

FHA Catalyst: Claims Module – Single Family Forward Claims Required Fields Summary

U.S. Department of Housing and Urban Development

Updated for Bulk Claims Input XSD - Version 1.9

August 6, 2025

Bulk Claims Input XSD History

Version No.	Date	Author	Revision Description	
Bulk Claims XSD Version 1.0	12/30/2019	HUD	Required fields for Supplemental Claim submissions in the FHA Catalyst: Claims Module Updated to include required fields for first release of Loss Mitigation Claim types in the FHA Catalyst: Claims Module Updated to include the optional 'Email' field in the 'Mortgagee_Contact_Information' section to differentiate a claim submitter from the claim owner and allow interest rates to have 3 decimal places Required fields for Reacquisition Claim submissions in the FHA Catalyst: Claims Module, additional Previous Claim Types, and an additional Submission Exception Required fields for Conveyance and Replacement-Conveyance Claim submissions in the FHA Catalyst: Claims Module	
Bulk Claims XSD Version 1.1	6/10/2020	HUD		
Bulk Claims XSD Version 1.2	7/8/2020	HUD		
Bulk Claims XSD Version 1.3	9/2/2020	HUD		
Bulk Claims XSD Version 1.4	10/23/2020	HUD		
Bulk Claims XSD Version 1.4	11/16/2020	HUD	Updated page 1 and Supplemental Claims section with Part B: Box 107: Adjustment to Loan Balance – Column C is where all Part A Interest must be reported.	
Bulk Claims XSD Version 1.5	12/2/2020	HUD	Updated to include required fields for Assignment, Claim Without Conveyance of Title (CWCOT), and Pre-foreclosure Sale (PFS) claim types	
Bulk Claims XSD Version 1.6	3/26/2021		Updated address max length to 50 and mortgagee contact information name max length to 100	
Bulk Claims XSD Version 1.7	3/29/2023		Updates for ML 2023-03 (Expansion of the COVID-19 Recovery Loss Mitigation Options) - new Claim Designation field and Non-HAMP Partial Claim type 33	
Bulk Claims XSD Version 1.8	3/11/2024		Updates for ML 2024-02 (Payment Supplement) - Date of Notice/Extension to Convey (Item 20) required for claim type 31 and all claim type 33s. New PSPC Claim Designation for 33-Non-HAMP Partial Claim with \$1,750 incentive fee.	
Bulk Claims XSD Version 1.9	8/6/2025		Updates for ML 2025-12 (New Permanent Loss Mitigation Options): New DRCs added to Part A section, page 1 New Claim Designations added to New Boxes section, page 2 Three new questions added to New Boxes section, page 2	



 Noted Claim Types and Claim Designations no longer available starting 10/1/25 under item 2, page 2 Added new fields for claim type 32 and 33-Non-HAMP Partial Claim: Has Mortgagor Completed a
 Is this claim part of a Combo
Loan Mod and Partial Claim?
Update the FHA Catalyst Landing Page to
https://www.hud.gov/hud-partners/fhacatalyst.



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1. Updates to the Form HUD-27011

Part A:

- I. Box 1: Claim Types (CT):
 - a. Automatic Assignment (CT 03) and Coinsurance (CT 04) were removed
 - b. The below five claim types were added:

Previous Claim Type	New Claim Type	Claim Type Number
Reconveyance	Reacquisition	11
N/A	New – National Emergency Partial Claim	33
Manual Conveyance	Replacement - Conveyance	41
Manual Claim with Conveyance of Title (CWCOT)	Replacement - CWCOT	46
Manual Pre-Foreclosure (PFS)	Replacement - PFS	47

II. Box 4: Default Reason Code - Added Default Reason Codes 45 and 46 to the dropdown list.

Part B:

- I. Box 107: Adjustment to Loan Balance Column B is where any Unpaid Principal Balance adjustments must be reported. Column C is where all Part A Interest must be reported.
- II. Box 108: Sale/Bid or Appraisal Value Column B is now available for Sales Proceed Difference

Part C:

- I. Full detailed expense information will be captured by HUD
- II. Disbursements for Protection and Preservation will no longer have individual box numbers aligned with expenses on Parts D and E

Part D:

I. Full detailed expense information will be captured by HUD

Part E:

- I. Full detailed expense information will be captured by HUD
- II. Boxes 406 408 were updated to request the following data fields (as applicable): date paid and description
- III. Boxes 409 410 were updated to request the following data fields (as applicable): date paid, description, and interest
- IV. Boxes 411 and 412 were removed

New Boxes

- I. Previous Claim Type (identify the initial claim type):
 - a. Options include: 01A, 01B, 02, 06, 07, 11A, 11AB, 41A, 41B, 46, or 47
- II. Submission Exception (the claim processing timeframe is extended based on the below justification):
 - a. Unpaid Principal Balance
 - b. Deficiency Judgement Cost
 - c. MCM Approval
 - d. Hazard Insurance Refund
 - e. Appeal
 - f. Remittance to HUD Only
- III. Box. 30 Authorized Bid Amount (Data fields to assist in calculating the authorized bid amount)
 - a. Box 30a: Appraised Value



- b. Box 30b: Foreclosure Sale Date
- c. Box 30c: Sale Type
 - i. Competitive or Non-competitive
- IV. New Claim Designation field added on the Claim Initiation screen after Box 1. Claim Type with the following nine options in the dropdown list:
 - a. COVID
 - b. HAMP
 - c. None
 - d. PSPC (Payment Supplement Partial Claim)
 - e. OWL (Outside of the Waterfall Loan Modification)
 - f. PDDA (Presidentially Declared Major Disaster Area)
 - g. DILS (Deed-in-Lieu for Servicemembers)
 - h. PDPS (Presidentially Declared Major Disaster Area Payment Supplement)
 - i. PFSS (Pre-foreclosure Sale for Servicemembers)
- V. The following three questions added after Box 20. Date of Notice/Extension to Convey:
 - a. Has Mortgagor Completed a TPP?
 - b. Is Mortgagor in Imminent Default?
 - c. Is this claim part of a Combo Loan Mod and Partial Claim?

2. Required Items for Submission

The subsections below provide the required and optional fields for submission of:

- Supplemental (Claim Type 05)
- Special Forbearance (Claim Type 31) No longer available starting 10/1/2025
- Loan Modification (Claim Type 32) Starting 10/1/2025, Claim Designations HAMP & COVID will no longer be available (None, OWL, & PDDA available)
- HAMP, National Emergency or Non-HAMP Partial Claim (Claim Type 33) Starting 10/1/2025, the following will no longer be available:
 - o 33-HAMP Partial Claim
 - o 33-National Emergency Partial Claim
 - o 33-Non-HAMP Partial Claim with Claim Designation COVID (None & PSPC available)
- Natural Disaster Partial Claim (Claim Type 33) Starting 10/1/2025, Claim Type 33-Non-HAMP Partial Claim with Claim Designation PDDA or PDPS should be used in place of this claim type.
- Reacquisition (Claim Type 11)
- Conveyance (Claim Types 01A and 01B)
- Replacement Conveyance (Claim Types 41A and 41B)
- Assignment (Claim Type 02) Includes the following subtypes:
 - o Single Family Loan Sale
 - o Department of Hawaiian Home Land (DHHL)
 - o Indian Lands
- Claims Without Conveyance of Title (CWCOT) (Claim Type 06)
- Replacement CWCOT (Claim Type 46)
- Pre-Foreclosure Sales (PFS) (Claim Type 07)
- Replacement PFS (Claim Type 47)



Note: The required fields are the same for both single and bulk/Extensible Markup Language (XML) submissions. Required fields are denoted by a red asterisk '*'.

Required fields are not equivalent to 'Valid' claim: Note that even if these fields are all entered, it would not result in a 'valid' claim that could be submitted for processing, but simply a claim that would be entered into *FHA Catalyst*.

Line Items require all fields when used: Note that when there is an entry for any of the lines that can be repeated in Parts C, D, and E, every field in the structure would be required (e.g. for Part C the 'DISBURSEMENTS_FOR_PROTECTION_AND_PRESERVATION_TYPE' does require entries for each of Date Paid, Date Work Completed, Description, Amount Paid, and Debenture Interest).



2.1 Supplemental Claim (Type 05)

Each submitted Supplemental Claim needs the following required and optional fields and at least one expense (*Note: required fields are denoted with a red asterisk* '*'):

FORM_TYPE (CLAIM TYPE)*
CLAIM_DESIGNATION*
FHA_CASE_NUMBER*
SUBMISSION_EXCEPTION
PREVIOUS_CLAIM_TYPE*
ENDORSEMENT_DATE*

HOLDING_MORTGAGE_NUMBER_PAYEE*

SERVICING_MORTGAGEE_NUMBER*

MORTGAGEE REFERENCE NUMBER

MORTGAGE_AMOUNT_ORIGINAL*

MORTGAGEE_CONTACT_INFORMATION*

MORTGAGEE COMMENTS IF ANY

BOX 107 COLUMN B IF UPB ADJUSTMENT CLAIMED

BOX 107 COLUMN C IF PART A INTEREST CLAIMED



2.2 Special Forbearance Claim (Type 31) - No longer available starting 10/1/2025

Each submitted Special Forbearance (Claim Type 31) needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* FHA CASE NUMBER* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT_ORIGINAL* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSESSION* DATE FORECLOSURE PROCEEDING HOLDING MORTGAGEE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGEE REFERENCE NUMBER MORTGAGE AMOUNT ORIGINAL* UNPAID LOAN BALANCE* DATE OF EXTENSION* AMOUNT OF MONTHLY PAYMENT FHA INSURANCE AMOUNT OF MONTHLY PAYMENT TO TAXES AMOUNT OF MONTHLY PAYMENT HAZARD INSURANCE ADDITIONAL CLOSING COSTS AT SETTLEMENT* MORTGAGEE CONTACT INFORMATION* MORTGAGEE COMMENTS IF ANY



2.3 Loan Modification Claim (Type 32) - <u>Starting 10/1/2025, Claim Designations HAMP & COVID will no longer be available (None, OWL, & PDDA available)</u>

Each submitted Loan Modification (Claim Type 32) needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* FHA CASE NUMBER* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT ORIGINAL* DUE DATE FIRST PAYMENT MODIFIED* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSESSION* DATE FORECLOSURE PROCEEDING HOLDING MORTGAGEE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGEE REFERENCE NUMBER MORTGAGE AMOUNT ORIGINAL* MORTGAGE AMOUNT MODIFIED* UNPAID LOAN BALANCE* DATE OF EXTENSION* HAS MORTGAGOR COMPLETED A TPP?* IS MORTGAGOR IN IMMINENT DEFAULT?* IS THIS CLAIM PART OF A COMBO LOAN MOD AND PARTIAL CLAIM? AMOUNT OF MONTHLY PAYMENT FHA INSURANCE AMOUNT OF MONTHLY PAYMENT TO TAXES AMOUNT OF MONTHLY PAYMENT HAZARD INSURANCE MODIFIED INTEREST RATE* NEW MATURITY DATE* PRIOR INTEREST RATE* FORECLOSURE ACQUISITION CONVEYANCE OTHER COSTS ADDITIONAL CLOSING COSTS AT SETTLEMENT*

MORTGAGEE CONTACT INFORMATION*

MORTGAGEE COMMENTS IF ANY



- 2.4 HAMP, National Emergency or Non-HAMP Partial Claim (Type 33) <u>Starting 10/1/2025</u>, the following will no longer be available:
 - o 33-HAMP Partial Claim
 - o 33-National Emergency Partial Claim
 - o 33-Non-HAMP Partial Claim with Claim Designation COVID (None & PSPC available)

Each submitted Partial Claim (Claim Type 33) needs the following required and optional fields (Note: required fields are denoted with a red asterisk '*'):

FORM_TYPE (CLAIM TYPE)*

CLAIM DESIGNATION*

FHA CASE NUMBER*

DEFAULT REASON CODE*

ENDORSEMENT DATE*

DUE DATE FIRST PAYMENT ORIGINAL*

DUE DATE LAST COMPLETE INSTALLMENT*

DATE OF POSESSION*

DATE FORECLOSURE PROCEEDING

HOLDING MORTGAGEE NUMBER PAYEE*

SERVICING MORTGAGEE NUMBER*

MORTGAGEE REFERENCE NUMBER

MORTGAGE AMOUNT ORIGINAL*

UNPAID LOAN BALANCE*

DATE OF EXTENSION*

HAS MORTGAGOR COMPLETED A TPP?*

IS MORTGAGOR IN IMMINENT DEFAULT?*

IS THIS CLAIM PART OF A COMBO LOAN MOD AND PARTIAL CLAIM?

AMOUNT OF MONTHLY PAYMENT FHA INSURANCE

AMOUNT OF MONTHLY PAYMENT TO TAXES

AMOUNT OF MONTHLY PAYMENT HAZARD INSURANCE

AMOUNT OF MONTHLY PAYMENT INTEREST PRINCIPAL

ADJUSTMENT TO LOAN BALANCE*

ADDITIONAL CLOSING COSTS AT SETTLEMENT

MORTGAGEE CONTACT INFORMATION*

MORTGAGEE COMMENTS IF ANY



2.5 Natural Disaster Partial Claim (Type 33) - <u>Starting 10/1/2025, Claim Type 33-Non-HAMP Partial Claim with Claim Designation PDDA or PDPS should be used in place of this claim type.</u>

Each submitted Natural Disaster or National Emergency Partial Claim (Claim Type 33) needs the following required and optional fields (*Note: required fields are denoted with a red asterisk '*'*):

FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* FHA CASE NUMBER* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT ORIGINAL* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSESSION* DATE FORECLOSURE PROCEEDING HOLDING MORTGAGEE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGEE REFERENCE NUMBER MORTGAGE AMOUNT ORIGINAL* UNPAID LOAN BALANCE* DATE OF EXTENSION* AMOUNT OF MONTHLY PAYMENT FHA INSURANCE AMOUNT OF MONTHLY PAYMENT TO TAXES AMOUNT OF MONTHLY PAYMENT HAZARD INSURANCE AMOUNT OF MONTHLY PAYMENT INTEREST PRINCIPAL ADJUSTMENT TO LOAN BALANCE* MORTGAGEE CONTACT INFORMATION* MORTGAGEE COMMENTS IF ANY



2.6 Reacquisition (Claim Type 11)

Each submitted Reacquisition Part A or Reacquisition Parts AB Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FORM_TYPE (CLAIM TYPE)*
CLAIM_DESIGNATION*
FHA_CASE_NUMBER*
SUBMISSION_EXCEPTION
PREVIOUS_CLAIM_TYPE*
SECTION_OF_ACT_CODE*
HOLDING_MORTGAGE_NUMBER_PAYEE*
SERVICING_MORTGAGEE_NUMBER*
MORTGAGEE_REFERENCE_NUMBER
MORTGAGEE_CONTACT_INFORMATION*
MORTGAGEE_COMMENTS_IF_ANY

In addition, Reacquisition Part A or Reacquisition Parts AB Claims must include the following required documents:

FINAL REACQUISITION APPROVAL LETTER*
RECONVEYANCE BILLING LETTER*



2.7 Conveyance Claims (Claim Type 01)

Mortgagees may submit both parts of the Conveyance Claim type through FHA Catalyst:

- Conveyance Claim Part A (Claim Type 01A)
- Conveyance Claim Part B (Claim Type 01B)

2.7.1 Conveyance Claim Part A (Claim Type 01A)

Each submitted Conveyance Part A (01A) Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* FHA CASE NUMBER* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY MORTGAGEE CONTACT INFORMATION* **ENDORSEMENT DATE*** DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSSESION* DATE FORECLOSURE PROCEEDING* HOLDING MORTGAGE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGE AMOUNT* UNPAID LOAN BALANCE* DATE DEED OR ASSIGNMENT FILED* VACANCY* PROPERTY DAMAGE*

2.7.2 Conveyance Claim Part B (Claim Type 01B)

Each submitted Conveyance Part B (01B) Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA_CASE_NUMBER*
FORM_TYPE (CLAIM TYPE)*
CLAIM_DESIGNATION*
PREVIOUS_CLAIM_TYPE*
SECTION_OF_ACT_CODE*
MORTGAGEE_REFERENCE_NUMBER
SUBMISSION_EXCEPTION
MORTGAGEE_COMMENTS_IF_ANY
MORTGAGEE_CONTACT_INFORMATION*
ENDORSEMENT_DATE*



DATE_OF_POSSESION*
HOLDING_MORTGAGE_NUMBER_PAYEE*
SERVICING MORTGAGEE NUMBER*

2.8 Replacement - Conveyance Claims (Claim Type 41)

Mortgagees may submit both parts of the Replacement - Conveyance Claim types through FHA Catalyst:

- Replacement Conveyance Part A (Claim Type 41A)
- Replacement Conveyance Claim (Claim Type 41B)

2.8.1 Replacement - Conveyance Part A (Claim Type 41A)

Each submitted Replacement - Conveyance Part A (41A) Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA CASE NUMBER* FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* PREVIOUS CLAIM TYPE* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY MORTGAGEE CONTACT INFORMATION* **ENDORSEMENT DATE*** DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSSESION* DATE FORECLOSURE PROCEEDING* HOLDING MORTGAGE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGE AMOUNT* UNPAID LOAN BALANCE* DATE DEED OR ASSIGNMENT FILED* VACANCY* PROPERTY DAMAGE*

2.8.2 Replacement - Conveyance Claim (Claim Type 41B)

Each submitted Replacement - Conveyance Part B (41B) Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA_CASE_NUMBER*
FORM_TYPE (CLAIM TYPE)*
CLAIM_DESIGNATION*
PREVIOUS_CLAIM_TYPE*
SECTION_OF_ACT_CODE*
MORTGAGEE REFERENCE NUMBER



SUBMISSION_EXCEPTION
MORTGAGEE_COMMENTS_IF_ANY
MORTGAGEE_CONTACT_INFORMATION*
ENDORSEMENT_DATE*
DATE_OF_POSSESION*
HOLDING_MORTGAGE_NUMBER_PAYEE*
SERVICING_MORTGAGEE_NUMBER*



2.9 Assignment (Claim Type 02)

Each submitted Assignment Claim needs the following required and optional fields. The same minimal set of fields is required in FHA Catalyst for each 02 claim subtype (i.e. Loan Sale, Hawaiian Home Lands, and Indian Lands claims) (*Note: required fields are denoted with a red asterisk* '*'):

FHA CASE NUMBER* FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY **ENDORSEMENT DATE*** DUE DATE LAST COMPLETE INSTALLMENT* DATE DEED OR ASSIGNMENT FILED* DATE OF POSSESION* DUE DATE FIRST PAYMENT ORIGINAL* DATE OF EXTENSION* HOLDING_MORTGAGE_NUMBER_PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGEE CONTACT INFORMATION* MORTGAGE AMOUNT* UNPAID LOAN BALANCE* IS_PROPERTY_VACANT* IS PROPERTY CONVEYED DAMAGED*



2.10 Claims Without Conveyance of Title (CWCOT) (Type 06)

Each submitted Claims Without Conveyance of Title (CWCOT) Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA CASE NUMBER* FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY MORTGAGEE_CONTACT INFORMATION* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT ORIGINAL* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSSESION* DATE FORECLOSURE PROCEEDING* HOLDING MORTGAGE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGE AMOUNT* UNPAID LOAN BALANCE* **DEFICIENCY JUDGEMENT CODE*** IS PROPERTY CONVEYED DAMAGED* IS MORTGAGEE SUCCESSFUL BIDDER* AUTHORIZED BID AMOUNT*



2.11 Replacement - Claims Without Conveyance of Title (CWCOT) (Type 46)

Each submitted Replacement – CWCOT Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA CASE NUMBER* FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* PREVIOUS CLAIM TYPE* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY MORTGAGEE CONTACT INFORMATION* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT ORIGINAL* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSSESION* DATE FORECLOSURE PROCEEDING* HOLDING MORTGAGE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGE AMOUNT* UNPAID LOAN BALANCE* DEFICIENCY JUDGEMENT CODE* IS PROPERTY CONVEYED DAMAGED* IS MORTGAGEE SUCCESSFUL BIDDER* AUTHORIZED BID AMOUNT*



2.12 Pre-Foreclosure Sales (PFS) (Claim Type 07)

Each submitted PFS Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA CASE NUMBER* FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY MORTGAGEE CONTACT INFORMATION* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT ORIGINAL* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSSESION* DATE DEED OR ASSIGNMENT FILED* HOLDING MORTGAGE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER* MORTGAGE AMOUNT* UNPAID LOAN BALANCE* **AUTHORIZED BID AMOUNT***

2.13 Replacement – Pre-Foreclosure Sales (PFS) (Claim Type 47)

Each submitted Replacement - PFS Claim needs the following required and optional fields (*Note: required fields are denoted with a red asterisk* '*'):

FHA CASE NUMBER* FORM TYPE (CLAIM TYPE)* CLAIM DESIGNATION* PREVIOUS CLAIM TYPE* SECTION OF ACT CODE* MORTGAGEE REFERENCE NUMBER SUBMISSION EXCEPTION MORTGAGEE COMMENTS IF ANY MORTGAGEE CONTACT INFORMATION* DEFAULT REASON CODE* **ENDORSEMENT DATE*** DUE DATE FIRST PAYMENT ORIGINAL* DUE DATE LAST COMPLETE INSTALLMENT* DATE OF POSSESION* DATE DEED OR ASSIGNMENT FILED* HOLDING MORTGAGE NUMBER PAYEE* SERVICING MORTGAGEE NUMBER*



MORTGAGE_AMOUNT* UNPAID_LOAN_BALANCE* AUTHORIZED_BID_AMOUNT*

3. Frequently Asked Questions

Q: Where can I obtain to most up to date information about FHA Catalyst?

A: FHA Catalyst Landing Page: https://www.hud.gov/hud-partners/fhacatalyst

Q: How can I validate my XML file prior to submission?

A: The XML Schema Definition (XSD) is the definition of what is both allowed and mandated for an XML file in structure and format for the individual elements. The XSD can be accessed via the *FHA Catalyst* Landing page above. FHA will announce the release of an XSD for the remaining claim types via FHA Catalyst Landing Page. To validate the required fields needed in your XML file for these claim types, please reference the previous sections of this document.

Q: If a field is optional, what needs to be provided? Will the optional fields ever become required? A: If a field is optional either an empty tag (<example></example>) or no tag can be provided. Any updates to required fields will be communicated via *FHA Catalyst* Landing Page, along with an updated XSD.

Q: Is there a prescribed format for currency and dates that I should use in my XML submission? A: Yes – please include a leading zero for all data fields requiring currency information that is less than \$1.00 (e.g., 0.50 rather than .50). For the 'modified interest rate' and 'prior interest rate' fields, the XSD allows two or three decimal places. Dates should be submitted in a 'YYYY-MM-DD' format.

Q: If I use an external vendor to submit my claims, what are my next steps?

A: Please reach out to your claims submission vendor to discuss bulk submission of Claim Types 01A, 01B, 05, 11A, 11AB, 31, 32, 33, 41A, and 41B. FHA conducted testing with vendors to prepare for rollout of bulk submissions to their mortgagee clients. Your vendor will be able to walk you through the next steps.

For further assistance, please contact the FHA Resource Center:

- E-mail: answers@hud.gov
- Call 1-800-CALLFHA (1-800-225-5342). Persons with hearing or speech impairments may reach this number by calling the Federal Information Relay Service at 1-800-877-8339.
- Emails and phone messages will be responded to during normal hours of operation, 8:00 AM to 8:00 PM (Eastern), Monday through Friday on all non-Federal holidays