CHAPTER 1 INTRODUCTION

1-1	PURPOSE	. 1-1
1-2	OBJECTIVES.	. 1-1
1-3	APPLICABILITY	. 1-1
1-4	AUDIENCE	. 1-3
1-5	APPROACH TO MONITORING.	1-3
1-6	DEFINITIONS	. 1-3
1-7	HANDBOOK ORGANIZATION	.1-5

CHAPTER 2 MANAGEMENT OF MONITORING ACTIVITIES

2-1	APPLICABILITY	
2-2	THE MONITORING FRAMEWORK.	2-1
2-3	USE OF RISK ANALYSIS	2-1
2-4	THE MANAGEMENT PLAN AND FIELD OFFICE WORK PLANS.	
2-5	PREPARING FOR MONITORING	
2-6	COORDINATION	
	CONDUCTING THE MONITORING.	
2-8	MONITORING CONCLUSIONS.	
	SANCTIONS	
2-10	THE MONITORING LETTER	
2-11	REQUIRED CONCURRENCES	
2-12	CLOSING FINDINGS.	
2-13	BUILDING AN ADMINISTRATIVE RECORD.	
2-14	DOCUMENTATION	

EXHIBIT 2-1 CPD Monitoring Summary Form

CHAPTER 3 COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT, SMALL CITIES, NON-ENTITLEMENT CDBG GRANTS IN HAWAII, AND INSULAR AREAS PROGRAMS

3-1	APPLICABILITY	3-1
3-2	PURPOSES	3-2
3-3	ELIGIBILITY AND NATIONAL OBJECTIVE COMPLIANCE CRITERIA	3-2
3-4	DOCUMENTATION	3-3
3-5	FILE SELECTION AND SAMPLING	3-3
3-6	USING THE MONITORING EXHIBITS	3-3
F VI		

EXHIBIT 3-1	Guide for Review of Eligibility
EXHIBIT 3-2	Guide for Review of National Objective of Low- and Moderate-
	Income Area Benefit

EXHIBIT 2-2 Program and Technical Area Sanction References for Noncompliance Remedies

EXHIBIT 3-3 Guide for Review of National Objective of Low- and Moderate Income Limited Clientele **EXHIBIT 3-4** Guide for Review of National Objective of Low- and Moderate-Income Housing **EXHIBIT 3-5** Guide for Review of National Objective of Low- and Moderate-Income Job Creation/Retention Activities Guide for Review of National Objective of Area Slums or Blight EXHIBIT 3-6 Guide for Review of National Objective of Spot Slums or Blight EXHIBIT 3-7 **EXHIBIT 3-8** Guide for Review of National Objective of Slums or Blight for an Activity In An Urban Renewal Plan EXHIBIT 3-9 Guide for Review of National Objective for Urgent Needs Guide for Review of CDBG-Funded Rehabilitation Program EXHIBIT 3-10 Management Guide for Review of Individual CDBG-Funded Rehabilitation EXHIBIT 3-11 Activities EXHIBIT 3-12 Guide for Review of Individual Economic Development Activities EXHIBIT 3-13 Guide for Review of Economic Development Public Benefit Individual and Aggregate Standards Guide for Review of CDBG Float-Funded Activities EXHIBIT 3-14 EXHIBIT 3-15 Guide for Review of a HUD-Approved Neighborhood Revitalization Strategy Area (NRSA) Guide for Review of Subrecipient/Community-Based Development EXHIBIT 3-16 Organization (CBDO) Management Guide for Review of Overall Management Systems **EXHIBIT 3-17** EXHIBIT 3-18 Guide for Review of Financial Management EXHIBIT 3-19 Guide for Review of Cost Allowability Guide for Review of Procurement EXHIBIT 3-20 Guide for Review of Equipment Management and Equipment EXHIBIT 3-21 Disposition Guide for Review of CDBG-Funded Homeownership Assistance -EXHIBIT 3-22 Program Management & Individual Activities Guide for Review of Compliance with Code Enforcement EXHIBIT 3-23 Requirements EXHIBIT 3-24 Guide for Review of Compliance with the Public Services and Planning and Administration Caps Guide for Review of CDBG-Funded Public Facilities/Improvements EXHIBIT 3-25

CHAPTER 4 STATE COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM

4-1	APPLICABILITY	4-1
4-2	REVIEW OBJECTIVES.	. 4-1
4-3	REVIEW OF STATE VERSUS REVIEW OF LOCAL GOVERNMENTS	. 4-1
4-4	APPLICABLE REQUIREMENTS	. 4-1
4-5	OTHER MONITORING EXHIBITS	. 4-3

Guide for Review of Eligibility and National Objective EXHIBIT 4-1 EXHIBIT 4-2 Guide for Review of Eligibility and National Objectives: Economic **Development Supplement** Guide for Review of the Overall Benefit Test EXHIBIT 4-3 EXHIBIT 4-4 Guide for Review of the Method of Distribution & Local Government **Citizen Participation** EXHIBIT 4-5 Guide for Review of Timely Distribution Guide for State Review of Grant Recipients and Performance EXHIBIT 4-6 Reporting Guide for Review of Program Administration EXHIBIT 4-7 Guide for Review of Closeout System EXHIBIT 4-8 Guide for Review of Colonias Set-Aside EXHIBIT 4-9

CHAPTER 5 SECTION 108 LOAN GUARANTEES, ECONOMIC DEVELOPMENT INITIATIVE (EDI), AND BROWNFIELDS ECONOMIC DEVELOPMENT INITIATIVE (BEDI)

5-1	APPLICABILITY	5-1
5-2	CURRENT STATUS OF EDI AND BEDI PROGRAMS	5-1
5-3	NOTICES OF FUNDING AVAILABILITY (NOFAs)	5-1
5-4	MONITORING MATERIALS.	5-2

EXHIBIT 5-1 Guide for Review of Section 108 Loan Guarantees and BEDI/EDI Grants

CHAPTER 6 DISASTER RECOVERY COMMUNITY DEVELOPMENT BLOCK GRANT SUPPLEMENTAL GRANTS

6-1	APPLICABILITY
6-2	RULES AND NOTICES
6-3	DISASTER RECOVERY REQUIREMENTS
6-4	SANCTIONS
6-5	CLOSE-OUTS

- EXHIBIT 6-1 Guide for Review of Overall Management of CDBG Disaster Recovery Grants
- EXHIBIT 6-2 Guide for Review of Flood Zone and Floodway Buyouts and Non-Buyout Acquisitions
- EXHIBIT 6-3 Guide for Review of New Construction of Housing
- EXHIBIT 6-4 Guide for Review of Infrastructure
- EXHIBIT 6-5 Guide for Review of Economic Development
- EXHIBIT 6-6 Guide for Review of Housing Rehabilitation and Reconstruction

EXHIBIT 6-7	Guide for Review of Written Agreements
EXHIBIT 6-8	Guide for Review of Procurement
EXHIBIT 6-9	(REMOVED)
EXHIBIT 6-10	Addendum Guide for Review of CDBG-DR Pre-2011 Disasters
EXHIBIT 6-11	Addendum Guide for Review of CDBG-DR 2011 – 2013 Disasters
EXHIBIT 6-12	Addendum Guide for Review of CDBG-DR 2015 Disasters
EXHIBIT 6-13	Addendum Guide for Review of CDBG-DR 2016 Disasters
EXHIBIT 6-14	Addendum Guide for Review of CDBG-DR 2017 Disasters
EXHIBIT 6-15	Addendum Guide for Review of CDBG-Mitigation (MIT) Grantees

CHAPTER 7 HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

7-1	APPLICABILITY	7-1
	PREPARING FOR MONITORING	
7-3	PRE-MONITORING CHECKLIST	7-4
7-4	FILE SELECTION AND SAMPLING	7-4

OPTIONAL ATTACHMENTS 7-0 (4) and EXHIBITS 7-1 through 7-23 REMOVED

EXHIBIT 7-24	HOME Pre-Monitoring Checklist
EXHIBIT 7-25	Guide for Review of Program Oversight, Financial Management, and
	Cost Allowability
EXHIBIT 7-26	Guide for Review of Homeowner Rehabilitation Projects and/or
	Policies & Procedures
EXHIBIT 7-27	Guide for Review of Homebuyer Development and/or Rehabilitation
	Projects and/or Policies & Procedures
EXHIBIT 7-28	Guide for Review of Homebuyer Downpayment Assistance Projects
	and/or Policies & Procedures
EXHIBIT 7-29	Guide for Review of Rental Development or Rehabilitation Projects
	and/or Policies & Procedures
EXHIBIT 7-30	Guide for Review of Rental Project Compliance and/or Policies &
	Procedures
EXHIBIT 7-31	Guide for Review of Tenant-Based Rental Assistance (TBRA) Projects
	and/or Policies & Procedures
EXHIBIT 7-32	Guide for Review of Community Housing Development Organization
	(CHDO) Qualifications, Projects, and/or Policies & Procedures
EXHIBIT 7-33	Guide for Review of Match Requirements
EXHIBIT 7-34	Guide for Review of Contractor Written Agreements
EXHIBIT 7-35	Guide for Review of State Recipient Written Agreements and
	Oversight
EXHIBIT 7-36	Guide for Review of Subrecipient Written Agreements and Oversight

CHAPTER 8 ECONOMIC RECOVERY PROGRAMS

8-1	APPLICABILITY	8-1	l
8-2	REVIEW OBJECTIVES	8-1	l

8-3	REMOVED	
8-4	MONITORING THE NEIGHBORHOOD STABILIZATION PROGRAM 1	
	(NSP-1)	8-1
8-5	MONITORING THE NEIGHBORHOOD STABILIZATION PROGRAM 2	
	(NSP-2)	8-4
8-6	MONITORING THE NEIGHBORHOOD STABILIZATION PROGRAM 3	
	(NSP-3)	8-6
8-7	MONITORING THE COMMUNITY DEVELOPMENT BLOCK GRANT	
	RECOVERY PROGRAM (CDBG-R)	8-8

EXHIBITS 8-1 through 8-9 REMOVED

EXHIBIT 8-10	Guide for Review of NSP-1 Program Progress
EXHIBIT 8-11	Guide for Review of NSP-1 National Objective of Benefit to Low-,
	Moderate-, and Middle-Income Persons
EXHIBIT 8-12	Guide for Review of NSP-1 Cooperative Agreements
EXHIBIT 8-13	Guide for Review of NSP-1 State Requirements
EXHIBIT 8-14	Guide for Review of NSP-1 Continued Affordability
EXHIBIT 8-15	Guide for Review of NSP-1 Eligible Use C: Establish Land Banks
EXHIBIT 8-16	Guide for Review of NSP-1 Fair Housing and Equal Opportunity
	Requirements
EXHIBIT 8-17	Guide for Review of NSP-2 Program Progress
EXHIBIT 8-18	Guide for Review of CDBG-R Activities
EXHIBIT 8-19	Guide for Review of NSP-3 Program Progress

CHAPTER 9 RECOVERY HOUSING PROGRAM (RHP)

9-	1 APPLICAI	BILITY	
9-2	2 PURPOSE	S	
9-1	3 DEFINITIO	ONS	
9-4	4 APPLICAI	BLE REQUIREMENTS	
9-	5 USING TH	IE MONITORING EXHIBITS	
9-	6 FILE SELI	ECTION AND SAMPLING	
ΕΣ	KHIBIT 9-1 KHIBIT 9-2	Guide for Review of Plan Execution, Activity Eligibility a Objective Guide for Review of Management of Subrecipients, Contr Real Property	ractors, and
Ež	KHIBIT 9-3	Guide for Review of Program Administration, Reporting,	and Closeout
СНАРТЕ	CR 10 HOU	USING OPPORTUNITIES FOR PERSONS WITH AIDS	(HOPWA)

10-1	APPLICABILITY	. 10-1
	HOPWA'S FORMULA AND COMPETITIVE PROGRAM STRUCTURE	
10-3	PREPARING FOR MONITORING	. 10-1
10-4	MONITORING HOPWA	. 10-2

10-5 FILE SELECTION AND SAMPLING.....10-3

- EXHIBIT 10-1 Guide for Review of HOPWA Housing Planning and Rent Subsidies
- EXHIBIT 10-2 Guide for Review of HOPWA Facility-based Projects
- EXHIBIT 10-3 Guide for Review of HOPWA Financial Management
- EXHIBIT 10-4 Guide for Review of HOPWA Project Sponsor or Subrecipient Management
- EXHIBIT 10-5 Guide for Review of HOPWA Cost Allowability
- EXHIBIT 10-6 Guide for Review of HOPWA Procurement
- EXHIBIT 10-7 Guide for Review of HOPWA Equipment and Equipment Disposition

CHAPTER 11 SECTION 8 MODERATE REHABILITATION SINGLE ROOM OCCUPANCY (SRO) PROGRAM FOR HOMELESS INDIVIDUALS

11-1	APPLICABILITY	11-1
11-2	PREPARING FOR MONITORING.	11-1
11-3	FILE SELECTION AND SAMPLING	11-2

- EXHIBIT 11-1 Guide for Review of SRO Project Progress
- EXHIBIT 11-2 Guide for Review of SRO Program Participants
- EXHIBIT 11-3 Guide for Review of SRO Other Federal Requirements

CHAPTER 12 SHELTER PLUS CARE (S+C) PROGRAM

12-1	APPLICABILITY	12-1	1
12-2	PREPARING FOR MONITORING.	12-2	2
12-3	FILE SELECTION AND SAMPLING	12-2	2

- EXHIBIT 12-1 Guide for Review of S+C Project Progress
- EXHIBIT 12-2 Guide for Review of S+C Housing
- EXHIBIT 12-3 Guide for Review of S+C Program Participants
- EXHIBIT 12-4 Guide for Review of S+C Match Documentation
- EXHIBIT 12-5 Guide for Review of S+C Subrecipient Management
- EXHIBIT 12-6 Guide for Review of S+C Overall Grant Management
- EXHIBIT 12-7 Guide for Review of S+C Financial Management
- EXHIBIT 12-8 Guide for Review of S+C Homeless Determination/Recordkeeping Requirements

CHAPTER 13 SUPPORTIVE HOUSING PROGRAM (SHP)

13-1	APPLICABILITY	13-1
13-2	PREPARING FOR MONITORING.	13-2
13-3	FILE SELECTION AND SAMPLING	13-2

- EXHIBIT 13-1 Guide for Review of SHP Supportive Services
- EXHIBIT 13-2 Guide for Review of SHP Rapid Re-housing Demonstration for Families
- EXHIBIT 13-3 Guide for Review of SHP Housing
- EXHIBIT 13-4 Guide for Review of SHP Program Participants
- EXHIBIT 13-5 Guide for Review of SHP Match Documentation
- EXHIBIT 13-6 Guide for Review of SHP Sponsor Management
- EXHIBIT 13-7 Guide for Review of SHP Overall Management Systems
- EXHIBIT 13-8 Guide for Review of SHP Financial Management
- EXHIBIT 13-9 Guide for Review of SHP Cost Allowability
- EXHIBIT 13-10 Guide for Review of SHP Procurement
- EXHIBIT 13-11 Guide for Review of SHP Equipment and Equipment Disposition
- EXHIBIT 13-12 Guide for Review of SHP Other Federal Requirements
- EXHIBIT 13-13 Guide for Review of SHP Homeless Determination/Recordkeeping Requirements

CHAPTER 14 ROUND II EMPOWERMENT ZONES

14-1	APPLICABILITY	14-1
14-2	PRE-MONITORING PREPARATION	14-1
14-3	MONITORING APPROACH	14-2
14-4	FACTORS TO CONSIDER IN SELECTING IPs TO MONITOR	14-2
14-5	EZ-SPECIFIC MONITORING DETERMINATIONS	14-3

- EXHIBIT 14-1 Guide for Review of Round II EZ Strategic Plan Compliance
- EXHIBIT 14-2 Guide for Review of Round II EZ Implementation Plans (IPs)
- EXHIBIT 14-3 Guide for Review of Round II EZ Subrecipient Management
- EXHIBIT 14-4 Guide for Review of EZ's Overall Management Systems
- EXHIBIT 14-5 Guide for Review of EZ Financial Management
- EXHIBIT 14-6 Guide for Review of EZ Cost Allowability
- EXHIBIT 14-7 Guide for Review of EZ Procurement
- EXHIBIT 14-8 Guide for Review of EZ Equipment and Equipment Disposition
- EXHIBIT 14-9 Guide for Review of EZ Other Federal Requirements

CHAPTER 15 HOUSING TRUST FUND PROGRAM (HTF)

15-1	APPLICA	BILITY	1
15-2	PREPARI	NG FOR MONITORING15-	3
15-3	PRE-MON	NITORING CHECKLIST15-4	4
15-4	FILE SEL	ECTION AND SAMPLING 15-4	4
EXHIE	BIT 15-1	Pre-Monitoring Checklist	
EXHIE	BIT 15-2	Guide for Review of Program Oversight, Financial Management, and	
		Cost Allowability	
EXHIE	BIT 15-3	Guide for Review of Subgrantee Written Agreements and Oversight	

EXHIBIT 15-4	Guide for Review of Rental Development or Rehabilitation Projects
	and/or Policies & Procedures
EXHIBIT 15-5	Guide for Review of Rental Project Compliance and/or Policies &

CHAPTER 16 RURAL HOUSING AND ECONOMIC DEVELOPMENT (RHED)

16-1	APPLICABILITY	16-1
16-2	PREPARING FOR MONITORING.	16-1
16-3	FILE SELECTION AND SAMPLING	16-2

EXHIBIT 16-1	Guide for Review of RHED Overall Project Progress
EXHIBIT 16-2	Guide for Review of RHED Project Evaluation
EXHIBIT 16-3	Guide for Review of RHED Leveraging
EXHIBIT 16-4	Guide for Review of RHED Financial Management
EXHIBIT 16-5	Guide for Review of RHED Cost Allowability

EXHIBIT 16-6 Guide for Review of RHED Procurement

Procedures

EXHIBIT 16-7 Guide for Review of RHED Equipment and Equipment Disposition

CHAPTER 17 COMMUNITY COMPASS TECHNICAL ASSISTANCE AND CAPACITY BUILDING PROGRAM TA PROGRAM

17-1	APPLICA	ABILITY
17-2	PURPOS	E17-1
17-3	PROGRA	AM REQUIREMENTS
17-4	PREPAR	ING FOR ON-SITE AND REMOTE MONITORING
17-5	ON-SITE	E AND REMOTE MONITORING APPROACH; FILE SELECTION
	AND SA	MPLING 17-4
17-6		THE MONITORING EXHIBITS17-5
17-7	DOCUM	ENTATION 17-5
17-8	NONCO	MPLIANCE
EXHI	BIT 17-1	Guide for Review of Community Compass TA Program Subrecipient
		Management
EXHI	BIT 17-2	Guide for Review of Community Compass TA Program Leveraging
		Contributions (Distressed Cities TA)
EXHI	BIT 17-3	Guide for Review of Community Compass TA Program Financial
		Management and Audits
EXHI	BIT 17-4	Guide for Review of Community Compass TA Program Cost
		Allowability (legacy)
EXHI	BIT 17-5	Guide for Review of Community Compass TA Program Procurement
		(legacy)
EXHI	BIT 17-6	Guide for Review of Community Compass TA Program Equipment and
		Disposition Requirements (legacy)

CHAPTER 18 REMOVED

CHAPTER 19 CITIZEN PARTICIPATION

19-1 APPLIC	ABILITY
19-2 PRE-MO	DNITORING PREPARATION19-1
EXHIBIT 19-1	Guide for Completeness Review of Local Government Citizen Participation Plan
EXHIBIT 19-2	Guide for Completeness Review of State Citizen Participation Plan
EXHIBIT 19-3	Guide for Review of Local Government Citizen Participation Plan Performance
EXHIBIT 19-4	Guide for Review of State Citizen Participation Plan Performance

CHAPTER 20 SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968

20-1	PURPOSES	20-1
20-2	APLICABILITY	20-1
20-3	FILE SELECTION AND SAMPLING	20-2
20-4	LOCATION OF PROGRAM CHAPTERS	20-2

EXHIBIT 20-1 Guide for Review of Section 3 of the Housing and Urban Development Act of 1968

CHAPTER 21 ENVIRONMENTAL MONITORING

21-1	PURPOSE	5	21-1
21-2	ROLES A	ND RESPONSIBILITIES	21-1
21-3	APPLICA	BILITY	21-1
21-4	ENVIRON	MENTAL MONITORING OBJECTIVES	21-2
21-5	STATE E	NVIRONMENTAL MONITORING OBJECTIVES	21-3
21-6	USE OF R	RISK ANALYSIS AND SELECTING GRANTEES FOR ON-SIT	E
	MONITO	RING	21-3
21-7	DEVELO	PING AN ENVIRONMENTAL MONITORING STRATEGY	21-4
21-8	AREAS O	F REVIEW AND LEVELS OF REVIEW	21-4
21-9	PRE-MON	NITORING PREPARATION FOR ENVIRONMENTAL	
		RING (IN-DEPTH AND LIMITED)	
21-10	REMOTE	AND ON-SITE MONITORING	21-6
21-11	POST-MC	ONITORING ACTIONS	21-9
EXHI	BIT 21-1	Guide for Environmental Review of the Community Development	nt
		Block Grant, HOME Investment Partnerships, Emergency Solution	ons
		Grants, and Housing for Persons With AIDS Programs by the	
		Community Planning and Development (CPD) Representative	

- EXHIBIT 21-2 Guide for In-Depth Environmental Monitoring
- EXHIBIT 21-3 Guide for Review of Historic Preservation and Archeology
- EXHIBIT 21-4 Guide for Review of Floodplains and Wetlands
- EXHIBIT 21-5 Guide for Review of Coastal Zone Management
- EXHIBIT 21-6 Guide for Review of Endangered Species
- EXHIBIT 21-7 Guide for Review of Noise
- EXHIBIT 21-8 Guide for Review of Air Quality
- EXHIBIT 21-9 Guide for Review of Aquifers
- EXHIBIT 21-10 Guide for Review of Water Quality
- EXHIBIT 21-11 Guide for Review of Site Hazards
- EXHIBIT 21-12 Guide for Review of Environmental Justice
- EXHIBIT 21-13 Guide for Review of State Grantees Administering HUD Environmental Requirements

CHAPTER 22 FAIR HOUSING AND EQUAL OPPORTUNITY (FHEO)

22-1	PURPOSE	3	22-1
22-2	APPLICA	BILITY	22-1
22-3	CPD LIM	ITED MONITORING REVIEW RESPONSIBILITIES	22-2
22-4	FHEO RE	VIEW AND FOLLOW-UP RESPONSIBILITY	22-4
22-5	CDBG EQ	UAL OPPORTUNITY AND FAIR HOUSING REVIEW	
		A	
22-6		ONDISCRIMINATION COMPLIANCE	22-6
22-7	REMOVE	-	
22-8	CHAPTER	R ATTACHMENTS AND EXHIBITS	22-6
A 4			
Attach	ment:	Applicable Civil Rights Laws, Their Applicable Regulations and	
		Coverage	
EXHIBIT 22-1		Guide for Review of Civil Rights-Related Program Requirements f	for
		the Community Development Block Grant (CDBG) Entitlement	
		Program	
EXHIE	BIT 22-2	Guide for Review of Civil Rights-Related Program Requirements f	
		the State Community Development Block Grant (CDBG) Program	
EXHIE	BIT 22-3	Guide for Review of Civil Rights-Related Program Requirements f	for
		the HOME Investment Partnerships Program	
EXHIE	BIT 22-4	Guide for Review of the Emergency Shelter Grants (ESG) Program	n
		Civil Rights-Related Program Requirements	c
EXHIE	BIT 22-5	Guide for Review of Civil Rights-Related Program Requirements f	or
EVIII	\mathbf{v}	CPD Non-Formula Programs	c
EAHIE	BIT 22-6	Guide for Review of Civil Rights-Related Program Requirements f	or
EVIII	BIT 22-7	Section 504 of the Rehabilitation Act of 1973, as amended REMOVED	
EAHIE	511 22-7	KEIVIU V ED	

CHAPTER 23 LABOR STANDARDS ADMINISTRATION

23-1	BACKGROUND	23-1
23-2	APPLICABILITY	23-1
23-3	OLSE MONITORING COORDINATION.	23-1
23-4	PURPOSE OF LIMITED MONITORING	23-1
23-5	COMMUNICATION OF MONITORING RESULTS	23-1
23-6	LABOR STANDARDS PROVISIONS FOR MAJOR CPD PROGRAMS	23-2

EXHIBIT 23-1 Guide for Review of Labor Standards Administration

CHAPTER 24 LEAD-BASED PAINT COMPLIANCE

24-1	PURPOSE	24-1
24-2	APPLICABILITY OF THE LEAD RULES IN 24 CFR PART 35	24-2
24-3	EFFECTIVE DATES	24-4
24-4	PREPARING FOR LEAD-BASED PAINT MONITORING	24-5
24-5	MONITORING COORDINATION	24-7
24-6	CONDUCTING LEAD-BASED PAINT MONITORING	24-8
24-7	DEVELOPING CORRECTIVE ACTIONS	24-9
24-8	OTHER LEAD-RELATED ISSUES: HISTORIC PRESERVATION AND	
	FAIR HOUSING	.24-11
24-9	EXHIBITS ASSOCIATED WITH THIS CHAPTER	. 24-15
24-10	ATTACHMENTS TO THIS CHAPTER	.24-15

Attachments:

24-1	Summary of CPD's Lead Requirements for Target (Most Pre-1978)
	Housing Under 24 CFR Part 35

- 24-2 Historic Properties and the Lead Safe Housing Rule (24 CFR Part 35, Subparts B-R)
- 24-3 Lead-Based Paint Provisions for Major CPD Programs

EXHIBIT 24-1	Guide for Review of Lead-Based Paint Compliance in Properties
	Receiving Federal Rehabilitation Assistance

- EXHIBIT 24-2 Guide for Review of Lead-Based Paint Compliance in Properties Receiving Acquisition, Leasing, Support Services, or Operations Assistance
- EXHIBIT 24-3 Guide for Review of Lead-Based Paint Compliance in Properties Receiving Tenant-Based Rental Assistance (TBRA)
- EXHIBIT 24-4 Guide for Review of Lead-Based Paint Compliance in Properties Receiving Project-Based Assistance

CHAPTER 25 RELOCATION AND REAL PROPERTY ACQUISITION

25-1	PURPOSE	25-1
25-2	ROLES AND RESPONSIBILITIES	25-1
25-3	APPLICABILITY	25-1

25-4	PREPARING FOR MONITORING	25-1
25-5	SELECTION OF CASE FILES TO BE REVIEWED	25-2
25-6	REVIEWING CASE FILES.	25-4
25-7	INTERVIEWS	25-6
25-8	INSPECTIONS OF REPLACEMENT HOUSING	25-7
25-9	DETERMINATION OF SPECIFIC CASE FINDINGS AND CONCERNS	25-7
25-10	EXIT CONFERENCE WITH PROGRAM PARTICIPANT STAFF	25-8
25-11	POST MONITORING ACTIONS	25-9
25-12	CHAPTER ATTACHMENTS AND EXHIBITS	. 25-10

EXHIBITS 25-1 through 25-8 REMOVED

Attachment 25-1	HUD Programs	Covered by	URA and/or	Section 1040	(d)
	IIOD IIOgiamo	00,010000	Old I wild of	200000000000000000000000000000000000000	~,

- Attachment 25-2 Privacy Act Statement
- Attachment 25-3 Guidelines for HUD Monitoring Review of Appraisals
- EXHIBIT 25-9 Guide for Review of Relocation and Real Property Acquisition Policies and Procedures
- EXHIBIT 25-10 Guide for Review of Real Property Acquisition
- EXHIBIT 25-11 Guide for Review of Project Occupancy
- EXHIBIT 25-12 Guide for Review of Relocation of Residential Displaced Person ---Individual Case File
- EXHIBIT 25-13 Guide for Review of Relocation of Nonresidential Displaced Person --Individual Case File
- EXHIBIT 25-14 Guide for Review of Section 104(d) Relocation Assistance and Onefor-One Replacement

CHAPTER 26 REMOVED

CHAPTER 27 FLOOD INSURANCE PROTECTION

27-1 PURPOSE	
27-2 APPLICABILITY	
27-3 RESPONSIBILITY	j.
27-4 SELECTION CRITERIA AND RISK ANALYSIS	j
27-5 DOCUMENTATION	
27-6 PRE-MONITORING PREPARATION	j
27-7 CONDUCTING MONITORING)
27-8 VERIFYING THE DURATION AND DOLLAR AMOUNT OF COVERAGE. 27-6)
27-9 RESOLVING FINDINGS OF NON-COMPLIANCE	1
27-10 POST-MONITORING ACTIONS	,
27-11 CHAPTER ATTACHMENTS AND EXHIBIT	,

Attachment 27-1	List of Covered Programs
Attachment 27-2	Policy Declarations Form: Sample "Proof of Purchase" of the
	National Flood Insurance

Attachment 27-3 Sample Application for National Flood Insurance

EXHIBIT 27-1 Guide for Review of Flood Insurance Protection

CHAPTER 28 EMERGENCY SOLUTIONS GRANTS (ESG) PROGRAM

28-1 APPLICA	BILITY
28-2 PREPARI	NG FOR MONITORING
28-3 FILE SEL	ECTION AND SAMPLING
EXHIBIT 28-1	Guide for Review of Homeless and At-Risk Determination/
	Recordkeeping Requirements
EXHIBIT 28-2	Guide for Review of ESG Recipient's Overall Grant Management
EXHIBIT 28-3	Guide for Review of ESG Subrecipient Grant Management
EXHIBIT 28-4	Guide for Review of ESG Street Outreach Requirements
EXHIBIT 28-5	Guide for Review of ESG Emergency Shelter Requirements
EXHIBIT 28-6	Guide for Review of ESG Rapid Re-housing and Homelessness
	Prevention Requirements
EXHIBIT 28-7	Guide for Review of ESG Match Requirements
EXHIBIT 28-8	Guide for Review of ESG Financial Management and Cost
	Allowability
EXHIBIT 28-9	Guide for Review of ESG Procurement Requirements
EXHIBIT 28-10	Guide for Review of ESG Equipment and Equipment Disposition
	Requirements

CHAPTER 29 CONTINUUM OF CARE (CoC) PROGRAM

29-1 APPLICA	BILITY
29-2 PREPAR	ING FOR MONITORING
29-3 FILE SEL	ECTION AND SAMPLING
EXHIBIT 29-1	Guide for Review of Homeless and At-Risk Determination/
	Recordkeeping Requirements
EXHIBIT 29-2	Guide for Review of CoC Collaborative Applicant Responsibilities
EXHIBIT 29-3	Guide for Review of CoC Recipient Overall Grant Management
EXHIBIT 29-4	Guide for Review of CoC Subrecipient Grant Management
EXHIBIT 29-5	Guide for Review of CoC Rapid Rehousing Requirements
EXHIBIT 29-6	Guide for Review of CoC Safe Haven Requirements
EXHIBIT 29-7	Guide for Review of CoC Permanent Supportive Housing
	Requirements
EXHIBIT 29-8	Guide for Review of CoC Supportive Services-Only Requirements
EXHIBIT 29-9	Guide for Review of CoC Transitional Housing Program Requirements
EXHIBIT 29-10	Guide for Review of CoC Homeless Management Information System
	(HMIS) Requirements
EXHIBIT 29-11	Guide for Review of CoC Match Requirements

EXHIBIT 29-12	Guide for Review of CoC Financial Management and Cost
	Allowability
EXHIBIT 29-13	Guide for Review of CoC Procurement Requirements
EXHIBIT 29-14	Guide for Review of CoC Equipment and Equipment Disposition
	Requirements

CHAPTER 30 COMMUNITY CAPITAL INITIATIVES (CCI)

30-1	APPLICABILITY	30-1
30-2	APPALACHIA ECONOMIC DEVELOPMENT INITIATIVE (AEDI)	30-1
30-3	BORDER COMMUNITY CAPITAL INITIATIVE (BCCI)	30-1
30-4	DELTA COMMUNITY CAPITAL INITIATIVE (DCCI)	30-1
30-5	PREPARING FOR MONITORING	30-2
30-6	COMPLIANCE WITH THE UNIFORM REQUIREMENTS OF	
	2 CFR PART 200	30-2
30-7	FILE SELECTION AND SAMPLING	30-2

EXHIBIT 30-1	Guide for Review of CCI Overall Project Progress
EXHIBIT 30-2	Guide for Review of CCI Project Evaluation

EXHIBIT 30-3 Guide for Review of CCI Leveraging

CHAPTER 31 SELF-HELP HOMEOWNERSHIP OPPORTUNITY PROGRAM (SHOP)

31-1	APPLICABILITY	
31-2	PURPOSE	
31-3	GOAL	
31-4	PREPARING FOR MONITORING	
31-5	COMPLIANCE WITH THE UNIFORM REQUIREMENTS	
	OF 2 CFR PART 200	
31-6	FILE SELECTION AND SAMPLING	

EXHIBIT 31-1 Guide for Review of SHOP Grant Program

CHAPTER 32 RURAL CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING GRANTS (RCB)

32-1	APPLICABILITY	
32-2	PREPARING FOR MONITORING	
32-3	MONITORING APPROACH, RISK ANALYSIS,	
	AND SAMPLING	
32-4	STRUCTURE AND PURPOSE	
32-5	DOCUMENTATION	

EXHIBIT 32-1 Guide for Review of RCB Grant and Subrecipient Management

- EXHIBIT 32-2 Guide for Review of RCB Leverage Contributions
- EXHIBIT 32-3 Guide for Review of RCB Financial Management and Audits
- EXHIBIT 32-4 Guide for Review of RCB Cost Allowability
- EXHIBIT 32-5 Guide for Review of RCB Procurement
- EXHIBIT 32-6 Guide for Review of RCB Equipment Management and Equipment Disposition

CHAPTER 33 CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING GRANTS (SECTION 4)

33-1	APPLICABILITY	33-1
33-2	PREPARING FOR MONITORING	33-1
33-3	MONITORING APPROACH, RISK ANALYSIS, AND SAMPLING	33-2
33-4	STRUCTURE AND PURPOSE	33-3
33-5	DOCUMENTATION	33-3

EXHIBIT 33-1	Guide for Review of Section 4 Grant and Subrecipient
	Management
EXHIBIT 33-2	Guide for Review of Section 4 Match and Leverage Contributions
EXHIBIT 33-3	Guide for Review of Section 4 Financial Management and Audits
EXHIBIT 33-4	Guide for Review of Section 4 Cost Allowability
EXHIBIT 33-5	Guide for Review of Section 4 Procurement
EXHIBIT 33-6	Guide for Review of Section 4 Equipment Management and
	Equipment Disposition

CHAPTER 34 2 CFR PART 200, UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

34-1	APPLICABILITY	34-1
34-2	BACKGROUND	34-1
34-3	STRUCTURE AND PURPOSE	34-3
34-4	TERMINOLOGY	34-3
34-5	IDENTIFYING DEFICIENCIES	34-3
34-6	DOCUMENTATION	34-4
34-7	FILE SELECTION AND SAMPLING	34-4

Guide for Review of Financial Management and Audits
Guide for Review of Financial Management and Audits
Guide for Review of Cost Allowability
Guide for Review of Cost Allowability
Guide for Review of Procurement
Guide for Review of Procurement
Guide for Review of Equipment Management and
Equipment Disposition

CHAPTER 35 CLOSEOUTS (Reserved)

CHAPTER 36 CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY SUPPLEMENTAL FUNDING – CARES ACT (CDBG-CV, HOPWA-CV, HOPWA-C-CV, ESG-CV)

36-1	APPLICABIL	JTY
36-2		FOR MONITORING
36-3	2 CFR 200 GI	RANTS MANAGEMENT POLICY UPDATES
36-4	FILE SELECT	ΓΙΟΝ AND SAMPLING
EXHIBIT 36-1		Addendum Guide for Review of CDBG-CV, FY 2019 and 2020
		Annual CDBG funds used to Prevent, Prepare for, and Respond to
		Coronavirus, for Entitlement, Non-Entitlement Grants in Hawaii, and Insular Area Programs
EXHI	BIT 36-2	Addendum Guide for Review of State CDBG-CV Grantees and for
		FY 2019 and 2020 CDBG funds used to prevent, prepare for, and
		respond to coronavirus
EXHI	BIT 36-3A	Guide for Review of HOPWA Housing Planning and Rent
		Subsidies
	BIT 36-3B	Guide for Review of HOPWA Facility-based Projects
EXHI	BIT 36-3C	Guide for Review of HOPWA Project Sponsor or Subrecipient
		Management
EXHI	BIT 36-4A	Guide for Review of Homeless and At-Risk
		Determination/Recordkeeping Requirements for ESG-CV
EXHII	BIT 36-4B	Guide for Review of ESG Recipient's Overall Grants Management of ESG-CV
EXHI	BIT 36-4C	Guide for Review of ESG Subrecipient Grant Management of
		ESG-CV
EXHI	BIT 36-4D	Guide for Review of ESG-CV Street Outreach Requirements
EXHI	BIT 36-4E	Guide for Review of ESG-CV Emergency Shelter Requirements
EXHI	BIT 36-4F	Guide for Review of ESG-CV Rapid Re-housing and Homeless
		Prevention Requirements
EXHI	BIT 36-4G	Guide for Review of ESG-CV Temporary Emergency Shelter
		Requirements
EXHI	BIT 36-4H	Guide for Review of Other ESG-CV Federal Requirements

CHAPTER 37 RESERVED

CHAPTER 38 RESERVED

CHAPTER 39 VETERANS HOUSING REHABILITATION AND MODIFICATION PILOT PROGRAM (VHRMP)

39-1	APPLICABILITY	39-1
39-2	PURPOSE	
39-3	GOALS	39-1
39-4	PREPARING FOR MONITORING	39-1
39-5	COMPLIANCE WITH THE UNIFORM REQUIREMENTS OF 2 CFR P	'ART
	200	39-1
39-6	FILE SELECTION AND SAMPLING	39-2

EXHIBIT 39-1Guide for Review of VHRMP Grant Program

APPENDIX 1 CPD MONITORING HANDBOOK EVALUATION QUESTIONNAIRE