

**Department of Housing and Urban Development
FITARA Common Baseline Self-Assessment and Plan
As of 5/30/2019**

Department of Housing and Urban Development FITARA Common Baseline Self-Assessment and Plan As of 5/30/2019					
Category	Element & Responsibility		Current Element Rating May 2019	Relevant Evidence Supporting Implementation of Element	Comments Obstacles/Risks/Challenges by Category
Budget Formulation and Planning					
Budget Formulation (A-D)	A	Visibility of IT resource plans/decisions to CIO	2		Obstacle: Current year budget execution is not always available to inform formulation in a timely fashion.
	B	CIO role in pre-budget submission for programs that include IT and overall portfolio	2		
	C	CIO role in planning program management	2		
	D	CIO reviews and approves major IT investment portion of budget request	3	<ul style="list-style-type: none"> o IT Resource Statement Memorandum o OCIO FY21 IT Budget and Acquisition Planning Guidance 	
Budget Execution and Acquisition					
Budget Execution (E-H, J, L)	E	Ongoing CIO engagement with program managers	2		
	F	Visibility of IT planned expenditure reporting to CIO	2		Obstacle: A policy needs to be developed
	G	CIO defines IT processes and policies	2		Obstacle: Onboarding of contractor resources to augment Federal staff.
	H	CIO role on program governance boards	2		Obstacle: Pending executive decision concerning operating structure and forum to define and implement HUD's strategic direction and approving the Department's IT investment portfolio.
	I	Shared acquisition and procurement responsibilities	3	<ul style="list-style-type: none"> o Deputy Secretary Memo Improving IT Management o OCIO FITARA Memo o OCIO FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Update o CIO Assignment Plan 	
Acquisition (I, K)	J	CIO role in recommending modification, termination, or pause of IT projects or initiatives	2		Risks: Process relies on appropriate and timely escalation of project and acquisition risks

**Department of Housing and Urban Development
FITARA Common Baseline Self-Assessment and Plan
As of 5/30/2019**

Department of Housing and Urban Development FITARA Common Baseline Self-Assessment and Plan As of 5/30/2019					
Category	Element & Responsibility		Current Element Rating May 2019	Relevant Evidence Supporting Implementation of Element	Comments Obstacles/Risks/Challenges by Category
	K	CIO review and approval of acquisition strategy and acquisition plan	3	<ul style="list-style-type: none"> o Deputy Secretary Memo Improving IT Management o OCIO FITARA Memo o OCIO FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Update o CIO Assignment Plan 	
	L	CIO approval of reprogramming	3	Funds Control Handbook 1830.2 REV6 March 22, 2017	
Organization and Workforce					
Organization and Workforce (M-Q)	M	CIO approves bureau CIOs	2		
	N	CIO role in ongoing bureau CIOs' evaluations	2		
	O	Bureau IT Leadership Directory	3	https://www.hud.gov/Digital_Strategy_and https://www.hud.gov/Digital_Strategy/bureaudirectory	
	P	IT Workforce	2		
	Q	CIO reports to agency head (or Deputy/COO)	3	CIO Executive Order 13833, "Enhancing the Effectiveness of Agency Chief Information Officers" Memorandum	