Fiscal Year (FY) 2018/2019
Indian Housing Block Grant (IHBG)
Competitive
Program Training

Rating Factor 1
Capacity of the Applicant
• This module will introduce all Rating Factors for the IHBG Competitive grant program.
• The NOFA has five (5) Rating Factors, plus two (2) areas to earn bonus points from Opportunity and Promise Zones.
• This module will also cover Rating Factor 1.
Rating Factors

1. Capacity of the Applicant
2. Need/Extent of the Problem
3. Soundness of Approach
4. Leveraging Resources
5. Comprehensiveness and Coordination
<table>
<thead>
<tr>
<th>RATING FACTOR</th>
<th>FACTOR TITLE</th>
<th>POINTS</th>
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</thead>
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<tr>
<td>1</td>
<td>CAPACITY OF THE APPLICANT</td>
<td>20</td>
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<tr>
<td>1.1</td>
<td>Managerial and Technical Staff</td>
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<td>1.2</td>
<td>Procurement and Contract Management</td>
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<tr>
<td>1.3</td>
<td>IHBG Expenditures</td>
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<td>1.4</td>
<td>Findings</td>
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<td>1.5</td>
<td>Timely Reporting</td>
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<td>2</td>
<td>NEED/EXTENT OF THE PROBLEM</td>
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<td>2.1</td>
<td>Identified Needs and Other Supporting Data</td>
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<td>2.2</td>
<td>Project Beneficiaries</td>
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<td>2.3</td>
<td>Past Efforts to Address Identified Need</td>
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<td>2.4</td>
<td>Current Funding Assessment</td>
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<td>2.5</td>
<td>Sustainability</td>
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<td>3</td>
<td>SOUNDNESS OF APPROACH</td>
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<td>3.1</td>
<td>IHBG Competitive Priorities</td>
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<td>3.2</td>
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<td>3.3</td>
<td>Project Implementation Schedule and Project Readiness</td>
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<td>3.4</td>
<td>Budget</td>
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<td>LEVERAGING RESOURCES</td>
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<td>5</td>
<td>COMPREHENSIVENESS AND COORDINATION</td>
<td>15</td>
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<td>5.1</td>
<td>Coordination</td>
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<td>5.2</td>
<td>Outputs and Outcomes</td>
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<td>TOTAL (AFTER RATING FACTORS 1-5)</td>
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<td>PREFERENCE POINTS FOR OPPORTUNITY ZONES</td>
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<td>PREFERENCE POINTS FOR PROMISE ZONES</td>
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<td>TOTAL</td>
<td>104</td>
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Rating Factor 1: Capacity of the Applicant

Up to 20 points

Minimum of 15 points needed to meet this threshold requirement
Rating Factor 1: Subfactors

1.1 - Managerial and Technical Staff (Up to 4 points)
1.2 - Procurement and Contract Management (Up to 4 points)
1.3 - IHBG Expenditures (Up to 4 points)
1.4 - Findings (Up to 4 points)
1.5 - Timely Reports (Up to 4 points)
Subfactor 1.1: Managerial and Technical Staff (Up to 4 points)

- In this subfactor, applicants must provide a description of roles and responsibilities, and knowledge and experience, of key staff, which includes the day-to-day program manager, consultants, contractors who will plan, manage, and implement the project with IHBG Competitive funds. Experience will judged in terms of:
  1) Recent – within the last 5 years;
  2) Relevant – experience pertaining to specific activities proposed or specific roles/responsibilities described in the application to be relevant; and
  3) Successful Experience – experience producing specific accomplishments to be successful.

- If applicable, a detailed assessment and plan for addressing identified gaps must be provided.
Subfactor 1.2: Procurement and Contract Management (Up to 4 points)

• Applicants must describe how its procurement and contract management systems meet the applicable regulatory requirements.

  • 2 CFR part 200 (specifically applicable procurement requirements in 2 CFR 200.318-326).
  • 24 CFR part 1000 (specifically requirements in 24 CFR 1000.48-1000.54) relating to Indian and tribal preference requirements.
  • 24 CFR 1000.30 relating to conflict of interest.
There are two (2) options for evaluating applicants for this subfactor:

- Option 1: Not approved for investing IHBG formula funds
- Option 2: Approved for investing IHBG formula funds
**Subfactor 1.3: IHBG Expenditures (Option 1) (Not approved for investing IHBG funds) (Up to 4 points)**

- **Applicants who are NOT approved for investing IHBG funds** will be evaluated on expenditure of IHBG formula funding received in the last three (3) Federal fiscal years (FYs).

- This will be compared to the amount of undisbursed IHBG funds remaining in LOCCS on the date the NOFA was published.

- Applicants with an undisbursed balance that is more than 25 percent of IHBG formula cumulative amounts may qualify for full points under this subfactor **only if it includes a justification** demonstrating well-developed plans to accumulate IHBG funds to carry out specific activity in the future. **The justification must include:**
  - When and how the recipient plans to spend its undisbursed IHBG funds, and
  - Specific references to IHPs, APRs, or other related documents.
Subfactor 1.3: IHBG Expenditures (Option 2) (Approved for investing IHBG formula funds) (Up to 4 points)

- Applicants approved for investing formula IHBG funds in accordance with Section 204(b) of NAHASDA and 24 CFR 1000.58 must submit:
  - Information about its investment balances, and
  - Its plans to spend the invested IHBG funds on affordable housing activities.

- To qualify for full points, the applicant must submit:
  - The amount of IHBG grant funds that is currently invested;
  - The investment securities and other obligations in which the funds are invested; and
  - A well-developed plan for spending the invested IHBG funds on affordable housing activities.
Subfactor 1.4: Findings (Up to 4 points)

• HUD will evaluate the performance of the applicant during the rating period.

• To qualify for full points, applicants must not have had any:
  • HUD-ONAP monitoring findings (IHGBG, ICDBG, and other programs monitored by ONAP); and/or
  • Findings pertaining to ONAP programs from either HUD’s Office of the Inspector General (OIG) and/or U.S. Government Accountability Office (GAO) at any time during the rating period.
Subfactor 1.5: Timely Reports  (Up to 4 points)

• Applicants who currently receive HUD-ONAP grants must ensure timely submission of required reports.
  • Annual Performance Reports (APR), Annual Status and Evaluation Report (ASER), and Federal Financial Report (SF-425).

• To qualify for full points, you must have submitted all reports by the submission deadlines for all HUD-ONAP grants during the one-year period immediately preceding the date that this NOFA is published.
  • Anything submitted within the deadline of an extension will be considered to be timely
Rating Factor 1

Capacity of Applicant

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