3. Agency Component Abbreviations

|  |  |
| --- | --- |
| Component Abbreviation | Component Name |
| Agency | Housing and Urban Development |
| HQ | Headquarters |
| OIG | Office of the Inspector General |
| R01 | Region I (Boston, Hartford, Manchester, Providence) |
| R02 | Region II (New York, Albany, Buffalo, Newark) |
| R03 | Region III (Philadelphia, Baltimore, Pittsburgh, Richmond, Washington, D.C., Charleston, Wilmington) |
| R04 | Region IV (Atlanta, Birmingham, Caribbean, Columbia, Greensboro, Jackson, Jacksonville, Knoxville, Louisville, Nashville, Memphis, Miami, Orlando, Tampa) |
| R05 | Region V (Chicago, Columbus, Cleveland, Detroit, Indianapolis, Milwaukee, Minneapolis-St. Paul) |
| R06 | Region VI (Texas, Arkansas, Louisiana, New Mexico and Oklahoma) |
| R07 | Region VII (Kansas City, Des Moines, Omaha, St. Louis) |
| R08 | Region VIII (Denver) |
| R09 | Region IX (San Francisco, Honolulu, Los Angeles, Phoenix, Sacramento) |
| R0X | Region X (Seattle, Anchorage, Portland) |

IV. Exemption 3 Statutes

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 42 U.S.C. § 3610(d) | Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations. | West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007). | HQ | 1 | 13 |
| R05 | 2 |
| R09 | 6 |
| R0X | 4 |
| 41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1)) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at \*13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at \*6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | R03 | 1 | 2 |
| R04 | 1 |
| 42 USC § 3537a | Covered information during the grant selection process | N/A | R06 | 1 | 6 |
| R09 | 3 |
| R0X | 2 |
| Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, §2, 91 Stat. 319 | Certain records that would reveal some secret aspect pertaining to grand jury proceedings | Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Sorin v. DOJ, No. 18-99, 2018 WL 6431027 (2d Cir. Dec. 6, 2018) (per curium); Widi v. McNeil, No. 12-CV-00188, 2016 WL 4394724, at \*23 (D. Me. Aug 16, 2016); Durham v. U.S. Att'y Gen., No. 06-843, 2008 WL 620744, at \*2 (E.D. Tex. Mar. 3, 2008); Cozen O’Connor v. U.S. Dep’t of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008). | OIG | 1 | 1 |



**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| HQ | 989 | 705 | 500 | 1194 |
| R01 | 5 | 74 | 73 | 6 |
| R02 | 12 | 125 | 130 | 7 |
| R03 | 29 | 155 | 184 | 0 |
| R04 | 33 | 192 | 190 | 35 |
| R05 | 31 | 258 | 225 | 64 |
| R06 | 13 | 151 | 149 | 15 |
| R07 | 9 | 54 | 56 | 7 |
| R08 | 6 | 62 | 61 | 7 |
| R09 | 12 | 120 | 118 | 14 |
| R0X | 21 | 78 | 92 | 7 |
| OIG | 17 | 64 | 56 | 25 |
| AGENCY OVERALL | 1177 | 2038 | 1834 | 1381 |

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| *After reviewing their databases, HQ and R05 adjusted the number of requests pending as of the start of Fiscal Year 2020.* |

**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| HQ | 64 | 62 | 2 | 81 | 2 | 162 | 1 | 0 | 5 | 3 | 38 | 80 | 500 |
| R01 | 33 | 3 | 0 | 11 | 4 | 1 | 0 | 3 | 1 | 1 | 0 | 16 | 73 |
| R02 | 34 | 7 | 1 | 33 | 0 | 3 | 5 | 4 | 1 | 1 | 15 | 26 | 130 |
| R03 | 30 | 35 | 13 | 13 | 0 | 20 | 4 | 0 | 5 | 1 | 7 | 56 | 184 |
| R04 | 45 | 36 | 33 | 20 | 1 | 13 | 2 | 1 | 7 | 3 | 4 | 25 | 190 |
| R05 | 82 | 55 | 2 | 35 | 1 | 8 | 5 | 6 | 5 | 0 | 3 | 23 | 225 |
| R06 | 35 | 41 | 2 | 22 | 1 | 7 | 5 | 2 | 1 | 0 | 7 | 26 | 149 |
| R07 | 5 | 9 | 3 | 14 | 0 | 5 | 3 | 0 | 1 | 1 | 1 | 14 | 56 |
| R08 | 23 | 6 | 0 | 14 | 0 | 5 | 0 | 0 | 1 | 0 | 2 | 10 | 61 |
| R09 | 21 | 27 | 25 | 10 | 0 | 14 | 1 | 2 | 0 | 0 | 3 | 15 | 118 |
| R0X | 13 | 46 | 15 | 5 | 0 | 2 | 0 | 0 | 0 | 0 | 4 | 7 | 92 |
| OIG | 9 | 30 | 7 | 5 | 2 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 56 |
| AGENCY OVERALL | 394 | 357 | 103 | 263 | 11 | 243 | 26 | 18 | 27 | 10 | 84 | 298 | 1834 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | Litigation | 7 | 80 |
| Misdirected Request | 73 |
| R01 | Misdirected Request | 16 | 16 |
| R02 | Misdirected Request | 26 | 26 |
| R03 | Misdirected Request | 56 | 56 |
| R04 | Misdirected Request | 25 | 25 |
| R05 | Misdirected Request | 23 | 23 |
| R06 | Misdirected Request | 26 | 26 |
| R07 | Misdirected Request | 14 | 14 |
| R08 | Misdirected Request | 10 | 10 |
| R09 | Misdirected Request | 15 | 15 |
| R0X | Misdirected Request | 7 | 7 |
| OIG | N/A | 0 | 0 |
| AGENCY OVERALL |  |  | 298 |

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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 1 | 5 | 3 | 46 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 1 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 1 | 10 | 0 | 27 | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| R04 | 0 | 0 | 1 | 0 | 0 | 24 | 7 | 0 | 7 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 2 | 10 | 1 | 53 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 1 | 10 | 4 | 31 | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 1 | 2 | 8 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 9 | 2 | 2 | 25 | 3 | 0 | 18 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 5 | 7 | 3 | 43 | 3 | 0 | 9 | 0 | 3 | 0 | 0 | 0 |
| OIG | 0 | 0 | 1 | 0 | 18 | 16 | 4 | 0 | 25 | 1 | 1 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 21 | 46 | 34 | 284 | 24 | 0 | 62 | 2 | 5 | 0 | 0 | 1 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| OIG | 0 | 2 | 2 | 0 |
| HQ | 1 | 36 | 31 | 6 |
| R02 | 0 | 7 | 6 | 1 |
| R03 | 0 | 3 | 3 | 0 |
| R01 | 0 | 1 | 1 | 0 |
| R04 | 0 | 1 | 1 | 0 |
| R05 | 0 | 3 | 3 | 0 |
| R06 | 0 | 4 | 4 | 0 |
| R07 | 0 | 3 | 3 | 0 |
| R08 | 0 | 2 | 2 | 0 |
| R09 | 0 | 5 | 5 | 0 |
| R0X | 0 | 4 | 4 | 0 |
| AGENCY OVERALL | 1 | 71 | 65 | 7 |

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| --- |
| *After reviewing its database, HQ and R04 updated the number of appeals pending as of the start of Fiscal Year 2020.* |

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| HQ | 4 | 1 | 7 | 19 | 31 |
| OIG | 2 | 0 | 0 | 0 | 2 |
| R01 | 0 | 0 | 0 | 1 | 1 |
| R02 | 3 | 0 | 0 | 3 | 6 |
| R03 | 1 | 0 | 0 | 2 | 3 |
| R04 | 0 | 0 | 0 | 1 | 1 |
| R05 | 0 | 0 | 0 | 3 | 3 |
| R06 | 0 | 0 | 1 | 3 | 4 |
| R07 | 0 | 0 | 0 | 3 | 3 |
| R08 | 0 | 0 | 0 | 2 | 2 |
| R09 | 1 | 1 | 0 | 3 | 5 |
| R0X | 1 | 0 | 2 | 1 | 4 |
| AGENCY OVERALL | 12 | 2 | 10 | 41 | 65 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| HQ | 0 | 0 | 0 | 1 | 1 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 2 | 1 | 4 | 10 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| HQ | 16 | 0 | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 2 | 3 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 1 |
| R07 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| R08 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 32 | 0 | 0 | 2 | 1 | 6 | 0 | 1 | 1 | 2 | 4 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| HQ | Untimely | 3 | 3 |
| OIG | N/A | 0 | 0 |
| R01 | N/A | 0 | 0 |
| R02 | N/A | 0 | 0 |
| R03 | N/A | 0 | 0 |
| R04 | N/A | 0 | 0 |
| R05 | N/A | 0 | 0 |
| R06 | Agency Performed Adequate Search | 1 | 1 |
| R07 | N/A | 0 | 0 |
| R08 | N/A | 0 | 0 |
| R09 | N/A | 0 | 0 |
| R0X | N/A | 0 | 0 |
| AGENCY OVERALL |  |  | 4 |

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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 18 | 17.23 | 3 | 20 |
| OIG | 20 | 20 | 6 | 20 |
| R01 | 19 | 19 | 19 | 19 |
| R02 | 17 | 14.4 | 3 | 20 |
| R03 | 20 | 20 | 20 | 20 |
| R04 | 20 | 20 | 20 | 20 |
| R05 | 20 | 19.6 | 19 | 20 |
| R06 | 13 | 13.3 | 9 | 19 |
| R07 | 19 | 19 | 19 | 19 |
| R08 | 19 | 19 | 19 | 19 |
| R09 | 19 | 19 | 19 | 19 |
| R0X | 20 | 19 | 16 | 20 |
| AGENCY OVERALL | 19 | 18.29 | 3 | 20 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
| HQ | Date of Appeal | N/A | N/A | N/A | N/A | 2020-09-08 | 2020-09-08 | 2020-09-08 | 2020-09-08 | 2020-09-08 | 2020-09-02 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 16 | 16 | 16 | 16 | 16 | 18 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2020-09-28 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R03 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | N/A | N/A | N/A | 2020-09-28 | 2020-09-08 | 2020-09-08 | 2020-09-08 | 2020-09-08 | 2020-09-08 | 2020-09-02 |
| Number of Days Pending | 0 | 0 | 0 | 2 | 16 | 16 | 16 | 16 | 16 | 18 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 81 | 183.99 | <1 | 1036 | 321.5 | 325.63 | <1 | 1517 | 89 | 89 | 89 | 89 |
| OIG | 11 | 13.98 | <1 | 65 | 18 | 16 | <1 | 80 | N/A | N/A | N/A | N/A |
| R01 | 5 | 7.14 | <1 | 26 | 55 | 48.33 | 25 | 65 | 11 | 11 | 11 | 11 |
| R02 | 8 | 17.83 | <1 | 129 | 18.5 | 20 | 1 | 51 | 5.5 | 5.25 | 2 | 8 |
| R03 | 6 | 20.2 | <1 | 289 | 33 | 52.8 | 8 | 162 | N/A | N/A | N/A | N/A |
| R04 | 19 | 24.95 | <1 | 101 | 38 | 33.48 | 3 | 57 | 14 | 11.75 | 3 | 16 |
| R05 | 10 | 14.81 | <1 | 270 | 11 | 36.4 | <1 | 489 | 6 | 6 | 3 | 9 |
| R06 | 13 | 15.16 | <1 | 60 | 42 | 42.3 | <1 | 77 | 8 | 8 | 8 | 8 |
| R07 | 7 | 9.47 | <1 | 43 | 16 | 16.38 | 6 | 29 | N/A | N/A | N/A | N/A |
| R08 | 8 | 18.52 | <1 | 151 | 28 | 38.2 | <1 | 106 | 10 | 10 | 10 | 10 |
| R09 | 10 | 11.4 | <1 | 61 | 8 | 10.4 | 1 | 28 | N/A | N/A | N/A | N/A |
| R0X | 19 | 25.58 | 1 | 102 | 29 | 126.09 | 4 | 541 | 6 | 6 | 6 | 6 |
| AGENCY OVERALL | 13.00 | 52.87 | <1 | 1036 | 52.50 | 199.45 | <1 | 1517 | 8.00 | 13.60 | 2 | 89 |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| HQ | 112.5 | 186.76 | 1 | 698 | 428 | 414.91 | 25 | 1517 | N/A | N/A | N/A | N/A |
| OIG | 8.5 | 13.86 | <1 | 65 | 18 | 18.09 | <1 | 80 | N/A | N/A | N/A | N/A |
| R01 | 9 | 9.97 | 1 | 26 | 55 | 48.33 | 25 | 65 | 11 | 11 | 11 | 11 |
| R02 | 22.5 | 29.67 | 2 | 129 | 20 | 22 | 5 | 51 | 5.5 | 5.5 | 5 | 6 |
| R03 | 14 | 31.92 | 3 | 289 | 30.5 | 57.75 | 8 | 162 | N/A | N/A | N/A | N/A |
| R04 | 22 | 31.73 | <1 | 101 | 31 | 30.5 | 3 | 57 | 14.5 | 14.5 | 13 | 16 |
| R05 | 11 | 16.33 | <1 | 175 | 21 | 59.5 | 1 | 489 | 9 | 9 | 9 | 9 |
| R06 | 18 | 21.49 | 1 | 60 | 45.5 | 48.38 | 15 | 77 | 8 | 8 | 8 | 8 |
| R07 | 15.5 | 18.5 | <1 | 43 | 16 | 16.75 | 6 | 29 | N/A | N/A | N/A | N/A |
| R08 | 10 | 15.16 | 2 | 79 | 34 | 47.75 | 17 | 106 | N/A | N/A | N/A | N/A |
| R09 | 16 | 17.06 | <1 | 61 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| R0X | 20 | 31.04 | 5 | 102 | 32 | 138.3 | 19 | 541 | 6 | 6 | 6 | 6 |
| AGENCY OVERALL | 18.00 | 41.63 | <1 | 698 | 57.00 | 20.374 | <1 | 1517 | 8.50 | 9.25 | 5 | 16 |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 85 | 31 | 23 | 25 | 21 | 9 | 10 | 11 | 3 | 4 | 29 | 23 | 56 | 330 |
| OIG | 30 | 8 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 |
| R01 | 64 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 65 |
| R02 | 80 | 16 | 5 | 2 | 1 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 107 |
| R03 | 136 | 13 | 8 | 6 | 4 | 0 | 2 | 2 | 0 | 0 | 3 | 0 | 0 | 174 |
| R04 | 87 | 31 | 24 | 9 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 155 |
| R05 | 158 | 23 | 2 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 187 |
| R06 | 103 | 27 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 135 |
| R07 | 41 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 47 |
| R08 | 43 | 4 | 1 | 3 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 54 |
| R09 | 96 | 12 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 111 |
| R0X | 49 | 18 | 6 | 2 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 80 |
| AGENCY OVERALL | 972 | 189 | 78 | 49 | 34 | 15 | 14 | 14 | 4 | 4 | 33 | 23 | 56 | 1485 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 24 | 9 | 3 | 5 | 4 | 1 | 4 | 1 | 3 | 9 | 13 | 18 | 70 | 164 |
| OIG | 10 | 5 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| R01 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| R02 | 8 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 |
| R03 | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 5 |
| R04 | 3 | 16 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 23 |
| R05 | 17 | 4 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 25 |
| R06 | 2 | 3 | 2 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| R07 | 6 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| R08 | 2 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| R09 | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| R0X | 3 | 4 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 11 |
| AGENCY OVERALL | 80 | 54 | 14 | 10 | 6 | 3 | 4 | 1 | 4 | 9 | 13 | 18 | 73 | 289 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| HQ | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R02 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| R05 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| R06 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R07 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| R09 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| AGENCY OVERALL | 14 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| HQ | 554 | 272 | 307 | 610 | 394.5 | 401 | 1 | 484 | 484 |
| OIG | 6 | 33.5 | 39 | 4 | 228 | 201 | 0 | 0 | 0 |
| R01 | 2 | 12 | 12 | 2 | 4 | 4 | 0 | 0 | 0 |
| R02 | 6 | 3.5 | 8 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | 21 | 12 | 23 | 4 | 37 | 35 | 0 | 0 | 0 |
| R05 | 59 | 5 | 19 | 1 | 20 | 20 | 0 | 0 | 0 |
| R06 | 10 | 20 | 51 | 1 | 592 | 592 | 0 | 0 | 0 |
| R07 | 2 | 175.5 | 175 | 1 | 313 | 313 | 0 | 0 | 0 |
| R08 | 3 | 21 | 65 | 2 | 394 | 394 | 0 | 0 | 0 |
| R09 | 7 | 16 | 15 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | 4 | 7.5 | 17 | 1 | 11 | 11 | 0 | 0 | 0 |
| AGENCY OVERALL | 674 | 201.00 | 253.64 | 626 | 374.00 | 389.50 | 1 | 484.00 | 484.00 |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
| HQ | Date of Receipt | 2016-09-01 | 2016-08-30 | 2016-05-24 | 2016-05-11 | 2016-05-04 | 2016-04-11 | 2016-02-19 | 2016-02-16 | 2016-01-11 | 2015-11-08 |
| Number of Days Pending | 1022 | 1024 | 1092 | 1101 | 1106 | 1123 | 1159 | 1162 | 1186 | 1221 |
| OIG | Date of Receipt | 2020-09-18 | 2020-08-31 | 2020-08-31 | 2020-07-31 | 2020-07-27 | 2020-07-14 | 2020-06-03 | 2019-12-13 | 2019-09-25 | 2019-07-15 |
| Number of Days Pending | 8 | 21 | 21 | 42 | 46 | 55 | 83 | 201 | 255 | 306 |
| R01 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2020-09-24 | 2020-09-24 | 2020-09-24 | 2020-09-01 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 4 | 4 | 20 |
| R02 | Date of Receipt | N/A | N/A | N/A | N/A | 2020-09-30 | 2020-09-28 | 2020-09-25 | 2020-09-24 | 2020-08-28 | 2020-08-28 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 2 | 3 | 4 | 22 | 22 |
| R03 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date of Receipt | 2020-09-02 | 2020-08-31 | 2020-08-27 | 2020-08-07 | 2020-08-07 | 2020-07-15 | 2020-06-29 | 2020-06-10 | 2020-05-27 | 2020-05-27 |
| Number of Days Pending | 19 | 21 | 23 | 37 | 37 | 54 | 65 | 78 | 88 | 88 |
| R05 | Date of Receipt | 2020-09-08 | 2020-09-01 | 2020-09-01 | 2020-09-01 | 2020-08-20 | 2020-07-27 | 2020-07-20 | 2020-03-18 | 2020-01-23 | 2019-04-30 |
| Number of Days Pending | 16 | 20 | 20 | 20 | 28 | 46 | 51 | 137 | 175 | 358 |
| R06 | Date of Receipt | 2020-09-18 | 2020-09-18 | 2020-09-17 | 2020-09-02 | 2020-08-31 | 2020-08-31 | 2020-07-08 | 2020-02-10 | 2019-12-24 | 2018-05-22 |
| Number of Days Pending | 8 | 8 | 9 | 19 | 21 | 21 | 59 | 163 | 194 | 593 |
| R07 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2020-08-06 | 2019-07-03 | 2019-07-03 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 313 | 313 |
| R08 | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2020-09-24 | 2020-08-31 | 2020-01-28 | 2019-03-19 | 2019-03-01 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 4 | 21 | 172 | 388 | 400 |
| R09 | Date of Receipt | N/A | N/A | N/A | 2020-09-21 | 2020-09-15 | 2020-09-09 | 2020-09-08 | 2020-09-08 | 2020-09-03 | 2020-08-21 |
| Number of Days Pending | 0 | 0 | 0 | 7 | 11 | 15 | 16 | 16 | 18 | 27 |
| R0X | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2020-09-24 | 2020-09-21 | 2020-09-18 | 2020-09-15 | 2020-07-17 |
| Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 4 | 7 | 8 | 11 | 52 |
| AGENCY OVERALL | Date of Receipt | 2016-09-01 | 2016-08-30 | 2016-05-24 | 2016-05-11 | 2016-05-04 | 2016-04-11 | 2016-02-19 | 2016-02-16 | 2016-01-11 | 2015-11-18 |
| Number of Days Pending | 1022 | 1024 | 1092 | 1101 | 1106 | 1123 | 1159 | 1162 | 1186 | 1221 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
| HQ | 1 | 7 | 1 | 1.75 | 8 |
| OIG | 0 | 3 | <1 | 2 | 3 |
| R01 | 1 | 0 | 2 | 2 | 1 |
| R02 | 6 | 8 | 3 | 4.36 | 13 |
| R03 | 0 | 0 | N/A | N/A | N/A |
| R04 | 5 | 8 | 21 | 32.15 | 5 |
| R05 | 11 | 2 | 11 | 15 | 6 |
| R06 | 1 | 0 | 12 | 12 | 0 |
| R07 | 0 | 1 | <1 | <1 | 1 |
| R08 | 1 | 1 | 7 | 7 | 1 |
| R09 | 0 | 6 | <1 | 6.33 | 5 |
| R0X | 1 | 0 | <1 | <1 | 1 |
| AGENCY OVERALL | 27 | 36 | 5.00 | 12.06 | 44 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
| HQ | 0 | 0 | N/A | N/A |
| OIG | 0 | 5 | <1 | 0.2 |
| R01 | 3 | 0 | 4 | 8.33 |
| R02 | 2 | 0 | 26.5 | 26.5 |
| R03 | 8 | 0 | 15.5 | 38.12 |
| R04 | 8 | 0 | 18 | 19.75 |
| R05 | 41 | 0 | 10 | 15.07 |
| R06 | 7 | 0 | 18 | 18 |
| R07 | 0 | 0 | N/A | N/A |
| R08 | 1 | 0 | 4 | 4 |
| R09 | 6 | 0 | <1 | 464.17 |
| R0X | 0 | 0 | N/A | N/A |
| AGENCY OVERALL | 76 | 5 | 10.00 | 50.31 |

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| **IX. FOIA Personnel and Costs** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| HQ | 11 | 19.00 | 30.00 | 13971.82 | 0.00 | 13971.82 |
| OIG | 0 | 0.75 | 0.75 | 143000.00 | 0.00 | 143000.00 |
| R01 | 4 | 0.00 | 4.00 | 1678.70 | 0.00 | 1678.70 |
| R02 | 8 | 0.00 | 8.00 | 1192.60 | 0.00 | 1192.60 |
| R03 | 27 | 0.00 | 27.00 | 14439.40 | 0.00 | 14439.40 |
| R04 | 35 | 0.00 | 35.00 | 4938.00 | 0.00 | 4938.00 |
| R05 | 30 | 0.00 | 30.00 | 25792.68 | 0.00 | 25792.68 |
| R06 | 27 | 0.00 | 27.00 | 11524.00 | 0.00 | 11524.00 |
| R07 | 16 | 0.00 | 16.00 | 2561.90 | 0.00 | 2561.90 |
| R08 | 10 | 0.00 | 10.00 | 6612.00 | 0.00 | 6612.00 |
| R09 | 15 | 0.00 | 15.00 | 1872.00 | 0.00 | 1872.00 |
| R0X | 16 | 0.00 | 16.00 | 2160.20 | 0.00 | 2160.20 |
| AGENCY OVERALL | 199 | 19.75 | 218.75 | 229743.30 | 0.00 | 229743.30 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| HQ | 12475.33 | 89.2900 |
| OIG | 0.00 | 0.0000 |
| R01 | 159.60 | 9.5100 |
| R02 | 478.00 | 40.0800 |
| R03 | 1115.70 | 7.7300 |
| R04 | 3556.00 | 72.0100 |
| R05 | 751.33 | 2.9100 |
| R06 | 384.00 | 3.3300 |
| R07 | 2561.90 | 100.0000 |
| R08 | 0.00 | 0.0000 |
| R09 | 364.00 | 19.4400 |
| R0X | 364.00 | 16.8500 |
| AGENCY OVERALL | 22209.86 | 9.6700 |

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| **XI.A. Number of Times Subsection (C) Used** |

|  |  |
| --- | --- |
| Agency / Component | Number of Times Subsection Used |
| HQ | 0 |
| OIG | 0 |
| R01 | 0 |
| R02 | 0 |
| R03 | 0 |
| R04 | 0 |
| R05 | 0 |
| R06 | 0 |
| R07 | 0 |
| R08 | 0 |
| R09 | 0 |
| R0X | 0 |
| AGENCY OVERALL | 0 |

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| **XI.B. Number of Subsection (A)(2) Postings** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
| HQ | 4 | 4 |
| OIG | 0 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 4 | 4 |

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**XII.A. Backlogs of FOIA Requests and Administrative Appeals**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| HQ | 1100 | 0 |
| OIG | 7 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 4 | 0 |
| R05 | 5 | 0 |
| R06 | 4 | 0 |
| R07 | 3 | 0 |
| R08 | 4 | 0 |
| R09 | 0 | 0 |
| R0X | 1 | 0 |
| AGENCY OVERALL | 1128 | 0 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| HQ | 1 | 18 | 17 | 2 |
| OIG | 0 | 0 | 0 | 0 |
| R01 | 0 | 0 | 0 | 0 |
| R02 | 0 | 0 | 0 | 0 |
| R03 | 0 | 0 | 0 | 0 |
| R04 | 0 | 0 | 0 | 0 |
| R05 | 0 | 0 | 0 | 0 |
| R06 | 0 | 0 | 0 | 0 |
| R07 | 0 | 0 | 0 | 0 |
| R08 | 0 | 0 | 0 | 0 |
| R09 | 0 | 0 | 0 | 0 |
| R0X | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 1 | 18 | 17 | 2 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
| HQ | Date | N/A | N/A | 0 | N/A | N/A | N/A | N/A | N/A | 2020-09-23 | 2020-08-19 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 29 |
| OIG | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R01 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R02 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R03 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R04 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R05 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R06 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R07 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R08 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R09 | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| R0X | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2020-09-23 | 2020-08-19 |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 29 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 765 | 705 | 714 | 500 |
| OIG | 95 | 64 | 85 | 56 |
| R01 | 99 | 74 | 101 | 73 |
| R02 | 149 | 125 | 147 | 130 |
| R03 | 144 | 155 | 121 | 184 |
| R04 | 211 | 192 | 195 | 190 |
| R05 | 217 | 258 | 196 | 225 |
| R06 | 121 | 151 | 120 | 149 |
| R07 | 64 | 54 | 59 | 56 |
| R08 | 42 | 62 | 44 | 61 |
| R09 | 135 | 120 | 145 | 118 |
| R0X | 98 | 78 | 88 | 92 |
| AGENCY OVERALL | 2140 | 2038 | 2015 | 1834 |

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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| HQ | 897 | 1100 |
| OIG | 0 | 7 |
| R01 | 5 | 0 |
| R02 | 5 | 0 |
| R03 | 7 | 0 |
| R04 | 9 | 4 |
| R05 | 2 | 5 |
| R06 | 1 | 4 |
| R07 | 0 | 3 |
| R08 | 2 | 4 |
| R09 | 1 | 0 |
| R0X | 8 | 1 |
| AGENCY OVERALL | 937 | 1128 |

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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

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| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| HQ | 42 | 36 | 42 | 31 |
| OIG | 0 | 2 | 0 | 2 |
| R01 | 0 | 1 | 0 | 1 |
| R02 | 3 | 7 | 3 | 6 |
| R03 | 0 | 3 | 0 | 3 |
| R04 | 4 | 1 | 4 | 1 |
| R05 | 0 | 3 | 0 | 3 |
| R06 | 0 | 4 | 0 | 4 |
| R07 | 4 | 3 | 4 | 3 |
| R08 | 0 | 2 | 0 | 2 |
| R09 | 2 | 5 | 2 | 5 |
| R0X | 0 | 4 | 0 | 4 |
| AGENCY OVERALL | 55 | 71 | 55 | 65 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

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| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| HQ | 0 | 0 |
| OIG | 0 | 0 |
| R01 | 0 | 0 |
| R02 | 0 | 0 |
| R03 | 0 | 0 |
| R04 | 0 | 0 |
| R05 | 0 | 0 |
| R06 | 0 | 0 |
| R07 | 0 | 0 |
| R08 | 0 | 0 |
| R09 | 0 | 0 |
| R0X | 0 | 0 |
| AGENCY OVERALL | 0 | 0 |

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