



5.2	<p><b>Goals and Objectives.</b> Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p>It is the desire of the HASLC to create quality housing that is safe, decent and affordable; a place where a person’s income level or background cannot be identified by the neighborhood in which they live.</p> <p>The goals and objectives that will enable the HASLC to serve the needs of low-income, very low-income, and extremely low-income families for the next five years are:</p> <ul style="list-style-type: none"> <li>• Continue to utilize proceeds from the sale of our family public housing units and tax credits to develop additional/new mixed income properties. These units will be made available to families whose incomes are at and below 80% of AMI; the income restriction may be more stringent due to tax credit requirements. The HASLC will project base vouchers in a portion of developments that utilize public housing disposition proceeds to ensure we serve the extremely low-income families. In December of 2010 the HASLC opened a 95 unit building serving seniors. We will break ground in September 2011 for 72 units of housing for homeless veterans on the Veterans Administration’s medical campus and in October 2011 we will break ground for a 70 unit public housing/tax credit building for seniors.</li> <li>• Utilize our Capital Fund Replacement Housing Factor (RHF) funding resources to make annual payments to the lender, Neighborhood Housing Services, for seven years of the financing of Rendon Terrace, the 70 unit public housing/tax credit project.</li> <li>• Secure public and private resources to maintain affordable housing units in our service area.</li> <li>• Apply for additional voucher funding should it become available.</li> <li>• Continue promoting the HASLC Family Self-Sufficiency program to promote self-sufficiency and asset development of families and individuals.</li> <li>• Review and update Housing Authority Administrative and Program Policies and Procedures.</li> <li>• Evaluate the benefit, if any, to covert public housing into a section 8 project based program.</li> <li>• Evaluate the benefit of applying to become a Moving-to-Work demonstration site.</li> <li>• Continue to educate Congress and local elected officials of the importance of continued funding for affordable housing.</li> </ul> <p>The HASLC has made great progress in in meeting its goals and objectives this past year including:</p> <ul style="list-style-type: none"> <li>• Increasing our affordable housing stock by 97 units;</li> <li>• Had groundbreaking for two additional development that will bring 142 new affordable housing units in 2012;</li> <li>• Improved the quality of assisted housing by maintaining high performer status in both PHAS and SEMAP scores; and</li> <li>• We continue to promote self-sufficiency and asset development of families and individuals.</li> </ul>
6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) Not applicable.</p> <p>(b) Copies of the Plan can be obtained at the following locations:</p> <ul style="list-style-type: none"> <li>• HASLC Main office – 1776 South West Temple – Salt Lake City, Utah 84115.</li> <li>• HASLC web site – haslcutah.org</li> <li>• Salt Lake City Corp. Office of Housing and Neighborhood Development.</li> </ul>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p><i>It is the desire of the HASLC to project base Section 8 vouchers in all new developments utilizing proceeds from the sale of our public housing. By doing this, it ensures a portion of each new development will be utilized by extremely low-income households. Utilize up to 20 vouchers for project basing in non-profit housing for homeless, disabled and or elderly populations.</i></p> <p><i>The HASLC does not utilize Section 8 vouchers for home ownership. We do however partner with Salt Lake City Corporation’s and Community Development Corporation’s First Time Home Buyers Programs with our Family Self-Sufficiency (FSS) participants. The HASLC works with FSS participants with case management and goal setting placing an emphasis on budgeting and home ownership. The partner agencies provide a preference to our FSS participants when they become ready for home ownership. Through this process, 18 of the HASLC FSS participants have become home owners in the past six years.</i></p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. Included as an attachment.</p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <b>Included as an attachment.</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <b>The HASLC will utilize RHF funding to make annual payments to the property lender, Neighborhood Housing Services, for seven years of the financing of Rendon Terrace, the 70 unit public housing/tax credit project.</b></p>

9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>There are currently 6,198 households on the Section 8 waiting list and 309 households on the public housing waiting list. Of the households on both lists, 5% are elderly; 35% have a disability; 37% Hispanic; 36% white; 6% Black; 2% American Indian; 2% Asian; 1% Pacific Islander; and 1% multi-racial. On May 1, 2009 the HASLC closed its Section 8 waiting list because the wait for assistance was over 3 years. It remains closed due to funding cuts extending the wait even longer. We will re-open the list when we can assist households within one year.</p> <p>According to Salt Lake City's Consolidated Plan, 2,803 households rental costs were between 30% and 34.9% of their gross income; 10,735 has rental costs at 35% or more of their gross income; 13.6% of the jurisdictions population have incomes below the poverty level; and 3% of the City's population live in overcrowded conditions. The Consolidated Plan also states 52% of senior households that rent are very low-income earning less than \$16,000 annually and there is an expected increase of 24,000 senior households. The City does not have any census tracts containing racial or ethnic groups with a disproportionately greater need in comparison to the needs of any particular income category. This information has not yet been updated by the City since last submission.</p>
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9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p>The strategies HASLC will use for addressing the housing needs of families in the jurisdiction and on the waiting list for the upcoming year are: develop additional/new units with subsidized, affordable, and market rate units; develop additional public housing units; continue aggressive landlord recruitment; apply for additional voucher funding as it becomes available; partner with other agencies by project basing vouchers in an effort to sustain existing affordable housing in our jurisdiction; evaluate if it is more cost effective to convert public housing to project based Section 8; Continue to participate in Salt Lake City's Consolidated Plan process; and continue to educate our Congressional people of the need for additional affordable rentals in our jurisdiction.</p>
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10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The HASLC has made progress on a number of its goals and objectives described in the 5-year plan including its goals to leverage public and private funds for development of additional affordable housing for our community. We opened phase 1 of 95 units of affordable housing complex in December 2010, phase 2 in March 2011 and phase 3 in April 2011; we completed the financing packages and broke ground in September 2011 for the construction of a 72 unit project for homeless veterans and in October 2011 we broke ground for construction of a 70 unit public housing/tax credit project for seniors; Our web site, <a href="http://www.haslcutah.org">www.haslcutah.org</a> was upgraded to include the ability for our customers to not only apply for housing on-line with our Housing Authority but for the Housing Authority of the County of Salt Lake, and the West Valley City Housing Authority; and we have been successful in promoting the self-sufficiency and asset development of families and individuals.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>Substantial Deviation: Any deviation which alters the original intent of the provisions prescribed within this plan which substantially affects the achievement of quantifiable performance indicators.</p> <p>Significant Amendment or Modification to the Annual Plan: Any changes or additional provisions adopted by the HASLC that may impact the final outcome initially identified in the HASLC Plan.</p>
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<b>11.0</b>	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <ul style="list-style-type: none"> <li>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights) <a href="#">Attached</a></li> <li>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only) <a href="#">Attached</a></li> <li>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only) <a href="#">Attached</a></li> <li>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only) <a href="#">Attached</a></li> <li>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only) <a href="#">Not Applicable</a></li> <li>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. <a href="#">Attached</a></li> <li>(g) Challenged Elements <a href="#">Not Applicable</a></li> <li>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only) <a href="#">Attached</a></li> <li>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only) <a href="#">Attached</a></li> <li>(j) Form HUD-50077-SL, <i>Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan</i>. <a href="#">Attached</a></li> <li>(k) Form HUD-5077-CR, <i>Civil Rights Certification</i>. <a href="#">Attached</a></li> </ul> <p>Violence Against Women Act (VAWA): PIH Notice 2008-41 was previously submitted. The HASLC provides a local preference on its waiting list for victims of domestic violence and utilize the YWCA and Salt Lake County Aging Services as support service referrals.</p>
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This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

#### PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. **(Note: applies to only public housing).**
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: [http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)  
**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

**8.2 Capital Fund Program Five-Year Action Plan**

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**

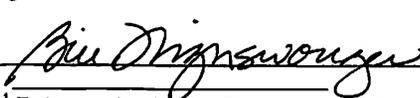
**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).**
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". **(Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)**

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. **(Note: Standard and Troubled PHAs complete annually).**

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.

Part I: Summary						
PHA Name: Housing Authority of Salt Lake City SA Salt Lake City		Grant Type and Number Capital Fund Program Grant No. _____ Date of CFFP: _____			Replacement Housing Factor Grant No: UT06R00450112	
				FFY of Grant: <u>2012</u>		
				FFY of Grant Approval: _____		
Type of Grant						
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	\$0.00	\$0.00	\$0.00	\$0.00	
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	\$0.00	
4	1410 Administration (may not exceed 10% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00	
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00	
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00	
7	1430 Fees and Costs	\$0.00	\$0.00	\$0.00	\$0.00	
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00	
9	1450 Site Improvement	\$0.00	\$0.00	\$0.00	\$0.00	
10	1460 Dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00	
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00	
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00	
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00	
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00	
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00	
16	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00	
17	1499 Development Activities <sup>4</sup>	\$0.00	\$0.00	\$0.00	\$0.00	
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$409,227.00	\$0.00	\$0.00	\$0.00	
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00	
20	Amount of Annual Grant: (sum of lines 2 -- 19)	\$409,227.00	\$0.00	\$0.00	\$0.00	
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00	
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00	\$0.00	\$0.00	
23	Amount of line 20 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00	
24	Amount of line 20 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00	
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00	
Signature of Executive Director		Date		Signature of Public Housing Director		
		10/13/11				
				Date		

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Original Annual Statement Reserve for Disasters/Emergencies \_\_\_\_\_ Revision# \_\_\_\_\_  
 Performance and Evaluation Report for Program Year Ending 09/30/11  
 Final Performance and Evaluation Report

Line No. Summary by Development Account  
 Total Estimated Cost  
 Original Revised (1)  
 Obligated  
 Total Actual Cost (2)  
 Expended

1	Total Non-CGP Funds	0	0	0
2	Operations (May not exceed 10% of line 20)	70,567	68,472	0
3	Management Improvement Administration	73,248	68,472	0
4	1410	83,415	83,415	83,415
5	Audit	0	0	0
6	Liquidated Damages	0	0	0
7	Fees & Costs	12,140	0	0
8	Site Acquisition	83,055	0	0
9	Site Improvement	6,500	1,773	1,773
10	Dwelling Structures	329,862	674,082	621,191
11	Dwelling Structures - Nonexpendable	21,000	2,396	2,396
12	Nondwelling Structures	1,000	4,015	4,015
13	Nondwelling Equipment	93,516	0	0
14	Demolition	0	0	0
15	Replacement Reserve	0	0	0
16	Moving to Work Demonstration	0	0	0
17	Relocation Costs	0	0	0
18	Mod Used for Development	0	0	0
19	Contingency (May not exceed 8% of line 20)	59,850	0	0
20	Amt of Annual Grant (Sum of lines 2 - 19)	834,153	834,153	834,153
21	Amt of line 20 Related to LBP Activities	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0
23	Amt of line 20 Related to Energy Conservatn Measures	0	0	0
24	Amt of line 20 Related to Security	0	0	0
25	Amt of line 20 Related to LBP Activities	0	0	0
26	Amt of line 20 Related to Section 504 Compliance	0	0	0
27	Amt of line 20 Related to Energy Conservatn Measures	0	0	0
28	Amt of line 20 Related to Security	0	0	0

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_  
 Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligtd(2)	Funds Expnded(2)	
HA WIDE	OPERATIONS	1406		70,567	0	0	0	DEFERRED
	TRAINING/MOD STAFF	1408		5,000	224	224	224	COMPLETE
	PREV MAINT IMPR PGM	1408		68,248	68,248	68,248	67,422	Work In Process
	NON-TECH SALRYS	1410		83,415	83,415	83,415	83,415	COMPLETE
	ARCH/ENGR FEES	1430		12,140	0	0	0	DEFERRED
	PROPERTY PURCHASES	1440		83,055	0	0	0	DEFERRED
	PAINTING	1460		71,245	24,000	24,000	9,231	COMPLETE
	MOD IN VACANT UNITS	1460		60,306	38,186	38,186	780	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		17,811	2,625	2,625	2,625	COMPLETE
	MAINTENANCE EQUIPMENT	1475		39,516	0	0	0	COMPLETE
	CONTINGENCY	1502		59,850	0	0	0	COMPLETE
	SUBTOTAL			571,153	216,698	216,698	163,697	
4-02 CITY PLAZA	LANDSCAPING	1450		1,000	0	0	0	Work In Process
	PLUMBING	1460		0	329,742	329,742	329,742	COMPLETE
	PAINTING	1460		0	43,144	43,144	43,144	COMPLETE
	HVAC	1460		10,000	70,423	70,423	69,707	COMPLETE

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Obligtd(2)	Funds Expndd(2)	
	KITCHENS	1460		87,500	0	0	0	DEFERRED
	MOD IN VACANT UNITS	1460		0	16,807	16,807	16,807	Work In Process
	MAINTENANCE EQUIPMENT	1475		50,000	0	0	0	DEFERRED
	SUBTOTAL			148,500	460,116	460,116	459,400	
UT4-06 PHILLIPS PLAZA	PARKING LOTS	1450		1,000	588	588	588	COMPLETE
	CARPENTRY, INTERIOR	1460		0	1,999	1,999	1,999	COMPLETE
	PAINTING	1460		0	3,506	3,506	3,506	COMPLETE
	HVAC	1460		7,000	2,852	2,852	2,852	COMPLETE
	MOD IN VACANT UNITS	1460		0	14,134	14,134	14,134	Work In Process
	DWELLING EQUIPMENT	1465		3,000	0	0	0	Work In Process
	OFFICE EQUIPMENT	1475		2,000	0	0	0	DEFERRED
	SUBTOTAL			13,000	23,079	23,080	23,080	
UT4-07 SCATTERED SITES	LANDSCAPING	1450		1,000	0	0	0	Work In Process
	SIDING	1460		333	0	0	0	DEFERRED
	ROOFING	1460		333	0	0	0	DEFERRED
	CABINETS/MILLWORK	1460		1,000	0	0	0	DEFERRED
	WINDOWS LABOR	1460		334	0	0	0	Work In Process

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	Major Work Categories	General Description of Major Work Categories	Devlp Acct#	Quantity	Original Revised(1)	Obligated(2)	Total Actual Cost		Funds Expended(2)	Stat of Proposed Work(2)
							Total Estimated Cost	Funds Obligated(2)		
UT4-12 1025 (4-8)										
		PARKING LOTS	1450	1,000	0	0	0	0	0	DEFERRED
		SIDING	1460	1,000	0	0	0	0	0	DEFERRED
		HVAC	1460	1,000	0	0	0	0	0	DEFERRED
		KITCHENS	1460	1,000	0	0	0	0	0	DEFERRED
		APPLIANCES	1465	0	2,396	2,396	2,396	2,396	2,396	Work In Process
		SUBTOTAL		4,000	2,396	2,396	2,396	2,396		
UT4-14 PEERY HOUSE										
		PARKING LOTS	1450	1,000	0	0	0	0	0	DEFERRED
		SIDING	1460	1,000	0	0	0	0	0	DEFERRED
		HVAC	1460	1,000	0	0	0	0	0	DEFERRED
		KITCHENS	1460	1,000	0	0	0	0	0	DEFERRED
		SUBTOTAL		4,000	0	0	0	0	0	
UT4-15 ROMNEY PLAZA										
		PARKING LOTS	1450	315	0	0	0	0	0	DEFERRED
		ROOFING	1460	0	63,760	63,760	63,760	63,760	63,760	Work In Process

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluation Rpt or Revised Annual Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Funds Obligtd(2)	Funds Expndd(2)	
	ELECTRICAL INT	1460		40,000	41,500	41,500	41,500	COMPLETE
	HVAC	1460		27,000	9,605	9,605	9,605	COMPLETE
	MOD IN VACANT UNITS	1460		0	11,799	11,799	11,799	Work In Process
	DWELLING EQUIPMENT	1465		18,000	0	0	0	COMPLETE
	SECURITY EQUIPMENT	1470		0	4,015	4,015	4,015	COMPLETE
	OFFICE EQUIPMENT	1475		2,000	0	0	0	Work In Process
	SUBTOTAL			87,315	130,679	130,679	130,679	
UT4-85	PARKING LOTS	1450		1,185	1,185	1,185	1,185	COMPLETE
	SUBTOTAL			1,185	1,185	1,185	1,185	
	GRAND TOTALS			834,153	834,153	834,153	780,436	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	Funds Obligated End of Quarter			Funds Expended End of Quarter			Reasons for Revised Target Dates(2)
	Original	Rvisd(1)	Actl(2)	Original	Rvisd(1)	Actl(2)	
HA WIDE	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-02 CITY PLAZA	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-06 PHILLIPS PLAZA	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-07 SCATTERED SITES	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-12 1025 (4-8)	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-14 PEERY HOUSE	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-15 ROMNEY PLAZA	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	
UT4-85	06/12/10	06/12/10	06/12/10	06/12/12	06/12/12	06/12/12	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

HA Name: Housing Authority of Salt Lake City  
 Comprehensive Grant Number: UT06P0045018  
 FFY of Grant Approval: 2009

Original Annual Statement  Reserve for Disasters/Emergencies  Revised Annual Statement/Revision#  Performance and Evaluation Report for Program Year Ending 09/30/11  Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvement	63,000	58,722	58,722	58,722
4	1410 Administration	51,484	51,484	51,484	9,205
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees & Costs	14,280	30,214	30,214	27,431
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	9,000	7,073	7,073	7,003
10	1460 Dwelling Structures	328,248	351,557	351,556	172,190
11	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	5,000	13,150	13,150	11,831
13	1475 Nondwelling Equipment	2,641	2,641	2,641	2,641
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	41,187	0	0	0
20	Amt of Annual Grant (Sum of lines 2 - 19)	514,840	514,841	514,840	289,024
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Consvrvatn Measures	0	0	0	0

Signature of Executive Director: *Paul Dingswonger* Date: *10/13/11*  
 Signature of Public Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Funds Obligtd(2)	Funds Expndd(2)	
4-00 HA WIDE	TRAINING/MOD STAFF	1408		5,000	722	722	722	COMPLETE
	PREV MAINT IMPR PGM	1408		58,000	58,000	58,000	58,000	COMPLETE
	NON-TECH SALRYS	1410		51,484	51,484	51,484	9,205	Work In Process
	ARCH/ENGR FEES	1430		14,280	30,214	30,214	27,431	Work In Process
	PAINTING	1460		35,000	35,000	35,000	0	Work In Process
	MOD IN VACANT UNITS	1460		52,550	52,375	52,375	221	COMPLETE
	MOD IN VACANT UNIT-LABOR	1460		15,698	0	0	0	Work In Process
	MAINTENANCE EQUIPMENT	1475		2,641	2,641	2,641	2,641	COMPLETE
	CONTINGENCY	1502		41,187	0	0	0	COMPLETE
	SUBTOTAL			275,840	230,436	230,437	98,221	
4-02 CITY PLAZA	PARKING LOTS	1450		1,000	1,120	1,120	1,120	COMPLETE
	HVAC	1460		30,000	44,195	44,195	43,499	Work In Process
	KITCHENS	1460		46,000	48,172	48,172	43,570	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		0	15,133	15,133	15,133	COMPLETE
	SUBTOTAL			77,000	108,620	108,620	103,322	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Funds Obligtd(2)	Funds Expndd(2)	
UT4-03 EAST SIDE PROPERTIES	ROOFING	1460		0	8,625	8,625	8,625	Work In Process
	SUBTOTAL			0	8,625	8,625	8,625	
UT4-06 PHILLIPS PLAZA	PARKING LOTS	1450		1,000	3,783	3,783	3,713	COMPLETE
	WINDOWS	1460		0	11,698	11,698	11,698	COMPLETE
	HVAC	1460		20,000	2,360	2,360	2,360	COMPLETE
	MOD IN VACANT UNIT-LABOR	1460		0	740	740	740	Work In Process
	SUBTOTAL			21,000	18,581	18,581	18,511	
UT4-15 ROMNEY PLAZA	PARKING LOTS	1450		1,000	1,540	1,540	1,540	COMPLETE
	WINDOWS	1460		0	2,152	2,152	2,152	COMPLETE
	SIDING	1460		10,000	0	0	0	DEFERRED
	HVAC	1460		14,000	85,133	85,133	1,818	Work In Process
	CARPET	1470		0	210	210	210	COMPLETE
	SECURITY EQUIPMENT	1470		0	9,775	9,775	9,775	COMPLETE
	SUBTOTAL			25,000	98,810	98,810	15,495	
UT4-17	PARKING LOTS	1450		6,000	630	630	630	COMPLETE

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Funds Obligt(2)	Funds Expndd(2)	
ROOFING		1460		80,000	0	0	0	DEFERRED
ELECTRICAL INT		1460		20,000	3,723	3,723	3,723	COMPLETE
CONCRETE		1460		5,000	42,251	42,251	42,251	Work In Process
CARPET		1470		5,000	3,165	3,165	1,846	Work In Process
SUBTOTAL				116,000	49,769	49,769	44,850	
GRAND TOTALS				514,840	514,841	514,840	289,024	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_  
 Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluation Rpt or Revised Anni Statement. (2) To be completed for Performance & Evaluation Rpt

Development Number/Name	Funds Obligated End of Quarter			Funds Expended End of Quarter			Reasons for Revised Target Dates(2)
	Original	Rvisd(1)	Actl(2)	Original	Rvisd(1)	Actl(2)	
Ut4-00 HA WIDE	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	
Ut4-02 CITY PLAZA	09/15/11	09/15/11	09/15/11	09/15/12	09/15/12	09/15/12	
UT4-03 EAST SIDE PROPERTIES							
Ut4-06 PHILLIPS PLAZA	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	
Ut4-15 ROMNEY PLAZA	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	
Ut4-17	09/15/11	09/15/11	09/15/11	09/15/13	09/15/13	09/15/13	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

20

HA Name: Housing Authority of Salt Lake City  
 Comprehensive Grant Number: UT0040004020  
 FFY of Grant Approval: 2010

Original Annual Statement \_\_\_\_\_ Reserve for Disasters/Emergencies \_\_\_\_\_ Revised Annual Statement/Revision# \_\_\_\_\_  Performance and Evaluation Report for Program Year Ending 09/30/11  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvement	0	0	0	0
4	1410 Administration	78,075	78,075	78,075	61,555
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees & Costs	66,325	138,875	138,875	124,521
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	803,100	730,550	730,550	730,550
11	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	0	0	0	0
13	1475 Nondwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amt of Annual Grant (Sum of lines 2 - 19)	947,500	947,500	947,500	916,625
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0

Signature of Executive Director: *Paul Dingswagner* Date: *10/13/11*  
 Signature of Public Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Funds Obligt(2)	Funds Expnded(2)	
UT4-00 HA WIDE	NON-TECH SALRYS	1410		78,075	78,075	78,075	61,555	Work In Process
	ARCH/ENGR FEES	1430		66,325	138,875	138,875	124,521	Work In Process
	SUBTOTAL			144,400	216,950	216,950	186,075	
UT4-02 CITY PLAZA	PLUMBING	1460		267,700	236,525	236,525	236,525	COMPLETE
	WINDOWS	1460		267,700	267,700	267,700	267,700	COMPLETE
	HVAC	1460		267,700	226,325	226,325	226,325	COMPLETE
	SUBTOTAL			803,100	730,550	730,550	730,550	
	GRAND TOTALS			947,500	947,500	947,500	916,625	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt



IA Name: Housing Authority of Salt Lake City  
 Comprehensive Grant Number: UT06P004501  
 FFY of Grant Approval: 2010

Original Annual Statement \_\_\_\_\_ Reserve for Disasters/Emergencies \_\_\_\_\_ Revised Annual Statement/Revision# \_\_\_\_\_  Performance and Evaluation Report for Program Year Ending 09/30/11  
 Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost (2)	
		Original	Revised (1)	Obligated	Expended
1	Total Non-CGP Funds	0	0	0	0
2	1406 Operations (May not exceed 10% of line 20)	0	0	0	0
3	1408 Management Improvement	82,777	0	1,875	1,875
4	1410 Administration	41,388	0	0	0
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees & Costs	7,292	0	1,520	1,520
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	0	0	0	0
10	1460 Dwelling Structures	272,259	16,000	5,276	5,276
11	1465.1 Dwelling Equipment - Nonexpendable	0	0	0	0
12	1470 Nondwelling Structures	5,168	0	0	0
13	1475 Nondwelling Equipment	5,000	0	850	850
14	1485 Demolition	0	0	0	0
15	1490 Replacement Reserve	0	0	0	0
16	1492 Moving to Work Demonstration	0	0	0	0
17	1495.1 Relocation Costs	0	0	0	0
18	1498 Mod Used for Development	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amt of Annual Grant (Sum of lines 2 - 19)	413,884	16,000	9,522	9,522
21	Amt of line 20 Related to LBP Activities	0	0	0	0
22	Amt of line 20 Related to Section 504 Compliance	0	0	0	0
23	Amt of line 20 Related to Security	0	0	0	0
24	Amt of line 20 Related to Energy Conservatn Measures	0	0	0	0

Signature of Executive Director: *Bill Dinkow* Date: 10/13/11  
 Signature of Public Housing Director: \_\_\_\_\_ Date: \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	General Description of Major Work Categories	Devlp Acct#	Quantity	Total Estimated Cost		Total Actual Cost		Stat of Proposed Wrk(2)
				Original	Revised(1)	Funds Obligtd(2)	Funds Expnded(2)	
UT4-00 HA WIDE	PREV MAINT IMPR PGM	1408		82,777	0	1,875	1,875	Work In Process
	NON-TECH SALRYS	1410		41,388	0	0	0	Work In Process
	ARCH/ENGR FEES	1430		7,292	0	1,520	1,520	Work In Process
	PAINTING	1460		16,973	0	0	0	Work In Process
	MOD IN VACANT UNITS	1460		64,000	0	0	0	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		16,000	10,724	0	0	Work In Process
	MAINTENANCE EQUIPMENT	1475		2,500	0	850	850	Work In Process
	SUBTOTAL			230,930	10,724	4,246	4,246	
UT4-02 CITY PLAZA	EMERGENCY/SECURITY SYSTEM	1460		4,000	0	0	0	Work In Process
	KITCHENS	1460		75,000	0	0	0	Work In Process
	MOD IN VACANT UNIT-LABOR	1460		0	4,011	4,011	4,011	Work In Process
	SUBTOTAL			79,000	4,011	4,011	4,011	
UT4-03 EAST SIDE PROPERTIES	MOD IN VACANT UNIT-LABOR	1460		0	1,265	1,265	1,265	Work In Process
	SUBTOTAL			0	1,265	1,265	1,265	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluatn Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluatn Rpt

Development Number/Name	Major Work Categories	Devid Acct#	Quantity	Total Estimated Cost		Total Actual Cost		State of Proposed Work(2)
				Original	Revised(1)	Obligated(2)	Expended(2)	
DT4-06 PHILLIPS PLAZA	ELECTRICAL INT	1460		58,786	0	0	0	Work In Process
	EMERGENCY/SECURITY SYSTEM	1460		4,000	0	0	0	Work In Process
	NON-DWELLING CONSTRUCTION	1470		3,500	0	0	0	Work In Process
	SUBTOTAL			66,286	0	0	0	
DT4-15 ROMNEY PLAZA	ELECTRICAL INT	1460		20,000	0	0	0	Work In Process
	EMERGENCY/SECURITY SYSTEM	1460		13,500	0	0	0	Work In Process
	SUBTOTAL			33,500	0	0	0	
DT4-85	NON-DWELLING CONSTRUCTION	1470		1,668	0	0	0	Work In Process
	MAINTENANCE EQUIPMENT	1475		2,500	0	0	0	Work In Process
	SUBTOTAL			4,168	0	0	0	
	GRAND TOTALS			413,884	16,000	9,522	9,522	

Signature of Executive Director \_\_\_\_\_ Date \_\_\_\_\_ Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_

(1) To be completed for Performance & Evaluation Rpt or Revised Annl Statement. (2) To be completed for Performance & Evaluation Rpt



**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 2012, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of Salt Lake City

UT004

PHA Name

PHA Number/HA Code

- 5-Year PHA Plan for Fiscal Years 20 12 - 20 16
- Annual PHA Plan for Fiscal Years 20 12 - 20 12

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official David Mansell	Title Board of Commissioner, Chair
Signature 	Date 10-07-2011

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority of Salt Lake City

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Bill Nighswonger

Title

Executive Director

Signature

x *Bill Nighswonger*

Date

10/7/11

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Applicant Name

Housing Authority of Salt Lake City

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

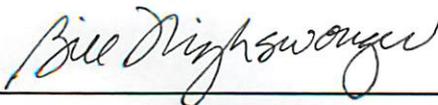
Name of Authorized Official

Bill Nighswonger

Title

Executive Director

Signature



Date (mm/dd/yyyy)

10/07/2011

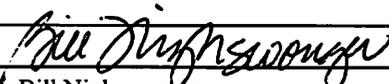
# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year <u>2012</u> quarter _____ date of last report <u>2011</u> _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: <u>2</u>	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  N/A  Congressional District, if known: _____	
<b>6. Federal Department/Agency:</b> Department of Housing and Urban Development	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>  N/A	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>  N/A	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Bill Nighswonger</u> Title: <u>Executive Director</u> Telephone No.: <u>801-428-0600</u> Date: <u>10/7/11</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

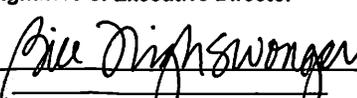
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

## **ATTACHMENT (F)**

### **Resident Advisory Board (RAB) Comments**

**The HASLC's Resident Advisory Board (RAB) meets on an as needed basis to discuss the activities and direction in which the HASLC plans to take. The discussions that take place involve Capital needs and improvements; funding opportunities; new developments; Resident needs; etc.**

**The meeting to review and discuss the draft Agency Plan took place on September 13, 2011. The residents approved the plan as written without comment.**

<b>Part I: Summary</b>					
<b>PHA Name:</b> Housing Authority of Salt Lake City <b>SA Salt Lake City</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No. UT06P00450112 Date of CFFP: _____		Replacement Housing Factor Grant No: UT06R00450111 <b>FFY of Grant:</b> 2012 <b>FFY of Grant Approval:</b> _____	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 2 ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: _____ <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	\$0.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$1,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 20)	\$33,423.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$3,932.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvement	\$3,500.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$291,827.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment-Nonexpendable	\$0.00	\$0.00	\$0.00	\$0.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Cost	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities <sup>4</sup>	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$543.00	\$0.00	\$0.00	\$0.00
20	<b>Amount of Annual Grant: (sum of lines 2 -- 19)</b>	<b>\$334,225.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Activities	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security -- Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security -- Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00
<b>Signature of Executive Director</b> 		<b>Date</b> 10/13/11		<b>Signature of Public Housing Director</b>	
				<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> Salt Lake City		<b>Grant Type and Number</b> Capital Fund Program Grant No. UT06P00450112 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111				<b>Federal FY of Grant:</b> 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
HA-Wide Mgmt. Improvmts	Training for maintenance and modernization staff	1408	Total 1408	\$1,000.00	\$0.00	\$0.00	\$0.00	
		"		\$1,000.00	\$0.00	\$0.00	\$0.00	
HA-Wide Admin	Funding for PHA Staff @ 10% of the annual grant amount in accordance with approved salary allocation plan or Prog. Mgmt. fees	1410		\$33,423.00	\$0.00	\$0.00	\$0.00	
HA-Wide Fees and Costs	A & E services @ 7% of the annual grant amount, based on actual scope of design work	1430		\$3,932.00	\$0.00	\$0.00	\$0.00	
HA-Wide	Nonroutine vacancy prep.& mod.	1460		\$83,616.00	\$0.00	\$0.00	\$0.00	
"	Nonroutine PM repairs	1460		\$58,191.00	\$0.00	\$0.00	\$0.00	
"	Appliances	1465		\$0.00	\$0.00	\$0.00	\$0.00	
"	Vehicle replacement & tools	1475		\$0.00	\$0.00	\$0.00	\$0.00	
"	Demolition (specify location[s])	1485		\$0.00	\$0.00	\$0.00	\$0.00	
"	Relocation expenses	1495.1		\$0.00	\$0.00	\$0.00	\$0.00	

<sup>1</sup>To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup>To be completed for the Performance and Evaluation Report.

**Part II: Supporting Pages**

PHA Name:		Grant Type and Number				Federal FY of Grant:		
Salt Lake City		Capital Fund Program Grant No. UT06P00450112 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111				2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
UT402(402) CITY PLAZA 1992 S. 200 E.	Site: Parking lots	1450		\$1,000.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$1,000.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: HVAC,plumbing, electrical	1460		\$10,000.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$10,000.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: Kitchens 6th yr Installation	1460	25	\$46,000.00	\$0.00	\$0.00	\$0.00	
			25	\$23,844.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$69,844.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
<b>Total, CITY</b>		<b>Project Total:</b>		<b>\$80,844.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

<sup>1</sup>To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup>To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Salt Lake City		Grant Type and Number Capital Fund Program Grant No. UT06P00450112 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111				Federal FY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
UT406(401) PHILLIPS  PLAZA 660 S. 300 E	Site: Parking lots	1450		\$1,000.00	\$0.00 \$0.00	\$0.00	\$0.00	
			Total Site:	\$1,000.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: HVAC,plumbing,electrical	1460		\$7,000.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$7,000.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
<b>Total, PHILLIPS</b>		<b>Project Total:</b>		<b>\$8,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

<sup>1</sup>To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup>To be completed for the Performance and Evaluation Report.

**Part II: Supporting Pages**

PHA Name: <b>Salt Lake City</b>		Grant Type and Number Capital Fund Program Grant No. UT06P00450112 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111			Federal FY of Grant: <b>2012</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
<b>UT415(401) Romney Plaza 475 E. 900 S.</b>	<b>Site:</b> Parking lots	1450		\$1,000.00	\$0.00 \$0.00	\$0.00	\$0.00	
			Total Site:	\$1,000.00	\$0.00	\$0.00	\$0.00	
	<b>Mechanical and Electrical:</b> HVAC,plumbing,electrical	1460		\$7,000.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$7,000.00	\$0.00	\$0.00	\$0.00	
	<b>Building Exterior:</b> None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Units:</b> Low flow toilets and fixtures	1460		\$56,176.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$56,176.00	\$0.00	\$0.00	\$0.00	
	<b>Dwelling Equipment:</b> None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Interior Common Areas:</b> None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	<b>Site-Wide Facilities:</b> None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00	
<b>Nondwelling Equipment:</b> None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
<b>Total, Romney</b>			<b>Project Total:</b>	<b>\$64,176.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

<sup>1</sup>To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup>To be completed for the Performance and Evaluation Report.

**Part II: Supporting Pages**

PHA Name:		Grant Type and Number			Federal FY of Grant:			
Salt Lake City		Capital Fund Program Grant No. UT06P00450112 CFFP (Yes/No): No Replacement Housing Factor Grant No: UT06R00450111			2012			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Main Office Building 76 S. West Temple	Site: Parking lots	1450		\$500.00	\$0.00	\$0.00	\$0.00	
			Total Site:	\$500.00	\$0.00	\$0.00	\$0.00	
	Mechanical and Electrical: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total M&E:	\$0.00	\$0.00	\$0.00	\$0.00	
	Building Exterior: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total B.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Units: None	1460		\$0.00	\$0.00	\$0.00	\$0.00	
			Total DUs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Dwelling Equipment: None	1465.1		\$0.00	\$0.00	\$0.00	\$0.00	
			Total D.E.:	\$0.00	\$0.00	\$0.00	\$0.00	
	Interior Common Areas: None	1470		\$0.00	\$0.00	\$0.00	\$0.00	
			Total ICAs:	\$0.00	\$0.00	\$0.00	\$0.00	
	Site-Wide Facilities: Office remodel	1470		\$0.00	\$0.00	\$0.00	\$0.00	
		Total SWFs:	\$0.00	\$0.00	\$0.00	\$0.00		
Nondwelling Equipment: None	1475		\$0.00	\$0.00	\$0.00	\$0.00		
		Total NDE:	\$0.00	\$0.00	\$0.00	\$0.00		
<b>Total, Office</b>			<b>Project Total:</b>	<b>\$500.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

<sup>1</sup>To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup>To be completed for the Performance and Evaluation Report.

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
<b>PHA Name: Salt Lake City</b>				<b>Federal FY of Grant: 2012</b>	
<b>Development Number Name/PHA-Wide Activities</b>	<b>All Funds Obligated (Quarter Ending Date)</b>		<b>All Funds Expended (Quarter Ending Date)</b>		<b>Reasons for Revised Target Dates<sup>1</sup></b>
	<b>Original Obligation End Date</b>	<b>Actual Obligation End Date</b>	<b>Original Expenditure End Date</b>	<b>Actual Expenditure End Date</b>	
<b>PHA-Wide Activities</b>	September 24,2014		September 24,2016		
<b>City Plaza</b>	September 24,2014		September 24,2016		
<b>hillips Plaza</b>	September 24,2014		September 24,2016		
<b>omney Plaza</b>	September 24,2014		September 24,2016		
<b>Main Office</b>	September 24,2014		September 24,2016		

<sup>1</sup>Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program - Five-Year Action Plan

Part I: Summary						
PHA Name/Number <b>Salt Lake City</b>		Locality (City/County & State) <b>Salt Lake City, Utah</b>			<input checked="" type="checkbox"/> Original 5-Year Plan	<input type="checkbox"/> Revision No: __
A.	Development Number and Name FFY	Work Statement for Year 1 <b>2012</b>	Work Statement for Year 2 FFY <b>2013</b>	Work Statement for Year 3 FFY <b>2014</b>	Work Statement for Year 4 FFY <b>2015</b>	Work Statement for Year 5 FFY <b>2016</b>
	UT402(402) City Plaza	Annual Statement	\$106,563	\$58,000	\$88,000	\$49,000
	UT406(401) Phillips Plaza		\$28,000	\$54,000	\$9,000	\$69,570
	UT415(401)		\$7,000	\$37,000	\$49,000	\$30,000
	Office and Maintenance Building		\$0	\$5,000	\$3,000	\$0
	XX Y-05, Anywhere Homes		\$0	\$0	\$0	\$0
	XX Y-06, Anywhere Homes		\$0	\$0	\$0	\$0
	XX Y-07, Anywhere Homes		\$0	\$0	\$0	\$0
	XX Y-08, Anywhere Homes		\$0	\$0	\$0	\$0
	HA-Wide Physical Activities		\$154,307	\$141,807	\$141,807	\$141,807
B.	Physical Improvements Subtotal		\$295,870	\$295,807	\$290,807	\$290,377
C.	Management Improvements		\$1,000	\$1,000	\$5,493	\$5,000
D.	PHA-Wide Non-dwelling Structures and Equipment		\$0	\$0	\$0	\$0
E.	Administration		\$33,423	\$33,423	\$33,423	\$33,423
F.	Other		\$3,932	\$3,995	\$4,502	\$5,425
G.	Operations		\$0	\$0	\$0	\$0
H.	Demolition		\$0	\$0	\$0	\$0
I.	Development		\$0	\$0	\$0	\$0
J.	Capital Fund Financing -- Debt Service		\$409,227	\$409,227	\$409,227	\$409,227
K.	Total CFP Funds		\$743,452	\$743,452	\$743,452	\$743,452
L.	Total Non-CFP Funds		\$0	\$0	\$0	\$0
M.	Grand Total		\$743,452	\$743,452	\$743,452	\$743,452

Signature for Executive Director *[Signature]* Date 10/13/11  
 Page 1 of \_\_

Signature of Public Housing Director \_\_\_\_\_ Date \_\_\_\_\_  
 facsimile form HUD-50075.2 (4/2008)

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013			Work Statement for Year 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>HA-Wide Physical Improvements</b>			<b>HA-Wide Physical Improvements</b>		
	<b>HA-WIDE Site:</b>			<b>HA-WIDE Site:</b>		
	None		\$0	None		\$0
	Total Site:		\$0	Total Site:		\$0
	<b>ON-DEMAND Mech. and Electrical:</b>			<b>ON-DEMAND Mech. and Electrical:</b>		
	P M major systems		\$58,191	P M major systems		\$58,191
	Total M&E:		\$58,191	Total M&E:		\$58,191
	<b>ON-DEMAND Building Exterior:</b>			<b>ON-DEMAND Building Exterior:</b>		
	None		\$0	None		\$0
	Total B.E.:		\$0	Total B.E.:		\$0
	<b>ON-DEMAND Dwelling Units:</b>			<b>ON-DEMAND Dwelling Units:</b>		
	Non-routine vacancy preparation		\$83,616	Non-routine vacancy preparation		\$83,616
	Total DUs:		\$83,616	Total DUs:		\$83,616
	<b>HA-WIDE Dwelling Equipment:</b>			<b>HA-WIDE Dwelling Equipment:</b>		
None		\$0	None		\$0	
Total D.E.:		\$0	Total D.E.:		\$0	
<b>HA-WIDE Interior Common Areas:</b>			<b>HA-WIDE Interior Common Areas:</b>			
Installation		\$12,500	Paint		\$0	
Total ICAs:		\$12,500	Total ICAs:		\$0	
<b>HA-WIDE Site-Wide Facilities:</b>			<b>HA-WIDE Site-Wide Facilities:</b>			
None		\$0	None		\$0	
Total SWFs:		\$0	Total SWFs:		\$0	
<b>HA-WIDE Nondwelling Equipment:</b>			<b>HA-WIDE Nondwelling Equipment:</b>			
Vehicle and maintenance equipment		\$0	None		\$0	
Total NDE:		\$0	Total NDE:		\$0	
<b>Subtotal of Estimated Cost</b>		<b>\$154,307</b>	<b>Subtotal of Estimated Cost</b>		<b>\$141,807</b>	

Part II: Supporting Pages -- Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>HA-Wide Physical Improvements</b>			<b>HA-Wide Physical Improvements</b>		
	Site:			Site:		
	None		\$0	None		\$0
	Total Site:		\$0	Total Site:		\$0
	<b>Mechanical and Electrical:</b>			<b>Mechanical and Electrical:</b>		
	P M major systems		\$58,191	P M major systems		\$58,191
	Total M&E:		\$58,191	Total M&E:		\$58,191
	<b>Building Exterior:</b>			<b>Building Exterior:</b>		
	None		\$0	None		\$0
	Total B.E.:		\$0	Total B.E.:		\$0
	<b>Dwelling Units:</b>			<b>Dwelling Units:</b>		
	Non-routine vacancy preparation		\$83,616	Non-routine vacancy preparation		\$83,616
	Total DUs:		\$83,616	Total DUs:		\$83,616
	<b>Dwelling Equipment:</b>			<b>Dwelling Equipment:</b>		
	None		\$0	None		\$0
	Total D.E.:		\$0	Total D.E.:		\$0
<b>Interior Common Areas:</b>			<b>Interior Common Areas:</b>			
Paint		\$0	Paint and repair		\$0	
Total ICAs:		\$0	Total ICAs:		\$0	
<b>Site-Wide Facilities:</b>			<b>Site-Wide Facilities:</b>			
None		\$0	None		\$0	
Total SWFs:		\$0	Total SWFs:		\$0	
<b>Nondwelling Equipment:</b>			<b>Nondwelling Equipment:</b>			
Vehicle and maintenance equipment		\$0	Vehicle and maintenance equipment		\$0	
Total NDE:		\$0	Total NDE:		\$0	
<b>Subtotal of Estimated Cost</b>			<b>\$141,807</b>	<b>Subtotal of Estimated Cost</b>		

<b>Part II: Supporting Pages -- Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY <b>2012</b>	Work Statement for Year 2 FFY <b>2013</b>			Work Statement for Year 3 FFY <b>2014</b>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>UT402(402) City Plaza</b>			<b>UT402(402) City Plaza</b>		
	<b>Site:</b> Parking lot		\$1,000	<b>Site:</b> Lighting		\$1,000
	<b>Total Site:</b>		<b>\$1,000</b>	<b>Total Site:</b>		<b>\$1,000</b>
	<b>Mechanical and Electrical:</b> Elevators		\$103,563	<b>Mechanical and Electrical:</b> HVAC		\$5,000
	<b>Total M&amp;E:</b>		<b>\$103,563</b>	<b>Total M&amp;E:</b>		<b>\$5,000</b>
	<b>Building Exterior:</b> Building exterior		\$1,000	<b>Building Exterior:</b> Paint		\$5,000
	<b>Total B.E.:</b>		<b>\$1,000</b>	<b>Total B.E.:</b>		<b>\$5,000</b>
	<b>Dwelling Units:</b> Kitchen		\$1,000	<b>Dwelling Units:</b> Kitchens		\$46,000
	<b>Total DUs:</b>		<b>\$1,000</b>	<b>Total DUs:</b>		<b>\$46,000</b>
	<b>Dwelling Equipment:</b> None		\$0	<b>Dwelling Equipment:</b> None		\$0
	<b>Total D.E.:</b>		<b>\$0</b>	<b>Total D.E.:</b>		<b>\$0</b>
	<b>Interior Common Areas:</b> None		\$0	<b>Interior Common Areas:</b> Lobby carpet		\$1,000
	<b>Total ICAs:</b>		<b>\$0</b>	<b>Total ICAs:</b>		<b>\$1,000</b>
	<b>Site-Wide Facilities:</b> None		\$0	<b>Site-Wide Facilities:</b> None		\$0
	<b>Total SWFs:</b>		<b>\$0</b>	<b>Total SWFs:</b>		<b>\$0</b>
	<b>Nondwelling Equipment:</b> None		\$0	<b>Nondwelling Equipment:</b> None		\$0
<b>Total NDE:</b>		<b>\$0</b>	<b>Total NDE:</b>		<b>\$0</b>	
<b>Subtotal of Estimated Cost</b>			<b>\$106,563</b>	<b>Subtotal of Estimated Cost</b>		
				<b>\$58,000</b>		

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>UT402(402) City Plaza</b>			<b>UT402(402) City Plaza</b>		
	<b>Site:</b>			<b>Site:</b>		
	Parking lot		\$1,000	Landscape		\$1,000
	<b>Total Site:</b>		<b>\$1,000</b>	<b>Total Site:</b>		<b>\$1,000</b>
	<b>Mechanical and Electrical:</b>			<b>Mechanical and Electrical:</b>		
	Fire panel		\$15,000	Generator and transfere switch		\$45,000
	<b>Total M&amp;E:</b>		<b>\$15,000</b>	<b>Total M&amp;E:</b>		<b>\$45,000</b>
	<b>Building Exterior:</b>			<b>Building Exterior:</b>		
	Roof		\$70,000	Building exterior		\$1,000
	<b>Total B.E.:</b>		<b>\$70,000</b>	<b>Total B.E.:</b>		<b>\$1,000</b>
	<b>Dwelling Units:</b>			<b>Dwelling Units:</b>		
	Dwelling units		\$1,000	Dwelling units		\$1,000
	<b>Total DUs:</b>		<b>\$1,000</b>	<b>Total DUs:</b>		<b>\$1,000</b>
	<b>Dwelling Equipment:</b>			<b>Dwelling Equipment:</b>		
	None		\$0	None		\$0
	<b>Total D.E.:</b>		<b>\$0</b>	<b>Total D.E.:</b>		<b>\$0</b>
<b>Interior Common Areas:</b>			<b>Interior Common Areas:</b>			
Common areas		\$1,000	Common areas		\$1,000	
<b>Total ICAs:</b>		<b>\$1,000</b>	<b>Total ICAs:</b>		<b>\$1,000</b>	
<b>Site-Wide Facilities:</b>			<b>Site-Wide Facilities:</b>			
None		\$0	None		\$0	
<b>Total SWFs:</b>		<b>\$0</b>	<b>Total SWFs:</b>		<b>\$0</b>	
<b>Nondwelling Equipment:</b>			<b>Nondwelling Equipment:</b>			
None		\$0	None		\$0	
<b>Total NDE:</b>		<b>\$0</b>	<b>Total NDE:</b>		<b>\$0</b>	
	<b>Subtotal of Estimated Cost</b>		<b>\$88,000</b>	<b>Subtotal of Estimated Cost</b>		<b>\$49,000</b>

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013			Work Statement for Year 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>UT406(401) Phillips Plaza</b>			<b>UT406(401) Phillips Plaza</b>		
	<b>Site:</b>			<b>Site:</b>		
	Parking lots		\$1,000	Parking lots		\$1,000
	Total Site:		\$1,000	Total Site:		\$1,000
	<b>Mechanical and Electrical:</b>			<b>Mechanical and Electrical:</b>		
	Roof top fans		\$5,000	Pumps and motors		\$7,000
	Total M&E:		\$5,000	Total M&E:		\$7,000
	<b>Building Exterior:</b>			<b>Building Exterior:</b>		
	building exteriors		\$1,000	Building exteriors paint railings		\$10,000
	Total B.E.:		\$1,000	Total B.E.:		\$10,000
	<b>Dwelling Units:</b>			<b>Dwelling Units:</b>		
	Low flow toilets & shower heads		\$20,000	Dwelling units		\$1,000
	Total DUs:		\$20,000	Total DUs:		\$1,000
	<b>Dwelling Equipment:</b>			<b>Dwelling Equipment:</b>		
	None		\$0	None		\$0
Total D.E.:		\$0	Total D.E.:		\$0	
<b>Interior Common Areas:</b>			<b>Interior Common Areas:</b>			
common areas		\$1,000	Common areas-paint&carpet		\$35,000	
Total ICAs:		\$1,000	Total ICAs:		\$35,000	
<b>Site-Wide Facilities:</b>			<b>Site-Wide Facilities:</b>			
None		\$0	None		\$0	
Total SWFs:		\$0	Total SWFs:		\$0	
<b>Nondwelling Equipment:</b>			<b>Nondwelling Equipment:</b>			
None		\$0	None		\$0	
Total NDE:		\$0	Total NDE:		\$0	
<b>Subtotal of Estimated Cost</b>			<b>\$28,000</b>	<b>Subtotal of Estimated Cost</b>		
				<b>\$54,000</b>		

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages -- Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>UT406(401) Phillips Plaza</b>			<b>UT406(401) Phillips Plaza</b>		
	Site:			Site:		
	Parking lot		\$1,000	Parking lots		\$1,000
	Total Site:		\$1,000	Total Site:		\$1,000
	<b>Mechanical and Electrical:</b>			<b>Mechanical and Electrical:</b>		
	HVAC		\$5,000	HVAC & electrical		\$1,000
	Total M&E:		\$5,000	Total M&E:		\$1,000
	<b>Building Exterior:</b>			<b>Building Exterior:</b>		
	Building exteriors		\$1,000	Sliding patio doors		\$65,570
	Total B.E.:		\$1,000	Total B.E.:		\$65,570
	<b>Dwelling Units:</b>			<b>Dwelling Units:</b>		
	Dwelling units		\$1,000	Dwelling units		\$1,000
	Total DUs:		\$1,000	Total DUs:		\$1,000
	<b>Dwelling Equipment:</b>			<b>Dwelling Equipment:</b>		
	None		\$0	None		\$0
Total D.E.:		\$0	Total D.E.:		\$0	
<b>Interior Common Areas:</b>			<b>Interior Common Areas:</b>			
Halls and common areas		\$1,000	Windows		\$1,000	
Total ICAs:		\$1,000	Total ICAs:		\$1,000	
<b>Site-Wide Facilities:</b>			<b>Site-Wide Facilities:</b>			
None		\$0	None		\$0	
Total SWFs:		\$0	Total SWFs:		\$0	
<b>Nondwelling Equipment:</b>			<b>Nondwelling Equipment:</b>			
None		\$0	None		\$0	
Total NDE:		\$0	Total NDE:		\$0	
Subtotal of Estimated Cost			\$9,000	Subtotal of Estimated Cost		
				\$69,570		

Part II: Supporting Pages -- Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013			Work Statement for Year 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>UT415(401)</b> <b>Site:</b> Parking lot & landscape		\$1,000	<b>UT415(401)</b> <b>Site:</b> Lighting		\$1,000
	Total Site:		\$1,000	Total Site:		\$1,000
	<b>Mechanical and Electrical:</b> HVAC		\$5,000	<b>Mechanical and Electrical:</b> HVAC & elec.		\$2,000
	Total M&E:		\$5,000	Total M&E:		\$2,000
	<b>Building Exterior:</b> Building exteriors		\$1,000	<b>Building Exterior:</b> Windows		\$3,000
	Total B.E.:		\$1,000	Total B.E.:		\$3,000
	<b>Dwelling Units:</b> None		\$0	<b>Dwelling Units:</b> Dwelling units		\$1,000
	Total DUs:		\$0	Total DUs:		\$1,000
	<b>Dwelling Equipment:</b> None		\$0	<b>Dwelling Equipment:</b> None		\$0
	Total D.E.:		\$0	Total D.E.:		\$0
	<b>Interior Common Areas:</b> Paint halls (2&3)		\$0	<b>Interior Common Areas:</b> Paint & carpet		\$30,000
	Total ICAs:		\$0	Total ICAs:		\$30,000
	<b>Site-Wide Facilities:</b> None		\$0	<b>Site-Wide Facilities:</b> None		\$0
	Total SWFs:		\$0	Total SWFs:		\$0
	<b>Nondwelling Equipment:</b> None		\$0	<b>Nondwelling Equipment:</b> None		\$0
Total NDE:		\$0	Total NDE:		\$0	
<b>Subtotal of Estimated Cost</b>			<b>\$7,000</b>	<b>Subtotal of Estimated Cost</b>		
				<b>\$37,000</b>		

**Part II: Supporting Pages -- Physical Needs Work Statement(s)**

Work Statement for Year 1 FFY 2012	Work Statement for Year 4 FFY 2015			Work Statement for Year 5 FFY 2016			
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
See Annual Statement	<b>UT415(401)</b> Site: Parking lot		\$1,000	<b>UT415(401)</b> Site: Lighting		\$1,000	
	Total Site:		\$1,000	Total Site:		\$1,000	
	<b>Mechanical and Electrical:</b> HVAC & electrical		\$1,000	<b>Mechanical and Electrical:</b> Atrium A/C		\$26,000	
	Total M&E:		\$1,000	Total M&E:		\$26,000	
	<b>Building Exterior:</b> Windows		\$45,000	<b>Building Exterior:</b> Building exterior		\$1,000	
	Total B.E.:		\$45,000	Total B.E.:		\$1,000	
	<b>Dwelling Units:</b> Dwelling units		\$1,000	<b>Dwelling Units:</b> Dwelling units		\$1,000	
	Total DUs:		\$1,000	Total DUs:		\$1,000	
	<b>Dwelling Equipment:</b> None		\$0	<b>Dwelling Equipment:</b> None		\$0	
	Total D.E.:		\$0	Total D.E.:		\$0	
	<b>Interior Common Areas:</b> Halls and common areas		\$1,000	<b>Interior Common Areas:</b> Halls and common areas		\$1,000	
	Total ICAs:		\$1,000	Total ICAs:		\$1,000	
	<b>Site-Wide Facilities:</b> None		\$0	<b>Site-Wide Facilities:</b> None		\$0	
	Total SWFs:		\$0	Total SWFs:		\$0	
	<b>Nondwelling Equipment:</b> None		\$0	<b>Nondwelling Equipment:</b> None		\$0	
Total NDE:		\$0	Total NDE:		\$0		
<b>Subtotal of Estimated Cost</b>			<b>\$49,000</b>	<b>Subtotal of Estimated Cost</b>			<b>\$30,000</b>

Capital Fund Program - Five-Year Action Plan

Part III: Supporting Pages -- Management Needs Work Statement(s)				
Work Statement for Year 1 FFY <b>2012</b>	Work Statement for Year 2 FFY <b>2013</b>		Work Statement for Year 3 FFY <b>2014</b>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Major Work Categories	Estimated Cost
See Annual Statement	Training for mod. and maint. staff	\$1,000	Training for mod. and maint. staff	\$1,000
	Subtotal of Estimated Cost	<b>\$1,000</b>	Subtotal of Estimated Cost	<b>\$1,000</b>

Capital Fund Program - Five-Year Action Plan

<b>Part III: Supporting Pages -- Management Needs Work Statement(s)</b>				
Work Statement for Year 1 FFY <b>2012</b>	Work Statement for Year 4 FFY <b>2015</b>		Work Statement for Year 5 FFY <b>2016</b>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Major Work Categories	Estimated Cost
See Annual Statement	Training for mod. and maint. staff	\$5,493	Training for mod. and maint. staff	\$5,000
	<b>Subtotal of Estimated Cost</b>	<b>\$5,493</b>	<b>Subtotal of Estimated Cost</b>	<b>\$5,000</b>

**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, LuAnn Clark the Director, Housing & Neighborhood Development certify that the Five Year and Annual PHA Plan of the Housing Authority of Salt Lake City is consistent with the Consolidated Plan of Salt Lake City prepared pursuant to 24 CFR Part 91.

  
\_\_\_\_\_

**Signed / Dated by Appropriate State or Local Official**

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

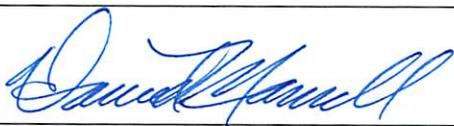
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of Salt Lake City

UT004

\_\_\_\_\_  
 PHA Name

\_\_\_\_\_  
 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official	David Mansell
Title	Board of Commissioner, Chair
Signature	
Date	10-7-2011