



BAKERSFIELD

THE SOUND OF *Something Better*

HOME Investment

Partnerships Program

American Rescue Plan

HOME-ARP ALLOCATION PLAN

March 22, 2023



City of Bakersfield HOME-ARP Allocation Plan

The City of Bakersfield has prepared the following Allocation Plan in compliance with Notice CPD-21-10: *Requirements of the Use of Funds in the HOME-American Rescue Plan Program*, for submittal.

References to “ARP” mean the HOME-ARP statute at section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2). Hereinafter the City of Bakersfield will be referred to interchangeably as “City” or as the Participating Jurisdiction “PJ.”

Consultation

In accordance with Section V.A. of the Notice (page 13), before developing its HOME-ARP allocation plan, at a minimum, a PJ must consult with:

- CoC(s) serving the jurisdiction’s geographic area,
- homeless service providers,
- domestic violence service providers,
- veterans’ groups,
- public housing agencies (PHAs),
- public agencies that address the needs of the qualifying populations, and
- public or private organizations that address fair housing, civil rights, and the needs of persons with disabilities.

State PJs are not required to consult with every PHA or CoC within the state’s boundaries; however, local PJs must consult with all PHAs (including statewide or regional PHAs) and CoCs serving the jurisdiction.

The City of Bakersfield, as the PJ, began the consultation process with an initial meeting on September 9, 2022, to identify appropriate agencies and services providers to contact to meet the requirements by the U.S. Department of Housing and Urban Development (HUD). Subsequent meetings were held on September 27th and October 6, 2022 to discuss consultation questions, a consultation plan, and a timeline for the entire stakeholder consultation process.

The PJ chose to use the **Discussion Guide for HOME-ARP Consultation meetings** provided by HUD through their webinar series on the planning process behind the HOME-ARP plan for the discussion outline. Members of the PJ carried out in-person, over the phone, and virtual meetings individually with stakeholders beginning on October 10, 2022. Below is a list of the agencies and organizations, type of agency and organization, method of consultation, and feedback that the participating agencies and organizations provided:

| Agency/Org Consulted | Type of Agency/Org | Method of Consultation | Feedback |
|--|---------------------|------------------------|---|
| Bakersfield Kern Regional Homeless Collaborative | Continuum of Care | Teams Meeting | An area of need is permanent supportive housing and housing units due to the low housing supply and tight rental market. Elderly need more recuperative care. People with substance use also need recuperative housing and detox facilities. Funding should continue for non-congregate shelter to continue to help slow inflow for youth population. |
| California Veterans Assistance Foundation | Veterans Group | | There is a need to increase housing inventory. There should also be diversity of housing types built to help serve different populations. Foundation is seeking resources to connect veterans to other groups and services to help integrate veterans into the community. There needs to be more education to combat stigma around people experiencing homelessness who do not accept help. |
| City Manager's Office | Type of Agency/Org. | Over-the-Phone meeting | Great need to support organizations that help with substance use disorders as well as those that address mental health disorders. Not enough permanent housing to serve those with housing vouchers. |

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| | | | Also, a need for more affordable housing for families and housing and assistance for seniors who need support with activities of daily living. |
| Kern County Network for Children - Dream Center | Foster Youth/Homeless Service Provider | In-person meeting | <p>HMIS prioritization should be re-evaluated to help youth (18-24yrs. old) access services. There is a need for more staffing to increase outreach to support youth experiencing homelessness. There should be separate shelter for youth and adults, so that youth are not victimized. Work with renters to help increase youth being accepted into units.</p> <p>Rapid rehousing/permanent housing should be a priority when deciding how to distribute this funding. Streamline and agree upon a process, to service consistency across all agencies/programs including non-profits, state, city, and federal government to develop a cohesive working plan to address community needs. Using more data from the youth by name list to address youth experiencing homelessness can help show need for more supportive services.</p> |

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| Flood Ministries | Homeless Service Provider- Street Outreach | Teams Meeting | Non-congregate shelters and congregate shelters fill up quickly leaving people on waitlists for services. There is a need for more emergency shelter. There should also be more single room occupancy, especially for those who have disabilities. Two main priorities are all types of permanent and interim housing. More detox beds and substance use services are needed. Residential or institutional housing opportunities can produce sense of freedom but also push accountability for those who need assistance. |
| Greater Bakersfield Legal Assistance | Fair Housing | | Funds should go towards programs that help those at risk of homelessness, especially those with physical disabilities. Funding programs that provide rental assistance can help prevent homelessness and assist individuals who owe money to landlords and avoid eviction. The City should ensure Section 8 recipients are not being denied Section 8 eligible units. It is also important to address the lack of affordable housing as well as housing diversity for all populations. |
| Housing Authority of the County of Kern | Public Housing Agency | In person meeting | Greatest need is for more affordable housing. Price increase on rent, utilities, |

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| | | | & services has forced people on the edge of not being able to afford a home. Funds should go to building affordable housing and assist with project gap financing. |
| Kern County Department of Human Services | Public Agency | In-person meeting | The priority for this funding should be to create more affordable housing. The qualifying populations would benefit from more long-term transitional housing, especially for those who have disabilities. Those who have substance use and mental health disorders can benefit from supportive services to decrease undiagnosed issues and provide adequate care so that individuals can become more self-sufficient. There should be more communication from the City and County to coordinate a strategy to address homelessness. |
| Mercy House | Homeless Service Provider | Conference Call | Support is needed to increase staffing for supportive services. Providing more after-care case managers can mitigate and mediate landlord conflicts and implement prevention methods to avoid eviction. Would like to add 1+ full-time employees. Would also like to see 1+ additional full-time leasing agents/housing specialists. Staff could |

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| | | | negotiate and collaborate with landlords to advocate for affordable housing and help identify properties. |
| M Street Navigation Center- Community Action Partnership of Kern | Homeless Service Provider | In person meeting | There is a need to address the recidivism rate of those returning to homelessness, aftercare supportive services, and funding and training for case managers. Maintain manageable clients-to-case-manager ratios. More beds are needed, but it is important to have separate dorms for men and women. Priority is for more funding for case manager training and education. |
| The Mission At Kern County | Homeless Service Provider | In person meeting | Great need to invest and expand psychiatric services and detox & respite treatment centers. Building more affordable housing and incentivizing developers to come into community is also a priority. |
| The Open-Door Network | Homeless Service Provider | Teams Meeting | There is a need for housing with a detox component. There is also a need to expand victim service shelters as well as shared housing for single women of 50+yrs. Recuperative care space is an unmet need for all populations. To better understand the needs of the population, more case management is needed. |
| United Way of Kern County | Type of Agency/Org. | Teams Meeting | This is an organization that addresses education, |

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| | | | health, and financial stability in the community for youth and adults. Funding should go towards utility and rent assistance, affordable housing units, preventative care for seniors, case management, and skills development. |
|--|--|--|---|

Summary of Feedback Received from Consulted Organizations

Consultation with stakeholders across multiple service areas identified that there was strong support for:

1. Affordable housing
2. Detox facilities and after care
3. Diversity of beds available & types of affordable housing
4. Case management and staffing

Stakeholder consultations were guided using HUD’s HOME-ARP Consultation guide, but the PJ ensured that the stakeholder was able to discuss any need or gaps that they encountered surrounding the qualifying populations they interact with. A top priority across multiple stakeholders was the need for more affordable housing. There are not enough available affordable housing units, which affects the amount of people seeking more affordable housing options and those who have housing vouchers waiting to be housed. There are several factors that contribute to the lack of units, one being the increased cost to build units.

The need for more detox facilities and after care was also a top priority across different stakeholders because of the current lack of those facilities in the community to help the qualifying populations that may suffer from substance use or mental health disorder.

There is a need to not only provide more affordable housing and shelter beds but to also ensure there are a variety of types of housing opportunities ranging from separate facilities for men and women, more affordable housing for families, and housing for seniors who need assisted daily living. There also was an emphasis to evaluate the current system for scoring of Vi-Spdat and prioritization as youth are typically not scoring high enough due to not having been homeless as long as other adults/families and are sometimes left without opportunity to be matched.

Staffing is another challenge that agencies and organizations must deal with, especially with case management. Lack of training, low wages, and high caseloads have led to low employee retention and recruitment rate for case managers in the community.

Public Participation

In accordance with Section V.B. of the Notice (page 13), PJs must provide for and encourage citizen participation in the development of the HOME-ARP allocation plan. Before submission of the plan, PJs must provide residents with reasonable notice and an opportunity to comment on the proposed HOME-ARP allocation plan of **no less than 15 calendar days**. The PJ must follow its adopted requirements for “reasonable notice and an opportunity to comment” for plan amendments in its current citizen participation plan. In addition, PJs must hold **at least one public hearing** during the development of the HOME-ARP allocation plan and prior to submission.

PJs are required to make the following information available to the public:

- The amount of HOME-ARP the PJ will receive, and
- The range of activities the PJ may undertake.

Throughout the HOME-ARP allocation plan public participation process, the PJ must follow its applicable fair housing and civil rights requirements and procedures for effective communication, accessibility, and reasonable accommodation for persons with disabilities and providing meaningful access to participation by limited English proficient (LEP) residents that are in its current citizen participation plan as required by 24 CFR 91.105 and 91.115.

Public Hearing process:

The City of Bakersfield’s draft Allocation Plan was made available for public review from February 17, 2023 through March 22, 2023 at the following locations: City of Bakersfield City Clerk’s Office, as well as the Economic & Community Development Department – 1600 Truxtun Avenue, Bakersfield, CA 93301, Development Services Department – 1715 Chester Avenue; at the Bakersfield branches of the Kern County Library; the California State University Bakersfield Library at 9001 Stockdale Highway; City’s HOME-ARP Allocation Plan website: <https://www.bakersfieldcity.us/1078/HOME-ARP-Allocation-Plan>; and on the City’s website: <http://www.bakersfieldcity.us>.



The public hearing was scheduled for:

Location: City of Bakersfield Council Chambers
1501 Truxtun Avenue
Bakersfield, California 93301

Date: March 22, 2023

Time: 5:15 P.M. or thereafter

Community Members were provided until March 22, 2023 to submit any written comments regarding the Draft HOME-ARP Allocation Plan to the City. Written comments were submitted to Rogelio Martinez, Economic Development Planner II at 1600 Truxtun Avenue, Bakersfield, CA, 93301, or by email at rogmartinez@bakersfieldcity.us. Additionally, community members

could submit comments on the Draft HOME-ARP Allocation Plan via email to the City Clerk at City_Clerk@bakersfieldcity.us no later than 1:00 p.m. on the day of the City Council meeting.

The City Council Chamber is accessible to persons with disabilities. Disabled individuals who need special assistance to attend or participate in a meeting of the City Council may request assistance at the City Clerk's Office, City Hall North, 1600 Truxtun Avenue, Bakersfield, California, 93301 or by calling (661) 326-3767. For TTY services please call California Relay Services at 711. Every effort is made to reasonably accommodate individuals with disabilities and individuals who speak a language other than English, by making meeting materials available in alternative formats. Requests for assistance should be made five (5) working days in advance to the City Clerk's Office, at (661) 326-3767. For TTY services please call California Relay Services at 711.

Public participation process:

The City created two opportunities for the public to provide input for the Allocation Plan. First, the City conducted an online survey using Survey Monkey to gather feedback from the public to identify housing and service needs in the community, as well as input on the current state of homelessness. The survey was made available to the public from 10/11/2022 through 11/18/2022. There was a total of 130 responses. However, not every respondent answered all questions provided in the survey, hence breakdowns are based on the number of answers per questions. Table 1 below displays questions from the HOME-ARP Allocation Plan Survey with the most feedback from survey respondents. The second opportunity for public participation was a public meeting held at the Bakersfield Community Center, 2020 R Street, a centralized, easily accessible, and ADA facility on December 12, 2022, at 12:00pm. City staff received in-person public comment from the community. In addition to the community meeting, a webinar, which was advertised in English, Spanish, and Punjabi, took place on December 13, 2022, at 5pm for those who were not able to attend the in-person community meeting.

Describe efforts to broaden public participation:

The City sent out the survey to contacts that were gathered from a HUD Community Engagement List to further reach community members for participation in the survey. The survey and all public participation efforts were made available to the public in English, Spanish, and Punjabi as well as interpretation and translation in Spanish and Punjabi.

The City has an active social media presence. On October 14, 2022 the City notified the public of the HOME-ARP Allocation Plan online survey launch and on November 28, 2022 the City notified the public of the HOME-ARP Allocation Plan in-person and online community meetings via HUD Community Engagement email list, the City's HOME-ARP Allocation Plan dedicated webpage, and City social media pages.

The City created a dedicated resources page for the HOME-ARP Allocation Plan with links in Spanish and Punjabi, that included general information regarding Home-ARP, the survey efforts,

and a recording of the Public Meeting: <https://www.bakersfieldcity.us/1078/HOME-ARP-Allocation-Plan>

Public Participation Summary:

Below is a breakdown and summary of the responses of the matrix scale questions that were followed up with an open-ended question explaining their reasoning. **Q. 5 & 7** were ranked using a score system that was produced by Survey Monkey.

Table 1: HOME-ARP Allocation Plan Survey Questions

| |
|---|
| Q. 5 Which programs or services do you think the City of Bakersfield should prioritize with these funds? (1 = Highest priority, 4= Lowest priority) N= 66 |
| <ol style="list-style-type: none"> 1. Development and support of affordable housing 2. Supportive services 3. Tenant-based rental assistance to individuals and families 4. Acquisition and development of non-congregate shelter units |
| Q. 7 Which populations do you think the City of Bakersfield should prioritize serving with these funds? (1 = Highest Priority, 4 = Lowest priority) |
| <ol style="list-style-type: none"> 1. Individuals or households already experiencing homelessness 2. Individuals or households fleeing domestic violence, dating violence, sexual assault, stalking, or human trafficking. 3. Veterans experiencing or at risk of homelessness 4. Individuals or households at risk of homelessness 5. Other populations with high risk of housing instability |
| Q. 9 In your opinion, what are the unmet housing and homeless services needs in the community? |
| <ul style="list-style-type: none"> • Not enough low-income housing/ rental units • Need for supportive services • Strategies to combat homelessness • Not enough access to mental health services |
| Q. 13 How satisfied or dissatisfied are you with the current efforts to combat homelessness? |
| <ol style="list-style-type: none"> 1. Neither satisfied or dissatisfied (38.8%) 2. Very dissatisfied (20.9%) 3. Dissatisfied (20.9%) 4. Satisfied (16.4%) 5. Very satisfied (3%) |
| Q. 15 Any feedback/comment/experience would you like to share? (summary) |
| <ul style="list-style-type: none"> • In addition to housing units, supportive services such as case management is crucial in keeping people housed. • We need more creative solutions to address homelessness than creating large congregate shelters and navigation centers. We need low density projects that will better serve the subpopulations that are the most difficult to house. • Collaboration between public and private sectors and increased funding from the state can help address needs. |

During the in-person and webinar public meetings on December 12th and 13th there was an opportunity for the public to provide written and oral feedback. The following were comments that were received during both meetings:

- City and organizations need to address the issue of the Vulnerability Index – Service Prioritization Decision Assistance Tool (VI-SPDAT) and how it is not properly representing the youth population.
- What is the participating jurisdiction doing to count for those within the homeless population that might have been left out of the PIT count?
- What will be the process of distributing funds? Will the city directly provide these services, or will organizations have to apply for the available funding?
- Majority of the funding should go towards services that serve homeless of all ages.
- Priority should be for those that are at-risk of homelessness.
- Funds should go to get existing units to HUD standards to pass housing authority inspection.

All comments received by the public were accepted and all recommendations were considered.

Needs Assessment and Gaps Analysis

In accordance with Section V.C.1 of the Notice (page 14), a PJ must evaluate the size and demographic composition of **all four** of the qualifying populations within its boundaries and assess the unmet needs of each of those populations. If the PJ does not evaluate the needs of one of the qualifying populations, then the PJ has not completed their Needs Assessment and Gaps Analysis. In addition, a PJ must identify any gaps within its current shelter and housing inventory as well as the service delivery system. A PJ should use current data, including point in time count, housing inventory count, or other data available through CoCs, and consultations with service providers to quantify the individuals and families in the qualifying populations and their need for additional housing, shelter, or services.

Below is a Table 2 identifying the required demographic composition for the City of Bakersfield:

Table 2: Housing Needs Inventory and Gap Analysis

| Non-Homeless | | | |
|---|--------------------------|------------------------|------------------------|
| | Current Inventory | Level of Need | Gap Analysis |
| | # of Units | # of Households | # of Households |
| Total Rental Units | 47,945 | | |
| Rental Units Affordable to HH at 30% AMI (At-Risk of Homelessness) | 9,875 | | |
| Rental Units Affordable to HH at 50% AMI (Other Populations) | 8,955 | | |
| 0%-30% AMI Renter HH w/ 1 or more severe housing problems (At-Risk of Homelessness) | | 8,565 | |
| 30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations) | | 8,070 | |
| Current Gaps | | | 16,635 |

Suggested Data Sources: 1. American Community Survey (ACS); 2. Comprehensive Housing Affordability Strategy (CHAS)

Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

Homeless as defined in 24 CFR 91.5

The CoC conducts an annual point-in-time (PIT) count of people staying in emergency shelters, transitional housing, or living outside or in a place not meant for human habitation. The PJ's most recent Continuum of Care-wide count, on February 23, 2022, identified 1,603 homeless persons in Bakersfield, including 720 people who were unsheltered that night. Table 3 provides a breakdown of the total number of individuals counted during the PIT count.

Table 3: Kern County Homeless Population on February 23, 2022

| Bakersfield Homeless Population | | | | |
|--|--------------|-----------------------------|-----------------|--------------------|
| Sheltered Status | Adult | Adults with Children | Children | Total Count |
| Sheltered | 641 | 73 | 161 | 875 |
| Unsheltered | 720 | 2 | 6 | 728 |
| 2022 Combined Total | 1361 | 75 | 167 | 1603 |

Source: 2022 Point in Time Count (PIT)

This count was the first PIT Count conducted in-person since the COVID pandemic began. The count typically takes place in January and in-person, but it was necessary to postpone the PIT Count to February to ensure the safety of the community, those experiencing homelessness, and PIT volunteers. Due to public health concerns, the 2021 PIT Count was conducted using the Homeless Management Information System. The 2020 PIT Count was the first time the in-person survey was conducted using a mobile device.

There was a total of 1,603 unduplicated persons sleeping in shelters and unsheltered on February 23, 2022. This is an increase of 1.5% from the total unduplicated persons found in the last in-person PIT Count in 2020 (1,580).

The findings of the 2022 PIT Count indicated more of those experiencing homelessness have been able to access shelter. The PIT Count resulted in 875 persons (55%) who were sleeping in shelters and 728 (45%) who were unsheltered. The additional emergency shelter beds funded by BKRHC, the City of Bakersfield, and County of Kern contributed to a dramatic shift from 2020, when 576 (37%) of those experiencing homelessness were sheltered.

Out of the 1,603 counted, 85% were adults without children and < 0.5% were unaccompanied minors.

Sheltered Homeless Population

Out of the 1,603 total homeless population, 875 were sheltered: 641 of those sheltered were adults only, 73 were adults with children, and 161 were children. Below is the demographic breakdown of the 875 sheltered homeless population as of February 2022:

- **Gender:** 39% were Female, 60% Male, <1% whose gender is not singularly 'Female' or 'Male', and <1% being Transgender.
- **Age:** 75% were adults over 24 years old, 7% youth between the ages of 18 and 24, and 18% are under the age of 18.
- **Race:** 71% classified as White, 23% being Black/African American/African, 3% as American Indian/Alaska Native/Indigenous, 2% under multiple races, and 1% are Native Hawaiian or Pacific Islander.
- **Ethnicity:** 60% were Non-Hispanic/Non-Latin(a)(o)(x), 40% identified as Hispanic/Latin(a)(o)(x).

Along with background demographic information, the PIT count was also able to identify and collect data on sub populations of the sheltered homeless. These subpopulations were identified from the 1,464 total homeless adult population indicating:

- 56 were sheltered Veterans.
- 217 were sheltered and Chronically Homeless.
- 307 sheltered homeless people suffered from a Serious Mental Illness and 207 suffered from a substance use disorder.
- 5 adults were identified to have had HIV/AIDS.

- 69 sheltered adults were survivors of Domestic Violence.

Unsheltered Homeless Population

Out of the 1,603 total homeless population, 728 were unsheltered individuals. Of the unsheltered total, 720 were adults only, 2 were adults with children, and there 6 were children. According to the 2022 PIT count, demographics of those experiencing unsheltered homelessness are as follows:

- **Gender:** 24% were Female, 74% Male, and <2% whose gender is not singularly 'Female' or 'Male', and <0.5% being Transgender.
- **Age:** 94% were adults over 24 years old, 5% were youth between the ages of 18 and 24, and 1% are under the age of 18.
- **Race:** 71% White, 16% Black/African American/African, 2% American Indian/Alaska Native/Indigenous, 9% multiple races, 1% Native Hawaiian or Pacific Islander, and 1% Asian or Asian American.
- **Ethnicity:** 57% Non-Hispanic/Non-Latin(a)(o)(x).

Furthermore, unsheltered people experiencing homelessness self-disclosed the following physical and mental health conditions:

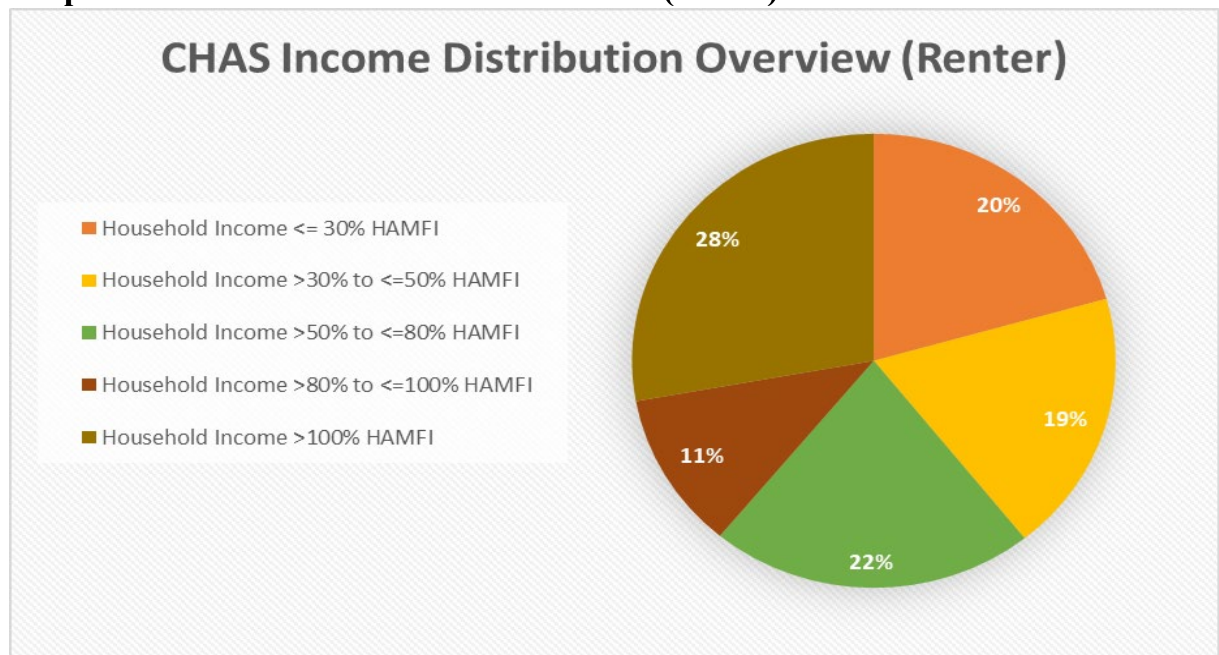
- 39 were unsheltered and Veterans
- 150 are chronically homeless and unsheltered
- 53 are currently unsheltered and suffering from a serious mental illness and 291 are suffering from substance use disorder
- 7 unsheltered homeless persons have been diagnosed with HIV/AIDS. 3 survivors of Domestic Violence.

At Risk of Homelessness as defined in 24 CFR 91.5

HUD receives custom tabulation of American Community Survey (ACS) data from the U.S. Census Bureau. This data, known as the "CHAS" data (Comprehensive Housing Affordability Strategy), quantifies the extent of housing problems and housing needs, particularly for low-income households. The most current CHAS data is from the 2015-2019 ACS.

The CHAS data show that Bakersfield has 4,540 property owners and 9,875 renters below 30% of HUD Area Median Family Income (HAMFI). This represents 12% of all Bakersfield households. The income distribution of renter households is shown in Graph 1 below; 20% of Bakersfield renter households are at or below 30% HAMFI.

Graph 1: CHAS Income Distribution Overview (Renter)



Of the 9,875 below 30% HAMFI renter households, 8,565 (87%) have at least one of the four HUD defined “housing problems”. The four housing problems are:

1. incomplete kitchen facilities,
2. incomplete plumbing facilities,
3. more than 1 person per room and
4. cost burden greater than 30%.

A household is experiencing a cost burden if it spends more than 30% of its gross income on housing. Cost burden is determined by the ratio of housing cost to household income. For renters, housing cost is gross rent (rent plus utilities) and 8,270 (87%) of the below 30% HAMFI renter household are paying over 30% of their household income on rent and utilities. Below is Table 4 describing renter only income by cost burden.

Table 4: Income by Cost Burden (Renter Only)

| Income by Cost Burden (Renters only) | Cost burden > 30% | Cost burden > 50% |
|--|-----------------------------|-----------------------------|
| Household Income <= 30% HAMFI | 8,270 | 7,095 |
| Household Income >30% to <=50% HAMFI | 7,525 | 3,190 |
| Household Income >50% to <=80% HAMFI | 5,705 | 1,090 |
| Household Income >80% to <=100% HAMFI | 1,425 | 225 |
| Household Income >100% HAMFI | 825 | 50 |
| Total | 23,750 | 11,650 |

Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

The State of California Department of Justice maintains statistics on domestic violence statewide by jurisdiction. In 2021, the local law enforcement agencies responded to a total of 2,003 calls related to domestic violence. Of these calls:

- 1,780 of these domestic incidents did not involve a weapon
- 223 calls involved a weapon
 - 9 domestic incidents involved a firearm
 - 42 domestic incidents involved a knife
 - 118 domestic incidents involved other dangerous weapons
 - 54 domestic incidents involved personal weapons
- 0 calls involved strangulation and suffocation

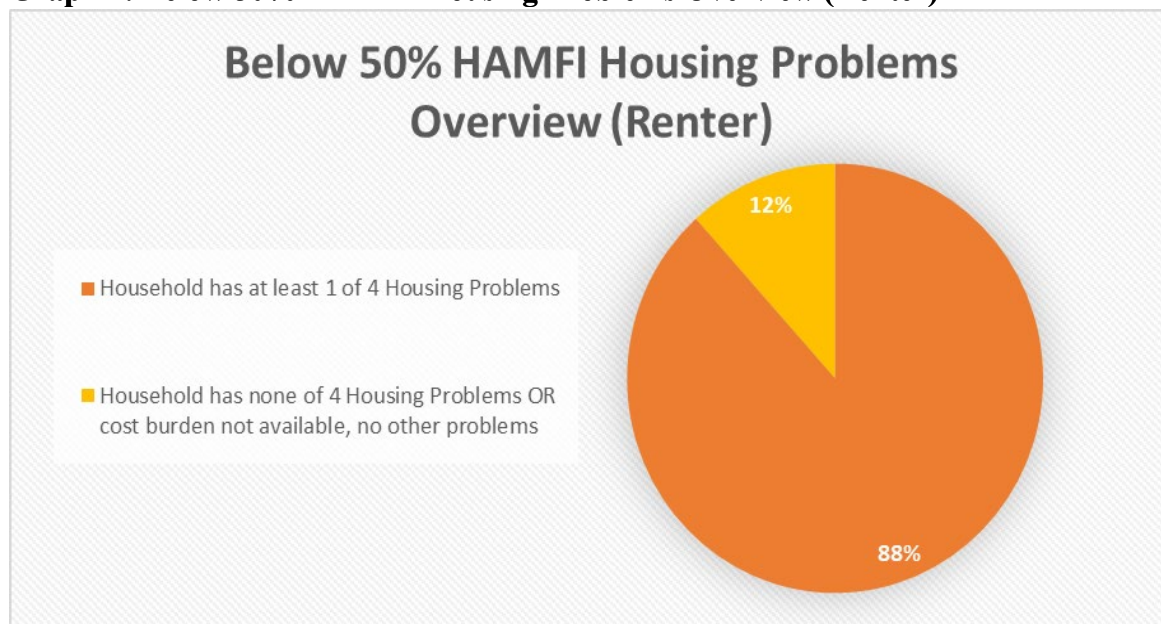
Therefore, if each one of these calls represented a household with member(s) who required housing assistance, it can be estimated that there were up to 2,003 households in 2021 who were in need of some form of housing assistance. The form of housing assistance required may vary depending on the specific circumstances of each case. In addition, the 2022 PIT Count discovered that 72 adults (5%) are survivors of domestic violence.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice

The HOME-ARP notice defines “Other Populations at Greatest Risk of Housing Instability” in two ways. The first is has annual income that is less than or equal to 30% of the area median income, as determined by HUD and is experiencing severe cost burden (i.e., is paying more than 50% of monthly household income toward housing cost). Of the 9,875 below 30% HAMFI renter households in Bakersfield, 8,270 are experiencing a severe cost burden (84%).

The second definition is that the household has an annual income that is less than or equal to 50% of the area median income, as determined by HUD, and meets one of the conditions of “At risk of homelessness.” The CHAS data do not have the same “at risk of homelessness” conditions as HOME-ARP. However, 16,635 of the 18,830 50% HAMFI or below renter households reported one of the four housing problems (incomplete kitchen facilities, incomplete plumbing facilities, more than 1 person per room, and cost burden greater than 30%) as shown in Graph 2 below.

Graph 2: Below 50% HAMFI Housing Problems Overview (Renter)



When considering other categories of populations that can be affected by the HOME-ARP funding, one of the populations that was considered is those that have the greatest risk of housing instability. According to HUD, a household that is at greatest risk of housing instability has an annual income \leq 30% of area median income and is experiencing severe cost burden or has an annual income \leq 50% of AMI and meets one of the conditions in 24 CFR 91.5. I.

The table below shows that 9,985 households are experiencing severe cost burden and have a household income \leq 30%. Table 5: Income by Cost Burden (Owners and Renters) shows 11,765 households facing a cost burden of 30% or more. The cost-burden means that households are paying more than 30% of their gross income on rent. In addition, Bakersfield

has 14,450 households between 30% and 50% AMI, with 12,065 of these having one or more housing problems, and 11,340 households facing a cost burden of 30% or more.

Table 5: Income by Cost Burden (Owners and Renters)

| Income by Cost Burden (Owner and Renters) | Cost Burden > 30% | Cost Burden > 50% | % |
|--|---------------------------------|-------------------------------------|-------------|
| Household Income \leq 30% HAMFI | 11,765 | 9,985 | 12% |
| Household Income > 30% to \leq 50% HAMFI | 11,340 | 5,415 | 12% |
| Household Income >50% to \leq 80% HAMFI | 10,635 | 2,435 | 17% |
| Household Income > 80% to \leq 100% HAMFI | 3,480 | 385 | 9% |
| Household Income > 100% HAMFI | 5,140 | 425 | 49% |
| Total | 42,350 | 18,645 | 100% |

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

The City of Bakersfield has resources directly available to assist qualifying populations. The City is a HUD entitlement community and, therefore, receives direct grant allocations from the Community Development Block Grant (CDBG), HOME Investment Partnership funds, Emergency Solutions Grants Program (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) as described in Table 6.

According to the City of Bakersfield FY2022-23 Action Plan the City anticipates \$6,826,348 available for projects through entitlement grant programs and program income. The anticipated grant source breakdown is the following:

- CDBG: \$3,813,990 (inclusive of \$8,000 program income)
- HOME: \$1,748,021 (inclusive of \$80,000 program income)
- HOPWA: \$931,450
- ESG: \$332,887

Table 6: City of Bakersfield HUD Entitlement

| Program | Source of Funds | Uses of Funds | Annual Allocation \$ |
|----------------|------------------------|--|-----------------------------|
| CDBG | Public - Federal | Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services | 3,805,900 |
| HOME | Public - Federal | Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA | 1,668,021 |
| HOPWA | Public - Federal | Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA | 931,450 |
| ESG | Public - Federal | Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing | 332,887 |
| Total | | | \$6,826,348 |

Congregate Shelter/ Non-congregate shelter (source: 2021 HIC Count)

As recorded by the HIC Count in January of 2021, there were 48 family units, 240 family beds, and 640 adult-only beds available at the time of the count. Forty-eight (48) of the total beds were available for Veterans, and 20 beds were classified as Youth beds. This count of congregate beds is made up of emergency shelter, safe haven, and transitional housing inventory.

The total number of non-congregate units and beds comes from the current inventory of permanent supportive housing and rapid re-housing. According to HUD's January 2021 Housing Inventory Count, there were a total 467 family units, 1,023 family beds, and 1,642 adult-only beds available. Of the total number of beds, 285 were made specifically available to Veterans and 129 specifically for the Youth population.

Supportive Services:

The 2022 PIT count revealed that there is a large concentration of the homeless population that was in metro Bakersfield, specifically the central and downtown area of Bakersfield. Kern County's Continuum of Care, Bakersfield Regional Homeless Collaborative, has a total of 54 members who are stakeholders in ending homelessness. Twenty-eight (28) of these Collaborative members provide at least one of the four eligible HOME-ARP activities for the qualifying populations noted in HUD's CPD Notice 21-10. These organizations provide services that are listed in section 401(29) of the Homeless Assistance Act (42 U.S.C. 11360(29)) and 24 CFR part 576.

Other Populations: Currently housed and at risk of repeat homelessness - Recidivism into Homelessness

When considering the state of homelessness, the participating jurisdiction must also consider the recidivism rate for persons placed in permanent housing. There are various reasons why an individual or family might return to homelessness, which was highlighted during the stakeholder consultation process of this allocation plan.

A common theme brought up when discussing the recidivism rate of homelessness was the issue surrounding affordable housing. The amount of available housing is not meeting the demand of those who are in need, including those who have a housing voucher and are hoping to be placed in a home before the voucher expires, which only repeats the cycle of homelessness. Another issue brought up in terms of housing is the lack of opportunity landlords are providing to those who are attempting to exit homelessness.

Another talking point mentioned when discussing the return to homelessness is the need for more case managers. For some organizations, there are not enough case managers to be able to meet with their clients on a weekly basis. Case managers can provide a gateway to supportive services to the population that they serve as well as ensure that they are taking the necessary steps to prevent eviction.

A direct contributor to people returning to homelessness is the concern surrounding those who are returning to society after being institutionalized in a correctional facility or health care facility. If a person who was homeless before being placed in an institution does not receive any care or services that will help prepare them to return to society, they are more likely to return to the housing status they were in before institutionalization. A lack of a support system also contributes to this concern especially if the person is not from the geographic location, they were institutionalized or has no one to rely on for support or help that can prevent them from returning to homelessness.

Tenant-based Rental Assistance Gap¹

The Housing Authority of the County of Kern (Housing Authority) manages 11 federally funded low-income public housing complexes in Kern County, with a total of 865 housing units. Bakersfield is home to four of these developments, which include a total of 534 units. According to the Public and Indian Housing Information Center (PIC), tenants of public housing County-wide are about 76% White, 21% Black/African American, 1.9% Asian, and <1% American Indian or Alaska Native. Ethnicity of tenants is reported separately, with over 62% of residents identifying as Hispanic.

According to Housing Authority’s 2021-2022 Annual Report, there are 24,822 households on the waiting list for public housing. As of December 2022, the Housing Choice Voucher (HCV) waiting list had 11,456 applicants and is currently closed. It is expected that the waitlist will remain closed for another four years. This data indicates a significant need in both affordable public housing and rental vouchers. Households participating in the HCV program or residing in public housing are eligible participants in the Family Self-Sufficiency program, which is designed to give LMI residents the tools and information to become economically independent.

Most immediate needs of residents of Public Housing and Housing Choice voucher holders

Public Housing. As shown in Table 7, there are 865 households in public housing in Bakersfield.

Table 7

| Program Type | | | |
|------------------------------------|--------------------|-----------------------|-----------------------|
| | Certificate | Moderate Rehab | Public Housing |
| # of unit’s vouchers in use | 0 | 3 | 865 |

Each of these populations have different housing needs, but common among them are extremely low incomes. The average annual income of public housing tenants is \$13,696. With a household

¹ City of Bakersfield Consolidated Plan 2022

size of three, the average public housing tenant earns \$6,394 less than the Kern County ELI limit and almost \$11,000 less than the federal poverty level.

Vouchers. The immediate need of the households participating in the Section 8 Public Housing Program is the accessibility to affordable rental units that fall within the rent levels of HUD's Fair Market Rents, which stands at \$1,013 for a two-bedroom housing unit. With a median gross rent of \$1,439 and, according to RentCafe, 63% of rental units priced at or under \$1,500, there is seemingly enough housing that HCV recipients can afford. However, there are no requirements for landlords to accept HCV recipients and naturally occurring affordable housing on the private rental market is rarely reserved for LMI households or HCV recipients.

Beyond availability of affordable units, there is an additional need for housing with accessibility features, based on the characteristics of the participants including a high percentage of the elderly and persons with disability.

Table 8

| Program Type – Vouchers | | | | | | Total |
|--------------------------------|---------------------------|--------------------------|---|---|-------------------|--------------|
| | Project -based | Tenant -Based | Veterans Affair Supportive housing * | Family Unification program * | Disabled * | |
| # of Vouchers | 445 | 3,080 | 130 | 85 | 9 | 3,749 |

* = Special Purpose Voucher

In total, 3,070 households in Bakersfield receive Housing Choice Voucher assistance through Housing Authority. Nearly 19% of all voucher recipients in the City are households who are seniors and nearly a third include persons with disabilities. Exacerbating these populations' challenges is an extremely low average annual income of \$12,453.

How do these needs compare to the housing needs of the population at large

In general, the proportion of public housing residents and voucher holders who are Black/African American is greater than that of the City's population at large. About 10% of the City population identified as Black/African American, according to 2011-2015 ACS data, compared to 27% of public housing residents and 44% of voucher holders. About 72% of the City's population identifies as White, which is close to the proportion of White households in public housing residents (76% of total). However, White households are underrepresented among voucher recipients, with 48% of total vouchers.

The Census Bureau allows people of any racial category to indicate Hispanic origin or non-Hispanic origin. According to the 2011-2015 ACS, 47% of City residents identify as being of

Hispanic origin. Hispanics are proportionally overrepresented among public housing residents (62% of total) and underrepresented among voucher recipients (28% of total).

Describe the unmet housing and service needs of qualifying populations:

- Sheltered and unsheltered homeless populations;
- Those currently housed populations at risk of homelessness;
- Other families requiring services or housing assistance or prevent homelessness;
- Those at greatest risk of housing instability or in unstable housing situations.

The greatest unmet housing needs of all four qualifying populations are:

- Permanent rental housing that is affordable to qualifying and other populations; and
- Permanent supportive rental housing that coordinates specialized services with housing that is affordable to qualifying and other populations.

The greatest unmet service needs of qualifying populations, including sheltered and unsheltered homeless populations, currently housed populations at risk of homelessness, other families requiring services or assistance to prevent homelessness, and those at greatest risk of housing instability or in unstable housing situations are housing search, rental subsidy, mental health services, diversion, and legal services.

During the public survey conducted electronically Bakersfield residents and local stakeholders were asked to provide what unmet housing and service needs they considered are needed for the qualifying populations. The responses included:

- Not enough low-income housing/ rental units
- Need for supportive services
- Strategies to combat homelessness
- Not enough access to mental health services

Consultation with stakeholders and community organizations indicate the first unmet housing and service need is permanent affordable housing and unit availability. Additionally, the consultation highlighted the caseworker turnover rate, lack of appropriate training, and post support services for employees. With an increasing homeless population accommodating individuals with tools and next steps depends on the availability of the workforce.

HOME-ARP Activities

The City will issue a Notice of Funds Available (NOFA) for entities interested in using the City's HOME-ARP allocated funds to reduce homelessness and increase housing stability. The NOFA will, at a minimum, specify qualified applicants, eligible activities, minimum and maximum funding amounts, application thresholds and underwriting criteria, and will provide instructions

on how to apply. The NOFA will request over the counter and/or electronic applications from non-profits, housing developers, service providers, and/or for-profit entities.

Proposed use of HOME-ARP Funding

| | Funding Amount | Percent of the Grant | Statutory Limit |
|---|-----------------------|-----------------------------|------------------------|
| Supportive Services | \$ 575,784.13 | | |
| Acquisition and Development of Non-Congregate Shelters | \$ 0 | | |
| Tenant Based Rental Assistance (TBRA) | \$ 0 | | |
| Development of Affordable Rental Housing | \$ 4,063,549.03 | | |
| Non-Profit Operating | \$ 0 | # % | 5% |
| Non-Profit Capacity Building | \$ 272,901.95 | 5 % | 5% |
| Administration and Planning | \$ 545,803.90 | 10 % | 15% |
| Total HOME ARP Allocation | \$ 5,458,039 | | |

There is a need for additional affordable housing projects and homeless services in all the eligible activity areas and serving the qualifying populations as evidenced by the consultation process and the needs assessment and gap analysis conducted in the preparation of this allocation plan. Given the projects forthcoming and nearing completion, the City has determined that the highest and best use of this allocation, is to allocate the funds to development of affordable rental housing. Since 2015 the City has been involved in the development of 26 affordable housing projects with 11 of those being active. The primary allocation of HOME-ARP funds will be used for the construction of affordable housing. The remaining HOME-ARP funds will be used to fund the non-profit capacity building emphasizing caseworker positions to assist the homeless population.

HOME-ARP Production Housing Goals

The City of Bakersfield estimates that approximately 75 units of affordable housing will be constructed to assist serving the qualifying populations targeted by HOME-ARP funds. The number may fluctuate depending on costs and the number of bedrooms per unit. To produce this number, the City will require compound layer funding with other resources to ensure project feasibility.

The City of Bakersfield has heard from stakeholder consultations and public participation that the main need to be addressed is affordable housing. The production of affordable housing is a high priority for the City of Bakersfield. To show this, the City of Bakersfield produced a Housing Needs Inventory and Gaps Analysis Table and conducted stakeholder and public consultation that produced a need for more housing units in Bakersfield. Using HOME-ARP funding, the approximately 75 units will be available for those who are listed under the qualifying populations for this allocation plan.

Preferences

In accordance with Section V.C.4 of the Notice (page 15), the HOME-ARP allocation plan must identify whether the PJ intends to give a preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project.

- Preferences cannot violate any applicable fair housing, civil rights, and nondiscrimination requirements, including but not limited to those requirements listed in 24 CFR 5.105(a).
- The PJ must comply with all applicable nondiscrimination and equal opportunity laws and requirements listed in 24 CFR 5.105(a) and any other applicable fair housing and civil rights laws and requirements when establishing preferences or methods of prioritization.

The City of Bakersfield does not intend to give preference.

HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.

C. J. Clegg

Signature of Authorized Official

3/23/23

Date

City Manager

Title

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|---------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE City Manager |
| APPLICANT ORGANIZATION City of Bakersfield | DATE SUBMITTED 3/23/23 |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
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11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|---|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | <div data-bbox="898 1329 1487 1373" style="border: 1px solid black; padding: 2px;">City Manager</div> |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| <div data-bbox="121 1463 876 1514" style="border: 1px solid black; padding: 2px;">City of Bakersfield</div> | <div data-bbox="898 1463 1487 1514" style="border: 1px solid black; padding: 2px;">8/23/23</div> |

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

03/31/2023

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

B-22-MC-06-510

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

City of Bakersfield

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

95-6000672

*** c. UEI:**

YUJJHEWCPLZ5

d. Address:

*** Street1:**

1600 Truxtun Avenue

Street2:

*** City:**

Bakersfield

County/Parish:

*** State:**

CA: California

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

93301-0000

e. Organizational Unit:

Department Name:

Economic Community Development

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

*** First Name:**

Rogelio

Middle Name:

*** Last Name:**

Martinez

Suffix:

Title: Economic and Community Development Planner II

Organizational Affiliation:

*** Telephone Number:** 661-852-7510

Fax Number: 661-852-2138

*** Email:** rogmartinez@bakersfieldcity.us

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program

* 12. Funding Opportunity Number:

14.239

* Title:

HOME Investment Partnerships Program

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

This HOME-ARP Allocation Plan to provide funding to reduce homelessness and increase housing stability.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|---|
| * a. Federal | <input type="text" value="5,458,039.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text"/> |
| * g. TOTAL | <input type="text" value="5,458,039.00"/> |

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

CC Clegg

* Date Signed: