Department of Housing and Urban Development
FITARA Common Baseline Self-Assessment
As of 6/25/2020

Category		Element & Responsibility	Current Element Rating May 2020	Agency Evidence of Complete Implementation (provide for ratings of 3)	Obstacles/Risks/Challenges by Category
Budget Formulation (A-D)	A	Visibility of IT resource plans/decisions to CIO	2		
	B	CIO role in pre-budget submission for programs that include IT and overall portfolio	3	The following evidence is provided: o FY 2022 IT Budget and Capital Planning Guidance - Principal Staff o FY 2022 IT Budget and Capital Planning Guidance - For Customer Care Committee	
	C	CIO role in planning program management	3	The following evidence is provided: o Budget Guidance Memo o Budget Guidance Instructions o CCC presentation including expenditure reporting o IRC presentation including expenditure reporting	
	D	CIO reviews and approves major IT investment portion of budget request	3	HUD FY 2020 IT Resource Statement is provided as evidence	
Budget Execution (E-H, J, L)	E	Ongoing CIO engagement with program managers	2		
	F	Visibility of IT planned expenditure reporting to CIO	3	The following evidence is provided: o Budget Guidance Memo o Budget Guidance Instructions o CCC presentation including expenditure reporting o IRC presentation including expenditure reporting	
	G	CIO defines IT processes and policies	2		
	H	CIO role on program governance boards	3	The following evidence is provided: o CCC presentation including expenditure reporting o IRC presentation including expenditure reporting	
	J	CIO role in recommending modification, termination, or pause of IT projects or initiatives	2		
	L	CIO approval of reprogramming	3	CFO Administrative Control of Funds Policies require the allotment holder to approve all changes to budgetary resources including reprogramming requests. The CIO is the allotment holder for all IT Funds.	

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Acquisition (I, K)	K	CIO review and approval of acquisition strategy and acquisition plan	3	o Deputy Secretary Memo Improving IT Management o OCIO FITARA Memo o OCIO FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Update o CIO Assignment Plan	
	I	Shared acquisition and procurement responsibilities	3	o Deputy Secretary Memo Improving IT Management o OCIO FITARA Memo o OCIO FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Update o CIO Assignment Plan	
Organization and Workforce (M-Q)	M	CIO approves bureau CIOs	3	CIO participants hiring panel for bureau CIO	
	N	CIO role in ongoing bureau CIOs' evaluations	2		
	O	Bureau IT Leadership Directory	3	https://www.hud.gov/Digital_Strategy and https://www.hud.gov/Digital_Strategy/bureaudirectory	
	P	IT Workforce	2		
	Q	CIO reports to agency head (or Deputy/COO)	3	CIO Executive Order 13833, "Enhancing the Effectiveness of Agency Chief Information Officers" Memorandum	