

**SUBSTANTIAL AMENDMENT
to the
Program Year 2021 Annual Action Plan**

HOME Investment Partnerships Program – AMERICAN RESCUE PLAN

December 10, 2022

PURPOSE

The Guam Housing and Urban Renewal Authority (GHURA) prepared Guam's Program Year 2021 Annual Action Plan (PY2021 AAP), which describes the proposed projects and programs to be supported with HOME, Emergency Solutions Grant (ESG) and Community Development Block Grant (CDBG) funds. The PY2021 AAP and other documents are available for review at GHURA's website at <http://www.ghura.org/>.

The purpose of the Substantial Amendment to Guam's PY2021 AAP is to include GHURA's allocation and administration of HUD's new HOME American Rescue Plan (HOME-ARP) program.

BACKGROUND/DISCUSSION

The American Rescue Plan Act of 2021 appropriated \$5 billion to help communities provide housing, shelter, and services for people experiencing homelessness, and other qualifying populations. Under the U.S. Department of Housing and Urban Development's (HUD) HOME Investment Partnerships Program – American Rescue Plan (HOME-ARP), Guam, through GHURA, is eligible to receive \$3,881,538 in HOME-ARP funds to address homeless needs through the creation of affordable housing or non-congregate shelter units and by providing tenant-based rental assistance or supportive services. This one-time funding creates significant opportunity to meet the housing and service needs of our community's most vulnerable populations.

To receive HOME-ARP funds, GHURA must engage in the consultation and public participation process to develop a HOME-ARP allocation plan. The plan must be submitted to HUD for review, as a substantial amendment to the PY2021 Annual Action Plan. The plan must also describe the distribution of HOME-ARP funds and how it will be used to address the needs of HOME-ARP qualifying populations.

CITIZENSHIP PARTICIPATION

On November 23, 2022, GHURA conducted a public hearing. Members of the public were provided with HOME-ARP program information and GHURA's intent to administer the HOME-ARP funds for Guam. In addition, consultation meetings and discussions were held throughout the development of the HOME-ARP allocation plan with agencies and organization addressing homelessness, domestic violence issues, and veteran needs. The list does not include another Public Housing Authority as GHURA is designated by the Governor of Guam to administer all funds received for Guam through the HUD's various funding programs. Additionally, GHURA's Chief Planner is the designated Fair Housing Coordinator, as Guam does not have a Fair Housing office on island.

On November 8 and 22, 2022, GHURA published an island-wide Notice of Public Comment (Notice) seeking input on the Substantial Amendment to the PY2021 AAP, which will set forth GHURA's HOME-ARP allocation plan and describe the distribution of HOME-ARP funds. The Notice is attached as Appendix B.

The Notice and the draft allocation plan were made available for review at www.ghura.org. The deadline for written comments on the draft Substantial Amendment to the PY2021 AAP was December 9, 2022. No public comments were received during the 30-day comment period.

SUBSTANTIAL AMENDMENT

The PY2021 AAP will be amended to include \$3,881,538.00 of HOME-ARP funds and GHURA's HOME-ARP allocation plan, which describes the distribution of funds and the process for soliciting applications and selecting eligible projects. GHURA proposes to allocate its HOME-ARP funds to provide affordable rental housing units and address the needs of the qualifying populations. The HOME-ARP allocation plan is attached as Appendix A.

Appendix A: Guam's HOME-ARP Allocation Plan

Appendix B: Notice of Public Comment

APPENDIX A

Guam
Guam Housing and Urban Renewal Authority

HOME-ARP ALLOCATION PLAN
December 10, 2022

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HOME-ARP Allocation Plan

Participating Jurisdiction: Guam

Date: December 10, 2022

INTRODUCTION

In April 2021, the U.S. Department of Housing and Urban Development (HUD) announced the allocation of \$3,881,538.00 to Guam in the form of HOME Investment Partnerships Program – American Rescue Plan (HOME-ARP) funds under the Fiscal Year (FY) 2021. This one-time funding was purposed with creating opportunity to meet housing and service needs of Guam’s most vulnerable populations. Funds received through the Guam Housing and Urban Renewal Authority (GHURA) will be used to serve qualifying populations.

Under HOME-ARP, qualifying populations include:

- Sheltered and Unsheltered Homeless Populations
- Those currently housed at risk of homelessness
- Those fleeing or attempting to flee domestic violence, dating violence, sexual assault, stalking, or human trafficking
- Other populations: (1) requiring services or housing assistance to prevent homelessness, and (2) those at greatest risk of housing instability
- Veterans and families that include a veteran family member that meet one of the preceding criteria

Eligible activities under the HOME-ARP program include: the production or preservation of affordable rental housing; tenant-based rental assistance; supportive services, including homeless prevention services and housing counseling; and the purchase or development of non-congregate shelter for individuals and families experiencing homelessness. In addition, 15% of the total allocation may be used for planning costs and administration.

The development of HOME-ARP allocation plan involved consultation with homeless service providers, housing agencies, and other organizations and agencies that assist qualifying populations in areas that funds are to be utilized. In addition, submittal of a HOME-ARP Allocation Plan is required before receiving funds. This HOME-ARP Allocation Plan describes the distribution and intended use of HOME-ARP funds for Guam.

CONSULTATION

Summarize the consultation process.

The Guam Homeless Coalition (GHC) is made up of stakeholders committed toward preventing and ending homelessness on Guam. The GHC is responsible for the operating and planning responsibilities of the Continuum of Care (CoC) and serves as the central hub that strengthens

coordination between CoC-funded activities, HUD-funded activities, and local government or private resources targeted at ending homelessness.

To seek input on the needs of qualifying populations within Guam, GHURA consulted with organizations serving qualifying populations. (See Table 1.) The list does not include another Public Housing Authority as GHURA is designated by the Governor of Guam to administer all funds received for Guam through HUD's various funding programs. Additionally, GHURA's Chief Planner is the designated Fair Housing Coordinator, as Guam does not have a Fair Housing office on island.

List the organizations consulted, and summarize the feedback received from these entities.

Agency/ Organization Consulted	Type of Agency/ Organization Consulted	Method of Consultation	Feedback/Summary
Guam Homeless Coalition (GHC)	Continuum of Care	Zoom meeting	Information on the HOME-ARP program was presented by GHURA at GHC's strategic planning meeting covering the eligible uses and proposed distribution of funds, consultation process, and qualifying populations. All organizations in attendance were asked what they have seen in terms of stakeholder needs. They were advised to contact GHURA after the meeting if they had additional input on Guam's HOME-ARP plan; however, no CoC member organizations contacted GHURA regarding HOME-ARP.
Bureau of Women's Affairs	Government Agency – Women's welfare		
Catholic Social Service	Non-profit Organization – Persons with disabilities, abused adults and children, elderly, homeless		
Department of Labor	Government Agency – Employment assistance		
Department of Veterans Affairs	Government Agency – Veterans assistance		
First Church of God	Faith-based organization – DV/SA		
Guam Behavioral Health & Wellness Center	Government Agency – Behavioral health & wellness		

Guam Legal Services Corporation-Disability Law Center	Non-profit Organization – legal Services for low-income and people with disabilities		
Mañelu/Micronesia Resource Center One Stop Shop	Non-profit Organization – public services for low-income		
Public Defender Service Corp.	Government Agency – Legal Services for low-income		
Sanctuary, Inc.	Non-profit Organization – youth and families		
The Salvation Army	Faith-based organization – low-income individual and families; homelessness		
University of Guam	Government Agency – postsecondary education		
Victim Advocates Reaching Out	Non-profit Organization – victims of crimes and trauma; DV/SA victims	Zoom meeting, In-person meeting	Guam Behavioral Health and Wellness Center (GBHWC) has a Residential Substance Abuse Treatment for State Prisoners program. The program targets inmates with a high risk for drug and alcohol use. GBHWC runs the program within a Department of Corrections (DOC) facility, but may be interested in having a facility separate from DOC. Once participants complete the program, they must participate in mandatory
Bureau of Statistics and Planning	Government Agency – planning assembly		

			aftercare of services such as social services, housing, education, and employment for another six months.
Office of Homeless Assistance and Poverty Prevention (OHAPP)	Government Agency – homelessness and poverty	Zoom meeting, In-person meeting	Guam Housing Corporation has 24-unit structure in Yigo, Guam. If GHURA can use HOME-ARP funds to acquire or rehab units, OHAPP may be able to provide operations and/or case management. If participants are Section 8 housing voucher holders, they will already have a case worker, and MOAs/MOUs will have to be in place to ensure case management services are provided throughout their stay.
Guam State Clearinghouse	Government Agency – federal grants	In-person meeting	It would be beneficial to keep a running log of all shelter residents, such as number of people and their age range.
Office of the Lt. Governor	Government Agency	In-person meeting	The Government of Guam (GovGuam) has plans to utilize leftover Emergency Rental Assistance (ERA) funds to build and/or renovate homes. There may be an opportunity to leverage funds in the case that GovGuam uses ERA funds and HOME-ARP funds to renovate units in GovGuam’s inventory, such as As-Atdas and Lada, or transitional housing dormitory units in Upper Tumon. Since current pool of non-profit agencies on Guam may not have the staff to operate and sustain a HOME-ARP project, a GovGuam agency should be the sole recipient of HOME-ARP funds.
WestCare Pacific Islands	Non-profit Organization – veterans, homelessness, substance use, mental illness	In-person meeting	In working with their veteran population, WestCare is seeing a need for both non-congregate shelter and permanent rental housing. Currently, housing placements are typically resolved by seeking existing services with local nonprofits for emergency and/or

			temporary sheltering. Realtors are solicited to assist in permanent rental housing placement as needed.
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Table 1 – Agencies Consulted

PUBLIC PARTICIPATION

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

- **15-day Public comment period:** November 14, 2022 – December 9, 2022
- **Public hearing:** November 23, 2022

During the consultation and outreach public hearings for the PY2021 Annual Action Plan, GHURA conducted a public hearing on November 23, 2022. Information on Guam’s HOME-ARP funding and range of activities was presented at the hearing.

On November 8 and 22, GHURA published a Notice of Public Comment (Notice) inviting the public to comment on the draft of HOME-ARP Allocation Plan and informing the public where copies of the draft HOME-ARP are available for review. GHURA published the Notice in November 8, 2022. The Notice and draft HOME-ARP Allocation Plan was also posted to the GHURA website at www.ghura.org. The 30-day comment period expired on December 9, 2022.

Describe any efforts to broaden public participation:

In addition to meeting with the Guam Homeless Coalition, Guam’s Continuum of Care, GHURA met with other nonprofit organizations and government agencies via Zoom and in-person meetings. GHURA also put out a notice in the local newspaper and GHURA’s website giving notice for the public hearing held on HOME-ARP, as well as the public comment period.

Summarize the comments and recommendations received through the public participation process:

In addition to the feedback described in Table 1, the Guam Housing Corporation attended the public hearing on November 23, 2022. The Guam Housing Corporation advised that they are open to selling As-Atdas, a 7-acre property with apartment buildings, they would need Guam Legislature approval before the sale, and eight of twenty-four units in the buildings are occupied. The Guam Housing Corporation also advised they are interested in keeping their Lada Gardens properties because their board sees Lada Gardens as a future revenue stream. No written comments were received during the public comment period.

Summary any comments or recommendations not accepted and state the reasons why:

All comments and recommendations were accepted.

NEEDS ASSESSMENT AND GAPS ANALYSIS

In addition to consulting with various agencies and organizations, unmet needs of qualifying populations were determined by estimating the size of each population and comparing it to current resources available, including shelter inventory and number of rental housing units affordable to families within income categories. To the extent possible, data on demographic composition of qualifying populations was gathered and analyzed to identify gaps and priority needs. Data sources used to inform the Needs Assessment and Gap Analysis tables include the 2022 PIT Count Data, the 2022 Housing Inventory Count Data, and GHURA's 2019 Housing Study and Needs Assessment.

Homeless - Guam							
	Current Inventory		Homeless Population				Gap Analysis
	Families & Individuals	Veterans* and Persons in Veteran Families	Family HH Individuals Adults and their child(ren)	Individuals Without child(ren)	Veterans* and Persons in Veteran Families	Victims* of Domestic Violence	Families & Individuals
	Number of Beds	Number of Beds	Number of Family HH Individuals	Number of Individuals			Number of beds needed
Emergency Shelter	193	18					
Transitional Housing	18	0					
Permanent Supportive Housing	207	122					
Sheltered Homeless			127	80	10	13	
Unsheltered Homeless			629	251	21	13	
Current Gap							669

*These columns are subpopulations within the family and individual columns

Table 2 – Homeless Needs Inventory and Gap Analysis Table

Data Sources: 2022 PIT Count Data; 2022 Housing Inventory Count Data

Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

HOME-ARP funds will be used to benefit individuals and families in Guam who meet the criteria defined by HUD, or qualifying populations:

- Sheltered and Unsheltered Homeless Populations
- Those Currently Housed Populations at Risk of Homelessness
- Those Fleeing or Attempting to Flee Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking

- Other Populations: 1) Individuals or families requiring services or housing assistance to prevent homelessness, and 2) Individuals or families at greatest risk of housing instability or in unstable housing situations

Qualifying Population #1 – Sheltered and Unsheltered Homeless:

Homeless							
Area	Sheltered Homeless			Unsheltered Homeless			Total Sheltered and Unsheltered Individuals
	Family HH Individuals Adults and their Child(ren)	Individuals Without child(ren)	Total Sheltered Homeless	Family HH Individuals Adults and their Child(ren)	Individuals Without child(ren)	Total Unsheltered Homeless	
Guam	127	80	207	629	251	880	1087

Table 3 – Sheltered and Unsheltered Homeless Populations

Data Source: 2022 Housing Inventory Count Data

Homeless Subpopulations						
Area	Sheltered Homeless			Unsheltered Homeless		
	CH Individuals and Persons in CH Families Emergency Shelter	Veterans and persons in Veteran families Emergency and Transitional Shelters	Fleeing Domestic Violence Emergency and Transitional Shelters	Chronically Homeless	Veterans and persons in Veteran Families	Fleeing Domestic Violence
Guam	0	10	13	77	21	13

CH = Chronically Homeless

Table 4 – Sheltered and Unsheltered Homeless Subpopulations

Data Sources: 2022 PIT Count Data; 2022 Housing Inventory Count Data

Additional Homeless Subpopulations						
Area	Sheltered Homeless			Unsheltered Homeless		
	Serious Mental Illness Emergency Shelter and Transitional Shelters	Substance Use Disorder Emergency and Transitional Shelters	HIV/AIDS Emergency and Transitional Shelters	Serious Mental Illness	Substance Use Disorder	HIV/AIDS
Guam	3	2	0	10	6	0

Table 5 – Additional Sheltered and Unsheltered Homeless Populations

Data Source: 2022 Housing Inventory Count Data

GHC's 2022 PIT Count Data reflects an unduplicated estimate of sheltered and unsheltered homelessness. The count was conducted on Guam in the span of two days – January 27 and 28, 2022. A total of 1,087 sheltered and unsheltered individuals were reported experiencing

homelessness. Details of sheltered and unsheltered homeless populations are provided in the tables above and narratives below. Numbers reflecting the size of subpopulations (i.e., chronic homelessness, veterans, those fleeing domestic violence, serious mental illness, substance use disorder, and HIV/AIDS) within the sheltered and unsheltered homeless populations are also provided. (See Tables 4 and 5.)

Within the sheltered population, there were zero chronically homeless persons, 10 veterans, and 13 individuals fleeing domestic violence in emergency and/or transitional shelters. Additional homeless adult subpopulations in emergency and transitional shelters included three individuals with serious mental illness, two individuals with a substance abuse disorder, and zero individuals with HIV/AIDS.

The remaining 880 (or 81%) of persons within Guam’s homeless population were unsheltered, consisting of 629 family household individuals and 251 individuals. Of these, 77 were chronically homeless individuals, 13 individuals fleeing domestic violence in emergency and/or transitional shelters, and 21 were veterans. Additional unsheltered, adult subpopulations included 10 individuals with a serious mental illness, six with a substance use disorder, and zero individuals with HIV/AIDS.

Qualifying Population #2 – At-Risk of Homelessness:

In the HUD CPD Notice: CPD-21-10 and 24 CFR 91.5, at-risk of homelessness is defined as (1) an individual or family: with an annual income below 30% AMI, does not have sufficient resources or support networks, immediately available to prevent them from becoming homeless, and meets one of seven conditions listed in section (iii) of the definition; (2) a child or youth who does not qualify as “homeless” under 24 CFR 91.5 but qualifies as “homeless” under sections of other Acts listed in the HUD CPD Notice: CPD-21-10; and (3) a child or youth who does not qualify as “homeless” under 24 CFR 91.5 but qualifies as “homeless” under section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), and the parent(s) or guardian(s) of that child or youth if living with her or him.

Hidden homeless households are used as indicators of unmet housing demand. According the GHURA’s 2019 Housing Study and Needs Assessment, there was a 4.2% increase from 2009 to 2019 in the number of hidden homeless. The study describes hidden homeless as household members related or unrelated, who wish to move out but cannot for financial reasons.

At Risk of Homelessness – Hidden Homeless		
Guam	Number of Households with Some Hidden Homeless	% Total Households
2009	7,005	15.7%
2019	9,064	19.9%

Table 6 – At Risk of Homelessness, Hidden Homeless
Data Source: 2019 Guam Housing Study and Needs Assessment

GHURA’s 2019 Housing Study and Needs Assessment identified households likely to become homeless using the indicator Hidden Homeless. The study reported that 19.9% of households on Guam contain hidden homeless.

Pent-up demand is demand for housing units that cannot be met under current market conditions. Other indicators of pent-up demand include crowding, doubling-up, multigenerational households.

Pent-Up Demand						
	Crowded		Doubled-Up		Multi-Generational	
	Households	Percent	Households	Percent	Households	Percent
2019	9,106	20.2%	6,965	15.3%	6,784	14.9%

Table 7 – Pent-Up Demand

Data Source: 2019 Guam Housing Study and Needs Assessment

Crowding is defined with having more than 2 persons per bedroom. Doubled-up indicates that there is more than one family per housing unit. Lastly, Multi-Generational households describe households that include three or more generations in one. GHURA's 2019 Housing Study and Needs Assessment reported that 20.2% of households on Guam were crowded, 15.3% were doubled-up, and 14.9% were multi-generational. The Guam Housing Study and Needs Assessment reported that Guam's housing market is not providing enough appropriate units to serve all households.

Qualifying Population #3 – Fleeing or Attempting to Flee Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking:

On the night of the 2022 PIT Count, there were nine adult individuals in emergency shelters and four in transitional shelters targeted at victims of domestic violence. Additionally, there were thirteen unsheltered adult individuals who are victim-survivors of domestic violence. Due to the confidential nature of information, the PIT Count data does not include children and information on demographic composition is not available.

On September 10, 2020, two out of four domestic violence programs on Guam participated in The National Network to End Domestic Violence's national count of domestic violence services. Twenty-five adult and child victims of domestic violence found refuge in emergency shelters, transitional housing, or other housing provided by local domestic violence programs. Four adults and child victims received non-residential assistance and services, including counseling, legal advocacy, children's support groups, and more. During the 24-hour survey period, hotline staff on Guam received 7 contacts.

The COVID-19 pandemic has led to an increase in domestic and intimate partner violence incidences due to stay-at-home mandates, meaning the size of this population may be greater than what is currently being reported.

Qualifying Population #4 – Other Populations:

Also included as qualifying populations are other populations, where assistance would: 1) prevent the family's homelessness or 2) serve those with the greatest risk of housing instability, defined by HUD as: a) has an annual income of less than or equal to 30% AMI and is

experiencing severe cost burden or b) has an income of less than or equal to 50% AMI and meets at least one of seven conditions in the At Risk of Homeless definition at 24 CFR 91.5.

One subpopulation at risk of housing instability on Guam are veterans and families with a veteran family member. The 2022 PIT Count data reported almost a 50% increase in the number of veterans experiencing homelessness between 2020 and 2022. No PIT Count was conducted in 2021 due to the COVID-19 pandemic. The 2020 PIT Count reported 21 veteran households and the 2022 PIT Count reported 31 veteran households.

Describe the unmet housing and service needs of qualifying populations, including but not limited to:

- **Sheltered and unsheltered homeless populations**
- **Those currently housed populations at risk of homelessness**
- **Those fleeing domestic violence, dating violence, sexual assault, stalking and human trafficking**
- **Other families requiring services or housing assistance to prevent homelessness; and those at greatest risk of housing instability or in unstable housing situations:**

Homeless Populations:

The 2022 PIT Count HIC data were used to inform the Homeless Needs and Gap Analysis, which produced a gap need for 669 shelter beds for individual/families. (See Table 2.) Gap need was calculated by comparing the total number of shelter beds in Emergency Shelters, Transitional Shelters, and Permanent Support housing facilities to the total (Sheltered and Unsheltered) homeless population. The Needs Assessment and Gap Analysis table reflects the situation of each unsheltered person entering a shelter and occupying a bed (on the night of the PIT Count), which resulted in a gap needs for beds; however, it does not consider unmet needs of homeless individuals in emergency and transitional shelters who are ready to exit to permanent housing. Also, within the unsheltered population, there are individuals and families who elect not to receive assistance or placement in shelter facilities, which the method of calculation used in Table 2 does not capture.

Supportive services delivered through effective case management and referrals to appropriate community resources must be continued to make permanent, affordable rental housing achievable and ensure that families and individuals do not fall back into homelessness.

Individuals and Families at Risk of Homelessness:

Currently housed individuals and families at risk of homelessness need access to more permanent rental units that are affordable. Considering that annual earnings of at-risk households are equal to or less than 30% AMI and the frequent presence of cost burden, an increase in housing stock affordable to this qualifying population could reduce the number of falls into homelessness. Many households at-risk of homelessness currently receiving rental assistance or hold rental assistance vouchers but have difficulty finding an affordable rental unit where the voucher is accepted.

To prevent households and individuals who are at-risk of homelessness from becoming homeless, there continues to be an ongoing need to pursue a persistent approach in offering homeless prevention services, such as: counseling and advocacy to aid in connecting individuals or households to housing; in-kind emergency assistance such as transportation vouchers; and other financial assistance for rent, mortgage, and utility payments to prevent eviction. As a result of the COVID-19 pandemic, the numbers of households, individuals financially affected, and those who may fall into the at-risk population resulting from the lift of the eviction moratorium are not yet known.

Fleeing or Attempting to Flee Domestic Violence, Dating Violence, Sexual Assault, Stalking or Human Trafficking:

Since an emergency shelter is a first step for those fleeing or attempting to flee domestic violence, dating violence, sexual assault, stalking or human trafficking, shelters need to keep space available to victims in need. Although the stay is temporary, if shelters become full, survivors are often left facing the decision of returning to their abuser or situation – or risk homelessness. Guam’s high cost of living combined with the low housing opportunity makes it difficult for many victims and survivors to escape or transition from unstable situations. For this reason, access to decent, safe, and affordable rental housing, offers a safe choice and chance at achieving stability.

Other Populations:

Many families requiring services or housing assistance to prevent them from being homeless hold rental assistance vouchers from programs such as Section 8, but have difficulty accessing available units due to a lack of affordable rental housing inventory. For this reason, TBRA was not identified as an unmet need for this qualifying population. Instead, the greatest unmet need identified was the expansion of the affordable rental housing inventory, which will allow for more choices in the housing selection process and may result in better housing outcomes and retention.

GHURA’s Research Planning and Evaluation Division consulted with its Section 8 Division. The Section 8 Division advised that the United States Veterans Administration (USVA) have been advocating for project-based vouchers for veterans. Both GHURA’s Section 8 Division and WestCare Pacific Islands receive referrals for veteran housing from the USVA.

Identify and consider the current resources available to assist qualifying populations, including congregated and non-congregated shelter units, supportive services, tenant based rental assistance, and affordable and permanent supportive rental housing:

Guam receives annual allocations of federal formula grant funding through Community Development Block Grant (CDBG) and the HOME Investment Partnerships (HOME). In addition, Guam received funding through the National Housing Trust Fund (HTF). Guam has been allocated to receive such funding for Program Year 2022 and will utilize approximately \$3,831,515.97 of HOME and HTF funds toward the development of affordable rental and homeownership housing. GHURA also administers the Housing Choice Voucher (HCV) and was

awarded 18 Emergency Housing Vouchers through the American Rescue Plan in addition to their HCV program vouchers, Mainstream vouchers, and Veterans Affairs Supportive Housing vouchers.

Emergency Rental Assistance (ERA) programs were set up on Guam to assist households unable to pay rent and/or utilities due to the effects of the COVID-19 pandemic, with priority being given to households with incomes below 50% AMI, where one or more household member(s) has been unemployed for 90 days prior to application or can demonstrate risk of experiencing homelessness or housing instability. GovGuam partnered with service providers or began accepting applications directly, in effort to provide assistance quickly and efficiently.

For the year ending 2023, GHURA allocated a total of \$1,385,742.00 toward the Continuum of Care Program and assistance for homeless families. The Housing First approach to end homelessness emphasizes permanent housing placement for those experiencing homelessness and is incorporated into contracts for all CoC-funded homeless programs including outreach, emergency and transitional shelter, rapid re-housing, and permanent supportive housing. Eligible uses of funding include financial assistance, permanent housing placement, and supportive services.

GHURA also received supplemental allocations of PY2019 Emergency Solutions Grant-Coronavirus (ESG-CV) and Community Development Block Grant-Coronavirus (CDBG-CV) totaling \$7,813,960.00 through the Coronavirus, Aid, Relief, and Economic Security (CARES) Act. These funds were purposed with providing immediate assistance and support to homeless individuals and families battling effects of COVID-19 and in the form of emergency shelter operations, rapid re-housing financial assistance, homeless prevention financial assistance, and mortgage assistance. As of October 2022, \$2,702,340.11 has been expended.

Through the Coordinated Entry System (CES), homeless individuals and households have access to a variety of CoC-funded housing inventory types including congregate and non-congregate emergency shelters, transitional housing facilities, and permanent supportive housing. The 2022 CoC HIC was utilized to obtain the most current bed and unit inventory for each type of facility on island.

Identify any gaps within the current shelter and housing inventory as well as the service delivery system:

Data from the 2022 PIT Count and HIC were used to identify gaps within the current shelter inventory. This method of calculation produces a gap of 669 beds by comparing the number of beds in each facility with the total (sheltered and unsheltered) homeless population. (See Table 2). Within the unsheltered population, there are individuals and families who elect not to receive assistance or placement in shelter facilities, which the method of calculation used in Table 2 does not account for.

Limited funding for providers and supportive services offered creates gaps within the service delivery system. A shortage of qualified case workers and lack of capacity also creates gaps in service delivery. There continues to be a need for supportive services to assist individuals and

families facing homelessness, at-risk of homelessness, and exiting the shelter system to permanent housing.

Identify the characteristics of housing associated with instability and an increased risk of homelessness if the PJ will include such conditions in its definition of “other populations” as established in the HOME-ARP notice:

GHURA will not further identify “other populations” within the qualifying populations already outlined.

Identify priority needs for qualifying populations:

Permanent affordable rental housing remains a priority need, which individuals and households within all four qualifying populations will greatly benefit from. For the homeless population, more affordable housing options could substantially increase the number of exits to permanent housing. Those at risk of homelessness need access to expanded inventory of affordable rental housing for homelessness prevention. For a chance at achieving stability, those fleeing domestic or dating violence, sexual assault, stalking, or human trafficking also need decent, safe, affordable housing options. Lastly, many families requiring services or housing assistance to prevent them from being homeless hold rental assistance vouchers from programs such as Section 8 but have difficulty accessing available units due to a lack of affordable rental housing.

According to GHURA’s Housing Study and Needs Assessment, the Overseas Housing Allowance (OHA) is a monthly stipend provided to military servicemen stationed on Guam. The OHA is high enough to support military housing choices in the upper end of the rental market, influencing rental prices island wide. Moreover, regarding the condition of Guam’s housing units, some owners stated that they are waiting for the military build-up to begin so they can offer their units for higher rental prices, thereby covering the cost of needed repairs and upgrades.

Increasing the affordable rental housing inventory will allow for more choice in the housing selection process, which may result in better housing outcomes and retention. To help households or individuals across all four qualifying populations maintain permanent housing and achieve stability, additional support from Section 8 project-based vouchers has been identified.

Explain how the level of need and gaps in its shelter and housing inventory and service delivery systems based on the data presented in the plan were determined:

Since providers under GHC are the frontline service to individuals and households within qualifying populations, GHURA met with GHC first. After meeting with the GHC, GHURA also consulted with other nonprofit organizations and government agencies who serve qualifying populations. Input received through consultation was widely used in determining the level of needs and gaps in the shelter, housing inventory, and service delivery. Data was retrieved from resources such as the 2022 GHC PIT Count data, 2022 HIC, and GHURA’s 2019 Housing Study and Needs Assessment. The level of needs and gaps based on data presented in the plan were determined by comparing the size of each qualifying population with resources currently on hand.

to assist the population, shown in Tables 2 and 3. Current resources considered includes number of beds and units within each type of facility as well as funding for rental assistance, outreach, rapid re-housing, and homelessness prevention services.

HOME-ARP ACTIVITIES

Describe the method for soliciting application for funding and/or selecting developers, service providers, subrecipients and/or contractors and whether the PJ will administer eligible activities directly:

GHURA intends to allocate its HOME-ARP funds to provide affordable rental housing, subsidized by Section 8 project-based vouchers. Should Guam be unable to identify a subrecipient to administer an eligible HOME-ARP project or meet the drawdown schedule for the HOME-ARP activities within a specified timeframe, GHURA, in its sole discretion, shall administer the project.

If any portion of the PJ's HOME-ARP administrative funds were provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

No portion of GHURA's HOME-ARP administrative funds were provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan.

Use of HOME-ARP Funding	
FY2021 HOME-ARP Allocation	
Use of HOME-ARP Funding	Funding Amount – GHURA/Guam
Administration and Planning	\$582,230 (15%)
Supportive Services	
Acquisition and Development of Non-Congregate Shelters	
Tenant Based Rental Assistance	
Acquisition, Production or Rehabilitation of Affordable Rental Housing	\$3,299,308 (85%)
Non-Profit Operating	
Non-Profit Capacity Building	
Total HOME-ARP	\$3,881,538

Table 8 – Use of HOME-ARP Funding

Additional narrative, if applicable:

N/A

Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:

In developing the HOME-ARP Allocation Plan, information was gathered through consultation with agencies and organizations that serve qualifying populations. Data was also gathered from various sources including the 2022 PIT Count Data, 2022 HIC, 2019 Guam Housing Study and Needs Assessment, and the National Network to End Domestic Violence' national count of domestic violence services.

During the consultation process, input gathered identified an insufficient amount of affordable rental units. As of October 2022, GHURA's Section 8 program currently has 2,693 vouchers issued, 87 of which are Emergency Housing Vouchers received through the American Rescue Plan. To assist homeless and those at risk of homelessness, the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) provided supplemental funds under the Emergency Solutions Grant (ESG-CV) Program in the amount of \$3,360,550.00 and under the Community Development Block (CDBG-CV) Program in the amount of \$4,453,410.00 for Homelessness Prevention, Rapid Re-housing, Emergency Shelter, Street Outreach, and Employment Assistance.

Although significant gaps continue to exist within the shelter and service delivery system, the gap identified across all four qualifying populations exists within the housing inventory – specifically, a lack of affordable rental housing units. The homeless population, as well as those fleeing domestic violence, dating violence, sexual assault, stalking, and human trafficking need access to decent, safe, affordable rental housing units when exiting the shelter system and would greatly benefit from supportive services to retain their housing and achieve overall stability. Increasing the affordable rental housing inventory for these qualifying populations could help alleviate overcrowding at the shelter level and shorten the stay of individuals and households in the system. For those at risk of homelessness and other populations, rental assistance and other financial assistance are frequently tapped; however, to reduce severe cost burden and other housing problems, units truly affordable to qualifying population are still needed.

HOME-ARP PRODUCTION HOUSING GOALS

Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:

Use of HOME-ARP Funding	Proposed Total HOME-ARP Units	Recipient
Development of Affordable Rental Housing	10	Guam

Table 9 – Use of HOME-ARP Funding

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how it will address the PJ's priority needs:

HOME-ARP funds will be utilized for the development of about 10 affordable rental housing on Guam. The actual delivery of units will depend on the type of project, location, and available resources to assist the project. The production and preservation of affordable housing provides housing stability that assists families in their efforts to attain economic self-sufficiency.

PREFERENCES

Identify whether the PJ intends to give preference to one or more qualifying populations or subpopulation within one or more qualifying populations for any eligible activity or project:

GHURA intends to give preference to veterans and families with a veteran family member that meet the criteria of any of the four qualifying populations.

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or category of qualifying population, consistent with the PJ's needs assessment and gap analysis:

All QPs will be eligible for a HOME-ARP unit; however, the HOME-ARP funds will give preference to veterans and families with a veteran family member that meet the criteria. Between 2020 and 2022, Guam has seen an increase of almost 50% in homeless veteran households. Allowing a preference for veterans who meet any four of the qualifying populations will help address the needs of a homeless population that continues to grow.

If a preference was identified, describe how the PJ will use HOME-ARP funds to address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the preference:

All qualifying populations are included in the preference.

HOME-ARP Refinancing Guidelines

If the PJ intends to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing that is being rehabilitated with HOME-ARP funds, the PJ must state its HOME-ARP refinancing guidelines in accordance with 24 CFR 92.206(b). The guidelines must describe the conditions under which the PJ will refinance existing debt for a HOME-ARP rental project, including:

- ***Establish a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing to demonstrate that rehabilitation of HOME-ARP rental housing is the primary eligible activity***
N/A
- ***Require a review of management practices to demonstrate that disinvestment in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving qualified populations for the minimum compliance period can be demonstrated.***
N/A
- ***State whether the new investment is being made to maintain current affordable units; create additional affordable units, or both.***

N/A

- *Specify whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.*

N/A

- *Specify the required compliance period, whether it is the minimum 15 years or longer.*

N/A

- *State that the HOME-ARP funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.*

N/A

- *Other requirements in the PJ's guidelines, if applicable.*

N/A

APPENDIX B

Guam
Guam Housing and Urban Renewal Authority

NOTICE OF PUBLIC COMMENT
November 8 and 22, 2022

Vouchers

Continued from Page 46

ues,” said Lorena Ramirez, whose four children attend St. Anthony, walking distance from home on Milwaukee’s south side. “I was looking for a school that would help me.”

St. Anthony is one of the country’s largest Catholic schools — 1,500 students on five campuses who are 99% Latino and almost entirely covered by pub-

lic funding, said its president, Rosana Mateo. It was founded by German immigrants 150 years ago.

Until the 1960s, urban parochial schools could count on financing from flourishing parishes and cheap payroll costs, since nuns often taught for free. Without those supports, schools started charging substantial tuition, now up to \$8,000-\$9,000 per academic year — unaffordable for most working-class families.

“Our neediest students should have the opportunity to go to private schools,” said Mateo, a former deputy superintendent in Milwaukee’s public schools.

The expansion and politicization of voucher programs, however, is “no longer targeting really poor kids” but rather “disproportionately helping middle-class, white students,” said Gary Orfield, an education professor and co-director of the Civil Rights Project at the University of

California, Los Angeles. His research found students of color have lower test scores and graduation rates when attending low-quality private schools, because most voucher programs don’t allow for transportation to higher-performing ones.

While urban, faith-based schools don’t necessarily outperform all public ones on test scores, their students enjoy better civic outcomes, from college graduation rates to lower drug use, said Patrick Wolf, a professor of education at the University of Arkansas.

“They contribute more to the community than just educating the kids,” Wolf said.

In Omaha, Nebraska — a state Wolf called a “school choice desert” — three Catholic schools in danger of closing formed a foundation.

They’ve raised millions of dollars to serve nearly 600 children, 93% of them students of color and all in need of financial assistance, said the Rev. Dave Korth, foundation president and pastor at one of the related parishes.

Reliable public funds would keep the schools sustainable for parents who choose them “not because of political hot-button things. They simply want their kids in faith-based environments because they believe they’ll be better citizens,” Korth said.

Arizona is at the other end of the school choice spectrum — against strong opposition, its governor signed one of the country’s broadest voucher system expansions, allowing every parent to use public funds for private tuition or other education costs.

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LISA TAJALLE
Resident of Humatak




Healthcare • Childcare • Welfare • Housing • Rental • Utilities • Unclaimed Checks • Homelessness




Open Mon-Fri | 8AM-5PM
3rd Floor, Bank of Hawaii Building, Hagåtña
For more information, visit governor.guam.gov/assistance or call the Guam Relief Center at (671) 475-2060.

Paid for with government funds by the Office of the Governor of Guam.



GHURA

Guam Housing and Urban Renewal Authority
Aturidat Ginima' Yan Rinueban Siudad Guahan
117 Bien Venida Avenue, Sinajana, GU 96910
Phone: (671)477-9851 • Fax: (671)300-7565 • TTY: (671)472-3701



NOTICE FOR PUBLIC COMMENT
Substantial Amendment to the PY2021 Annual Action Plan
This ad was paid for with CPD funds.

The American Rescue Plan Act of 2021 appropriated \$5 billion to help communities provide housing, shelter, and services for people experiencing homelessness and other qualifying populations. Under the U.S. Department of Housing and Urban Development's (HUD) HOME Investment Partnerships Program - American Rescue Plan (HOME-ARP), Guam, through the Guam Housing Urban & Renewal Authority, is eligible to receive \$3,881,538 in HOME-ARP funds to address homeless needs through the creation of affordable housing or non-congregate shelter units and by providing tenant-based rental assistance or supportive services.

For GHURA to receive HOME-ARP funds, Guam must submit for HUD's review, a HOME-ARP allocation plan as a substantial amendment to its Program Year 2021 (PY2021) Annual Action Plan (AAP). In accordance with HUD's implementing rules for the HOME-ARP program, to develop its HOME-ARP allocation plan, GHURA consulted with agencies and organizations including but not limited to, the Guam Homeless Coalition (Guam's Continuum of Care), service providers addressing homelessness and domestic violence issues, veteran-serving agencies, and other government agencies that address housing and poverty.

Use of HOME-ARP Funding	
Administration and Planning	\$582,230 (15%)
Acquisition, Production or Rehabilitation of Affordable Rental Housing	\$3,299,308 (85%)
Total HOME-ARP	\$3,881,538

Pursuant to 24 CFR Part 91 and HUD's Notice CPD-21-10, GHURA gives notice of the Draft Substantial Amendment to its PY2021 AAP, to include the HOME-ARP allocation plan, which includes the above funding and activities. The Draft Substantial Amendment to the PY2021 AAP, which includes the Draft HOME-ARP Allocation Plan, is posted to GHURA's website at www.ghura.org.

The public is invited to provide oral or written comments on the draft substantial amendment. A public meeting will be held online via Zoom on Wednesday, November 23, 2022 at 10a.m. where interested parties are invited to make oral comments on the proposed plans. The Zoom meeting ID is 897 0913 8775. Passcode is GHURA123. Written comments will be accepted by GHURA's Research, Planning and Evaluation Division located at 117 Bien Venida Ave., Sinajana, GU 96910 or by sending an email to GHURA Chief Planner Katherine E. Taitano at katherine@ghura.org no later than December 9, 2022 at 5:00 p.m. For more information, please contact Ms. Taitano at 671-475-1322 or via email at katherine@ghura.org.

/s/ Elizabeth F. Napoli
Executive Director



GHURA

Guam Housing and Urban Renewal Authority
Aturidat Ginima' Yan Rinueban Siudad Guahan
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/s/ Elizabeth F. Napoli
Executive Director

LOCAL

'Islands are places of innovation'

By Dana Williams
Pacific Daily News

Austin J. Shelton of the University of Guam spoke at the Obama Foundation Democracy Forum in New York City Friday, explaining the island's colonial history and current fight against climate change.



Shelton

Shelton, director of the Center for Island Sustainability and Sea Grant, told the audience that after thousands of years of sustainable living, Guam's existence was changed by colonization.

"We became dependent on outside resources. We stopped caring for our land in traditional ways and today we are now dependent on importing over 90% of all of the food and goods we consume," he said. "However, our people are hopeful that this is just an interruption in our sustainable existence."

Shelton also told the audience how Guam is taking action.

His presentation included examples of the work done by Guam Green Growth, in-



THE OBAMA FOUNDATION

Director Austin J. Shelton of the University of Guam Center for Island Sustainability and Sea Grant engages in a discussion with former President Barack Obama at the Obama Foundation Leaders: Asia in Kuala Lumpur, Malaysia Dec. 12, 2019.

cluding the Circular Economy Makerspace and Innovation Hub at CHamoru Village and the G3 Conservation Corps.

"Islands are places of innovation, bright spots, where we're fighting for survival in the face of global change," he said. "We build on our legacy of ancient Island wisdom. We innovate in the present, and we navigate the waters to our sustainable future."

Shelton's presentation can be viewed at bit.ly/3EJFy19.

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Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

Government of Guam

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

96-0001279

*** c. UEI:**

855031519000

d. Address:

*** Street1:**

117 Bien Venida Avenue

Street2:

*** City:**

Sinajana

County/Parish:

*** State:**

GU: Guam

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

96910-0000

e. Organizational Unit:

Department Name:

GHURA

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

*** First Name:**

Elizabeth

Middle Name:

F.

*** Last Name:**

Napoli

Suffix:

Title:

Executive Director

Organizational Affiliation:

*** Telephone Number:**

671.475.1378

Fax Number:

*** Email:**

efnapoli@ghura.org

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

F: U.S. Territory or Possession

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program

* 12. Funding Opportunity Number:

N/A

* Title:

HOME-ARP

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

HOME-ARP funds will address homeless needs through the acquisition, production or rehabilitation of affordable rental housing, as well as administration and planning.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:*** a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:* a. Start Date: * b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="3,881,538.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="3,881,538.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

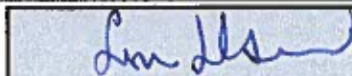
Middle Name:

* Last Name:

Suffix:

* Title: * Telephone Number: Fax Number: * Email:

* Signature of Authorized Representative:



* Date Signed:

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

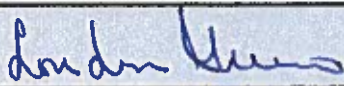
NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect (3) Using forced labor in the performance of the subawards under the award.



SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Governor of Guam
APPLICANT ORGANIZATION Government of Guam	DATE SUBMITTED 11/21/22

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

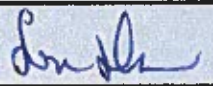
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Governor of Guam
APPLICANT ORGANIZATION	DATE SUBMITTED
Government of Guam	11/21/22

HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

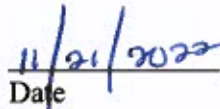
Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.



Signature of Authorized Official

Lourdes A. Leon Guerrero
Governor of Guam



Date

Title