

| | | |
|-----------------------------------|---|--|
| PHA 5-Year and Annual Plan | U.S. Department of Housing and Urban Development Office of Public and Indian Housing | OMB No. 2577-0226 Expires 4/30/2011 |
|-----------------------------------|---|--|

| | | | | | |
|------------|--|----------|--------------------------------------|-------------------------------|------------------------------|
| 1.0 | PHA Information PHA Name: <u>Housing Authority of the City of Rotan</u> PHA Code: <u>TX182</u> PHA Type: <input checked="" type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>1/2012</u> | | | | |
| 2.0 | Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>86</u> Number of HCV units: <u>0</u> | | | | |
| 3.0 | Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only | | | | |
| 4.0 | PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.) | | | | |
| | Participating PHAs | PHA Code | Program(s) Included in the Consortia | Programs Not in the Consortia | No. of Units in Each Program |
| | | | | | PH HCV |
| | PHA 1: | | | | |
| | PHA 2: | | | | |
| | PHA 3: | | | | |
| 5.0 | 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update. | | | | |
| 5.1 | Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The mission of the Housing Authority of the City of Rotan is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination. | | | | |
| 5.2 | Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. Rotan Housing Authority: Goals and Objectives <ul style="list-style-type: none"> • Improve the quality of assisted housing, Modernize units to improve marketability and improve customer satisfaction. • Increase customer satisfaction: Solicit tenant's comments and suggestions regarding services provided. • Improve community quality of life and economic vitality • Ensure Equal Opportunity in Housing for all Americans; Our goal is to insure equal housing opportunities and tenant rights <p>The Rotan Housing Authority has remained on target with the objectives set forth in their plan. We strive to provide decent, safe and affordable housing in our community, ensuring equal opportunity for everyone. <i>The Executive Director has ensured the review of all existing policies and procedures to incorporate all necessary requirements and if warranted, will make revisions as needed.</i></p> Rotan Housing Authority Violence Against Women's Act – See VAWA Attachment | | | | |
| 6.0 | PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: None of the Housing Authority of the City of Rotan PHA Annual Plan Elements have been revised since its last Annual Plan submission. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Main business office of the Housing Authority of the City of Rotan is where the public may obtain copies of the 5-Year and Annual PHA Plan. | | | | |
| 7.0 | Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable. The following is Not Applicable for the Housing Authority of the City of Rotan.</i> | | | | |
| 8.0 | Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. | | | | |
| 8.1 | Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing. (See Attachment – 2012 Capital Fund Program Annual Statement) | | | | |
| 8.2 | Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i> , form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. (See Attachment – Capital Fund Program Five Year Action Plan) | | | | |

| | |
|-----|--|
| 8.3 | Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. The following is Not Applicable for the Housing Authority of the City of Rotan |
| 9.0 | Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. |

Housing Problems Output for -All Households

| Name of Jurisdiction: Rotan city, Texas | Source of Data: CHAS Data Book | | | | | Data Current as of: 2000 | | | | | |
|--|--|---------------------------|------------------------------|----------------------------|------------------|--|---------------------------|------------------------------|----------------------------|-----------------|---------------------|
| Household by Type, Income, & Housing Problem | Renters | | | | | Owners | | | | | Total |
| | Elderly 1 & 2 member households | Small Related (2 to 4) | Large Related (5 or more) | All Other Households | Total Renters | Elderly 1 & 2 member households | Small Related (2 to 4) | Large Related (5 or more) | All Other Households | Total Owners | Total Households |
| | (A) | (B) | (C) | (D) | (E) | (F) | (G) | (H) | (I) | (J) | (L) |
| 1. Household Income <=50% MFI | 50 | 41 | 8 | 32 | 131 | 75 | 30 | 8 | 20 | 133 | 264 |
| 2. Household Income <=30% MFI | 28 | 22 | 4 | 18 | 72 | 24 | 12 | 4 | 8 | 48 | 120 |
| 3. % with any housing problems | 50 | 36.4 | 100 | 44.4 | 47.2 | 100 | 66.7 | 0 | 100 | 83.3 | 61.7 |
| 4. % Cost Burden >30% | 50 | 36.4 | 0 | 44.4 | 41.7 | 83.3 | 66.7 | 0 | 100 | 75 | 55 |
| 5. % Cost Burden >50% | 14.3 | 18.2 | 0 | 22.2 | 16.7 | 66.7 | 33.3 | 0 | 50 | 50 | 30 |
| 6. Household Income >30% to <=50% MFI | 22 | 19 | 4 | 14 | 59 | 51 | 18 | 4 | 12 | 85 | 144 |
| 7. % with any housing problems | 36.4 | 21.1 | 0 | 28.6 | 27.1 | 31.4 | 22.2 | 100 | 66.7 | 37.6 | 33.3 |
| 8. % Cost Burden >30% | 36.4 | 21.1 | 0 | 28.6 | 27.1 | 23.5 | 22.2 | 0 | 33.3 | 23.5 | 25 |
| 9. % Cost Burden >50% | 18.2 | 0 | 0 | 0 | 6.8 | 15.7 | 0 | 0 | 0 | 9.4 | 8.3 |
| 10. Household Income >50 to <=80% MFI | 15 | 20 | 8 | 8 | 51 | 61 | 22 | 4 | 8 | 95 | 146 |
| 11. % with any housing problems | 0 | 0 | 50 | 50 | 15.7 | 13.1 | 36.4 | 0 | 0 | 16.8 | 16.4 |
| 12. % Cost Burden >30% | 0 | 0 | 0 | 50 | 7.8 | 6.6 | 18.2 | 0 | 0 | 8.4 | 8.2 |
| 13. % Cost Burden >50% | 0 | 0 | 0 | 0 | 0 | 6.6 | 0 | 0 | 0 | 4.2 | 2.7 |
| 14. Household Income >80% MFI | 8 | 29 | 4 | 8 | 49 | 93 | 133 | 29 | 18 | 273 | 322 |
| 15. % with any housing problems | 0 | 13.8 | 0 | 50 | 16.3 | 4.3 | 6 | 13.8 | 22.2 | 7.3 | 8.7 |
| 16. % Cost Burden >30% | 0 | 0 | 0 | 50 | 8.2 | 4.3 | 0 | 0 | 22.2 | 2.9 | 3.7 |
| 17. % Cost Burden >50% | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18. Total Households | 73 | 90 | 20 | 48 | 231 | 229 | 185 | 41 | 46 | 501 | 732 |
| 19. % with any housing problems | 30.1 | 17.8 | 40 | 41.7 | 28.6 | 22.7 | 15.1 | 19.5 | 43.5 | 21.6 | 23.8 |
| 20. % Cost Burden >30 | 30.1 | 13.3 | 0 | 41.7 | 23.4 | 17.5 | 8.6 | 0 | 34.8 | 14.4 | 17.2 |
| 21. % Cost Burden >50 | 11 | 4.4 | 0 | 8.3 | 6.9 | 12.2 | 2.2 | 0 | 8.7 | 7.2 | 7.1 |

**Rotan Housing Authority
Housing Needs of Families on the Waiting List**

Waiting list type: (select one)

- Section 8 tenant-based assistance
 Public Housing
 Combined Section 8 and Public Housing
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

| | # of families | % of total families | Annual Turnover |
|--|---------------|---------------------|-----------------|
| Waiting list total | 40 | | |
| Extremely low income <=30% AMI | 38 | 95% | |
| Very low income (>30% but <=50% AMI) | 2 | 5% | |
| Low income (>50% but <80% AMI) | 0 | 0% | |
| Families with children | 18 | 45% | |
| Elderly families | 2 | 5% | |
| Families with Disabilities | 6 | 15% | |
| Race/ethnicity: Hispanic | 20 | 50% | |
| Race/ethnicity: Caucasian | 13 | 32% | |
| Race/ethnicity: African-American | 7 | 17% | |
| Race/ethnicity: American Indian | 0 | 0% | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | 16 | 40% | |
| 2 BR | 12 | 30% | |
| 3 BR | 10 | 25% | |
| 4 BR | 1 | 2% | |
| 5 BR | 0 | 0% | |
| 5+ BR | 0 | 0% | |
| Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| If yes: | | | |
| How Long Has It Been Closed (# of Months)? | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |

| | |
|-------------|---|
| <p>9.1</p> | <p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>Housing Authority of the City of Rotan is preparing to use the following strategies for addressing their Housing needs for family in their jurisdiction and on their waiting list for the upcoming year.</p> <ul style="list-style-type: none"> • Employ effective maintenance and management policies to minimize the number of public housing units off-line • Reduce turnover time for vacated public housing units • Reduce time to renovate public housing units |
| <p>10.0</p> | <p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p style="text-align: center;">Rotan Housing Authority - Progress in Meeting Mission and Goals</p> <p>In accordance with the 5-year plan all work items were completed on schedule and due to low construction bids were able to move some work items forward. The PHA has remained on target with the objectives set forth in their plan. The Executive Director has ensured the review of all existing policies and procedures to incorporate all necessary requirements and if warranted, will make revisions as needed.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p style="text-align: center;">Rotan Housing Authority - Significant Amendment and Substantial Deviation/Modification</p> <p><i>a. Substantial Deviation from the 5-Year Plan</i></p> <p>Substantial Deviation from the 5-year Plan: Any change to Mission statement such as: 50% deletion from or addition to the goals and objectives as a whole 50% or more decrease in the quantifiable measurement of any individual goal or objective.</p> <p><i>b. Significant Amendment or Modification to the Annual Plan</i></p> <p>50% variance in the funds projected in the Capital Fund Program Annual Statement. Any Increase or decrease over 50% in the funds projected in the Financial Resource statement and/or the Capital Fund Program annual Statement Any change in a policy or procedure that requires a regulatory 30-day posting Any submission to HUD that requires a separate notification to residents, such as Hope VI, Public Housing Conversion, Demolition/Disposition, designated Housing or Homeownership programs.</p> |
| <p>11.0</p> | <p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>Rotan Housing Authority (RAB) - No comments concerning the Rotan Housing Authority PHA Annual Plan.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p> |

Violence Against Women Act – Rotan Housing Authority TX182

Statement:

Rotan Housing Authority is dedicated to providing this community with quality, affordable housing that is decent, well maintained and free from drugs and violent crime. We endeavor to provide communities that are made up of a diverse range of economic incomes so that the children of these communities have role models that are visible, striving to make economic gains for their families. We are committed to providing our residents with as many opportunities as possible to become economically self-sufficient. We shall do all of these things while serving our residents with the highest degree of professional courtesy, empathy and respect.

Goals:

Rotan Housing Authority may request a tenant to certify that the individual is a victim of domestic violence, dating violence or stalking and that the incidences of threatened or actual abuse are bona fide in determining whether the protections afforded to such individuals under VAWA are applicable.

Rotan Housing Authority responding to an incident or incidents of actual or threatened domestic violence, dating violence or stalking that may affect a tenant's participation in the housing program to request in writing that an individual complete, sign and submit, within 14 business days of the request, a HUD- approved certification form. On the form, the individual certifies that he/she is a victim of domestic violence, dating violence, or stalking, and that the incident or incidences in question are bona fide incidences of such actual or threatened abuse. On the certification form, the individual shall provide the name of the perpetrator.

Rotan Housing Authority is not required to demand that an individual produce official documentation or physical proof of an individual's status as a victim of domestic violence, dating violence, sexual assault, or stalking in order to receive the protections of VAWA. Note that, Rotan Housing Authority at their discretion may provide assistance to an individual based solely upon the individual's statement or other corroborating evidence.

Rotan Housing Authority will notify tenants of their rights with VAWA including the existence of the HUD 50066 making it available at the time of admission and include with eviction/termination notice.

Objectives:

Rotan Housing Authority protects tenants and family members of tenants who are victims of domestic violence, dating violence, or stalking from being evicted or terminated from housing assistance based on acts of such violence against them.

Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|---------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2011 grants) | | |
| a) Public Housing Operating Fund (FY 2011) | \$301,155.00 | |
| b) Public Housing Capital Fund | \$103,779.00 | |
| c) HOPE VI Revitalization | | |
| d) HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | | |
| g) Resident Opportunity and Self-Sufficiency Grants | | |
| h) Community Development Block Grant | | |
| i) HOME | | |
| Other Federal Grants (list below) | | |
| Housing Choice Voucher Program Renewal Funding | | |
| | | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| | | |
| TX21P18250110 | 104,310.00 | |
| | | |
| | | |
| 3. Public Housing Dwelling Rental Income | \$71,500.00 | |
| | | |
| | | |
| 4. Other income (list below) | \$3,100.00 | |
| | | |
| | | |
| 4. Non-federal sources (list below) | | |
| | | |
| | | |
| | | |
| Total resources | \$583,844.00 | |
| | | |
| | | |

Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. Yes No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)
2. Yes No: Was the most recent fiscal audit submitted to HUD?
3. Yes No: Were there any findings as the result of that audit?
4. Yes No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? 4 (Four)
5. Yes No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)? **(See Attached Corrective Action Plan)**

HOUSING AUTHORITY OF THE CITY OF ROTAN
Rotan, Texas

CORRECTIVE ACTION PLAN
December 31, 2010

The following is the corrective action plan for FY 2010 findings:

Financial Statement Finding:

2010-1. Budget Overrun – Low Rent Program

Corrective action plan:

The Authority will concentrate more on operating within the budget. If it seems that the Authority is going to go over on any line item, we will do a budget revision.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

12/31/2011

2010-2. Tenant Accounts Receivable and Security Deposit - Low Rent Program

Corrective action plan:

The Authority has recently adopted a new Rent Collection Policy. We will pursue better collection of rent. We will also record and reconcile all account balances more efficiently.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

09/01/2012

2010-3. Tenant Accounts Receivable and Security Deposit - Rural Rental Housing Loans

Corrective action plan:

The Authority has recently adopted a new Rent Collection Policy. We will pursue better collection of rent. We will also record and reconcile all account balances more efficiently.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

09/01/2012

2010-4. Lack of Segregation of Duties

Corrective action plan:

The Authority has recently hired a secretary. This will help split the responsibilities to lower the possibility of making errors to lower the possibility of making errors.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

09/01/2012

HOUSING AUTHORITY OF THE CITY OF ROTAN
Rotan, Texas

CORRECTIVE ACTION PLAN - (Continued)
December 31, 2010

Financial Statement Finding - (Continued):

2010-5. Under-funded Reserve Account Balance

Corrective action plan:

The Authority will work out a schedule to make adequate payments to the reserve account. We will make sure that they are paid according to requirements set forth by the USDA to maintain the reserve account.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

09/01/2012

2010-6. Tenant Files - Low Rent Program

Corrective action plan:

The Authority will be more conscious of the required documents that go in tenant's files. We will make sure that each file has all of the required documents, and that each and every file is reviewed and recertified on an annual basis.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

12/31/2011

2010-7. Tenant Files - Rural Rental Housing Loans

Corrective action plan:

The Authority will be more conscious of the required documents that go in tenant's files. We will make sure that each file has all of the required documents, and that each and every file is reviewed and recertified on an annual basis. We will periodically check the files for completeness.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

12/31/2011

2010-8. Interfund Receivable / Payable

Corrective action plan:

The Authority will implement a plan for our Rural Rental Housing Loan program to pay our Low Rent program back in a timely manner.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

09/01/2015

HOUSING AUTHORITY OF THE CITY OF ROTAN
Rotan, Texas

CORRECTIVE ACTION PLAN - (Continued)
December 31, 2010

Financial Statement Finding - (Continued):

2010-9. Form 1099

Corrective action plan:

The Authority will start sending out 1099's to each individual or unincorporated business who render's service in excess of \$600 in order to comply with IRS requirements.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

01/01/2012

2010-10. MASS Submission

Corrective action plan:

The Authority will implement and perfect the procedures necessary to provide complete supporting documentation for the MASS submission.

Contact Person:

Janice Qualls, Executive Director

Anticipated Completion Date:

1/01/2012

Federal Award Finding:

2010-11. Budget Overrun - Low Rent Program (same as financial finding #2010-1)

2010-12. Tenant Accounts Receivable and Security Deposit - Low Rent Program
(same as financial finding #2010-2)

2010-13. Lack of Segregation of Duties (same as financial finding #2010-4)

2010-14. Tenant Files - Low Rent Program (same as financial finding #2010-6)

2010-15. Interfund Receivable / Payable (same as financial finding #2010-8)

2010-16. Form 1099 (same as financial finding #2010-9)

2010-17. MASS Submission (same as financial finding #2010-10)

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/3/2012

| Part E: Summary | | | | | |
|--|--|---|---------|--|----------|
| PHA Name: Housing Authority of the City of Rotterdam | | Grant Type and Number Capital Fund Program Grant No. TX21P18250112 | | Replacement Housing Factor Grant No: | |
| Date of CFFP: | | | | FFY of Grant: 2012 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (Revision No:) | | | | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost: | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFF Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21): | \$12,300.00 | \$0.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 5 | 1411 Audit | \$6,500.00 | \$0.00 | \$0.00 | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 7 | 1430 Fees and Costs | \$11,234.00 | \$0.00 | \$0.00 | \$0.00 |
| 8 | 1440 Site Acquisition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9 | 1450 Site Improvement | \$1,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 10 | 1460 Dwelling Structures | \$52,545.00 | \$0.00 | \$0.00 | \$0.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | \$10,200.00 | \$0.00 | \$0.00 | \$0.00 |
| 12 | 1470 Non-dwelling Structures | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 13 | 1475 Non-dwelling Equipment | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities 4 | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | \$103,779.00 | \$0.00 | \$0.00 | \$0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director: <i>Janice Qualls</i> | | Date: <i>2/9/12</i> | | Signature of Public Housing Director: _____ | |
| | | | | Date: _____ | |

1 To be completed for the Performance and Evaluation Report.
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
 3 PHAs with under 250 units in management may use 100% of CFF Grants for operations.
 4 RHF funds shall be included here.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/3/2012

| Part I: Summary | | | | | |
|--|--|---|--------------------------------------|--|----------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No. TX21P18250112 | | Replacement Housing Factor Grant No: | |
| | | Date of CFFP: | | FFY of Grant: 2012 FFY of Grant Approval: | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (Revision No:) | | | | | |
| <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$12,300.00 | \$0.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 5 | 1411 Audit | \$6,500.00 | \$0.00 | \$0.00 | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 7 | 1430 Fees and Costs | \$11,234.00 | \$0.00 | \$0.00 | \$0.00 |
| 8 | 1440 Site Acquisition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9 | 1450 Site Improvement | \$1,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 10 | 1460 Dwelling Structures | \$52,545.00 | \$0.00 | \$0.00 | \$0.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | \$10,200.00 | \$0.00 | \$0.00 | \$0.00 |
| 12 | 1470 Non-dwelling Structures | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 13 | 1475 Non-dwelling Equipment | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 – 19) | \$103,779.00 | \$0.00 | \$0.00 | \$0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director | | Date: | Signature of Public Housing Director | Date: | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/3/2012

| Part II: Supporting Pages | | | | | | | | | |
|--|--|---|----------|----------------------|----------------------|------------------------------|-----------------------------|----------------|----------------------------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No. TX21P18250112 Replacement Housing Factor Grant No. | | | | CFFP (Yes/No) : | | | Federal FFY of Grant: 2012 |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | | |
| TX182-HA | | | | | | | | | |
| TX182-HA-1 | Operations | 1406 | | \$12,300.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1406 | | \$12,300.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-2 | Management Improvements | 1408 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1408 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-3 | Administration/Clerical | 1410 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1410 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-4 | Audit | 1411 | | \$6,500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1411 | | \$6,500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-5 | Hire consultant to assist with CFP Budgets | 1430 | | \$2,500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-6 | Hire an architect to develop plans and specs | 1430 | | \$7,734.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-7 | Inspector to oversee work in progress | 1430 | | \$500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-8 | Provide funds for reproduction | 1430 | | \$500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1430 | | \$11,234.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | TX182 HA TOTAL | | | \$40,034.00 | \$0.00 | \$0.00 | \$0.00 | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement

² To be completed for the Performance and Evaluation Report.

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/3/2011

| Part I: Summary | | | | | | |
|--|--|---|--|--|--|---|
| PHA Name/Number: Rotan Housing Authority/TX182 | | | Locality (Rotan City/Fisher County, Texas) | | <input checked="" type="checkbox"/> Original 5-Year Plan | <input type="checkbox"/> Revision No: |
| A. | Development Number and Name | Work Statement For Year 1 FFY: <u>2012</u> | Work Statement of Year 2 FFY: <u>2013</u> | Work Statement of Year 3 FFY: <u>2014</u> | Work Statement for Year 4 FFY: <u>2015</u> | Work Statement for Year 5 FFY: <u>2016</u> |
| B. | Physical Improvements Subtotal | Annual Statement | \$53,545.00 | \$56,045.00 | \$58,545.00 | \$58,545.00 |
| C. | Management Improvements | | \$5,000.00 | \$5,000.00 | \$5,000.00 | \$5,000.00 |
| D. | PHA-Wide Non-Dwelling Structures and Equipment | | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| E. | Administration | | \$5,000.00 | \$5,000.00 | \$0.00 | \$0.00 |
| F. | Other | | \$27,934.00 | \$25,434.00 | \$27,934.00 | \$27,934.00 |
| G. | Operations | | \$12,300.00 | \$12,300.00 | \$12,300.00 | \$12,300.00 |
| H. | Demolition | | | | | |
| I. | Development | | | | | |
| J. | Capital Fund Financing - Debt Service | | | | | |
| K. | Total CFP Funds | | | | | |
| L. | Total Non-CFP Funds | | | | | |
| M. | Grand Total | | \$103,779.00 | \$103,779.00 | \$103,779.00 | \$103,779.00 |

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/3/2011

| Part I: Summary | | | | | |
|--|--|--|---------|---|----------|
| PHA Name: Housing Authority of the City of Estan | | Grant Type and Number Capital Fund Program Grant No. TX21P18250111 Replacement Housing Factor Grant No: | | FFY of Grant: 2011 FFY of Grant Approval: | |
| Type of Grant | | Date of CFFP: | | | |
| <input type="checkbox"/> Original Annual Statement | | <input type="checkbox"/> Reserve for Disasters/Emergencies | | <input type="checkbox"/> Revised Annual Statement (Revision No:) | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: June 31, 2011 | | | | <input type="checkbox"/> Final Performance and Evaluation Report | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ¹ | \$20,755.00 | \$0.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 5 | 1411 Audit | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 7 | 1430 Fees and Costs | \$13,500.00 | \$0.00 | \$0.00 | \$0.00 |
| 8 | 1440 Site Acquisition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9 | 1450 Site Improvement | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 10 | 1460 Dwelling Structures | \$44,324.00 | \$0.00 | \$0.00 | \$0.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | \$10,200.00 | \$0.00 | \$0.00 | \$0.00 |
| 12 | 1470 Non-dwelling Structures | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 13 | 1475 Non-dwelling Equipment | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 – 19) | \$103,779.00 | \$0.00 | \$0.00 | \$0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director | | Date: | | Signature of Public Housing Director | |
| <i>Janice Qualls</i> | | 2/9/12 | | | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/3/2011

| Part I: Summary | | | | | |
|---|--|---|--------------------------------------|--|----------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No. TX21P18250111 | | Replacement Housing Factor Grant No: | |
| | | Date of CFFP: | | FFY of Grant: 2011 FFY of Grant Approval: | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (Revision No:) | | | | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: June 31, 2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Costs | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total Non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | \$20,755.00 | \$0.00 | \$0.00 | \$0.00 |
| 3 | 1408 Management Improvements | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 5 | 1411 Audit | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 6 | 1415 Liquidated Damages | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 7 | 1430 Fees and Costs | \$13,500.00 | \$0.00 | \$0.00 | \$0.00 |
| 8 | 1440 Site Acquisition | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 9 | 1450 Site Improvement | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 |
| 10 | 1460 Dwelling Structures | \$44,324.00 | \$0.00 | \$0.00 | \$0.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | \$10,200.00 | \$0.00 | \$0.00 | \$0.00 |
| 12 | 1470 Non-dwelling Structures | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 13 | 1475 Non-dwelling Equipment | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 – 19) | \$103,779.00 | \$0.00 | \$0.00 | \$0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director | | Date: | Signature of Public Housing Director | Date: | |

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages

| PIHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No. TX21P18250111 Replacement Housing Factor Grant No. | | | CFPP (Yes/No) : | | Federal FFY of Grant: 2011 | |
|---|--|---|----------|----------------------|----------------------|------------------------------|-----------------------------|----------------|
| Development Number Name/PIHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| TX182-001 | | | | | | | | |
| TX182-001-1 | Site Improvement – Landscaping at all sites | 1450 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | |
| | SUBTOTAL | 1450 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | |
| TX182-001-2 | Dwelling Structures – Remodel Kitchen & bathrooms | 1460 | | \$11,111.00 | \$0.00 | \$0.00 | \$0.00 | |
| TX182-001-3 | Whole unit rehab (electrical, plumbing, painting, doors, cabinets, bathroom fixtures, air conditioning and etc.) hot water heaters, furnaces | 1460 | | \$13,213.00 | \$0.00 | \$0.00 | \$0.00 | |
| TX182-001-4 | Replacement of HVAC units with new | 1460 | | \$20,000.00 | \$0.00 | \$0.00 | \$0.00 | |
| | SUBTOTAL | 1460 | | \$44,324.00 | \$0.00 | \$0.00 | \$0.00 | |
| TX182-001-5 | Dwelling Equipment – Purchase ranges and refrigerators | 1465 | | \$10,200.00 | \$0.00 | \$0.00 | \$0.00 | |
| | SUBTOTAL | 1465 | | \$10,200.00 | \$0.00 | \$0.00 | \$0.00 | |
| | TX182-001 TOTAL | | | \$59,524.00 | \$0.00 | \$0.00 | \$0.00 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement
² To be completed for the Performance and Evaluation Report.

Annual Statement / Performance and Evaluation Report
 Capital Fund Program and Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/3/2011

| Part II: Supporting Pages | | | | | | | | | |
|--|--|-------------------------|---|----------------------|----------------------|------------------------------|-----------------------------|----------------|----------------------------|
| PIHA Name: Housing Authority of the City of Rotan | | | Grant Type and Number Capital Fund Program Grant No. TX21P18250111 Replacement Housing Factor Grant No. | | | CFPP (Yes/No) : | | | Federal FFY of Grant: 2011 |
| Development Number Name/PIHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | | |
| TX182-HA | | | | | | | | | |
| TX182-HA-1 | Operations | 1406 | | \$20,755.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1406 | | \$20,755.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-2 | Management Improvements | 1408 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1408 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-3 | Administration/Clerical | 1410 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1410 | | \$5,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-4 | Hire consultant to assist with annual plan | 1430 | | \$2,500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-5 | Hire an architect to develop plans and specs | 1430 | | \$10,000.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-6 | Inspector to oversee work in progress | 1430 | | \$500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| TX182-HA-7 | Provide funds for reproduction | 1430 | | \$500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | SUBTOTAL | 1430 | | \$13,500.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | TX182-HA TOTAL | | | \$36,755.00 | \$0.00 | \$0.00 | \$0.00 | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement
² To be completed for the Performance and Evaluation Report.

| Part I: Summary | | | | | |
|---|--|--|----------------------|--|----------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No: TX21P18250110 Date of CFFP: _____ | | Replacement Housing Factor Grant No: _____ FY of Grant: 2010 FY of Grant Approval: _____ | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: July 31, 2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 12,472.00 | | 12,472.00 | 0.00 |
| 3 | 1408 Management Improvements | 6,000.00 | | 0.00 | 0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 2,472.00 | | 0.00 | 0.00 |
| 5 | 1411 Audit | 7,300.00 | | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 17,000.00 | | 0.00 | 0.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 2,257.00 | | 0.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 20,000.00 | | 0.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | 23,809.00 | | 0.00 | 0.00 |
| 12 | 1470 Non-dwelling Structures | 15,000.00 | | 0.00 | 0.00 |
| 13 | 1475 Non-dwelling Equipment | 18,000.00 | | 7,528.00 | 0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | \$124,310.00 | \$0.00 | \$20,000.00 | \$0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director <i>James G. Walls</i> | | Date 2/9/12 | | Signature of Public Housing Director Date | |

¹To be completed for the Performance and Evaluation Report.
²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴RHF funds shall be included here.

| Part I: Summary | | | | | |
|---|--|--|--------------------------------------|--|----------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No: TX21P18250110 Date of CFFP: _____ | | Replacement Housing Factor Grant No: _____ | |
| | | | | FFY of Grant: 2010 FFY of Grant Approval: _____ | |
| Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergences <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: July 31, 2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 12,472.00 | | 12,472.00 | 0.00 |
| 3 | 1408 Management Improvements | 6,000.00 | | 0.00 | 0.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 2,472.00 | | 0.00 | 0.00 |
| 5 | 1411 Audit | 7,300.00 | | 0.00 | 0.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 17,000.00 | | 0.00 | 0.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 2,257.00 | | 0.00 | 0.00 |
| 10 | 1460 Dwelling Structures | 20,000.00 | | 0.00 | 0.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | 23,809.00 | | 0.00 | 0.00 |
| 12 | 1470 Non-dwelling Structures | 15,000.00 | | 0.00 | 0.00 |
| 13 | 1475 Non-dwelling Equipment | 18,000.00 | | 7,528.00 | 0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | \$124,310.00 | \$0.00 | \$20,000.00 | \$0.00 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director | | Date | Signature of Public Housing Director | | Date |

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴RHF funds shall be included here.

| Part II: Supporting Pages | | | | | | | | |
|--|---|---|----------|----------------------|----------------------|------------------------------|-----------------------------|----------------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No: TX21P18250110 Replacement Housing Factor Grant No: | | | CFFP (Yes/No): | | Federal FFY of Grant: 2010 | |
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | |
| TX182-001 | | | | | | | | |
| 182-001-1 | Operations | 1406 | | 12,472.00 | | 12,472.00 | 0.00 | |
| | SUBTOTAL | 1406 | | \$12,472.00 | | \$12,472.00 | \$0.00 | |
| 182-001-2 | Management Improvements | 1408 | | 6,000.00 | | 0.00 | 0.00 | |
| | SUBTOTAL | 1408 | | \$6,000.00 | | \$0.00 | \$0.00 | |
| 182-001-3 | Administration/Clerical | 1410 | | 2,472.00 | | 0.00 | 0.00 | |
| | SUBTOTAL | 1410 | | \$2,472.00 | | \$0.00 | \$0.00 | |
| 182-001-4 | Audit | 1411 | | 7,300.00 | | 0.00 | 0.00 | |
| | SUBTOTAL | 1411 | | \$7,300.00 | | \$0.00 | \$0.00 | |
| 182-001-5 | Energy Audit/Inspection | 1430 | | 6,000.00 | | 0.00 | 0.00 | |
| 182-001-6 | Hire consultant to assist with annual plan | 1430 | | 2,500.00 | | 0.00 | 0.00 | |
| 182-001-7 | Hire an architect to develop plans and specs | 1430 | | 7,500.00 | | 0.00 | 0.00 | |
| 182-001-8 | Inspector to oversee work in progress | 1430 | | 500.00 | | 0.00 | 0.00 | |
| 182-001-9 | Provide funds for reproduction | 1430 | | 500.00 | | 0.00 | 0.00 | |
| | SUBTOTAL | 1430 | | \$17,000.00 | | \$0.00 | \$0.00 | |
| 182-001-10 | Site Improvements – Landscaping | 1450 | | 2,257.00 | | 0.00 | 0.00 | |
| 182-001-11 | Site Improvement – Sprinkler System Repairs | 1450 | | 3,000.00 | | 0.00 | 0.00 | |
| | SUBTOTAL | 1450 | | \$2,257.00 | | \$0.00 | \$0.00 | |
| 182-001-12 | Dwelling Structures – Remodel kitchens & bathroom, make readies at all sites, roofing, water heaters, window screens, storm doors, vent-a-hoods | 1460 | | 20,000.00 | | 0.00 | 0.00 | |
| | SUBTOTAL | 1460 | | \$20,000.00 | | \$0.00 | \$0.00 | |

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²To be completed for the Performance and Evaluation Report.

| Part E: Summary | | | | | |
|---|--|--|--------------------------------------|--|-------------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No: TX21P18250109 Date of CFFP: _____ | | Replacement Housing Factor Grant No: _____ | |
| | | | | FFY of Grant: 2009 FFY of Grant Approval: _____ | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: June 31, 2011 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFF Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 12,472.00 | | 12,472.00 | 12,472.00 |
| 3 | 1408 Management Improvements | 5,000.00 | | 5,000.00 | 5,000.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 12,472.00 | | 12,472.00 | 12,472.00 |
| 5 | 1411 Audit | 6,000.00 | | 6,000.00 | 6,000.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,234.00 | | 10,234.00 | 10,234.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 2,257.00 | | 2,257.00 | 2,257.00 |
| 10 | 1460 Dwelling Structures | 48,294.00 | | 48,294.00 | 48,294.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | 18,000.00 | | 18,000.00 | 6,633.59 |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | 10,000.00 | | 10,000.00 | 0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | 5124,729.00 | | 5124,729.00 | 5103,362.59 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director <i>Janice Qualls</i> | | Date: 2/9/12 | Signature of Public Housing Director | | Date |

¹To be completed for the Performance and Evaluation Report.
²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³PHAs with under 250 units in management may use 100% of CFF Grants for operations.
⁴RHF funds shall be included here.

| Part I: Summary | | | | | |
|--|--|--|----------------------|---|--------------|
| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No: TX21P18250109 Date of CFFP: _____ | | Replacement Housing Factor Grant No: _____ | |
| | | | | FFY of Grant: <u>2009</u> FFY of Grant Approval: _____ | |
| Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: _____) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <u>June 31, 2011</u> <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line | Summary by Development Account | Total Estimated Cost | | Total Actual Cost ¹ | |
| | | Original | Revised ² | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations (may not exceed 20% of line 21) ³ | 12,472.00 | | 12,472.00 | 12,472.00 |
| 3 | 1408 Management Improvements | 5,000.00 | | 5,000.00 | 5,000.00 |
| 4 | 1410 Administration (may not exceed 10% of line 21) | 12,472.00 | | 12,472.00 | 12,472.00 |
| 5 | 1411 Audit | 6,000.00 | | 6,000.00 | 6,000.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,234.00 | | 10,234.00 | 10,234.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 2,257.00 | | 2,257.00 | 2,257.00 |
| 10 | 1460 Dwelling Structures | 48,294.00 | | 48,294.00 | 48,294.00 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | 18,000.00 | | 18,000.00 | 6,633.59 |
| 12 | 1470 Non-dwelling Structures | | | | |
| 13 | 1475 Non-dwelling Equipment | 10,000.00 | | 10,000.00 | 0.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1492 Moving to Work Demonstration | | | | |
| 16 | 1495.1 Relocation Costs | | | | |
| 17 | 1499 Development Activities ⁴ | | | | |
| 18a | 1501 Collateralization or Debt Service paid by the PHA | | | | |
| 18ba | 9000 Collateralization or Debt Service paid Via System of Direct Payment | | | | |
| 19 | 1502 Contingency (may not exceed 8% of line 20) | | | | |
| 20 | Amount of Annual Grant: (sum of lines 2 - 19) | \$124,729.00 | | \$124,729.00 | \$103,362.59 |
| 21 | Amount of line 20 Related to LBP Activities | | | | |
| 22 | Amount of line 20 Related to Section 504 Activities | | | | |
| 23 | Amount of line 20 Related to Security - Soft Costs | | | | |
| 24 | Amount of line 20 Related to Security - Hard Costs | | | | |
| 25 | Amount of line 20 Related to Energy Conservation Measures | | | | |
| Signature of Executive Director _____ | | | Date _____ | Signature of Public Housing Director _____ | |
| | | | | Date _____ | |

¹To be completed for the Performance and Evaluation Report.
²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴RHF funds shall be included here.

Part II: Supporting Pages

| PHA Name: Housing Authority of the City of Rotan | | Grant Type and Number Capital Fund Program Grant No: TX21P18250109 Replacement Housing Factor Grant No: | | | | CFPP (Yes/No): | | Federal FFY of Grant: 2009 | |
|--|---|---|----------|----------------------|----------------------|------------------------------|-----------------------------|----------------------------|--|
| Development Number Name/PHA-Wide Activities | General Description of Major Work Categories | Development Account No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work | |
| | | | | Original | Revised ¹ | Funds Obligated ² | Funds Expended ² | | |
| TX182-001 | | | | | | | | | |
| 182-001-1 | Operations | 1406 | | 12,472.00 | | 12,472.00 | 12,472.00 | | |
| | SUBTOTAL | 1406 | | \$12,472.00 | | \$12,472.00 | \$12,472.00 | | |
| 182-001-2 | Management Improvements | 1408 | | 5,000.00 | | 5,000.00 | 5,000.00 | | |
| | SUBTOTAL | 1408 | | \$5,000.00 | | \$5,000.00 | \$5,000.00 | | |
| 182-001-3 | Administration/Clerical | 1410 | | 12,472.00 | | 12,472.00 | 12,472.00 | | |
| | SUBTOTAL | 1410 | | \$12,472.00 | | \$12,472.00 | \$12,472.00 | | |
| 182-001-4 | Audit | 1411 | | 6,000.00 | | 6,000.00 | 6,000.00 | | |
| | SUBTOTAL | 1411 | | \$6,000.00 | | \$6,000.00 | \$6,000.00 | | |
| 182-001-5 | Energy Audit/Inspection | 1430 | | 6,000.00 | | 6,000.00 | 6,000.00 | | |
| 182-001-6 | Hire consultant to assist with annual plan | 1430 | | 2,500.00 | | 2,500.00 | 2,500.00 | | |
| 182-001-7 | Hire an architect to develop plans and specs | 1430 | | 7,734.00 | | 7,734.00 | 7,734.00 | | |
| 182-001-8 | Inspector to oversee work in progress | 1430 | | 500.00 | | 500.00 | 500.00 | | |
| 182-001-9 | Provide funds for reproduction | 1430 | | 500.00 | | 500.00 | 500.00 | | |
| | SUBTOTAL | 1430 | | \$10,234.00 | | \$10,234.00 | \$10,234.00 | | |
| 182-001-10 | Site Improvements – Landscaping | 1450 | | 2,257.00 | | 2,257.00 | 2,257.00 | | |
| 182-001-11 | Site Improvement – Sprinkler System Repairs | 1450 | | 0.00 | | 0.00 | 0.00 | | |
| | SUBTOTAL | 1450 | | \$2,257.00 | | \$2,257.00 | \$2,257.00 | | |
| 182-001-12 | Dwelling Structures – Remodel kitchens & bathroom, make readies at all sites, roofing, water heaters, window screens, storm doors, vent-a-hoods | 1460 | | 48,294.00 | | 48,294.00 | 48,294.00 | | |
| | SUBTOTAL | 1460 | | \$48,294.00 | | \$48,294.00 | \$48,294.00 | | |

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²To be completed for the Performance and Evaluation Report.

