

Home-ARP Plan

Consultation

Describe the consultation process including methods used and dates of consultation:

Table I: List of organizations consulted:

Agency/Org Consulted	Type of Agency/Org	Method of Consultation	Feedback
San Joaquin CoC	Continuum of Care	Special Meeting of the Strategic Planning Committee. Various presentations and CoC committee meetings.	See narrative
Stockton Shelter for the Homeless	Homeless Service Provider	Client and Staff Discussion Group	See narrative
St. Mary's Dining Room	Homeless Service Provider	Client and Staff Discussion Group	See narrative
Women's Center Youth and Family Services	Domestic Violence Service Provider	Client and Staff Discussion Group	See narrative
San Joaquin County Veteran's Services	Veteran's Services	Interview with Matt Garber, Asst. Director HCSA	See narrative
Housing Authority County of San Joaquin	Public Housing Authority	Interview with Peter Ragsdale, Executive Director HACSJ	See narrative
Health Care Services Agency	Public Agency, addressing the needs of QPs, including people with disabilities	Interview with Natascha Garcia, Program Director Whole Person Care	See narrative
Reinvent South Stockton	Private Organization addressing fair housing, civil rights and needs of people with disabilities	Client and Staff Discussion Group	See narrative

Summarize feedback received and results of upfront consultation with these entities:

In March 2022, several discussions were held to gather input on how best to prioritize funding coming into the City of Stockton, including HOME-ARP. Commonalities and key priorities emerged in all groups, though the discussions with persons with lived experiences focused more on immediate service and housing needs and less on systems and capacity building activities.

The need for affordable permanent housing and permanent supportive housing for individuals who are homeless or at risk of homelessness was identified as the overwhelming priority, especially for

vulnerable populations including youth, individuals fleeing domestic violence, veterans, and those with medical and behavioral health concerns.

“We need housing above all”

Increase availability. Waitlists for housing support can exceed two years, and once a housing voucher or rent support is made available, there are still no units within an affordable price range or willing to accept them as tenants. Service providers felt that in order to move people out of homelessness and into housing, the system will need to control a larger supply of housing. Under the current rental market, with a less than 2 percent vacancy rates, programs are paying costs significantly above market rents to house homeless individuals.

Case management to address client needs. Individuals and families need more programming about how to manage and maintain housing, and how to break the barriers to obtaining housing. There is broad agreement that it is a misconception that persons experiencing homelessness do not want to work, though many experiencing homelessness said that lack of water for basic hygiene makes seeking and maintaining employment difficult. Overall, participants recommended more supports to prepare (including training programs), search, and apply for jobs.

Ensure the type of housing meets the unique needs of clients. “Safety, affordability, stability”

Both adults and youth stated that the type of housing offered was less important than having long-term, stable, affordable, and safe housing that is located close to services and resources. Individuals were willing to consider co-housing situations as long as they had their own room with a door that locks to ensure their safety and the security of their belongings. Housing providers additionally noted the need to be innovative around the types of housing and encouraged thinking beyond typical single-family houses and apartments (i.e., tiny homes, renting rooms, converting unused hotels and motels to housing, pods, and individual and efficiency units, like dorms).

Public Participation

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

- *Date(s) of public notice:* 5/26/2022
- *Public comment period:* 5/31/2022 – 6/14/2022
- *Date(s) of public hearing:* 6/14/2022

Describe the public participation process

The public planning process occurred in collaboration with local homeless service providers, housing advocates, the Housing Authority, the San Joaquin Continuum of Care, and homeless individuals and families, including youth and people who were at imminent risk of homelessness.

San Joaquin Continuum of Care serves as the regional coordinating entity over homeless services. The goal of the SJCoC is “to provide a comprehensive, coordinated homeless, housing, and services delivery system.” SJCoC is governed by a membership body and a 19-member governing board made up of representatives from local homeless serving organizations, people with lived experiences, representatives of different local governments, including the City of Stockton, and the Housing Authority of San Joaquin.

Housing Authority of San Joaquin. Operating with federal oversight, local housing authorities are tasked with administering public housing projects and the distribution of housing choice vouchers – a national program that subsidizes a portion of rent payments directly to landlords. Over the past five years the Housing Authority of San Joaquin has worked aggressively to increase its portfolio and has significantly added to the City’s housing stock of both affordable rental apartments and permanent supportive housing for people who are homeless.

Service Providers. The primary entities that meet the day-to-day needs of those who are homeless are the local community-based organizations, faith-based institutions, and emerging grassroots collections of neighborhood activists and people with lived experiences working jointly to make change in their neighborhoods and communities. The service continuum is comprised of many significant partners, who provide a broad range of services. The CoC, is an all-volunteer entity, made up of local service providers and housing advocates. These include:

- Housing developers and affordable housing providers
- Housing navigators, helping to find and maintain housing
- Providers of emergency shelters and other temporary dwellings
- Housing advocates and community-based tenant assistance and eviction prevention programs
- Outreach teams, providing a mobile, on-site health, case management, and other services
- Food pantries, meal programs, clothes pantries, etc. in fixed locations to meet basic needs
- Health and behavioral health clinics

Homeless Individuals. Public participation including intensive efforts to engage people with lived experiences in homelessness into conversations about their experiences and recommendations for

addressing homelessness. Methods of engagement included on-site discussion groups with clients at three different homeless shelters, including one for adults, one for families, and one for youth. In addition, the plan incorporates the findings from nearly 250 interviews conducted by members of the homeless street outreach team with unsheltered individuals.

Citizen Participation The local planning process was incorporated citizen input made during several public meetings including meetings of the Continuum of Care and of the City's Ad-hoc Committee on Homelessness. In general citizens are concerned with the growing number of people who are living unsheltered and are urging permanent solutions to address homelessness and housing insecurity.

Describe efforts to broaden public participation:

This Plan reflects the input from many community members and an extensive review of data and reports. To fully understand the issues, concerns, and gaps in the services system, extensive interviews and discussions were held with individuals and families who are experiencing homelessness, individuals who had lived experiences of homelessness, youth with lived experiences of homelessness, administrators of the Public Housing Authority, the County Health Care Services Agency, and the County Whole Person Care homeless outreach initiative.

Group discussions were held with the Housing Justice Coalition of the Reinvent South Stockton Initiative and the SJCoC Strategic Planning Committee.

The Plan also encompasses a survey, conducted by the United Way of San Joaquin, of 243 unsheltered individuals living in homeless encampments and other locations. Survey results provide valuable information on the challenges surrounding homelessness, including how to best serve unsheltered individuals and insight into why shelters are not at full capacity.

Summarize the comments and recommendations received through the public participation process either in writing, or orally at a public hearing:

Proposed objectives, strategies and funding plans were **discussed at a regular meeting of the Stockton City Council on June 14, 2022**. A presentation was shared at the meeting to provide an overview of key findings from the assessment of needs, including local strengths and challenges which drove funding recommendations, strategies, and goals to be achieved

Members of the public in the audience included representatives from local shelters and homeless outreach providers. The meeting was live streamed on the City's cable channel. No public comments were received during the meeting on June 14, 2022.

Council members expressed a strong commitment to addressing homelessness, along with some frustration that more has not yet been achieved, especially in the areas of addressing veteran homelessness, rising rates of chronic homelessness, and disproportional numbers of African American individuals experiencing homelessness. Council members expressed support for the recommendations and urged city staff to work as expediently as possible to fulfill plan goals and to meet or exceed plan objectives.

Summarize any comments or recommendations not accepted and state the reasons why:

None. All comments accepted.

Needs Assessment and Gaps Analysis

Table II: Homeless Needs Inventory and Gap Analysis

Homeless													
	Current Inventory					Homeless Population				Housing Gap Analysis			
	Family		Adults Only		Vets	Family HH (at least 1 child)	Adult HH (at least 1 child)	Vets	Victims of DV	Family		Adults Only	
	# of Beds	# of Units	# of Beds	# of Units	# of Beds					# of Beds	# of Units	# of Beds	# of Units
Emergency Shelter	366	87	713	Unav.	56								
Transitional Housing	255	63	178	Unav.	0								
Permanent Supportive Housing	91	272	460	Unav.	326								
Other Permanent Housing	0	0	14	Unav.	0								
Sheltered Homeless						487	475	34	22				
Unsheltered Homeless						0	1,354	90	10				
Current Gap										487	240	1,829	914

- Unav. Data is missing or not reported on Housing Inventory Count or Point in Time Count reports.

Table III: Housing Needs Inventory and Gap Analysis

Non-Homeless			
	Current Inventory	Level of Need	Gap Analysis
	# of Units	# of Households	# of Households
Total Rental Units	87,737 San Joaquin County Housing Element 2015-2023		
Rental Units Affordable to HH AT 30% AMI (At-Risk of Homelessness)	750 Rough Estimate		
Rental Units Affordable to HH at 50% AMI (Other Populations)	2500 Rough Estimate		
0%-30% AMI Renter HH w/ 1 or more severe housing problems (At Risk of Homelessness)		8,000 Estimate from HDIS data of unique count of homeless persons served, in 2020.	
30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations)		13,293 From Regional Housing Needs Allocation Plan by SJCOG	
Current Gaps		19,293	16,000 (Estimate)

- Data is for the entirety of San Joaquin County

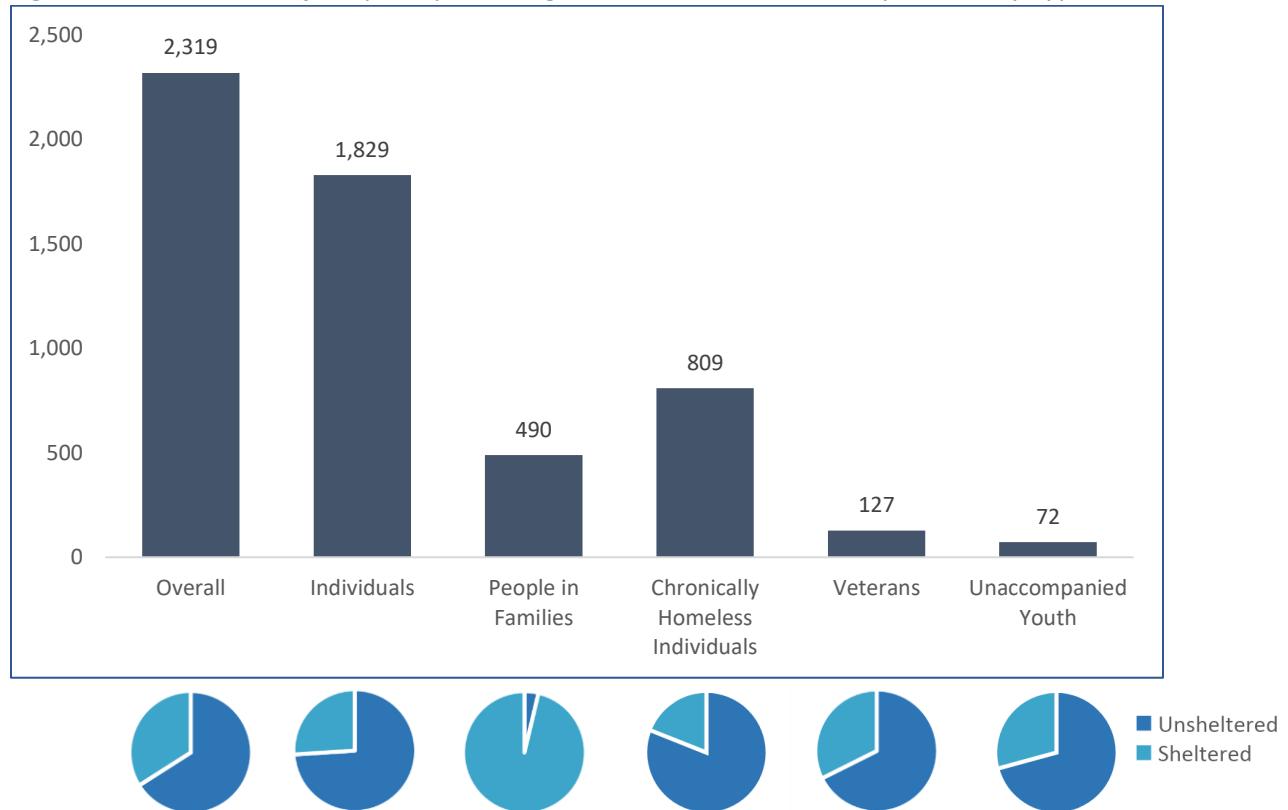
Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

- **Homeless as defined in 24 CFR 91.5**

Findings from the Countywide PIT Count

The SJCoC 2022 PIT Count identified 2,319 individuals experiencing homelessness in the County on the day of the count. Over half (58%, n=1,355) were in unsheltered locations such as on the street, in abandoned buildings, or in other places not suitable for human habitation, and 42% (n=964) were in sheltered locations—emergency shelters or transitional housing programs. The majority (79%) were individuals, and the rest were people living in families with children. Among the individuals counted, 72 were unaccompanied youth (people under the age of 25 experienced homelessness on their own) and 127 were veterans. There were 809 individuals experiencing chronic homelessness in the County during the 2022 PIT Count, representing 35 percent of the homeless population. Over 80 percent of individuals experiencing chronic homelessness in the County are unsheltered (Figure 1).

Figure 1. Total Number of People Experiencing Homelessness in the County in 2022 by Type



Source: 2022 SJCoC PIT Count

Sixty-six percent of the unsheltered population is living within the City of Stockton. Key findings of the recent unsheltered count of people within Stockton are:

- 49% identified as chronically homeless
- 82% are from Stockton
- 6% are veterans
- 83% have been homeless for one year or more

Additionally, volunteers did not observe any unsheltered households with children, indicating some success by the Family/HT/DV Homeless Outreach teams in rapidly diverting homeless families into programming.

Volunteers counted 90 unsheltered veterans and 34 sheltered veterans however, following the count event, the community celebrated the opening of Victory Gardens, a permanent supported housing program serving homeless veterans.

- **At Risk of Homelessness as defined in 24 CFR 91.5**

The San Joaquin County Office of Education estimates that nearly 5,000 students are homeless as defined by the McKinney Vento Act, including youth and families that are doubling up with family or friends, couch surfing, or moving several times during the course of one year. As of 2018, the Office of Education counted 4,618 students.

The Family Resource and Referral Agency operates the County 211 information line. According to 2021 data there were over 15,000 calls for housing assistance in 2021. It is further estimated that of the callers nearly 4,000 were either at imminent risk of homelessness or had recently become without a permanent place to live. Of the calls:

- 4,575 Rent Payment Assistance (due to COVID impact)
- 3,372 Low-Cost Home Rental Listings
- 2,246 Rent Payment Assistance (general)
- 1,847 Rapid Re-housing / Homeless Individuals
- 1,363 At Imminent Risk of Homelessness
- 1,189 Housing Choice Vouchers
- 1,134 Women seeking shelter programs

Given an estimated household size of 2 people (the County Housing Element estimates the typical household to have 3.2 individuals), the City estimates that there are approximately 8,000 people at risk of homelessness in the region.

- **Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice**

Family violence is a major concern in this community. According to the San Joaquin County District Attorney's Office there were over 1,800 cases filed with the courts involving domestic or other family violence. Among the family violence cases filed in 2021:

- 1,162 Domestic Violence
- 180 Stalking or Human Trafficking

- 540 Child Abuse and Child Sexual Assault
- 178 Elder and Dependent Abuse

Within the County, there are over 6,000 victims of Domestic Violence that are receiving ongoing assistance through the victims' services and an additional 3,000 who have recently experienced harm in the form of sexual assault, stalking, or human trafficking.

Approximately half of the cases referred to the DA's Office for review pertaining to family violence are not filed for prosecution.

- **Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice**

The median household income in the City of Stockton is estimated at \$58,393, higher than national trends, but lower than other parts of California.

For a household of three the median income is established at \$67,500. Households are considered low income if earning under \$40,000; very low income if earning under \$33,750; and extremely low income if earning under \$21,720.

According to the San Joaquin Council of Governments there are an estimated 231,000 households in the County. Of which:

- 13,398 households (5.8%) earn less than \$10,000
- 12,936 households (5.6%) earn less than \$14,000
- 23,562 households (10.2%) earn less than \$25,000
- 21,714 households (9.4%) earn less than \$35,000

The San Joaquin Council of Governments is further estimating that across the region an additional 21,637 housing units are needed serving low- and very low-income households; of which 4,014 should be built within the City of Stockton.

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

The City administers, coordinates, and distributes funding for housing and homelessness services through its Economic Development Department. Funding dedicated to housing and homelessness services includes Community Development Block Grant (CDBG) funds, Emergency Solutions Grant (ESG) funds, HOME Investment Partnerships, Homeless Housing, Assistance and Prevention Program (HHAP) grants and special CARES Act allocations including CDBG-CV and ESG-CV.

Notable examples of City investments to develop resources to assist qualifying populations include:

a. Local health care and managed care plans

Assessment and Respite Center: The City Economic Development Department and Police Department partnered with Community Medical Centers and County Behavioral Health on the establishment of an Assessment and Respite Center for homeless individuals in need of mental health care, substance use disorder treatment (including medication-assisted treatment for opiates), and a safe place to stay during assessment and initial treatment. The Economic Development Department provided strategic funding

for the facility and Stockton Police provides on-going support and referrals. (*Local health care, behavioral health, justice partnership*)

b. Public health systems

Homeless Outreach Team (HOT): The City Police and Fire Departments partner with a number of public and private organizations on a multi-agency HOT to ensure that homeless encampments are safe and sanitary, to provide illness and disease prevention, health care and behavioral health services, and to encourage individuals to move to shelters or housing. Partners include County Behavioral Health Services, Whole Person Care teams, Community Medical Centers, San Joaquin District Attorney's Office, and County Public Health Services. (*Behavioral health, local health care, justice, and public health partnership*)

c. Behavioral health

Progressive Housing: Stockton Self-Help Housing and County Behavioral Health developed scattered-site Permanent Supportive Shared Housing facilities for over 60 individuals with severe mental illness who were homeless or at risk of homelessness. The City provided HHAP funding for additional services. Mental Health Services Act funds are used for operational costs (*Behavioral health partnership*)

Crossways Residences: The City partnered with the Housing Authority of San Joaquin and County Behavioral Health to provide strategic funding for 42 Permanent Supportive Housing units for individuals with severe mental illness. Mental Health Services Act funds were used in the rehabilitation and operation of the three housing facilities. (*Public housing authority/behavioral health partnership*)

d. Homeless services

Navigation Services: The City provided strategic funding to the Family Resource and Referral Center to develop its 211 service to assist homeless individuals locate and access the services they need. The City also provides funding to St. Mary's Dining Room, a comprehensive navigation center for homeless individuals offering housing navigation, comprehensive case management, on-sight, full scope medical and dental clinics, as well as programming and activities to meet basic needs including serving three meals a day, providing 150 showers a day, operating a clothes pantry, library, learning center, and community socialization groups to hundreds of sheltered and unsheltered homeless individuals daily. (*Social service partnership*).

Shelter Programs: The City provides strategic funding for emergency shelter and navigation programs for Adults, Families, Women Escaping Domestic Violence and Youth. Within the County there are 1,161 shelter beds, about half of which (n=554) are within the City of Stockton.

Stockton Shelter for the Homeless: This shelter is adding 180 low barrier beds and a one-stop navigation center. The City provided funding through HHAP, CDBG, and ESG-CV for these services as well as outreach, rental assistance, landlord incentives, and permanent housing. (*Social service partnership*)

d. Housing Projects

Town Center Studios: In 2020, the City Council approved a \$4.2 M application for Project Homekey - a collaboration between the City, STAND Affordable Housing, and Central Valley Low Income Housing. The resulting project, Town Center Studios, has 20 rehabilitated units with an additional 20 units in development. (*Public housing authority/social services partnership*)

Victory Gardens: A 49-unit permanent affordable housing project, was developed by the Housing Authority of San Joaquin in conjunction with partner funding from the City of Stockton Home Investment Partnership, CDBG funds, and the State Veteran Housing and Homelessness Prevention program. *(Public housing authority/social services partnership)*

Flora Street Project: Visionary Home Builders and the City jointly applied for a Project Homekey grant on the Flora Street project, a 15-unit housing development for survivors of domestic violence and human trafficking, in collaboration with the Women's Center Youth and Family Services. The City plans to allocate matching funds for the project. *(Non-profit affordable housing developer/Social service partnership)*

Housing Authority of San Joaquin applied for a Project Homekey grant to renovate a 120-room motel into permanent housing units in collaboration with STAND and Central Valley Low Income Housing. The City provided a \$6 M match of American Rescue Plan funds for the project. *(Public housing authority/social services partnership)*

e. Justice entities

Law Enforcement Assisted Diversion: Stockton Police, in partnership with County Behavioral Health and the County District Attorney's Office operates a Law Enforcement Assisted Diversion (LEAD) project for homeless individuals with behavioral health issues who may have committed non-violent crimes. LEAD's goal is to foster trusting relationships with unsheltered individuals and encourage participation in intensive behavioral health and health care services that would lead to permanent housing. *(Justice/behavioral health partnership)*

Describe the unmet housing and service needs of qualifying populations:

There are insufficient housing and services across all areas of the continuum of care. In addition to the housing shortages described above, the CoC and other community partners have identified the following challenges.

- **Homeless as defined in 24 CFR 91.5**
 - There is an insufficient supply of housing units to meet demand at all levels and vacancy rates for affordable housing units are at functional zero. Practically, this means that landlords can be selective about their tenants and are less likely to enter into rental agreements with people that have experienced evictions or prior episodes of homelessness. Housing Choice Vouchers are allocated, but not fully subscribed due to a paucity of landlords willing to enter into lease agreements under the voucher terms.
- **At Risk of Homelessness as defined in 24 CFR 91.5**
 - Federal Emergency Rental Assistance Program (ERAP) funding released during the COVID-19 pandemic may have been pivotal to averting increases in homelessness. The recent PIT count showed a potential flattening of the (until now) steep year-to-year increases in homelessness. The City of Stockton received over \$40 million in ERAP funds and, through community-based partners, approved over 5,000 families for rental assistance, potentially averting homelessness. With the funds ending City Council Members and Supervisor's

offices have been flooded with calls from concerned community members about how they or their loved ones will stay housed.

- **Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice**
 - As stated above, a significant number of the cases referred to the District Attorney’s Office pertaining to family violence are uncharged. According to input from prosecutors on the topic at public meetings of the CoC, one of the key factors in prosecution is the interest of the victim in filing charges. Unfortunately, all too often, victims are faced with the uncomfortable choice of pursuing prosecution for the harm that occurred, while knowing that such prosecution could result in removal of an income-earner from the household. Reports from the homeless outreach team suggest that the majority of the homeless households with children are women escaping domestic violence situations.
- **Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice**
 - An estimated 70,000 households earn very low- or extremely low- incomes. Every single low-income household is at risk for homelessness. Homeless individuals and families recount consistent stories of being stably housed until one or more emergency situation left them homeless. According to the survey of unsheltered homeless individuals the most common reasons associated with homelessness were eviction, divorce/separation, medical emergency, or job loss. In addition to a range of services for people experiencing homelessness, it is important for healthy communities to have a wide range of homeless prevention services, including adequate health care; school based early interventions, family support and counseling, and tenant assistance programs.

Identify any gaps within the current shelter and housing inventory as well as the service delivery system:

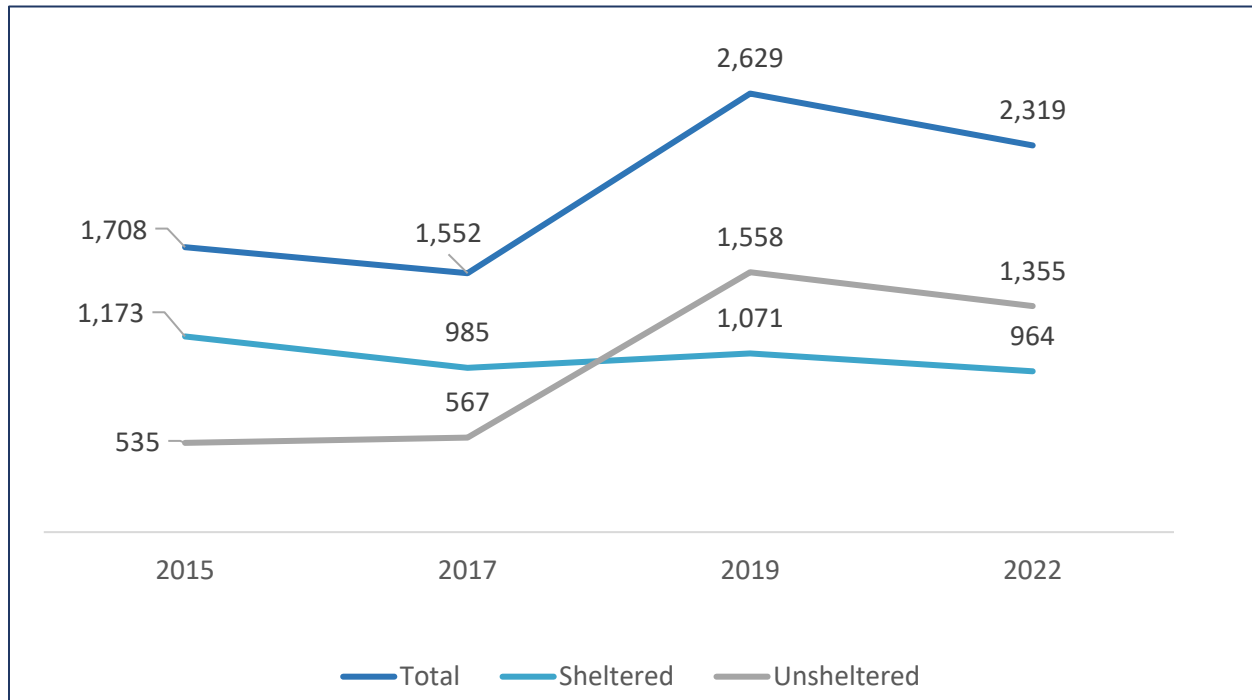
	<u>Total Bed Capacity</u>	<u>Occupancy</u>	<u>Utilization Rate</u>
Rapid Re-housing	290	290	100%
Permanent Supportive Housing	722	577	80%

All RRH units are fully utilized and the wait list to receive RRH services is long, as of April 1, 2022, there were 817 people enrolled and waiting for placement through RRH. Actual enrollments in RRH are lower somewhat lower than indicated by the HIC data, which measures “beds,” inclusive of all individuals housed within a family unit. The SJCoC HMIS report shows approximately 150 enrollments in RRH programs in January 2022. Similarly, it reports approximately 450 enrollments into permanent supportive housing, somewhat lower than the bed count described by the HIC.

Approximately 270 individuals are enrolled and waiting for placements in permanent supportive housing. It is likely that some of the capacity counted and reported through the HIC in January, 2022 was pending a grand opening of a housing development for homeless veterans at the time of the count, and while underutilized at the time is now more fully subscribed.

Provide additional characteristics associated with instability and increased risk of homelessness in their HOMEARP allocation plan. These characteristics will further refine the definition of “other populations” that are “At Greatest Risk of Housing Instability,” as established in the HOMEARP Notice. If including these characteristics, identify them here:

Figure 2. PIT Count of People Experiencing Homelessness in the County by Year



Source: 2015 & 2017 CA-511 HUD PIT Report; 2019, 2022 SJCoC PIT Count

Unsheltered homelessness rose rapidly between 2017 and 2019. Whereas most persons experiencing homelessness were sheltered in 2017, by 2019 the majority were unsheltered (Figure 2). The gap between sheltered and unsheltered persons remains relatively consistent from 2019 to 2022 (59% and 58%, respectively). Notably, there appears to be slight declines in the total number of people counted as homeless between 2019 and 2022, though additional data is needed to verify this finding. Additional key findings include:

- While nationally the number of veterans experiencing homelessness is declining, and some states and communities have reached functional zero for veteran homelessness, the percentage of persons experiencing homelessness who are veterans in the County remains relatively unchanged from the PIT Count in 2019 to 2022 (6% of total homeless in 2019 and 2022).
- The percentage of individuals experiencing chronic homelessness has increased from 27 percent in 2019 to 35 percent in 2022. This means that more people experiencing homelessness stay unhoused for longer periods of time.
- Persons who are Black or African American are overrepresented among individuals experiencing homelessness in Stockton—comprising 28% of the city’s homeless population and 12% of the city’s population.

- While 44 percent of Stockton residents identify as Hispanic/Latino, this group makes up 31 percent of the city's homeless population.
- Like the county, the state, and the nation, more males experience homelessness in Stockton than females (66% versus 34%).
- Just over one quarter (27%) of individuals experiencing homelessness in Stockton reported having a significant mental illness and the same percentage reported having a substance use disorder.

Identify priority needs for qualifying populations: Enter narrative response here. Explain how the PJ determined the level of need and gaps in the PJ's shelter and housing inventory and service delivery systems based on the data presented in the plan:

The City of Stockton, in partnership with the San Joaquin Continuum of Care, used a community planning process to establish priority goals and programming activities to serve individuals and families who are homeless and/or at-risk of homelessness. The community established several major objectives, including:

1. Increase the supply of affordable and permanent housing, and
2. Expand and enhance emergency, crisis services to for those experiencing homelessness.

Goals and strategies to meet targeted objectives are described below.

HOME-ARP funds will be specifically allocated to support affordable housing production, addressing the highest priority need, with a goal of increasing the proportion of people who exit homelessness to permanent housing by 30% and reducing the number of people who are forced to become homeless for the first time due to the unavailability of affordable housing.

Community Goals, Objectives and Justification

Goal 1A: Reducing the number of persons experiencing homelessness.

↓ Reduce 15%

Baseline: The annual estimate of the number of people accessing services who are experiencing homelessness for San Joaquin County is 8,034, according to HDIS data from the State of California.

Approach: Through local investments, the City of Stockton anticipates up to 200 units of affordable housing and 50 units of permanent supportive housing will be developed. It is anticipated that each unit will house 1-2 people for an average of 1.6 persons per unit. This will reduce the number of people experiencing homelessness by approximately 400 individuals, within the City of Stockton alone.

Equity Goal: *Reduce the overall total of those experiencing homelessness and reduce the proportion that are African American, from 28% to 20%.*

Goal 1B: Reducing the number of persons experiencing homelessness on a daily basis.

↓ Reduce 8%

Baseline: The daily estimate of the number of people experiencing unsheltered homelessness in San Joaquin County is 1,558, according to HDIS data from the State of California.

Approach: The City of Stockton is working with program partners to open approximately 300 new low-barrier shelter beds. On a daily basis it is anticipated that 240 additional individuals will be accessing shelter services.

Equity Goal: Reduce unsheltered homelessness among African Americans by 15%.

Goal 2: Reducing the number of people who become homeless for the first time.

↓ Reduce 15%

Baseline: The annual estimate of the number of people who become homeless for the first time in San Joaquin County is 3,520, according to HDIS data from the State of California.

Approach: Recent City investments of over \$40 million in rental assistance funds may contribute to lowering first-time homelessness within the County. Additionally, the City will continue to maintain rapid re-housing programs and support fair housing advocacy organizations to help tenants resolve situations and prevent evictions. HOME-ARP funds will be used to support additional affordable housing development.

Equity Goal: Reduce the proportion of those newly experiencing homelessness who are African American from 32% to 24%

Goal 3: Increasing the number of people exiting homelessness to permanent housing.

↑ Increase 30%

Baseline: The annual estimate of the number of people exiting homelessness and into permanent housing throughout San Joaquin County is 833, according to HDIS data from the State of California.

Approach: As discussed above, 200 units of new affordable housing targeting homeless individuals are in development. It is anticipated that most individuals who occupy the new units will be homeless, either unsheltered or living in temporary accommodations or shelters, immediately prior to occupancy. Additional investments into market rate and affordable housing will reduce the pressure on the housing inventory.

Equity Goal: Increase the rate of single people exiting to permanent housing from 6% to 8%, for an additional 58 adults in households without children exiting to permanent housing.

Goal 4: Reducing the length of time persons remain homeless.

↓ Reduce 10%

Baseline: On average it takes 72 days between enrollment into in street outreach, emergency shelter, transitional housing, safe haven projects and move-in for persons

entering rapid rehousing and permanent housing in San Joaquin County, according to HDIS data from the State of California.

Approach: Through investments in the CES and HMIS, the City hopes to streamline processes and quickly move people into housing. More critically, the City seeks to reduce the proportion of people who report being homeless for five or more years from 26% to 20%.

Equity Goal: *The length of time to enrollment in housing for veterans and people with HIV will reduce 50%, and approximate local averages for all people,*

Goal 5: Reducing the number of persons who return to homelessness after exiting homelessness to permanent housing.

↓ Reduce 9%

Baseline: Currently, 10% of those housed in permanent housing throughout San Joaquin County return to homelessness, according to HDIS data from the State of California.

Approach: The City anticipates completion of several permanent supportive housing units within the next few years, adding 50 new PSH units to the community. These units are paired with mental health case managers who work intensively with clients to manage medications, control behaviors, and stabilize within their homes. With intensive case management and support it is anticipated that more individuals will successfully maintain their housing. Additionally, the City is committing \$200,000 annually to housing providers for onsite case managers and other operating supports as needed to help newly housed tenants stay securely in their homes.

Equity Goal: *Reduce the proportion of people who have fled domestic violence and who subsequently return to homelessness after being placed in permanent housing from 20% to 12%.*

HOME-ARP Funding Plan

Describe the method(s) that will be used for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors:

The City of Stockton uses a public procurement process to provide notifications of funding availability. Interested applicants are invited to submit project concepts. In general, the following criteria are used in selecting projects:

- Adherence to local vision and needs
- Site control
- Experience and capacity of project team
- Capacity to leverage additional financing

The City reserves the right to enter into discussions with any and all applicants in the interest in identifying the project best situated to meet public needs.

The City has developed an Affordable Housing Loan Program. The purpose of the Affordable Housing Loan Program is to facilitate the development of new multi-family affordable housing units of twelve units or more. Typically, city funds are provided to housing developers through the loan fund with terms up to 55 years, at a simple interest rate. This upfront city commitment is used as match funds by developers to leverage additional financing.

A Notice of Funding Availability (NOFA) is posted to the City's website and noticed through local publications in English and Spanish. Additionally local developers and partners may opt into the City's vendor notification system. Developers who opt into the vendor notification system will receive regular e-mails providing notification of all project NOFAs and Bids released by the City of Stockton.

Most recently, the City release a NOFA on December 17, 2021 for the purpose of developing a list of qualified projects eligible for affordable housing allocations, including affordable housing loan programs. The local rank and review process is determined based on the scoring criteria set forth in the application:

- Developer Experience and Capacity
- Financing and Leveraging
- Target Population and Provision of Services
- Project Readiness

Following submission, there is an initial review to ensure that applications meet the threshold for further review (eligibility review) before being passed to a committee of qualified experts to review the proposal to determine project feasibility and impact.

Applicants must also sign disclosures and notifications pertaining to non-discrimination, non-collusion, prohibition against lobbying, disclosure of insurance and other monitoring requirements in accordance with federal, state, and local regulations.

Describe whether the PJ will administer eligible activities directly:

The City of Stockton will administer eligible HOME-ARP grants to local subrecipients.

If any portion of the PJ's HOME-ARP administrative funds are provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

Not Applicable

TABLE IV: Use of HOME-ARP Funding

	Funding Amount	Percent of the Grant	Statutory Limit
Supportive Services	\$ 0		
Acquisition and Development of Non-Congregate Shelters	\$ 0		
Tenant Based Rental Assistance (TBRA)	\$ 0		
Development of Affordable Rental Housing	\$ 5,742,610		
Non-Profit Operating	\$ 0	0%	5%
Non-Profit Capacity Building	\$ 0	0%	5%
Administration and Planning	\$ 638,067	10%	15%
Total HOME ARP Allocation	\$ 6,380,677		

Describe how the PJ will distribute HOME-ARP funds in accordance with its priority needs identified in its needs assessment and gap analysis:

The City of Stockton anticipates receipt of \$6,380,677 in HOME-ARP funding.

The majority of funds are intended for the development of affordable housing for federally approved qualifying populations, primarily through interest bearing loans.

Homeless Services and Housing Activities

All partners agree that the highest priority need is to increase the number of affordable housing units that will lease units to homeless individuals, those at risk of homelessness, and other at-risk populations.

The City of Stockton is working to jump start a range of housing opportunities, including affordable housing for low- and moderate-income households and permanent housing reserved for those that are homeless. A diverse range of housing options is necessary for the economic health and wellbeing of the City. More housing opportunities are needed at all income levels, especially those that are affordable to low- and moderate- income households.

Too many households in Stockton are rent burdened and new units of all types will hopefully cool the rate at which rents are increasing. HOME-ARP funds will be prioritized for affordable and permanent housing projects through the City's Affordable Housing Loan Program. In addition, all city funded new affordable housing development have a homeless and at risk of homelessness set aside of 20%.

Funding will be focused on homeless housing activities, not limited to acquisition, rehabilitation, and new construction.

HOME-ARP Production Housing Goals

Affordable Housing Loan Program

Housing characteristics required by the qualifying populations

Qualifying populations targeted for HOME-ARP rental housing (average household size)	None
Average household size	2
Unit size needed (number of bedrooms)	1, 2, and 3 bedrooms

HOME-ARP Funding

Total amount of HOME-ARP funding allocated to jurisdiction	\$6,380,677
Amount of HOME-ARP expected to be used for admin, NFP operating and capacity building	\$638,067
Amount of HOME-ARP available for HOME-ARP eligible activities	\$5,742,610
Amount of HOME-ARP allocated to non-rental housing eligible activities	\$0
Amount of HOME-ARP available for rental housing operations and reserves	\$0
Estimated amount for ongoing operating costs or operating cost assistance reserve	\$0
Amount of HOME-ARP available for rental housing development	\$5,742,610
Estimated amount from other housing development funding sources	\$126,992,448
Total amount available for rental housing development	\$132,735,057
Average per unit development cost for qualifying population	\$495,297
Estimated HOME-ARP Housing Production Goal	265

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how the production goal will address the PJ's priority needs:

The City of Stockton has a regional target to support the development of at least 200 units of permanent supported housing for the homeless and an additional 400 units of affordable housing. HOME -ARP funds will provide a major source of funding for affordable housing production and may fund as much as 60% of anticipated units.

HOME-ARP housing production goal: between 240 – 265 units

Preferences

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:

No preferences are identified, however homeless populations of interest include:

- Chronically homeless
- Individuals and families fleeing domestic violence
- Unaccompanied Youth under age 25
- Families with children and youth who have experienced persistent instability
- Veterans
- Individuals with chronic health conditions, including behavioral health concerns
- Older adults

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Not Applicable

Referrals

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional)

- To be determined, project by project.

If the PJ intends to use the coordinated entry (CE) process established by the CoC, describe whether all qualifying populations eligible for a project or activity will be included in the CE process, or the method by which all qualifying populations eligible for the project or activity will be covered. (Optional):

Not applicable.

If the PJ intends to use the CE process established by the CoC, describe the method of prioritization to be used by the CE. (Optional):

Not applicable.

If the PJ intends to use both a CE process established by the CoC and another referral method for a project or activity, describe any method of prioritization between the two referral methods, if any. (Optional):

Not applicable.

Eligibility Limitations

Describe whether the PJ intends to limit eligibility for a HOME-ARP rental housing or NCS project to a particular qualifying population or specific subpopulation of a qualifying population identified in section IV.A of the Notice:

No.

If a PJ intends to implement a limitation, explain why the use of a limitation is necessary to address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Not applicable.

If a limitation was identified, describe how the PJ will address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the limitation through the use of HOME-ARP funds (i.e., through another of the PJ's HOME-ARP projects or activities):

Not applicable.

HOME-ARP Refinancing Guidelines

If the PJ intends to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing that is being rehabilitated with HOME-ARP funds, the PJ must state its HOME-ARP refinancing guidelines in accordance with 24 CFR 92.206(b). The guidelines must describe the conditions under which the PJ will refinance existing debt for a HOME-ARP rental project.

Not applicable.

Funding will not be allocated to refinance existing debt secured by multifamily rental housing rehabilitated with HOME-ARP funds.

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

M-21-MF-06-0221

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

City of Stockton

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

94-6000436

*** c. UEI:**

RJBMX37QBLG9

d. Address:

*** Street1:**

425 North El Dorado Street

Street2:

*** City:**

Stockton

County/Parish:

San Joaquin

*** State:**

CA; California

Province:

*** Country:**

USA; UNITED STATES

*** Zip / Postal Code:**

95202-1951

e. Organizational Unit:

Department Name:

Economic Development Departmen

Division Name:

Housing

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

*** First Name:**

Carrie

Middle Name:

*** Last Name:**

Wright

Suffix:

Title: Director of Economic Development

Organizational Affiliation:

*** Telephone Number:** (209) 937-8539

Fax Number: (209) 937-5099

*** Email:** carrie.wright@stocktonca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnership

*** 12. Funding Opportunity Number:**

* Title:

HOME-ARP

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

City of Stockton's HOME-ARP allocation plan.

Attach supporting documents as specified in agency instructions.

Add Attachment

Delete Attachment

View Attachment

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

9

* b. Program/Project

9

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date: 12/21/2021

* b. End Date: 09/30/2030

18. Estimated Funding (\$):

* a. Federal

6,380,677.00

* b. Applicant

* c. State

* d. Local

* e. Other

* f. Program Income

* g. TOTAL

6,380,677.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Mr.

* First Name:

Harry

Middle Name:

* Last Name:

Black

Suffix:

* Title:

City Manager

* Telephone Number:

(209) 937-8212

Fax Number:

(209) 937-7149

* Email:

harry.black@stocktonca.gov

* Signature of Authorized Representative:

* Date Signed:

9/30/22

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

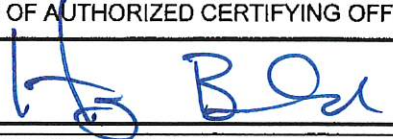
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE City Manager
APPLICANT ORGANIZATION City of Stockton	DATE SUBMITTED 9/30/22

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

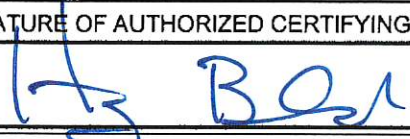
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
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11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE City Manager
APPLICANT ORGANIZATION City of Stockton	DATE SUBMITTED 9/30/22

HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.



Signature of Authorized Official



Date

City Manager

Title