

<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>Superior Housing Authority</u> PHA Code: <u>WI001</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2012</u>				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>464</u> Number of HCV units: <u>169</u>				
<b>3.0</b>	<b>Submission Type</b> <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  <b>The mission of the Superior Housing Authority is to be the area's affordable housing of choice. We provide and maintain safe, quality housing in a cost effective manner. By partnering with others, we offer rental assistance and other related services to our community.</b>				
<b>5.2</b>	<b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.  <b>Goal One: Operate the Superior Housing Authority in a manner that results in high performer status under both PHAS and SEMAP.</b>  Continue to meet the requirements of the Voluntary Compliance Agreement that SHA has entered into with HUD.  Ensure that criminal activity in public housing is equal to or less than the community as a whole.  Continue funding a city police officer exclusively for public housing.  Reinstate regular staff meetings to enhance communications, improve employee morale, and increase efficiency.  Conduct an employee survey every other year and react appropriately to its results.  Increase the reserves for the Section 8 administration to six months of operating costs by December 31, 2012 and sustain the reserves at this level.  Re-orientate the CFP program to doing comprehensive modernization one site at a time rather than piecemeal modernization.  Hold Board Meetings at Catlin Court and Billings Park Villa at least annually.  Have at least six positive stories in the press each year about the Superior Housing Authority or one or more of its residents or Section 8 participants.  <b>Goal Two: Increase and/or maintain, with or without partners, the supply of quality affordable housing in Superior by 25 units by December 31, 2014. Focus on senior housing.</b>  <b>Goal Three: On June 30, 2009 the average non-elderly, non-disabled public housing resident reported \$7932 in income. Increase this by 15% to \$9125 by June 30, 2014.</b>  Retain professional grant-writing services that bring in at least five times their cost.  Support a full-time Resident Services Coordinator.  Meet individually with each targeted household in both public housing and Section 8 at least one a year on self-sufficiency opportunities.				

	<p><b>Goal Four: Diversify the income base of the Superior Housing Authority in order to reduce its reliance on HUD.</b></p> <p>Continually market the Superior Housing Authority’s expertise to other organizations and entities.</p> <p>Maximize funding received from Charter Cable in return for an exclusive contract.</p> <p>Increase vending machine income.</p> <p>Market the Peter Rich Community Center as a rental place.</p> <p style="text-align: center;"><b>AMP Goals</b></p> <p><b>AMP 1 - Park Place, Scattered Elderly and Scattered Family</b></p> <p>Achieve a 95% physical inspection score from HUD annually.</p> <p><b>AMP 2 – Catlin Court, Bayview, Billings Park Villa</b></p> <p>Achieve a 95% physical inspection score from HUD annually.</p>
6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p style="padding-left: 40px;"><b>Our ACOP and Administrative Plan have been amended to comply with HUD required changes. In addition:</b></p> <ol style="list-style-type: none"> <li>1. <b>Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures:</b> No Changes</li> <li>2. <b>Financial Resources:</b> Changes are reflected in our Supporting Documentation</li> <li>3. <b>Rent Determination:</b> No Changes</li> <li>4. <b>Operation and Management:</b> No Changes</li> <li>5. <b>Grievance Procedures:</b> No Changes</li> <li>6. <b>Designated Housing for Elderly and Disabled Families:</b> No Changes.</li> <li>7. <b>Community Service:</b> No Changes</li> <li>8. <b>Safety and Crime Prevention:</b> No Changes</li> <li>9. <b>Pets:</b> No Changes</li> <li>10. <b>Civil Rights Certification:</b> No Changes</li> <li>11. <b>Fiscal Year Audit:</b> The latest audits are available for review</li> <li>12. <b>Asset Management:</b> No Changes</li> <li>13. <b>Violence Against Women Act:</b> No Changes</li> </ol> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p><b>The Plan can be examined at our Main Office at 1219 N. 8<sup>th</sup> Street, at City Hall, and on our web site – <a href="http://www.superiorhousing.org">www.superiorhousing.org</a>.</b></p>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p><b>The SHA does not have and has no plans for a HOPE VI project.</b></p> <p><b>The SHA is seeking mixed-finance development opportunities particularly to relieve our problem with a lack of handicapped accessible units.</b></p> <p><b>The SHA is not planning any demolition or disposition activities in the coming year.</b></p> <p><b>The SHA is not planning to convert any of its public housing to vouchers in the coming year.</b></p> <p><b>The SHA has a Section 8 Homeownership program. To date, 3 Section 8 families have purchased their home.</b></p> <p><b>The SHA has not project-based any of its Housing Choice Vouchers and does not plan to do so in the next year.</b></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b>See Attachments</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b>See Attachment</b></p>

**8.3 Capital Fund Financing Program (CFFP).**  
 Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.  
**Not Applicable**

**9.0 Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Affordability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	1,208	5	5	5	5	5	5
Income >30% but <=50% of AMI	942	5	5	5	5	5	5
Income >50% but <80% of AMI	775	3	3	3	3	3	3
Elderly	910	3	3	3	3	3	3
Families with Disabilities	Un-known						
Black	16	5	5	5	5	4	5
Hispanic	3	5	5	5	5	4	5
Native American	Un-known						
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- Consolidated Plan of the Jurisdiction/s  
Indicate year: 2003
- U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- American Housing Survey data  
Indicate year:
- Other housing market study  
Indicate year:
- Other sources: (list and indicate year of information)

**9.1 Strategy for Addressing Housing Needs.** Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

**(1) Strategies**  
**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**  
Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**  
Select all that apply

- Apply for additional section 8 units should they become available

- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**The Superior Housing Authority will continue to accept applications based on date and time of application.**

Need: Specific Family Types: Families at or below 50% of median

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**The Superior Housing Authority will continue to accept applicants based on the date and time of their application.**

Need: Specific Family Types: The Elderly

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**The Superior Housing Authority will continue to accept applicants based on the date and time of their application.**

Need: Specific Family Types: Families with Disabilities

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**The Superior Housing Authority will continue to accept applicants based on the date and time if their application.**

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints

- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below)

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The following table reflects the progress we have made in achieving our goals and objectives:

<b>Goal One: Operate the Superior Housing Authority in a manner that results in high performer status under both PHAS and SEMAP.</b>	
<b>Objective</b>	<b>Progress</b>
Continue to meet the requirements of the Voluntary Compliance Agreement that SHA has entered into with HUD.	We remain ahead of schedule on achieving our VCA requirements.
Ensure that criminal activity in public housing is equal to or less than the community as a whole.	Criminal activity is equal to or less than the community as a whole.
Continue funding a city police officer exclusively for public housing.	This goal has been met during 2010.
Reinstate regular staff meetings to enhance communications, improve employee morale, and increase efficiency.	This is an on-going process and will continue to strive to improve morale, etc.
Conduct an employee survey every other year and react appropriately to its results.	Will continue to work toward this goal.
Increase the reserves for the Section 8 administration to six months of operating costs by December 31, 2012 and sustain the reserves at this level.	This goal has been achieved during 2010.
Re-orientate the CFP program to doing comprehensive modernization one site at a time rather than piecemeal modernization.	Due to the recent changes in PHAS we are reevaluating this goal.
Hold Board Meetings at Catlin Court and Billings Park Villa at least annually.	Have not attained this goal but will continue to work toward it.
Have at least six positive stories in the press each year about the Superior Housing Authority or one or more of its residents or Section 8 participants.	This goal has not been met during 2010. We will strive to reach our goal.

<b>Goal Two: Increase and/or maintain, with or without partners, the supply of quality affordable housing in Superior by 25 units by December 31, 2014. Focus on senior housing.</b>	
<b>Objective</b>	<b>Progress</b>
	Currently working with a developer who has applied and received tax credits and has received grant funding for an 11 unit project. Construction should begin in 2011. SHA would be the managing ant.

<b>Goal Three: On June 30, 2009 the average non-elderly, non-disabled public housing resident reported \$7932 in income. Increase this by 15% to \$9125 by June 30, 2014. Due to the recent economy this goal has not been met.</b>	
<b>Objective</b>	<b>Progress</b>
Retain professional grant-writing services that bring in at least five times their cost.	This goal was not achieved in 2010.
Support a full-time Resident Services Coordinator.	ROSS Grant Coordinator position was filled in February 2011.
Meet individually with each targeted household in both public housing and Section 8 at least one a year on self-sufficiency opportunities.	In the process of meeting our goal.

<b>Goal Four: Diversify the income base of the Superior Housing Authority in order to reduce its reliance on HUD.</b>	
<b>Objective</b>	<b>Progress</b>
Continually market the Superior Housing Authority's expertise to other organizations and entities.	Continually working towards this goal.
Maximize funding received from Charter Cable in return for an exclusive contract.	Goal has been met. We have an exclusive contract.
Increase vending machine income.	Goal has not been met.
Market the Peter Rich Community Center as a rental place.	Goal has been met and we will continue to strive to increase rental.

<b>AMP 1 - Park Place, Scattered Elderly and Scattered Family</b>	
<b>Objective</b>	<b>Progress</b>
Achieve a 95% physical inspection score from HUD annually.	This goal was met during the last physical inspection by HUD. We

10.0

		will continue to strive to meet this goal.
<b>AMP 2 – Catlin Court, Bayview, Billings Park Villa</b>		
<b>Objective</b>		<b>Progress</b>
Achieve a 95% physical inspection score from HUD annually.		This goal was met during the last physical inspection by HUD. We will continue to strive to meet this goal.
<p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p><b>Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the mission, goals, objectives, or plans of the agency and which requires formal approval of the Board of Commissioners.</b></p>		

<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</li> <li>(g) Challenged Elements</li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</li> </ul>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

# **Violence Against Women Act Report**

**A goal of the Superior Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.**

**The Superior Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.**

- CASDA (Center Against Sexual and Domestic Abuse) is a service partner who assists our residents with a need in their area of expertise.
- We have a community police office who can intervene in appropriate circumstances.
- Referrals are made to the Douglas County Department of Health and Human Services.

**The Superior Housing Authority provides or offers the following activities, services, or programs that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.**

- SHA has a preference for victims of domestic violence in both public housing and Section 8.

**The Superior Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.**

- Our service partners offer educational sessions on prevention.

**The Superior Housing Authority has the following procedures in place to assure that applicants and residents are aware of their rights under the Violence Against Women Act.**

- We brief all new participants of their rights prior to entering the program and are always available for private consultations on their rights and responsibilities under VAWA.

**Attachment A**

**Superior Housing Authority**

**2012 Annual Plan**

**Comments of the Resident Advisory Board**

The Superior Housing Authority conducted a meeting with its Resident Advisory Board (RAB) on September 13, 2011.

Elements of the PHA Five-Year Plan Template and the Capital Fund Program grants were discussed. The RAB members agreed with the Plan as presented and no suggestions or changes were offered by them.

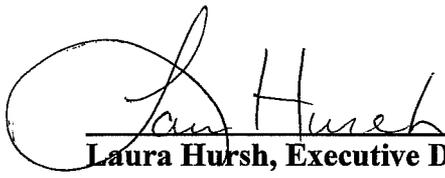


**Laura Hursh, Executive Director**  
**Superior Housing Authority**

**September 28, 2011**

**Attachment B**  
**Superior Housing Authority**  
**2012 Annual Plan**  
**Challenged Elements**

There were no challenged elements to the Housing Authority's Agency Plan

  
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**Laura Hursh, Executive Director**  
**Superior Housing Authority**

**September 28, 2011**

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>	
<b>PHA Name: Superior Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-12 Replacement Housing Factor Grant No: Date of CFFP:
<b>FFY of Grant: 2012</b> <b>FFY of Grant Approval:</b>	

**Type of Grant**  
 **Original Annual Statement**       **Reserve for Disasters/Emergencies**       **Revised Annual Statement (revision no:      )**  
 **Performance and Evaluation Report for Period Ending:**       **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	2			
3	1408 Management Improvements	35,000			
4	1410 Administration (may not exceed 10% of line 21)	67,691			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000			
8	1440 Site Acquisition				
9	1450 Site Improvement	112,196			
10	1460 Dwelling Structures	307,025			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures	10,000			
13	1475 Non-dwelling Equipment	55,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	40,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

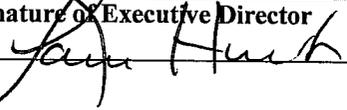
<b>PHA Name:</b> Superior Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-12 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2012</b> <b>FFY of Grant Approval:</b>
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**Type of Grant**

Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )

Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	676,914			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	213,756			
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> 	<b>Date 07/27/11</b>	<b>Signature of Public Housing Director</b>	<b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-12 CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 General	Operation	1406	1	1				
	Marketing	1408	1	5,000				
	Consulting	1408	1	5,000				
	Training/Travel	1408	1	5,000				
	Computer Software Upgrade	1408	1	2,500				
	Computer Hardware Upgrade	1475	1	15,000				
	A&E Fees & Costs	1430	1	25,000				
	Contingency	1502	1	0				
	ADA new Construction	1499	1	40,000				
AMP 1 Park Place (1-1)	Main administration office drop box	1470	1	10,000				
	Carpet Replacement	1460	136	40,000				
	Sidewalk Replacement	1450	136	3,500				
AMP 1 Scat. Elderly (1-4)	ADA/504 Compliance	1460	5	83,219				
	Remove Center Island	1460	60	5,000				
	Interior light fixture	1460	50	5,000				
	Sidewalk Replacement	1450	60	3,000				
AMP 1 Turnkey (1-5)	Sidewalk Replacement	1450	25	3,000				
	Interior swing doors	1460	25	68,500				
	ADA/504 Compliance	1460	1	36,662				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

**Part II: Supporting Pages**

PHA Name: Superior Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-12 CFFP (Yes/ No): Replacement Housing Factor Grant No:	Federal FFY of Grant: 2012
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Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2 General	Operations	1406	1	1				
	Marketing	1408	1	5,000				
	Consulting	1408	1	5,000				
	Training/Travel	1408	1	5,000				
	Computer software Upgrade	1408	1	2,500				
	Computer Hardware Upgrade	1475	1	15,000				
	A&E Fees & Costs	1430	1	25,000				
	Contingency	1502	1	0				
	Maintenance Vehicle	1475	1	25,000				
AMP 2 Catlin Court (1-2)	Screens for dumpsters	1450	8	5,000				
	Landscaping	1450	1	77,196				
	Parking for ball field	1450	1	5,000				
	Sidewalk replacement	1450	136	3,500				
AMP 2 Bayview (1-3)	Dumpster screens	1450	4	5,000				
	Ceiling insulation	1460	64	14,769				
	Sidewalk replacement	1450	64	3,500				
AMP 2 BPV WI 1-6	Sidewalk replacement	1450	28	3,500				
	ADA/504 Compliance	1460	6	53,875				
Central Office Cost Center (COCC)	Management Fees	1410	1	67,691				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Superior Housing Authority					<b>Federal FFY of Grant: 2012</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 GENERAL	8/2/14		8/2/16		
AMP 1 PARK PLACE	8/2/14		8/2/16		
AMP 1 SCAT. ELDERLY	8/2/14		8/2/16		
AMP 1 TURNKEY	8/2/14		8/2/16		
AMP 2 GENERAL	8/2/14		8/2/16		
AMP 2 CATLIN COURT	8/2/14		8/2/16		
AMP 2 BAYVIEW	8/2/14		8/2/16		
AMP 2 BPV	8/2/14		8/2/16		
COCC	8/2/14		8/2/16		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

**Part I: Summary**

PHA Superior Housing Authority , WI001		Locality (Superior, Wisconsin)			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:
A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
B.	Physical Improvements Subtotal	Annual Statement	88,250	249,915	233,000	293,308
C.	Management Improvements		63,000	47,500	49,500	49,500
D.	PHA-Wide Non-dwelling Structures and Equipment		92,500	114,033	102,183	70,000
E.	Administration		67,691	67,691	67,691	67,691
F.	Other		95,662	104,862	168,627	140,502
G.	Operations		2	2	2	2
H.	Demolition					
I.	Development		269,809	92,911	55,911	55,911
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		676,914	676,914	676,914	676,914
L.	Total Non-CFP Funds		0	0	0	0
M.	Grand Total		\$676,914	\$676,914	\$676,914	\$676,914

**Part I: Summary (Continuation)**

Superior Housing Authority		Locality (Superior, Wisconsin)		<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
	AMP 1 Management Needs	Annual Statement	\$80,501	\$65,002	\$71,592	\$66,002
	AMP 1 Park Place WI 1-1		\$263,965	\$282,852	\$76,000	\$124,875
	AMP 1 Scat. Elderly WI 1-4		\$3,000	\$14,000	\$41,000	\$66,000
	AMP 1 Turnkey WI 1-5		\$8,000	\$8,000	\$25,000	\$35,000
	AMP 2 Management Needs		\$72,501	\$52,500	\$59,093	\$53,500
	AMP 2 Catlin Court WI 1-2		\$62,375	\$43,000	\$70,000	\$64,125
	AMP 2 Bayview WI 1-3		\$8,500	\$32,000	\$146,627	\$35,000
	AMP 2 Billings Park Villa WI 1-6		\$7,625	\$16,096	\$26,000	\$70,810
	Central Office Cost Center (COCC)		\$67,691	\$67,691	\$67,691	\$67,691
	Other/Contingency		\$102,756	\$95,773	\$93,911	\$93,911
	CFP Funds Listed for 5-Year Planning		<b>\$676,914</b>	<b>\$676,914</b>	<b>\$676,914</b>	<b>\$676,914</b>
	Replacement Housing Factor					

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2012	Work Statement for Year <u>2</u> FFY 2013			Work Statement for Year: <u>2</u> FFY 2013		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AMP 1 Contingency (1502)	1	22,831	AMP 2 Maintenance Vehicle (1475)	1	25,000
	AMP 1 ADA New Construction (1499)	1	269,809	AMP 2 Contingency (1502)	1	22,831
	AMP 1 Park Place WI 1-1 Upgrade Admin. Window coverings (1470)	1	5,000	AMP 2 Catlin Court WI 1-2 Paving (1450)	136	10,000
	AMP 1 Park Place WI 1-1 Admin. Media Center (1470)	1	5,000	AMP 2 Catlin Court WI 1-2 Sidewalk Replacement (1450)	136	4,125
	AMP 1 Park Place WI 1-1 Asphalt repair (1450)	151	7,000	AMP 2 Catlin Court WI 1-2 VLDCC paving /asphalt parking lot (1450)	1	23,250
	AMP 1 Park Place WI 1-1 Update Admin. Chairs (1470)	1	2,500	AMP 2 Bayview WI 1-3 Patio relay (1450)	64	5,000
	AMP 1 Park Place WI 1-1 Daycare sewer repair (1470)	1	10,000	AMP 2 Bayview WI 1-3 Sidewalk Replacement (1450)	64	3,500
	AMP 1 Park Place WI 1-1 Update Office Equipment (1470)	1	5,000	AMP 2 BPV WI 1-6 Sidewalk Replacement (1450)	28	4,125
	AMP 1 Park Place WI 1-1 Dumpster Surrounds (1450)	10	4,125	AMP 2 Billings Park Villa WI 1-6 Patios (1450)	28	3,500
	AMP 1 Park Place WI 1-1-1 Perimeter insulation (1460)	151	9,125			
	AMP 1 Park Place WI 1-1 Sidewalk Replacement (1450)	151	3,500			
	AMP 1 Scat. Elderly Sidewalk Replacement (1450) WI 1-4	60	3,000			
	AMP 1 Turnkey WI 1-5 Gutter replacement (1460)	25	5,000			
	AMP 1 Turnkey WI 1-5 Sidewalk Replacement (1450)	25	3,000			
					Subtotal of Estimated Cost	\$456,221

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2012	Work Statement for Year 3 FFY 2014			Work Statement for Year: 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	AMP 1 Contingency (1502)	1	24,931	AMP 1 Scat. Elderly WI 1-4 Bi-fold door handle pulls (1460)	60	5,000
Annual	AMP 1 ADA New Construction (1499)	1	92,911	AMP 1 Turnkey WI 1-5 Re-grade and re-seed (1450)	25	5,000
Statement	Amp 1 Maintenance Vehicle (1475)	1	25,000	AMP 1 Turnkey WI 1-5 Sidewalk Replacement (1450)	25	3,000
	AMP 1 Park Place WI 1-1 Roofs (1460)	151	156,300	AMP 2 Maintenance Vehicle (1475)	1	25,000
	AMP 1 Park Place WI 1-1 Mechanical room doors (1460)	151	8,519	AMP 2 Contingency (1502)	1	24,931
	AMP 1 Park Place WI 1-1 PRCC shingle roof (1470)	1	21,183	AMP 2 Catlin Court WI 1-2 Replace shed brick joints (1460)	136	5,000
	AMP 1 Park Place WI 1-1 PRCC flashing (1470)	1	600	AMP 2 Catlin Court WI 1-2 Toilets (1460)	136	5,000
	AMP 1 Park Place WI 1-1 PRCC basement leak repair (1470)	1	5,000	AMP 2 Catlin Court WI 1-2 Garage siding (1450)	1	1,000
	AMP 1 Park Place WI 1-1 PRCC cut mason joint (1470)	1	2,000	AMP 2 Catlin Court WI 1-2 Garage block walls (1450)	1	1,000
	AMP 1 Park Place WI 1-1 Cold Storage fascia (1470)	1	5,250			
	AMP 1 Park Place WI 1-1 Admin. Basement leak repair (1470)	1	5,000	AMP 2 Catlin Court WI 1-2 Sidewalk Replacement (1450)	136	1,000
	AMP 1 Park Place WI 1-1 Maintenance Facility Mansard Roof (1470)	1	5,000	AMP 2 Catlin Replace asphalt (1450) Court WI 1-2	136	5,000
	AMP 1 Park Place WI 1-1 Sidewalk Replacement (1450)	151	2,000	AMP 2 Bayview WI 1-3 Re-grade and re-seed (1450)	64	10,000
	AMP 1 Scat. Elderly WI 1-4 Sidewalk Replacement (1450)	60	3,500	AMP 2 Bayview WI 1-3 HC ramps – asphalt (1460)	3	12,000
	AMP 1 Scat. Elderly WI 1-4 Bridge handrails (1450)	7	2,500	AMP 2 Bayview WI 1-3 Replace basin sinks (1460)	64	5,000
	AMP 1 Scat. Elderly WI 1-4 Landscaping (1450)	60	3,000	AMP 2 Bayview WI 1-3 Replace bath fans (1460)	64	5,000

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year <u>3</u> FFY <u>2014</u>			Work Statement for Year: <u>3</u> FFY <u>2014</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
Sec	AMP 2 BPV WI 1-6 Sidewalk Replacement (1450)	28	1,500			
Annual	AMP 2 BPV WI 1-6 Landscaping (1450)	28	8,400			
Statement	AMP 2 BPV WI 1-6 Closet door handle pulls (1460)	28	1,196			
	AMP 2 BPV WI 1-6 Toilets (1465)	28	5,000			
					<b>Total CFP Estimated Cost</b>	<b>\$491,721</b>

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2012	Work Statement for Year <u>4</u> FFY <u>2015</u>			Work Statement for Year: <u>4</u> FFY <u>2015</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AMP 1 Contingency (1502)	1	24,000	AMP 2 Contingency (1502)	1	24,000
	AMP 1 ADA New Construction (1499)	1	55,911	AMP 2 Maintenance Vehicle (1475)	1	25,000
	AMP 1 Maintenance Vehicle (1475)	1	25,000	AMP 2 Lawn Tractor (1475)	1	10,592
	AMP 1 Lawn Tractor (1475)	1	10,591			
	AMP 1 Sand spreader (1475)	1	5,000	AMP 2 Catlin Court Sidewalk Replacement (1450)	151	25,000
	AMP 1 Park Place daycare entry slab repair (1470)	1	5,000	AMP 2 Catlin Court Dumpster surrounds (1450)		5,000
	AMP 1 Park Place Sidewalk Replacement (1450)	151	25,000	AMP 2 Catlin Court Kitchen sink plumbing (1460)	151	10,000
	AMP 1 Park Place Admin. Employee bath exhaust fan (1470)	1	1,000			
	AMP 1 Scat. Elderly Sidewalk Replacement (1450)	60	25,000	AMP 2 Bayview Sidewalk Replacement (1450)	1	25,000
	AMP 1 Scat. Elderly kitchen countertops (1460)	60	16,000	AMP 2 Bayview Meter Pits (1450)	1	5,000
	AMP 1 Turnkey Sidewalk Replacement (1450)	25	25,000	AMP 2 Bayview Dumpster Surrounds (1450)		1,000
				AMP 2 Bayview kitchen sink plumbing (1460)	64	10,000
				AMP 2 Bayview paving (1450)	1	25,000
				AMP 2 Bayview ranges and refrigerators (1465)	64	70,627
				AMP 2 Bayview exterior lighting (1460)		10,000
				AMP 2 Billings Park Villa Sidewalk Replacement (1450)		25,000
				AMP 2 Billings Park Villa Dumpster Surrounds (1450)		1,000
				<b>Total CFP Estimated Cost</b>		<b>\$489,720</b>

**Part II: Supporting Pages – Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2012	Work Statement for Year <u>5</u> FFY <u>2016</u>			Work Statement for Year: <u>5</u> FFY <u>2016</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	AMP 1 Contingency (1502)	1	24,000	AMP 2 Contingency (1502)	1	24,000
	AMP 1 ADA New Construction (1499)	1	55,911	AMP 2 Maintenance Vehicle (1475)	1	25,000
	AMP 1 Maintenance Vehicle (1475)	1	25,000	AMP 2 Catlin Court Sidewalk Replacement (1450)	136	15,000
	AMP 1 Park Place Sidewalk Replacement (1450)	151	25,000	AMP 2 Catlin Court kitchen cabinets & countertops (1460)	136	5,000
	AMP 1 Park Place Range & Refrigerator Replacement (1465)	302	5,875	AMP 2 Catlin Court kitchen cabinets & countertops (1460)	136	5,000
	AMP 1 Park Place kitchen cabinets & countertops (1460)	302	25,000	AMP 2 Catlin Court WI 1-2 Patio relay/replacement (1450)	136	9,125
	AMP 1 Park Place entry door lockset replacement (1460)	151	15,000	AMP 2 Catlin Court WI 1-2 replace rotted drains (1460)	136	5,000
	AMP 1 Park Place WI 1-1 replace rotting drains (1460)	151	5,000	AMP 2 Bayview Sidewalk Replacement (1450)	1	25,000
	AMP 1 Park Place WI 1-1 tub surrounds (1460)	151	14,000	AMP 2 Bayview kitchen cabinets & countertops (1460)	64	10,000
	AMP 1 Scat. Elderly Sidewalk Replacement (1450)	60	15,000	AMP 2 Billings Park Villa Sidewalk Replacement (1450)	64	25,000
	AMP 1 Scattered Elderly Range & Refrigerator Replacement (1465)	120	15,000	AMP 2 Billings Park Villa Replace water heaters (1465)	7	4,627
	AMP 1 Scattered Elderly exterior light replacement (1450)	60	10,000	AMP 2 Billings Park Villa boiler replacement (1465)	28	7,000
	AMP 1 Scat. Elderly WI 1-4 Asphalt replacement – dumpster surround (1450)	60	10,000	AMP 2 Billings Park Villa kitchen cabinets & countertops (1460)	28	13,000
	AMP 1 Scattered Elderly ADA/504 Compliance (1460)	1	16,000	AMP 2 Billings Park Villa ADA/504 Compliance (1460)	1	21,183
	AMP 1 Turnkey Sidewalk Replacement (1450)	25	15,000			
	AMP 1 Turnkey Range & Refrigerator Replacement (1465)	50	10,000			
AMP 1 Turnkey Window Replacement (1460)	25	10,000				
				<b>Total CFP Estimated Cost</b>	<b>\$489,721</b>	

**Part III: Supporting Pages – Management Needs Work Statement(s)**

Work Statement for Year 1 FFY 2012	Work Statement for Year 2013 FFY 2013		Work Statement for Year: 2014 FFY 2014	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	AMP 1 Operations (1406)	1	AMP 1 Operations (1406)	1
Annual	AMP 1 Marketing (1408)	5,000	AMP 1 Marketing (1408)	5,000
Statement	AMP 1 Consulting (1408)	5,000	AMP 1 Consulting (1408)	5,000
	AMP 1 Training/Travel (1408)	5,000	AMP 1 Training/Travel (1408)	5,000
	AMP 1 Computer Software Upgrade (1408)	2,500	AMP 1 Computer Software Upgrade (1408)	2,500
	AMP 1 Computer Hardware Upgrade (1475)	15,000	AMP 1 Computer Hardware Upgrade (1475)	15,000
	AMP 1 A&E Fees & Costs (1430)	25,000	AMP 1 A&E Fees & Costs (1430)	25,000
	AMP 1 Communication Equipment (1475)	5,000	AMP 1 ADA/504 Needs Assessment and Transition Plan (1408)	7,500
	AMP 1 Energy Audit (1408)	10,000	AMP 2 Operations (1406)	1
	AMP 1 5-Year Physical Needs Assessment (1408)	8,000	AMP 2 Marketing (1408)	5,000
	AMP 2 Operations (1406)	1	AMP 2 Consulting (1408)	5,000
	AMP 2 Marketing (1408)	5,000	AMP 2 Training/Travel (1408)	5,000
	AMP 2 Consulting (1408)	5,000	AMP 2 Computer Software Upgrade (1408)	2,500
	AMP 2 Training/Travel (1408)	5,000	AMP 2 Computer Hardware Upgrade (1475)	5,000
	AMP 2 Computer Software Upgrade (1408)	2,500	AMP 2 A&E Fees & Costs (1430)	25,000
	AMP 2 Computer Hardware Upgrade (1475)	15,000	Central Office Cost Center (COCC) Management Fees (1410)	67,691
	AMP 2 A&E Fees & Costs (1430)	25,000	AMP 2 ADA/504 Needs Assessment and Transition Plan (1408)	5,000
	AMP 2 Communication Equipment (1475)	5,000		
	AMP 2 Energy Audit (1408)	5,000		
	AMP 2 5-Year Physical Needs Assessment (1408)	5,000		
	Central Office Cost Center (COCC) Management Fees (1410)	67,691		
	<b>Subtotal of Estimated Cost</b>	<b>\$220,693</b>	<b>Subtotal of Estimated Cost</b>	<b>\$185,193</b>



<b>Part I: Summary</b>		
<b>PHA Name: Superior Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-11 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2011</b> <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: 1 )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	157,750	2		
3	1408 Management Improvements	35,000	35,000		
4	1410 Administration (may not exceed 10% of line 21)	78,875	67,691		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000	50,000		
8	1440 Site Acquisition				
9	1450 Site Improvement	65,000	65,000		
10	1460 Dwelling Structures	184,500	150,271		
11	1465.1 Dwelling Equipment—Nonexpendable	71,000	71,000		
12	1470 Non-dwelling Structures	0	0		
13	1475 Non-dwelling Equipment	55,000	55,000		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	47,569	182,950		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

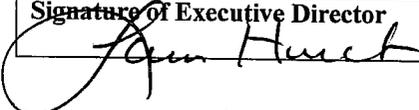
Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>		
<b>PHA Name:</b> Superior Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-11 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2011</b> <b>FFY of Grant Approval:</b>

**Type of Grant**  
 Original Annual Statement                       Reserve for Disasters/Emergencies  
 Performance and Evaluation Report for Period Ending:                       Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	44,058	0		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	788,752	676,914		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	47,569	182,950		
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> 	<b>Date 7/27/11</b>	<b>Signature of Public Housing Director</b>	<b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-11 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 General	Operation	1406	1	78,875	1			
	Marketing	1408	1	5,000	5,000			
	Consulting	1408	1	5,000	5,000			
	Training/Travel	1408	1	5,000	5,000			
	Computer Software Upgrade	1408	1	2,500	2,500			
	Computer Hardware Upgrade	1475	1	15,000	15,000			
	A&E Fees & Costs	1430	1	25,000	25,000			
	Maintenance Vehicle	1475	1	25,000	25,000			
	Contingency	1502	1	22,029	0			
	ADA new Construction	1499	1	47,569	182,950			
AMP 1 Park Place (1-1)	Brick Replacement walls & laundry walls	1460	151	73,000	38,771			
	Ranges and refrigerators	1465	151	21,000	21,000			
	Sidewalk replacement	1450	151	3,500	3,500			
AMP 1 Scat. Elderly (1-4)	Garbage Surrounds	1450	8	20,000	20,000			
	Sidewalk Replacement	1450	60	3,000	3,000			
AMP 1 Turnkey (1-5)	Entry doors	1460	25	48,500	48,500			
	Garage entry fire doors	1460	25	8,000	8,000			
	Sidewalk Replacement	1450	25	3,000	3,000			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-11 CFPP (Yes/No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2 General	Operations	1406	1	78,875	1			
	Marketing	1408	1	5,000	5,000			
	Consulting	1408	1	5,000	5,000			
	Training/Travel	1408	1	5,000	5,000			
	Computer software Upgrade	1408	1	2,500	2,500			
	Computer Hardware Upgrade	1475	1	15,000	15,000			
	A&E Fees & Costs	1430	1	25,000	25,000			
	Contingency	1502	1	22,029	0			
AMP 2 Catlin Court (1-2)	Windows	1460	136	55,000	55,000			
	Ranges and refrigerators	1465	136	50,000	50,000			
	Repave all parking areas	1450	136	25,000	25,000			
	Sidewalk Replacement	1450	136	3,500	3,500			
AMP 2 Bayview (1-3)	Sidewalk Replacement	1450	64	3,500	3,500			
AMP 2 BPV WI 1-6	Sidewalk Replacement	1450	28	3,500	3,500			
Central Office Cost Center (COCC)	Management Fees	1410	1	78,875	67,691			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Superior Housing Authority					Federal FFY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 GENERAL	8/2/13		8/2/15		
AMP 1 PARK PLACE	8/2/13		8/2/15		
AMP 1 SCAT. ELDERLY	8/2/13		8/2/15		
AMP 1 TURNKEY	8/2/13		8/2/15		
AMP 2 GENERAL	8/2/13		8/2/15		
AMP 2 CATLIN COURT	8/2/13		8/2/15		
AMP 2 BAYVIEW	8/2/13		8/2/15		
AMP 2 BPV	8/2/13		8/2/15		
COCC	8/2/13		8/2/15		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

<b>Part I: Summary</b>						
PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: W139-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval:	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-11 <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	157,750	157,750	157,750	157,750	
3	1408 Management Improvements	35,000	35,000	0	0	
4	1410 Administration (may not exceed 10% of line 21)	78,875	78,875	78,875	78,875	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	50,000	60,250	60,000	30,207	
8	1440 Site Acquisition					
9	1450 Site Improvement	84,506	48,105	20,000	306	
10	1460 Dwelling Structures	139,800	171,803	109,273	42,248	
11	1465.1 Dwelling Equipment—Nonexpendable	149,500	87,211	86,010	19,500	
12	1470 Non-dwelling Structures	38,321	94,758	74,064	564	
13	1475 Non-dwelling Equipment	55,000	55,000	0	0	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities <sup>4</sup>	0	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

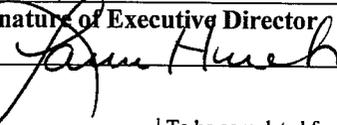
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report

Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226  
Expires 4/30/2011

<b>Part I: Summary</b>						
<b>PHA Name:</b> Superior Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-10 Replacement Housing Factor Grant No: Date of CFFP:			<b>FFY of Grant:2010</b> <b>FFY of Grant Approval:</b>	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6-30-11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0	
20	Amount of Annual Grant:: (sum of lines 2 - 19)	788,752	788,752	585,972	329,450	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities	0	50,067	0	0	
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs					
25	Amount of line 20 Related to Energy Conservation Measures					
Signature of Executive Director 		Date 6/29/2011		Signature of Public Housing Director		
				Date		

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

**Part II: Supporting Pages**

PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 General	Operation	1406	1	78,875	78,875	78,875	78,875	Complete
	Marketing	1408	1	5,000	5,000	0	0	
	Consulting	1408	1	5,000	5,000	0	0	
	Training/Travel	1408	1	5,000	5,000	0	0	
	Computer Software Upgrade	1408	1	2,500	2,500	0	0	
	Computer Hardware Upgrade	1475	1	15,000	15,000	0	0	
	A&E Fees & Costs	1430	1	25,000	30,000	30,000	24,439	Under contract
	ADA/504 Needs Assessment and Transition Plan	1408	1	0	0	0	0	Moved to 5- Year Plan
	Contingency	1502	1	0	0	0	0	
	New Construction (504 Compliance)	1499	1	0	0	0	0	Moved to 5- year Plan
AMP 1 Park Place (1-1)	Picnic tables and benches	1450	1	0	0	0	0	Moved to 5- Year Plan
	Laundry Walls	1460	151	0	0	0	0	Moved to 5- Year Plan
	Oven/gas range (Daycare)	1470	1	0	0	0	0	Completed under CFP2009
	Daycare roof	1470	1	0	0	0	0	Moved to CF2008
	Replace siding on cold storage building	1470	1	0	0	0	0	Moved to 5-Year Plan
	Brick work PRCC	1470	1	0	0	0	0	Moved to 5-Year Plan
	Sidewalk Replacement	1450	1	3,500	7,500	0	0	
	Stain or re-side PRCC	1470	1	0	0	0	0	Moved to 5-Year Plan
	Stain or re-side maintenance building	1470	1	0	0	0	0	Moved to 5-Year Plan

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 Park Place (1-1)	Relay patios	1460	151	0	0	0	0	Moved to 5- Year Plan
	Stain or re-side Admin. Building	1470	1	13,800	13,800	0	0	
	Laundry walls/brick repair/divider walls	1460	151	10,000	26,188	23,930	10,118	Under contract
	Re-engineer dumpster surround on 7 <sup>th</sup> St.	1450	1	15,000	20,000	20,000	306	Under contract
	Refrigerator replacement	1465	78	39,000	30,420	30,420	7,800	Under contract
	PRCC Window & Flashing Replacement	1470	1	2,500	564	564	564	Complete
	Upgrade Admin. Heating System	1470	1	22,021	77,167	73,500	0	Under contract
	Main administration office ADA/504 Update	1470	1	0	3,227	0	0	Split w/CFFP2009
AMP 1 Scat. Elderly (1-4)	Picnic tables and benches	1450	1	0	0	0	0	Moved to 5- Year Plan
	Sidewalk Replacement	1450	1	18,000	7,500	0	0	
	Roof Insulation/bath fans (buildings)	1460	11	65,000	59,215	56,392	32,130	Under contract
	Idziorek Garbage Surround Replacement	1450	1	10,000	0	0	0	Moved to CFP2011
	ADA/504 Update	1460	60	0	50,067	0	0	Split w/CFP2011 & 5-year Plan
	Asphalt replacement/dumpster surround	1450	1	0	10,000	0	0	Split w/CFP2009
AMP 1 Turnkey (1-5)	Picnic tables and benches	1450	1	0	0	0	0	5-Year Plan
AMP 1 Turnkey (1-5)	Sidewalk Replacement	1450	25	3,000	0	0	0	
	Kitchen & bath fans	1460	25	0	0	0	0	Moved to 5- Year Plan
	Bathroom light fixtures	1460	34	13,600	13,101	12,469	0	Under contract
	Roof Replacement	1460	25	0	5,928	0	0	Split w/CFP2009

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-10 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 2 General	Operations	1406	1	78,875	78,875	78,875	78,875	Complete
	Consulting	1408	1	5,000	5,000	0	0	
	Marketing	1408	1	5,000	5,000	0	0	
	Training/Travel	1408	1	5,000	5,000	0	0	
	Computer software Upgrade	1408	1	2,500	2,500	0	0	
	Maintenance Vehicle	1475	1	25,000	25,000	0	0	
	Computer Hardware Upgrade	1475	1	15,000	15,000	0	0	
	A&E Fees & Costs	1430	1	25,000	30,250	30,000	5,768	Under contract
	ADA/504 Needs Assessment and Transition Plan	1408	1	0	0	0	0	Moved to 5- Year Plan
	Contingency	1502	1	0	0	0	0	
AMP 2 Catlin Court (1-2)	Patio Paver Replacement	1450	5	24,506	3,105	0	0	Split w/CFP2009
	Paint pillars, shutters and canopies	1460	136	0	0	0	0	Moved to 5- Year Plan
	Refrigerator Replacement	1465	57	28,500	22,230	22,230	7,800	Under contract
	Toilet Replacement	1465	64	47,600	0	0	0	Moved to 5- Year Plan
	Picnic tables and benches	1450	1	0	0	0	0	5-Year Plan
	Storage rental unit/garages	1470	1	0	0	0	0	5-Year Plan
	Sidewalk Replacement	1450	1	3,500	0	0	0	
AMP 2 Bayview (1-3)	Sidewalk Replacement	1450	1	3,500	0	0	0	
	Main electrical panels	1460	64	51,200	17,304	16,482	0	Under contract
	Refrigerator Replacement	1465	24	12,000	9,360	9,360	3,900	Under contract
	Toilet Replacement	1465	64	22,400	25,201	24,000	0	Under contract

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Superior Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 GENERAL	7/14/12		7/14/14		
AMP 1 PARK PLACE	7/14/12		7/14/14		
AMP 1 SCAT. ELDERLY	7/14/12		7/14/14		
AMP 1 TURNKEY	7/14/12		7/14/14		
AMP 2 GENERAL	7/14/12		7/14/14		
AMP 2 CATLIN COURT	7/14/12		7/14/14		
AMP 2 BAYVIEW	7/14/12		7/14/14		
AMP 2 BPV	7/14/12		7/14/14		
COCC	7/14/12		7/14/14		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name: Superior Housing Authority</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No: W139-P001-501-09 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval: 2009</b>

**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies  
 Performance and Evaluation Report for Period Ending: 6-30-11       Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$158,208	\$158,208	\$158,208	\$158,208
3	1408 Management Improvements	\$10,000	\$0	\$0	\$0
4	1410 Administration (may not exceed 10% of line 21)	\$79,104	82,314	\$82,314	\$82,314
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	\$50,000	\$50,928	\$50,928	\$50,928
8	1440 Site Acquisition				
9	1450 Site Improvement	\$192,337	179,007	\$179,007	\$179,007
10	1460 Dwelling Structures	\$204,044	\$214,976	\$214,976	\$157,343
11	1465.1 Dwelling Equipment—Nonexpendable	\$0	\$0	\$0	\$0
12	1470 Non-dwelling Structures	\$57,604	\$65,864	\$65,864	\$1,333
13	1475 Non-dwelling Equipment	\$39,746	\$39,746	\$39,746	\$17,368
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>	\$0	\$0		

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>		
<b>PHA Name:</b> Superior Housing Authority	<b>Grant Type and Number</b> Capital Fund Program Grant No: WI39-P001-501-09 Replacement Housing Factor Grant No: Date of CFFP:	<b>FFY of Grant:2009</b> <b>FFY of Grant Approval: 2009</b>

**Type of Grant**

Original Annual Statement                       Reserve for Disasters/Emergencies                       Revised Annual Statement (revision no:     )                       Final Performance and Evaluation Report

Performance and Evaluation Report for Period Ending: 6-30-11

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$0	\$0		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$791,043	\$791,043	\$791,043	\$646,501
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	\$0	\$64,531	\$64,531	\$0
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	\$0	\$0		
25	Amount of line 20 Related to Energy Conservation Measures				

<b>Signature of Executive Director</b> <i>[Signature]</i>	<b>Date</b> 6/29/2011	<b>Signature of Public Housing Director</b>	<b>Date</b>
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<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority			Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 General	Operations	1406	1	\$79,104	\$79,104	\$79,104	\$79,104	Complete
	Marketing	1408	1	\$0	\$0	0	0	Complete
	Consulting	1408	1	\$5,000	0	0	0	Complete
	Training/Travel	1408	1	\$0	\$0	0	0	Complete
	Computer Software Upgrade	1408	1	\$0	\$0	0	0	Complete
	Maintenance Vehicle	1475	1	\$26,269	\$26,269	\$26,269	\$3,891	Under P.O.
	Computer Hardware Upgrade	1475	1	\$0	\$0	0	0	Complete
	A&E Fees & Costs	1430	1	\$25,000	\$37,183	\$37,183	\$37,183	Complete
	Contingency	1502	1	0	0	0	0	Moved to CC Roof Repl.
	New Construction	1499	1	0	0	0	0	Moved to 5- year plan
AMP 1 Park Place (1-1)	Admin. Reception Area	1470	1	0	0	0	0	Moved to CFP2008
	Landscaping	1450	1	0	0	0	0	Moved to 5- year plan
	Upgrade Admin. Building security	1470	1	0	0	0	0	Moved to 5- year plan
	Replace Admin. Carpet	1470	1	0	0	0	0	Split w/CFP2008 and ARRA and - Complete
	Update PRCC furniture	1470	1	\$0	\$0	0	0	Moved to 5- year plan
	Sidewalk Replacement	1450	1	\$0	\$0	0	0	Complete
	Tub Surrounds	1460	151	\$14,000	\$0	0	0	Moved to 5- Year Plan
	Oven/Gas Range (daycare)	1470	1	\$1,333	\$1,333	\$1,333	\$1,333	Complete

AMP 1 Park Place (1-1)	Daycare Carpet/Floor Replacement	1470	1	\$0	\$0	0	0	Move from CFP2012 / 5-Year Plan & Split w/ARRA and under contract - Complete
	Replace (1) laundry wall and two jump platforms	1460	3	\$15,000	\$0	0	0	Moved to CFP2010
	Main administration office ADA/504 Update	1470	1	\$56,271	\$64,531	\$64,531	0	Split w/CFP2010 & Under Contract
AMP 1 Scattered Elderly (1-4)	Boiler & Control Work	1465.1	60	\$0	0	0	0	Moved to ARRA
	Landscaping	1450	1	\$0	0	0	0	Moved to 5- year plan
	Sidewalk Replacement	1450	1	\$15,487	\$15,487	\$15,487	\$15,487	Complete
	Asphalt replacement/dumpster surround Work could not be complete in 2010 – add to work to be done next year	1450	1	\$25,000	\$17,280	\$17,280	\$17,280	Split w/CFP2010
	Site Work – electrical poles and posts	1450	1	\$4,000	\$1,600	\$1,600	\$1,600	Split w/CFP2013 Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>								
PHA Name: Superior Housing Authority		Grant Type and Number Capital Fund Program Grant No: WI39-P001-501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
AMP 1 Turnkey (1-5)	Replace Sidewalks/Stoops	1450	1	\$7,820	\$7,820	\$7,820	\$7,820	Complete
	Roof Replacement	1460	25	\$116,529	\$119,800	\$119,800	\$75,585	Split w/CFP2010 & Under Contract
AMP 2 General	Operations	1406	1	\$79,104	\$79,104	\$79,104	\$79,104	Complete
	Marketing	1408	1	\$0	\$0	0	0	Complete
	Consulting	1408	1	\$5,000	0	0	0	Complete
	Training/Travel	1408	1	\$0	\$0	0	0	Complete
	Computer Software Upgrade	1408	1	\$0	\$0	0	0	Complete
	Maintenance Vehicle	1475	1	\$8,911	\$8,911	\$8,911	\$8,911	Complete
	Computer Hardware Upgrade	1475	1	\$0	\$0	0	0	Complete
	A&E Fees & Costs	1430	1	\$25,000	\$13,745	\$13,745	\$13,745	Complete
	Contingency	1502	1	\$0	0	0	0	Moved to CC Roof Rplcmnt
AMP 2 Catlin Court (1-2)	Equipment Storage Fence	1450	1	\$0	0	0	0	Moved to 5- year plan
	Sidewalk Replacement	1450	1	\$0	\$0	0	0	Complete
	Roof Replacement	1460	1	\$31,766	\$31,766	\$31,766	\$31,766	Complete
	Land acquisition	1450	1	\$0	\$0	0	0	Moved from CFP2008 – Move to 5-Year Plan
	Patio Replacement	1460	5	\$25,494	\$62,155	\$62,155	\$48,737	Split w/CFP2010 & under contract
AMP 2 Bayview (1-3)	Siding	1460	64	\$0	0	0	0	Moved to ARRA

AMP 2 Bayview (1-3)	Windows	1460	64	\$0	0	0	0	Moved to 5-year plan
	Floor Replacement	1460	64	\$1,255	\$1,255	\$1,255	\$1,255	Complete
AMP 2 Bayview (1-3)	ADA/504 Update	1460	1	\$0	0	0	0	Moved to 5-year plan
AMP 2 Bayview (1-3)	Sidewalk Replacement	1450	1	\$0	\$0	0	0	Complete
AMP 2 Bayview (1-3)	Patio relay	1450	64	\$140,030	\$136,820	\$136,820	\$136,820	Complete
AMP 2 BPV (1-6)	Sidewalk Replacement	1450	1	\$0	\$0	0	0	Complete
AMP 2 BPV (1-6)	Boiler Replacement	1465	7	\$0	\$0	0	0	Moved to Limbo
Central Office Cost Center (COCC)	Management Fees	1410	1	\$79,104	\$82,314	\$82,314	\$82,314	Complete
Central Office Cost Center (COCC)	COCC Communication Equipment	1475	1	\$4,566	\$4,566	\$4,566	\$4,566	Complete
TOTAL				\$791,043	\$791,043	\$791,043	\$646,501	

Annual Statement/Performance and Evaluation Report  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB No. 2577-0226

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: Superior Housing Authority				Federal FFY of Grant: 2009	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
AMP 1 GENERAL	9/14/11	4/20/11	9/14/13		
AMP 1 PARK PLACE	9/14/11	4/20/11	9/14/13		
AMP 1 SCAT. ELDERLY	9/14/11	4/20/11	9/14/13		
AMP 1 TURNKEY	9/14/11	4/20/11	9/14/13		
AMP 2 GENERAL	9/14/11	4/20/11	9/14/13		
AMP 2 CATLIN COURT	9/14/11	4/20/11	9/14/13		
AMP 2 BAYVIEW	9/14/11	4/20/11	9/14/13		
AMP 2 BILLINGS PARK VILLA	9/14/11	4/20/11	9/14/13		
CENTRAL OFFICE COST CENTER	9/14/11	4/20/11	9/14/13		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.