Department of Housing and Urban Development
FITARA Common Baseline Self-Assessment and Plan
As of 8/10/2018

Category		Element & Responsibility [POC Overall Coordinator-Cynthia R. Moore]	Initial Element Rating 08/2015	Annual Element Rating May 2017	Current Element Rating May 2018	Agency Evidence of Complete Implementation (provide for ratings of 3)	Comments Obstacles/Risks/Challenges by Category
Agency Common Baseline Table							
		Budget Formulation and Planning.					
Budget Formulation (A-D)	A	Visibility of IT resource plans/decisions to CIO	3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	B	CIO role in pre-budget submission for programs that include IT and overall portfolio	3	3	3	Evidence provided with the April 2016 Element Rating	
	C	CIO role in planning program management	3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	D	CIO reviews and approves major IT investment portion of budget request	3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
		Budget Execution and Acquisition.					
Budget Execution (E-H, J, L)	E	Ongoing CIO engagement with program managers	3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	F	Visibility of IT planned expenditure reporting to CIO	3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	G	CIO defines IT processes and policies	3	3	3	Evidence provided with the April 2016 Element Rating	
	H	CIO role on program governance boards	3	3	3	Evidence provided with the April 2016 Element Rating	
	I	Shared acquisition and procurement responsibilities	2	3	3	Evidence provided with the February 2017 Milestones Submission	
	J	CIO role in recommending modification, termination, or pause of IT projects or initiatives	2	3	3	Evidence provided with the April 2016 Element Rating	
Acquisition (I, K)	K	CIO review and approval of acquisition strategy and acquisition plan	2	3	3	Evidence provided with the February 2017 Milestones Submission	
	L	CIO approval of reprogramming	2	3	3	Evidence provided with the August 2016 Milestones Submission	

Department of Housing and Urban Development
FITARA Common Baseline Self-Assessment and Plan
As of 8/10/2018

Category		Element & Responsibility [POC Overall Coordinator-Cynthia R. Moore]		Initial Element Rating 08/2015	Annual Element Rating May 2017	Current Element Rating May 2018	Agency Evidence of Complete Implementation (provide for ratings of 3)	Comments Obstacles/Risks/Challenges by Category
		Organization and Workforce.						
Organization and Workforce (M-Q)	M	CIO approves bureau CIOs		2	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	N	CIO role in ongoing bureau CIOs' evaluations		2	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	O	Bureau IT Leadership Directory		3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	
	P	IT Workforce		2	2	2		o Approval of policy for full implementation is deferred. o Anticipated completion is October 2018.
	Q	CIO reports to agency head (or Deputy/COO)		3	3	3	Evidence provided prior to Implementation Plan approval on 01/15/2016	

Note: The header row above spans "Agency Common Baseline Table" as the overall table title.