The award of the PBVs will be contingent upon projects meeting HUD requirements, including but not limited to, Environmental Review and Subsidy Layering approval.					
2009-5 Moving Forward Supportive Services	As of January 2018, Supportive Services are now provided to the entire INLIVIAN portfolio in conjunction with the agency-wide implementation of the Work Requirement Policy (current work requirement enforcement suspension explained above).	2009	January, 2009	None	None	None
2009-8 Land Acquisition for Future Use	MTW flexibility allows INLIVIAN to acquire sites without prior HUD approval, if the agency certifies that HUD site selection requirements have been met. This allows INLIVIAN to be nimble and competitive in the market when opportunities become available. With INLIVIAN’s plan to increase the number of affordable housing opportunities, acquiring land is an option to be considered.	2009	January, 2009	None	The expected amount of funds leveraged after this activity is \$224,157,758; Benchmark metric adjusted.	None

IMPLEMENTED ACTIVITIES						
Activity	Description/Update	Plan Year	Implementation Date	Planned Non-Significant Changes	Planned Changes to Metrics/Data Collection	Planned Significant Changes
2008-3 Investment Policies Consistent with State Law Initiative	INLIVIAN invests only in securities authorized under NC state law that allow the flexibility to invest productively and efficiently to achieve a portfolio which is safer, more liquid, and obtains competitive yield. INLIVIAN's investments are performed in accordance with HUD regulations and North Carolina Statutes. This activity has allowed INLIVIAN to achieve higher earnings on idle funds in a safer, more liquid environment.	2009	January, 2009	None	None	None
2008-7 Increase Acquisition and Rehabilitation of Multi-family Units	Through 2021, HDP plans to begin the recapitalization of Montgomery Gardens, Nia Point, and McMullen Woods. The financing would close in 2022 and the renovations would commence. In 2022, HDP intends to begin the recapitalize process for Arbor Glen I, II, III and AG50, Springfield Gardens, and First Ward Place II.	2008-2009	January, 2009	January, 2009	None	None

## B. Not Yet Implemented Activities

All active initiatives plan to be implemented in FY 2022 except for those explicated in the "Activities on Hold" Section, below. \* The rest of this page is intentionally left blank.



## C. Activities on Hold

ACTIVITIES ON HOLD					
Activity	Description/Update	Plan Year	Implementation Date	Plan Year Activity was Placed on "Hold"	Reason Activity was Placed "on hold"
2013-2 Biennial Agency-Wide Inspections	INLIVIAN began the first biennial inspections in November 2015 for all properties and units.	2013	November, 2015	2019	This activity is no longer applicable to MTW planning as HUD currently permits all housing authorities to conduct biennial inspections. However, INLIVIAN does not want to lose the flexibility in the long-term, in case, HUD should reverse broad approval of general policy.



## D. Closed Out Activities

CLOSED OUT ACTIVITIES					
Activity	Description/Update	Plan Year	Implementation Date	Plan Year Activity was "Closed Out"	Reason Activity was "Closed Out"
2018-1 Self Certification of reinspection for HQS initials	The activity allows a self-certification in lieu of a re-inspection when an initial inspection fails, and there are 10 or less different types of non-life-threatening deficiencies. Photos and invoices are required and must be submitted within 30 days of the failed report.	2018	June, 2018	2021	MTW Flexibility no longer required as activity is consistent with HOTMA implementation rule: Housing Opportunity Through Modernization Act of 2016—Housing Choice Voucher (HCV) and Project-Based Voucher Implementation; Additional Streamlining Changes, 85 Fed. Reg. 63664 (Oct. 8, 2020).
2008-2 Participant and Landlord Tracking	Through this activity, the agency the locations of HCVs to increase access to housing prospects in higher opportunity areas.	2008-2009	January, 2008	2021	The activity was closed out via the 2021 MTW Planning year. The work has been implemented to support INLIVIAN's Opportunity Housing Program.
2015-1 Streamline Project-Based Voucher and Public Housing Regulations	This activity was pursued to streamline regulations of Project-Based (PBV) and Public Housing units in developments that house both.	2015	January, 2015	2019	INLIVIAN no longer has properties with PBV and PH in the same development after RAD conversion.
2015-2 Public Housing No Assistance Termination Policy (Mixed Income Communities Only)	This activity was pursued to allow terminations to Public Housing assistance for households no longer using the subsidy in mixed- income communities.	2015	January, 2015	2017	This activity has been combined with 2017-1-Modified Terms of Over Subsidy. This activity was closed-out effective fiscal year end 2016 (following HUD approval of the FY 2017 Plan and RAD Conversion).

CLOSED OUT ACTIVITIES					
Activity	Description/Update	Plan Year	Implementation Date	Plan Year Activity was "Closed Out"	Reason Activity was "Closed Out"
2011-1 Acquisition of General Partnership Interest	This activity allowed the agency to acquire the General Partnership interest in the Little Rock Apartments.	2011	January, 2011	2016	Acquisition of property completed.
2011-2 Local Non-Traditional Initiatives	The activity allowed for supportive services partnerships.	2011	January, 2011	2018	The Supportive Housing Innovative Partnership (SHIP) units will now be considered Supportive Housing units under our Community Based Rental Assistance Policy.
2009-6 Youth Initiatives	This activity established a Cooperative Agreement to Form Partnerships with local education stakeholders to connect INLIVIAN participants to programs and services that address truancy, post- secondary education preparation, and academic improvement.	2009	January, 2009	2015	The Agreement has expired.
2008-2 Biennial Review Process for Elderly and Disabled	This activity allowed INLIVIAN to implement a biennial review process for elderly and disabled heads of households.	2009	January, 2010	2013	INLIVIAN subsequently implemented an agency-wide biennial process.
2008-5 Good Neighbor Training	The training was designed to assist families' acclimation to a neighborhood. Participants accessed training to learn more about being "Good Neighbors".	2008	January, 2008	2014	The trainings have been incorporated into initial briefing sessions for all head of households.

CLOSED OUT ACTIVITIES					
Activity	Description/Update	Plan Year	Implementation Date	Plan Year Activity was "Closed Out"	Reason Activity was "Closed Out"
2008-2009 Development of Local Design Standards	This activity allowed for INLIVIAN to streamline design standards.	2009	January, 2011	2014	MTW flexibility is no longer necessary.
2007-2 Affordable Housing Impact Study	This activity allowed for a partnership with UNCC, to research the pattern and density of affordable housing and evaluate the association between the housing stock and crime rate, housing and property values, and school equity in surrounding communities.	2007	January, 2008	2010	The study was completed, and findings have been initiated through other INLIVIAN programs.
Activity 2007-2008 Section 8 Property Rating System	A quantitative evaluation rating system for the exterior appearance of a Section 8 property was developed to improve the housing quality standards of participating property owners to encourage an increase in the percentage of high-quality Section 8 rental units.	2008	January, 2009	2011	The activity was discontinued due to costs versus the overall impact upon housing choice families.

# V. PLANNED APPLICATION OF MTW FUNDS

## A. Planned Application of MTW Funds

### ESTIMATED SOURCES AND USES OF MTW FUNDING FOR THE FISCAL YEAR

<u>FDS Line Item</u>	<u>Sources FDS Line Item Name</u>	<u>Dollar Amount</u>
70500 (70300+70400)	Total Tenant Revenue	\$140,896
70600	HUD PHA Operating Grants	\$115,448,451
70610	Capital Grants	\$2,871,162
70700 (70710+70720+70730+70740+70750)	Total Fee Revenue	\$0
71100+72000	Interest Income	\$50,000
71600	Gain or Loss on Sale of Capital Assets	\$0
71200+71300+71310+71400+71500	Other Income	\$8,249,784
70000	Total Revenue	\$126,760,293

### ESTIMATED APPLICATION OF MTW FUNDS

<u>FDS Line Item</u>	<u>USES FDS Line Item Name</u>	<u>Dollar Amount</u>
91000 (91100+91200+91400+91500+91600+91700+91800+91900)	Total Operating - Administrative	\$7,980,105
91300+91310+92000	Management Fee Expense	\$2,469,680
91810	Allocated Overhead	\$0
92500 (92100+92200+92300+92400)	Total Tenant Services	\$287,852
93000 (93100+93600+93200+93300+93400+93800)	Total Utilities	\$88,594
93500+93700	Labor	\$0
94000 (94100+94200+94300+94500)	Total Ordinary Maintenance	\$259,581
95000 (95100+95200+95300+95500)	Total Protective Services	\$63,700
96100 (96110+96120+96130+96140)	Total Insurance Premiums	\$52,927
96000 (96200+96210+96300+96400+96500+96600+96800)	Total Other General Expenses	\$20,000
96700 (96710+96720+96730)	Total Interest Expense and Amortization Cost	\$0
97100+97200	Total Extraordinary Maintenance	\$0

<b>USES (Continued)</b>		
<b>FDS Line Item</b>	<b>FDS Line Item Name</b>	<b>Dollar Amount</b>
97300+97350	Housing Assistance Payments + HAP Portability-In	\$78,307,437
97400	Depreciation Expense	\$0
97500+97600+97700+97800	All Other Expenses	\$43,825,684
90000	Total Expenses	\$133,355,560

## **DESCRIPTION OF PLANNED APPLICATION OF MTW FUNDING FLEXIBILITY**

INLIVIAN continues to use MTW Single Fund Flexibility to support various housing programs and services as well as invest in development projects to further the agency’s mission and strategic goals. The flexibility allows INLIVIAN to balance the funding needs among various programs to achieve higher outcomes that would otherwise be constrained by inadequate resources. Planned uses of MTW Single Fund Flexibility includes supporting the following programs:

### **Housing Choice Voucher (HCV) Program**

The HCV Program enables low-income families, the elderly and the disabled to afford decent and safe housing in the private market. The HCV Program is a major use of MTW Single Fund Flexibility, which allows the agency to direct funding towards addressing specific local needs, such as increasing greater access to opportunity neighborhoods/census tracts and increasing housing supply via landlord incentives and outreach initiatives.

### **Asset Management Projects (AMP)s**

The AMP program includes the last remaining public housing property, Dillehay Courts. Dillehay is being converted in two Phases, with Phase I completed in 2021. All remaining reserves from each RAD conversion has been held at the Dillehay AMP for use in a mixed finance transaction. The demolition application for the final 100 units will be approved prior to any final redevelopment activities. Therefore, INLIVIAN plans to transfer all remaining reserves back to the MTW Fund for use in the cost of the demolition of the property and for other current development projects. These dollars are included in the following use and planned application of unspent funding table.

### **C.O.R.E. Programs, Inc. (CORE)**

CORE is INLIVIAN’s Client Services Department, which delivers supportive services to residents in gaining the necessary skills for success intended to increase the resident’s quality of life. The program goal is to help families become financially stable and decrease their dependence on housing subsidy. Case managers and life coached work with families to identify barriers and coordinate resources to address these barriers. MTW Single Fund Flexibility provides for CORE operating activities and special resources such as the following:

#### *With Every Heartbeat is Life (WEHL) Program*

The WEHL Program educates our residents on the importance of cardiovascular disease awareness, making healthy food selections, and the importance of physical activity. The WEHL Program strongly believes in the “each one, teach one” method. This means sharing what you learn with others. This method is used to extend awareness as much as possible to Public Housing Communities to promote better health choices in hopes of developing healthy communities. Our Community health workers play an important role in delivering the information about health issues. They live within



the INLIVIAN communities and are dedicated to health of their neighbors. The Community Health Workers are trained to conduct appropriate heart health education using tools from the National Heart, Lung and Blood Institute. Also, they demonstrate with healthy food choices and preparation.

*Soul of a Champion Scholarship*

The MAXimize Your Potential Academic Enhancement Program (MAX) has ended and the new partnership with the NBA Players Association was established. The Soul of a Champion Sports Scholarship (S.O.C.) was established in 2019. This scholarship provides youth residing within INLIVIAN housing communities and the Housing Choice Voucher Program with an opportunity to play the sport of their dreams. Potential sports include basketball, football, soccer, dance, baseball and more. The \$400 per year maximum scholarship can be used to cover the cost of uniforms, program fees, and other costs related to program participation.

*Parent Child Plus Program*

The Parent Child Plus (PC+) program is an evidenced based model that prepares two and three year old children (can be as young as 16 months) for school success by increasing language and literacy skills, enhancing social-emotional development, and strengthening the parent-child relationship. PC+ emphasizes three main principles: increasing verbal and non-verbal interaction between the parent and the child, developing and enhancing positive child behaviors, and promoting early literacy skills.

The PC+ model is delivered in the homes of participants. Services are provided to parent-child through twice-weekly home visits of 30 minutes each. Each week a gift of a book or educational toy is given to the family, creating a permanent home-library for the household. During the home visit, parent-child participants are involved in reading, play activities, quality verbal interaction, and developmentally appropriate tasks, with the assistance of an Early Learning Specialists or PC+ Coordinator.

**Development Projects**

MTW Single Fund Flexibility is used for development and revitalization projects to increase the supply of diverse price point housing. INLIVIAN maintains its mission to expand quality affordable housing opportunities through these real estate development initiatives, which may include predevelopment, redevelopment, administrative costs related to the capital projects and other investments involving public-private partnerships.

**PLANNED APPLICATION OF UNSPENT OPERATING FUND AND HCV FUNDING**

Original Funding Source	Beginning of FY - Unspent Balances	Planned Application of PHA Unspent Funds during FY
HCV HAP*	\$0	\$0
HCV Admin Fee	\$0	\$0
PH Operating Subsidy	\$18,595,267	\$6,595,267
<b>TOTAL:</b>	<b>\$18,595,267</b>	<b>\$6,595,267</b>

FDS Line Number	FDS Line Item	Definition
<b>Current Assets</b>		
111	Cash-Unrestricted	This FDS line represents cash and cash equivalents in any form available for use to support any activity of the program or project.
114	Cash-Tenant Security Deposits	This FDS line represents cash in the Security Deposit Fund.
115	Cash-Restricted for Payment of Current Liabilities	This line represents restricted cash and cash equivalents that are only to be expended for specified purposes.
120	Total Receivables	This line represents the total of all receivables less the amounts established as allowances for estimated uncollectible amounts.
131	Investments-Unrestricted	This line represents the fair market value of all investments which can be used to support any activity of a program, project, activity, COCC, or entities.
135	Investments-Restricted for Payment of Current Liability	This line represents the fair market value of all investments designated for specific purposes that will be used to liquidate a current liability in the next fiscal year or offset unearned revenue.
142	Prepaid Expenses and Other Assets	This line represents all prepaid expenses. These are not expected to be converted.
144	Inter-program-Due From	This line represents amounts due from other PHA projects, programs, and activities of a temporary nature.
145	Assets Held for Sale	This line item represents assets that the entity expects to sell to qualified applicants. This line item is typically used as part of the homeownership program.
<b>Current Liabilities</b>		
310	Total Current Liabilities	This FDS line is the sum of lines 311 through 348 and represents the total of all current liabilities.
343	Current Portion of Long-Term Debt (Capital Projects/Bonds)	This line includes the current portion of debts acquired and debts issued for capital purposes of the PHA/entity.

### **Description or Planned Expenditures of Unspent Operating Fund and HCV Funding**

Planned expenditures for unspent funds include spending for the Development Protection Fund initiative, demolition and other predevelopment initiatives.

## LOCAL ASSET MANAGEMENT PLAN

### Is INLIVIAN MTW Allocating Costs Within Statute?

Yes.

### Is INLIVIAN Implementing a Local Asset Management Plan (LAMP)?

No, therefore No LAMP documentation provided in Appendix. INLIVIAN does not plan or intend to make any associated changes during the plan year.

## RENTAL ASSISTANCE DEMONSTRATION (RAD) PARTICIPATION

### Description of RAD Participation

INLIVIAN is close to completing a RAD portfolio conversion with one community remaining in Public Housing: Dillehay Courts. INLIVIAN anticipates converting the operating subsidies of the 100 Dillehay units (phase 2) in 2022.

INLIVIAN is converting all of the Public Housing subsidies in Phase I (36 units) to the RAD PBV program and will use the RAD Transfer of Assistance to preserve the 100 remaining affordable units at Dillehay (phase 2), and at other HDP owned communities.

### Has INLIVIAN submitted a RAD Significant Amendment in the Appendix?

Yes.

### Is INLIVIAN the RAD Significant Amendment Submitted in the Appendix the First to be Submitted?

No. The original RAD Significant Amendment was introduced in the FY 2016 MTW Plan. The proposed amendments included in this plan detail units which are planned for disposition via a Section 18 application with the associated demolition of the Dillehay Courts community. INLIVIAN plans to then apply for Tenant Protection Vouchers.

# VI. ADMINISTRATIVE

## A. Board Resolution and Certifications of Compliance

Certification of Compliance was included as Appendix A of the Plan at time of Plan submittal.

## B. Documentation of Public Process

Documentation of Public Process was included as Appendix C of the Plan at time of Plan submittal.

## C. Planned and Ongoing Evaluations

Through close partnership with the University of North Carolina (UNC) at Chapel Hill's, Department of City and Regional Planning, INLIVIAN aims to regularly examine MTW and related policy outcomes to determine if policies: 1) meet the statutory requirements outlined by HUD and/or 2) can be improved or streamlined. UNC will produce a year end evaluation report associated with Plan year 2022 MTW outcomes, which will be included as part of INLIVIAN's 2022 MTW Report.

## D. Lobbying Disclosures

There are no lobbying activities to disclose. Associated documentation was included as Appendix I of the Plan at time of plan submittal.

# VII. Appendix

- Appendix A: Certification of Compliance
- Appendix B: Board Resolution
- Appendix C: Documentation of Public Process
- Appendix D: Modification of FSS Program Pay Points Chart
- Appendix E: Community Based Rental Assistance (CBRA) Policy
- Appendix F: Planned Additional Agency Activities for FY 2022
- Appendix G: Planned Demolition/Disposition Activity for FY 2022
- Appendix H: Agency updated RAD Significant Amendment
- Appendix I: Lobbying Disclosure

## CERTIFICATIONS OF COMPLIANCE

### **U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT OFFICE OF PUBLIC AND INDIAN HOUSING**

#### **Certifications of Compliance with Regulations: Board Resolution to Accompany the Annual Moving to Work Plan**

Acting on behalf of the Board of Commissioners of the Moving to Work Public Housing Agency (MTW PHA) listed below, as its Chair or other authorized MTW PHA official if there is no Board of Commissioners, I approve the submission of the Annual Moving to Work Plan for the MTW PHA Plan Year beginning (01/01/2022), hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- (1) The MTW PHA published a notice that a hearing would be held, that the Plan and all information relevant to the public hearing was available for public inspection for at least 30 days, that there were no less than 15 days between the public hearing and the approval of the Plan by the Board of Commissioners, and that the MTW PHA conducted a public hearing to discuss the Plan and invited public comment.
- (2) The MTW PHA took into consideration public and resident comments (including those of its Resident Advisory Board or Boards) before approval of the Plan by the Board of Commissioners or Board of Directors in order to incorporate any public comments into the Annual MTW Plan.
- (3) The MTW PHA certifies that the Board of Directors has reviewed and approved the budget for the Capital Fund Program grants contained in the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1 (or successor form as required by HUD).
- (4) The MTW PHA will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
- (5) The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- (6) The Plan contains a certification by the appropriate state or local officials that the Plan is consistent with the applicable Consolidated Plan.
- (7) The MTW PHA will affirmatively further fair housing by fulfilling the requirements set out in HUD regulations found at Title 24 of the Code of Federal Regulations, including regulations in place at the time of this certification, and any subsequently promulgated regulations governing the obligation to affirmatively further fair housing. The MTW PHA is always responsible for understanding and implementing the requirements of HUD regulations and policies, and has a continuing obligation to affirmatively further fair housing in compliance with the 1968 Fair Housing Act, the Housing and Community Development Act of 1974, The Cranston-Gonzalez National Affordable Housing Act, and the Quality Housing and Work Responsibility Act of 1998. (42 U.S.C. 3608, 5304(b)(2), 5306(d)(7)(B), 12705(b)(15), and 1437C-1(d)(16)). The MTW PHA will affirmatively further fair housing by fulfilling the requirements at 24 CFR 903.7(o) and 24 CFR 903.15, which means that it will take meaningful actions to further the goals identified in its Analysis of Impediments to Fair Housing Choice(AI), Assessment of Fair Housing (AFH), and/or other fair housing planning documents conducted in accordance with the requirements of 24 CFR Part 5, that it will take no action that is materially inconsistent with its obligation to affirmatively further fair housing, and that it will address fair housing issues and contributing factors in its programs, in accordance with 24 CFR 903.7(o), and will address impediments to fair housing choice identified in its AI, AFH, and/or other fair housing planning documents associated with any applicable Consolidated or Annual Action Plan under 24 CFR Part 91.
- (8) The MTW PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975 and HUD's implementing regulations at 24 C.F.R. Part 146.
- (9) In accordance with 24 CFR 5.105(a)(2), HUD's Equal Access Rule, the MTW PHA will not make a determination of eligibility for housing based on sexual orientation, gender identity, or marital status.
- (10) The MTW PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- (11) The MTW PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 75.

- (12) The MTW PHA will comply with requirements with regard to a drug free workplace required by 24 CFR Part 24, Subpart F.
- (13) The MTW PHA will comply with requirements with regard to compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms if required by this Part, and with restrictions on payments to influence Federal Transactions, in accordance with the Byrd Amendment and implementing regulations at 49 CFR Part 24.
- (14) The MTW PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
- (15) The MTW PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.IOS(a).
- (16) The MTW PHA will provide HUD or the responsible entity any documentation needed to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58. Regardless of who acts as the responsible entity, the MTW PHA will maintain documentation that verifies compliance with environmental requirements pursuant to 24 Part 58 and 24 CFR Part 50 and will make this documentation available to HUD upon its request.
- (17) With respect to public housing and applicable local, non-traditional development the MTW PHA will comply with Davis-Bacon or HUD determined wage rate requirements under section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- (18) The MTW PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- (19) The MTW PHA will comply with the Lead-Based Paint Poisoning Prevention Act and 24 CFR Part 35.
- (20) The MTW PHA will comply with the policies, guidelines, and requirements of 2 CFR Part 225 (Cost Principles for State, Local and Indian Tribal Governments) and 2 CFR Part 200.
- (21) The MTW PHA must fulfill its responsibilities to comply with and ensure enforcement of Housing Quality Standards, as defined in 24 CFR Part 982 or as approved by HUD, for any Housing Choice Voucher units under administration.
- (22) The MTW PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the Moving to Work Agreement and Statement of Authorizations and included in its Plan.
- (23) All attachments to the Plan have been and will continue to be available at all times and all locations that the Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the MTW PHA in its Plan and will continue to be made available at least at the primary business office of the MTWPHA.

INLIVIAN  
 \_\_\_\_\_  
 MIWPHANAME

NC003  
 \_\_\_\_\_  
 MIWPHA NUMBER/HA CODE

***I/We, the undersigned, certify under penalty of perjury that the information provided above is true and correct. WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. {18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802}.***

A. Fulton Meachem, Jr.  
 \_\_\_\_\_  
 NAME OF AUTHORIZED OFFICIAL

President/Chief Executive Officer  
 \_\_\_\_\_  
 TITLE

  
 \_\_\_\_\_  
 SIGNATURE

\_\_\_\_\_  
 DATE

**RESOLUTION****AUTHORIZE SUBMISSION OF THE INLIVIAN MOVING TO WORK ANNUAL PLAN FOR FISCAL YEAR 2022 TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**WHEREAS**, Moving to Work (MTW) is a demonstration program authorized by Congress and implemented by the US Department of Housing and Urban Development (HUD);

**WHEREAS**, INLIVIAN was designated by HUD as an MTW agency in 2007;

**WHEREAS**, in establishing MTW, Congress granted broad authority to housing authorities to design programs that would achieve three primary goals:

- Reduce cost and achieve greater cost effectiveness in Federal expenditures;
- Give incentives to families with children where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient; and
- Increase housing choice for low-income families;

**WHEREAS**, the MTW agreement provides INLIVIAN with the authority to design and test innovative, locally designed housing and self-sufficiency strategies for low-income families;

**WHEREAS**, INLIVIAN's proposed Fiscal Year (FY) 2022 MTW Annual Plan was made available for the required 30-day public review/comment from July 16, 2022 to August 18, 2022;

**WHEREAS**, the proposed FY2022 MTW Annual Plan was made available for review by the Resident Advisory Committee (RAC), along with discussion with the RAC during the June CEO Roundtable meeting. The plan was also shared with Legal Aid for review;

**WHEREAS**, on August 17th, INLIVIAN's Board of Commissioners conducted a public hearing for the proposed FY 2022 MTW Annual Plan;

**WHEREAS**, no comments were received on the proposed FY 2022 MTW Annual Plan as part of the public review and comment period; and

**WHEREAS**, INLIVIAN is requesting that the Board of Commissioners adopt the agency's proposed FY2022 MTW Annual Plan and authorize the submission of the plan to HUD.

**NOW, THEREFORE, BE IT RESOLVED**, by the Board of Commissioners of INLIVIAN that:

1. All initiatives in the MTW Annual Plan shall be reviewed and approved by the Board of Commissioners before they are implemented.
2. INLIVIAN's proposed FY2022 MTW Annual Plan is hereby adopted.
3. The Chair of the Board of Commissioners and the Chief Executive Officer are hereby authorized to execute any required documents, certifications or HUD forms related to the approval and filing of INLIVIAN's proposed FY2022 MTW Annual Plan.
4. The Chief Executive Officer is authorized to submit INLIVIAN's proposed FY 2022 MTW Annual Plan and such other documents, certifications or forms to HUD with such changes, additions, corrections or amendments as deemed necessary or appropriate or as may be required by HUD.

Appendix B

5. The Chief Executive Officer is hereby authorized to negotiate with HUD regarding any portion of INLIVIAN's proposed FY 2022 MTW Annual Plan and to carry out other actions relating to the plan.

**RECORDING OFFICER'S CERTIFICATION**

I, A. Fulton Meachem, Jr., the duly appointed Secretary of INLIVIAN, do hereby certify that this resolution was properly adopted at a regularly held meeting on September 21, 2021.

(SEAL)

BY: 

\_\_\_\_\_  
A. Fulton Meachem, Jr.  
Secretary



## DOCUMENTATION OF PUBLIC PROCESS

### MTW PLAN PUBLIC REVIEW PERIOD

*July 16, 2021-August 18, 2021*

### MEETINGS AND HEARINGS

- ❖ June 3: Plan summary presentation to INLIVIAN Policy and Board Governance Committee (committee of the agency Board of Commissioners)
- ❖ June 24: Plan summary and process overview discussion with INLIVIAN Resident Advisory Council
- ❖ July 14: Posting of Plan Draft and Public Notice
- ❖ August 5: Plan Draft presentation to INLIVIAN Community Relations Committee (committee of the agency Board of Commissioners)
- ❖ August 9: Plan Draft presentation to INLIVIAN Resident Advisory Council
- ❖ August 17: Public Hearing held virtually, notification of how to participate via public comment or to further inquire, posted on INLIVIAN website at beginning of public comment on *July 16, 2021*
- ❖ September 2: INLIVIAN Client Relations Committee review and approval for Board review/approval
- ❖ September 21: Board Approval via resolution

### PUBLISHING AND POSTING

- ❖ July 14: Notice published in the La Noticia.
- ❖ July 15: Notice published in the Charlotte Post.
- ❖ July 15: Plan made available online and sent to property management staff. Customary public posting of physical document hindered by COVID-19 public building closure guidelines.
- ❖ July 16: Notice published in the Charlotte Observer.
- ❖ July 16: Plan Draft and Public Notice posted on INLIVIAN's website.
- ❖ July 16: Public Notice and access to Plan Draft posted on INLIVIAN social media.

### INLIVIAN COMMUNITY NOTIFICATION/ENGAGEMENT SESSIONS

The FY 2022 MTW Annual Plan Draft was presented to the Agency's Resident Advisory Council on June 24, 2021 and the Policy and Board Governance Committee on June 3, and the Client Relations Committee on September 2, 2021. Notice of public hearing and information on the comment period and directions on how to comment were communicated through social media at the start and ending of the public comment period. The public hearing was hosted by the agency's Board of Commissioners. The hearing was conducted via phone and web-based meeting formats. No comment from the public were received during the comment period, including the public hearing. The Board approved, via resolution, the INLIVIAN FY 2022 MTW Plan for submission to HUD on September 21, 2021.

## MODIFICATION OF FSS PROGRAM INCENTIVE PAY POINTS

Pathway	Goal	Amount
<b>Housing/Homeownership</b>	Enrollment in Homeownership Education & Counseling	\$250
	Completion of Homeownership counseling	\$500
	Home purchase	\$1,250
	Move out subsidized housing	\$1,000
<b>Asset Building/Financial Strength</b>	Enroll in Financial Coaching/Edu	\$250
	Credit Score Increase to 640 or better	\$500
	Credit score increase to 680 or better	\$500
	Open saving or Checking Account	\$250
	Increase annual savings by \$500	\$500
<b>Employment</b>	Unemployed- Obtain employment of 20hrs or more**	\$250
	Maintain employment for 12-months	\$500
	Achieve Job Promotion	\$500
<b>Education/Training</b>	Completion of training/certification program	\$100
	Completion of GED or HSD Program	\$250
	Completion of associate degree	\$500
	Completion of bachelor's degree	\$1,000
	Completion of Master's degree or higher	\$1,500

*\*Annual Maximum deposit \$2,000.*

*\*Participants can achieve multiple goals within the year not to exceed \$2,000*

*\*\*Unemployed-Obtain employment of 20hrs or more- eligible if unemployed at time of program enrollment. Participant is not eligible upon regaining employment after loss of employment*

## COMMUNITY BASED RENTAL ASSISTANCE (CBRA) POLICY

### Overview

INLIVIAN's Community Based Rental Assistance (CBRA) program (also known as the project-based voucher program) encompasses traditional project-based voucher units, Special Needs units (elderly, disabled, supportive housing, supportive service, and homeless), and pilot local rental subsidy programs. INLIVIAN will determine the percentage of housing choice voucher assistance that is project-based under the CBRA program based on organizational strategic goals and public housing authority and/or City/County revitalization efforts. The CBRA policy is also applicable to units converted to PBV under the Rental Assistance Demonstration (RAD) program.

### *Simplification of the Process to Project-Base Units*

INLIVIAN will utilize a simplified owner proposal selection process to project-base vouchers. INLIVIAN will select CBRA proposals by any of the following methods:

Units Owned by INLIVIAN. INLIVIAN is authorized to project-base Section 8 assistance at properties owned directly or indirectly by the Agency, subject to HUD's requirements regarding subsidy layering. Project-based assistance for such owned units does not need to be competitively bid, nor are the owned units subject to any assessments for voluntary conversion. Direct or indirect ownership interest includes, but is not limited to:

- ✓ INLIVIAN participation as a member in a tax credit or other LLC;
- ✓ Direct or indirect ownership interest through an INLIVIAN subsidiary;
- ✓ INLIVIAN ownership of the land on which the community has been or is to be developed; or
- ✓ INLIVIAN is funding a portion of the construction costs of the community and subsidizing the operating costs or rents of the community for low income families.

Request for Proposals. INLIVIAN may solicit proposals by using a request for proposals (RFP) process. The RFP process will select proposals on a competitive basis. INLIVIAN will not limit proposals to a single site or impose restrictions that explicitly or practically preclude owner submission of proposals for project-based housing on different sites.

Proposals that were Previously Selected Based on Competition. INLIVIAN may consider an application and select a proposal for housing assisted under a federal, state, or local government housing assistance, community development, or supportive services program (e.g. Low-Income Housing Tax Credit (LIHTC), HOME, Housing Trust Fund, Affordable Housing Program, etc.) that included a competitive process. INLIVIAN may consider such applications only if housing was competitively selected within three years of the proposal selection date and where the earlier competitive proposal did not involve any consideration that the project would receive project-based voucher assistance.

Joint Requests for Proposals with the City and State. INLIVIAN may award project-based voucher assistance via competitive process for other affordable housing funds to maximize the impact of its project-based vouchers and to minimize the number of application processes developers face.

## Appendix E

### **Solicitation and Selection of Proposals**

INLIVIAN will accept proposals on an ongoing basis. INLIVIAN may periodically advertise its request for proposals (RFP) for the target categories in The Charlotte Observer. In addition to, or in place of advertising, INLIVIAN may also directly contact specific owners that have already been selected through one of the competitive processes above to inform them of available project-based assistance.

In order for the proposal to be considered, the proposal must respond to all requirements as outlined in the RFP. Incomplete proposals will not be reviewed.

INLIVIAN will rate and rank proposals for in the target categories using the following criteria:

#### *Family Units*

1. Must be located in a permissible area which meet INLIVIAN's goals and objectives including but not limited to deconcentrating poverty, expanding housing and economic opportunities. Preference will be given to proposals in which units are located in a High Opportunity Area that is characterized by: low poverty, low crime rate, access to high performing schools, access to transportation, access to employment opportunities and areas of redevelopment in order to preserve affordable housing options in gentrifying neighborhoods.
2. Families must have one member either working or participating in a work support program.
3. No more than 25% of the units in a family development will be project-based unless the development is providing some level of supportive services. (This does not apply to senior and special needs housing).
4. Developments in neighborhoods within a mile of one of Charlotte's new transportation corridors, including light rail, will be given second priority.

#### *Special Needs Units*

1. Category includes units for homeless, disabled, domestic violence, transitional youth and other special needs.
2. Services must be being provided onsite or in coordination with the housing. Higher levels of service provision will be given first priority.
3. Must be located in a permissible area which meet INLIVIAN's goals and objectives including but not limited to deconcentrating poverty, expanding housing and economic opportunities. Preference will be given to proposals in which units are located in a High Opportunity Area that is characterized by: low poverty, low crime rate, access to high performing schools, access to transportation, access to employment opportunities and areas of redevelopment in order to preserve affordable housing options in gentrifying neighborhoods. Developments in neighborhoods within a mile of one of Charlotte's new transportation corridors, including light rail, will be given second priority.

#### *Senior Units*

1. Must be located in a permissible area which meet INLIVIAN's goals and objectives including but not limited to deconcentrating poverty, expanding housing and economic opportunities. Preference will be given to proposals in which units are located in a High Opportunity Area that is characterized by: low poverty, low crime rate, access to high performing schools, access to

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transportation, access to employment opportunities and areas of redevelopment in order to preserve affordable housing options in gentrifying neighborhoods.

2. Developments in Uptown Charlotte or in neighborhoods within a mile of one of Charlotte's new transportation corridors, including light rail, will be given second priority.

3. Services must be provided onsite or in coordination with the housing. Higher levels of service provision will be given priority if the locational criteria rank equally.

In addition to meeting the above criteria, each deal must meet HUD Site Selection Standards set forth in CFR 983.57. Each deal must also be in compliance with HUD subsidy layering rules, the Fair Housing Act, Davis-Bacon regulations for new construction and all other applicable HUD regulations.

Priority will be given to proposals in any target category that complement other local activities such as the redevelopment of a public housing site under the HOPE VI program, the HOME program, CDBG activities, other development activities in a HUD-designated Enterprise Zone, Economic Community, or Renewal Community.

### **Prohibition of Assistance for Certain Units**

INLIVIAN will determine property eligibility criteria and may provide project-based assistance for transitional housing and other innovative housing types to address Charlotte's affordable housing needs.

### **Cap on the Number of Project-Based Units**

INLIVIAN will provide project-based assistance for excepted units in multifamily buildings that are specifically made available for elderly or disabled families or families receiving supportive services on a project-by-project basis with MTW and/or HUD approval.

In Supportive Housing developments (homeless, disabled, domestic violence, transitional youth, and/or special needs) with less than 20 units, INLIVIAN will provide project-based assistance up to 100% of the units in the project. In supportive housing developments (homeless, disabled, domestic violence, transitional youth, and/or special needs) with more than 20 units, INLIVIAN will provide project-based assistance up to 60% of the units in the project. Services must be provided in the coordination of housing for supportive housing developments. The types of services offered to families for a project to qualify for the exception will be determined on a project-by-project basis depending on the target population. Supportive Housing developments may use the McKinney Act definition of disabled for the purposes of determining eligibility for exempted units.

INLIVIAN will require reports to be submitted at least quarterly for supportive housing developments (excluding elderly and disabled developments) to ensure proper monitoring of excepted units.

In family developments or transitional housing programs, families must be receiving FSS supportive services or other self-sufficiency services to qualify for an excepted unit. INLIVIAN, contract service provider, and/or supportive service partner will monitor the excepted family's continued receipt of supportive services and take appropriate action regarding those families that fail without good cause to complete their supportive services requirement. INLIVIAN will require reports to be submitted at least quarterly for family developments to ensure proper monitoring of excepted units.

### **Site Selection Standards**

Per INLIVIAN's MTW Agreement, the Authority is authorized to duly adopt, according to the requirements of local law, alternate standards for determining the location of existing, newly constructed or substantially rehabilitated housing to receive subsidy; provided, however, that in lieu of the Site Selection Standards currently set forth in 24 C.F.R. Section 983.57, the agency will comply with the following requirements:

- a. The Agency will comply with the Fair Housing Act and Title VI of the Civil Rights Act of 1964, and implementing regulations thereto, in determining the location of newly constructed or acquired public housing units.
- b. Units may be located in the agency's jurisdiction, including within, but not limited to, the following types of urban areas: (1) an area of revitalization that has been designated as such by the City of Charlotte and/or Mecklenburg County, including Redevelopment Areas and Enhanced Enterprise Communities, (2) an area where public housing units were previously constructed and were demolished, (3) a racially or economically impacted area where the agency plans to preserve existing affordable housing, (4) in connection with a HOPE VI or other HUD funded master planned development, (5) in areas where a needs analysis indicates that subsidized housing represents a low percentage of the total number of housing units in the area or (6) relocating units to an area with a lower concentration of public housing units.
- c. Conduct a housing needs analysis indicating that there is a real need for the housing in the area; and
- d. When developing or substantially rehabilitating six or more Section 8 project-based units, the agency will: (1) advise current residents of the subject properties and representative community groups in the vicinity of the subject property by letter to resident organizations and by public meeting, of the agency's revitalization plan and (2) certify to HUD in its MTW Annual Report that the comments from residents and representative community groups have been considered in the revitalization plan. Documentation evidencing that the agency has met the stated requirements will be maintained at the housing authority and submitted to HUD in its MTW Annual Report.

It is the goal of INLIVIAN to select sites for CBRA housing that provide for deconcentrating poverty and expanding housing and economic opportunities. In complying with this goal, INLIVIAN will limit approval of sites for project-based housing in census tracts that have poverty concentrations of 20 percent or less.

However, INLIVIAN will grant exceptions to the 20 percent standard where INLIVIAN determines that the project-based assistance will complement other local redevelopment activities designed to deconcentrate poverty and expand housing and economic opportunities in census tracts with poverty concentrations greater than 20 percent, such as sites in:

- ✓ A census tract in which the proposed development will be located in a HUD-designated Enterprise Zone, Economic Community, or Renewal Community;
- ✓ A census tract where the concentration of assisted units will be or has decreased as a result of public housing demolition and HOPE VI redevelopment;
- ✓ A census tract in which the proposed development will be located is undergoing significant revitalization as a result of state, local, or federal dollars invested in the area;

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- ✓ A census tract where new market rate units are being developed where such market rate units will positively impact the poverty rate in the area;
- ✓ A census tract where there has been an overall decline in the poverty rate within the past five years; or
- ✓ A census tract where there are meaningful opportunities for educational and economic advancement.

Additionally, INLIVIAN will strive to get as many CBRA units as possible in stable Charlotte neighborhoods, neighborhoods where there is an and/or neighborhood along Charlotte's new transportation corridors, including the light rail. Priority will be given to developments that meet one or more of the following goals:

- ✓ Located in an area of low crime as determined by local law enforcement;
- ✓ Located in an area with access to high-performing public schools; or
- ✓ Located in neighborhoods within a mile of one of Charlotte's new transportation corridors, including light rail.

### **Inspecting Units**

INLIVIAN will waive the requirement for an initial HQS inspection on newly constructed project-based units and utilize a Certificate of Occupancy (CO) in lieu of an initial inspection.

### **Site-Based Administration**

INLIVIAN's CBRA program may utilize simplified property management practices based on private management/private sector models instead of the traditional public housing authority managed project-based voucher model in order to simplify, streamline and enhance the delivery of management services to CBRA communities. INLIVIAN may delegate to the Owner Entities' professional management companies the full responsibility of administering all aspects of the CBRA program. This includes waiting list selection and administration, eligibility, admissions, and functions related to continued occupancy to include but not limited to regularly scheduled recertifications, interim recertifications and transfer moves, at the property level based on the community's approved tenant selection plan and INLIVIAN's Administrative Plan. The Owner Entities' property management is also authorized to administer grievance processes provided that no-cause evictions are not permitted, and all policies and practices comply with fair housing and other related requirements.

### **Lease**

INLIVIAN may waive the initial one-year lease requirement in Supportive Housing development and programs.

### **Family Right to Move**

INLIVIAN has received a waiver of 24 CFR 983.260 Family Right to Move, so that a family will not be offered the opportunity for continued tenant-based rental assistance if they terminate the lease at any time after one year of occupancy. Exceptions to the waiver may be made for:

- ✓ Occupancy of a wrong size unit due to change in family composition and property does not have an available unit that meets occupancy standards for the family's size;

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- ✓ Reasonable accommodation;
- ✓ Victims of domestic violence, dating violence, or stalking;
- ✓ Owner refusal to renew the lease without good cause; and
- ✓ Tenants that occupied RAD -PBV units the time of conversion

### **Determining Rent to Owner**

INLIVIAN has an approved waiver of 24 CFR 983.301 in order to implement a more market competitive determination of rent to owner process for the Community Based Rental Assistance (CBRA) program in order to:

- ✓ Create affordable housing developments through public/private partnerships using market principles that maximize the economic viability and sustainability of INLIVIAN's mixed-income communities.
- ✓ Meet INLIVIAN's goals and objectives including but not limited to deconcentrating poverty, expanding housing and economic opportunities.
- ✓ Facilitate self-sufficiency among low-income families by increasing access to community resources and amenities that improve quality of life.

INLIVIAN's determination of rent to owner process may determine contract rents on a project-by-project basis up to 120% of HUDs fair market rents (FMRs) based on independent market study and analysis. Exceptions to the INLIVIAN Payment Standards may be granted with Administration approval based on the following factors:

- ✓ The quality of the housing regardless of the submarket.
- ✓ The location of the housing with respect to its proximity to revitalization/transformation activities and other public development initiatives.
- ✓ INLIVIAN's de-concentration efforts.
- ✓ INLIVIAN's RAD portfolio conversion.



## FY 2022 PLANNED ADDITIONAL AGENCY ACTIVITIES

### PLANNED SITE DEVELOPMENT ACTIVITIES

#### First Ward Place

In 1993, the U.S. Department of Housing and Urban Development awarded then Earle Village one of the first HOPE VI Grants in the country. The grant was then leveraged with: (i) Low Income Housing Tax Credits (“LIHTC”) from the North Carolina Housing Finance Agency (“NCHFA”), (ii) commercial debt, and (iii) soft loans from both then Charlotte Housing Authority and Charlotte Mecklenburg Housing Partnership (“CMHP”). Earle Village was transformed into First Ward Place (the “Community”) The 1993 revitalization plan for this public housing community resulted in the demolition of 386 of the 409 Earle Village units; the remaining 23 units were renovated. A total of 283 units were re-built on the Earle Village site, in 2 phases, including 23 existing units that remained and were reinvested to pay homage to Earle Village. Funds invested provided the needed capital that made the Community the catalyst for a renaissance in Uptown Charlotte. The tax credit compliance period has now expired, and all member interests have been acquired by INLIVIAN. Deferred maintenance issues exist throughout the Community and a disproportionate amount of subsidized to market rate units has left this Community in need of repair and upgrades and, operationally unsustainable. INLIVIAN, through its development subsidiary, Horizon Development Properties, Inc. (“HDP”), intends to recapitalize First Ward Place, again in phases, with Phase I commencing with a 9% competitive tax credit application that was submitted January , 2021, with a target closing in Q1 2022. The Phase I-unit mix will remain as developed but the units will receive substantial renovations in order to improve them to the level of a class B property. INLIVIAN has approved the use of MTW funds to capitalize the predevelopment expenses for this recapitalization effort that will be funded with tax credit equity, commercial debt, and soft loans from the City, Dream Key Partners and HDP. If we are successful in our bid for 9% credits in 2021, we will apply for 9%tax credits in 2022 for Phase II. It is expected that Phase II will include some infillunits.

#### Tall Oaks

Phase II of the Tall Oaks Redevelopment will continue to be pursued as designs are refined for a multifamily development planned for the remaining 2.7-acre site. Current plans being discussed with the neighbors and City officials proposes the development of 99 units with a majority of units being targeted to households between 60% and 80% of the area-median income to address the need for work-force housing in the neighborhood. MTW funds will be used for predevelopment expenditures on Tall Oaks II.

#### Tarleton Hills

HDP continues to explore options available to redevelop the site of this 50-unit 100% RAD development situated near Uptown Charlotte. This site is very valuable with its views of the Charlotte skyline and is obsolete with its current density. The City planning staff is currently engaged in a small area planning process for this area of the City and a local foundation has engaged and is paying two planners to develop concepts for the neighborhood that include the Tarleton Hills site. HDP intends to collaborate with other adjacent owners, and take the lead in the drafting of a Request for Qualifications for a master developer to bring the developed concepts to fruition. INLIVIAN will use MTW funds to capitalize the predevelopment work.

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As part of any redevelopment, HDP will also look to potentially execute a Transfer Of Assistance (“**TOA**”) of the RAD operating subsidies to other communities HDP is developing through joint venture agreements in Public Private Partnerships (“**P3**”) in order to vacate the 100% extremely low income units in the community to prepare the site to be redeveloped as a mixed-income community with increased density that is reflective of diversified price point housing.

### **Hall House**

HDP anticipated the redevelopment of the Hall House site located at 426 N. Tryon Street as a mixed-use, mixed-income project (the “**Project**”). To that end, HDP and Urban-Atlantic Development LLC, a Delaware limited Liability Company (“**Urban**” or “**UA**”), entered into a certain Transaction Outline (the “**Original Transaction Outline**”) with respect to the Project. At some point, the Project changed from being a two-block strategy with multiple land sellers and a mixed-use, mixed-income building that straddled the INLIVIAN and Bank of America parcels bounded by N. Tryon, College, 7<sup>th</sup> and 8<sup>th</sup> Streets, to being confined to the INLIVIAN parcel. HDP and Urban (hereinafter the “**Parties**” or “**Joint Venture**”) then agreed to amend, restate and replace the terms and conditions of the Original Transaction Outline that established the principal terms of a joint-venture agreement (“**Joint Venture Agreement**”) between the Parties to develop the Project on the INLIVIAN parcel only. The Parties are proposing to develop the Project with an ultimate affordable housing target for the multifamily units of 70/30/30; in which 70% of the units are at market rate and 30% are below market rate; with 30% of the below market rate units priced at 30% of the Area Median Income (“**AMI**”). The total affordable units of the Project will consist of a mix of 30%, 60%, and 80% AMI apartments. Further, the Joint Venture will fully intersperse the affordable multifamily units throughout the Project (“**Affordable Units Component**”). Currently the range of financial support required to facilitate the development and construction of the Affordable Units Component (the “**Gap**”) is estimated to be up to \$6 million. The County has provided a conditional commitment for this Gap funding. Should there be a variance in the development costs or operating expenses of the Affordable Units Component, the Gap is subject to change. In order to fund the balance of the total development costs for the Affordable Units, the Parties submitted an application for bond volume cap and 4% Low Income Housing Tax Credits (“**LIHTC**”) to the North Carolina Housing Finance Agency (“**NCHFA**”) on January 22, 2021 and a Full Application on May 14, 2021. If successful, this will be the first mixed-income development of its kind in the state of NC. The current unit count is 353 total of which 106 would be affordable. Details associated with the above description are subject to change, and may apply MTW funding as necessary.

### **Strawn Cottages**

Strawn Cottages, now known as Centre South, has experienced delays due to the pandemic but is expected to break ground in 2021, contingent on a lease execution by an anchor tenant for the office tower. The private developer partner, The Fallon Company, is currently working on the marketing and pre-leasing for the office component and major efforts are focused on signing an anchor tenant. The office building design work is complete, and we expect permitting to occur in 2021 for a construction start of December 2021. Design work is underway for the residential component with permitting expected to occur in 2021 as well with construction commencing late fall 2022.

### **Gladedale Apartments**

INLIVIAN is pursuing opportunities to redevelop the existing 49 units at Gladedale. This site is obsolete in that its location would allow for a much higher density to add workforce housing to the

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lower income program at this parcel. HDP intends to redevelop this site using a special purpose entity (“Company”) that will own the redeveloped community. INLIVIAN will use MTW funds to capitalize the Company in order to fund a predevelopment budget. As part of any redevelopment, HDP will also look to potentially execute a Transfer Of Assistance (“**TOA**”) of the RAD operating subsidies to other communities HDP is developing through joint venture agreements in P3s (defined below”) in order to vacate the 100% extremely low income units in the community to prepare the site to be redeveloped as a mixed-income community with increased density that is reflective of diversified price point housing.

### **Hampton Crest**

Hampton Crest is a mixed-income development, that has outlived its reasonably expected life cycle. It is the intent of INLIVIAN to redevelop this site. The unit make-up includes 153 market-rate units that are naturally occurring affordable housing (NOAHs), along with 60 RAD Voucher units for a total of 213 units. HDP will publish an RFP for Developer Partners in 2021 for this site to determine the plan of development.

### **Oak Valley**

Oak Valley is a 50-unit apartment complex built in 1988. It is HDP’s intent to substantially rehabilitate or redevelop Oak Valley using MTW program funds. Successful completion of this rehabilitation will preserve this much needed affordable housing for some years to come. The modeling of this transaction will better inform the plan of action.

### **Valley View**

Built in 1984, the 50 units at Valley View Apartments are in need of extensive renovations. It is HDP’s intent to substantially rehabilitate or redevelop Valley View using MTW program funds. Successful completion of this rehabilitation will preserve this much needed affordable housing for some years to come. The modeling of this transaction will better inform the plan of action.

### **Grove Place**

The Grove Place Apartments is a 36-unit family community located in the Hickory Grove area of Charlotte. Built in 1988, the original existing structural systems are light-frame wood construction with plywood sheathing on engineered roof trusses, with sawn lumber floor framing. Grove Place has been experiencing severe structural deficiencies at the slabs, balconies and exterior stairs and is in dire need of substantial renovations. A recent structural report found open ceiling joints, separating portal sills, and cracked concrete slabs in the units and breezeways. It is HDP’s intent to substantially rehabilitate Grove Place using MTW program funds. Diligence has determined that this project was built on unsuitable soils. HDP is currently determining whether to demolish this site or try to renovate it.

### **Fairmarket Apartments**

Built in 1990, the 60 units at Fairmarket Apartments are in need of extensive renovations. It is HDP’s intent to substantially rehabilitate Fairmarket Apartments using MTW program funds. Successful completion of this rehabilitation will preserve this much needed affordable housing for some years to come.

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### **McAlpine Terrace**

McAlpine Terrace is a 113-unit apartment complex designated for the elderly and disabled. In 2019, McAlpine received extensive renovations to the kitchens and bathrooms in 30 of the 113 units. It is HDP's plan to complete the renovations on the remaining 83 units including the common areas and window replacement. It is HDP's intent to complete the substantial rehabilitation of McAlpine Terrace using MTW program funds. Successful completion of this rehabilitation will preserve this much needed affordable housing for some years to come.

### **Autumn Place**

Autumn Place is a 68-unit, 4-story seniors elevator building located in a highly desirable area of Charlotte. Built as part of the original 1993 Earle Village HOPE VI development, Autumn Place is in need of Renovations in order to preserve the asset. Through Capital Projects, Autumn Place is undergoing substantial renovations and in order to bring the building up to current code.

### **Seneca Woods**

INLIVIAN, through its development arm HDP continues to explore options available to redevelop this 50-unit mixed income affordable development situated in the Park Woodlawn Neighborhood in Charlotte. This site is very valuable since it is located in an area of opportunity with access to good schools and employment. The site is obsolete physically and as it relates to its current density. It is also experiencing structural deficiencies that are causing the design team to advise demolition. Construction costs are high in Charlotte, and the rents have not caught up to the cost to build. Further, this is a small parcel so structured parking would be required to fully build out this site to its zoning capacity. Structured parking is cost prohibitive. As a result, redevelopment with higher density may not be an option but HDP continues to vet this decision. The community comes out of the tax credit extended use agreement in 2022. INLIVIAN will use MTW funds to fund a predevelopment budget. If newly anticipated MTW Plan Amendment (2020-2 Development Protection Fund, submitted to HUD on 10/30/2020) is successfully approved, HDP intends to put the off-line building back on-line to preserve these units until redevelopment options can be vetted.

## **PLANNED ACQUISITION ACTIVITIES**

### **Strategy**

*INLIVIAN/HDP may consider an acquisition strategy based on expiring tax credits or other methods that will benefit the people routinely served by our agencies.*

### **Baxter Street**

HDP is planning a new mixed-use, mixed-income development with workforce housing on land adjacent to another existing INLIVIAN development, Charlottetown Terrace. The program being considered includes 223 units of rental housing; however, the current zoning in this area is causing INLIVIAN to consider adding a mixed-use component on the lower level for which HDP would include a private partner to develop. In 2020, INLIVIAN was approached by Atrium Health with an opportunity to swap this parcel of land with another parcel also in a high opportunity area. Atrium Health has announced plans to build out a medical district in this small plan area including a medical hospital. This offers great opportunity for the Charlottetown Terrace high-rise that is designated for handicap households that is adjacent to the site as Atrium is offering some in-kind medical services.

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These discussions are on-going. If HDP should swap this site, HDP would use MTW funds to develop new residential opportunities on the alternate site that is the subject of the swap.

### **Private/Public/Partnerships (P3)**

The goal of the P3 Program is to partner with third party developers in an effort to increase the number of affordable housing units in and around the City of Charlotte. INLIVIAN also seeks to use these new communities to mainstream the agency's RAD vouchers to redevelop obsolete sites, thereby increasing the density and further adding units to the city and providing greater housing choices to our clients. These sites are all located in high opportunity or opportunity areas by definition and are close to job opportunities and mass transit for our clients. It also gives INLIVIAN clients a chance to move into a community not historically known as being federally subsidized. By utilizing all potentially available resources at INLIVIAN's disposal, the P3 Program is allowing INLIVIAN to further its affordable housing mission. Further, HDP is the managing member or general partner in each of the ownership entities with the right of first refusal to purchase the property and the interests of all members at the end of the initial compliance period. This means an increase in our current portfolio of 1,129 units or 44% increase from our 2019-2021 efforts alone. All P3 projects are in various stages of construction with completion and lease up anticipated before 2022. These P3 projects may necessitate usage of MTW funding flexibility.

Current opportunities which have closed and are under construction or that are targeted for closing include: Archdale Flats – Family (202), Archdale Flats – Seniors (131), Abbingdon on Mt. Holly (102), Freedom Flats (220), Ashley Flats (150), Evoke Living at Westerly Hills (156), and Evoke Living at Arrowwood (168).

### **Opportunity Development**

INLIVIAN/HDP may explore purchasing other Class B or C apartment complexes in a High or Very High Opportunity Census tract to continue agency efforts to deconcentrate poverty. MTW funds may be used in these efforts.

## **PLANNED OTHER ACTIVITIES**

### **Renaissance Police Memorial**

Two CMPD officers were killed at the former Boulevard Homes in 1993. The capstone project for this HOPE VI redevelopment will be some form of memorial or monument at the intersection of the two streets on the development named for these fallen heroes. MTW funds will be used for this project.

### **Brooklyn Village**

INLIVIAN/HDP is exploring the possibility of a partnership with the City of Charlotte, Mecklenburg County and other development partners to revitalize Second Ward in Uptown Charlotte, bringing affordable housing back to this section of Charlotte's urban core. MTW funds may be used in this effort.

## FY 2012 PLANNED DEMOLITION/DISPOSITION ACTIVITY

Demolition/Disposition Activity Description
1a. Development name: <b>Hall House</b>
1b. Development (project) number: NC0030061
2. Activity type:   X Demolition X Disposition
3. Application status X Approved Submitted, pending approval
4. Date application approved, submitted, or planned for submission: 2017, 2019, 2020
5. Number of units affected: 191
6. Coverage of action Part of the development X Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2020 b. Projected end date of activity: 2021

Demolition/Disposition Activity Description
1a. Development name: Strawn Apartments
1b. Development (project) number: 3-58
2. Activity type:   Demolition X Disposition
3. Application status X Approved Submitted, pending approval Planned application
4. Date application approved, submitted, or planned for submission 12/31/2016
5. Number of units affected: 122
6. Coverage of action X Part of the development (note, 11-units remain un-transferred) Total development
7. Timeline for Activity: a. Actual or projected start date of activity: 2016 b. Projected end date of activity: 2023

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<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Dillehay Courts</b>
1b. Development (project) number: NC003000012
2. Activity type: <input checked="" type="checkbox"/> Demolition <input type="checkbox"/> Disposition
3. Application status <input checked="" type="checkbox"/> To Be submitted, pending approval
4. Date application approved, submitted, or planned for submission: 2021
5. Number of units affected: 136: (36 units will be converted through RAD and TOA off-site (Phase I), 100 will be demolished via Section 18 (Phase II))
6. Coverage of action <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2020 b. Projected end date of activity: 2022

<b>Demolition/Disposition Activity Description</b>
1a. Development name: <b>Tall Oaks</b>
1b. Development (project) number: 3-20
2. Activity type: <input checked="" type="checkbox"/> Demolition <input checked="" type="checkbox"/> Disposition
3. Application status <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Currently undergoing RAD review.
4. Date application approved, submitted, or planned for submission: 2016
5. Number of units affected: 79
6. Coverage of action <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 2021 b. Projected end date of activity: 2022

## **Rental Assistance Demonstration (RAD) Significant Amendment**

The Housing Authority of the City of Charlotte is amending its FY2016 Moving Forward Annual Plan because it was a successful applicant in the Rental Assistance Demonstration (hereafter referred to as “RAD” or “Demonstration”). As a result, the Housing Authority of the City of Charlotte will be converting to Project Based Vouchers under the guidelines of PIH Notice 2012-32, REV-2 and any successor Notices. Upon conversion to Project Based Vouchers the Authority will adopt the PBV Residents Rights and Participation that cannot be altered by MTW flexibility. These are listed in Section 1.6.C &

1.6.D of PIH Notice 2012-32, REV-2. The PBV Resident Rights and Participation applicable to INLIVIAN’s RAD conversion are appended to this Attachment. Additionally, the Housing Authority of the City of Charlotte is currently compliant with all fair housing and civil rights requirements and is not under a Voluntary Compliance Agreement.

RAD was designed by HUD to assist in addressing the capital needs of public housing by providing the Housing Authority of the City of Charlotte with access to private sources of capital to repair and preserve its affordable housing assets. Please be aware that upon conversion, the Authority’s Capital Fund Budget will be reduced by the pro rata share of Public Housing Developments converted as part of the Demonstration, and that Housing Authority of the City of Charlotte may also borrow funds to address their capital needs. At this time, INLIVIAN will be researching all options and maintaining continued service requirements will be a high priority for the agency. We do not anticipate any reductions due to RAD.

The Housing Authority of the City of Charlotte will also be contributing Operating Reserves up to the maximum available amount per property as defined per the RAD notice, subject to HUD and Board approval. The Housing Authority of the City of Charlotte currently has debt under the Capital Fund Financing Program and will be working with US Bank to address outstanding debt issues. Regardless of any funding changes that may occur as a result of conversion under RAD, the Housing Authority of the City of Charlotte certifies that it will maintain its continued service level for its remaining portfolio of public housing units

Below, please find specific information related to the Public Housing Development(s) selected for RAD:



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Name of Public Housing Development: Southside	PIC Development ID: NC003000003	Conversion type: PBV	Transfer of Assistance: 2 units
Total Units: 394	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$529,754
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	65	73	8
Two Bedroom	233	221	-12
Three Bedroom	86	88	2
Four Bedroom	10	10	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	394	392	-2 (special use units)
If performing a Transfer of Assistance:	The Transfer of Assistance is related to special use units, therefore no transfer of waiting list is necessary		
# of Elderly, Disabled, or Receiving Supportive Services <sup>1</sup> :	392		

Name of Public Housing Development: First Ward	PIC Development ID: NC003000005	Conversion type: PBV	Transfer of Assistance: None
Total Units: 132	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$191,069
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	8	8	0
Two Bedroom	68	68	0
Three Bedroom	43	43	0
Four Bedroom	12	12	0
Five Bedroom	1	1	0
Six Bedroom	0	0	0
Total Units	132	132	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	132		

<sup>1</sup> INLIVIAN meets the RAD notice to Project-Base 100% of the units because the number of families that are elderly, disabled or eligible to receive supportive services exceeds 50% of the total RAD PBV units.

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Name of Public Housing Development: Edwin Towers	PIC Development ID: NC003000006	Conversion type: PBV	Transfer of Assistance: None
Total Units: 176	Pre-RAD Unit Type: Senior	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$205,351
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	64	64	0
One Bedroom	96	96	0
Two Bedroom	16	16	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	176	176	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	176		

Name of Public Housing Development: Arbor Glen	PIC Development ID: NC003000009	Conversion type: PBV	Transfer of Assistance: 4 units
Total Units: 29	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$42,491
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	4	4	0
Two Bedroom	10	6	-4
Three Bedroom	13	13	0
Four Bedroom	2	2	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	29	25	-4
If performing a Transfer of Assistance:	The Transfer of Assistance is related to special use units, therefore no transfer of waiting list is necessary		
# of Elderly, Disabled, or Receiving Supportive Services:	25		

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Name of Public Housing Development: Arbor Glen I	PIC Development ID: NC003000010	Conversion type: PBV	Transfer of Assistance: None
Total Units: 60	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$78,789
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	42	42	0
Two Bedroom	12	12	0
Three Bedroom	6	6	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	60	60	0
If performing a Transfer of Assistance:			
# of Elderly, Disabled, or Receiving Supportive Services:	60		

Name of Public Housing Development: Dillehay Courts, I	PIC Development ID: NC003000012	Conversion type: PBV	Transfer of Assistance: Yes
Total Units: 36	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$219,186
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	2	2
Two Bedroom	2	17	15
Three Bedroom	14	17	3
Four Bedroom	16	0	16
Five Bedroom	4	0	4
Six Bedroom	0	0	0
Total Units	36	36	0
If performing a Transfer of Assistance:	<b>Subsidy will be transferred to a new development in Charlotte that INLIVIAN's development instrumentality, Horizon Development Partners, Inc. is developing with a private partner. HDP is the controlling member in the partnership and has the right of first refusal to purchase the property and all member interests after the initial 15-year compliance period for tax credits has expired. The remaining 100 units will be demolished pursuant to a Section 18 conversion.</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	0		

Appendix H

Name of Public Housing Development: Dillehay Courts, II	PIC Development ID: NC003000012	Conversion type: Section 18 - TPV	Transfer of Assistance: None
Total Units: 100	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$219,186
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	10	0	10
Three Bedroom	54	0	54
Four Bedroom	32	0	32
Five Bedroom	4	0	4
Six Bedroom	0	0	0
Total Units	136	136	100
If performing a Transfer of Assistance:	<b><u>The 100 units will be disposed of under a Section 18 application with a demolition action. We will then be applying for Tenant Protection Vouchers.</u></b>		
# of Elderly, Disabled, or Receiving Supportive Services:	0		

Name of Public Housing Development: Cedar Knoll/Leafcrest/ Mallard Ridge	PIC Development ID: NC003000016	Conversion type: PBV	Transfer of Assistance: None
Total Units: 132	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$198,729
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	15	15	0
Two Bedroom	42	42	0
Three Bedroom	61	61	0
Four Bedroom	14	14	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	132	132	0
If performing a Transfer of Assistance:	N/A		

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# of Elderly, Disabled, or Receiving Supportive Services:	97
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Name of Public Housing Development: Charlottetown	PIC Development ID: NC003000018	Conversion type: PBV	Transfer of Assistance: 19 units
Total Units: 180	Pre-RAD Unit Type: Disabled	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$204,394
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	139	125	-14
One Bedroom	40	36	-4
Two Bedroom	1	0	-1
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	180	161	-19
If performing a Transfer of Assistance:	The Transfer of Assistance is related to special use units, therefore no transfer of waiting list is necessary		
# of Elderly, Disabled, or Receiving Supportive Services:	161		

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Name of Public Housing Development: Tall Oaks/Tarlton Hills/Savanna Woods	PIC Development ID: NC003000020	Conversion type: PBV	Transfer of Assistance: None
Total Units: 149	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$225,454
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	25	25	0
Two Bedroom	52	52	0
Three Bedroom	50	50	0
Four Bedroom	22	22	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	149	149	0
If performing a Transfer of Assistance:	<b>See Note E Below</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	50		

Name of Public Housing Development: Autumn Place	PIC Development ID: NC003000022	Conversion type: PBV	Transfer of Assistance: None
Total Units: 68	Pre-RAD Unit Type: Elderly	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$ 82,776
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	65	65	0
Two Bedroom	3	3	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	68	68	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	68		

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Name of Public Housing Development: Meadow Oaks/Gladedale/ Wallace Woods	PIC Development ID: NC003000025	Conversion type: PBV	Transfer of Assistance: None
Total Units: 129	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$196,460
<b>Bedroom Type</b>	<b>Number of Units Pre- Conversion</b>	<b>Number of Units Post- Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	11	11	0
Two Bedroom	42	42	0
Three Bedroom	68	68	0
Four Bedroom	8	8	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	129	129	0
If performing a Transfer of Assistance:	<b>See Note E Below</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	0		

Name of Public Housing Development: Sunridge/Robinsdale	PIC Development ID: NC003000028	Conversion type: PBV	Transfer of Assistance: None
Total Units: 74	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$112,283
<b>Bedroom Type</b>	<b>Number of Units Pre- Conversion</b>	<b>Number of Units Post- Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	4	4	0
Two Bedroom	23	23	0
Three Bedroom	43	43	0
Four Bedroom	4	4	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	74	74	0
If performing a Transfer of Assistance:	<b>N/A</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	0		

Appendix H

Name of Public Housing Development: Park @ Oaklawn	PIC Development ID: NC003000030	Conversion type: PBV	Transfer of Assistance: None
Total Units: 89	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$84,539
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	46	46	0
Three Bedroom	39	39	0
Four Bedroom	4	4	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	89	89	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	89		

Name of Public Housing Development: Rivermere	PIC Development ID: NC003000031	Conversion type: PBV	Transfer of Assistance: None
Total Units: 20	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$18,832
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	12	12	0
Three Bedroom	8	8	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	20	20	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	0		



Appendix H

Name of Public Housing Development: Arbor Glen II	PIC Development ID: NC003000032	Conversion type: PBV	Transfer of Assistance: None
Total Units: 40	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$37,087
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why: (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	27	27	0
Three Bedroom	13	13	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	40	40	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	40		

Name of Public Housing Development: Nia Point	PIC Development ID: NC003000033	Conversion type: PBV	Transfer of Assistance: None
Total Units: 29	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$26,874
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why: (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	16	16	0
Three Bedroom	13	13	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	29	29	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	29		

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Name of PublicHousing Development: Arbor Glen III	PIC Development ID: NC003000035	Conversion type: PBV	Transfer of Assistance: None
Total Units: 12	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$11,216
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	8	8	0
Three Bedroom	4	4	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	12	12	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	12		

Name of PublicHousing Development: McAden Park	PIC Development ID: NC003000037	Conversion type: PBV	Transfer of Assistance: None
Total Units: 30	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$26,387
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	3	3	0
Two Bedroom	18	18	0
Three Bedroom	9	9	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	30	30	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	30		

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Name of Public Housing Development: Stonehaven	PIC Development ID: NC003000038	Conversion type: PBV	Transfer of Assistance: None
Total Units: 24	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$20,721
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	6	6	0
Two Bedroom	16	16	0
Three Bedroom	2	2	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	24	24	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	24		

Name of Public Housing Development: Montgomery Gardens	PIC Development ID: NC003000039	Conversion type: PBV	Transfer of Assistance: None
Total Units: 20	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$18,510
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	14	14	0
Three Bedroom	6	6	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	20	20	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	20		

Appendix H

Name of Public Housing Development: Prosperity Creek	PIC Development ID: NC003000040	Conversion type: PBV	Transfer of Assistance: 84 units
Total Units: 72	Pre-RAD Unit Type: Elderly	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$51,227
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	72	144	72
Two Bedroom	0	12	12
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	72	156	84
If performing a Transfer of Assistance:	<b>This will allow the property to be governed by a single program / HAP Contract. No changes to the policy expected.</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	156		

Name of Public Housing Development: South Oak Crossing	PIC Development ID: NC003000041	Conversion type: PBV	Transfer of Assistance: None
Total Units: 20	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$19,329
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	10	10	0
Three Bedroom	10	10	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	20	20	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	20		

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Name of Public Housing Development: Springfield Gardens	PIC Development ID: NC003000042	Conversion type: PBV	Transfer of Assistance: None
Total Units: 22	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$20,901
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	11	11	0
Three Bedroom	11	11	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	22	22	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	22		

Name of Public Housing Development: 940 Brevard	PIC Development ID: NC003000044	Conversion type: PBV	Transfer of Assistance: None
Total Units: 40	Pre-RAD Unit Type: Elderly	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$28,619
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	40	40	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	40	40	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	40		

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Name of Public Housing Development: Seigle Point	PIC Development ID: NC003000045	Conversion type: PBV	Transfer of Assistance: None
Total Units: 102	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$94,569
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	6	6	0
Two Bedroom	42	42	0
Three Bedroom	54	54	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	102	102	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	102		

Name of Public Housing Development: Springcroft @ Ashley Park	PIC Development ID: NC003000046	Conversion type: PBV	Transfer of Assistance: None
Total Units: 18	Pre-RAD Unit Type: Elderly	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$12,891
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	18	18	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	18	18	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	18		

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Name of Public Housing Development: McAlpine	PIC Development ID: NC003000048	Conversion type: PBV	Transfer of Assistance: None
Total Units: 26	Pre-RAD Unit Type: Senior	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$18,602
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	26	26	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	26	26	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	26		

Name of Public Housing Development: Glen Cove	PIC Development ID: NC003000049	Conversion type: PBV	Transfer of Assistance: None
Total Units: 10	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$9,574
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	5	5	0
Three Bedroom	5	5	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	10	10	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	10		

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Name of Public Housing Development: Fairmarket Square	PIC Development ID: NC003000050	Conversion type: PBV	Transfer of Assistance: None
Total Units: 16	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different	Capital Fund allocation of Development: \$15,508
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	8	8	0
Three Bedroom	8	8	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	16	16	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	16		

Name of Public Housing Development: McCreesh II	PIC Development ID: NC003000051	Conversion type: PBV	Transfer of Assistance: None
Total Units: 63	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development:\$ 36,655
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	63	63	0
One Bedroom	0	0	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	63	63	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	63		



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Name of Public Housing Development: Seneca Woods	PIC Development ID: NC003000052	Conversion type: PBV	Transfer of Assistance: None
Total Units: 17	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$16,267
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	8	8	0
Three Bedroom	9	9	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	17	17	0
If performing a Transfer of Assistance:	<b>See Note E Below</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	17		

Name of Public Housing Development: Ashley Park	PIC Development ID: NC003000053	Conversion type: PBV	Transfer of Assistance: None
Total Units: 22	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$18,954
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	22	22	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	22	22	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	22		

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Name of Public Housing Development: Hampton Creste	PIC Development ID: NC003000054	Conversion type: PBV	Transfer of Assistance: None
Total Units: 60	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$54,287
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	8	8	0
Two Bedroom	44	44	0
Three Bedroom	8	8	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	60	60	0
If performing a Transfer of Assistance:	<b>See Note E Below</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	60		

Name of Public Housing Development: Moore Place	PIC Development ID: NC003000055	Conversion type: PBV	Transfer of Assistance: None
Total Units: 34	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$24,712
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	34	34	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	34	34	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	34		

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Name of Public Housing Development: Steele Creek	PIC Development ID: NC003000056	Conversion type: PBV	Transfer of Assistance: 60 units
Total Units: 60	Pre-RAD Unit Type: Senior	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$38,721
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	60	102	42
Two Bedroom	0	18	18
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	60	120	60
If performing a Transfer of Assistance:	<b>This will allow the property to be governed by a single program / HAP Contract. No changes to the policy expected.</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	120		

Name of Public Housing Development: Woodlawn House	PIC Development ID: NC003000057	Conversion type: PBV	Transfer of Assistance: 52 units
Total Units: 52	Pre-RAD Unit Type: Elderly	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$695.29
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	34	68	34
Two Bedroom	18	36	18
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	52	104	52
If performing a Transfer of Assistance:	<b>This will allow the property to be governed by a single program / HAP Contract. No changes to the policy expected.</b>		
# of Elderly, Disabled, or Receiving Supportive Services:	104		

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Name of Public Housing Development: McMullen Woods	PIC Development ID: NC003000059	Conversion type: PBV	Transfer of Assistance: None
Total Units: 21	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$19,816
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	0	0	0
Two Bedroom	11	11	0
Three Bedroom	10	10	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	21	21	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	21		

Name of Public Housing Development: Boulevard Seniors	PIC Development ID: NC003000060	Conversion type: PBV	Transfer of Assistance: 20 units
Total Units: 70	Pre-RAD Unit Type: Elderly	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$45,672
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	70	90	20
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	70	90	20
If performing a Transfer of Assistance:	The Transfer of Assistance is related to special use units, therefore no transfer of waiting list is necessary.		
# of Elderly, Disabled, or Receiving Supportive Services:	90		

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Name of Public Housing Development: Hall House	PIC Development ID: NC003000061	Conversion type: PBV	Transfer of Assistance: 191
Total Units: 191	Pre-RAD Unit Type: Senior	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$233,435
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	60	0	-60
One Bedroom	130	0	-130
Two Bedroom	1	0	-1
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	191	0	-191
If performing a Transfer of Assistance:	Hall House is currently vacant, so there is no waiting list		
# of Elderly, Disabled, or Receiving Supportive Services:	0		

Name of Public Housing Development: Claremont/Victoria Square	PIC Development ID: NC003000062	Conversion type: PBV	Transfer of Assistance: 1 unit from Victoria Square
Total Units: 82	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$72,191
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	14	14	0
Two Bedroom	34	34	0
Three Bedroom	25	24	-1
Four Bedroom	9	9	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	82	81	-1
If performing a Transfer of Assistance:	The Transfer of Assistance is related to special use units, therefore no transfer of waiting list is necessary		
# of Elderly, Disabled, or Receiving Supportive Services:	81		

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Name of Public Housing Development: Boulevard Phase II	PIC Development ID: NC003000063	Conversion type: PBV	Transfer of Assistance: 8 units
Total Units: 23	Pre-RAD Unit Type: Family	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$21,320
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	0	0	0
One Bedroom	4	7	3
Two Bedroom	11	15	4
Three Bedroom	8	9	1
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	23	31	8
If performing a Transfer of Assistance:	The Transfer of Assistance is related to special use units, therefore no transfer of waiting list is necessary		
# of Elderly, Disabled, or Receiving Supportive Services:	31		

Name of Public Housing Development: Strawn Apartments II	PIC Development ID: NC003000064	Conversion type: PBV	Transfer of Assistance: None
Total Units: 170	Pre-RAD Unit Type: Senior	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$178,054
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	120	120	0
One Bedroom	50	50	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	170	170	170
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	170		

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Name of Public Housing Development: Parktowne Terrace II	PIC Development ID: NC003000065	Conversion type: PBV	Transfer of Assistance: None
Total Units: 163	Pre-RAD Unit Type: Senior	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$172,099
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency	130	130	0
One Bedroom	33	33	0
Two Bedroom	0	0	0
Three Bedroom	0	0	0
Four Bedroom	0	0	0
Five Bedroom	0	0	0
Six Bedroom	0	0	0
Total Units	163	163	0
If performing a Transfer of Assistance:	N/A		
# of Elderly, Disabled, or Receiving Supportive Services:	163		

Name of Public Housing Development: Strawn Cottages	PIC Development ID: NC003000058	Conversion type: PBV	Transfer of Assistance: None
Total Units: __11	Pre-RAD Unit Type: __Family__	Post-RAD Unit Type if different:	Capital Fund allocation of Development: \$172,099
<b>Bedroom Type</b>	<b>Number of Units Pre-Conversion</b>	<b>Number of Units Post-Conversion</b>	<b>Change in Number of Units per Bedroom Type and Why (De Minimis Reduction, Transfer of Assistance, Unit Reconfigurations, Etc.)</b>
Studio/Efficiency			
One Bedroom	11	11	0
Two Bedroom			
Three Bedroom			
Four Bedroom			
Five Bedroom			
Six Bedroom			
Total Units	11	11	0
If performing a Transfer of Assistance:	<b>Subsidy will be transferred to a new development in Charlotte that INLIVIAN's development instrumentality, Horizon Development Partners, Inc. is developing with a private partner. HDP is the controlling member in the partnership and has the right of first refusal to purchase the property and all member interests after the initial 15-year compliance period for tax credits has expired.</b>		
# of Elderly, Disabled, or Receiving Supportive Services:			

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### **Total to convert under RAD: 3,399 Physical Units/3,277 Occupied Units**

- A. INLIVIAN proposes a transfer of assistance for units at Hall House to Woodlawn, Prosperity Creek and Steele Creek.
- B. INLIVIAN proposes a transfer of assistance for current special use units at SouthsideHomes, Arbor Glen, Charlottetown Terrace, and Victoria Square to dwelling units at Boulevard Seniors and Boulevard Phase II.
- C. Upon conversion there will be changes in the policies that govern eligibility, admission, selection, and occupancy of units at the project sites after they have been converted. INLIVIAN will follow the HCV Administrative Plan and approved Owner Tenant Selection plan for resident selection.
- D. INLIVIAN is currently compliant with all fair housing and civil rights requirements and is not under a Voluntary Compliance Agreement.
- E. INLIVIAN will be requesting approval from HUD for a Transfer of Assistance of RAD PBV at formerly converted public housing properties, in order to redevelop underutilized sites to increase the total number of units to develop the site as mixed-income housing versus the traditional 100% extremely low income. Additional housing is needed in the portfolio to meet community demand in one of the fastest growing cities in the nation.
- F. INLIVIAN has proposed a transfer of assistance of 36 RAD units from Dillehay Courts Phase I to a new development known as Archdale Flats – Family.

### **RAD PBV PROJECT SELECTION AND PROJECT CAP**

#### **PBV Project Selection**

#### **Maximum Amount of PBV Assistance**

Covered projects do not count against the maximum amount of assistance a PHA may utilize for the PBV program.



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### **Cap on Number of PBV Units in each Project**

Fifty percent (50%) of the units in each project may receive PBV assistance. An assisted household cannot be involuntarily displaced as a result of this provision.

INLIVIAN will provide Housing Choice Voucher Project-based assistance for up to 100% of units at properties owned directly or indirectly by the Agency, subject to HUD's requirements regarding subsidy layering.

An owner may still project-base 100 percent of the units provided at least 50 percent of the units at the project qualify for the exceptions for elderly, disabled, or families receiving supportive services, or are within single-family properties or in accordance with INLIVIAN MTW initiatives.

Families living in units subject to a proposed RAD conversion must be given the option to receive supportive services. If such services are declined by the household, the unit shall remain under the HAP contract, the household shall not be terminated from the PBV program, and the decision to decline an offer to receive supportive services shall not represent a ground for lease termination. Once the initial household residing in the excepted unit under RAD vacates such unit, all MTW / PBV program requirements related to the required receipt of supportive services shall apply

### **PBV CONTRACT TERMS AND CONTRACT RENTS**

#### **Length of Contract**

Covered projects shall have an initial HAP term of at least 15 years and up to 20 years upon request of the PHA and with approval by the agency administering the vouchers.

#### **Mandatory Contract Renewal**

By statute, upon contract expiration, the agency administering the vouchers shall offer, and the PHA shall accept, renewal of the contract subject to the terms and conditions applicable at the time of renewal and the availability of appropriations each year for such renewal.

In the event that the HAP Contract is removed due to breach, non-compliance or insufficiency of Appropriations, for all units previously covered under the HAP Contract, new tenants must have incomes at or below 80 percent of the area median income at the time of admission and rents may not exceed 30% of 80% of median income for an appropriate size unit for the remainder of the term of the RAD Use Agreement.

#### **Initial Contract Rents**

Initial PBV contract rents are subject to the statutory and regulatory PBV requirements governing contract rents. Initial contract rents cannot exceed the lower of (a) the reasonable rent; (b) an amount determined by the PHA, not to exceed 120 percent of the applicable FMR (or

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applicable exception payment standard), minus any utility allowance; or (c) the rent requested by the owner.

PHAs may adjust subsidy (and contract rents) across multiple projects. MTW agencies may use their MTW block grant funds to set their initial contract rents, subject to applicable program caps. HUD refers to this as “bundled” rents, and is permissible when a PHA submits applications for two or more projects. There is no limit to the number of projects that a PHA may bundle.

### **Adjusting Contract Rents**

Contract rents will be adjusted annually by HUD’s Operating Cost Adjustment Factor (“OCAF”) at each anniversary of the HAP Contract, subject to the availability of appropriations for each year of the contract term. The rent to owner may at no time exceed the reasonable rent charged for comparable unassisted units in private market, as determined by the Contract Administrator. However, the rent to owner shall not be reduced below the initial rent to owner for dwelling units under the initial HAP Contract. MTW agencies may not alter this requirement.

## **Resident Rights, Participation, Waiting List and Grievance Procedures**

### **Section 1.6. C. PBV Resident Rights and Participation**

#### **1. No Re-screening of Tenant upon Conversion**

Current households are not subject to rescreening, income eligibility, or income targeting provisions. Current households will be subject to any ongoing eligibility requirements for actions that occur during tenancy.

#### **2. Right to Return**

Any residents which need to be temporarily relocated to facilitate rehabilitation or construction will have a right to return to an assisted unit at the development once rehabilitation or construction is completed. Where the transfer of assistance to a new site is warranted and approved residents of the converting development will have the right to reside in an assisted unit at the new site once rehabilitation or construction is complete. Households will need to meet eligibility requirements at the time of admission. Residents of a development undergoing conversion of assistance may voluntarily accept a HA or Owner’s offer to permanently relocate to another assisted unit, and thereby waive their right to return to the development after rehabilitation or construction is completed

#### **3. Renewal of Lease**

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The HA must renew all leases upon lease expiration, unless cause exists. This provision must be incorporated by the PBV owner into the tenant lease or tenancy addendum, as appropriate.

### **4. Phase-in of Tenant Rent Increases**

INLIVIAN will continue to utilize the Rent Reform initiative to calculate total tenant payment, so tenant rent increases are not anticipated. If a tenant's monthly rent increases by more than the greater of 10 percent or \$25 purely as a result of conversion, the rent increase will be phased in over 3 years.

Three Year Phase-in:

- Year 1: Any recertification (interim or annual) performed prior to the second annual recertification after conversion – 33% of difference between most recently paid TTP and the standard TTP
- Year 2: Year 2 Annual Recertification (AR) and any Interim Recertification (IR) prior to Year 3 AR – 66% of difference between most recently paid TTP and the standard TTP
- Year 3: Year 3 AR and all subsequent recertifications – Full standard TTP

### **5. Public Housing FSS, ROSS, and Jobs Plus Participants**

Current PH FSS participants will continue to be eligible for FSS once their housing is converted under RAD, and PHAs will be allowed to use any PH FSS funds granted previously or pursuant to the FY 2013 PH FSS NOFA, to serve those FSS participants who live in units converted by RAD and who will as a result be moving to the HCV FSS program. A PHA must convert the PH FSS program participants at the covered project to their HCV FSS program.

Residents who were converted from the PH FSS program to the HCV FSS program through RAD may be terminated from the HCV FSS program or have HCV assistance withheld due to the participant's failure to comply with the contract of participation. RAD-PBV participants who exit from the HCV Traditional FSS program and occupied a RAD-PBV unit at the time of conversion may be required to terminate their assistance upon exiting the program.

Current ROSS-SC grantees will be able to finish out their current ROSS-SC grants once their housing is converted under RAD. However, once the property is converted, it will no longer be eligible to be counted towards the unit count for future public housing ROSS-SC grants.

The Jobs Plus target project(s) under RAD will be able to finish out their Jobs Plus period of performance at the site. Existing grant requirements, including but not limited to Jobs Plus Earned Income Disregard will continue to be implemented.

## 6. Resident Participation and Funding

Residents of covered projects converting assistance to PBVs will have the right to establish and operate a resident organization for the purpose of addressing issues related to their living environment and be eligible for resident participation funding contingent upon funding availability.

## 7. Resident's Procedural Rights

- A. ***Lease Termination.*** In addition to the regulations at 24 CFR § 983.257, related to owner termination of tenancy and eviction, the termination procedure for RAD conversions to PBV will require that HAs provide adequate written notice of termination of the lease. In a case where the family has committed such an action or failure to act, the Project Owner may seek to evict the family and will do so by terminating the family's lease according to the following procedures.
- B. ***Notice of lease termination.*** Before terminating a tenant's lease, the Project Owner must issue a written notice of lease termination to the tenant which shall not be less than:
- i. A reasonable period of time, but not to exceed than thirty (30) days:
    - a. If the health or safety of other tenants, Project Owner or HA employees, or persons residing in the immediate vicinity of the premises is threatened; or
    - b. In the event of any drug-related or violent criminal activity or felony conviction;
  - ii. Ten (10) days in the case of nonpayment of rent;
  - iii. Thirty (30) days in every other case, except that if a state or local law provides for a shorter period of time, such shorter period shall apply;
  - iv. Any notice of lease termination must contain the following information.
    - a. The reasons for the lease termination with enough specificity to permit the tenant to prepare a defense;
    - b. A statement of the tenant's right to request an informal grievance hearing from the Project Owner within ten (10) business days of the tenant's receipt of the notice of lease termination;
    - c. The opportunity to examine before the informal grievance hearing any relevant documents, records, or regulations, in the Project Owner's possession, including the tenant file, directly relating to the matter. The tenant shall be allowed to copy any such document at the tenant's expense. If the Project Owner does not make the document available for examination upon request by the resident, the Project Owner may not rely on such document at the informal grievance hearing.
    - d. The rights of tenants pursuant to the requirements of 24 CFR Part 5, Subpart L, involving protections for victims of domestic violence, dating violence, sexual assault, or stalking; and
    - e. The right of tenants to request a reasonable accommodation based on a disability, in accordance with 24 CFR Part 8.

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- C. ***Grievance Hearing.*** In addition to reasons that require an opportunity for an informal hearing given in 24 CFR § 982.555(a)(1)(i)-(vi),<sup>31</sup> an opportunity for an informal hearing must be given to residents for any dispute that a resident may have with respect to a HA (as owner) action in accordance with the individual's lease or the contract administrator in accordance with RAD PBV requirements that adversely affect the resident's rights, obligations, welfare, or status.
- i. For any hearing required under 24 CFR § 982.555(a)(1)(i)-(vi), the contract administrator will perform the hearing, as is outlined in Chapter 16 of the Housing Choice Voucher Administrative Plan.
  - ii. For any additional hearings required under RAD, the HA (as owner) will perform the hearing.
  - iii. There is no right to an informal hearing for class grievances or to disputes between residents not involving the Project Owner or contract administrator.
  - iv. The Project Owner gives residents notice of their ability to request an informal hearing as outlined in 24 CFR § 982.555 (c) (1) for informal hearings that will address circumstances that fall outside of the scope of 24 CFR § 982.555 (a) (1)(i)-(vi).
- D. ***Informal Hearing.*** The Project Owner provides opportunity for an informal hearing before an eviction as described below:
- a. Request for informal grievance hearing. The tenant shall submit a written request for a hearing to the Project Owner within ten (10) business days of receipt of notice of the adverse action taken by the Project Owner. The written request shall specify:
    - i. The reasons for the grievance; and
    - ii. The action or relief sought.
  - b. Selection of Hearing Officer or Hearing Panel.
    - i. An informal grievance hearing shall be conducted by an impartial person or persons appointed by the Project Owner other than a person who made or approved the action under review or a subordinate of such person.
  - c. Scheduling of hearings. Once the tenant properly requests the hearing, the hearing shall be scheduled by the hearing officer or hearing panel promptly for a time and place reasonably convenient to both the tenant and the Project Owner. A written notification specifying the time, place and the procedures governing the informal hearing shall be issued to the tenant at least five (5) calendar days before the informal hearing.
  - d. ***Hearing Requirements***
    - i. The tenant shall be afforded a fair hearing, which shall include:
      - a) The opportunity to examine before the informal grievance hearing any relevant documents, records, or regulations, in the Project Owner's possession, including the tenant file, directly relating to the matter. The tenant shall be allowed to copy any such document at the tenant's expense. If the Project Owner does not make the document available for examination upon request by the resident, the Project Owner may not rely on such document at the informal grievance hearing.
      - b) The right to be represented by counsel or other person chosen as the tenant's representative, and to have such person make statements on the tenant's behalf;

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- c) The right to a private hearing;
  - d) The right to present evidence and arguments in support of the tenant's complaint, to controvert evidence relied on by the Project Owner, and to confront and cross-examine all witnesses upon whose testimony or information the Project Owner relies; and
  - e) A decision based solely and exclusively upon the facts presented at the hearing.
- ii. The hearing officer or hearing panel may render a decision without proceeding with the hearing if the hearing officer or hearing panel determines that the issue has been previously decided in another proceeding.
  - iii. If the tenant fails to appear at a scheduled hearing, the hearing officer or hearing panel may make a determination that the party has waived his right to a hearing. Both the tenant and the Project Owner shall be notified of the determination by the hearing officer or hearing panel: *Provided*, That a determination that the tenant has waived his right to a grievance hearing shall not constitute a waiver of any right the tenant may have to contest the disposition of the grievance in an appropriate judicial proceeding.
  - iv. At the hearing, the tenant must first make a showing of an entitlement to the relief sought and thereafter the Project Owner must sustain the burden of justifying the Project Owner's action or failure to act against which the complaint is directed.
  - v. The hearing shall be conducted informally by the hearing officer or hearing panel and oral or documentary evidence pertinent to the facts and issues raised by the complaint may be received without regard to admissibility under the rules of evidence applicable to judicial proceedings. The hearing officer or hearing panel shall require the Project Owner, the tenant, counsel and other participants to conduct themselves in an orderly fashion. Failure to comply with the directions of the hearing officer or hearing panel to obtain order may result in exclusion from the proceedings or in a decision adverse to the interests of the disorderly party and granting or denial of the relief sought, as appropriate.

### **8. Establishment of Waiting List**

In establishing the waiting list for the converted project, the PHA shall utilize the project-specific waiting list that existed at the time of conversion. In addition, the waiting list must be maintained in accordance with PBV program requirements.

### **9. Choice-Mobility**

Under the RAD-PBV program, converting residents are eligible to the right to move with a tenant based rental assistance voucher after 12 months of occupancy after conversion. (24CFR 983.260). Once the initial converting resident vacates, all MTW/ PBV waivers/requirements related to choice mobility shall apply. The tenant based voucher comes from an existing voucher supply from the PHA and is subject to availability. The PHA will set aside 75% of turnover vouchers to be utilized for converting residents. If a tenant-based rental assistance voucher is unavailable, the family will be placed on a waiting list until such time that one becomes available.

**10. Agreement Waiver**

For public housing conversions to PBV, there will be no Agreement to Enter into a Housing Assistance Payments (AHAP) contract. Therefore, all regulatory references to the Agreement (AHAP), including regulations under 24 CFR Part 983 Subpart D are waived.

**11. Inspections**

The PBV requirements related to inspection shall apply to all RAD PBV units.

**12. When Total Tenant Payment Exceeds Gross Rent (over subsidy)**

When total tenant payment (TTP) for families living in the Coverting Project prior to conversion, exceeds the Gross Rent of the unit, the unit for such families will remain under the HAP Contract. The rent to the owner for the unit will be (a) the family's TTP, less the Utility Allowance, or (b) the maximum LIHTC rent, if applicable. The unit will be considered part of the HAP contract and will remain eligible to receive future assistance should the resident's income decrease. In addition, the regulation requiring termination of assistance if a household does not receive subsidy for 180 days is also waived. Following conversion, all MTW / PBV over subsidy regulations will apply.

**13. Under-occupied units**

If a family is in an under-occupied unit at the time of conversion, the family may remain in this unit until an appropriate sized unit becomes available in the covered project, but will be subject to move to an appropriate size unit once one becomes available. . Following conversion, all MTW / PBV regulations will apply.

**PBV: Other Miscellaneous Provisions**

- 1. Access to Records, Including Requests for Information Related to Evaluation of Demonstration.** PHAs must agree to any reasonable HUD request for data to support program evaluation, including but not limited to project financial statements, operating data, Choice-Mobility utilization, and rehabilitation work. Please see Appendix IV for reporting units in Form HUD-50058.
- 2. Additional Monitoring Requirement.** The PHA's Board must approve the operating budget for the covered project annually in accordance with HUD requirements.
- 3. Davis-Bacon Act and Section 3 of the Housing and Urban Development Act of 1968 (Section 3).** Under existing PBV program rules, projects that qualify as "existing housing" under 24 CFR § 983.52(a) are not subject to Davis-Bacon (prevailing wages, the

## Appendix H

4. Contract Work Hours and Safety Standards Act, and other related regulations, rules, and requirements) or Section 3 (24 CFR Part 135). However, the Davis-Bacon Act and Section 3 shall apply to all initial repairs that are identified in the Financing Plan to the extent that such repairs qualify as construction or rehabilitation, regardless of whether the project qualifies as “existing housing.” Developmental requirements under 24 CFR §983.154 and fair housing provisions under 24 CFR § 983.152(c)(vi) continue to apply.
5. **Mandatory Insurance Coverage.** The project shall maintain at all times commercially available property and liability insurance to protect the project from financial loss and, to the extent insurance proceeds permit, promptly restore, reconstruct, and/or repair any damaged or destroyed property of a project, except with the written approval of HUD to the contrary.
6. **Future Refinancing.** Owners must receive HUD approval for any refinancing or restructuring of permanent debt within the HAP contract term to ensure the financing is consistent with long-term preservation. (Current lenders and investors are also likely to require review and approval of refinancing of the primary permanent debt.)
7. **Administrative Fees for Public Housing Conversions.** For the initial Calendar Year in which a project’s assistance has been converted, RAD PBV projects will be funded with public housing money. Since the public housing funding will not have been transferred to the TBRA account and since this funding is not section 8 assistance the annual contributions contract (ACC) between the PHA and HUD will cover the project units, but be for zero dollars. For this transition period, the ACC will primarily serve as the basis for covering the units and requiring PHA compliance with HUD requirements, but it will not be (as it is in the regular PBV program) the funding vehicle for the PBV RAD vouchers. Given this, and given the fact that PHAs will be receiving full public housing funding for the PBV units during this transition period, PHAs will not receive ongoing section 8 administrative fee funding during this time.  
<sup>32</sup> For PBV conversions that are not FHA-insured, a future HUD notice will describe project financial data that may be required to be submitted by a PBV owner for purposes of the evaluation, given that PBV projects do not submit annual financial statements to HUD/REAC.

Generally, PHAs receive ongoing administrative fees for units under a HAP contract, consistent with recent appropriation act references to "section 8(q) of the [United States Housing Act of 1937] and related appropriations act provisions in effect immediately before the Quality Housing and Responsibility Act of 1998" and 24 CFR 982.152(b). During the transition period mentioned in the preceding paragraph, these provisions are waived, and PHAs will not receive section 8 ongoing administrative fees for PBV RAD units.

After this transition period, the ACC will be amended to include section 8 funding that corresponds to the units covered by the ACC. At that time, the regular section 8 administrative fee funding provisions will apply.



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**Site Selection and Neighborhood Standards Review**

INLIVIAN has analyzed all currently known replacement sites for those RAD conversions that involve transfer of assistance. All proposed sites meet the current MTW Site and Neighborhood Standards as defined in INLIVIAN’s MTW Agreement.

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**Relocation Plans**

INLIVIAN anticipates relocation at only one community, Tall Oaks, which is currently under redevelopment. However, should future renovation or redevelopment plans require relocation, Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 will be followed as applicable. Households will need to meet eligibility requirements at the time of admission.

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**Significant Amendment Definition**

As part of the Rental Assistance Demonstration (RAD), INLIVIAN is redefining the definition of a substantial deviation from the MTW Plan to exclude the following RAD-specific items:

- a. Changes to the Capital Fund Budget produced as a result of each approved RAD Conversion, regardless of whether the proposed conversion will include use of additional Capital Funds;
- b. Changes to the construction and rehabilitation plan for each approved RAD conversion;
- c. Decisions or changes related to the financing structures for each approved RAD conversion including decisions to allocate PHA financial resources as a source of funds to support the RAD conversion initiatives; and
- d. Decisions to apply MTW programmatic flexibility to post-conversion RAD developments.

**DISCLOSURE OF LOBBYING ACTIVITIES**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB  
0348-0046

**D**

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> NIA a. contract o b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action:</b> NIA a. bid/offer/application b. initial award c. post-award	<b>3. Report Type:</b> NIA a. initial filing b. material change <b>For Material Change Only:</b> year quarter date of last report
<b>4. Name and Address of Reporting Entity:</b> NIA 0 Prime D Subawardee Tier , if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b> N/A  Congressional District, if known:	
<b>6. Federal Department/Agency:</b> NIA	<b>7. Federal Program Name/Description:</b> NIA  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b> NIA	<b>9. Award Amount, if known:</b> NIA \$	
<b>10. a. Name and Address of Lobbying Registrant</b> ( if individual, last name, first name, M/): NIA	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) ( last name, first name, M/): NIA	
<b>11</b> Information requested through this form is authorized by title 31 U.S.C. section 1352- This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>t v. V/</u> Print Name: <u>A. Fulton Meachem, Jr.</u> Title: <u>President and Chief Executive Officer</u> <u>(704) 336-5221</u> <u>10/15/2021</u> Telephone No.: _____ Date: _____	
<b>Federal Use Only:</b>	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.