

Transaction Set 824 - Application Advice

Transaction set (TS) 824 can be used to provide the ability to report the results of an application system's data content edits of transaction sets. The results of editing transaction sets can be reported at the functional group and transaction set level, in either coded or free form format. It is designed to accommodate the business need of reporting the acceptance, rejection, or acceptance with change of any transaction set. The application advice should not be used in place of a transaction set designed as a specific response to another transaction set.

HUD will use TS 824, Application Advice to notify mortgagees/lenders of errors within Application for Mortgage Insurance Benefits (TS 260); Mortgage Loan Default Status (TS 264); and Mortgage Record Change (TS 266).

For HUD, TS 824 will indicate the specific loan default report in error and detail the problem found during the edit of TS 264. Mortgagees/lenders will need to submit a corrected entry for that default case with a corrected TS 264.

TS 824 for TS 264 Outline

The following pages contain the 824 transaction set outline, as defined by the X12 standard version 004010, to be used in relation with TS 264.

824 Application Advice

Functional Group ID=AG

Introduction:

This Draft Standard for Trial Use contains the format and establishes the data contents of the Application Advice Transaction Set (824) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to provide the ability to report the results of an application system's data content edits of transaction sets. The results of editing transaction sets can be reported at the functional group and transaction set level, in either coded or free-form format. It is designed to accommodate the business need of reporting the acceptance, rejection or acceptance with change of any transaction set. The Application Advice should not be used in place of a transaction set designed as a specific response to another transaction set (e.g., purchase order acknowledgment sent in response to a purchase order).

Heading:

	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
Must Use	010	ST	Transaction Set Header	M	1		
Must Use	020	BGN	Beginning Segment	M	1		
						LOOP ID - N1	>1
	030	N1	Name	O	1		
Not Used	040	N2	Additional Name Information	O	2		
Not Used	050	N3	Address Information	O	2		
Not Used	060	N4	Geographic Location	O	1		
Not Used	070	REF	Reference Identification	O	12		
Not Used	080	PER	Administrative Communications Contact	O	3		

Summary:

	<u>Pos. No.</u>	<u>Seg. ID</u>	<u>Name</u>	<u>Req. Des.</u>	<u>Max.Use</u>	<u>Loop Repeat</u>	<u>Notes and Comments</u>
						LOOP ID - OTI	>1
Must Use	010	OTI	Original Transaction Identification	M	1		n1
	020	REF	Reference Identification	O	12		n2
	030	DTM	Date/Time Reference	O	2		n3
Not Used	040	PER	Administrative Communications Contact	O	3		n4
Not Used	050	AMT	Monetary Amount	O	>1		n5
Not Used	060	QTY	Quantity	O	>1		n6
Not Used	065	NM1	Individual or Organizational Name	O	9		n7
						LOOP ID - TED	>1
Not Used	070	TED	Technical Error Description	O	1		
Not Used	080	NTE	Note/Special Instruction	O	100		
Not Used	082	RED	Related Data	O	100		n8
						LOOP ID - LM	>1
	085	LM	Code Source Information	O	1		n9

			LOOP ID - LQ	100		
Must Use	086	LQ	Industry Code	M	1	
Not Used	087	RED	Related Data	O	100	n10
Must Use	090	SE	Transaction Set Trailer	M	1	

Transaction Set Notes

1. The OTI loop is intended to provide a unique identification of the transaction set that is the subject of this application acknowledgment.
2. The REF segment allows for the provision of secondary reference identification or numbers required to uniquely identify the original transaction set. The primary reference identification or number should be provided in elements OTI02-03.
3. The DTM segment allows for the provision of date, time, or date and time information required to uniquely identify the original transaction set.
4. The PER segment should be utilized if administrative communications contact information is important to the unique identification of the original transaction set.
5. The AMT segment should be utilized if monetary amount information is important to the unique identification of the original transaction set.
6. The QTY segment should be utilized if quantity information is important to the unique identification of the original transaction set.
7. The NM1 segment allows for the provision of entity identification information required to uniquely identify the original transaction set.
8. The RED segment may be used to provide data related to the error condition specified in the associated TED01 element.
9. The LM loop is used to identify industry-based or proprietary application error conditions.
10. The RED segment may be used to provide data related to the error condition specified in the associated LQ02 element.

Data Mapping Guide

The following data mapping guide for TS 824 for TS 264 is based on version 004010 of TS 824 as defined by the X12 standard. The guide presents essential information for each of the segments and the constituent data elements.

Data Mapping Guide
Transaction Set 824
Application Advice

Segment:	ST Transaction Set Header
Position:	010
Loop:	
Level:	Heading:
Usage:	Mandatory
Max Use:	1
Purpose:	To indicate the start of a transaction set and to assign a control number
Syntax Notes:	
Semantic Notes:	1 The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
Comments:	
Notes:	The ST segment is required each time a Transaction Set is sent.

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Must Use	ST01	143	Transaction Set Identifier Code Code uniquely identifying a Transaction Set	M ID 3/3
			NOTE: The control number is assigned and generated by the HUD's translation software. It should be sequential within the functional group to aid in error recovery and research. The control number in the ST segment (ST02) must be identical to the control number in the SE segment (SE02) for each transaction.	
Must Use	ST02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	M AN 4/9

Segment: **BGN** Beginning Segment
Position: 020
Loop:
Level: Heading:
Usage: Mandatory
Max Use: 1
Purpose: To indicate the beginning of a transaction set
Syntax Notes: 1 If BGN05 is present, then BGN04 is required.
Semantic Notes: 1 BGN02 is the transaction set reference number.
 2 BGN03 is the transaction set date.
 3 BGN04 is the transaction set time.
 4 BGN05 is the transaction set time qualifier.
 5 BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

Comments:
Notes: The BGN segment is required each time a Transaction Set is sent.

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
Must Use	BGN01	353	Transaction Set Purpose Code		M ID 2/2
				Code identifying purpose of transaction set	
				HUD will send code "00" to identify the first transmission of an application advice to mortgagee in response to a previously sent TS 264 (default status report) from the mortgagee.	
				HUD will send code "41" to identify a "resubmit" TS 824 to mortgagee, if the original TS 824 advice is sent in error, and a corrected transaction is now sent to replace the original TS 824.	
				00 Original	
				41 Corrected and Verified	
Must Use	BGN02	127	Reference Identification		M AN 1/30
				Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
				HUD will send "TS264" to indicate this transaction set contains application advice for a TS 264 received previously from the mortgagee.	
Must Use	BGN03	373	Date		M DT 8/8
				Date expressed as CCYYMMDD	
	BGN04	337	Time		X TM 4/8
				Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	
	BGN05	623	Time Code		O ID 2/2
				Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Refer to 004010 Data Element Dictionary for acceptable code values.	
	BGN06	127	Reference Identification		O AN 1/30
				Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	

BGN07	640	Transaction Type Code	O	ID 2/2
		Code specifying the type of transaction Refer to 004010 Data Element Dictionary for acceptable code values.		
BGN08	306	Action Code	O	ID 1/2
		Code indicating type of action Refer to 004010 Data Element Dictionary for acceptable code values.		
BGN09	786	Security Level Code	O	ID 2/2
		Code indicating the level of confidentiality assigned by the sender to the information following Refer to 004010 Data Element Dictionary for acceptable code values.		

Segment: **N1** Name
Position: 030
Loop: N1 Optional
Level: Heading:
Usage: Optional
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.
Semantic Notes:
Comments: 1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.
 2 N105 and N106 further define the type of entity in N101.
Notes: N1 contains the name of the receiving party, who is always the Servicing Mortgagee, along with the 10 digit HUD-assigned Mortgagee number. HUD's application advice to the mortgagees consists of a standard set of 8 data elements, (7 mandatory and 1 optional) referenced in this Data Mapping Guide under the label: Loan Default Notification Data.
 [M] is used to indicate Mandatory requirement.
 [O] is used to indicate Optional requirement.

Data Element Summary

Ref.	Data Element	Name	Attributes
Must Use	N101	98 Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual Loan Default Notification Data: 1. Submitting Organization LV Loan Servicer	M ID 2/3
	N102	93 Name Free-form name	X AN 1/60
	N103	66 Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67) Loan Default Notification Data: 2. [M] Mortgagee Number (Lender ID) 62 Servicing Mortgagee Number	X ID 1/2
	N104	67 Identification Code Code identifying a party or other code Format: Maximum 10 AN characters, left justified.	X AN 2/80
	N105	706 Entity Relationship Code Code describing entity relationship Refer to 004010 Data Element Dictionary for acceptable code values.	O ID 2/2
	N106	98 Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual Refer to 004010 Data Element Dictionary for acceptable code values.	O ID 2/3

Segment:	OTI Original Transaction Identification
Position:	010
Loop:	OTI Mandatory
Level:	Summary:
Usage:	Mandatory
Max Use:	1
Purpose:	To identify the edited transaction set and the level at which the results of the edit are reported, and to indicate the accepted, rejected, or accepted-with-change edit result
Syntax Notes:	1 If OTI09 is present, then OTI08 is required.
Semantic Notes:	<p>1 OTI03 is the primary reference identification or number used to uniquely identify the original transaction set.</p> <p>2 OTI06 is the group date.</p> <p>3 OTI07 is the group time.</p> <p>4 If OTI11 is present, it will contain the version/release under which the original electronic transaction was translated by the receiver.</p> <p>5 OTI12 is the purpose of the original transaction set, and is used to assist in its unique identification.</p> <p>6 OTI13 is the type of the original transaction set, and is used to assist in its unique identification.</p> <p>7 OTI14 is the application type of the original transaction set, and is used to assist in its unique identification.</p> <p>8 OTI15 is the type of action indicated or requested by the original transaction set, and is used to assist in its unique identification.</p> <p>9 OTI16 is the action requested by the original transaction set, and is used to assist in its unique identification.</p> <p>10 OTI17 is the status reason of the original transaction set, and is used to assist in its unique identification.</p>
Comments:	<p>1 OTI02 contains the qualifier identifying the business transaction from the original business application, and OTI03 will contain the original business application identification.</p> <p>2 If used, OTI04 through OTI08 will contain values from the original electronic functional group generated by the sender.</p> <p>3 If used, OTI09 through OTI10 will contain values from the original electronic transaction set generated by the sender.</p>

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u> <u>Name</u>	
Must Use	OTI01	110 Application Acknowledgment Code Code indicating the application system edit results of the business data HUD will send code "BP" in every iteration of Loop OTI, each of which contains an application advice for a single FHA loan reported previously by mortgagee in TS 264. BP Batch Partial Accept/Reject	M ID 1/2
Must Use	OTI02	128 Reference Identification Qualifier Code qualifying the Reference Identification Loan Default Notification Data: 3. FHA Case Number Z8 Federal Housing Administration Case Number The unique loan number assigned by the Federal Housing Administration (FHA) to each FHA loan	M ID 2/3

Must Use	OTI	Code	Description	Format	Length
	OTI03	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Format: Maximum 11 AN characters, left justified. Include hyphen.	M	AN 1/30
	OTI04	142	Application Sender's Code Code identifying party sending transmission; codes agreed to by trading partners	O	AN 2/15
	OTI05	124	Application Receiver's Code Code identifying party receiving transmission. Codes agreed to by trading partners	O	AN 2/15
	OTI06	373	Date Date expressed as CCYYMMDD	O	DT 8/8
	OTI07	337	Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	O	TM 4/8
	OTI08	28	Group Control Number Assigned number originated and maintained by the sender	X	N0 1/9
	OTI09	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	O	AN 4/9
	OTI10	143	Transaction Set Identifier Code Code uniquely identifying a Transaction Set Refer to 004010 Data Element Dictionary for acceptable code values.	O	ID 3/3
	OTI11	480	Version / Release / Industry Identifier Code Code indicating the version, release, subrelease, and industry identifier of the EDI standard being used, including the GS and GE segments; if code in DE455 in GS segment is X, then in DE 480 positions 1-3 are the version number; positions 4-6 are the release and subrelease, level of the version; and positions 7-12 are the industry or trade association identifiers (optionally assigned by user); if code in DE455 in GS segment is T, then other formats are allowed Refer to 004010 Data Element Dictionary for acceptable code values.	O	AN 1/12
	OTI12	353	Transaction Set Purpose Code Code identifying purpose of transaction set Refer to 004010 Data Element Dictionary for acceptable code values.	O	ID 2/2
	OTI13	640	Transaction Type Code Code specifying the type of transaction Refer to 004010 Data Element Dictionary for acceptable code values.	O	ID 2/2
	OTI14	346	Application Type Code identifying an application Refer to 004010 Data Element Dictionary for acceptable code values.	O	ID 2/2
	OTI15	306	Action Code Code indicating type of action Refer to 004010 Data Element Dictionary for acceptable code values.	O	ID 1/2
	OTI16	305	Transaction Handling Code Code designating the action to be taken by all parties Refer to 004010 Data Element Dictionary for acceptable code values.	O	ID 1/2
	OTI17	641	Status Reason Code	O	ID 3/3

Code indicating the status reason

Refer to 004010 Data Element Dictionary for acceptable code values.

Segment: **REF** Reference Identification
Position: 020
Loop: OTI Mandatory
Level: Summary:
Usage: Optional
Max Use: 12
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
 2 If either C04003 or C04004 is present, then the other is required.
 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.
Comments:

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Must Use	REF01	128	Reference Identification Qualifier Code qualifying the Reference Identification Loan Default Notification Data: 4. Mortgage Loan Number LD Loan Number	M ID 2/3
	REF02	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Format: Maximum 20 AN characters, left justified. Include hyphens, if used.	X AN 1/30
	REF03	352	Description A free-form description to clarify the related data elements and their content	X AN 1/80
	REF04	C040	Reference Identifier To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	O
Must Use	C04001	128	Reference Identification Qualifier Code qualifying the Reference Identification Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 2/3
Must Use	C04002	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	M AN 1/30
	C04003	128	Reference Identification Qualifier Code qualifying the Reference Identification Refer to 004010 Data Element Dictionary for acceptable code values.	X ID 2/3
	C04004	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30
	C04005	128	Reference Identification Qualifier Code qualifying the Reference Identification Refer to 004010 Data Element Dictionary for acceptable code values.	X ID 2/3
	C04006	127	Reference Identification Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30

Segment: **DTM** Date/Time Reference
Position: 030
Loop: OTI Mandatory
Level: Summary:
Usage: Optional
Max Use: 2
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:**Comments:**

Notes: The DTM segment provides the month ending date.

Data Element Summary

Ref.	Data	Name	Attributes
<u>Des.</u>	<u>Element</u>		
Must Use	DTM01	374 Date/Time Qualifier Code specifying type of date or time, or both date and time Loan Default Notification Data: 5. Period Ending Date 174 Month Ending	M ID 3/3
	DTM02	373 Date Date expressed as CCYYMMDD	X DT 8/8
	DTM03	337 Time Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99)	X TM 4/8
	DTM04	623 Time Code Code identifying the time. In accordance with International Standards Organization standard 8601, time can be specified by a + or - and an indication in hours in relation to Universal Time Coordinate (UTC) time; since + is a restricted character, + and - are substituted by P and M in the codes that follow Refer to 004010 Data Element Dictionary for acceptable code values.	O ID 2/2
	DTM05	1250 Date Time Period Format Qualifier Code indicating the date format, time format, or date and time format D8 Date Expressed in Format CCYYMMDD	X ID 2/3
	DTM06	1251 Date Time Period Expression of a date, a time, or range of dates, times or dates and times	X AN 1/35

Segment:	NM1 Individual or Organizational Name
Position:	065
Loop:	OTI Mandatory
Level:	Summary:
Usage:	Optional
Max Use:	9
Purpose:	To supply the full name of an individual or organizational entity
Syntax Notes:	1 If either NM108 or NM109 is present, then the other is required. 2 If NM111 is present, then NM110 is required.
Semantic Notes:	1 NM102 qualifies NM103.
Comments:	1 NM110 and NM111 further define the type of entity in NM101.

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u> <u>Name</u>	
Not Used	NM101	98 Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 2/3
Not Used	NM102	1065 Entity Type Qualifier Code qualifying the type of entity Refer to 004010 Data Element Dictionary for acceptable code values.	M ID 1/1
Not Used	NM103	1035 Name Last or Organization Name Individual last name or organizational name	O AN 1/35
Not Used	NM104	1036 Name First Individual first name	O AN 1/25
Not Used	NM105	1037 Name Middle Individual middle name or initial	O AN 1/25
Not Used	NM106	1038 Name Prefix Prefix to individual name	O AN 1/10
Not Used	NM107	1039 Name Suffix Suffix to individual name	O AN 1/10
Not Used	NM108	66 Identification Code Qualifier Code designating the system/method of code structure used for Identification Code (67) Refer to 004010 Data Element Dictionary for acceptable code values.	X ID 1/2
Not Used	NM109	67 Identification Code Code identifying a party or other code	X AN 2/80
	NM110	706 Entity Relationship Code Code describing entity relationship Refer to 004010 Data Element Dictionary for acceptable code values.	X ID 2/2
	NM111	98 Entity Identifier Code Code identifying an organizational entity, a physical location, property or an individual Refer to 004010 Data Element Dictionary for acceptable code values.	O ID 2/3

Segment: **LM** Code Source Information
Position: 085
Loop: LM Optional
Level: Summary:
Usage: Optional
Max Use: 1
Purpose: To transmit standard code list identification information
Syntax Notes:
Semantic Notes:
Comments: 1 LM02 identifies the applicable industry code list source information.

Data Element Summary

Ref.	Data			Attributes
<u>Des.</u>	<u>Element</u>	<u>Name</u>		
Must Use	LM01	559	Agency Qualifier Code	M ID 2/2
			Code identifying the agency assigning the code values	
			HUD will send code "HU" to identify itself as the party assigning the Notice code. Code values in LQ02, data element 1271 - Industry Code.	
			HU Department of Housing and Urban Development	
	LM02	822	Source Subqualifier	O AN 1/15
			A reference that indicates the table or text maintained by the Source Qualifier	

Segment: **LQ** Industry Code
Position: 086
Loop: LQ Mandatory
Level: Summary:
Usage: Mandatory
Max Use: 1
Purpose: Code to transmit standard industry codes
Syntax Notes: 1 If LQ01 is present, then LQ02 is required.
Semantic Notes:
Comments:
Notes: HUD will send a Status Notice Code or Fatal Error Code(s) to mortgagee in the LQ segment.

Data Element Summary

<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
LQ01	1270	Code List Qualifier Code Code identifying a specific industry code list Refer to 004010 Data Element Dictionary for acceptable code values. Reserved for Future Use.	O ID 1/3
LQ02	1271	Industry Code Code indicating a code from a specific industry code list Loan Default Notification Data: 8. [M] Notice Codes. Format: Always 2 AN characters. Status Notice codes are alpha-numeric. Fatal Error Codes: R2 Bad FHA Case Number R3 Bad Opening Status / Invalid Mortgage Status R4 Invalid Oldest Unpaid Installment (OUI) Date R5 Invalid Oldest Unpaid Installment (OUI) Date Format ZY Invalid Mortgage Status FHA Insurance Terminated ZX Invalid Mortgage Status FHA Insurance Claim Terminated R7 Invalid Submitter Authorization RA Bad Unpaid Principal Balance (UPB) RB Bad Occupancy Status RC Bad Occupancy Date RD Bad Default Status Date RE Bad Reason of Default RF General Error Non-Fatal Error Code: B2 Bad Loan Number	X AN 1/30

Status Notice codes:

06 Formal Forbearance Plan
08 Trial Payment Plan
09 Special Forbearance
10 Partial Claim Started
11 Promise to Pay
12 Repayment/Informal Forbearance Plan
13 Paid in Full
15 Pre-foreclosure Acceptance Plan Available
17 Pre-foreclosure Sale Completed
1A Foreclosure Sale Held
1E Eviction Started
20 Reinstated by Mortgagor Who Retains Homeownership w/o
Loss Mitigation Intervention
21 Reinstated by Assumptor
24 Government Seizure
25 Cancel
26 Refinance Started
28 Modification Started
29 Charge-off
30 Third Party Sale
31 Probate
32 Military Indulgence
33 Contested Foreclosure
34 Natural Disaster
36 FHA-HAMP Standalone Partial Claim Started
37 FHA-HAMP Standalone Modification Started
38 Recovery Modification Started without a Partial Claim
39 FHA-HAMP Trial Payment Plan
3A Advance Modification Started
3B Prequalified for 601
41 FHA-HAMP Modification/Partial Claim Started
44 Deed-in-Lieu Started
46 Property Conveyed to Insurer
47 Deed-in-Lieu Completed & Property Conveyed
48 Claim without Conveyance of Title
49 Assignment Completed
53 Combination Partial Claim/Modification Started (Non FHA-
HAMP)
59 Chapter 12 Bankruptcy
61 Recovery Modification Started with a Partial Claim
65 Chapter 7 Bankruptcy
66 Chapter 11 Bankruptcy
67 Chapter 13 Bankruptcy
68 First Legal Action to Commence Foreclosure
69 Bankruptcy Plan Confirmed
73 Property Redeemed
76 Bankruptcy Court Clearance Obtained
78 Borrower Program Assistance Received
95 State Mandated Delay &/or Mediation

96 Federal Law Mandated Delay &/or Mediation
98 Reinstated after Loss Mitigation Intervention
AA Complete Financials Received and In Review
AH Streamlined Financials Received and In Review
AO Ineligible for Loss Mitigation
AP Ineligible for Loss Mitigation Due to No Response
AQ Option Failure
AS HUD FC Moratorium

Segment: **SE** Transaction Set Trailer
Position: 090
Loop:
Level: Summary:
Usage: Mandatory
Max Use: 1
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes:
Semantic Notes:
Comments: 1 SE is the last segment of each transaction set.
Notes: The SE segment is required each time a Transaction Set is sent.

Data Element Summary

	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
Must Use	SE01	96	Number of Included Segments Total number of segments included in a transaction set including ST and SE segments	M N0 1/10
Must Use	SE02	329	Transaction Set Control Number Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set NOTE: The control number is assigned and generated by the HUD's translation software. It should be sequential within the functional group to aid in error recovery and research. The control number in the SE segment (SE02) must be identical to the control number in the ST segment (ST02) for each transaction.	M AN 4/9

Paperless Default Reporting

Changes have occurred as a result of the conversion to EDI, which impact forms and procedures. The changes are as follows:

- A revised form HUD-92068A, dated 6/2006, has replaced the previous HUD-92068A and is available at www.hudclips.org.

Mortgagees utilizing EDI to submit default information will use X12 TS 264, Mortgage Loan Default Status. One or more default cases can be reported in a single TS 264, as shown in the business scenarios and the data mapping guide.

Batch Control and Processing. The electronic communication process will consist of the following:

- The mortgagee, using in-house applications software, creates a TS 264 to report on one or more loans in default, i.e., have had no payments for 30 days (at least one full installment due and unpaid). The TS 264 transmission must be received at HUD by the 5th working day of the month. The default information should be completed without errors, assuming the mortgagee has updated its mortgage loan default form generation software based on the specifications provided by HUD. This information is sent to HUD electronically via the EDI process.
- HUD sends a TS 997, Functional Acknowledgment, to the EDI trading partner (HUD Servicer or EDI Service Bureau) electronically indicating whether the TS 264 was received and if accepted or rejected based on X12 compliance.
- HUD processes the contents of the TS 264. If an error is detected for any of the cases within the TS 264, HUD will send an Application Advice, TS 824, to the mortgagee indicating the specific mortgage loan default report in error and detailing the problem.
- If a TS 824 is received, the mortgagee needs to submit a corrected entry for that default case within a corrected transaction set 264.
- The mortgagee continues to submit TS 264 containing mortgagee loan defaults by the 5th working day of every month. When a specific default case is closed, either because an insurance claim is processed or the default is cured, the mortgagee indicates the reason using the proper Status Code (HUD-92068A Section 16a; groups AR, CT, or NC; or ML 06-15 Appendix 1, as appropriate) in the correct location in TS 264.

It is the mortgagee's responsibility to:

- incorporate the specifications provided by HUD into their form generation software.
- generate the TS 264 correctly and transmit it on time.
- monitor their Value Added Network (VAN) EDI mailbox or HUD Secure FTP server directory for HUD-initiated messages [response transactions], i.e. TS 997 and TS 824.
- respond to TS 824 with timely corrections.

The mortgagee will need to check their mailbox regularly. The TS 997 does not require any processing on the part of the mortgagee; however, TS 824 does. If a TS 824 is received, the mortgagee's EDI software should send a TS 997 to HUD confirming receipt. The mortgagee will use the TS 824 information to correct the loan in error and submit the corrected transaction with the appropriate code in the BGN segment to indicate, "Corrected and verified" as part of a TS 264.