



# **U. S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

## **PIH HOUSING CAPITAL FUND EMERGENCY SAFETY AND SECURITY GRANT (ESSG) APPLICATION PIH NOTICE 2023-10**

**MAY 2023**





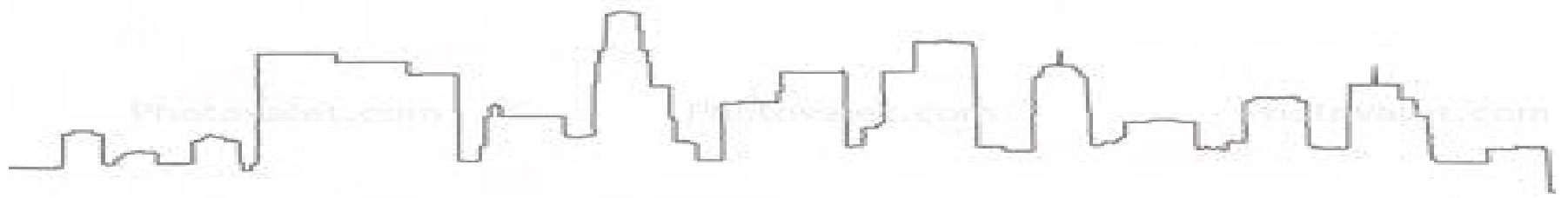
# INTRODUCTIONS

## Marianne Nazzaro

*Deputy Assistant Secretary for the Office of Public Housing Investments*

## David Fleischman

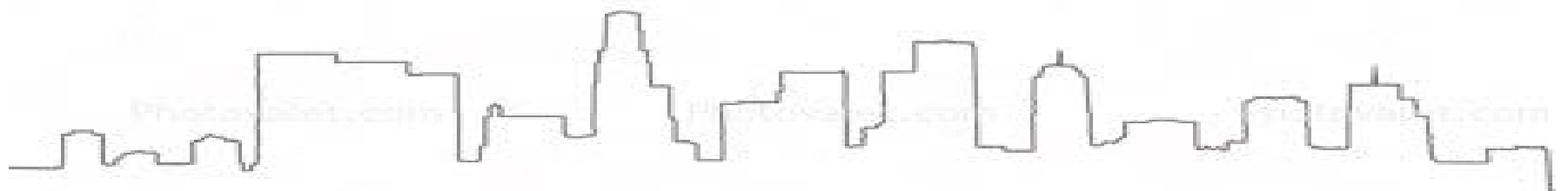
*Director of the Office of Capital Improvements*





# BEFORE YOU ASK...

**Yes. These slides will be made available to all attendees.**





# AGENDA

## 1. PIH Notice 2023-10 Emergency Safety and Security Grants Annual Funding Notification and Application Process Details

- What's new

**New!**

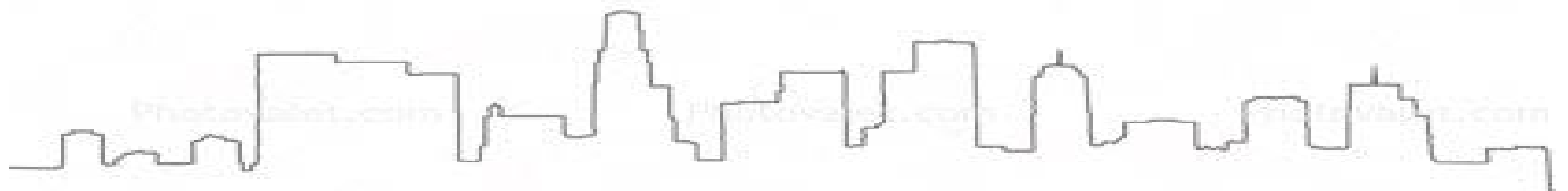
- Purpose of the Program
- Eligibility to Apply for Funding
- How to Apply

## 2. Eligible uses of Funds

## 3. Which submissions make up the application

## 3. Application Review Criteria

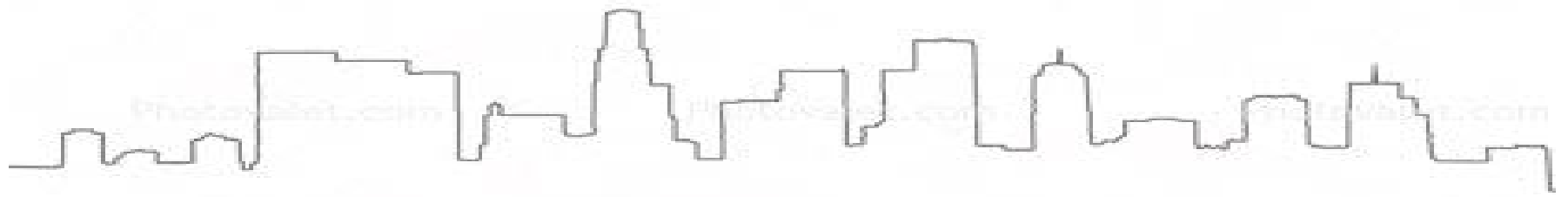
## 4. Questions





# PIH NOTICE 2023-10 DETAILS – WHAT'S NEW

- Added smoke/heat alarms/detectors as **eligible** expenses
- Added automated surveillance and facial recognition technology as **ineligible** expenses
- No longer required to submit certain forms with the initial application:
  - HUD-50077-ST-HCV-HP (for medium and large PHAs),
  - HUD-50077-CRT-SM (Small PHAs),
  - MTW certifications of compliance (for MTW PHAs)
  - HUD-50071,
  - SF-LLL
  - These forms will be submitted with EPIC document package post award (with the executed ESSG ACC amendment)







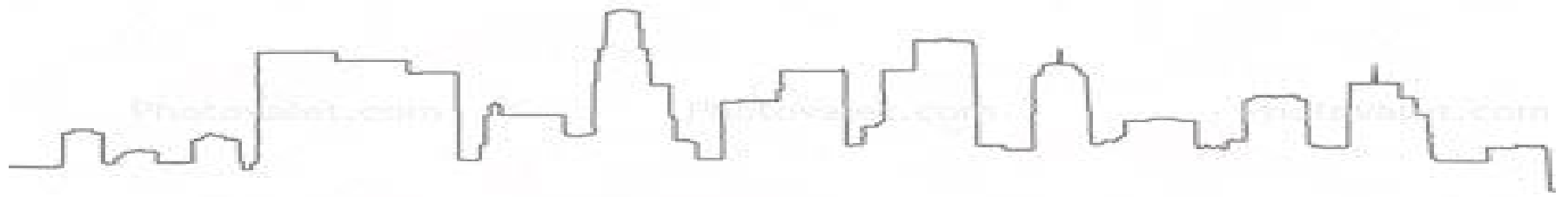
# GRANT DETAILS

## FY23 Emergency Safety and Security Grants (ESSG)

- **\$10 Million Available**
- **Maximum Grant amount is \$250,000 per PHA**
- **PHAs may apply for Security/Drug prevention measures, Carbon Monoxide alarms/detectors, and/or Smoke/Heat alarms/detectors**
- **PHAs limited to \$250,000 total funding per PHA even if awarded for security, CO detectors/alarms, and fire/smoke detectors/alarms**
- **One year to obligate 90% of the grant and 2 years to expend 100% of the grant**

**Opening Date: April 24, 2023 – Email Notifications sent to PH EDs**

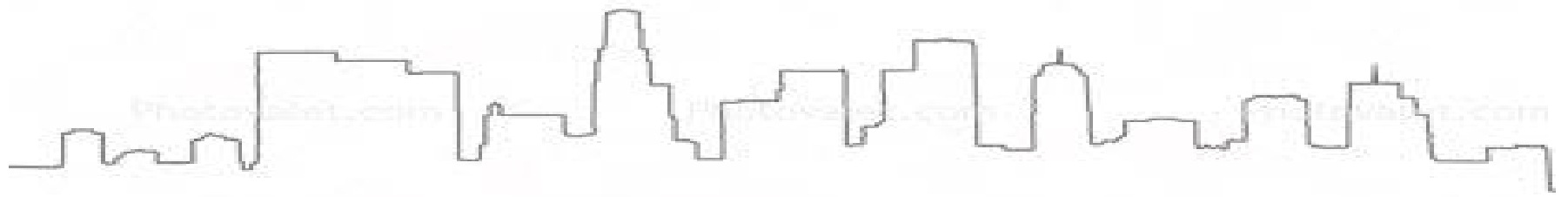
**Deadline Date: June 28, 2023 (by COB – 5:00pm EDT) submit applications as one Portable Document Format (.PDF) file to [PIHOCI@hud.gov](mailto:PIHOCI@hud.gov)**





# PURPOSE OF THE PROGRAM(S)

- The purpose is to fund safety and security emergencies by addressing crime and drug-related activity; or 2) a safety emergency which requires the purchase, repair, replacement, or installation of carbon monoxide alarms/detectors, and or smoke/heat alarms/detectors.





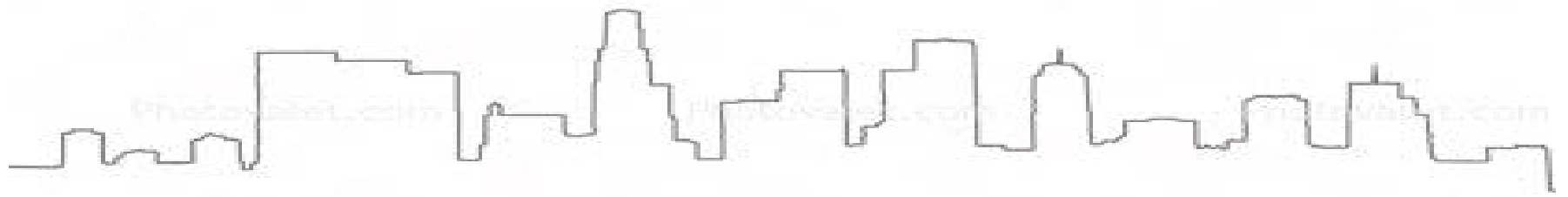
# ELIGIBILITY

a) Can individuals, nonprofits, resident associations, tribes or tribally designated housing entities apply for this grant?

*No. Only PHAs may apply.*

b) A PHA is **ineligible** to receive emergency safety and security funding if it has previously received emergency safety and security funding for the same project(s) and for the same broad purpose (that is, crime and drug related activity or the purchase, repair, replacement, or installation of carbon monoxide alarms/detectors, and/or smoke/heat alarms/detectors) for which the funds are currently being requested

c) Projects with a Rental Assistance Demonstration (RAD) Contract for Housing Assistance Payments (CHAP) **Not Eligible**





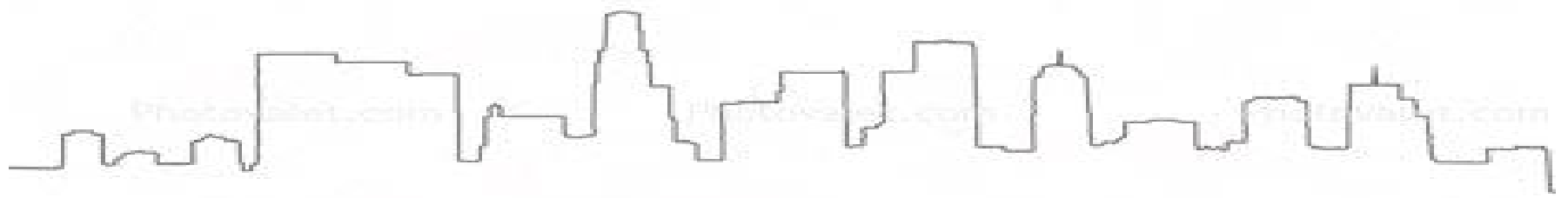


# APPLICATION

a) Where can I find the list of submissions that make up the application?

***PIH Notice 2023-10 (paragraph 5 – “How to Apply”)***

**<https://www.hud.gov/sites/dfiles/PIH/documents/PIH%20Notice%202023-10.pdf>**





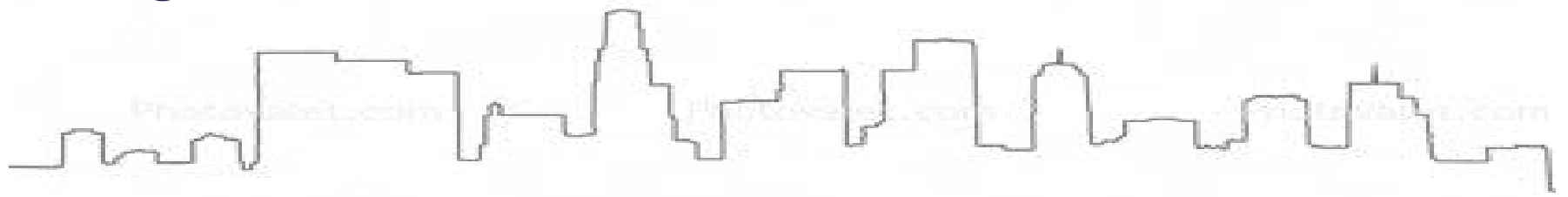
# APPLICATION

## A. Submit Form HUD-50075.1, Annual Statement (only Parts I and II):

Include data specific to the proposed Emergency Safety and Security grant. **Do not submit information on the current PHA Annual Statement for the PHA formula grant.**

**The proposed work does not need to be included in the PHA's 5-year plan. (will update EPIC 5 yr plan after award)**

**Moving to Work (MTW) agencies may submit a grant budget in lieu of the HUD-50075.1.**





# APPLICATION (CTD)

**B. Provide a thorough yet concise description and/or explanation of how the PHA has experienced an increased threat to the health and safety of the public housing residents within the narratives requested below.**

**1. PHAs MUST provide a thorough explanation of how the identified crime or drug related activity at the PHA or in the close vicinity of the PHA has increased the threat to the health and safety of the public housing residents at the projects for which emergency safety and security improvements are proposed in the PHA application, or how the PHA has determined a safety emergency which requires the purchase, repair, replacement, or installation of carbon monoxide detectors or fire related detectors (described below).**





# APPLICATION (CTD)

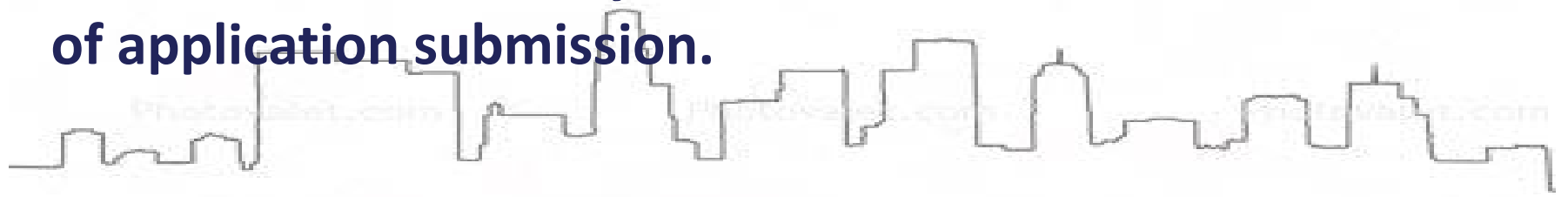


- 2. All safety and security emergencies must have occurred or have been determined within the Federal Fiscal Year (October 1 —September 30) in which the funds were appropriated. For FY23 (October 1, 2022 – September 30, 2023)**
  - 3. PHAs that apply for emergency safety and security funding are expected to have taken adequate safety and security measures to minimize and avoid costly emergency situations prior to requesting emergency safety and security funds.**
- C. Describe the activities that will be undertaken to correct the emergency and the estimated cost. Include a statement that the PHA has not previously received emergency safety and security funding for the project or projects for which the PHA is currently requesting**



# APPLICATION (CTD)

- C. Describe the activities that will be undertaken to correct the emergency and the estimated cost. Include a statement that the PHA has not previously received emergency safety and security funding for the project or projects for which the PHA is currently requesting emergency safety and security funding.**
- D. If the cost estimate exceeds the \$250,000 maximum grant size, the PHA must include documents indicating other funds, including Capital Funds, that are available to cover the proposal's additional costs. Funds must be from a source that is currently available to the PHA as of the date of application submission.**







# APPLICATION (CTD)

**E. For Crime and Drug Emergency Funding, PHAs should submit a narrative describing the increased threat to health and safety of residents.**

**The narrative must focus on crime on the PHA property, not just crime in the city. Must provide specific examples of crime occurring at the PHA or in close vicinity.**

**The narrative must also be from one of the following sources:**

- 1. PHA officials, Resident Advisory Boards, or PHA security personnel;**
- 2. Local Community Policing Organizations;**
- 3. Local officials (e.g., business council executives, or city council executives); and/or**
- 4. Local Crime Data for the current fiscal year that indicates an increased threat to the health and safety of**





# APPLICATION (CTD)

## E. For Crime and Drug Emergency Funding (CTD)

**Local Crime Data for the current fiscal year that indicates an increased threat to the health and safety of residents. This must be from a recognized source including but not limited to:**

- a. Local law enforcement reports;**
- b. Uniform Crime Reports;**
- c. PHA police data;**
- d. PHA incident reports;**
- e. Newspaper articles (about crime on PHA property);**
- f. Internet crime data such as Neighborhood List**

**Serves that lists types and numbers of offenses; and PHAs must indicate the source of the crime data in the narrative and must include a summary of the crime data by general crime category (e.g., murders, assaults, property**

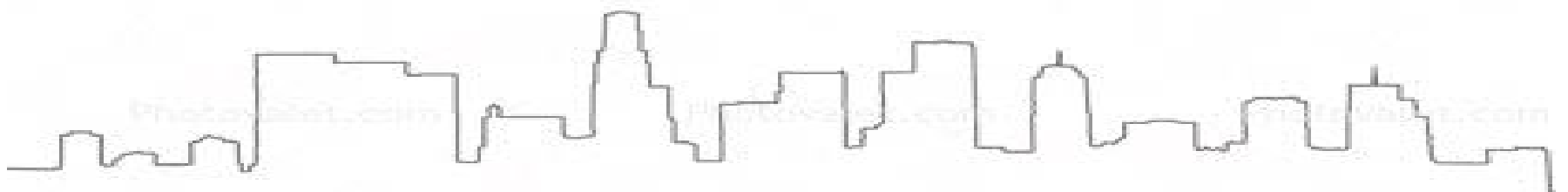




# APPLICATION (CTD)

## E. For Crime and Drug Emergency Funding (CTD)

**Local Crime Data should list types and numbers of offenses; and PHAs must indicate the source of the crime data in the narrative and must include a summary of the crime data by general crime category, (e.g., murders, assaults, property damage, and property break-ins).**





# APPLICATION (CTD)

**F. For safety and security emergencies related to the threat to health posed by carbon monoxide and/or fire, PHAs should submit:**

**1. For Carbon Monoxide alarms/detectors: description of the presence and location of fuel-burning devices and/or attached garages; including a description of the proximity of the devices to the number of impacted dwelling units;**

**2. For Smoke/heat alarms/detectors: description of the threat to health and safety of residents posed by fire; and**

**3. The status of carbon monoxide alarms/detectors, and or smoke/heat alarms/detector in the potentially impacted units, including whether carbon monoxide alarms/detectors, and or smoke/heat alarms/detectors currently exist and, if so, the need for repair or replacement.**



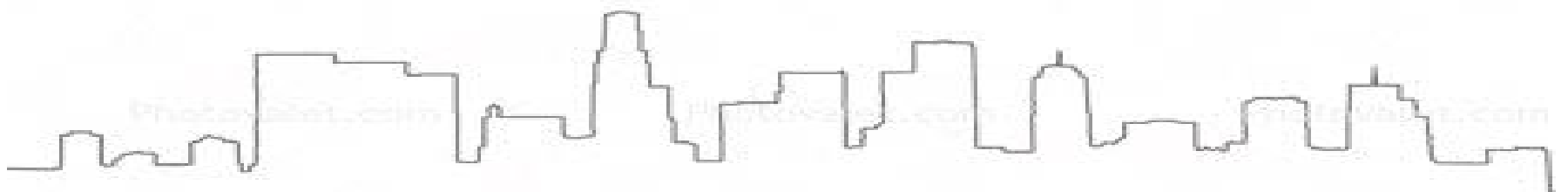


# APPLICATION DUE DATE AND FORMAT AND FORMAT

PHAs seeking emergency safety and security funding must submit a completed application package (see Paragraph 5 of the Notice) by electronic mail delivery to the HUD Office of Capital Improvements (OCI) mailbox. [PIHOCI@hud.gov](mailto:PIHOCI@hud.gov)

Please submit the applications as a single file in Portable Document Format (.PDF)

All applications are due by COB 5:00pm EDT on Wednesday June 28, 2023





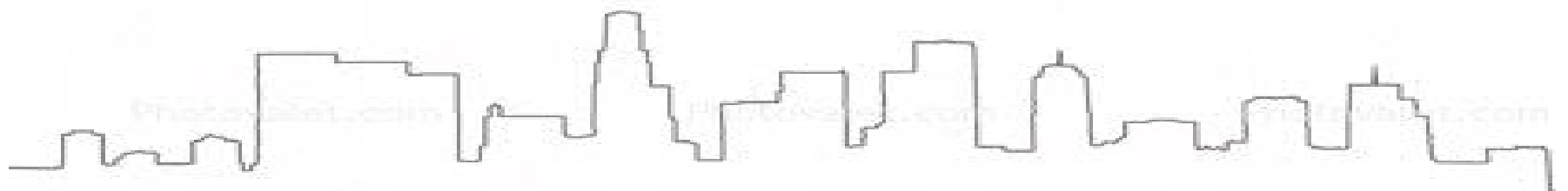


# ELIGIBLE USES OF FUNDS

Emergency Safety and Security grant funds may be used to purchase, install, repair, or replace capital needs items including, **but not limited to:**

1. Items that address the threat to safety posed by crime and drug-related activity:

- a. Security systems/cameras including digital video recorders, license plate readers, and secure Wi-Fi transmission of video signal.
- b. Fencing;
- c. Lighting systems;
- d. Emergency alarm systems;
- e. Window bars;
- f. Deadbolt locks;
- g. Doors;
- h. Salaries (via Force Account labor) for maintenance staff that is being utilized for Emergency Safety and Security grant eligible activities (e.g., to install, replace or repair carbon monoxide detectors, security camera systems, fencing, lighting systems, emergency alarm systems, etc., pursuant to Force Account requirements **(see 24 C.F.R §.905.314(j) and Capital Fund Guidebook Ch 6 paragraph 6)**)





# ELIGIBLE USES OF FUNDS CON'T

Emergency Safety and Security grant funds may be used to purchase, install, repair, or replace capital needs items including, **but not limited to:**

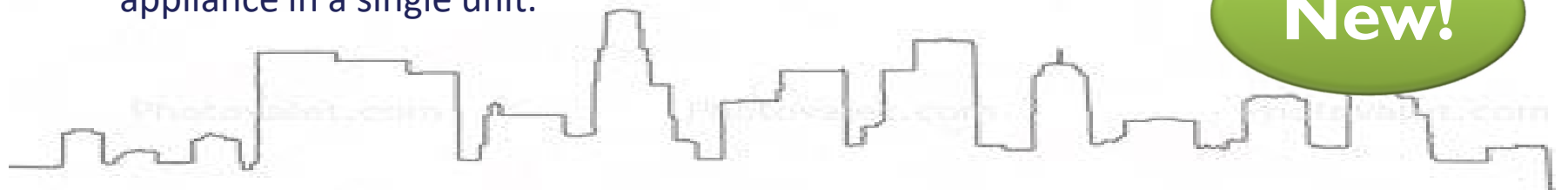
2. Items that address the threat to health and safety posed by the presence of carbon monoxide and/or smoke/fire that are installed in accordance with the International Fire Code 2018 standards. Devices can include:

a. Carbon Monoxide Alarm: A single or multiple station alarm intended to detect carbon monoxide gas and alert occupants by a distinct audible signal (for common areas and accessible units should also have a visual alarm). It incorporates a sensor, control components and an alarm notification appliance in a single unit.

b. Carbon Monoxide Detector: A device with an integral sensor to detect carbon monoxide gas and transmit an alarm signal to a connected alarm control unit. Detectors can be hard wired or with sealed batteries.

c. Smoke/Heat Alarm: A single or multiple station alarm intended to detect smoke and/or heat and alert occupants by a distinct audible signal (for common areas and accessible units should also have a visual alarm). It incorporates a sensor, control components and an alarm notification appliance in a single unit.

**New!**





# ELIGIBLE USES OF FUNDS CON'T

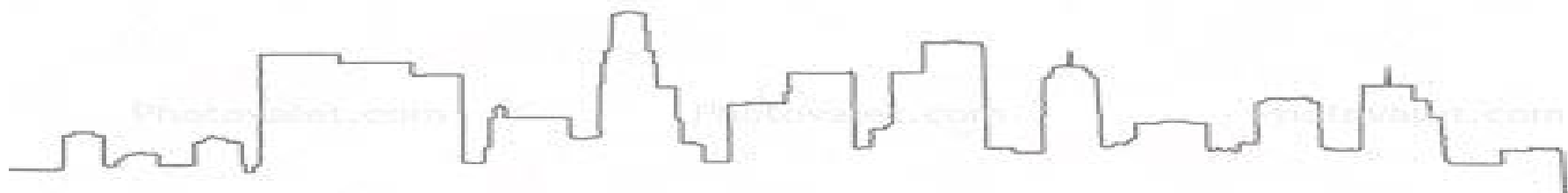
Emergency Safety and Security grant funds may be used to purchase, install, repair, or replace capital needs items including, **but not limited to:**

2. Items that address the threat to health and safety posed by the presence of carbon monoxide and/or smoke/fire that are installed in accordance with the International Fire Code 2018 standards. Devices can include:

d. Smoke/Heat Detector: A device with an integral sensor to detect smoke and/or heat and transmit an alarm signal to a connected alarm control unit. Detectors can be hard wired or with sealed batteries.

**Note:** Smoke alarms **must be** sealed or hard-wired devices that meet the requirements of section 601 of Title VI, "Smoke Alarms in Federally Assisted Housing" in the Consolidated Appropriations Act, (Public Law 117-328 enacted December 29, 2022) which added section 3(a)(9) to of the United States Housing Act of 1937 (42 U.S.C. 1437a(a))  
<https://www.congress.gov/117/bills/hr2617/BILLS-117hr2617enr.pdf>

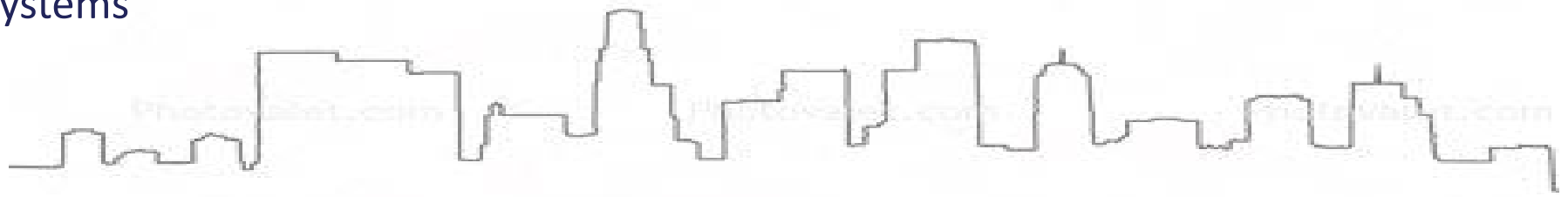
**New!**





# INELIGIBLE USES OF FUNDS

1. **Statutory Procurement Prohibition** - Any equipment that is purchased, leased, or contracted for security that is produced by the Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities) – (Per 2 C.F.R. § 200.216 and Public Law 115-232, section 889)
2. Patrol cars
3. Salaries for PHA security staff
4. Automated surveillance and facial recognition technology
5. Security Patrol Contracts or payment to local law enforcement for additional security or purchase of equipment for local police
6. Budget Line Item (BLI 1410) Administrative expenses
7. Transferring emergency safety and security funding to Operations (BLI 1406) budget line item.
8. Ongoing monitoring fees for security equipment/systems (i.e., gunshot detection system monitoring, security alarm system monitoring) and ongoing service or maintenance contracts for emergency safety and security related equipment or systems







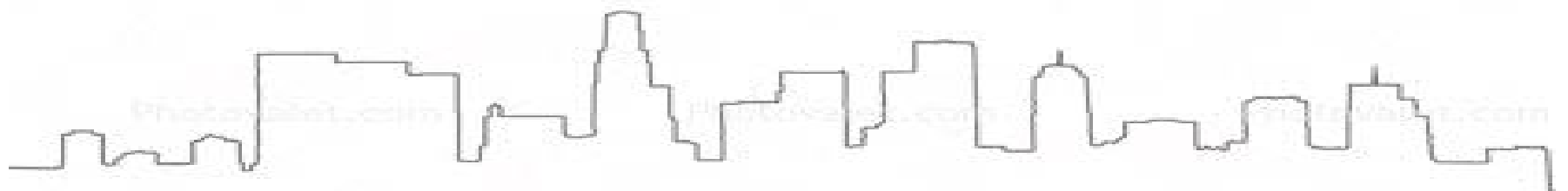
## 5) REVIEW CRITERIA

**All on time applications will be reviewed to make sure that they meet all of the requirements of paragraph 5 of the Notice**

**Must meet the one time funding per project eligibility requirement – ineligible if previously received emergency safety and security funding for the same project(s) and for the same broad purpose**

**Projects with RAD CHAPs ineligible**

**All eligible applications will be included in lottery to determine which applications will be funded**







# QUESTIONS?

**HUD staff will be available to provide clarification on the content of the Notice. Please note that HUD staff cannot assist applicants in preparing their applications. Questions regarding specific program requirements for the Notice should be directed to the point of contact listed below.**

**Office of Capital Improvement:**

**[SAFETYANDSECURITYQUESTIONS@HUD.gov](mailto:SAFETYANDSECURITYQUESTIONS@HUD.gov)**

**Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339.**

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