

**Fiscal Year (FY) 2018/2019
Indian Housing Block Grant (IHBG)
Competitive
Program Training**



Overview

Agenda



I. Funding Opportunity Description



II. Award Information



III. Eligibility Information



IV. Application and Submission Information

Funding Opportunity

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➔ In the Consolidated Appropriations Act of 2018 and 2019, Congress provided a total of **\$200,000,000** for competitive grants to eligible IHBG recipients authorized under NAHASDA in addition to formula-based funding.

➔ Consistent with the Appropriations Act, HUD intends to transfer **\$2 million** to the Program Office Salaries and Expense - Public and Indian account **for the administration and oversight of grants awarded** under this NOFA leaving funding of up to **\$198,000,000** available through this NOFA.

➔ This Notice of Funding Availability (NOFA) FR-6300-N-48 announces the availability of **Indian Housing Block Grant (IHBG)--Competitive Grants**.



Program Authorization

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The IHBG program is authorized under Title I of the NAHASDA Act.

Under the program, eligible Indian tribes and Tribally-Designated Housing Entities (TDHEs) receive grants to develop, maintain, and operate affordable housing in safe and healthy environments on Indian reservations and in other Indian areas and carry out other affordable housing activities.

Grant funds must be used to primarily benefit low-income Indian families.

Native
American
Housing
Assistance and
Self-
Determination
Act of 1996, as
amended, (25
U.S.C. 4101 *et*
seq.)

Purpose

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- ➔ Under this NOFA, HUD will give priority to projects that spur construction and rehabilitation, while considering need and administrative capacity.
- ➔ Applicants may also apply for funding to carry out other eligible activities under NAHASDA.



Purpose

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Applicants are encouraged to propose projects that are part of a comprehensive, long-term plan to address local housing conditions, including overcrowding and physically deteriorating units and; future infrastructure and economic development opportunities.



II. Award Information

Available Funds

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Estimated Total Funding
\$198,000,000

Minimum Award Amount
\$100,000

Maximum Award Amount
\$5,000,000

Number of Awards

HUD expects to make approximately 80 awards from the funds available under this NOFA

The number of awards will be based on the number of proposals HUD reviews, approves, and funds.

Available Funds (cont...)

The Department recognizes that this additional IHBG funding provides a rare opportunity to fund strong and viable affordable housing projects in Indian Country.

This NOFA will fund applications for two funding years: fiscal years (FY) 2018 and 2019.

This is a competitive grant. All applications received through this NOFA and pass application screening and threshold review will be rated.

Funds will be awarded in rank order based on the score received on the application.

The Period of Performance and planned draw-downs for any grant awarded under this NOFA must be included in the Implementation Schedule, form HUD-53247 and approved by HUD. Costs must be incurred during the period of performance identified on the grant award.

Period of Performance

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Estimated Project Start Date: 01/01/2020

Estimated Project End Date: 12/31/2024

Length of Project Periods Projects awarded under this NOFA will be limited to 5 years

III. Eligibility Information

Eligible Applicants

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Indian tribes (as defined under section 4(12) of NAHASDA)

Tribally Designated Housing Entity (TDHE) (as defined under 4(22) of NAHASDA)

Applications submitted by a TDHE on behalf of an Indian tribe(s) must include a tribal resolution(s) authorizing the TDHE to submit an application on behalf of the tribe(s). An Indian tribe that authorizes a TDHE to apply on its behalf may not also submit its own application for funding. **Such application will not be evaluated.**

Eligible Applicants

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Pursuant to the General Provisions in the Appropriations Acts, only Native Alaskan tribes that received IHBG grants in Fiscal Year 2005 are eligible to apply directly for funding under the Notice of Funding Availability (NOFA).

Native Alaskan tribes that are required to designate a Tribally Designated Housing Entities (TDHE) in Alaska for its IHBG formula program may not apply directly for funding under this competition.

Native Alaskan tribes that choose to but are not required to designate a TDHE in Alaska for its IHBG formula program may apply for IHBG competitive funds directly.

Cost Sharing or Matching

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Cost sharing or mandatory matching **is not required** under this grant, but applicants are encouraged to leverage other Federal (including IHBG formula funds) and non-Federal sources. (See Rating Factor 4 of this NOFA.)

Threshold Requirements

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Applicants who fail to meet any of the following threshold eligibility requirements will be deemed ineligible.

Applications from ineligible applicants will not be evaluated.

Threshold
Requirements



Threshold Requirements

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1. Timely Submission of Applications
2. Resolution of Civil Rights Matters
3. **Number of Applications and Eligible Activity Project(s)**
4. Grant Ceiling
5. Outstanding Audit Findings
6. Threshold Rating Factors

Threshold Requirements

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1. **Timely Submission of Applications** - Applications submitted after the deadline that do not meet the requirements of the grace period policy will be marked late. Late applications are ineligible and will not be considered for funding.
2. **Resolution of Civil Rights Matters** If there are any outstanding civil rights matters, they must be resolved to HUD's satisfaction prior to grant award, provided that all applicable legal processes have been satisfied.

Threshold Requirements

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3. Number of Applications and Eligible Activity Project(s)

Each eligible applicant may only submit one application under this NOFA. Additionally, the one application may propose one project or multiple projects.

For example: An umbrella TDHE may submit 1 application, but it could cover several projects for the tribes it represents.

Regardless of whether the applicant proposes one or multiple projects for funding, the applicant may not receive a grant that exceeds the maximum \$5,000,000 grant ceiling set under this NOFA. Additionally, if an applicant proposes multiple projects, HUD will evaluate all projects covered in the application together and will not separately score each project proposed.

Threshold Requirements

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- 4. Grant Ceiling:** If an applicant requests more than the maximum grant amount of \$5,000,000 under this NOFA, then the application will not be reviewed. Applicants must clearly document the requested Federal funding amount on line 18a of the application for Federal Assistance (SF-424).

Threshold Requirements

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5. Outstanding Audit Findings

Applicants subject to the audit reporting requirements of 2 CFR part 200, Subpart F must not have any delinquent audit report submissions or any delinquent open HUD-ONAP audit findings pertaining to financial management, accounting, and internal controls during the one-year period immediately preceding the date that the NOFA is published.

Delinquent open audit findings are those in which the target date or revised target date has been exceeded. Report submission and the status of audit findings for HUD-ONAP grants will be verified using the Federal Audit Clearinghouse (FAC) and ONAP's Performance Tracking Database (PTD).

Threshold Requirements

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5. Outstanding Audit Findings (cont...)

Additionally, if HUD discovers that an applicant has delinquent audit findings or significant and material audit findings with target dates or revised target dates that have been exceeded at the time that the application is reviewed and evaluated, the application will not be further evaluated.

Statutory and Regulatory Requirements Affecting Eligibility

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- Eligibility Requirements for Applicants of HUD's Grant Programs
- Program Specific Requirements
- Criteria for Beneficiaries

IV. Application and Submission Information

Obtaining an Application Package

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Download Application Instruction and Application Package

- **Verify:**
 - **CFDA Number:** 14.867
 - **Opportunity Title:** Indian Housing Block Grant – Competitive Grants
 - **Funding Opportunity Number:** FR-6300-N-48

The Application package contains the portable document forms (PDFs) available on grants.gov, such as the SF-424. The Instruction Download contains official copies of the NOFA and forms necessary for a complete application.

Waiver requests for paper application

- An applicant demonstrating good cause may request a waiver from the requirement for electronic submission.
- Must be received by HUD at least 15 days prior to application deadline date

Please send the requests via email to IHBGCompetitiveProgram@hud.gov or a written request directly to HUD Headquarters ONAP Office | Director of Grants Management, ONAP | Office of Public and Indian Housing-Office of Native American Programs | 451 7th Street SW, Rm. 4108 | Washington, DC 20410.

Content and Form of Application

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- **Applications for Assistance (SF-424)**
- **Disclosure Update (HUD-2880)**
- **Implementation Schedule (HUD-53247)**
- **Cost Summary (HUD-53246)**
- **Workplan Narrative**
- **Rating Factor Supporting Attachments**
- **Budget Narrative**
- **Certification of Compliance**

Content and Form of Application (cont...)

- Code of Conduct
- Environmental Review
- Submission for Certain Projects/Applications
- Tribal Resolution
- Indirect Cost Rate
- Disclosure of Lobbying Activities
- Opportunity Zone
- Certification of Consistency with Promise Zone Goals and Implementation (HUD- 50153)

Content and Form of Application

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- **Workplan Narrative**
- **Budget Narrative**
- **Certification of Compliance**
- **Environmental Review**

Workplan Narrative

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- ✓ • The applicant must address all five rating factors listed in this NOFA – 1. Capacity, 2. Need, 3. Soundness of Approach, 4. Leveraging and 5. Comprehensiveness and Coordination
- ✓ • There is a maximum page limit of 25 pages (excluding budget forms, worksheets and rating factor supporting attachments). Double spaced, 8 ½ x 11 size paper, 12 point Time Roman font and a least 1 inch margin on all sides
- ✓ • The applicant must clearly describe and support its responses in the rating factor narrative itself. Any information submitted in response to the Rating Factors beyond the page limit, will not be reviewed.

Budget Narrative

- ✓ The application must include a budget narrative separate from the rating factor narrative that details the eligible cost amounts and items for each budget line.
- ✓ The narrative must provide details on eligible activities and all planning and administrative costs (including indirect costs).

Certification of Compliance

- ✓ Each application must include a certification signed by an authorized official of the applicant **addressing each of the 7** certification requirements listed on page 14 of this NOFA.

Environmental Review – Expression of Intent

- ✓ • Applicants must include information on whether the tribe plans to assume environmental responsibilities under 24 CFR part 58 or decline to assume environmental responsibilities and request HUD perform the review under 24 CFR part 50, in accordance with CFR 1000.20.
- ✓ • Note that an environmental review and all approvals must be completed before funds are released and/or recipient may commit HUD or non-HUD funds.

1. SAM Registration Requirement
2. DUNS Number Requirement
3. Requirement to Register with Grants.gov

Application Deadline Date

**August 8, 2019
11:59:59 pm EST**



Application Submission

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- "Received by Grants.gov"- applicant received a confirmation of receipt and confirmation number
- "Validated by Grants.gov"- application has been accepted and was not rejected with errors
- HUD strongly recommends applications be submitted **48 hours before the deadline date**
- Verify the contents of your submitted application to confirm grants.gov received everything you intended to submit

Applicant Submission

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- Amending or Resubmitting an Application
- Grace Period for Grants.gov Submissions
- Late Applications
- Corrections to Deficient Applications
 - Curable Deficiency- error or oversight that if corrected would not influence how an applicant is ranked or scored
 - You will receive a technical letter from ONAP stating the deficiency, the number of days you have to correct it and where to send your correction.

1. Administrative and planning expenses
2. Pre-award costs
3. Consultant Cap
4. Investment of IHBG competitive funds
5. Title VI Loan Guarantees

Funding Restrictions

1. Administrative and planning expenses

- Recipients granted an award in excess of \$500,000 may use up to 20 percent of the of the award for IHBG-eligible administrative and planning expenses.
- Recipients awarded a grant in the amount of \$500,000 or less may use up to 30 percent of the grant award for these expenses.
- A detailed breakdown for these costs must be included in the budget narrative

Funding Restrictions

2. Pre-Award Costs

- With the exception of proposal costs incurred to prepare an application for submission under this NOFA, HUD will not allow the reimbursement of pre-award costs incurred prior to the period of performance start date.
- Applicants requesting reimbursement of proposal costs associated with the preparation of the IHBG competitive application **must clearly identify this amount and activity in the narrative.**
- If successful, any proposal costs incurred that are reimbursed with grant funds **will count towards the applicant's administration and planning cap**

Funding Restrictions

3. Consultant Cap

IHBG Competitive Grant funds may not be used, directly or indirectly, to pay or provide reimbursement for payment of the salary of a consultant in an employer-employee type of relationship at more than the daily equivalent of the rate paid for the Level IV of the Executive Schedule.

For more information on the Executive Schedule, please see the [Office of Personnel Management \(OPM\) website](#).

Funding Restrictions

Funding Restrictions

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4. Investment of IHBG competitive funds

IHBG Competitive Grant funds awarded under this NOFA may not be invested pursuant to section 204(b) of NAHASDA. Applications that propose to invest IHBG Competitive Grant funds will not be funded.

5. Title VI Loan Guarantees: IHBG Competitive Grant funds awarded under this NOFA may not be used to fund guarantees under Title VI of NAHASDA. Applications that propose to spend funds for this purpose will not be funded.

Other Submission Requirements

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Application Certifications and Assurances
Lead Based Paint Requirements