

Department of Housing and Urban Development
FITARA Common Baseline Self-Assessment
As of 05/25/2021

Department of Housing and Urban Development FITARA Common Baseline Self-Assessment As of 05/25/2021					
Category	Element & Responsibility		Current Element Rating May 2021	Agency Evidence of Complete Implementation (provide for ratings of 3)	Obstacles/Risks/Challenges by Category
Budget Formulation (A-D)	A	Visibility of IT resource plans/decisions to CIO	2		
	B	CIO role in pre-budget submission for programs that include IT and overall portfolio	3	The following evidence is provided: o FY 2022 IT Budget and Capital Planning Guidance Memo o FY 2022 IT Budget and Capital Planning Executive Memo	
	C	CIO role in planning program management	3	The following evidence is provided: o FY 2022 IT Budget and Capital Planning Guidance Memo o FY 2022 IT Budget and Capital Planning Executive Memo	
	D	CIO reviews and approves major IT investment portion of budget request	2		
Budget Execution (E-H, J, L)	E	Ongoing CIO engagement with program managers	3	OCIO and Program Office IT Presentation 02.25.21; IRC Meeting Presentations_Presentation to IRC Apr 2021; and CRC Monthly Program Office Meeting Schedule - 2021	
	F	Visibility of IT planned expenditure reporting to CIO	3	The following evidence is provided: o FY 2022 IT Budget and Capital Planning Guidance Memo o FY 2022 IT Budget and Capital Planning Executive Memo	
	G	CIO defines IT processes and policies	3	o HUD OCIO Handbook 3400.01 Rev. 2, OCIO IT Management Framework Policy, https://www.hud.gov/sites/dfiles/OCHCO/documents/34001CIOH.pdf o HUD OCIO Handbook 2400.25, Rev. 5.0, IT Security Policy, https://www.hud.gov/program_offices/administration/hudclips/handbooks/cio/2400.25	
	H	CIO role on program governance boards	3	o HUD IT Governance webpage https://www.hud.gov/program_offices/cio/ITMHOME/governance o HUD Policy for IT Governance, https://www.hud.gov/sites/dfiles/OCHCO/documents/34151CIOH.pdf	
	J	CIO role in recommending modification, termination, or pause of IT projects or initiatives	3	PHA Scorecard for UMP-TMF CHUMS Phase 2	
	L	CIO approval of reprogramming	3	CFO Administrative Control of Funds Policies require the allotment holder to approve all changes to budgetary resources including reprogramming requests. The CIO is the allotment holder for all IT Funds.	
Acquisition	K	CIO review and approval of acquisition strategy and acquisition plan	3	o HUD FITARA Enhancement Memorandum o HUD FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Changes 1-3 o CIO Assignment Plan	

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(I, K)	I	Shared acquisition and procurement responsibilities	3	<ul style="list-style-type: none"> o HUD FITARA Enhancement Memorandum o HUD FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Changes 1-3 o CIO Assignment Plan 	
Organization and Workforce (M-Q)	M	CIO approves bureau CIOs	3	Request to Approve 2210 Developer Hires in Housing Operations Memorandum	
	N	CIO role in ongoing bureau CIOs' evaluations	2		
	O	Bureau IT Leadership Directory	3	https://www.hud.gov/Digital_Strategy_and https://www.hud.gov/Digital_Strategy/bureaudirectory	
	P	IT Workforce	2		
	Q	CIO reports to agency head (or Deputy/COO)	3	Executive Order 13833, Enhancing the Effectiveness of Agency Chief Information Officers Memorandum	