

### Application for Federal Assistance SF-424

**\* 1. Type of Submission:**

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

**\* 2. Type of Application:**

- ☒ New  
☐ Continuation  
☐ Revision

**\* If Revision, select appropriate letter(s):**

**\* Other (Specify):**

**\* 3. Date Received:**

01/11/2022

**4. Applicant Identifier:**

**5a. Federal Entity Identifier:**

**5b. Federal Award Identifier:**

**State Use Only:**

**6. Date Received by State:**

**7. State Application Identifier:**

**8. APPLICANT INFORMATION:**

**\* a. Legal Name:** Lexington-Fayette Urban County Government

**\* b. Employer/Taxpayer Identification Number (EIN/TIN):**

61-0858140

**\* c. Organizational DUNS:**

0204287770000

**d. Address:**

**\* Street1:**

200 East Main Street

**Street2:**

**\* City:**

Lexington

**County/Parish:**

Fayette

**\* State:**

KY: Kentucky

**Province:**

**\* Country:**

USA: UNITED STATES

**\* Zip / Postal Code:**

40507-1310

Lexington-Fayette

**e. Organizational Unit:**

**Department Name:**

Chief Administrator's Office

**Division Name:**

Grants and Special Programs

**f. Name and contact information of person to be contacted on matters involving this application:**

**Prefix:**

Mr.

**\* First Name:**

Charlie

**Middle Name:**

**\* Last Name:**

Lanter

**Suffix:**

**Title:** Director, Grants and Special Programs

**Organizational Affiliation:**

**\* Telephone Number:** 859-258-3079

**Fax Number:**

**\* Email:** clanter@lexingtonky.gov

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

### 11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program

### \* 12. Funding Opportunity Number:

N/A

\* Title:

HOME Investment Partnerships Program

### 13. Competition Identification Number:

Title:

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

Lexington, KY.pdf

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

Lexington-Fayette Urban County Government HOME ARP Project

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**\* a. Applicant \* b. Program/Project 

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**\* a. Start Date: \* b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="4,865,246.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="4,865,246.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☒ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☐ c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

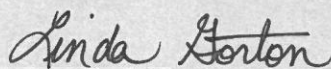
Middle Name:

\* Last Name:

Suffix:

\* Title: \* Telephone Number:  Fax Number: \* Email: 

\* Signature of Authorized Representative:

\* Date Signed:

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

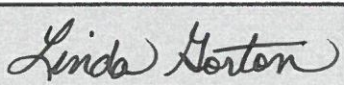
As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.



9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

**SIGN  
HERE**

<b>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b> 	<b>TITLE</b> Mayor Linda Gorton
<b>APPLICANT ORGANIZATION</b> Lexington-Fayette Urban County Government	<b>DATE SUBMITTED</b> 01/11/2022

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

*Linda Gorton*

TITLE

Mayor Linda Gorton

APPLICANT ORGANIZATION

Lexington-Fayette Urban County Government

DATE SUBMITTED

01/11/2022

## HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

**Section 3** --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

**HOME-ARP Certification** --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.

Linda Horton  
Signature of Authorized Official

1/11/22  
Date

Mayor  
Title

## HOME-ARP Allocation Plan Template

### Guidance

- To receive its HOME-ARP allocation, a PJ must:
  - Engage in consultation with at least the required organizations;
  - Provide for public participation including a 15-day public comment period and one public hearing, at a minimum; and,
  - Develop a plan that meets the requirements in the HOME-ARP Notice.
- To submit: a PJ must upload a Microsoft Word or PDF version of the plan in IDIS as an attachment next to the “HOME-ARP allocation plan” option on either the AD-26 screen (for PJs whose FY 2021 annual action plan is a Year 2-5 annual action plan) or the AD-25 screen (for PJs whose FY 2021 annual action plan is a Year 1 annual action plan that is part of the 2021 consolidated plan).
- PJs must also submit an SF-424, SF-424B, and SF-424D, and the following certifications as an attachment on either the AD-26 or AD-25 screen, as applicable:
  - Affirmatively Further Fair Housing;
  - Uniform Relocation Assistance and Real Property Acquisition Policies Act and Anti-displacement and Relocation Assistance Plan;
  - Anti-Lobbying;
  - Authority of Jurisdiction;
  - Section 3; and,
  - HOME-ARP specific certification.

### Participating Jurisdiction: Lexington-Fayette Urban County Government

**Date:** 1/5/2022

### Consultation

Before developing its plan, a PJ must consult with the CoC(s) serving the jurisdiction’s geographic area, homeless and domestic violence service providers, veterans’ groups, public housing agencies (PHAs), public agencies that address the needs of the qualifying populations, and public or private organizations that address fair housing, civil rights, and the needs of persons with disabilities, at a minimum. State PJs are not required to consult with every PHA or CoC within the state’s boundaries; however, local PJs must consult with all PHAs (including statewide or regional PHAs) and CoCs serving the jurisdiction.

#### *Summarize the consultation process:*

The PJ held some individual consultations and met collectively on October 27, 2021, with the Lexington-Fayette County Continuum of Care/Homelessness Prevention & Intervention Board



and on October 13, 2021, with the Affordable Housing Board of Lexington-Fayette County. These consultations are reflected below.

*List the organizations consulted, and summarize the feedback received from these entities.*

<b>Agency/Org Consulted</b>	<b>Type of Agency/Org</b>	<b>Method of Consultation</b>	<b>Feedback</b>
<b>Community Action Council</b>	Community Action Agency/Head Start	Individual Meeting	Need for additional affordable housing in Lexington, especially for very low-income and specialized populations, youth, families
<b>New Beginnings Bluegrass</b>	Mental Health	Individual Meeting	Need for additional affordable units with services for specialized populations including SMI
<b>Arbor Youth Services</b>	Youth/Homeless	Continuum of Care meeting	Concur with need for more affordable units
<b>Office of Homelessness Prevention &amp; Intervention Hope Center</b>	Continuum of Care	Multiple Individual Meetings	Allocation Plan should address need for additional affordable housing units and services for extremely low income and specialized populations.
	Men's Shelter and Substance Abuse/Recovery	Continuum of Care meeting	No additional comment
<b>Catholic Action Center</b>	Homeless/Low-Income	Continuum of Care meeting	No additional comment
<b>Lexington Rescue Mission</b>	Homeless/Low-Income	Continuum of Care meeting	No additional comment
<b>SEE ATTACHMENT FOR ADDITIONAL</b>	<b>SEE ATTACHMENT FOR ADDITIONAL</b>	<b>SEE ATTACHMENT FOR ADDITIONAL</b>	<b>SEE ATTACHMENT FOR ADDITIONAL</b>

If additional space is needed, insert image of table here:



## Public Participation

PJs must provide for and encourage citizen participation in the development of the HOME-ARP allocation plan. Before submission of the plan, PJs must provide residents with reasonable notice and an opportunity to comment on the proposed HOME-ARP allocation plan of **no less than 15 calendar days**. The PJ must follow its adopted requirements for “reasonable notice and an opportunity to comment” for plan amendments in its current citizen participation plan. In addition, PJs must hold **at least one public hearing** during the development of the HOME-ARP allocation plan and prior to submission.

For the purposes of HOME-ARP, PJs are required to make the following information available to the public:

- The amount of HOME-ARP the PJ will receive,
- The range of activities the PJ may undertake.

*Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:*

- **Public comment period:** start date - 12/20/2021 end date - 1/4/2022
- **Public hearing:** 1/4/2022

Public Hearing held in Urban County Council Chambers at 6 p.m on Tuesday, January 4, 2022, at 200 E. Main Street, Lexington, KY, 40507

*Describe any efforts to broaden public participation:*

Invitations for public comment and public hearing distributed through legal ad in Lexington-Herald-Leader published 12/14/21, e-mail sent to Consolidated Plan mailing list on 12/21/21, and published on LexTV. Also sent to Continuum of Care membership and to Urban County Council members to distribute to their constituents (general public).

A PJ must consider any comments or views of residents received in writing, or orally at a public hearing, when preparing the HOME-ARP allocation plan.

*Summarize the comments and recommendations received through the public participation process:*

No comments or recommendations were received in writing or during the public hearing.

*Summarize any comments or recommendations not accepted and state the reasons why:*

N/A

## Needs Assessment and Gaps Analysis

PJs must evaluate the size and demographic composition of qualifying populations within its boundaries and assess the unmet needs of those populations. In addition, a PJ must identify any gaps within its current shelter and housing inventory as well as the service delivery system. A PJ should use current data, including point in time count, housing inventory count, or other data available through CoCs, and consultations with service providers to quantify the individuals and families in the qualifying populations and their need for additional housing, shelter, or services. The PJ may use the optional tables provided below and/or attach additional data tables to this template.

**OPTIONAL Homeless Needs Inventory and Gap Analysis Table**

Homeless													
	Current Inventory					Homeless Population				Gap Analysis			
	Family		Adults Only		Vets	Family HH (at least 1 child)	Adult HH (w/o child)	Vets	Victims of DV	Family		Adults Only	
	# of Beds	# of Units	# of Beds	# of Units	# of Beds					# of Beds	# of Units	# of Beds	# of Units
Emergency Shelter	104	32	276	4	16								
Transitional Housing	0	0	71	0	71								
Permanent Supportive Housing	115	#	404	#	#								
Other Permanent Housing						#	#	#	#				
Sheltered Homeless						88	474	70	26				
Unsheltered Homeless						0	31	0	0				
<i>Current Gap</i>										#	0	158	#

**Suggested Data Sources:** 1. Point in Time Count (PIT); 2. Continuum of Care Housing Inventory Count (HIC); 3. Consultation

**OPTIONAL Housing Needs Inventory and Gap Analysis Table**

Non-Homeless			
	Current Inventory	Level of Need	Gap Analysis
	# of Units	# of Households	# of Households
Total Rental Units	61,607		
Rental Units Affordable to HH at 30% AMI (At-Risk of Homelessness)	2,190		
Rental Units Affordable to HH at 50% AMI (Other Populations)	2,390		
0%-30% AMI Renter HH w/ 1 or more severe housing problems (At-Risk of Homelessness)		13,980	
30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations)		7,985	
<i>Current Gaps</i>			21,965

**Suggested Data Sources:** 1. American Community Survey (ACS); 2. Comprehensive Housing Affordability Strategy (CHAS)

***Describe the size and demographic composition of qualifying populations within the PJ's boundaries:***

Within the geographic area, approximately 700 individuals experience homelessness on any given night and over 4,500 persons are served by the homeless system each year. This includes persons in approximately 3,650 adult only households, 200 adult and child households, and 130 child only households.

Demographically, approximately 65% identify as White, 28% as Black or African American, 4% as Multi-Racial, and 3% as other racial groups. Approximately 5% identify as Hispanic/Latino. About 60% are male and 40% are female. Nearly 60% are adults ages 25-54 with unaccompanied youth (ages 18 to 24) comprising about 8% and about 25% being seniors (ages 55+).

***Describe the unmet housing and service needs of qualifying populations, including but not limited to:***

- ***Sheltered and unsheltered homeless populations;***
- ***Those currently housed populations at risk of homelessness;***
- ***Other families requiring services or housing assistance or to prevent homelessness;***  
***and,***
- ***Those at greatest risk of housing instability or in unstable housing situations:***

There are approximately 620 households (727 persons) experiencing homelessness presently awaiting a housing resource within the PJ's boundaries. This includes 595 adult only households (647 persons) and 25 adult and child households (80 persons). Of adult only households, 40 households are youth ages 18 to 24.

Approximately 390 households (465 persons) are sheltered and 230 households (260 persons) are unsheltered.

***Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing:***

At the time of its most recent Housing Inventory Count, the PJ's resources included: 384 year-round shelter beds (351 congregate, 33 non-congregate) and 97 seasonal shelter beds (all non-congregate); 97 rapid re-housing beds; and 425 permanent supportive housing units. Approximately 25 additional rapid re-housing beds have been added to the system since the time of the HIC.

Numerous supportive services programs operate within the PJ, including 4 street outreach teams and 3 drop-in centers. The PJ operates two tenant-based rental assistance programs with HOME dollars. The PJ's PHA commits nearly 250 MTW voucher to special partners serving those experiencing homelessness.

***Identify any gaps within the current shelter and housing inventory as well as the service delivery system:***

Utilization rates indicate that the PJ's primary need is for housing resources. On the night of the PJ's 2021 PIT Count and throughout much of the year, shelter beds have gone underutilized, with more individuals choosing not to access congregate shelter. This has led to an increase of persons identified as experiencing unsheltered homelessness, who require affordable and permanent housing options.

Approximately 300 persons in the PJ are awaiting a housing resource which can provide long-term rental assistance and intensive supportive services. Many of these individuals do not meet HUD's chronic homeless definition, but require permanent supportive housing in order to successfully be housed and remain housed.

Another 250 persons await a shorter term resource with less intensive service needs. As openings become available more frequently with the PJ's rapid re-housing units as participants transition on, this gap is less significant.

At all levels, the PJ's primary need is for housing resources for adult only households. The PJ was able to leverage its allocated Emergency Housing Vouchers to transition families from shelters and the streets to permanent housing, effectively ending family homelessness.

***Identify the characteristics of housing associated with instability and an increased risk of homelessness if the PJ will include such conditions in its definition of "other populations" as established in the HOME-ARP Notice:***

The PJ will focus on homeless populations; those at risk will not be included in our definition of "other populations" for HOME-ARP.

***Identify priority needs for qualifying populations:***

Similar to the PJ's Coordinated Entry system, priority needs for qualifying populations will consider an individual or family's acuity, length of time homeless, and primary nighttime residence.

1. Highest Acuity + Length of Time Homeless + Unsheltered
2. Highest Acuity + Length of Time Homeless + Residing in Emergency Shelter

***Explain how the level of need and gaps in its shelter and housing inventory and service delivery systems based on the data presented in the plan were determined:***

Several data sources were assessed in determining the PJ's resource inventory and gaps. This includes Point-in-Time Count, Housing Inventory Count, Longitudinal Systems Analysis and HMIS APR data. The PJ also relied heavily upon its By-Name List for Coordinated Entry in assessing unmet needs. All sources were consulted to ensure that data was current, representative and consistent.

## **HOME-ARP Activities**

***Describe the method for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors and whether the PJ will administer eligible activities directly:***

Applications accepted through the LFUCG Office of Affordable Housing on a rolling basis will be required to set aside permanent supportive housing units for households meeting requirements of the qualifying population. These applicants will also be required to identify a partner organization to receive supportive services funding through HOME ARP in support of the project. In this way, HOME ARP funds will be leveraged with funds from the LFUCG's Affordable Housing Fund by using HOME ARP to buy in to developments and ensure units are set aside for the qualifying population. Applications will be initially reviewed by the city's Affordable Housing Manager and staff in the Division of Grants and Special Programs. Applicants will be required to have a letter of support from the Continuum of Care. Funds will ultimately be awarded by the LFUCG's Affordable Housing Board. By coupling HOME ARP with larger Affordable Housing Fund developments, the program will be able to ensure the long-term sustainability of these units which are otherwise difficult to cash flow when serving only the qualifying population.

***If any portion of the PJ's HOME-ARP administrative funds were provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:***

N/A – No funds were provided to a subrecipient or contractor prior to HUD's acceptance of this plan.

PJs must indicate the amount of HOME-ARP funding that is planned for each eligible HOME-ARP activity type and demonstrate that any planned funding for nonprofit organization operating assistance, nonprofit capacity building, and administrative costs is within HOME-ARP limits. The following table may be used to meet this requirement.

**Use of HOME-ARP Funding**

	<b>Funding Amount</b>	<b>Percent of the Grant</b>	<b>Statutory Limit</b>
Supportive Services	\$ 827,152		
Acquisition and Development of Non-Congregate Shelters	\$ 0		
Tenant Based Rental Assistance (TBRA)	\$ 0		
Development of Affordable Rental Housing	\$ 3,308,608		
Non-Profit Operating	\$ 0	0 %	5%
Non-Profit Capacity Building	\$ 0	0 %	5%
Administration and Planning	\$ 729,486	14.99 %	15%
<b>Total HOME ARP Allocation</b>	<b>\$ 4,865,246</b>		

***Additional narrative, if applicable:***

After Administration & Planning funds are removed, remaining HOME ARP funds will be allocated in an 80/20 split between Development of Affordable Rental Housing (80%) and Supportive Services (20%) to support those units. This is expected to generate approximately 25 HOME units at an average investment of \$132,344.32 per unit.

***Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:***

On average, a person experiencing homelessness in Lexington requires supportive services totaling approximately \$8,500 in year one, according to the Lexington-Fayette Continuum of Care. By allocating \$827,152 for Supportive Services, the LFUCG aligns those costs with the average experienced by the CoC for serving 25 households through the duration of the grant.

In addition, as identified above in the Needs and Gap Analysis, Lexington Fayette County is short nearly 22,000 units of affordable housing for households at or below 50% of area median income. The need for affordable units in Lexington is so overwhelming that the Urban County Council recently approved an appropriation of \$10 million from the American Rescue Plan Act's State & Local Recovery Funds to the city's affordable housing efforts. Coupling HOME ARP with those projects allows the city to target the HOME ARP qualifying population while not trying to finance entire developments serving a 30% AMI population that otherwise would be difficult to maintain over a long period of time. This minimizes the need for large numbers of housing vouchers and other forms of rental assistance to make the projects viable.

## **HOME-ARP Production Housing Goals**

***Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:***

The LFUCG estimates HOME-ARP funds will support the production of 25 HOME units and anticipates leveraging these funds with other resources to generate another 475 units for a total of 500 units.

***Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how it will address the PJ's priority needs:***

As described above, by pairing HOME ARP with the LFUCG's Affordable Housing Fund, the city will be able to produce 500 units. Of those units, 25 will be HOME ARP dedicated units for the qualifying population.

## **Preferences**

***Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:***



- Preferences cannot violate any applicable fair housing, civil rights, and nondiscrimination requirements, including but not limited to those requirements listed in 24 CFR 5.105(a).
- PJs are not required to describe specific projects to which the preferences will apply.

The LFUCG does not intend to give preferences to one or more qualifying populations.

However, once funded, all projects will be required to follow the prioritization process of the local Continuum of Care's Coordinated Entry policies and procedures.

*If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or category of qualifying population, consistent with the PJ's needs assessment and gap analysis:*

N/A

*If a preference was identified, describe how the PJ will use HOME-ARP funds to address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the preference:*

N/A

## **HOME-ARP Refinancing Guidelines**

If the PJ intends to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing that is being rehabilitated with HOME-ARP funds, the PJ must state its HOME-ARP refinancing guidelines in accordance with 24 CFR 92.206(b). The guidelines must describe the conditions under which the PJ will refinance existing debt for a HOME-ARP rental project, including:

- *Establish a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing to demonstrate that rehabilitation of HOME-ARP rental housing is the primary eligible activity*

N/A

- *Require a review of management practices to demonstrate that disinvestment in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving qualified populations for the minimum compliance period can be demonstrated.*

N/A

- *State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.*

N/A

- *Specify the required compliance period, whether it is the minimum 15 years or longer.*  
N/A
- *State that HOME-ARP funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.*  
N/A
- *Other requirements in the PJ's guidelines, if applicable:*  
N/A

# HOME-ARP Allocation Plan – Consultation Continued...

Agency/Org Consulted	Type of Agency/Org	Method of Consolutation	Feedback
Jubilee Jobs	Employment	Continuum of Care meeting	No additional comment
Bluegrass Community & Technical College	Post-Secondary Education	Continuum of Care meeting	No additional comment
Catholic Charities	Social Services	Continuum of Care meeting	No additional comment
Eastern State Hospital	Mental Health	Continuum of Care meeting	No additional comment
HealthFirst Bluegrass	Community Health Center	Continuum of Care meeting	No additional comment
Bluegrass Care Navigators	Health Care/Hospice	Continuum of Care meeting	No additional comment
Kentucky Career Center	Employment	Continuum of Care meeting	No additional comment
Habitat for Humanity	Housing	Continuum of Care meeting	No additional comment
Lexington-Fayette Housing Authority	Public Housing Authority	Continuum of Care meeting	No additional comment
Mountain Comprehensive Care Center	Mental Health	Continuum of Care meeting	No additional comment
NAMI Lexington	Mental Health	Continuum of Care meeting	No additional comment
Nathaniel Mission	Health/Social Services	Continuum of Care meeting	No additional comment
The Nest	Child Care/Social Services	Continuum of Care meeting	No additional comment
New Vista	Mental Health	Continuum of Care meeting	No additional comment
Recovery Café	Substance Abuse/Recovery	Continuum of Care meeting	No additional comment
The Salvation Army	Emergency Shelter for Women and Children	Continuum of Care meeting	No additional comment
University of Kentucky Healthcare	Health Care/Emergency Room	Continuum of Care meeting	No additional comment
Volunteers of America	Veterans/Homeless	Continuum of Care meeting	No additional comment
WellCare of Kentucky	Medicaid provider	Continuum of Care meeting	No additional comment

## HOME-ARP Allocation Plan – Consultation Continued...

Urban County Council	Local elected officials	Individual meetings/Continuum of Care	Supportive of plan as proposed
Persons with lived experience	Persons with lived experience	Continuum of Care meeting	No additional comment
LFUCG Affordable Housing Board	Housing Developers, Bankers, Private Citizens	Affordable Housing Board meeting	Support plan as proposed. Agreed to leverage with LFUCG Affordable Housing funds
GreenHouse17	Domestic Violence provider	Continuum of Care meeting/Individual meetings	No additional comment/supportive
Lexington Fair Housing Council	Fair Housing, Civil Rights	Individual meeting	Supportive of plan as proposed



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**Attention:** Celia Moore

LFUCG Grants and Special Programs  
200 East Main Street, 6th Floor  
Lexington, KY 40507

### NOTICE OF PUBLIC HEARING

The Lexington-Fayette Urban County Government announces that a Public Hearing will be held on Tuesday, January 4, 2021, at 6:00 PM, in the Council Chambers on the second floor of the Government Center at 200 East Main Street, Lexington, KY. The purpose of the Public Hearing is to obtain citizen comment on the LFUCG's Allocation Plan for HOME-ARP (American Rescue Plan) funding. HOME-ARP is one-time funding from the U.S. Department of Housing and Urban Development intended to assist individuals or households who are homeless, at risk of homelessness, and other vulnerable populations by providing housing, rental assistance, supportive services, and non-congregate shelter, to reduce homelessness and increase housing stability.

The LFUCG has been allocated \$4,865,246 of HOME-ARP funding and the Allocation Plan will be reviewed at the hearing. The plan is also available on the LFUCG's web page at <https://www.lexingtonky.gov/consolidated-plan>.

Public comment will be accepted on the plan beginning December 20, 2021, through January 4, 2022. Comments may be submitted to [clanter@lexingtonky.gov](mailto:clanter@lexingtonky.gov) or by mail to the Division of Grants and Special Programs, 200 East Main Street, 6th Floor, Lexington, KY 40507, 258-3070 (TDD 425-2563).

The Lexington-Fayette Urban County Government operates its programs and services without regard to race, color, and national origin in accordance with Title VI of the Civil Rights Act of 1964. If information is needed in languages other than English or if you are a person with a disability that would necessitate assistance so that you might participate in the above listed meeting, please contact Grants and Special Programs at 859-258-3070 (TDD 859-425-2563) at least 7 days in advance of the public hearing so that arrangements may be made.

LINDA GORTON  
MAYOR  
IPL0052782  
Dec 13 2021

STATE OF )  
KENTUCKY ) AFFIDAVIT  
COUNTY OF FAYETTE )

I, Ryan Dixon makes oath that the advertisement, was published in the Lexington Herald- Leader, a newspaper in Fayette County, State and County aforesaid, in the issue(s) of

No. of Insertions: 1  
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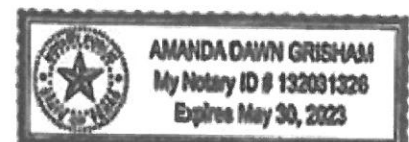
*Ryan Dixon*

Legal Clerk

Sworn to and subscribed before me this 13th day of December in the year of 2021

*Amanda Grisham*

Notary Public in and for the state of Texas, residing in Dallas County

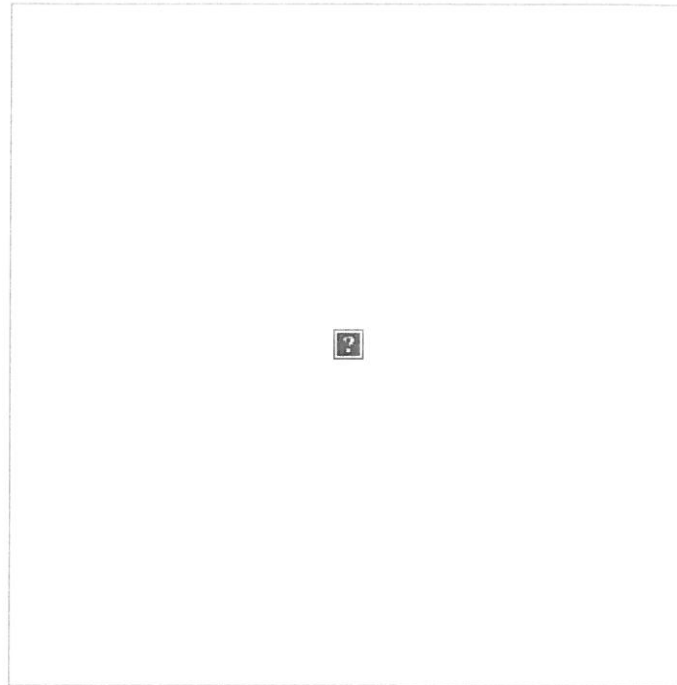


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**To:** [Charlie Lanter](#)  
**Subject:** HOME-ARP Public Hearing & Public Comment Period  
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