

**Fiscal Year (FY) 2018/2019
Indian Housing Block Grant (IHBG)
Competitive
Program Training**

**Rating Factor 1
Capacity of the Applicant**



- This module will introduce all Rating Factors for the IHBG Competitive grant program.
- The NOFA has five (5) Rating Factors, plus two (2) areas to earn bonus points from Opportunity and Promise Zones.
- This module will also cover Rating Factor 1.

Rating Factors

1. **Capacity of the Applicant**
2. **Need/Extent of the Problem**
3. **Soundness of Approach**
4. **Leveraging Resources**
5. **Comprehensiveness and Coordination**

<u>RATING FACTOR</u>	<u>FACTOR TITLE</u>	<u>POINTS</u>
1	<u>CAPACITY OF THE APPLICANT</u>	20
	(Minimum of 15 points needed to meet threshold requirement)	
1.1	Managerial and Technical Staff	4
1.2	Procurement and Contract Management	4
1.3	IHBG Expenditures	4
1.4	Findings	4
1.5	Timely Reporting	4
2	<u>NEED/EXTENT OF THE PROBLEM</u>	20
2.1	Identified Needs and Other Supporting Data	8
2.2	Project Beneficiaries	3
2.3	Past Efforts to Address Identified Need	3
2.4	Current Funding Assessment	3
2.5	Sustainability	3
3	<u>SOUNDNESS OF APPROACH</u>	40
	(Minimum of 20 points needed to meet threshold requirement)	
3.1	IHBG Competitive Priorities	10
3.2	Project Implementation Plan	10
3.3	Project Implementation Schedule and Project Readiness	10
3.4	Budget	10
4	<u>LEVERAGING RESOURCES</u>	5
5	<u>COMPREHENSIVENESS AND COORDINATION</u>	15
5.1	Coordination	10
5.2	Outputs and Outcomes	5
	TOTAL (AFTER RATING FACTORS 1-5)	100
	<u>PREFERENCE POINTS FOR OPPORTUNITY ZONES</u>	2
	<u>PREFERENCE POINTS FOR PROMISE ZONES</u>	2
	TOTAL	104



Rating Factor 1: Capacity of the Applicant

Up to 20 points

**Minimum of 15 points needed to meet this
threshold requirement**

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Rating Factor 1: Subfactors

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1.1 - Managerial and Technical Staff (Up to 4 points)

1.2 - Procurement and Contract Management (Up to 4 points)

1.3 - IHBG Expenditures (Up to 4 points)

1.4 - Findings (Up to 4 points)

1.5 - Timely Reports (Up to 4 points)

Subfactor 1.1: Managerial and Technical Staff (Up to 4 points)

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- In this subfactor, applicants must provide a description of roles and responsibilities, and knowledge and experience, of key staff, which includes the day-to-day program manager, consultants, contractors who will plan, manage, and implement the project with IHBG Competitive funds. Experience will be judged in terms of:
 - 1) Recent – within the last 5 years;
 - 2) Relevant – experience pertaining to specific activities proposed or specific roles/responsibilities described in the application to be relevant; and
 - 3) Successful Experience – experience producing specific accomplishments to be successful.
- If applicable, a detailed assessment and plan for addressing identified gaps must be provided.

Subfactor 1.2: Procurement and Contract Management (Up to 4 points)

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- Applicants must describe how its procurement and contract management systems meet the applicable regulatory requirements.
 - 2 CFR part 200 (specifically applicable procurement requirements in 2 CFR 200.318-326).
 - 24 CFR part 1000 (specifically requirements in 24 CFR 1000.48-1000.54) relating to Indian and tribal preference requirements.
 - 24 CFR 1000.30 relating to conflict of interest.

Subfactor 1.3: IHBG Expenditures (Up to 4 points)

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- There are **two (2) options** for evaluating applicants for this subfactor:
 - **Option 1:** Not approved for investing IHBG formula funds
 - **Option 2:** Approved for investing IHBG formula funds

Subfactor 1.3: IHBG Expenditures (Option 1) (Not approved for investing IHBG funds) (Up to 4 points)

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- Applicants who are NOT approved for investing IHBG funds will be evaluated on expenditure of IHBG formula funding received in the last three (3) Federal fiscal years (FYs).
- This will be compared to the amount of undisbursed IHBG funds remaining in LOCCS on the date the NOFA was published.
- Applicants with an undisbursed balance that is more than 25 percent of IHBG formula cumulative amounts may qualify for full points under this subfactor **only if it includes a justification** demonstrating well-developed plans to accumulate IHBG funds to carry out specific activity in the future. **The justification must include:**
 - When and how the recipient plans to spend its undisbursed IHBG funds, and
 - Specific references to IHPs, APRs, or other related documents.

Subfactor 1.3 : IHBG Expenditures (Option 2) (Approved for investing IHBG formula funds) (Up to 4 points)

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- Applicants approved for investing formula IHBG funds in accordance with Section 204(b) of NAHASDA and 24 CFR 1000.58 must submit:
 - Information about its investment balances, and
 - Its plans to spend the invested IHBG funds on affordable housing activities.
- To qualify for full points, the applicant must submit:
 - The amount of IHBG grant funds that is currently invested;
 - The investment securities and other obligations in which the funds are invested; and
 - A well-developed plan for spending the invested IHBG funds on affordable housing activities.

Subfactor 1.4: Findings (Up to 4 points)

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- HUD will evaluate the performance of the applicant during the rating period.
- To qualify for full points, applicants must not have had any:
 - HUD-ONAP monitoring findings (IHBG, ICDBG, and other programs monitored by ONAP); and/or
 - Findings pertaining to ONAP programs from either HUD's Office of the Inspector General (OIG) and/or U.S. Government Accountability Office (GAO) at any time during the rating period.

Subfactor 1.5: Timely Reports (Up to 4 points)

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- Applicants who currently receive HUD-ONAP grants **must ensure timely submission of required reports.**
 - Annual Performance Reports (APR), Annual Status and Evaluation Report (ASER), and Federal Financial Report (SF-425).
- **To qualify for full points,** you must have submitted all reports by the submission deadlines for all HUD-ONAP grants during the one-year period immediately preceding the date that this NOFA is published.
 - Anything submitted within the deadline of an extension will be considered to be timely

Rating Factor 1

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Capacity of Applicant