

HOME-ARP Allocation Plan Template

Guidance

- To receive its HOME-ARP allocation, a PJ must:
 - Engage in consultation with at least the required organizations;
 - Provide for public participation including a 15-day public comment period and one public hearing, at a minimum; and,
 - Develop a plan that meets the requirements in the HOME-ARP Notice.
- To submit: a PJ must upload a Microsoft Word or PDF version of the plan in IDIS as an attachment next to the “HOME-ARP allocation plan” option on either the AD-26 screen (for PJs whose FY 2021 annual action plan is a Year 2-5 annual action plan) or the AD-25 screen (for PJs whose FY 2021 annual action plan is a Year 1 annual action plan that is part of the 2021 consolidated plan).
- PJs must also submit an SF-424, SF-424B, and SF-424D, and the following certifications as an attachment on either the AD-26 or AD-25 screen, as applicable:
 - Affirmatively Further Fair Housing;
 - Uniform Relocation Assistance and Real Property Acquisition Policies Act and Anti-displacement and Relocation Assistance Plan;
 - Anti-Lobbying;
 - Authority of Jurisdiction;
 - Section 3; and,
 - HOME-ARP specific certification.

Participating Jurisdiction: Lakeland, Florida

Date: 2/15/2022

Consultation

Before developing its plan, a PJ must consult with the CoC(s) serving the jurisdiction’s geographic area, homeless and domestic violence service providers, veterans’ groups, public housing agencies (PHAs), public agencies that address the needs of the qualifying populations, and public or private organizations that address fair housing, civil rights, and the needs of persons with disabilities, at a minimum. State PJs are not required to consult with every PHA or CoC within the state’s boundaries; however, local PJs must consult with all PHAs (including statewide or regional PHAs) and CoCs serving the jurisdiction.

Summarize the consultation process:

The City of Lakeland ‘s Community & Economic Development/Planning & Housing Division requested input from individuals and agencies including the CoC, Homeless Coalition of Polk County.

Additional agencies consulted included Public Housing Authority serving the jurisdiction and nonprofits providing services to people with disabilities and victims of domestic violence, as well as nonprofits addressing issues concerning Fair Housing, civil rights, housing counseling, and more. Planning & Housing Division consulted directly with these agencies inquiring how best to utilize the funds provided by HOME-ARP.

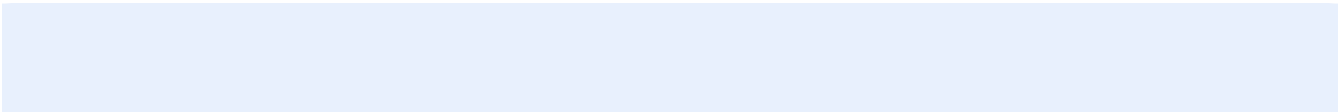
The City invited citizen participation through advertisement of the HOME-ARP Allocation Plan and public hearing and on the City's website. Public comment was open for 15 calendar days from February 28, 2022 to March 14, 2022.

List the organizations consulted, and summarize the feedback received from these entities.

Agency/Org Consulted	Type of Agency/Org	Method of Consultation	Feedback
Homeless Coalition of Polk County	County organization/Homeless Providers	January 19, 2022 Monthly meeting via Zoom w/?? attendees	Asked about offering vouchers and incentives for landlords.HUD
Developers/Service Providers	Developers/Service Providers	January 20, 2022 Virtual Meeting w/28 attendees	The group discussed the need for social services along with the need for more rental units. Homeless provider emailed concern for more rental units. Developer commented the need for more rental units for homeless population.
Neighborhood Leaders/Public Service Agencies	Neighborhood Leaders/Advocates & Public Services Providers	January 24, 2022 Virtual Meeting w/4 attendees	The group discussed the community's needs such as food insecurity for homeless youth in the school system.
Lakeland Housing Authority	Local public housing Authority	January 26, 2022 Virtual Meeting w/7 attendees	LHA expressed that supportive services are a must if providing housing to the homeless/low income population. LHA can provide those services of financial counseling, transportation, tutoring, and Others.
Lakeland's Webpage	Public Outreach	City's website and Neighborhood leaders	No comments.
City Commission	Public Hearing	Public Meeting March 7, 2022	The commission discussed rental vs home ownership,

			landbank for homeownership, and great need for affordable housing. The commission further discussed utilizing momentum to continue developing affordable housing units. One comment from the public asked if new developments are required to offer affordable units. The City Commission unanimously approved the use of funds for rental development.
Agency Name.	Type of Agency/Org.	Method of Consultation.	Feedback.
Agency Name.	Type of Agency/Org.	Method of Consultation.	Feedback.

If additional space is needed, insert image of table here:



Public Participation

PJs must provide for and encourage citizen participation in the development of the HOME-ARP allocation plan. Before submission of the plan, PJs must provide residents with reasonable notice and an opportunity to comment on the proposed HOME-ARP allocation plan of **no less than 15 calendar days**. The PJ must follow its adopted requirements for “reasonable notice and an opportunity to comment” for plan amendments in its current citizen participation plan. In addition, PJs must hold **at least one public hearing** during the development of the HOME-ARP allocation plan and prior to submission.

For the purposes of HOME-ARP, PJs are required to make the following information available to the public:

- The amount of HOME-ARP the PJ will receive,
- The range of activities the PJ may undertake.

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

- ***Public comment period: start date - 2/28/2022 end date - 3/14/2022***
- ***Public hearing: 3/7/2022***

The Planning & Housing Division made several virtual presentations to discuss the use of the funds and who may benefit. Due to the pandemic, the four meetings were held virtual. The public notice was posted in the local newspaper and City's webpage to outline the meeting dates. The presentations was presented to the homeless providers, developer and service providers, non-profit agencies, neighborhood associations and local housing authority. The presentations and comment period was also offered on the Division's webpage, Senior Management Staff and engaged the City Commission members to get their input.

Describe any efforts to broaden public participation:

Efforts to broaden public participation included advertisement of the public comment period, held three virtual meetings and public hearing. All announcements were published in the local newspaper. Additionally, the draft HOME-ARP Allocation Plan was made available on the City of Lakeland's Planning & Housing Division website for public review. All Comments and views of residents received are considered, when preparing the HOME-ARP.

A PJ must consider any comments or views of residents received in writing, or orally at a public hearing, when preparing the HOME-ARP allocation plan.

Summarize the comments and recommendations received through the public participation process:

The Planning & Housing Division held several virtual meetings with homeless providers, developers/general contractors and public services agencies. The discussions included the topics of lack of affordable housing, supportive and/or social services for person for the homeless population, and food insecurity for homeless children. Most expressed that the greatest impact for the use of funds would be to build more affordable units for those homeless and 50% of the AMI that are cost burden.

Summarize any comments or recommendations not accepted and state the reasons why:

All Comments were accepted.

Needs Assessment and Gaps Analysis

PJs must evaluate the size and demographic composition of qualifying populations within its boundaries and assess the unmet needs of those populations. In addition, a PJ must identify any gaps within its current shelter and housing inventory as well as the service delivery system. A PJ should use current data, including point in time count, housing inventory count, or other data available through CoCs, and consultations with service providers to quantify the individuals and families in the qualifying populations and their need for additional housing, shelter, or services.

The PJ may use the optional tables provided below and/or attach additional data tables to this template.

OPTIONAL Homeless Needs Inventory and Gap Analysis Table

Homeless													
	Current Inventory					Homeless Population				Gap Analysis			
	Family		Adults Only		Vets	Family HH (at least 1 child)	Adult HH (w/o child)	Vets	Victims of DV	Family		Adults Only	
	# of Beds	# of Units	# of Beds	# of Units	# of Beds					# of Beds	# of Units	# of Beds	# of Units
Emergency Shelter	#	#	#	#	0								
Transitional Housing	#	#	#	#	0								
Permanent Supportive Housing	#	#	#	#	0								
Other Permanent Housing						#	#	#	#				
Sheltered Homeless						#	#	#	#				
Unsheltered Homeless						#	#	#	#				
Current Gap										#	#	#	#

Suggested Data Sources: 1. Point in Time Count (PIT); 2. Continuum of Care Housing Inventory Count (HIC); 3. Consultation

OPTIONAL Housing Needs Inventory and Gap Analysis Table

Non-Homeless			
	Current Inventory	Level of Need	Gap Analysis
	# of Units	# of Households	# of Households
Total Rental Units	#		
Rental Units Affordable to HH at 30% AMI (At-Risk of Homelessness)	#		
Rental Units Affordable to HH at 50% AMI (Other Populations)	#		
0%-30% AMI Renter HH w/ 1 or more severe housing problems (At-Risk of Homelessness)		#	
30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations)		#	
Current Gaps			#

Suggested Data Sources: 1. American Community Survey (ACS); 2. Comprehensive Housing Affordability Strategy (CHAS)

Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

Qualifying populations within the City include persons experiencing homelessness, households at risk of homelessness, persons fleeing, or attempting to flee domestic violence, dating violence, sexual assault, stalking, or human trafficking, and other populations. Other populations include very low-income households at or below 30% AMI (at-risk of homelessness), households requiring services or housing assistance to prevent homelessness, households with income between 30-50% AMI experiencing one or more severe housing problems.

Homeless Needs Inventory and Gap Analysis data was gathered from the Continuum of Care Housing Inventory County (HIC) and Point in Time Count (PIT). The CoC did not perform a PIT Count in 2021 due to the pandemic. At the time of the 2020 Point in Time Count, there were a total of 504 individual persons experiencing homelessness within the Polk County area. Of the 541 individuals experiencing homelessness, 363 individuals were residing in a sheltered location and 178 individuals remained unsheltered. A review of 2019 racial and ethnic Census data shows that ethnic data tracks with the general population. Hispanic/Latinos represent 10.8% of the homeless population as compared to 16.4% of the general population. Racial data shows a very different story. Blacks or African American make up 30.1% of the homeless population, but they make up only 20.5% of the overall population. This shows that Blacks/African Americans are disproportionately represented in the homeless population. Whites make up 72.3% of the population, but only 62.1% of the homeless.

Person fleeing or attempting to flee domestic violence, dating violence, sexual assault, stalking or human trafficking, as defined by HUD, are also a sector of qualifying population. The 2020 Point in Time Count did not identify victims of domestic persons experiencing homelessness identified were also victims of domestic violence.

The at-risk of homelessness sector of Qualifying Populations is defined in 24 CFR 91.5. Characteristics attributed to housing instability and increased risk of homelessness include having a household income between 0-30% AMI, experiencing severe housing cost burden. The Housing Needs Inventory and Gap Analysis identified 2,789 renter households within the City experiencing one or more severe housing problems with very low income at or below 30% AMI. Households within the 0- 30% AMI income category are most impacted by severe cost burden. Other renter households, Elderly owner households, and Small Related owner households are the household types most impacted by housing severe cost burden. Renters are disproportionately affected by severe cost burden within the City.

Additionally, there are 520 renter and 180 owner households, totaling 700 households, with zero/negative income meaning that they cannot be cost burdened but may still require housing assistance. These households are a qualifying population at-risk of homelessness. The Housing Needs Inventory also identified 4,159 renter households with income between 30-50% AMI experiencing one or more severe household problems.

The 2020 Five-Year Consolidated Plan identified elderly households at greatest risk, as documented by 2015 CHAS data on high and severe levels of cost burden. It is difficult to estimate the number of families with a disabling condition in need of housing assistance.

Based on the Housing and Homeless Needs Inventory data, the total Qualifying Populations, which is composed of the homeless population, households at risk of homelessness (0-30% AMI), and other

populations needing assistance to achieve housing stability such as households with an income between 30-50% AMI who are experiencing one or more severe housing problems, is 8,152 households.

Describe the unmet housing and service needs of qualifying populations, including but not limited to:

- ***Sheltered and unsheltered homeless populations;***
- ***Those currently housed populations at risk of homelessness;***
- ***Other families requiring services or housing assistance or to prevent homelessness;***
and,
- ***Those at greatest risk of housing instability or in unstable housing situations:***

The most significant unmet need of those experiencing both sheltered and unsheltered homelessness is a lack of available housing units (both supportive and affordable). Supportive services are needed to help households transit into safe and sustainable housing. Supportive Services can take the form of housing counseling, financial assistance, financial counseling, tenant based rental assistance (TBRA) and employment services.

Sheltered and unsheltered homeless populations need access to housing. Overall, 35% of persons experiencing homelessness reside in an unsheltered place. And approximately 40% of the homeless respondents surveyed had been homeless for more than one year compared to 15% who have been homeless four or more times. Approximately 16% of those surveyed had a mental health issue.

According to the 2020 Point-in-time survey, there were 39 households with at least one parent with children (70 children and 43 adults) included in the count these numbers include 3 unsheltered households with at least one parent with children (3 children and 4 adults). It identified 44 Veterans in need of housing assistance. One of the veterans is a parent with a child. The 2020 Housing Inventory Count identified a total of 50 family permanent supportive housing units in Lakeland.

The unmet needs for the populations at risk of homelessness include resources for rental or utility deposit assistance and development of affordable rental housing units. The housing problems affecting most renters in Lakeland are housing cost burden and severe cost burden. Elderly households are at greatest risk of experiencing cost burden. Additionally, an increased stock of available and affordable housing units would ease this unmet need. Other resources such as supportive services for economic development, childcare, transportation that would increase household income could also address the unmet housing needs of the qualifying population.

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing:

The current resources available to assist the unmet housing and service needs of qualifying populations include but are not limited to affordable housing rental units, supportive services) such as medical assistance and after school care) & rent and utility deposit assistance.

The Homeless Coalition of Polk County (HCPC) works with Lakeland/Polk County area to address the needs of at-risk households and households experiencing homelessness. The Vulnerability Index-Service Prioritization Decision Assistance Tool (VI-SPDAT) offers a consistent way to evaluate each person experiencing a housing crisis, linking them to timely services and completing referrals to housing programs intended to stabilize their living situation. The HCPC had hired a Housing Finder to locate housing and landlords willing to participate with the Coalition.

The City has contributed funds, HOME, General Funds and Community Redevelopment Area (CRA) and waiver of non-utility impact fees, for the production of new affordable rental and homeownership units. The City works with the local housing authority to prevent persons and families from homelessness.

For persons still housed and at-risk of losing their housing, the City administers owner-occupied rehabilitation, emergency repairs and home purchase assistance. The 2020 Five-Year Consolidated Plan addresses housing and supportive services targeting households who are elderly, persons with disability, homeless persons or at risk of becoming homeless and families

Additional resources are available to the Qualifying Populations through separate allocation to prevent and respond to the spread of COVID-19. The additional Coronavirus Relief Fund and CDBG-CV funds were utilized to administer public services including rental, mortgage and utility assistance. mental health service, medical services, operation of homeless shelter.

Households that are fleeing from domestic violence are referred to the local mental health provider.

Identify any gaps within the current shelter and housing inventory as well as the service delivery system:

Lakeland needs to expand the housing stock of affordable and available units to households of various income ranges. Households at or below 30% and 50% AMI are most impacted by cost burden and the gap in affordable housing options. According to the Shimberg Center for Housing (2019), there were over 37,000 households between 30-50% of AMI paying over 30% income for gross rent.

Even before the pandemic, the City Commission had outlined affordable housing as a priority. With the pandemic, it has become more obvious that the City's partnership with the HCPC and the Coordinated Entry process is important.

The homeless and other Qualifying Populations will benefit from investment that will create rental units for households maintaining at the lower income levels and new homes for homeownership. The demand for housing subsidies administered through Lakeland Housing Authority exceeds the supply. With the right supports for rental and homebuyers counseling, the voucher holders can move from housing subsidy programs to market-rate rentals, allowing for new low-income residents the opportunity to receive the benefit. Homebuyer assistance and housing subsidies remain limited, creating barriers for housing choice among a substantial majority of residents within the jurisdiction who cannot achieve homeownership.

Identify the characteristics of housing associated with instability and an increased risk of homelessness if the PJ will include such conditions in its definition of “other populations” as established in the HOME-ARP Notice:

Enter narrative response here.

Identify priority needs for qualifying populations:

The priority needs for the qualifying populations include development of rental units, tenant based rental assistance and supportive services. The greatest need for populations at-risk of Homelessness with incomes of 30-50% AMI is lack of affordable housing units to relieve severe cost burden.

The largest gap the Qualifying Populations are facing is access to affordable rental housing units. Development of affordable rental housing of this type is needed to transition many individuals out of assisted and/or temporary housing into permanent market-rate housing affordable at their income level.

Explain how the level of need and gaps in its shelter and housing inventory and service delivery systems based on the data presented in the plan were determined:

Enter narrative response here.

HOME-ARP Activities

Describe the method for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors and whether the PJ will administer eligible activities directly:

To promote equity and transparency, the City will solicit applications following the Request for Proposal policies and procedures of the City’s Procurement Department. The City may administer eligible activities directly, if needed. RFP will require proof of developer/sponsor/subrecipient experience in providing affordable rental housing, supportive services programs, and proof of financial capacity.

If any portion of the PJ’s HOME-ARP administrative funds were provided to a subrecipient or contractor prior to HUD’s acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ’s entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ’s HOME-ARP program:

Funds were not provided to a subrecipient or contractor prior to HUD’s acceptance of the HOME-ARP allocation plan.

PJs must indicate the amount of HOME-ARP funding that is planned for each eligible HOME-ARP activity type and demonstrate that any planned funding for nonprofit organization operating assistance, nonprofit capacity building, and administrative costs is within HOME-ARP limits. The following table may be used to meet this requirement.

Use of HOME-ARP Funding

	Funding Amount	Percent of the Grant	Statutory Limit
Supportive Services	\$ #		
Acquisition and Development of Non-Congregate Shelters	\$ #		
Tenant Based Rental Assistance (TBRA)	\$ #		
Development of Affordable Rental Housing	\$ 1,370,000		
Non-Profit Operating	\$ #	# %	5%
Non-Profit Capacity Building	\$ #	# %	5%
Administration and Planning	\$ 151,459	11 %	15%
Total HOME ARP Allocation	\$ 1,401,459		

Additional narrative, if applicable:

Enter narrative response here.

Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:

Enter narrative response here.

HOME-ARP Production Housing Goals

Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:

The City estimates it will produce or support the development of ten – fifteen affordable rental housing units for qualifying populations, awarding subsidy to one or more developers. No less than 70% of the affordable rental housing units acquired, rehabilitated or constructed with HOME-ARP funds must be occupied by households in the qualifying populations.

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how it will address the PJ's priority needs:

To increase the affordability, availability and accessibility of renter housing units for the target population. The City will increase affordable rental housing to the qualifying populations, including very low- income households at or below 30% AMI and households at risk of homelessness with incomes of 30-50% AMI. The goal will address the affordable rental housing unit deficit and relieve the cost burden disproportionately felt by the qualifying populations.

Preferences

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:

- Preferences cannot violate any applicable fair housing, civil rights, and nondiscrimination requirements, including but not limited to those requirements listed in 24 CFR 5.105(a).
- PJs are not required to describe specific projects to which the preferences will apply.

Enter narrative response here.

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or category of qualifying population, consistent with the PJ's needs assessment and gap analysis:

The City will prefer those households which are referred from the HCPC's Coordinated Entry Process.

If a preference was identified, describe how the PJ will use HOME-ARP funds to address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the preference:

Enter narrative response here.

HOME-ARP Refinancing Guidelines

If the PJ intends to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing that is being rehabilitated with HOME-ARP funds, the PJ must state its HOME-ARP refinancing guidelines in accordance with [24 CFR 92.206\(b\)](#). The guidelines must describe the conditions under which the PJ will refinance existing debt for a HOME-ARP rental project, including:

- ***Establish a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing to demonstrate that rehabilitation of HOME-ARP rental housing is the primary eligible activity***

Not applicable as the City does not intend to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing being rehabilitated with HOME-ARP funds

- ***Require a review of management practices to demonstrate that disinvestment in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving qualified populations for the minimum compliance period can be demonstrated.***

The City Staff will administer the review of the management practices to insure that the compliance is in place for serving qualified populations.

- ***State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.***

The City intends to use HOME-ARP funds to create new rental housing.

- ***Specify the required compliance period, whether it is the minimum 15 years or longer.***

The City will require the compliance period of 20 years.

- ***State that HOME-ARP funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.***

HOME-ARP funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.

- ***Other requirements in the PJ's guidelines, if applicable:***

Not applicable.

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☐ New
☐ Continuation
☒ Revision

*** If Revision, select appropriate letter(s):**

A: Increase Award

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

B-20-MC-12-0228

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:** City of Lakeland, Florida

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

59-6000354

*** c. UEI:**

020997912000

d. Address:

*** Street1:** 228 S. Massachusetts Avenue

Street2:

*** City:** Lakeland

County/Parish:

*** State:** FL: Florida

Province:

*** Country:** USA: UNITED STATES

*** Zip / Postal Code:** 38801-5012

e. Organizational Unit:

Department Name:

Community & Economic Delevop

Division Name:

Housing

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.

*** First Name:** Michael

Middle Name: B.

*** Last Name:** Smith

Suffix:

Title: Housing Programs Manager

Organizational Affiliation:

*** Telephone Number:** 863/834-3360

Fax Number: 863/834-6266

*** Email:** michael.smith3@lakelandgov.net

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U. S. Department of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Citywide Affordable housing programs

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:*** a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:* a. Start Date: * b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text" value="1,401,459.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="1,401,459.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☐ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☐ No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

☒ ** I AGREE

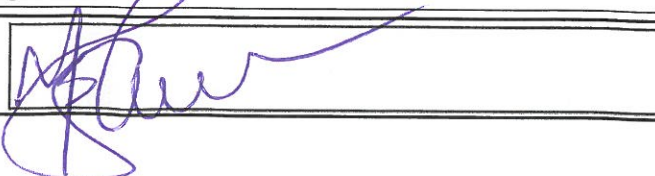
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title: * Telephone Number: Fax Number: * Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

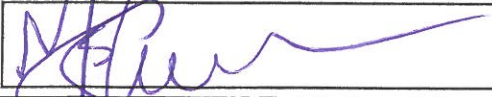
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Lakeland, FL	3/23/23

HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

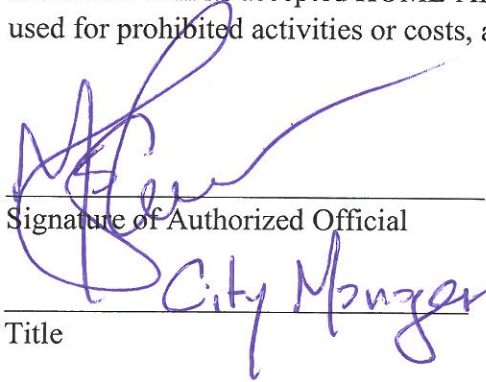
Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.



Signature of Authorized Official

3/23/23
Date

Title

City Manager