

PRIVACY IMPACT ASSESSMENT (PIA)

Prescribing Authority: Public Law 107-347, Section 208(b). Complete this form for Department of Housing and Urban Development (HUD) information systems or electronic collections (referred to as "electronic collections" for the purpose of this form) of information that collect, maintain, use, and / or disseminate personally identifiable information (PII) about members of the public, Federal employees, and contractors. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to the system. Please be sure to use [plain language](#) and be as concise as possible.

HUD's PIAs describe: (1) the legal authority that permits the collection of information; (2) the specific type of information used by the system; (3) how and why the system uses the information; (4) whether the system provides notice to individuals that their information is used by the system; (5) the length of time the system retains information; (6) whether and with whom the system disseminates information; (7) procedures individuals may use to access or amend information used by the system; and (8) physical, technical, and administrative safeguards applied to the system to secure the information.

Note: Program Offices utilizing Shared Drive and SharePoint locations to store information such as PII are required to conduct a PIA. Program Offices completing a PIA for PII storage in the HUD Shared Drive will use **CSAM ID P209** whereas the PIA for PII storage in SharePoint will use the **CSAM ID D100**. After completion of all required information in the PIA, PIA are required to be routed to the Shared Drive Administrator and/or SharePoint Administrator for signature approval in addition to all the required signatures. Additional details are outlined in Section 4.

For further information and instructions on how to fill out the PIA, please see the PIA Reference Guide.

- 1. HUD INFORMATION SYSTEM:**
- 2. HUD DIVISION NAME:**
- 3. CSAM ID:**

Section 1: PII Description Summary (For Public Release)

a. The PII is: (Check all that apply)

From members of the general public

From a third-party source

From Federal employees and / or Federal contractors

Not Collected (Please proceed to Section 4)

From vendors

Other (Please specify in the box below)

b. The PII is in a / an: (Check one)

New HUD information system

New collection

Existing HUD information system Significantly modified

Existing collection

HUD information system (if selected, please describe the modification in the box below)

c. Describe the purpose of this HUD information system or project, including the types of personal information collected in the system.

d. Why is the PII collected and / or what is the intended use of the PII? (e.g. verification, identification, authentication, data matching, mission-related use, administrative use)

e. Do individuals have the opportunity to object to the collection of their PII? **Yes No**

If “Yes,” describe the method by which individuals can object to the PII collection.

If “No,” state the reason why individuals cannot object to the PII collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? **Yes No**

If “Yes,” describe the method by which individuals can give or withhold their consent.

If “No,” state the reason why individuals cannot give or withhold their consent.

g. When an individual is asked to provide PII, is a Privacy Act Statement (PAS) and / or a Privacy Advisory provided? *(Please provide the actual wording in the box below and check as appropriate)*

☐ Privacy Act Statement

☐ Privacy Advisory

☐ Not Applicable

h. With whom will the PII be shared through data exchange, both within your HUD Division and outside your Division? *(Check all that apply)*

☐ Within the HUD Office / Division

☐ Other HUD Office(s) / Division(s)

☐ Other federal agencies

☐ State & local agencies

☐ Contractors (Include name of contractor and describe the language in the contract that safeguards PII in the box below.)

☐ Other

i. Source(s) of the PII collected is / are: *(Check all that apply & list all information systems if applicable)*

☐ Individuals

☐ Databases

☐ Existing HUD information systems

☐ Publicly available data (e.g., obtained from internet, news feeds, court records)

☐ Other Federal information systems

j. How will the information be collected? *(Check all that apply & list all Official Form Numbers if applicable)*

☐ Email

☐ Telephone interview

☐ Face-to-face contact

☐ Website / e-form

☐ Fax

☐ Paper

☐ Information sharing /system-to-system

☐ Other *(if selected, enter information in the box)*

☐ Official form

k. Does this HUD information system or project require a Privacy Act System of Records Notice (SORN)?

A SORN is required if the information system or project contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name of another unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier:

If a SORN has not yet been published in the Federal Register, enter date of submission for approval.

If "No," explain why the SORN is not required.

l. What is the National Archive and Records Administration (NARA) approved, pending, or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(Please consult Office of Records Management to assure that the following information is accurate)

(1) NARA Job Number or GRS Authority:

(2) If pending, provide the date the SF-115 was submitted to NARA:

(3) Retention instructions:

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

n. Does this information system or project have an active and approved Office of Management and Budget (OMB) Control Number?

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.

If "No," explain why OMB approval is not required in accordance with proper HUD authority.

If "Pending," provide the date for the 60 and / or 30 day notice and the Federal Register citation.