

STACI GILLIAM

CONDUCTING COMPLIANCE

HUD ACT  
of 1968

50  
Years

1968 - 2018

50 Years of Promoting  
Economic Opportunity



# NATIONAL TRAINING CONFERENCE

SECTION 3  
of the Housing and Urban  
Development Act of 1968

Day 3  
June 20, 2018





# NATIONAL TRAINING CONFERENCE

## How FHEO Staff Performs Its Function - Part 2 Conducting Compliance Reviews

Staci Gilliam

Day 3

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## Monitoring Section 3 Compliance

- Review of Section 3 Annual Reports
- Compliance Reviews
- Voluntary Compliance Agreements (VCAs)
- Front End Civil Rights Reviews

## Section 3 Annual Reports

- SPEARS submissions
- Minimum numerical goals
- Written explanations
- Future steps -- Grantees & HUD

## Compliance Reviews

- Risk assessments
- Onsite or remote reviews
- Notifications and data requests
- Opening conference
- Section 3 Policies and Procedures

## Compliance Reviews

- Interviews of staff and other key persons
- Records
- Data verification
- Community partners
- Current and future covered projects

## Voluntary Compliance Agreements (VCA)

- Findings of noncompliance
- Corrective action
- Deliverables/milestones
- Penalties

## Front End Civil Rights Reviews

- Strategic planning process
- Triggering responsibilities
- FHEO's role
- Enforcement actions

# CONDUCTING COMPLIANCE REVIEWS

## Q&A

