U.S. Department of Housing and Urban Development

2023 Annual FOIA Report

**I. Basic Information Regarding Report**

A. Questions about this report should be directed to:

Bao-Anh Trinh Director, FOIA Branch HUD FOIA Office Office of Administration 451 Seventh Street, SW, Room 10139 Washington, DC 20410-3000

(202) 402-3866

1. The World Wide Web address to obtain an electronic copy of the Freedom of Information Act (FOIA) report is [**http://www.hud.gov/program\_offices/administration/foia/foiarpts**](http://www.hud.gov/program_offices/administration/foia/foiarpts). The report can be accessed by clicking **“2023 Annual Report.”**
2. A paper copy of the report can be obtained by submitting a request to the Director, FOIA Branch, HUD FOIA Office, Office of Administration 451 Seventh Street, SW, Room 10139, Washington, DC 20410.

**II. How to Make a FOIA Request**

For basic information about how to make a FOIA request, please visit HUD’s website at:

1. **For the names, addresses, and telephone numbers of all offices that receive FOIA requests, visit HUD’s website at**: [**https://www.hud.gov/program\_offices/administration/foia/requests**](https://www.hud.gov/program_offices/administration/foia/requests)
2. **Brief description of why FOIA requests are not granted.**

The most frequent reason that a FOIA request was not granted was that HUD did not have the records requested.

The primary reasons for not granting a request where documents could be located are that disclosure would result in an unwarranted invasion of personal privacy and that the information was inter-agency or intra-agency, deliberative material, attorney work product, or attorney-client material.

1. **The World Wide Web Internet address to obtain the Department of Housing and Urban Development FOIA Regulation** is:[**http://www.hud.gov/offices/adm/foia/foiareg.cfm**](http://www.hud.gov/offices/adm/foia/foiareg.cfm).

**III. Acronyms, Definitions, and Exemptions**

1. Agency-specific acronyms or other terms are as follows:

**HUD** – Department of Housing and Urban Development **HQ** – Headquarters **R01** – Region 1– Boston Regional Office  **R02** – Region 2 – New York Regional Office  **R03** – Region 3 – Philadelphia Regional Office  **R04** – Region 4– Atlanta Regional Office  **R05** – Region 5 – Chicago Regional Office  **R06** – Region 6– Fort Worth Regional Office  **R07** – Region 7– Kansas Regional Office  **R08** – Region 8 – Denver Regional Office  **R09** – Region 9 – San Francisco Regional Office  **R0X** – Region 10– Seattle Regional Office **OIG** – Office of Inspector General

1. Basic terms expressed in common terminology:
   * + 1. **Administrative Appeal-**a request to a federal agency asking that it review at a higher administrative level a full denial or partial denial of access to records under the FOIA, or any other FOIA determination made

by such as a matter pertaining to fees.

**2. Average Number –** the number obtained by dividing the sum of a group of numbers by the quantity of numbers the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

3. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

4. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that the agency include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

5. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.

6. **Exemption 3 Statute** – a separate federal statute prohibiting the disclosure of a certain type of information and authorizing its withholding under FOIA subsection (b)(3).

7. **FOIA/PA** **request** – Freedom of Information Act/Privacy Act request. A FOIA request is generally a request for access to records concerning a third party, an organization, or a particular topic of interest. A Privacy Act request is a request for records concerning oneself; such requests are also treated as FOIA requests (All requests for access to records, regardless of which law is cited by the requester, are included in this report).

8**. Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.

9. **Full Denial** – an agency decision not to release any part of a record or records in response to a FOIA request because the requested records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records can be located.

10. **Initial Request** – a request to a federal agency for access to records under the FOIA.

11. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

12. **Multi-Track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

1. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies requirements for expedited processing as set forth in the statute and in agency regulations.
2. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records.
3. **Complex Request** – a FOIA request that an agency using multi-track

processing places in a slower track based on the high volume and/or complexity of the records requested.

13. **No Documents** – no records are located in response to a FOIA request.

14. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

15. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.

16. **Perfected Request** – a request for records which reasonably describe such records and is made in accordance with published rules stating the time, place, fees (if any), and procedures to be followed.

17. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken a final action on the request or the appeal in all respects.

18. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.

19. **Time Limits** – the time period for an agency to respond to a FOIA request is ordinarily 20 working days from the receipt of a perfected FOIA request.

C. Description of the nine FOIA Exemptions:

1. **Exemption 1:** classified national defense and foreign relations information.
2. **Exemption 2:** internal agency rules and practices.
3. **Exemption 3:** information that is prohibited from disclosure by another federal law.
4. **Exemption 4:** trade secrets and other confidential business information.
5. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges.
6. **Exemption 6:** information involving matters of personal privacy.
7. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of the records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual.
8. **Exemption 8:** information relating to the supervision of financial institutions.
9. **Exemption 9:** geological information on wells.

3. Agency Component Abbreviations

|  |  |
| --- | --- |
| Component Abbreviation | Component Name |
| HQ | Headquarters |
| R01 | Region I (Boston, Hartford, Manchester, Providence) |
| R02 | Region II (New York, Albany, Buffalo, Newark) |
| R03 | Region III (Philadelphia, Baltimore, Pittsburgh, Richmond, Washington, D.C., Charleston, Wilmington) |
| R04 | Region IV (Atlanta, Birmingham, Caribbean, Columbia, Greensboro, Jackson, Jacksonville, Knoxville, Louisville, Nashville, Memphis, Miami, Orlando, Tampa) |
| R05 | Region V (Chicago, Columbus, Cleveland, Detroit, Indianapolis, Milwaukee, Minneapolis-St. Paul) |
| R06 | Region VI (Texas, Arkansas, Louisiana, New Mexico and Oklahoma) |
| R07 | Region VII (Kansas City, Des Moines, Omaha, St. Louis) |
| R08 | Region VIII (Denver) |
| R09 | Region IX (San Francisco, Honolulu, Los Angeles, Phoenix, Sacramento) |
| R0X | Region X (Seattle, Anchorage, Portland) |
| OIG | Office of the Inspector General |

IV. Exemption 3 Statutes

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1)) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | HQ | 3 | 3 |
| 42 USC §3537a | Covered information during the grant selection process | N/A | HQ | 1 | 1 |
| 42 U.S.C. § 3610(d) | Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | HQ | 2 | 8 |
| R07 | 1 |
| R09 | 4 |
| R0X | 1 |

**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| HQ | 636 | 676 | 735 | 577 |
| R01 | 10 | 84 | 83 | 11 |
| R02 | 12 | 96 | 96 | 12 |
| R03 | 20 | 121 | 105 | 36 |
| R04 | 52 | 199 | 218 | 33 |
| R05 | 6 | 203 | 192 | 17 |
| R06 | 20 | 148 | 136 | 32 |
| R07 | 9 | 58 | 58 | 9 |
| R08 | 8 | 45 | 51 | 2 |
| R09 | 20 | 134 | 134 | 20 |
| R0X | 3 | 61 | 47 | 17 |
| OIG | 6 | 84 | 77 | 13 |
| AGENCY OVERALL | 802 | 1909 | 1932 | 779 |

|  |
| --- |
| *After reviewing their databases, the following components updated the number of requests pending as of the start of the Fiscal Year: HQ, R01, R02, R03, R04, R05, R07, and R08.* |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| HQ | 102 | 123 | 14 | 158 | 15 | 267 | 0 | 3 | 11 | 5 | 35 | 2 | 735 |
| R01 | 17 | 15 | 3 | 15 | 3 | 8 | 2 | 0 | 2 | 2 | 16 | 0 | 83 |
| R02 | 31 | 9 | 7 | 29 | 0 | 2 | 0 | 0 | 0 | 2 | 14 | 2 | 96 |
| R03 | 8 | 29 | 4 | 10 | 0 | 22 | 1 | 0 | 2 | 6 | 20 | 3 | 105 |
| R04 | 72 | 21 | 3 | 50 | 0 | 12 | 7 | 5 | 14 | 11 | 21 | 2 | 218 |
| R05 | 35 | 47 | 7 | 27 | 0 | 21 | 4 | 6 | 8 | 5 | 19 | 13 | 192 |
| R06 | 19 | 41 | 7 | 12 | 1 | 6 | 5 | 2 | 2 | 21 | 18 | 2 | 136 |
| R07 | 4 | 17 | 0 | 15 | 0 | 4 | 1 | 0 | 0 | 0 | 17 | 0 | 58 |
| R08 | 8 | 17 | 0 | 7 | 0 | 1 | 1 | 1 | 1 | 0 | 14 | 1 | 51 |
| R09 | 15 | 22 | 5 | 40 | 0 | 7 | 0 | 7 | 3 | 5 | 28 | 2 | 134 |
| R0X | 3 | 10 | 5 | 5 | 2 | 4 | 0 | 0 | 4 | 0 | 14 | 0 | 47 |
| OIG | 4 | 34 | 5 | 10 | 1 | 1 | 0 | 0 | 2 | 0 | 0 | 20 | 77 |
| AGENCY OVERALL | 318 | 385 | 60 | 378 | 22 | 355 | 21 | 24 | 49 | 57 | 216 | 47 | 1932 |

|  |
| --- |
|  |

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | Litigation | 1 | 2 |
| Directed Requester to Publicly Available Information | 1 |
| R01 | N/A | 0 | 0 |
| R02 | Directed Requester to Publicly Available Information | 2 | 2 |
| R03 | Misdirected request | 2 | 3 |
| Directed Requester to Publicly Available Information | 1 |
| R04 | Directed Requester to Publicly Available Information | 2 | 2 |
| R05 | Misdirected request | 9 | 13 |
| Directed Requester to Publicly Available Information | 4 |
| R06 | Misdirected request | 1 | 2 |
| Directed Requester to Publicly Available Information | 1 |
| R07 | N/A | 0 | 0 |
| R08 | Misdirected request | 1 | 1 |
| R09 | Directed Requester to Publicly Available Information | 2 | 2 |
| R0X | N/A | 0 | 0 |
| OIG | Unable to locate or contact requester | 20 | 20 |
| AGENCY OVERALL |  |  | 47 |

|  |
| --- |
|  |

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 6 | 17 | 25 | 97 | 16 | 0 | 7 | 0 | 2 | 0 | 1 | 0 |
| R01 | 0 | 1 | 0 | 0 | 1 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 2 | 6 | 23 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 4 | 3 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 5 | 1 | 48 | 3 | 0 | 3 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 1 | 0 | 14 | 1 | 38 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 1 | 6 | 2 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 2 | 2 | 13 | 3 | 0 | 2 | 2 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 4 | 8 | 4 | 16 | 5 | 0 | 8 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 1 | 3 | 1 | 8 | 2 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 2 | 8 | 31 | 7 | 0 | 27 | 2 | 27 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 2 | 12 | 63 | 54 | 324 | 38 | 0 | 52 | 4 | 29 | 0 | 1 | 0 |

|  |
| --- |
|  |

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| HQ | 6 | 46 | 49 | 3 |
| R01 | 0 | 1 | 1 | 0 |
| R02 | 0 | 2 | 2 | 0 |
| R03 | 0 | 1 | 1 | 0 |
| R04 | 0 | 1 | 1 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 1 | 1 | 0 |
| R09 | 0 | 2 | 2 | 0 |
| R0X | 0 | 1 | 1 | 0 |
| OIG | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 6 | 55 | 58 | 3 |

|  |
| --- |
| *After reviewing its database, HQ updated the number of appeals pending as of the start of the Fiscal Year.* |

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| HQ | 15 | 2 | 8 | 24 | 49 |
| R01 | 0 | 1 | 0 | 0 | 1 |
| R02 | 1 | 0 | 1 | 0 | 2 |
| R03 | 0 | 1 | 0 | 0 | 1 |
| R04 | 0 | 0 | 0 | 1 | 1 |
| R05 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 |
| R08 | 1 | 0 | 0 | 0 | 1 |
| R09 | 2 | 0 | 0 | 0 | 2 |
| R0X | 0 | 0 | 1 | 0 | 1 |
| OIG | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 19 | 4 | 10 | 25 | 58 |

|  |
| --- |
|  |

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 0 | 1 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 2 | 2 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

|  |
| --- |
|  |

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| HQ | 2 | 0 | 0 | 0 | 0 | 23 | 0 | 0 | 0 | 0 | 25 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 2 | 0 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 0 | 26 |

|  |
| --- |
|  |

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | Improper Appeal | 25 | 25 |
| R01 | N/A | 0 | 0 |
| R02 | N/A | 0 | 0 |
| R03 | N/A | 0 | 0 |
| R04 | Improper Appeal | 1 | 1 |
| R05 | N/A | 0 | 0 |
| R06 | N/A | 0 | 0 |
| R07 | N/A | 0 | 0 |
| R08 | N/A | 0 | 0 |
| R09 | N/A | 0 | 0 |
| R0X | N/A | 0 | 0 |
| OIG | N/A | 0 | 0 |
| AGENCY OVERALL |  |  | 26 |

|  |
| --- |
|  |

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 15.00 | 14.39 | 5 | 31 |
| R01 | 11.00 | 11.00 | 11 | 11 |
| R02 | 8.00 | 8.00 | 3 | 13 |
| R03 | 13.00 | 13.00 | 13 | 13 |
| R04 | 11.00 | 11.00 | 11 | 11 |
| R05 | N/A | N/A | N/A | N/A |
| R06 | N/A | N/A | N/A | N/A |
| R07 | N/A | N/A | N/A | N/A |
| R08 | 13.00 | 13.00 | 13 | 13 |
| R09 | 9.00 | 9.00 | 9 | 9 |
| R0X | 19.00 | 19.00 | 19 | 19 |
| OIG | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 13.00 | 13.90 | 3 | 31 |

|  |
| --- |
|  |

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| HQ | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2023-09-28 | 2023-09-18 | 2023-09-11 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 9 | 14 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2023-09-28 | 2023-09-18 | 2023-09-11 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 9 | 14 |

|  |
| --- |
|  |

**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 34.00 | 73.06 | <1 | 745 | 115.00 | 264.05 | <1 | 1692 | N/A | N/A | N/A | N/A |
| R01 | 9.00 | 9.55 | <1 | 40 | 16.00 | 28.78 | <1 | 160 | 3.00 | 3.00 | 3 | 3 |
| R02 | 17.50 | 20.96 | 1 | 85 | 15.00 | 39.92 | 1 | 1215 | 18.00 | 18.33 | 16 | 21 |
| R03 | 38.00 | 54.78 | <1 | 217 | 9.00 | 24.12 | <1 | 132 | 64.50 | 64.50 | 60 | 69 |
| R04 | 22.00 | 44.25 | <1 | 585 | 33.00 | 43.82 | <1 | 293 | 41.50 | 43.60 | 10 | 96 |
| R05 | 11.00 | 21.08 | <1 | 216 | 8.00 | 13.27 | <1 | 119 | 9.50 | 14.38 | <1 | 36 |
| R06 | 17.00 | 26.13 | 2 | 142 | 17.00 | 24.59 | <1 | 127 | 3.00 | 3.00 | 3 | 3 |
| R07 | 13.00 | 58.71 | 7 | 191 | 13.00 | 28.92 | <1 | 306 | N/A | N/A | N/A | N/A |
| R08 | 33.00 | 117.75 | 7 | 968 | 12.00 | 40.56 | <1 | 189 | N/A | N/A | N/A | N/A |
| R09 | 8.50 | 11.43 | <1 | 61 | 11.00 | 12.06 | <1 | 66 | N/A | N/A | N/A | N/A |
| R0X | 3.00 | 6.09 | <1 | 18 | 3.00 | 20.81 | <1 | 152 | N/A | N/A | N/A | N/A |
| OIG | 9.00 | 9.92 | <1 | 48 | 38.50 | 54.75 | <1 | 171 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 15.00 | 36.48 | <1 | 968 | 32.00 | 135.49 | <1 | 1692 | 21.50 | 28.54 | <1 | 96 |

|  |
| --- |
|  |

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 69.00 | 106.77 | 10 | 462 | 130.00 | 257.41 | 6 | 1692 | N/A | N/A | N/A | N/A |
| R01 | 10.00 | 12.00 | 4 | 27 | 32.00 | 44.92 | 14 | 160 | N/A | N/A | N/A | N/A |
| R02 | 21.00 | 23.89 | 1 | 71 | 24.00 | 72.57 | 3 | 1215 | 18.00 | 18.33 | 16 | 21 |
| R03 | 66.00 | 86.43 | 6 | 217 | 52.00 | 59.82 | <1 | 132 | 64.50 | 64.50 | 60 | 69 |
| R04 | 26.50 | 41.77 | 6 | 133 | 40.00 | 59.20 | 1 | 293 | 41.50 | 43.60 | 10 | 96 |
| R05 | 15.00 | 21.73 | <1 | 192 | 20.00 | 30.16 | 7 | 119 | 9.50 | 14.38 | <1 | 36 |
| R06 | 19.00 | 37.07 | 3 | 142 | 18.50 | 28.95 | 1 | 108 | 3.00 | 3.00 | 3 | 3 |
| R07 | 191.00 | 191.00 | 191 | 191 | 29.00 | 58.30 | 4 | 306 | N/A | N/A | N/A | N/A |
| R08 | 33.00 | 43.80 | 13 | 103 | 63.00 | 80.40 | 9 | 189 | N/A | N/A | N/A | N/A |
| R09 | 14.00 | 17.62 | <1 | 61 | 17.00 | 22.25 | <1 | 66 | N/A | N/A | N/A | N/A |
| R0X | 8.00 | 9.40 | <1 | 18 | 37.00 | 55.12 | 13 | 152 | N/A | N/A | N/A | N/A |
| OIG | 15.00 | 16.57 | <1 | 48 | 57.00 | 62.73 | 6 | 171 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 20.00 | 42.45 | <1 | 462 | 55.00 | 140.12 | <1 | 1692 | 22.50 | 30.75 | <1 | 96 |

|  |
| --- |
|  |

**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 58 | 19 | 12 | 20 | 8 | 2 | 4 | 4 | 1 | 2 | 7 | 2 | 4 | 143 |
| R01 | 49 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 53 |
| R02 | 15 | 8 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 |
| R03 | 16 | 6 | 3 | 6 | 3 | 1 | 1 | 1 | 1 | 1 | 2 | 0 | 0 | 41 |
| R04 | 31 | 13 | 5 | 6 | 6 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 64 |
| R05 | 58 | 16 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 80 |
| R06 | 27 | 5 | 2 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 38 |
| R07 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 7 |
| R08 | 4 | 3 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 12 |
| R09 | 50 | 3 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 56 |
| R0X | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 |
| OIG | 44 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49 |
| AGENCY OVERALL | 367 | 82 | 28 | 37 | 20 | 5 | 7 | 7 | 3 | 6 | 10 | 2 | 6 | 580 |

|  |
| --- |
|  |

**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 80 | 61 | 53 | 37 | 34 | 29 | 20 | 15 | 21 | 19 | 49 | 39 | 120 | 577 |
| R01 | 14 | 7 | 2 | 1 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 27 |
| R02 | 41 | 15 | 5 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 65 |
| R03 | 31 | 17 | 4 | 0 | 1 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 57 |
| R04 | 39 | 37 | 20 | 6 | 11 | 5 | 2 | 0 | 0 | 1 | 2 | 0 | 0 | 123 |
| R05 | 63 | 9 | 1 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 77 |
| R06 | 57 | 17 | 8 | 3 | 4 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 91 |
| R07 | 33 | 9 | 4 | 0 | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 51 |
| R08 | 22 | 1 | 4 | 2 | 2 | 0 | 2 | 0 | 2 | 1 | 0 | 0 | 0 | 36 |
| R09 | 55 | 8 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 66 |
| R0X | 25 | 2 | 1 | 2 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 32 |
| OIG | 5 | 5 | 3 | 1 | 3 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 20 |
| AGENCY OVERALL | 465 | 188 | 107 | 56 | 59 | 43 | 28 | 17 | 26 | 21 | 51 | 40 | 121 | 1222 |

|  |
| --- |
|  |

**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R02 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R03 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R04 | 2 | 3 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| R05 | 5 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| R06 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 11 | 7 | 4 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 |

|  |
| --- |
|  |

**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| HQ | 14 | 44.5 | 74.93 | 539 | 269 | 422.57 | 0 | N/A | N/A |
| R01 | 1 | 1 | 1 | 9 | 18 | 17.33 | 0 | N/A | N/A |
| R02 | 0 | N/A | N/A | 12 | 12 | 11.75 | 0 | N/A | N/A |
| R03 | 1 | 99 | 99 | 29 | 84 | 125.28 | 0 | N/A | N/A |
| R04 | 1 | 9 | 9 | 21 | 37 | 64.81 | 1 | 14 | 14 |
| R05 | 8 | 12.5 | 16.88 | 6 | 1.5 | 20.7 | 3 | 11 | 14.33 |
| R06 | 2 | 148.5 | 148.5 | 21 | 25 | 57.57 | 0 | N/A | N/A |
| R07 | 2 | 74.5 | 74.5 | 6 | 22.5 | 18.67 | 0 | N/A | N/A |
| R08 | 0 | N/A | N/A | 2 | 38 | 38 | 0 | N/A | N/A |
| R09 | 1 | 16 | 16 | 8 | 17.5 | 22.25 | 0 | N/A | N/A |
| R0X | 4 | 73.5 | 78.75 | 11 | 28 | 61.91 | 0 | N/A | N/A |
| OIG | 10 | 7 | 4.5 | 2 | 53 | 53 | 0 | N/A | N/A |
| AGENCY OVERALL | 44 | 24.50 | 88.85 | 666 | 171.00 | 344.87 | 4 | 12.50 | 14.25 |

|  |
| --- |
|  |

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| HQ | Date of Receipt | 2017-12-21 | 2017-11-08 | 2017-11-08 | 2017-10-19 | 2017-10-17 | 2017-10-13 | 2017-10-02 | 2017-08-23 | 2017-04-03 | 2016-02-16 |
| Number of Days Pending | 1447 | 1476 | 1476 | 1490 | 1492 | 1494 | 1502 | 1529 | 1629 | 1913 |
| R01 | Date of Receipt | 2023-09-28 | 2023-09-28 | 2023-09-22 | 2023-09-21 | 2023-09-18 | 2023-09-05 | 2023-09-01 | 2023-08-28 | 2023-08-28 | 2023-07-18 |
| Number of Days Pending | 1 | 1 | 5 | 6 | 9 | 18 | 19 | 23 | 23 | 52 |
| R02 | Date of Receipt | 2023-09-28 | 2023-09-27 | 2023-09-26 | 2023-09-20 | 2023-09-06 | 2023-09-05 | 2023-08-31 | 2023-08-31 | 2023-08-31 | 2023-08-16 |
| Number of Days Pending | 1 | 2 | 3 | 7 | 17 | 18 | 20 | 20 | 20 | 31 |
| R03 | Date of Receipt | 2023-03-07 | 2023-03-06 | 2023-01-24 | 2023-01-20 | 2022-10-12 | 2022-10-07 | 2022-07-20 | 2022-05-06 | 2022-01-26 | 2022-01-24 |
| Number of Days Pending | 144 | 145 | 173 | 175 | 242 | 244 | 300 | 350 | 421 | 423 |
| R04 | Date of Receipt | 2023-08-04 | 2023-05-17 | 2023-05-11 | 2023-05-08 | 2023-05-08 | 2023-05-08 | 2023-05-08 | 2023-02-16 | 2023-01-27 | 2022-11-07 |
| Number of Days Pending | 39 | 93 | 97 | 100 | 100 | 100 | 100 | 156 | 170 | 224 |
| R05 | Date of Receipt | 2023-09-14 | 2023-09-14 | 2023-09-11 | 2023-09-06 | 2023-08-21 | 2023-08-18 | 2023-08-15 | 2023-08-11 | 2023-07-31 | 2023-03-15 |
| Number of Days Pending | 11 | 11 | 14 | 17 | 28 | 29 | 32 | 34 | 43 | 138 |
| R06 | Date of Receipt | 2023-08-03 | 2023-07-20 | 2023-07-07 | 2023-07-03 | 2023-07-03 | 2023-06-14 | 2023-04-27 | 2023-01-11 | 2022-08-09 | 2022-02-04 |
| Number of Days Pending | 40 | 50 | 59 | 62 | 62 | 74 | 107 | 181 | 286 | 414 |
| R07 | Date of Receipt | N/A | N/A | 2023-09-28 | 2023-09-28 | 2023-09-18 | 2023-09-12 | 2023-08-15 | 2023-08-15 | 2023-08-14 | 2023-03-13 |
| Number of Days Pending | 0 | 0 | 1 | 1 | 9 | 13 | 32 | 32 | 33 | 140 |
| R08 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2023-09-24 | 2023-06-16 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 72 |
| R09 | Date of Receipt | N/A | 2023-09-27 | 2023-09-21 | 2023-09-19 | 2023-09-13 | 2023-09-07 | 2023-08-28 | 2023-08-08 | 2023-08-07 | 2023-07-18 |
| Number of Days Pending | 0 | 2 | 6 | 8 | 12 | 16 | 23 | 37 | 38 | 52 |
| R0X | Date of Receipt | 2023-08-21 | 2023-08-21 | 2023-08-03 | 2023-07-28 | 2023-05-30 | 2023-05-26 | 2023-04-27 | 2023-04-14 | 2023-02-10 | 2022-08-10 |
| Number of Days Pending | 28 | 28 | 40 | 44 | 85 | 86 | 107 | 116 | 160 | 285 |
| OIG | Date of Receipt | 2023-09-28 | 2023-09-22 | 2023-09-20 | 2023-09-20 | 2023-09-20 | 2023-09-20 | 2023-09-20 | 2023-09-20 | 2023-08-03 | 2023-06-27 |
| Number of Days Pending | 1 | 1 | 7 | 7 | 7 | 7 | 7 | 7 | 40 | 66 |
| AGENCY OVERALL | Date of Receipt | 2017-12-21 | 2017-11-08 | 2017-11-08 | 2017-10-19 | 2017-10-17 | 2017-10-13 | 2017-10-02 | 2017-08-23 | 2017-04-03 | 2016-02-16 |
| Number of Days Pending | 1447 | 1476 | 1476 | 1490 | 1492 | 1494 | 1502 | 1529 | 1629 | 1913 |

|  |
| --- |
|  |

**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| HQ | 0 | 17 | 36 | 74 | 8 |
| R01 | 1 | 1 | 20.5 | 20.5 | 1 |
| R02 | 3 | 3 | 24 | 19.67 | 2 |
| R03 | 3 | 4 | 17 | 47 | 1 |
| R04 | 14 | 5 | 49 | 54.79 | 3 |
| R05 | 10 | 6 | 1.5 | 3.56 | 14 |
| R06 | 1 | 7 | 21.5 | 25.5 | 2 |
| R07 | 0 | 0 | N/A | N/A | 0 |
| R08 | 0 | 0 | N/A | N/A | 0 |
| R09 | 0 | 8 | 24.5 | 27.62 | 2 |
| R0X | 0 | 0 | N/A | N/A | 0 |
| OIG | 0 | 1 | N/A | N/A | 1 |
| AGENCY OVERALL | 32 | 52 | 21.00 | 38.92 | 34 |

|  |
| --- |
|  |

**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| HQ | 1 | 13 | 34.5 | 146.29 |
| R01 | 3 | 1 | 7 | 10.75 |
| R02 | 4 | 1 | 21 | 19.6 |
| R03 | 10 | 3 | 49 | 50.31 |
| R04 | 9 | 4 | 27 | 26.62 |
| R05 | 15 | 0 | 18 | 17 |
| R06 | 6 | 5 | 19 | 17.73 |
| R07 | 0 | 0 | N/A | N/A |
| R08 | 0 | 0 | N/A | N/A |
| R09 | 0 | 0 | N/A | N/A |
| R0X | 0 | 0 | N/A | N/A |
| OIG | 2 | 0 | N/A | N/A |
| AGENCY OVERALL | 50 | 27 | 20.00 | 47.26 |

|  |  |
| --- | --- |
|  | |
| **IX. FOIA Personnel and Costs** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| HQ | 14 | 28.89 | 42.89 | 2603216.40 | 1269.00 | 2604485.40 |
| R01 | 0 | 1.25 | 1.25 | 135200.00 | 0.00 | 135200.00 |
| R02 | 0 | 1.30 | 1.30 | 149949.17 | 0.00 | 149949.17 |
| R03 | 0 | 1.75 | 1.75 | 227550.00 | 0.00 | 227550.00 |
| R04 | 0 | 8.48 | 8.48 | 966190.00 | 0.00 | 966190.00 |
| R05 | 0 | 5.70 | 5.70 | 627694.00 | 0.00 | 627694.00 |
| R06 | 0 | 1.10 | 1.10 | 7859.00 | 0.00 | 7859.00 |
| R07 | 0 | 17.08 | 17.08 | 573316.00 | 0.00 | 573316.00 |
| R08 | 0 | 3.27 | 3.27 | 443774.00 | 0.00 | 443774.00 |
| R09 | 0 | 2.20 | 2.20 | 301531.00 | 0.00 | 301531.00 |
| R0X | 0 | 2.64 | 2.64 | 377111.00 | 0.00 | 377111.00 |
| OIG | 0 | 1.75 | 1.75 | 201460.00 | 0.00 | 201460.00 |
| AGENCY OVERALL | 14 | 75.41 | 89.41 | 6614850.57 | 1269.00 | 6616119.57 |

|  |
| --- |
|  |

|  |
| --- |
| **X. Fees Collected for Processing Requests** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| HQ | 572.00 | 0.0200 |
| R01 | 208.00 | 0.1500 |
| R02 | 1092.00 | 0.7300 |
| R03 | 104.00 | 0.0500 |
| R04 | 12190.00 | 1.2600 |
| R05 | 2099.27 | 0.3300 |
| R06 | 52.00 | 0.6600 |
| R07 | 221.00 | 0.0400 |
| R08 | 0.00 | 0.0000 |
| R09 | 3677.83 | 1.2200 |
| R0X | 0.00 | 0.0000 |
| OIG | 0.00 | 0.0000 |
| AGENCY OVERALL | 20216.10 | 0.3100 |

|  |
| --- |
|  |

|  |
| --- |
| **XI.A. Number of Times Subsection (C) Used** |

|  |  |
| --- | --- |
| Agency / Component | Number of Times Subsection Used |
| HQ | 0 |
| R01 | 0 |
| R02 | 0 |
| R03 | 0 |
| R04 | 0 |
| R05 | 0 |
| R06 | 0 |
| R07 | 0 |
| R08 | 0 |
| R09 | 0 |
| R0X | 0 |
| OIG | 0 |
| AGENCY OVERALL | 0 |

|  |
| --- |
|  |

|  |
| --- |
| **XI.B. Number of Subsection (A)(2) Postings** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
| HQ | 14960 | 6902 |
| R01 | 18269 | 0 |
| R02 | 490 | 0 |
| R03 | 8522 | 0 |
| R04 | 802 | 0 |
| R05 | 198 | 0 |
| R06 | 79 | 0 |
| R07 | 0 | 0 |
| R08 | 1 | 0 |
| R09 | 8173 | 0 |
| R0X | 0 | 0 |
| OIG | 184 | 0 |
| AGENCY OVERALL | 51678 | 6902 |

|  |
| --- |
|  |

**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| HQ | 486 | 0 |
| R01 | 1 | 0 |
| R02 | 3 | 0 |
| R03 | 25 | 0 |
| R04 | 8 | 0 |
| R05 | 5 | 0 |
| R06 | 9 | 0 |
| R07 | 4 | 0 |
| R08 | 1 | 0 |
| R09 | 1 | 0 |
| R0X | 10 | 0 |
| OIG | 2 | 0 |
| AGENCY OVERALL | 555 | 0 |

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| HQ | 0 | 31 | 28 | 3 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 |
| R0X | 0 | 2 | 2 | 0 |
| OIG | 1 | 16 | 17 | 0 |
| AGENCY OVERALL | 1 | 49 | 47 | 3 |

|  |
| --- |
|  |

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| HQ | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2023-09-25 | 2023-08-31 | 2023-08-02 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 20 | 41 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2023-09-25 | 2023-08-31 | 2023-08-02 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 20 | 41 |

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 674 | 676 | 889 | 735 |
| R01 | 82 | 84 | 74 | 83 |
| R02 | 93 | 96 | 97 | 96 |
| R03 | 104 | 121 | 100 | 105 |
| R04 | 214 | 199 | 204 | 218 |
| R05 | 154 | 203 | 169 | 192 |
| R06 | 117 | 148 | 116 | 136 |
| R07 | 35 | 58 | 33 | 58 |
| R08 | 51 | 45 | 48 | 51 |
| R09 | 130 | 134 | 126 | 134 |
| R0X | 53 | 61 | 56 | 47 |
| OIG | 112 | 84 | 119 | 77 |
| AGENCY OVERALL | 1819 | 1909 | 2031 | 1932 |

|  |
| --- |
|  |

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| HQ | 589 | 486 |
| R01 | 0 | 1 |
| R02 | 0 | 3 |
| R03 | 12 | 25 |
| R04 | 3 | 8 |
| R05 | 0 | 5 |
| R06 | 7 | 9 |
| R07 | 4 | 4 |
| R08 | 3 | 1 |
| R09 | 6 | 1 |
| R0X | 2 | 10 |
| OIG | 0 | 2 |
| AGENCY OVERALL | 626 | 555 |

|  |
| --- |
|  |

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 55 | 46 | 49 | 49 |
| R01 | 0 | 1 | 0 | 1 |
| R02 | 0 | 2 | 0 | 2 |
| R03 | 0 | 1 | 0 | 1 |
| R04 | 0 | 1 | 0 | 1 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 1 | 0 | 1 | 0 |
| R08 | 0 | 1 | 0 | 1 |
| R09 | 0 | 2 | 0 | 2 |
| R0X | 0 | 1 | 0 | 1 |
| OIG | 2 | 0 | 3 | 0 |
| AGENCY OVERALL | 58 | 55 | 53 | 58 |

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| HQ | 8 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 0 | 0 |
| OIG | 0 | 0 |
| AGENCY OVERALL | 8 | 0 |