

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

M-21-MP-39-0219

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

M-21-MP-39-0219

State Use Only:

6. Date Received by State:

7 State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

City of Lima

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

36-6400789

*** c. UEI:**

NQHJH4AVFON4

d. Address:

*** Street1:**

50 Town Sq.

Street2:

*** City:**

Lima

County/Parish:

Allen

*** State:**

OH: Ohio

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

458014948

e. Organizational Unit:

Department Name:

Housing and Neighborhoods

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

*** First Name:**

Andria

Middle Name:

*** Last Name:**

Perkins

Suffix:

Title: Director, Housing and Neighborhoods

Organizational Affiliation:

City of Lima

*** Telephone Number:** 419-221-5246

Fax Number:

*** Email:** andria.perkins@cityhall.lima.oh.us

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program

*** 12. Funding Opportunity Number:**

M-21-MP-39-0219

*** Title:**

HOME-ARP Program

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete

Download

*** 15. Descriptive Title of Applicant's Project:**

Amendment to the City of Lima's 2021-2022 Annual Action Plan, to add the HOME-ARP Allocation Plan.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

4th

* b. Program/Project

4th

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

09/20/2021

* b. End Date:

09/30/2030

18. Estimated Funding (\$):

* a. Federal

1,122,406.00

* b. Applicant

* c. State

* d. Local

* e. Other

* f. Program Income

* g. TOTAL

1,122,406.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

* First Name:

Sharetta

Middle Name:

T

* Last Name:

Smith

Suffix:

* Title:

Mayor

* Telephone Number:

419-998-5596

Fax Number:

* Email:

sharetta.smith@cityhall.lima.oh.us

* Signature of Authorized Representative:

* Date Signed:

3/3/2022

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE mayor
APPLICANT ORGANIZATION Charatta Smith	DATE SUBMITTED 3/3/2023

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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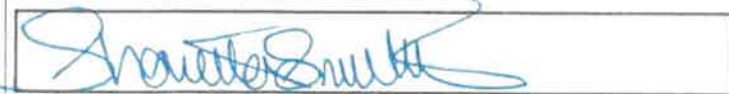
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15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

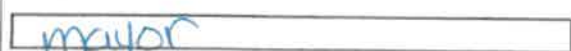
Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

TITLE





APPLICANT ORGANIZATION

DATE SUBMITTED





HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing –The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan –It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

Anti-Lobbying –To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.



Signature of Authorized Official

3/3/2023

Date

Mayor

Title

The Lima News

classifieds

**BUY
SELL
RENT
HIRE**

Sheriff's Sale

Sheriff's Sale of Real Estate
Revised Code, Sec. 2339.21
Case #CV20210391
State of Ohio, Allen County
SUPERIOR CREDIT UNION
4230 Elm St.
Lima, OH 45805
Plaintiff

RONALD E. GIESER,
unknown estate of
RONALD E. GIESER, et al
811 Pinrose Place
Lima, OH 45805
Defendant

In pursuance of an Order of Sale with appraisal to the above entitled action, I will offer for sale at public auction, using the online auction services of <https://lms.auction.com>, on Wednesday, December 7, 2022 at 11:00 a.m., the following described real estate, situate in the County of Allen and the State of Ohio, to wit:

811 Pinrose Place, Lima, OH 45805
Parcel Number:
36-3611-06-012-000

A complete legal description can be found at: Allen County Recorder's Office, 301 N. Main Street, Room 204, Lima, Ohio 45801

Said Premises Located at 811 Pinrose Place, Lima, OH 45805

Said Premises Appraised at \$81,000.00 (Eighty One Thousand Dollars and No Cents) and cannot be sold for less than two-thirds of that amount.

For want of bidders, or insufficient bid, property will be offered for sale a second time on Wednesday, December 21, 2022.

TERMS OF SALE:
Bidding will start at \$54,000. An initial deposit of \$5,000.00 must be on account with Real Auction prior to bidding (see online sale/bidding instructions). All money in excess of the required deposit will be applied towards the purchase price of the property.

The unpaid balance of the purchase price shall be due and payable to the Allen County Sheriff's Office in cash or certified check, within thirty (30) days of the filing of the "Confirmation of Sale". Failure to pay for a property bid at auction will result in loss of deposit and contempt of court charges being filed against the purchaser. The real estate taxes paid from the sale proceeds are pre-paid to the date of sale. All property sold at Sheriff's sale is sold on an "as is" basis with no warranty or guarantee. The Sheriff and plaintiff are not liable for the condition of this property or for any judgments which may remain after the sale of the property. The sale of this property is carried as-is.

Phone inquiries may be directed to the attorney listed below, or to the Sheriff's Office - Civil Division, at 419-493-1405.

Matthew B. Tragle, Sheriff
By: Pauline M. DeVine, Deputy Attorney
Douglas A. Diephuis
105 E. Market St.
P.O. Box 400
Tiffin, OH
Phone: 419-447-5132

Legal #478 - November 13, 20, 27, 2022 (3)

Sheriff's Sale

Sheriff's Sale of Real Estate
Revised Code, Sec. 2339.21
Case #CV20210391
State of Ohio, Allen County
HUNTINGTON NATIONAL BANK
6556 Cleveland Ave.
Columbus, OH 43231
Plaintiff

ANGELO D. FEEBLE, et al
340 W. Robb Ave.
Lima, OH 45801
Defendant

In pursuance of an Order of Sale with appraisal to the above entitled action, I will offer for sale at public auction using the online auction services of <https://lms.auction.com>, on Wednesday, December 7, 2022 at 11:00 a.m., the following described real estate, situate in the County of Allen and the State of Ohio, to wit:

340 W. Robb Ave., Lima, OH 45801
Parcel Number:
36-3412-04-007-000

A complete legal description can be found at: Allen County Recorder's Office, 301 N. Main Street, Room 204, Lima, Ohio 45801

Said Premises Located at 340 W. Robb Ave., Lima, OH 45801

Said Premises Appraised at \$21,000.00 (Twenty One Thousand Dollars and No Cents) and cannot be sold for less than two-thirds of that amount.

For want of bidders, or insufficient bid, property will be offered for sale a second time on Wednesday, December 21, 2022.

TERMS OF SALE:
Bidding will start at \$14,000. An initial deposit of \$5,000.00 must be on account with Real Auction prior to bidding (see online sale/bidding instructions). All money in excess of the required deposit will be applied towards the purchase price of the property.

The unpaid balance of the purchase price shall be due and payable to the Allen County Sheriff's Office in cash or certified check, within thirty (30) days of the filing of the "Confirmation of Sale". Failure to pay for a property bid at auction will result in loss of deposit and contempt of court charges being filed against the purchaser. The real estate taxes paid from the sale proceeds are pre-paid to the date of sale. All property sold at Sheriff's sale is sold on an "as is" basis with no warranty or guarantee. The Sheriff and plaintiff are not liable for the condition of this property or for any judgments which may remain after the sale of the property. The sale of this property is carried as-is.

Phone inquiries may be directed to the attorney listed below, or to the Sheriff's Office - Civil Division, at 419-493-1405.

Matthew B. Tragle, Sheriff
By: Pauline M. DeVine, Deputy Attorney
Douglas A. Diephuis
105 E. Market St.
P.O. Box 400
Tiffin, OH
Phone: 419-447-5132

Legal #478 - November 13, 20, 27, 2022 (3)

The Classifieds Work Like MAGIC



NOTICE OF PUBLIC MEETING

On Draft Substantial Amendments to the City of Lima Annual Action Plan for Program Year 2022-2023

Pursuant to 24 CFR Part 01.505, the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 115-136, and the rules outlined by the Department of Housing and Urban Development in FR-621-04-01: Notice of Program Rules, Waivers, and Alternative Requirements Under the CARES Act for CDBG-CV Grants, FY 2022 and 2023 CDBG Grants, HOME grants and HOME-APP grant; the City of Lima, Ohio, Department of Community Development gives notice of the aforementioned documents for review and comment.

The City of Lima will be discussing a draft substantial amendment to the Annual Action Plan for 2022-3 at a Public Meeting held on December 12th at 5:00 p.m. in Council Chambers at 50 Town Square Lima, OH 45801.

Draft Substantial Amendment to the Annual Action Plan (AAP) for Program Year 2022-2023

This draft Substantial Amendment to the AAP for FY 2022-2023 includes changes to the programming of the CDBG funding plan including the public services and other funding, the CDBG-CV funding in the amount of \$500,000 and planning for the HOME-APP submission (approximately \$1.2 million) which will attach to the 2022 AAP as an amendment. Additionally, many program policy and procedural changes will be discussed at this meeting.

Questions in advance of the meeting may be referred to Andrea Perkins or Sophia Fisher at 419-221-5245. Copies of the meeting presentation will be available after the meeting by the Department of Housing & Neighborhoods, 50 Town Square, Lima, OH 45801 upon request.

Interested persons are invited to attend and provide comments at the aforementioned meeting by December 12th, 2022 at 5:00 p.m. Written comments may also be emailed to sophia.fisher@cityoflima.oh.us by 6:00 p.m. on December 12th, 2022. All written and oral comments will be considered in preparing the final documents to be submitted to HUD. After the meeting, copies of the draft amendment will be available for 10 days at the Library and at 50 Town Square Lima, Ohio 45801.

City of Lima, Ohio does not discriminate against any person because of race, color, religion, sex, including gender identity or expression, sexual orientation, disability, marital status, ancestry, age, marital status or HIV infection.

Andrea Perkins, Director
Department of Housing & Neighborhoods
City of Lima

Legal #483 - November 27, 2022 (1)

THE LIMA NEWS

WE NEED YOU

MULTI-MEDIA SALES STRATEGIST

The Multi-Media Sales Strategist role is an opportunity to channel your creativity, energy, and entrepreneurial vigor into extraordinary sales opportunities, helping customers from start to finish with our full portfolio of cutting-edge products and services. In this role, you will assist customers by selling print, digital, video, social media, and a software full of other services to assist partners in solving their needs and growing their businesses.

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We offer competitive compensation plans including comprehensive benefits like medical, dental, 401k, vision, and paid time off. We'll also share in continued training and a fun work environment. We're ready for you to start now! If you send your resume and salary history to: LimaNews_3315_Elfin_Lima_Ohio_45807@limanews.com position or email to Doreen@limanews.com

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for your buck...

ADVERTISING

LEGAL NOTICE

In accordance with the Charter of the City of Lima, notice is hereby given that on or before the 21st day of November, 2022, the following resolutions were adopted and ordinances passed by the Council of the City of Lima, publication of which was directed to be made in a statutory manner as provided therein, the subject of said legislation being expressed in the caption thereof.

I. RESOLUTIONS

018-22 A Resolution declaring the necessity of constructing certain designated sidewalks and requiring that the abutting property owners construct the same

II. ORDINANCES

236-22 An Ordinance amending the 2022 annual budget

237-22 An Ordinance authorizing the Mayor to enter into agreement with the Lima-Alan County Convention and Visitors Bureau using funds from the Hotel-Hotel tax

238-22 An Ordinance authorizing the Mayor to enter into contract with Greveland.com and to otherwise dispose of one of the cabinet for the Department of Housing and Neighborhoods

239-22 An Ordinance establishing the salary for the Public Information Officer

241-22 An Ordinance vesting two alleys between the 300 blocks of South Jackson Avenue and South Charles Street

243-22 An Ordinance establishing a Tax Incentive Review Council

245-22 An Ordinance authorizing the Mayor to enter into a contract for the purchase of Buick Fuel for 2023

244-22 An Ordinance authorizing the Mayor to apply for and accept a grant from the Ohio Department of Health for reimbursement of the cost of fluoro equipment for the water treatment plant

246-22 An Ordinance authorizing the Mayor to apply for and accept grant funds from the Johnny Appleseed Cooperative Parks Improvement Grant Program for new playground equipment

248-22 An Ordinance authorizing the Mayor to enter into contract with Motorola Solutions, Inc. to purchase console equipment for the Police Department

247-22 An Ordinance authorizing the Mayor to enter into a Lease Agreement with the Lima Community Improvement Corporation for the rental of office space at the Cambridge Center

248-22 An Ordinance authorizing the Mayor to amend the contract with Palmon Construction Co. for the Perrinburg Bypass and Treatment Basin Improvement Project, subject to Ordinance 260-21

249-22 An Ordinance authorizing the Mayor to enter into contract with Jones & Henry Engineers, LLC for various projects at the Water Treatment Plant for the Utilities Department

250-22 An Ordinance authorizing the Mayor to enter into contract with Fluorine US Inc. for a high service pump for the Water Treatment Plant

251-22 An Ordinance authorizing the Mayor to enter into contract with Harris ERP for maintenance and support of the Harris ERP Financial Management Software (Inquiries)

252-22 An Ordinance authorizing the Mayor to enter into contract with Bern's Construction, Inc. for residential demolition

253-22 An Ordinance amending Section 256.01 of the Codified Ordinances of the City of Lima, Ohio concerning Municipal Parking Lots

254-22 An Ordinance authorizing the Mayor to enter into contract with Esherton of Lima, Inc. for contracted services for the 2023 calendar year

255-22 An Ordinance authorizing the Mayor to enter into contract with Custom Blasting, Inc. for contracted services for the 2023 calendar year

256-22 An Ordinance establishing a six-month moratorium on the processing of applications for City services for certain structures less than or equal to 1,000 square feet of space used for residential dwelling

257-22 An Ordinance authorizing the Mayor to apply for and receive grant funds from FY2023 US EPA Brownfields Assessment Grant for a City-Wide Brownfields Assessment

258-22 An Ordinance authorizing the Mayor to apply for and receive grant funds from St. Rita's Medical Center Community Investment for Young Limas at Play signage

259-22 An Ordinance authorizing the Mayor to enter into a CFA Tax Exemption Agreement with CDC Real Estate Holding, LLC, for 201 South Central Avenue

260-22 An Ordinance authorizing the Mayor to enter into contract with West Ohio Community Action Partnership to provide COVID-19 Emergency Tenant/Homeowner Assistance

261-22 An Ordinance authorizing the Mayor to receive and spend grant funds from the Anthony Veterans Incentive Grant for veterans rewards

Dana Adels
Clark of Council
LEGAL #482 - November 27, 2022 (11)

Buy & Sell in the Classifieds



HOME-ARP Public Meeting Attendees
CITY OF LIMA - Dec. 12, 2022

#	Name	Organization	Phone	Email
1	Bobbie Nevarez	Restoration House	567-204-5278	mzbobbiesue@yahoo.com
2	Christel Keller	Crossroads CC	419-228-4357	ckeller@crossroadscrisiscenter.com
3	Michelle Glasco	Crossroads CC	419-228-4358	mglasco@crossroadscrisiscenter.com
4	Carla Thompson	CVS/City/Mercy	419-516-1273	carla.thompson@cityhall.lima.oh.us
5	Dan Faraglia	Coleman	567-242-6038	danfaraglia@colemanservices.org
6	Kayla Monfort	Activate Allen County	419-973-0516	kmonfort@activateallencounty.com
7	Jackie Fox	WOCAP	419-227-2586	jfox@wocap.org
8	Abe Frieson	Mercy Health	419-236-8526	amfrieson@mercy.com
9	Sherri O'Neal	Huntington	419-204-7896	sherri.l.oneal@huntington.com
10	Beth Keehn	Mercy Health	419-890-5929	BaKeehn@mercy.com
11	Tami Trenkamp	State Bank	419-228-3361	Tami.Trenkamp@yourstatebank.com
12	Jennifer Kiene	Help Me Grow	419-722-0533	jenniferkiene.helpmegrow@gmail.com
13	Julianne Burk	Guiding Light	419-236-7935	1guidinglight@gmail.com
14	Scott Frenger	New Lima - CHDO	419-224-9881	sfrenger@new-lima.org

PUBLIC NOTICE

Notice of Availability for Public Comment on the Substantial Amendment to the FY21 Annual Action Plan to Submit the HOME-ARP Allocation Plan

The City of Lima is in the process of preparing its HOME-ARP Allocation Plan to allocate federal funding to eligible activities. The City of Lima anticipates a total HOME-ARP funding allocation of \$1,121,214. The U.S. Department of Housing and Urban Development (HUD) requires that the City of Lima submit its HOME-ARP Allocation Plan as a substantial amendment to the City's FY 2021 Annual Action Plan. Eligible activities under the HOME-ARP program include Supportive Services, Non-Congregate Shelter, Tenant-Based Rental Assistance (TBRA), Affordable Rental Housing, and Non-Profit Operating and Capacity Building. Qualifying populations include persons experiencing homelessness, those at risk of homelessness, those fleeing or attempting to flee domestic violence, dating violence, sexual assault, stalking, or human trafficking, veterans, and other persons at greatest risk of housing instability.

The following activities and funding amounts are based on the greatest needs of the qualifying populations within the City. The HOME-ARP Allocation Plan documents these needs, which were identified through consultation with agencies and service providers whose clientele include the qualifying populations of the City of Lima.

Use of HOME-ARP Funding

Activities	Funding Amount	Percent of the Grant	Statutory Limit
Supportive Services	\$215,000	N/A	N/A
Acquisition and Development of Non-Congregate Shelters	\$0	N/A	N/A
Tenant Based Rental Assistance (TBRA)	\$450,000	N/A	N/A
Development of Affordable Rental Housing	\$300,000	N/A	N/A
Non-Profit Operating	\$56,000	5%	5%
Non-Profit Capacity Building	\$56,000	5%	5%
Administration and Planning	\$44,214	4%	15%
Total HOME-ARP Allocation	\$1,121,214	N/A	N/A

Availability for Viewing and Public Comment

A draft of the City of Lima's HOME-ARP Allocation Plan is available for viewing and public comment from Monday, February 27, 2023 until Monday, March 13, 2023 at 5pm. The draft HOME-ARP Allocation Plan is available for viewing at the following locations between February 27, 2023, and March 13, 2023.

- City of Lima Municipal Building, Dept. of Housing and Neighborhoods – 50 Town Sq., 2nd Floor, Lima, OH 45801
- Lima Public Library – 650 W Market St, Lima, OH 45801

Additionally, the draft HOME-ARP Allocation Plan is available in paper format or .pdf by request by contacting the City of Lima Dept. of Housing and Neighborhoods at 419-998-5575 or by email at sophia.fisher@cityhall.lima.oh.us. Public comment on the draft HOME-ARP Allocation Plan is invited and should be emailed to sophia.fisher@cityhall.lima.oh.us or mailed to the City of Lima Dept. of Housing and Neighborhoods at the address above.

Community development and housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints, or requests for information in alternative formats should be directed to the Dept. of Housing and Neighborhoods at 419-998-5575.

The draft of the Amendment is anticipated to go before Lima City Council for approval at the regularly scheduled meeting on Monday, March 13, 2023, 7pm.

PERSONS WITH DISABILITIES WHO NEED REASONABLE ACCOMMODATIONS TO VIEW THE DRAFT PLAN AND/OR PROVIDE COMMENT ON THE DRAFT PLAN ARE ASKED TO CONTACT CITY OF LIMA'S DEPT. OF HOUSING AND NEIGHBORHOODS BY E-MAILING SUCH REQUESTS TO [SOPHIA.FISHER@CITYHALL.LIMA.OH.US](mailto:sophia.fisher@cityhall.lima.oh.us) AT LEAST THREE (3) BUSINESS DAYS IN ADVANCE OF THE NEED FOR REASONABLE ACCOMMODATION.

Legal #073 - February 26, 2023 (1)

OH-70319907L

☐ PROOF O.K. BY:

☐ O.K. WITH CORRECTIONS BY:

PLEASE READ CAREFULLY • SUBMIT CORRECTIONS ONLINE

OH-70319907L (100%)

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CERTIFICATION OF LIMA PUBLIC LIBRARY

Receipt of the City of Lima DRAFT HOME ARP PLAN for Public Review

This hereby certifies that on 02/27/2023 the *City of Lima DRAFT HOME ARP PLAN* was received at the Lima Public Library Reference Desk for public review and comment.

THIS DRAFT REPORT IS TO REMAIN AVAILABLE FOR REVIEW FOR 15 CALENDAR DAYS, ENDING ON March 13, 2023 at 5pm.

Received on 02/27/23 by:

Sarah Crawford

Head of Reference

TITLE

Public Comments Received by the City of Lima
HOME – ARP DRAFT 2023

1. The housing needs won't be gone when the funding runs out. The community including churches needs to come together to meet some of these needs and address some of the housing needs of the community.

Economic & Community Development Meeting Report
Monday, February 13, 2023

The Economic & Community Development Committee met on Monday, January 30, 2023 at 6:00 p.m.

Committee Members Present: Carla Thompson, Peggy Ehora and Derry Glenn

Others Present: Jon Neeper, Todd Gordon, Tony Wilkerson, Andria Perkins, and Carmillia Zion (see attached attendance sheet for additional attendees)

Committee Chair Carla Thompson opened the meeting to discuss the HOME ARP planning process. Andria Perkins explained there is a very prescriptive process of how HOME funds can be spent. She advised the funds can be used for rental assistance, fund supportive services, develop new affordable rental housing, and acquire and develop non-congregate shelters and increase the capacity building of their non-profit organizations in the community. She explained the City felt that there was not a need to develop additional shelters at this time. She reviewed the planning process which included consultations with community stakeholders, preparing a needs assessment, gaps analysis, looking at possible activities and public participation. She advised the plan will be published in the next couple of weeks and there will be a 15-day period for public comment. Any comments received will be published with the plan to HUD and Council will then have to consider the plan before March 31st. She listed the types of agencies they were required to meet with. Ms. Perkins described the specific qualifying populations the City is allowed to serve with the funds. She advised the City engaged and consulted populations and stakeholders by holding meetings, having conversations, created an informal RFP, took surveys, and looked at best practices. She reported the statistics for homeless individuals and families and those at risk of homelessness. She pointed out that 1,664 households were evicted in the last year which is progressively getting worse. She advised 32% of all Lima households have 1 of 4 HUD identified housing problems. She referenced the 2019 City of Lima Housing Assessment which showed less than a 3% vacancy rate and no subsidized units ever available. She reviewed the shelter data for domestic violence and human trafficking cases. She further reviewed the poverty rate for veterans and the disabled population

Ms. Perkins explained the amount of money they are provided is not enough to meet all of the City's needs. The City looked at models from other communities to see what they could do in terms of best practices and reviewed their findings. Discussion was held regarding additional rent for pets and the difference between a service animal and an emotional support animal. She reviewed the activities the City would like to fund with the HOME-ARP money including: (1) tenant based rental assistance, (2) rental rehab, (3) supportive services for domestic violence and human trafficking victims and also for those with high risk deposits, moving costs, help finding housing and furniture, and other supportive services. She advised there is a lack of capacity in terms of how to make reports and bill to HUD so more training would be required and software would need to be updated. She provided a breakdown of the HOME-ARP allocations including: (1) Supportive Services - \$215,000, (2) Tenant Based Rental Assistance - \$450,000 (3) Development of Affordable Rental Housing - \$300,000, (4) Non-profit operating - \$56,000, (5) Non-profit capacity building - \$56,000, and (5) Administration and Planning - \$45,406, totaling \$1,122,406. She advised changes to the plan can be made after holding an additional public meeting and allowing for public comment.

Ms. Perkins explained some of the difficulties that may arise around funding the veteran housing at the YMCA Annex. She explained a lot of communities are purchasing hotels and creating housing but there are no hotels currently available. Glenn expressed his concerns surrounding landlord/tenant issues and lack of housing. Ms. Perkin advised they would like to look to develop a housing docket and start to look at some additional tenant protections. The Committee discussed the current landlord/tenant issues. Councilor Wilkerson recommended reaching out to churches and service organizations that could "buy into Lima" and help to rebuild. Ehora pointed out that there are a lot of empty church buildings that could pose an opportunity to purchase them and convert them into housing. Ms. Perkins advised this allocation is a once and done allocation and we need to look at larger community solutions. Councilor Neeper expressed his concern with being back where they are after twelve months. Perkins explained the people who are helping run the programs are supposed to work with the tenants to help them get past the point of needing the assistance. Chairwoman Thompson provided an example of individuals who need assistance with stability or to provide gap funding while working a program. The committee discussed the current rental issues that are faced by tenants in the community. Thompson thanked Ms. Perkins for the presentation. (A complete copy of the presentation can be found in the Council Office).

Councilor Glenn moved to adjourn, seconded by Ehora. Motion carried.

Carla Thompson
Economic & Community Development Committee Chair

CT/dma

Introduced by Thompson Councilor
 Seconded by Wilkerson Councilor
 Form Approved Ally L. G. Director of Law
 PUBLICATION: I hereby certify that this Ordinance was published in a summary manner
 according to law in the Lima News on _____

Dana Addis, Clerk

ORDINANCE NO. 055-23

VOTE	1ST		2ND		3RD	
	Y	N	Y	N	Y	N
GORDON	✓					
WILKERSON	✓					
THOMPSON	✓					
EHORA	✓					
DIXON	✓					
GLENN	✓					
NEEPER	✓					
NIXON	✓					
TOTAL	7	0				

AN ORDINANCE AUTHORIZING THE MAYOR TO APPLY FOR AND ACCEPT A GRANT FROM THE US DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT FOR HOME-ARP FUNDING.

PREAMBLE: The purpose and authority of and for this ordinance are set forth as follows:

WHEREAS, legislation has been authorized to allow the Mayor to apply for and accept a grant from the U.S. Department of Housing and Urban Development for HOME-ARP funds, to be used for projects as set forth in the attached Exhibit A; and,

WHEREAS, Council finds this ordinance to be authorized and passed under authority of the City's Charter and its constitutional home rule authority as being in the best interests of the City; and,

WHEREAS, Council finds that passage of this ordinance is necessary because of the immediate need for the action authorized herein, in order to preserve the public peace, property, health, safety, and welfare of the community and its citizens, and to provide for the efficient and effective operation of the municipal government, and by reason thereof, this Ordinance constitutes a matter of administrative emergency and shall take effect immediately upon its passage, as set forth in Section 33 of the City Charter; Now, Therefore,

BE IT ORDAINED BY THE COUNCIL OF THE CITY OF LIMA, OHIO, WITH AT LEAST A MAJORITY OF THE MEMBERS ELECTED THERETO CONCURRING:

Section 1. The Mayor is hereby authorized to apply for and accept a grant from the U.S. Department of Housing and Urban Development for HOME-ARP funds, to be used for projects as set forth in the attached Exhibit A, in an amount not to exceed a total of \$1,121,214.10, upon such terms and conditions as the Mayor deems appropriate.

Section 2. The Auditor is authorized to take any action and to account for the activity authorized herein, as is appropriate under the circumstances of this ordinance and in accordance with City policies and procedures, or as otherwise required by law, as the Auditor may determine is appropriate. The Law Director is authorized to: determine the correct business or corporate entity

necessary for any contract authorized in this ordinance; determine the correct legal description for any real property at issue in this ordinance; correct any error in any exhibit to this ordinance; correct any clear scrivener error in this ordinance and to provide a clean copy for the council clerk; all as determined appropriate by the Law Director.

Section 3. Council finds and determines that the above preamble contains specific findings by Council as part of and in support of passage of this Ordinance, and that all formal actions of this Council and any of its committees concerning and relating to the adoption of this ordinance were taken in an open meeting and that all deliberations of this Council and of any of its committees that resulted in those formal actions were in meetings held in compliance with the law.

Section 4. The Clerk of the Council is authorized and directed to cause publication of this ordinance to be made in a summary manner as provided by the City Charter.

Section 5. In accordance with City Charter Section 33, this ordinance shall take effect and be in force forthwith upon passage by an affirmative vote of at least two-thirds of the members elected to council at the first reading hereof. If it does not so pass at first reading, it shall take effect and be in force forthwith upon passage by an affirmative vote of at least two-thirds of the members elected to council at the second reading hereof. If it does not so pass at second reading then it shall take effect and be in force forthwith upon passage by an affirmative vote of at least a majority of the members elected to council at the third reading hereof. Otherwise, it shall take effect and be in force from and after the earliest period allowed by law.

Passed: March 27, 2023


John Nixon, President

Approved: March 27, 2023


Shareita T. Smith, Mayor

ATTEST: Dana Addis
Dana Addis, Clerk of Council

City of Lima
Allen County, Ohio
Sharetta Smith, Mayor



Exhibit A
Ord. 055-23

50 Town Square
Lima, Ohio 45801-4900
Phone: 419-228-5462
Fax: 419-221-5199
www.cityhall.lima.oh.us

March 13, 2023,

Councilors:

The Mayor has authorized legislation to apply for and receive funds from HUD for HOME-ARP. The total allocation of funds is \$\$ 1,121,214.10. Below is a break-down of what the funds would be used for.

Use of HOME-ARP Funding

	Funding Amount	Percent of the Grant	Statutory Limit
Supportive Services	\$ 215,000.00	19.175%	
Acquisition and Development of Non- Congregate Shelters	\$ 0	0%	
Tenant Based Rental Assistance (TBRA)	\$ 450,000.00	40.135%	
Development of Affordable Rental Housing	\$ 300,000.00	26.75%	
Non-Profit Operating	\$ 56,000.00	5 %	5%
Non-Profit Capacity Building	\$ 56,000.00	5 %	5%
Administration and Planning	\$ 44,214.14	4 %	15%
Total HOME ARP Allocation	\$ 1,121,214.10	100%	

There has been two public meetings and the public comment period ended March 13th, 2023 at 5:00 pm. The grant must be submitted to HUD no later than March 31, 2023.

Thank you,

Andria Perkins
Director, Housing & Neighborhoods

Sharetta Smith
Mayor, City of Lima

OHIO

HOME-ARP Allocation Plan - CITY of LIMA - 2023

Instructions: All guidance in this template, including questions and tables, reflect requirements for the HOME-ARP allocation plan, as described in Notice CPD-21-10: *Requirements of the Use of Funds in the HOME-American Rescue Plan Program*, unless noted as optional. As the requirements highlighted in this template are not exhaustive, please refer to the Notice for a full description of the allocation plan requirements as well as instructions for submitting the plan, the SF-424, SF-424B, SF-424D, and the certifications.

References to “the ARP” mean the HOME-ARP statute at section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2).

Consultation

In accordance with Section V.A of the Notice (page 13), before developing its HOME-ARP allocation plan, at a minimum, a PJ must consult with:

- CoC(s) serving the jurisdiction’s geographic area,
- homeless service providers,
- domestic violence service providers,
- veterans’ groups,
- public housing agencies (PHAs),
- public agencies that address the needs of the qualifying populations, and
- public or private organizations that address fair housing, civil rights, and the needs of persons with disabilities.

State PJs are not required to consult with every PHA or CoC within the state’s boundaries; however, local PJs must consult with all PHAs (including statewide or regional PHAs) and CoCs serving the jurisdiction.

Describe the consultation process including methods used and dates of consultation:

As the Participating Jurisdiction, the City of Lima consulted with almost two dozen service agencies in the local area that are responsible for providing services to the HOME-ARP qualifying populations. The consultations occurred from February 2022 to February 2023; and were conducted via phone, with the exception of one consultation that was conducted in person. To start the consultation process, the City first created a questionnaire using the tips that were provided during the HUD HOME-ARP Allocation training sessions. The City then researched the agencies in the area that provide services for the qualifying populations, including the local Continuum of Care (CoC) and sent the questionnaire via email. Upon receipt of questionnaire responses, individual consultations were held with each provider to review the questionnaire and clarify responses. Additionally, the City hosted a public meeting with the public and service providers on December 12, 2022. The purpose of this meeting was to explain the HOME-ARP Planning process and to discuss the initial feedback from the questionnaire and consultations and ask providers to formally submit a Letter of Intent to apply for funding, including a list of services for which they could provide under the plan.

Additionally, the City of Lima Department of Housing & Neighborhoods (PJ), regularly attended CoC and Homeless Support Group meetings throughout this process to stay connected with the providers and further engage with them about the planning process. These meetings occurred 1-2 times per month over a more than year long process.

In total, the City consulted twenty-three (23) providers. The consultations and Letters of Intent identified needs and service gaps within the community and provided a basis to develop a draft comprehensive, actionable plan to assist community members and families within the qualifying populations. Once the draft was completed, the City Administration, together with the Lima City Council Community & Economic Development Committee hosted a Committee meeting that was open to the public on February 13, 2023, to present and hear feedback about the plan before the start of the 15 day public comment period. Finally, Lima City Council voted on the plan at its most recent regularly scheduled meeting on Monday, March 27, 2023, before its submission to HUD.

List the organizations consulted:

Agency/Org Consulted	Type of Agency/Org	Method of Consultation	Feedback
WOCAP	COC Lead, HSG, Social Service Provider, Community Action Agency, Fair Housing Administrators	Meetings, Phone, RFP	Interested in serving as a lead agency. Inundated with at risk of homeless needs.
Allen Metropolitan Housing	Metropolitan Housing Agency	Meeting, Phone	Housing Market is extremely tight, not enough vouchers for the need.
Lima's Samaritan House	Women's Homeless Shelter	Meeting by Phone	Provide shelter for women that have been evicted and those fleeing from DV situations seeking temporary shelter
Allen County Health Department	Local Health Dept. Agency	Meeting by Phone	Administers WIC program (women, infant, children) to mothers of children from birth to 5 years. All other services are coordinated through referral sources as needed.
Mental Health Recovery Board	Mental Health Referral Agency for a 3 county region- Allen, Auglaize & Mercer	Meeting by phone	As a funding source provider to contract direct services for mental health and addiction recovery. The mental health board is aware of the crisis that these illnesses have on this population and see a

			number of individuals become homeless as a consequence.
Lima Rescue Mission	Men's Shelter	Meeting by Phone.	Provides congregate shelter for 22. Daily breakfast, overnight stay and evening meals. The executive director stated that there is a shortage of housing for transgender men and doesn't see their location as a safe congregate shelter environment for all men.
Lima City Schools	Public School	Meeting by Phone	The McKinney Vento Rule which states "when a student does not have adequate or a regular place to sleep at night" is considered homeless is triggered. In speaking with the superintendent she has seen a pattern of males between the ages of 14-18 that would qualify under this rule, and would like to see more direct service for this population.
Guiding Light	Substance Abuse Recovery Services	Meeting by phone	They have 2 residency homes with 10 beds total. They also provide life skills, and a number of services to women and children. The need for additional housing has increased post-pandemic.
Crossroads Crisis Center	Domestic Violence Agency	Face to Face	With safety of families fleeing domestic violence being first priority. They see a need for more transitional housing and relocation funds.
Connected Hope – We Care	Volunteer Homeless Ministry	Meeting by Phone	This ministry provides hygiene kits, blankets, clothing, food and tents. They would like to see more affordable housing for veterans on fixed income and more shelters.
Allen County Children's Service	Children Services	Face to face meeting, phone meeting	Their mission is to keep families together and children safe. They partner with other agencies when families have to separate. They see a need for more housing for the children who age out of the

			system at the age of eighteen that have not been adopted.
Allen County Veterans Service Commission	Supportive Service Agency	Meeting by phone	Their mission is to provide supportive services to veteran families. Case management to prevent imminent loss of housing or identify new housing options and to rapidly rehouse veterans and families who become homeless. The Service commission would like more shelters for intact veterans that are low income households.
Allen County Board of Disability	Service Agency for Developmental Disability	Meeting by phone	As a service provider for the developmentally disabled from birth to end of life. They provide respite housing on a case by case basis. They note that families caring for children usually live on the child's disability income because full-time care is often needed.
Salvation Army	Ministry/Social Service Agency	Meeting by phone	Ministry that provides weekly youth programs and assistance with utilities and rent payments, and emergency assistance for house fire victims.
Legal Aid of Western Ohio	Free Legal Service for low to moderate income households	Meeting by phone	Provide eviction defense for families and individuals facing eviction through the court. For anyone that is assisted there are also referral sources available if eviction does occur.
Crime Victim Services	Referral Agency to Victim Service	Meeting by phone	They work with human trafficking survivors, sexual assault and elder abuse victims, providing safe short term or transitional housing for up to 30 days until able to get into other programs. They do not see a homeless problem among this population because of the wrap around services provided.

Restoration House	Supportive Housing for Men and Women	Meeting by phone	They assist their clients working on their recovery and stabilization. They provide them with treatment counseling, medication, health and employment services. There is a homeless veteran population, the director stated that they don't do well in congregate shelters, because some want their animals and currently the shelter does not allow them and others because of the unwillingness to comply with house rules.
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Summarize feedback received and results of upfront consultation with these entities:

West Ohio Community Action Agency (WOCAP)

West Ohio Community Action Agency (WOCAP) receives many housing requests from individuals/families that are not considered homeless under HUD's definition of homelessness. These are households who are staying with friends or family members and sometimes self-pay to stay in a hotel. Since July 18, 2022 the agency has assisted 122 households to include 155 adults and 91 children to date; these numbers reflect the information provided by WOCAP's Homeless Crisis Department.

The Homeless Crisis Department provides a number of services to individuals that are homeless or at-risk for homelessness whether they are residing in a shelter or unsheltered. One service is assigning an agency representative to attend eviction court weekly to connect families and individuals involved in court proceedings with rent assistance and other services. WOCAP also assists households that are unable to utilize the traditional shelter setting due to illness or physical handicap by providing non-congregate shelter at hotels for those individuals/families. Under The Homeless Crisis Response Program (HCRP), funded through state and local Emergency Solutions Grant funding WOCAP also provides Rapid Rehousing and referrals to shelters as needed. Most participants who are assisted by WOCAP transition directly into rental units either public or private. However, there are no transitional housing options in our area. In addition, there are other populations requiring services and housing that are at risk of housing instability; including registered sex offenders, young men between ages of 18-24, disabled elderly on fixed incomes, those that have previous evictions and those that have felony backgrounds.

Metropolitan Housing Authority (MET Housing)

Metropolitan Housing Authority (Met Housing), the local Public Housing Agency (PHA) has knowledge of families that receive their services who are facing eviction for nonpayment of rent. Met Housing administers several voucher programs that include a program that provides vouchers for 66 male only single household's that live in a Single Room Occupancy (SRO) facility

that is managed by the local YMCA. Permanent housing can only be provided through the section 8 voucher program or public housing units. The section 8 program has 1041 vouchers in Allen County, including the 66 SRO vouchers. Also voucher recipients and housing clients who may be in a domestic violence situation can be released from their lease without penalty to seek safe shelter. Lastly, Met also provides housing for individuals with a history of behavioral or mental health challenges who are also experiencing homelessness in collaboration with Coleman Behavioral Health Center.

Samaritan House

The Samaritan House is a 17 bed shelter for women and children that are experiencing homelessness. Clients typically are fleeing from a domestic violence situation, have been or will soon be evicted. The shelter processes calls on a daily basis, beginning with an initial screening for intake criteria. In addition to shelter, residents receive meals, access to onsite laundry facilities, and participate in weekly case management services. Case management services include the creation of housing plans which involve saving money, and identifying available resources for rent/deposit assistance. The shelter does not provide tangible homeless prevention services, but does carry out a diversion tool/process to see how they can help the residents identify ways to stay where they are if safe, and or identify community resources that may be able to help them.

Allen County Public Health (ACPH)

Allen County Public Health (ACPH) employs an intervention specialist that provides disease intervention services for substance abuse users that are living in hotel rooms and shelters. The agency also conducts environmental inspections for soup kitchens and administers the WIC (Women, Infant, Children) program which serves newborn to 5 years old. If the agency comes across families with housing needs, those families are referred to local social service agencies.

Allen County Jobs & Family Services

Allen County Jobs & Family Services reported that they coordinate efforts to prevent homelessness by referring clients to WOCAP who provide temporary assistance to families through Cares Act Funding to individuals and families that cannot be housed in a congregate living situation due to being at high risk for COVID or other medical conditions. The organization has also seen an increase in people addicted to Opioids.

Allen County Mental Health Recovery Services Board

The Allen County Mental Health Recovery Services Board indicates it does not serve individual clients but instead contracts with agencies to provide direct services such as case management and outreach services for those with severe mental illness. The agency also contracts with Allen Metropolitan Housing Authority for housing vouchers. The reports received from their agencies indicate that addiction and mental health are some of the reasons individuals become homeless. The agency has funding for overnight hotel stays until clients get into a treatment center; and can assist with rental assistance for permanent housing. Based on the organization's experience, there need to be more shelters that serve intact families or individuals with behavioral and health challenges and more non-congregate and single-level facilities.

The Lima Rescue Mission

The Lima Rescue Mission is a 22-bed men-only shelter. It provides overnight shelter, daily breakfast, evening meals, and financial and bible classes. The shelter reported that persons with a history of mental illness have difficulty adjusting to their congregate population. There is a need to serve younger males (ages 21 and under) experiencing homelessness and transitioning their gender identity. The shelter noted that an in-house treatment center is needed due to methamphetamine use among the homeless population.

Lima City Schools

Lima City Schools reported that for many of their students who would be considered to be homeless, The McKinney Vento Rule, which states “when a student does not have adequate or a regular place to sleep at night,” is considered homeless, is triggered. To address this problem, the school system employs a transitional living coordinator to assist students and refer students who express a need. Federal law requires that enrollment be expedited to ensure students can continue school. Lima City Schools has a \$60,000 grant to help with supplies, campus wear, and transportation. The “homeless students” also get to stay in their home school if they move out of the district and Lima City Schools must work with other school districts to ensure that happens if the parent wants the child to stay in order to facilitate bussing. Bus passes for high school students and students of legal age and still attending school are provided in some cases. This, according to the school superintendent, happens more often than not with boys. The school can obtain an apartment for the student if necessary. Based on their experience, teenage boys have the hardest time with housing, several local shelters don’t accept boys after a certain age, and the other facilities are for adult men, which is not the safest environment for troubled or misguided teenage boys. The other local shelters are for families or women with children. The school has wrap meetings, which include coordinated services with other providers that can or may be able to assist the needs of students. Several students experience some type of abuse from a parent (sexual, beatings, etc.), and getting support for these children/students is very hard once the child is over 14. I see a service gap in this population, along with boys.

Guiding Light

Guiding Light indicates that they provide recovery services for women with substance abuse problems desiring to get clean. They have four congregate beds, six non-congregate beds, and two residency homes. They provide case management, life skills and financial classes, transportation, mentoring programs, referral sources, and spiritual and holistic classes. They also have funding for food, toiletries/hygiene, birth certificate documents, and other forms of identification. Based on experience, older women, 50+, with substance abuse and mental health issues are experiencing chronic homelessness.

Crossroads

Crossroads is a domestic violence shelter with 13 congregate beds for women seeking shelter from domestic trauma. They generally become homeless because they don’t want to return to the place of trauma. Increased barriers such as qualifications, rent increases, and inflation make it difficult to find stable housing. There are some housing units created specifically for college

students popping up in and around Lima, according to the agency. Crossroads provides intensive case management, employment assistance, housing advocacy, financial empowerment sessions, connections to resources, transportation, etc. Current barriers include being short-staffed, limited services, and decreased funding. Family violence and intimate partner violence are the main issues the clients face. Populations requiring services or housing assistance to prevent homelessness and other populations are at the greatest risk of housing instability like dating violence, human trafficking, and sexual assault. The agency needs funding to hire a housing advocate.

Connected Hope

Connected Hope is a ministry formed and run by community members and volunteers through donations to help the homeless. Agency volunteers pass out food, clothing, blankets, and tents weekly. All clients they engage and interact with are homeless. On average, they serve 5-20 people daily. Current housing prices have made finding affordable housing for people on a fixed income difficult. In addition, pet-friendly facilities are also difficult to find. Many of the people served are on fixed incomes or have mental and physical disabilities. When funding is available, efforts are coordinated with those agencies to provide temporary hotel stays.

Youth Population

Allen County Children Services collaborates with many other social service agencies in the area. Their main goal is to keep families together and keep children safe. In special circumstances, they will support the household by paying household bills to assure they are not evicted and can maintain stable housing. For the children that age out at age 18. There is funding to assist them in getting on their feet post foster services.

Veterans Commission

The Veterans Commission provides temporary emergency financial assistance to veterans as well as refers them to other agencies that may have resources to assist them. The agency assists with food, utilities, and rent/mortgage on an as-needed basis.

Allen County Board of Developmental Disabilities

The Allen County Board of Developmental Disabilities currently has knowledge of 12 men & 7 females in need of assistance. Six of these persons have been case managed and determined to have a developmental disability. The remaining have had an intake and were referred to other agencies. They provide respite services (temporary housing with very limited funds). After leaving the shelter, individuals usually go to group homes, transitional homes, or with family. Families of children with developmental disabilities usually rely on the income of the disabled child. If he/she is removed from the home for one reason or another, the families usually experience some hardship because, in most cases, the child usually needs full-time care, and the family relies on this source of income.

Salvation Army

The Salvation Army functions as a ministry providing weekly youth programs, a food pantry available to the public, utility and rental assistance, emergency assistance in case of fire, bus passes, and gas cards. They have an annual coat and school supply giveaway program and an annual Christmas giveaway. Men re-entering society from incarceration and transient people unable to get back to loved ones or back home are the other populations that require assistance to prevent homelessness. According to the organization, this population is at great risk of housing instability.

Legal Aid of Western Ohio/ABLE

Legal Aid of Western Ohio/ABLE provides eviction defense. In 2021 there were 920 summons for forcible evictions and 745 writs of restitution in Allen County, including the City of Lima. They provide eviction defense for anyone who meets income eligibility and case acceptance guidelines. The income threshold is the federal poverty guideline. Most cases are for non-payment of rent. Individuals with disabilities that find it difficult to gain or maintain employment are also at high risk of homelessness, according to the calls the organization receives.

Crime Victim Services (CVS)

Crime Victims Services works with human trafficking survivors, elder abuse victims, and sexual assault survivors who need short-term housing (up to 30 days emergency housing) and transitional housing (0-12 months). The agency has roughly 1-3 individuals and 1-3 families each month at risk of impending homelessness and needing emergency or transitional housing. Crime Victim Services provides hotel rooms/suites, rent assistance, mortgage payments, food, and transportation money to assist in emergency and transitional housing needs. Sometimes safety is a factor, and risk assessments determine possible options and locations, but almost always, the resident is in Lima or the immediate vicinity. There are many domestic violence, human trafficking, and sexual violence survivors who regularly need housing services. When the local providers cannot meet the needs, they utilize their emergency financial assistance funding to pay for deposits and the first several months of rent for survivors.

Domestic Violence victims at Crossroads Crisis Center rise to the top of the local community action agency that provides financial assistance through their housing rental assistance when they apply. There are funds available from state programs (Ohio Domestic Violence Network and Ohio Alliance to End Sexual Violence) to also assist with these cases.

The following are possible places where individuals transition after leaving the shelter.

- Low-income housing (some victims are prioritized as “crime victims” on waiting lists)
- Prior housing arrangements available after the offender is incarcerated and safety is restored
- Domestic Violence Shelter (Crossroads Crisis Center)
- Homeless Shelter (Samaritan House)
- Family members (not long term solution)
- Normal housing market to rent or own

Public Participation

In accordance with Section V.B of the Notice (page 13), PJs must provide for and encourage citizen participation in the development of the HOME-ARP allocation plan. Before submission of the plan, PJs must provide residents with reasonable notice and an opportunity to comment on the proposed HOME-ARP allocation plan of **no less than 15 calendar days**. The PJ must follow its adopted requirements for “reasonable notice and an opportunity to comment” for plan amendments in its current citizen participation plan. In addition, PJs must hold **at least one public hearing** during the development of the HOME-ARP allocation plan and before submission.

PJs must make the following information available to the public:

- The amount of HOME-ARP the PJ will receive, and
- The range of activities the PJ may undertake.

Throughout the HOME-ARP allocation plan public participation process, the PJ must follow its applicable fair housing and civil rights requirements and procedures for effective communication, accessibility, and reasonable accommodation for persons with disabilities and providing meaningful access to participation by limited English proficient (LEP) residents that are in its current citizen participation plan as required by 24 CFR 91.105 and 91.115.

Template:

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

- ***Date(s) of public notice:*** 11/27/22 (Public Hearing) & 2/26/2023 (Public Comment)
- ***Public comment period: start date -*** 2/27/2023 ***end date -*** 3/13/2023
- ***Date(s) of public hearing:*** 12/12/2022

Describe the public participation process:

The public participation process involved engaging with service providers via meetings, surveys, and phone calls. An initial public meeting was held on December 12, 2022, to review data, and best practices and request letters of intent to participate from providers. Then on February 13, 2023, the Lima City Council Community and Economic Development Committee hosted a committee meeting that was open to the public to review the HOME-ARP Plan in presentation form before the public comment period beginning February 27, 2023, and ending March 13, 2023.

Describe efforts to broaden public participation:

The City of Lima Department of Housing and Neighborhood Staff participated in HSG and CoC provider meetings, called and requested for providers to attend public meetings personally; scheduled one on one interviews to review survey questionnaires, and followed up when they weren't returned.

Summarize the comments and recommendations received through the public participation process either in writing, or orally at a public hearing:

The comments that have been received are that the housing needs won't be gone when the funding runs out. The community including churches needs to come together to meet some of these needs and address some of the housing needs of the community.

Summarize any comments or recommendations not accepted and state the reasons why:

All comments are accepted.

Needs Assessment and Gaps Analysis

In accordance with Section V.C.1 of the Notice (page 14), a PJ must evaluate the size and demographic composition of **all four** of the qualifying populations within its boundaries and assess the unmet needs of each of those populations. If the PJ does not evaluate the needs of one of the qualifying populations, then the PJ has not completed its Needs Assessment and Gaps Analysis. In addition, a PJ must identify any gaps within its current shelter and housing inventory as well as the service delivery system. A PJ should use current data, including point-in-time count, housing inventory counts, or other data available through CoCs, and consultations with service providers to quantify the individuals and families in the qualifying populations and their need for additional housing, shelter, or service.

Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

Homeless as defined in 24 CFR 91.5

Homelessness, as defined in 24 CFR 91.5 Homeless (1), (2), or (3): (1) An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning: (i) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodations for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground; (ii) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or 4 (iii) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution; (2) An individual or family who will imminently lose their primary nighttime residence, provided that: (i) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance; (ii) No subsequent residence has been identified; and (iii) The individual or family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks needed to obtain other permanent housing; (3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who: (i) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition

Act of 1966 (42 U.S.C. 1786(b)), or section 725 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a); (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance; (iii) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and (iv) Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment.

The Point in Time (PIT) study done in Allen County on February 22, 2022, identified 44 sheltered homeless individuals and 13 unsheltered homeless individuals. These were individuals that were either being housed at a local shelter or spending the night outdoors due to homelessness on the night of the study. Demographics were collected as accurately as possible for the 44 individuals identified. The majority of those recorded were adults in adult-only households. However, over 20 percent of the recorded individuals were under the age of 24, with 11.4% under the age of 18. While the split between men and women was roughly even, the percentage of non-white individuals exceeded that of the general population (36.2%).

PIT Demographics - Allen County - February 22, 2022

TOTAL	Total Persons	44	%
	Total Households	34	
AGE	Adults 24+	34	77.3%
	Young Adults 18-24	5	11.4%
	Kids	5	11.4%
GENDER	Male	20	45.5%
	Female	23	52.3%
	Other Gender	1	2.3%
RACE	Non-White	21	47.7%
HOUSEHOLD TYPE	Households w/ Kids	3 – 10 People	8.8%
	Households w/o Kids	28 – 31 People	82.4%
	Households Only YA	2 – 2 People	5.9%
	Households Only Kids	1 – 1 Person	2.9%
OTHER	Serious Mental Illness	6	13.6%
	Substance Abuse	6	13.6%
	Survivor of DV	8	18.2%

As with all PIT studies that estimate the number of individuals experiencing homelessness on one day, other data from social service agencies that can track homeless individuals on an annual basis show that a much higher number of unique individuals experience homelessness

throughout the year in the City of Lima. In 2021 just one of the City of Lima's largest shelters served 110 homeless individuals. This number is up from a low in 2020 (101) but still reduced from Pre-Pandemic numbers in 2019 (263). On that same note, the City of Lima's largest social service agency served 238 homeless individuals in 2021, including 161 adults and 77 children.

In the City of Lima, the number of residents who meet the homeless criteria has varied in the past three years from 100 to 300 persons. This great fluctuation, we believe, is due to the COVID-19 pandemic, which sometimes encouraged people to double up or stay in domestic violence situations who might not have otherwise, the eviction moratorium while in place, and the nature of Lima's location on the 1-75 corridor. Our point-in-time count showed this number to be less than 50, but when all providers who service individuals are currently surveyed, the currently housed numbers on cold nights can range from 250-300, including pay-to-stay SROs that serve transient homeless. There are 241 shelter units available daily, with additional temporary beds for extreme cold or hot days.

At Risk of Homelessness as defined in 24 CFR 91.5

At risk of Homelessness, as defined in 24 CFR 91.5 At risk of homelessness: (1) An individual or family who: 5 (i) Has an annual income below 30 percent of median family income for the area, as determined by HUD; (ii) Does not have sufficient resources or support networks, e.g., family, friends, faith based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in paragraph (1) of the "Homeless" definition in this section; and (iii) Meets one of the following conditions: (A) Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance; (B) Is living in the home of another because of economic hardship; (C) Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; (D) Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or by federal, State, or local government programs for low income individuals; (E) Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 people per room, as defined by the U.S. Census Bureau; (F) Is exiting a publicly funded institution, or system of care (such as a health-care facility, a mental health facility, foster care or other youth facility, or correction program or institution); or (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient's approved consolidated plan; (2) A child or youth who does not qualify as "homeless" under this section, but qualifies as "homeless" under section 387(3) of the Runaway and Homeless Youth Act (42 U.S.C. 5732a(3)), section 637(11) of the Head Start Act (42 U.S.C. 9832(11)), section 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2(6)), section 330(h)(5)(A) of the Public Health Service Act (42 U.S.C. 254b(h)(5)(A)), section 3(l) of the Food and Nutrition Act of 2008 (7 U.S.C. 2012(l)), or section 17(b)(15) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)(15)); or (3) A child or youth who does not qualify as "homeless" under this section but qualifies as "homeless" under section 725(2) of the McKinney-Vento Homeless Assistance Act (42

6 U.S.C. 11434a(2)), and the parent(s) or guardian(s) of that child or youth if living with her or him.

Those at risk for Homelessness in this report are being defined as those with a household income below 30% of AMI in addition to another housing instability factor. The four housing problems identified by HUD that predict instability are incomplete kitchen facilities, incomplete plumbing facilities, overcrowding, and housing cost burdens greater than 30 percent. Over 20 percent (3,055) of all Lima households fall below the 30% AMI threshold, and the majority of them, almost 75%, have documented another housing instability factor. The most common of the factors causing instability is the housing cost burden. Housing costs >30% of household income are impacting 72.3% of this population and housing costs >50% of household income (considered severely cost burdened) are impacting 55.2%. The population with very low income skews heavily towards being renters, with only 20% of the population living in owner-occupied dwellings. There is also a large gap between the percentages of very low-income renters and owners who are experiencing another housing problem.

Housing Characteristics by Income & Tenure - CHAS 2019

Household Characteristics	Renter	%	Owner	%	Total	% of All <30% AMI HH	% of All Lima HH
HH Income <30% AMI	2,445	80.0%	610	20.0%	3,055	100.0%	21.0%
HH Income <30% AMI AND At Least 1 of 4 Housing Problems	1,910	78.1%	345	56.5%	2,255	73.8%	15.5%
HH Income <30% AMI AND Cost Burden >30% Income	1,870	76.4%	340	55.7%	2,210	72.3%	15.2%
HH Income <30% AMI AND Cost Burden >50% Income	1,445	59.1%	240	39.3%	1,685	55.2%	11.6%

The City of Lima had in 2022 more than 1,660 eviction cases. Given the 7,400 units of rental housing, even assuming duplication of persons, Lima currently has an eviction rate of between 15-20% of all tenants annually. Further, there is a less than 2% vacancy rate of all rental housing units and no available low-income units. Between the 2000 Census and the 2020 Census the data shows that the rental rate went from 43% to 55%. Thirty two percent (32%) of Lima households have 1 of 4 HUD identified housing problems, most often which is that they are paying more than 30% of their gross income for their housing. Knowing that having a child is the number one predictor of eviction and that homeless families are one of the hardest to serve with the most long term consequences for the household, this is Lima's most significant and persistent housing issue.

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD. For HOME-ARP, this population includes any individual or family who is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or human trafficking. This population includes cases where an individual or family reasonably believes that there is a threat of imminent harm from further violence due to dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary nighttime residence or has made the individual or family afraid to return or remain within the same dwelling unit. In the case of sexual assault, this also includes cases where an individual reasonably believes there is a threat of imminent harm from further violence if the individual remains within the same dwelling unit that the individual is currently occupying, or the sexual assault occurred on the premises during the 90-day period preceding the date of the request for transfer. Domestic violence, which is defined in 24 CFR 5.2003 includes felony or misdemeanor crimes of violence committed by: 1) A current or former spouse or intimate partner of the victim (the term "spouse or intimate partner of the victim" includes a person who is or has been in a social relationship of a romantic or intimate nature with the victim, as determined by the length of the relationship, the type of the relationship, and the frequency of interaction between the persons involved in the relationship); 2) A person with whom the victim shares a child in common; 3) A person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner; 4) A person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving HOME-ARP funds; or 5) Any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction. Dating violence which is defined in 24 CFR 5.2003 means violence committed by a person: 1) Who is or has been in a social relationship of a romantic or intimate nature with the victim; and 2) Where the existence of such a relationship shall be determined based on a consideration of the following factors: a. The length of the relationship; b. The type of relationship; and c. The frequency of interaction between the persons involved in the relationship. 7 Sexual assault which is defined in 24 CFR 5.2003 means any nonconsensual sexual act proscribed by Federal, Tribal, or State law, including when the victim lacks capacity to consent. Stalking which is defined in 24 CFR 5.2003 means engaging in a course of conduct directed at a specific person that would cause a reasonable person to: 1) Fear for the person's individual safety or the safety of others; or 2) Suffer substantial emotional distress. Human Trafficking includes both sex and labor trafficking, as outlined in the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 U.S.C. 7102). These are defined as: 1) Sex trafficking means the recruitment, harboring, transportation, provision, obtaining, patronizing, or soliciting of a person for the purpose of a commercial sex act, in which the commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or 2) Labor trafficking means the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.

Due to the confidential nature of serving individuals “Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking” demographics on this population are slim. The data we do have comes from the largest local shelter in the City that caters only to this population. They serve clients in four ways, including their Residential (71), Court Assistance (239), and Non-Residential (103) Programs as well as through anonymous contacts (829). The total number of individuals served in 2021 was 1,242. This is assumed to be a gravely underestimated total for this population.

We could not locate reliable human trafficking data local to Lima so we are reporting data from 2021 collected by the Ohio Attorney General’s Office for their Annual Report. First of all, Ohio is considered the fourth worst state in the country for Human Trafficking activity, and Lima is less than an hour from I-75 and I-70 which is one of the most trafficked locations in the Country. I-75 runs right through Lima and there are two additional highways with significant traffic as well including I-30 which also runs cross country albeit with less traffic. In 2021 the Ohio Attorney General’s Office identified 138 potential victims of human trafficking in Ohio. The majority of the identified victims were white, female adults.

Potential Trafficking Victims in Ohio - 2021 Ohio Attorney General’s Office

GENDER	Male	5
	Female	133
AGE	< 18	11.6%
	18-29	43.5%
	30-59	43.5%
	60 +	0.0%
RACE	White	62.3%
	Black	26.1%
	Other	11.6%

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice

Other Populations where providing supportive services or assistance under section 212(a) of NAHA (42 U.S.C. 12742(a)) would prevent the family’s homelessness or would serve those with the greatest risk of housing instability. HUD defines these populations as individuals and households who do not qualify under any of the populations above but meet one of the following criteria: (1) Other Families Requiring Services or Housing Assistance to Prevent Homelessness is defined as households (i.e., individuals and families) who have previously been qualified as “homeless” as defined in 24 CFR 91.5, are currently housed due to temporary or emergency assistance, including financial assistance, services, temporary rental assistance or some type of other assistance to allow the household to be housed, and who need additional housing assistance or supportive services to avoid a return to homelessness. (2) At Greatest Risk of Housing Instability is defined as household who meets either paragraph (i) or (ii) below: (i) has annual income that is less than or equal to 30% of the area median income, as determined by

HUD and is experiencing severe cost burden (i.e., is paying more than 50% of monthly household income toward housing costs); 8 (ii) has annual income that is less than or equal to 50% of the area median income, as determined by HUD, AND meets one of the following conditions from paragraph (iii) of the “At risk of homelessness” definition established at 24 CFR 91.5: (A) Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance; (B) Is living in the home of another because of economic hardship; (C) Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; (D) Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or by Federal, State, or local government programs for low-income individuals; (E) Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 persons reside per room, as defined by the U.S. Census Bureau; (F) Is exiting a publicly funded institution, or system of care (such as a health-care facility, a mental health facility, foster care or other youth facility, or correction program or institution); or (G) Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient's approved consolidated plan Veterans and Families that include a Veteran Family Member that meet the criteria for one of the qualifying populations described above are eligible to receive HOME-ARP assistance.

Low-Income Renters:

The ratio of owner occupied households to renter occupied households has flipped in the last 20 years. In 2000 56% of Lima households were owner-occupied and 43% were rentals. As of 2021, only 45% of Lima households are owner occupied and 54% are rentals. Households living in rental units are shown to be more likely to be living in poverty and more likely to have children in the household. As one of the most common causes of homelessness and housing instability being evictions and the leading causes of eviction being poverty and the presence of children, this causes major concern for a huge subset of the City’s population. While the City currently has no way of quantifying total evictions as many do not involve the courts, the Lima Municipal Court did report for 2021, 744 Writs of Restitution and 920 Summons Forcible Detention (Forcible Evictions). This totals 1,664 contested and forcible eviction proceedings plus the numerous uncontested evictions.

Characteristics by Tenure – 2021

Tenure	Type	%
Rental	Families in Poverty	35.9%
	Households w/ Kids	41.4%
Owner Occupied	Families in Poverty	7.3%
	Households w/ Kids	23.0%

Poverty by Age - 2021

Age	Individuals in Poverty	%
All Ages	8,361	24.9%
Under 18	3,174	37.3%
18-64	4,526	22.3%
65 +	661	13.9%

Housing Tenure by Year 2000 - 2021

	2000	2010	2021
Owner Occupied	56.8%	50.6%	45.7%
Renter Occupied	43.2%	49.4%	54.3%

Low-Income Veterans:

One of the other populations identified by local service providers as struggling to access and keep services that assist with housing stability was veterans. The City of Lima had 1,765 civilian individuals over 18 years of age identifying as veterans in 2021, representing 7.1% of the City's population over 18 years of age. Of this total, 14.6% (258) were living in poverty and 40.8% (721) had a disability.

Low-Income Households with a Disability:

The second population identified by local service providers as underserved, which has significant overlap with veterans is disabled adults. Of the over-18 population in the City of Lima, 5,932 individuals (23.7%) were recorded as having a disability. Of those, 1,723 (29.0%) also recorded living below the poverty line. Many shelters and low-income living options do not cater to physical or mental disabilities.

The City of Lima does know that WOCAP & Family Promise has kept all local motels and hotels full since the start of the pandemic by providing temporary rental assistance dollars. These approximate 300 units of housing give us information about the number of households who are housing unstable but not otherwise counted. The other group the City of Lima would count is households with new infants. There are approximately 474 infants born in Lima each year based on Census data. Based on poverty statistics (24%) - 114 babies are born into poverty. However knowing, that parents have to take time off to take care of babies, sometimes unexpectedly due to health issues, the number is probably higher. The City of Lima also has data from HUD that says 32% of Lima households have one of four housing problems. The most common problem is that the household pays more than 30% of their gross income for housing costs. If you apply the 32% to the 474 infants, 152 households with a newborn in Lima have a significant housing issue. The City of Lima is deeply concerned about how to protect both of these populations when the rental assistance runs out for those in motels, and the families with new infants who are at risk of infant mortality (based on studies in Dayton & Columbus, Ohio - providing stable housing saves 4 babies out of every 100).

Tip: Describe all four QPs within the jurisdiction. Failure to describe any of the four QPs will result in a plan that lacks a required element and, therefore, is substantially incomplete. PJs should carefully review the definitions of the QPs in Section IV.A of the Notice (pages 3-8). It is understood that there are no data sources that perfectly reflect certain QPs (e.g., Other Populations). A PJ should describe the relevant data it has and, if necessary, acknowledge the shortcomings of that data in its narrative.

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

Describe the unmet housing and service needs of qualifying populations:

Homeless as defined in 24 CFR 91.5

In order to meet the needs of the City of Lima's homeless population the City has numerous shelters that serve various sub-populations. Based on the survey the City sent to providers there are at least 241 shelter units available, with the majority of these being for only adult males. Also, other than a handful of locations, these are all congregate shelter resources. Throughout the pandemic and with the use of funds tied directly to the pandemic a lot of emergency housing has been funneling through local hotels but as pandemic funding runs out that is not a sustainable approach.

Based on the populations indicated in the survey results there were a number of gaps identified. While the City is not lacking units for all populations there were a few identified by service providers to be commonly hard to house. These four populations were single adult women, teenage/young adult men, intact families that may include a father figure or older brother and those that do not do well in congregate settings. While it is not possible to target services to unaccompanied teens and youth with this funding the City is exploring other options to serve this underserved group. So far this includes speaking with COHHIO about the Youth Homelessness Demonstration Program Funding as well as meeting with City Council Members about their ideas for a youth shelter.

Shelter Units by Demographic 2022

Population	Shelter Units Available
Male	157
Female	49
Family (Women + Kids)	35
Total	241

At Risk of Homelessness as defined in 24 CFR 91.5

Those who are not homeless but most at risk of homelessness have many unmet needs in the community. As it is easier to keep someone housed then re-house someone after being homeless this is a major priority for the City of Lima. One of the needs that has been unmet for many years is that there is no available Tenant Based Rental Assistance program in the City. A comprehensive TBRA program allows people who are housed to stay housed through financially unstable periods as well as allow low-income households to make rent in safe quality housing.

The other unmet need of those at risk of homelessness is a lack of both subsidized and market rate quality affordable units. Based on a 2019 Housing Assessment completed for the City of Lima

the rental occupancy rate for the City was 97.9% in 2019 and less than 2% vacancy rate in 2022 according to local realtors, and, rising to 100% for Tax Credit/Government Subsidized units. This lack of vacant units makes finding new housing a strain when current housing is lost and does not motivate rental property owners to compete for tenants by keeping up their properties. When breaking down available rental units by affordability it is clear how far behind the supply of affordable units is to demand within the City, especially for those in the lowest income brackets. A good indicator of this is that in 2021 the local Metropolitan Housing Authority had a waitlist of 128 households, with 312 more in the screening process for a total of 440 requesting the 70 open housing vouchers - a mismatch of 370 households looking for affordable housing.

Additional Rental Units Needed by Monthly Rental Price Point 2019 City of Lima Housing Assessment

Potential Renter Income Categories	Estimated Number of Rental Units Needed	Affordable Rents	Number of Units in Good Condition Available at these Rents	Gap or Surplus in this Category	Units Needing to be Rehabbed	Units Needing to be Developed
0-30% ami	1,685	\$0-\$250	546	-1,139	608	531
30-50% ami	2,075	\$250-\$375	673	-1,402	747	655
50-60% ami	895	\$375-\$500	290	-605	322	283
60-80% ami	478	\$500-\$625	320	-158	84	74
80-120% ami	870	\$625-\$1,000	914	+44	0	0
Over 120% ami	1,476	\$1,000 +	629	-847	451	352
Total Units Needing Substantial Rehab					2,212	
Total Additional Units Needed						1,895

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

In consultation with the main service provider for this population it was identified that the gap in care for this population is Tenant Based Rental Assistance (TBRA) availability that will allow fleeing parties to live independently and provide the social services needed around sheltered individuals. These can include funding for material items like new birth certificates, driver licenses, furniture etc.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability as defined by HUD in the Notice

These populations share many of the same unmet needs expressed above in the Unmet Needs of those at Risk of Homelessness Section. With a lack of TBRA and permanent affordable housing limiting the housing choices for low-income households, veterans and adults with disabilities. The one addition to that section that is particular to these persons and households is not only access to TBRA and permanent affordable housing but also to permanent social services related to the

population in order to help keep people stably housed as transitory unstable housing patterns are common in many of these populations.

Identify any gaps within the current shelter and housing inventory as well as the service delivery system:

Gaps within the current shelter, housing inventory and service delivery system identified above:

- Shelter Units for Teenage/Young Adult Men
- Shelter Units for Transitioning Persons or Gender Non Confirming
- Shelter Units for Persons who are Gay, Bi-Sexual or Queer
- Tenant Based Rental Assistance
- Permanent Quality Affordable Housing
- Permanent Service Based Quality Affordable Housing

Additionally some of the providers are lacking some capacity in their ability to deal with complex reporting and funding systems. In response to the teenage and youth shelter system, we have begun exploring the possibility of applying for a different source of funding as HOME -ARP does not appear to be a good fit. The City is exploring is working with youth coalitions through a community violence coalition and will then explore a sub-committee to meet about youth homelessness.

Under Section IV.4.2.ii.G of the HOME-ARP Notice, a PJ may provide additional characteristics associated with instability and increased risk of homelessness in their HOME-ARP allocation plan. These characteristics will further refine the definition of “other populations” that are “At Greatest Risk of Housing Instability,” as established in the HOME-ARP Notice. If including these characteristics, identify them here:

Identify priority needs for qualifying populations:

The data collected during the consultation process indicate that there are a number of high priority needs among the qualifying populations. One of those needs is for additional units of affordable rental housing of all types both new construction and rehabs. Second is that the data points to a severe need for TBRA and supportive services in the community to keep those below 30 and/or 50% AMI stably housed as there is such a mismatch between the supply of units in that price range and the number of households meeting those criteria.

Explain how the PJ determined the level of need and gaps in the PJ’s shelter and housing inventory and service delivery systems based on the data presented in the plan:

- Provider Surveys
- Provider Interviews
- US Census/American Community Survey Data
- 2019 Comprehensive Housing Affordability Strategy Data
- 2019 City of Lima Housing Assessment

HOME-ARP Activities

Describe the method(s) that will be used for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors:

The City of Lima will develop an RFP describing the range of activities, the qualifications of sub-recipients and the prices allowable for activities. We will publish a RFQ & application in the newspaper and by mail to all consulted organizations. There will be a Q&A session open to the public and then there will be a 30 day development period after the Q&A session before the time that the RFQ and application become due to the City.

Additional technical assistance may be offered if a significant need is determined.

Describe whether the PJ will administer eligible activities directly:

All activities will be administered by sub-recipients except non-profit capacity building and planning and administration activities. These two activities will be administered by the City of Lima.

If any portion of the PJ's HOME-ARP administrative funds are provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

Not applicable.

In accordance with Section V.C.2. of the Notice (page 4), PJs must indicate the amount of HOME-ARP funding that is planned for each eligible HOME-ARP activity type and demonstrate that any planned funding for nonprofit organization operating assistance, nonprofit capacity building, and administrative costs is within HOME-ARP limits.

Use of HOME-ARP Funding

	Funding Amount	Percent of the Grant	Statutory Limit
Supportive Services	\$ 215,000.00		
Acquisition and Development of Non-Congregate Shelters	\$ 0		
Tenant Based Rental Assistance (TBRA)	\$ 450,000.00		
Development of Affordable Rental Housing	\$ 300,000.00		
Non-Profit Operating	\$ 56,000.00	5 %	5%
Non-Profit Capacity Building	\$ 56,000.00	5 %	5%
Administration and Planning	\$ 45,406.00	4 %	15%
Total HOME ARP Allocation	\$ 1,122,406.00		

Describe how the PJ will distribute HOME-ARP funds in accordance with its priority needs identified in its needs assessment and gap analysis:

In the needs analysis and with the priority needs we identified, we realized that we have enough shelter beds for the currently homeless. However, the households at risk of homelessness, the tightness of our housing market and the high number of evictions as compared to rental housing units in the community created a very high number of at risk for homelessness households.

Our plan is to use most of the funds to provide 12 months of tenant based rental assistance for residents with incomes under 60% AMI and include wrap around support services. These wrap around services will include services like rapid rehousing, moving costs, furniture, high cost deposits, working with landlords for hard to place tenants, budgeting and job placement help, document replacement and more.

Further, we saw through several best practice studies that having children is the number one predictor of eviction and that for new parents, if we can provide stable housing for the first year of the child's life, we can significantly reduce infant mortality. We will do a limitation for 10 units only of the TBRA for new parents as a test study to see if we can reduce infant mortality in our community similar to studies in Columbus and Dayton, Ohio.

We do know our providers need some capacity building and software so we have planned for the costs of that, as well as, the overhead that is needed for the main sub-recipient to administer the program. Other than the preference for the 10 units for new parents there will be no other preference or limitation.

We do know that HUD originally intended this program to create permanent supportive housing so we have set aside \$300,000 to rehab a property so that we can try to create something that is permanent in our community.

We also know there is a young adult group that is hard to serve in this community, especially young men, or families with young adult men. However, we are now starting to meet with stakeholders and plan for a young adult shelter (age 16-26) using a better source of funding, the YDHP and will be asking COHHIO to provide technical assistance as we go forward with the process of meeting and applying for funding. We believe this is a better source of funding for serving this population and we will need to create or find a provider willing to serve this population as well.

Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:

Given that we were told there are enough shelter beds but that rents are unaffordable, the eviction rate is up to 22% of rental units in the City are receiving evictions each year, and that Lima's vacancy rate is under 2% it is imperative that we assist the at risk of homelessness and those QP's leaving institutions of care without a stable housing plan.

Therefore, while we do have a goal of creating some additional rental units so that this funding can create some permanent change in Lima, Ohio, our most pressing needs that were identified are for tenant based rental assistance and supportive services.

HOME-ARP Production Housing Goals

Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:

QP 1 – 0

QP 2 – 0

QP 3 -- 0

QP 4 -- 8

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how the production goal will address the PJ's priority needs:

Specifically, the goal is to create additional safety net units for individuals or families who are leaving institutions of care without a stable housing plan, be that a new parent without their own place to live or a veteran who hasn't been able to rent because they can't find a place that will accept them with their pet, the goal is to be flexible and accommodating, to help households build a positive rental history and then move on after 12 months so another household in need can take advantage of the resource.

Preferences

A preference provides a priority for the selection of applicants who fall into a specific QP or category (e.g., elderly or persons with disabilities) within a QP (i.e., subpopulation) to receive assistance. A *preference* permits an eligible applicant that qualifies for a PJ-adopted preference to be selected for HOME-ARP assistance before another eligible applicant that does not qualify for a preference. A *method of prioritization* is the process by which a PJ determines how two or more eligible applicants qualifying for the same or different preferences are selected for HOME-ARP assistance. For example, in a project with a preference for chronically homeless, all eligible QP applicants are selected in chronological order for a HOME-ARP rental project except that eligible QP applicants that qualify for the preference of chronically homeless are selected for occupancy based on length of time they have been homeless before eligible QP applicants who do not qualify for the preference of chronically homeless.

Please note that HUD has also described a method of prioritization in other HUD guidance. Section I.C.4 of Notice CPD-17-01 describes Prioritization in CoC CE as follows:

“Prioritization. In the context of the coordinated entry process, HUD uses the term “Prioritization” to refer to the coordinated entry-specific process by which all persons in need of assistance who use coordinated entry are ranked in order of priority. The coordinated entry prioritization policies are established by the CoC with input from all community stakeholders and must ensure that ESG projects are able to serve clients in accordance with written standards that are established under 24 CFR 576.400(e). In addition, the coordinated entry process must, to the maximum extent feasible, ensure that people with more severe service needs and levels of vulnerability are prioritized for housing and homeless assistance before those with less severe service needs and lower levels of vulnerability. Regardless of how prioritization decisions are implemented, the prioritization process must follow the requirements in Section II.B.3. and Section I.D. of this Notice.”

If a PJ is using a CE that has a method of prioritization described in CPD-17-01, then a PJ has preferences and a method of prioritizing those preferences. These must be described in the HOME-ARP allocation plan in order to comply with the requirements of Section IV.C.2 (page 10) of the HOME-ARP Notice.

In accordance with Section V.C.4 of the Notice (page 15), the HOME-ARP allocation plan must identify whether the PJ intends to give a preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project.

- Preferences cannot violate any applicable fair housing, civil rights, and nondiscrimination requirements, including but not limited to those requirements listed in 24 CFR 5.105(a).
- The PJ must comply with all applicable nondiscrimination and equal opportunity laws and requirements listed in 24 CFR 5.105(a) and any other applicable fair housing and civil rights laws and requirements when establishing preferences or methods of prioritization.

While PJs are not required to describe specific projects in its HOME-ARP allocation plan to which the preferences will apply, the PJ must describe the planned use of any preferences in its HOME-ARP allocation plan. This requirement also applies if the PJ intends to commit HOME-ARP funds to projects that will utilize preferences or limitations to comply with restrictive eligibility requirements of another project funding source. **If a PJ fails to describe preferences or limitations in its plan, it cannot commit HOME-ARP funds to a project that will implement a preference or limitation until the PJ amends its HOME-ARP allocation plan.**

For HOME-ARP rental housing projects, Section VI.B.20.a.iii of the HOME-ARP Notice (page 36) states that owners may only limit eligibility or give a preference to a particular qualifying population or segment of the qualifying population if the limitation or preference is described in the PJ's HOME-ARP allocation plan. Adding a preference or limitation not previously described in the plan requires a substantial amendment and a public comment period in accordance with Section V.C.6 of the Notice (page 16).

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:

The City of Lima intends to use a first come first serve approach and serve all four Qualifying Populations. However, there is a pilot preference “pull out” for 10 TBRA spots for new parents under QP 4 new parents leaving hospitals without stable housing plans in order to prevent infant mortality.

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

There is a preference “pull out” for 10 units of TBRA for new parents as a pilot under Qualifying Population 4. There are no other limitations. Everyone else is first come first serve.

If a preference was identified, describe how the PJ will use HOME-ARP funds to address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the preference.

Due to the fact that it is a “pull out” set aside and the same programs will still be available for all other QPs, no QPs are expected to be excluded from any HOME-ARP benefits at this time due to this preference. Unfortunately, there will come a time when HOME-ARP funds will run out. However, the City will commit to considering the use of regular HOME funds as a source of TBRA if in fact there would be persons who would not be served due to the “pull out” set aside preference to new families. We will monitor this with the sub-recipient and keep it in mind in future planning years.

Referral Methods

PJs are not required to describe referral methods in the plan. However, if a PJ intends to use a coordinated entry (CE) process for referrals to a HOME-ARP project or activity, the PJ must ensure compliance with Section IV.C.2 of the Notice (page10).

A PJ may use only the CE for direct referrals to HOME-ARP projects and activities (as opposed to CE and other referral agencies or a waitlist) if the CE expands to accept all HOME-ARP qualifying populations and implements the preferences and prioritization established by the PJ in its HOME-ARP allocation plan. A direct referral is where the CE provides the eligible applicant directly to the PJ, subrecipient, or owner to receive HOME-ARP TBRA, supportive services, admittance to a HOME-ARP rental unit, or occupancy of a NCS unit. In comparison, an indirect referral is where a CE (or other referral source) refers an eligible applicant for placement to a project or activity waitlist. Eligible applicants are then selected for a HOME-ARP project or activity from the waitlist.

The PJ must require a project or activity to use CE along with other referral methods (as provided in Section IV.C.2.ii) or to use only a project/activity waiting list (as provided in Section IV.C.2.iii) if:

1. the CE does not have a sufficient number of qualifying individuals and families to refer to the PJ for the project or activity;
2. the CE does not include all HOME-ARP qualifying populations; or,
3. the CE fails to provide access and implement uniform referral processes in situations where a project's geographic area(s) is broader than the geographic area(s) covered by the CE

If a PJ uses a CE that prioritizes one or more qualifying populations or segments of qualifying populations (e.g., prioritizing assistance or units for chronically homeless individuals first, then prioritizing homeless youth second, followed by any other individuals qualifying as homeless, etc.) then this constitutes the use of preferences and a method of prioritization. To implement a CE with these preferences and priorities, the PJ **must** include the preferences and method of prioritization that the CE will use in the preferences section of their HOME-ARP allocation plan. Use of a CE with embedded preferences or methods of prioritization that are not contained in the PJ's HOME-ARP allocation does not comply with Section IV.C.2 of the Notice (page10).

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional):

All Qualifying Populations are eligible for TBRA except for the 10 spot preference previously mentioned. All Qualifying Populations are eligible for Supportive Services. All Qualifying Populations are eligible for Rental Housing. Sub-Recipients are eligible for Capacity Building and that will be used to support TBRA, Supportive Services and Rental Housing. Operating Support is available for the lead sub-recipient who will operate TBRA.

If the PJ intends to use the coordinated entry (CE) process established by the CoC, describe whether all qualifying populations eligible for a project or activity will be included in the CE

process, or the method by which all qualifying populations eligible for the project or activity will be covered. (Optional):

The program will be first come, first serve.

If the PJ intends to use the CE process established by the CoC, describe the method of prioritization to be used by the CE. (Optional):

Not applicable.

If the PJ intends to use both a CE process established by the CoC and another referral method for a project or activity, describe any method of prioritization between the two referral methods, if any. (Optional):

Not applicable, first come first serve only.

Limitations in a HOME-ARP rental housing or NCS project

Limiting eligibility for a HOME-ARP rental housing or NCS project is only permitted under certain circumstances.

- PJs must follow all applicable fair housing, civil rights, and nondiscrimination requirements, including but not limited to those requirements listed in 24 CFR 5.105(a). This includes, but is not limited to, the Fair Housing Act, Title VI of the Civil Rights Act, section 504 of Rehabilitation Act, HUD's Equal Access Rule, and the Americans with Disabilities Act, as applicable.
- A PJ may not exclude otherwise eligible qualifying populations from its overall HOME-ARP program.
- Within the qualifying populations, participation in a project or activity may be limited to persons with a specific disability only, if necessary, to provide effective housing, aid, benefit, or services that would be as effective as those provided to others in accordance with 24 CFR 8.4(b)(1)(iv). A PJ must describe why such a limitation for a project or activity is necessary in its HOME-ARP allocation plan (based on the needs and gap identified by the PJ in its plan) to meet some greater need and to provide a specific benefit that cannot be provided through the provision of a preference.
- For HOME-ARP rental housing, section VI.B.20.a.iii of the Notice (page 36) states that owners may only limit eligibility to a particular qualifying population or segment of the qualifying population if the limitation is described in the PJ's HOME-ARP allocation plan.
- PJs may limit admission to HOME-ARP rental housing or NCS to households who need the specialized supportive services that are provided in such housing or NCS. However, no otherwise eligible individuals with disabilities or families including an individual with a disability who may benefit from the services provided may be excluded on the grounds that they do not have a particular disability.

Describe whether the PJ intends to limit eligibility for a HOME-ARP rental housing or NCS project to a particular qualifying population or specific subpopulation of a qualifying population identified in section IV.A of the Notice:

No limitation or preference is known or intended for rental housing at this time. This is due to the fact that the property has not been identified. Therefore, it is not possible to say to whom it may be a best fit, if there would be any target QP at all. This information may be forthcoming or it may stay open to all QPs.

If a PJ intends to implement a limitation, explain why the use of a limitation is necessary to address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Not applicable.

If a limitation was identified, describe how the PJ will address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the limitation through the use of HOME-ARP funds (i.e., through another of the PJ's HOME-ARP projects or activities):

Not applicable.

HOME-ARP Refinancing Guidelines

If the PJ intends to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing that is being rehabilitated with HOME-ARP funds, the PJ must state its HOME-ARP refinancing guidelines in accordance with 24 CFR 92.206(b). The guidelines must describe the conditions under which the PJ will refinance existing debt for a HOME-ARP rental project, including:

- ***Establish a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing to demonstrate that rehabilitation of HOME-ARP rental housing is the primary eligible activity***

Not applicable.

- ***Require a review of management practices to demonstrate that disinvestment in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving qualified populations for the minimum compliance period can be demonstrated.***

Not applicable.

- ***State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.***

Not applicable.

- ***Specify the required compliance period, whether it is the minimum 15 years or longer.***

Not applicable.

- ***State that HOME-ARP funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.***

HOME- ARP funds cannot be used to re-finance multifamily loans made or insured by any federal program, including CDBG.

- ***Other requirements in the PJ's guidelines, if applicable:***

Not applicable.