



U. S. Department of HUD

PIH Housing-Related Hazards Capital Fund (HRHCF) & Lead-based Paint Capital Fund Program (LBPCF) Notice of Funding Opportunity (NOFO)

May 2024





Introductions

- Marianne Nazzaro
 - *Deputy Assistant Secretary for the Office of Public Housing Investments*
- David Fleischman
 - *Director of the Office of Capital Improvements*





Before you ask...

- Yes. These slides will be made available to all attendees.





Agenda

1. NOFO Overview
2. Eligible Activities
3. How to Apply
4. What's New
5. Threshold Eligibility Requirements
6. Application Review Criteria
7. Questions





NOFO Details

- Funding Opportunity Number: FR-6800-N-68
- Opportunity Title: Housing-related Hazards Capital Fund (HRHCF) & Lead-based Paint Capital Fund Program (LBPCF)
- Funding Available: Approximately \$87.8 million
- Assistance Listing Number: 14.888
- Opening Date: April 29, 2024
- Deadline Date: July 1, 2024 (11:59:59 PM Eastern time)





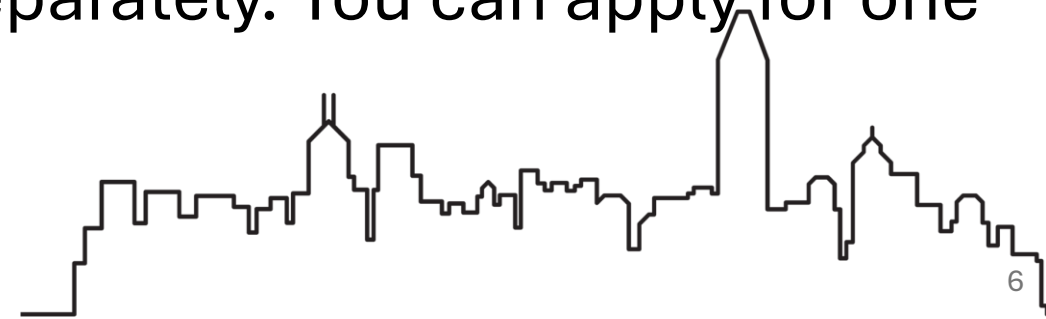
Purpose of the NOFO

- The purpose of the NOFO is to provide competitive grants to public housing agencies (PHAs) to evaluate and reduce residential health hazards in public housing.

This NOFO combines two grant programs under one NOFO:

- 1. Housing- Related Hazard Capital Fund Program**
- 2. Lead-Based Paint Capital Fund Program**

- If applying for funding under both grant programs, you must request funding from each grant program separately. You can apply for one program or both.





Two Grant Programs

Housing-Related Hazards Capital Fund Program

PHAs can use grant funding to evaluate and reduce residential health hazards in public housing, including **carbon monoxide, mold, radon, and fire safety**.

Grant minimum: \$10,000

Grant maximum: \$5,000,000

Lead-Based Paint Capital Fund Program

PHAs can use grant funding to evaluate and reduce lead-based paint hazards in target public housing by carrying out the activities of **lead-based risk assessments, inspections, abatement, interim controls, and clearance examinations**.

Grant Minimum: \$10,000

Grant Maximum: \$7,500,000





Eligible Uses Of Funds

- Funds must be used for public housing
- Eligible under the General Capital Fund program- Budget Line Item (BLI) 1480 General Activities
- Funds can only be used for Capital Fund activities associated with the housing-related hazard(s) and/or Lead-based paint hazards identified in the application and approval letter.
 - Other work in the property, including work to prepare for hazard control (e.g., repairs to the substrate, fixing leaks or other renovations) shall be funded by other sources.

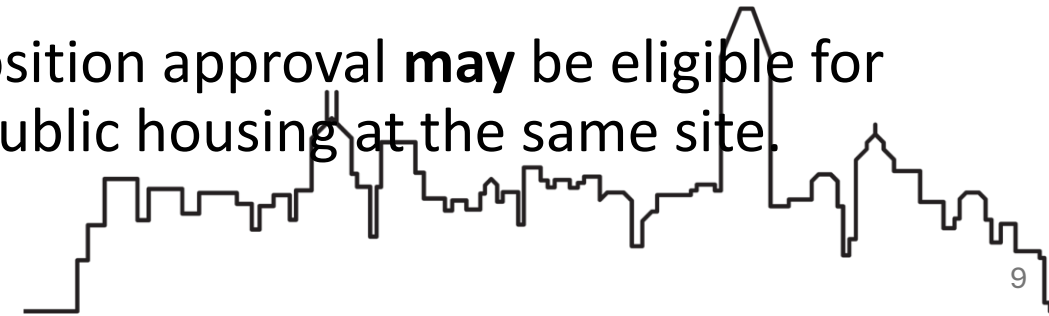




Eligible Uses Of Funds Continued

For PHAs considering repositioning:

- PHAs must complete all housing-related and/or lead-based paint hazard control activities prior to conversion.
- Funds may not be used at projects under RAD CHAPs.
- Funds may not be used at properties where there is an approved Streamlined Voluntary Conversion (SVC) or Section 18 demolition or disposition application where a PHA's approved plan is to convert to non-public housing uses at that site (i.e. Tenant Protection Vouchers (TPVs) to Project-Based Vouchers (PBVs)).
- Projects with a Section 18 demolition or disposition approval **may** be eligible for funding if the approved plan is to redevelop public housing at the same site.





Where can I find the Notice of Funding Opportunity (NOFO)?

- *The NOFO and application materials are found on grants.gov: grants.gov/search-results-detail/353849 ; OR*
- *Access the link through HUD's Funding Opportunities Page here:*
- Housing-Related Hazards & Lead-Based Paint Capital Fund Program | HUD.gov / U.S. Department of Housing and Urban Development (HUD)
- *You must submit your application electronically through grants.gov*





Applying for Both Programs

The HRHCF and LBPCF programs are two separate programs announced under one single NOFO.

If you applying for funding under both programs:

- For each PHA, HUD will only accept one HRHCF application and one LBPCF application in their package. However, each application package may include a request for funding for one or both grant programs (HRHCF or LBPCF) and may request funding for one or more public housing projects (Inventory Management System (IMS)/ PIH Information Center (PIC) Development(s)/ Asset Management Project (AMP)).

HUD will only review one application per program per PHA.

- If multiple applications are submitted for the same program by the same PHA, HUD will review the latest complete submission by the application deadline.



Application



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FR-6800-N-68

Housing-Related Hazards & Lead-Based Paint Capital Fund Program

Department of Housing and Urban Development

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General Information

Document Type:	Grants Notice	Version:	Synopsis 1
Funding Opportunity Number:	FR-6800-N-68	Posted Date:	Apr 29, 2024
Funding Opportunity Title:	Housing-Related Hazards & Lead-Based Paint Capital Fund Program	Last Updated Date:	Apr 29, 2024
Opportunity Category:	Discretionary	Original Closing Date for Applications:	Jul 01, 2024 The application deadline is 11:59:59 PM Eastern time on
		Current Closing Date for	Jul 01, 2024 The application deadline is





What's New in FY24

• **Grant Amounts**

- Maximum Grant for LBPCF has increased to \$7.5M from \$5M

• **Eligible Items**

- Asbestos is no longer an eligible HRHCF activity.
- The HRHCF Fire Sprinkler category has been expanded to Fire Safety.

• **Required Narratives--max. 1 page each**

- (1) Advancing Racial Equity
- (2) Experience Promoting Racial Equity
- (3) Affirmatively Furthering Fair Housing.

• **Required Grant Designation Statement**





What's New in FY24

• Point Calculations

- (HRHCF & LBPCF) PHAs will receive points for the percentage of its public housing portfolio impacted by the hazard based on the following calculation:
 - # units in impacted AMP(s)/total # of PH units at PHA

Percentage of portfolio impacted (20 points)	
100%	20
80%-99%	18
60%-79%	15
40%-59%	10
20%-39%	8
1%-19%	5
0% of units impacted thus far	0

- (LBPCF) The maximum points for "Property Construction Date" has been reduced from 40 points to 20 points





What's New in FY24



LBPCF RISK ASSESSMENTS AND INSPECTIONS ARE REQUIRED



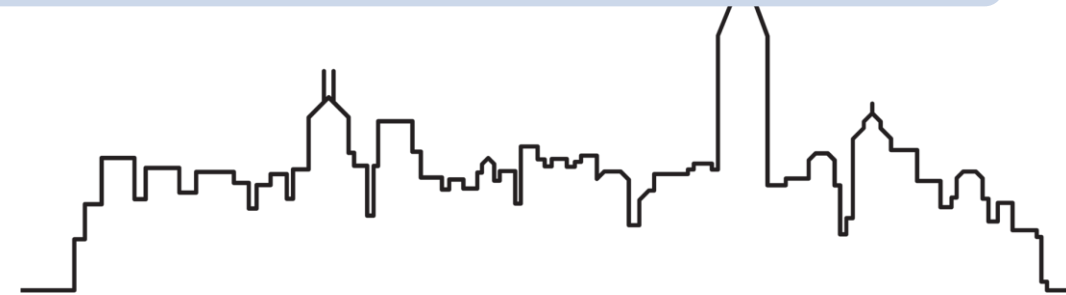
To ensure program goals are met, this new requirement has been added for LBPCF.



The purpose is to timely assess and identify the scope of the necessary lead hazard control activities, and to complete these activities within the period of performance.



To successfully obligate 90% of funds within 24 months and expend 100% of funds within 48 months, the upfront inspections and risk assessments must be completed within 12 months of award.





What's New in FY24

LBPCF RISK ASSESSMENTS AND INSPECTIONS ARE REQUIRED

- Two ways to meet this requirement
- If an applicant does not meet one of these requirements, any unspent grant funds will be recaptured.

1. PHA must use a portion of their grant award (up to 10% of the total) for a combined LBP risk assessment AND inspection covering all eligible IMS/PIC Development(s)/AMP(s) identified in the application in accordance with the Lead Safe Housing Rule (24 CFR part 35).

PHA must provide evidence of risk assessments and inspections no later than 12 months from the date of grant award.

2. If an LBP risk assessment AND inspection was done prior to application

PHA must:

1. Be able to provide a completed risk assessment dated no more than 24 months prior to the NOFO application deadline date; **AND**
2. An LBP inspection no older than January 1, 2012 (the year of the most recent edition of the Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing)

Applicants in this category can receive 5 points in Rating Factor 2



Threshold Requirements

- Applications will not be reviewed for a score if they fail to meet any of the threshold requirements.
- 1. Resolution of Civil Rights Matters
- 2. Timely Submission of Applications
 - deadline (11:59pm, July 1, 2024)





Statutory and Regulatory Requirements Affecting Eligibility

Universal Identifier and System for Award Management (SAM.gov) Requirements

Outstanding Delinquent Federal Debts

Debarments or Suspensions, or both

Mandatory Disclosure Requirement

Pre-selection Review of Performance

Sufficiency of Financial Management System

False Statements 8. Prohibition Against Lobbying Activities





Program Specific Threshold Requirements

Grant Designation Statement (2 page max) **NOT CURABLE**

- Identify under which grant program(s) funds are being requested: HRHCF, LBPCF, or both.
- List the respective dollar amount for each grant request.
- For each grant being requested, list all IMS/PIC Development(s)/AMP(s) identified in the application.
- For each grant being requested, identify the number of units directly impacted by the proposed activities for each IMS/PIC Development(s)/AMP(s) listed in the application.
- For HRHCF grant requests, list the hazard(s) identified in the application and the associated cost for each hazard.
- For LBPCF grant requests, indicate if the proposed work activities include a lead-based paint risk assessment and inspection.
- For LBPCF grant requests that do not include costs for lead-based paint risk assessments and inspections, indicate when both a risk assessment and inspection were performed for all eligible IMS/PIC Development(s)/AMP(s) identified in the application in accordance with the Lead Safe Housing Rule (24 CFR part 35). Note that the risk assessment must have been completed no later than 24 months prior to this NOFO's application deadline date. Lead-based paint inspections should be no older than 2012, the most recent edition of the Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing.





Grant Designation Statement template

Grant Designation Statement Template

Housing-Related Hazards & Lead-Based Paint Capital Fund Programs

All applicants must provide the grant designation information under Part A. For Lead-based Paint Capital Fund (LBPCF) grant requests, applicants must provide the grant designation information under Part B. For Housing-Related Hazards Capital Fund (HRHCF) grant requests, applicants must provide the grant designation information under Part C.

Part A: All Applicants

PHA Name:	
PHA Code:	
Identify under which grant program(s) funds are being requested: HRHCF, LBPCF, or both.	

Part B: LBPCF Requests

List the requested grant amount for LBPCF.	
List all IMS/PIC Development(s)/AMP(s) identified in the LBPCF application.	
Identify the number of units directly impacted by the proposed activities for each IMS/PIC Development(s)/AMP(s) listed in the LBPCF application.	
Indicate if the proposed work activities include a lead-based paint risk assessment and inspection.	
<ul style="list-style-type: none">For LBPCF grant requests that do not include costs for risk assessments and inspections, indicate when both a risk assessment and inspection was performed for all eligible IMS/PIC Development(s)/AMP(s) identified in the application in accordance with the Lead Safe Housing Rule (40 CFR Part 35). Note that the risk assessment must have been completed no later than 24 months	

prior to this NOFO's application deadline date. Lead-based paint inspections should be no older than 2012, the most recent edition of the Guidelines for the Evaluation and Control of Lead-Based Paint Hazards in Housing.

Part C: HRHCF Requests

List the requested grant amount for HRHCF.	
List the hazard(s) identified in the HRHCF application and the associated cost for each hazard.	
List all IMS/PIC Development(s)/AMP(s) identified in the HRHCF application.	
Identify the number of units directly impacted by the proposed activities for each IMS/PIC Development(s)/AMP(s) listed in the HRHCF application.	



Program Specific Threshold Requirements

Form HUD-50075.1:

Separate 50075.1 (Parts I & II) for each grant program- HRHCF and/or LBPCF

Must list IMS/ PIC Development(s)/ AMP name and numbers as it appears in PIC on each 50075.1 submitted

➤ *We will not review applications that indicate "all developments" or "PHA-wide" instead of listing each individual development name and number as it appears in PIC*

- Need Assessment Narrative (for HRHCF)
- Minimum Grant Amount
- At least one eligible project
- Advancing Racial Equity Narrative
- Experience Promoting Racial Equity Narrative
- Affirmatively Furthering Fair Housing Narrative

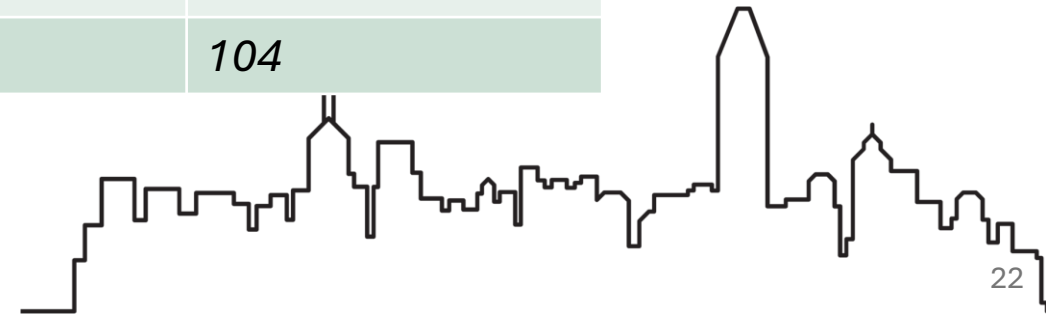




Review Criteria

- Maximum number of points to be awarded for each grant program is 104.
 - The HRH and LBP applications will be reviewed, scored, rated, and ranked separately.
- After threshold reviews, applications will be competitively scored based on the following rating factors:

Rating Factors	Points Possible
1. Need	60
2. Soundness of Approach and Cost Estimate	31
3. Past Performance	9
Preference Points	4
<i>TOTAL</i>	<i>104</i>





Rating Factor 1: Need HRHCF

•Rating Factor 1 will be scored based on the PHA's Need Assessment Narrative (maximum 5 pages)

Rating Factor 1 Need: HRHCF- Total Maximum Points 60	
Description of Steps taken to Assess Properties	10
Description of data gathered through testing/ or NSPIRE assessment	10
Percentage of portfolio impacted	20
What are the future potential impacts of this hazard?	10
Special Circumstances	10



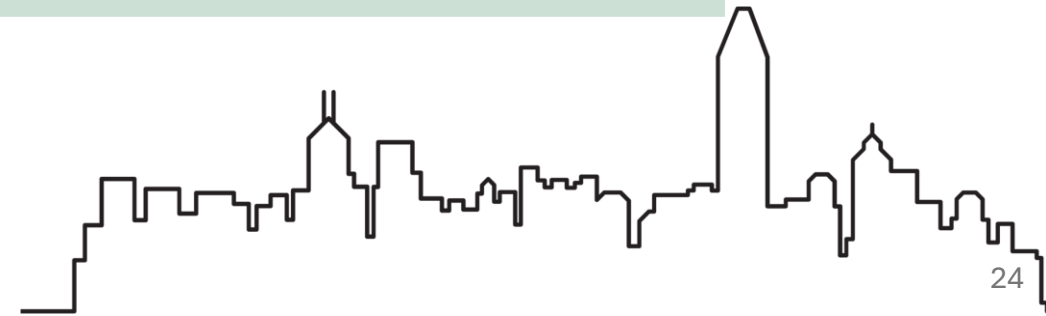


Rating Factor 1: Need LBP

- Rating Factor 1 will be scored on Property Construction Date, Occupancy by Children under Six and the percentage of the applicant's public housing portfolio impacted.
- Projects with the oldest construction dates, the highest number of units occupied by families with at least one child under age six and where the highest percentage of the applicant's overall public housing portfolio is impacted will receive the most points.

Rating Factor 1 Need: LBPCF Total Maximum Points 60

Housing Construction Date	20
Occupancy	20
Percentage of portfolio impacted	20





Rating Factor 2: HRHCF Soundness of Approach and Cost Estimate (max 31 points)

- Scored based on the content of the PHA's form HUD 50075.1, Annual Statement (Parts I & II).
- To earn points for Section 3, applicants must include a description of Section 3 activities in a separate narrative statement – max 1 page.

Rating Factor 2 HRHCF Soundness of Approach/Cost Estimate Maximum Points 31

What work items/activities are necessary to address this hazard(s)	5
Is the 50075.1 sound and complete?	5
Is the cost estimate sound?	10
Description of Plan to Implement the Grant	10
Section 3	1



Rating Factor 2: LBPCF Soundness of Approach and Cost Estimate (max 31 points)

- Scored based on the content of the PHA's form HUD 50075.1, Annual Statement (Parts I & II).
- To earn points for Section 3, applicants must include a description of Section 3 activities in a separate narrative statement – max 1 page.

Rating Factor 2 LBPCF Soundness of Approach/Cost Estimate Maximum Points 31

What work items/activities are necessary to address this hazard(s)	5
Is the 50075.1 sound and complete?	5
Is the cost estimate sound?	10
Description of Plan to Implement the Grant	5
Previous Testing Completed (Risk Assessments dated no more than 24 months prior to NOFO application deadline and Inspections no older than January 1, 2012)	5
Section 3	1



Rating Factor 2: Soundness of Approach and Cost Estimate (max 31 points) - Tips

Annual Statement Form 50075.1

- **Complete Parts I and II of this form it's entirety.**
- **If applying to both programs, include a separate 50075.1 for each HRH and LBP.**
- **DO NOT include the 50075.1 for any other capital fund grants, such as the annual CFP formula grant.**
- **Include the name and number of each PIC Development/AMP as it is listed in IMS/PIC. DO NOT use other language such as "AMP 1" or "PHA Wide" or an old development number.**
- **For LBPCF – The annual statement must include LBP Risk Assessment and Inspection**
 - **or must clearly identify the dates the LBP Risk Assessment and Inspection were completed.**





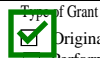
Rating Factor 2: Soundness of Approach and Cost Estimate (max 31 points) - Tips

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0157
Expires 11/30/2023

“Public reporting burden for this collection of information is estimated to average 2.2 hours. This includes the time for collecting, reviewing, and reporting the data. The information requested is required to obtain a benefit. This form is used to verify allowable and reasonableness of grant expenses. There are no assurances of confidentiality. HUD may not conduct or sponsor, and an applicant is not required to respond to a collection of information unless it displays a currently valid OMB control number.

PHA Name PHA name and PHA Number	Grant Type and Number Lead-Based Paint Capital Fund –or- Housing-Related Hazards Capital Fund Capital Fund Program Grant No: N/A or Leave Blank. Replacement Housing Factor Grant No: –NOT BOTH– Date of CFFP: No Grant Number Not CFFP	FFY of Grant: FFY 2022 FFY of Grant Approval:
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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds	Only use if applicable			
2	1406 Operations (may not exceed 20% of line 15) ³	NOT eligible			
3	1408 Management Improvements	NOT eligible			
4	1410 Administration (may not exceed 10% of line 15)	NOT eligible			
5	1480 General Capital Activity	Eligible			
6	1492 Moving to Work Demonstration	NOT eligible			
7	1501 Collateralization Expense / Debt Service Paid by PHA	NOT eligible			
8	1503 RAD-CFP	NOT eligible			
9	1504 RAD Investment Activity	NOT eligible			
10	1505 RAD-CPT	NOT eligible			
11	9000 Debt Reserves	NOT eligible			
12	9001 Bond Debt Obligation paid Via System of Direct Payment	NOT eligible			
13	9002 Loan Debt Obligation paid Via System of Direct Payment	NOT eligible			
14	9900 Post Audit Adjustment	NOT eligible			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.



Rating Factor 2: Soundness of Approach and Cost Estimate (max 31 points) - Tips

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0157
Expires 11/30/2023

Part I: Summary					
PHA Name: PHA name and PHA Number		Lead-Based Paint Capital Fund –or- Housing-Related Hazards Capital Fund Grant Type and Number Capital Fund Program Grant No: N/A or Leave Blank. –NOT BOTH– Replacement Housing Factor Grant No: No Grant Number Date of CFFP: Not CFFP		FFY of Grant: FFY 2022 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
15	Amount of Annual Grant:: (sum of lines 2 - 14)	Total Grant Request	Leave these columns Blank		
16	Amount of line 15 Related to LBP Activities	Include If applicable			
17	Amount of line 15 Related Sect. 504, ADA, and Fair Housing Act Activities.				
18	Amount of line 15 Related to Security - Soft Costs				
19	Amount of line 15 Related to Security - Hard Costs				
20	Amount of line 15 Related to Energy Conservation Measures				
Signature of Executive Director * Sign and Date		Date	Signature of Public Housing Director Leave Blank		Date

* I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, or submitting a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.





Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part II: Supporting Pages

<p>Grant Type and Number</p> <p>Capital Fund Program Grant</p> <p>No: CFFP (Yes/ No):</p> <p>Replacement Housing</p> <p>Factor Grant No:</p>
--

FFY 2022

Leave these
columns Blank

form HUD-50075.1 (07/2014)



Rating Factor 3 both HRHCF and LBPCF: Past Performance

- HUD will automatically evaluate past performance based on data from HUD's Financial Assessment Subsystem of Public Housing (FASSPH).
- Applicants do not need to submit data for this rating factor.

Rating Factor 3 Past Performance -- Maximum 9 Points

No OIG audit findings in 2023 and no IPA audit findings in FASSPH for 2023	9
Either OIG audit findings in 2023 or IPA audit findings in FASSPH for 2023, but not both	5
Both OIG audit findings in 2023 and IPA audit findings in FASSPH for 2023	0



Preference Points for Both HRHCF and LBPCF

- HUD encourages activities in support of the Secretary's Initiatives. HUD may award up to two (2) points for any of the 2 preferences (HBCU or PZ).

Preference Points Maximum 4 Points

Historically Black Colleges/Universities (HBCUs)

2

The application must include a Letter of Commitment certifying that an HBCU Partnership is in place and signed by an authorizing official of the HBCU and documentation of the college or university's status as an HBCU.

Promise Zones

2

Applicants must submit form HUD 50153, "Certification of Consistency with Promise Zone Goals and Implementation," signed by the Promise Zone Official authorized to certify the project meets the criteria to receive preference points.



Additional Questions

- 1) What are the maximum grant award amounts and permitted periods of performance?
 - *The HRHCF maximum award amount is \$5,000,000 per project period.*
 - *For LBPCF the maximum award amount is \$7,500,000 per project period.*
 - *PHAs are not required to leverage or match these grant funds and must use funds from other sources (e.g., Capital Funds) if the total project cost exceeds the maximum grant amount.*
- PHA must obligate 90% of its HRHCF and LBPCF grant awards within 24 months of grant award and expend the funds within 48 months of grant award.





Additional Questions

- 2) Is a PHA still eligible to receive a grant award if it is issued a Commitment to enter into a Housing Assistance Payment (CHAP) since the time of submission?
 - *No. Funds cannot be used for projects with active CHAPs. If an applicant is awarded grant funds and also has an active CHAP, the applicant will be required to withdraw the CHAP or refuse the grant award.*
 - *For other conversions, all work must be completed before the property converts.*
 - *Funds may also not be used for properties that are planned for demolition unless PH will be redeveloped at that site and the property remains under a DOT or DORC.*





Additional Questions

- 3) Are carbon monoxide detectors eligible?
 - *No. Funds cannot be used for carbon monoxide detectors. Carbon monoxide detectors are required to be installed by December 27, 2022 per Notice PIH 2022-01.*
- 4) What carbon monoxide activities are eligible?
 - Eligible grant activities for addressing carbon monoxide hazards include, but are not limited to, installing ventilation hoods over existing gas stoves, or replacing gas stoves with electric stoves.





Additional Questions - Eligibility

- 5) Can a PHA that only administers Section 8 Housing Choice Vouchers (HCV), Project Based Rental Assistance (PBRA), or Project Based Vouchers (PBV) apply for funds under this NOFO?
 - *No. The funds under this NOFO are designated for identifying and eliminating housing-related and lead-based paint hazards in public housing (Section 9 of the United States Housing Act of 1937) only.*





Additional Questions - Eligibility

- 6) Can individuals, nonprofits, resident associations, tribes or tribally designated housing entities apply for this grant?
 - *No. Only PHAs may apply.*
- 7) How many applications can a PHA submit?
 - **If you applying for funding under both programs:**
 - For each PHA, HUD will only accept one HRHCF application and one LBPCF application in their package. However, each application package may include a request for funding for one or both grant programs (HRHCF or LBPCF) and may request funding for one or more public housing projects (Inventory Management System (IMS)/ PIH Information Center (PIC) Development(s)/ Asset Management Project (AMP)).
 - *HUD will only review one application per program per PHA.*
 - If multiple applications are submitted for the same program by the same PHA, HUD will review the latest complete submission by the application deadline.





Questions?



HUD staff will be available to provide clarification on the content of this NOFO. Please note that HUD staff cannot assist applicants in preparing their applications. Questions regarding specific program requirements for this NOFO should be directed to the point of contact listed below.



Office of Capital Improvements PIHOCI@HUD.gov



Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339.

