

<b>PHA 5-Year and Annual Plan 2012</b> <b>Tn038v01</b>	<b>U.S. Department of Housing and Urban Development</b> <b>Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226</b> <b>Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: Morristown Housing Authority PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 01/2012 PHA Code: TN038				
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 672 Number of HCV units: 424				
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
<b>4.0</b>	<b>PHA Consortia</b> NA <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	<b>Participating PHAs</b>	<b>PHA Code</b>	<b>Program(s) Included in the Consortia</b>	<b>Programs Not in the Consortia</b>	<b>No. of Units in Each Program</b>
					<b>PH</b> <b>HCV</b>
	PHA 1:				
	PHA 2:				
	PHA 3:				
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.				
<b>5.1</b>	<p><b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:</p> <p>The Morristown Housing Authority's goal is to provide drug free, decent, safe and sanitary housing for eligible families and to provide opportunities and promote self-sufficiency and economic independence for residents.</p> <p>In order to achieve this mission, we will:</p> <ul style="list-style-type: none"> <li>• Recognize residents as our ultimate customer;</li> <li>• Improve Public Housing Authority (PHA) management and service delivery efforts through effective and efficient management of PHA staff;</li> <li>• Seek problem-solving partnerships with residents, community and government leadership;</li> <li>• Apply PHA resources, to the effective and efficient management and operation of public housing programs, taking into account changes in Federal funding.</li> </ul>				
<b>5.2</b>	<p><b>Goals and Objectives.</b> Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.</p> <p><b>FY 2012-2016 GOALS AND OBJECTIVES</b></p> <p><b>HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.</b></p> <p><input checked="" type="checkbox"/> PHA Goal: Expand the supply of assisted housing Objectives:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Apply for additional rental vouchers:</li> <li><input checked="" type="checkbox"/> Reduce public housing vacancies:</li> <li><input type="checkbox"/> Leverage private or other public funds to create additional housing opportunities:</li> <li><input type="checkbox"/> Acquire or build units or developments</li> <li><input type="checkbox"/> Other (list below)</li> </ul> <p><input checked="" type="checkbox"/> PHA Goal: Improve the quality of assisted housing Objectives:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Improve public housing management: (PHAS score)</li> <li><input type="checkbox"/> Improve voucher management: (SEMAP score)</li> <li><input type="checkbox"/> Increase customer satisfaction:</li> <li><input type="checkbox"/> Concentrate on efforts to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)</li> <li><input checked="" type="checkbox"/> Renovate or modernize public housing units:</li> <li><input checked="" type="checkbox"/> Demolish or dispose of obsolete public housing:</li> <li><input type="checkbox"/> Provide replacement public housing:</li> <li><input type="checkbox"/> Provide replacement vouchers:</li> <li><input type="checkbox"/> Other: (list below)</li> </ul>				

- PHA Goal: Increase assisted housing choices  
Objectives:
  - Provide voucher mobility counseling:
  - Conduct outreach efforts to potential voucher landlords
  - Increase voucher payment standards
  - Implement voucher homeownership program:
  - Implement public housing or other homeownership programs:
  - Implement public housing site-based waiting lists:
  - Convert public housing to vouchers:
  - Other: (list below)

**HUD Strategic Goal: Improve community quality of life and economic vitality**

- PHA Goal: Provide an improved living environment  
Objectives:
  - Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
  - Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
  - Implement public housing security improvements:
  - Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
  - Other: (list below)

**HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals**

- PHA Goal: Promote self-sufficiency and asset development of assisted households  
Objectives:
  - Increase the number and percentage of employed persons in assisted families:
  - Provide or attract supportive services to improve assistance recipients' employability:
  - Provide or attract supportive services to increase independence for the elderly or families with disabilities.
  - Other: (list below)

**HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans**

- PHA Goal: Ensure equal opportunity and affirmatively further fair housing  
Objectives:
  - Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
  - Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
  - Other: (list below)

**Other PHA Goals and Objectives: (list below)**

PHA Goal: Promote energy efficiency practices and products when performing rehabilitation, repair and replacement in public housing developments.

Objective: Incorporate, when applicable, Energy Star Program qualified products and practices.

**VAWA Goals and Objectives:**

PHA Goal: VAWA activities, services or programs provided or offered by an agency, either directly or in partnership with other service providers, to child and adult victims of domestic violence, dating violence, sexual assault or stalking.

Objective: In elderly care abusive situations, the MHA will call the Adult Protective Services through the Department of Human Services. In women or men abusive or domestic violence situations, the MHA will have the resident contact the Housing Authority's Liaison Officer or the local police department to file a report or to get an order of protection, if needed. The MHA then refers the tenants to CEASE, an agency offering support for victims of domestic violence and sexual assault. CEASE provides shelter, a crisis hot line, counseling, information and referral, legal and systems advocacy and support groups. CEASE refers their clients to the MHA for housing.

PHA Goal: VAWA activities, services or programs provided or offered by a public housing agency that helps child and adult victims of domestic violence, dating violence, sexual assault or stalking to obtain or maintain housing.

Objective: The MHA makes diligent efforts to counsel tenants relative to their problems and refers them to the appropriate agencies listed above to obtain any necessary counseling. Additionally, the Authority's VAWA Policy permits victims of violence to maintain their current housing while evicting a household member who has engaged in the criminal act of physical violence.

PHA Goal: VAWA activities, services or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault and stalking or to enhance victim safety in assisted families.

Objective: The MHA's policies are set to promote stability and a secure safe environment for their families. The definition of family is: Two or more persons related by blood, marriage, legal adoption or affinity who live together in a stable family relationship. A family with or without children who live regularly together in a stable family relationship in the same dwelling unit. Such a family is defined as a group of people related by blood, marriage or operation of law. This allows the MHA to control who legally stays in the apartments. Boyfriends or girlfriends are not allowed to move in and out of apartments, which could lead to violence or domestic violence situations. Domestic violence victims often follow a pattern of allowing the same violent person to come and go in the household. When the MHA becomes aware of a violent situation or a potential violent situation, the MHA enforces their Trespass Policy. This allows the MHA to ban anyone from the Morristown Housing Authority property who have made threats of violence or have committed violent crimes. The MHA conducts police checks on all adult applicants which includes any adults applying to be added to a tenant household. They are not added to the lease until their application has been processed and police reports checked.

**STATEMENT OF PROGRESS IN MEETING GOALS AND OBJECTIVES**

Objective – Reduce public housing vacancies: The Morristown Housing Authority (MHA) is currently working on reducing the turnover time for vacated public housing units to lower the vacancy rate of the units.

Objective – Renovate or modernize public housing units: The MHA has continually upgraded its public housing units through the Comprehensive Grant Program as well as the Capital Funds Program. All modernization activities are addressed in accordance with need as well as residents' requests in all developments.

Objective – Demolish or dispose of obsolete public housing units: The MHA has prepared and submitted an application to HUD's SAC for the demolition of 26 units of public housing in Development TN038-007. The MHA is currently waiting on HUD approval of the application.

Objective – Implement public housing security improvements: The MHA currently has an ongoing agreement with the Morristown Police Department for carrying out crime prevention measures and activities. The measures and activities provided by the police to assist the MHA in safety and crime prevention include: providing crime data to MHA staff for analysis and action; regularly testifying in eviction cases; regularly meeting with MHA management and residents and establishing an actual physical presence on MHA property. Additionally, the MHA has a "zero tolerance" and "trespass" policy and performs strict applicant screening.

Objective – Increase the number and percentage of employed persons in assisted families: Under the Authority's ACOP, the MHA has adopted rent policies to support and encourage work. These rent policies include "flat rents", which are an incentive for families to work without the burden of paying high rents.

Objective – Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability: The MHA continues to operate its public housing program to ensure equal access to all regardless of race, color, religion, national origin, sex, familial status, and disability.

Objective – Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability: The MHA's operations and management, inspections, maintenance, and modernization programs are spread equally among all developments.

Objective - Promote energy efficiency practices and products when performing rehabilitation, repair and replacement in public housing developments: The MHA will incorporate, when applicable, Energy Star Program qualified products and practices when performing rehabilitation, repair and replacement in their public housing developments.

6.0

**PHA Plan Update**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

Eligibility, Selection and Admissions Policies: The MHA is currently in the process of updating various operational, management and maintenance policies. Once the revisions are complete, the residents will be given a thirty day comment period prior to the Board of Commissioners approving the revised policies.

Financial Resources: The MHA Financial Statement including PHA Operating and Capital Funds, Section 8 Funds, Rental Income, Investments etc. change on an annual basis. The MHA maintains this information on file and makes it available for HUD and public review at the MHA Administration Office.

Fiscal Year Audit: The MHA's most recent Audit is on file at the MHA Administration Office and is available for HUD and public review.

Violence Against Women Act (VAWA): The MHA has completed the required VAWA Policy which is attached along with a description on how the MHA serves the needs of child and adult victims of domestic violence, dating violence, sexual assault or stalking.

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

Morristown Housing Authority Administration Office, 600 Sulphur Springs Road, Morristown, Tennessee 37815

7.0

**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable.**

Demolition and/or Disposition: The MHA has prepared and submitted an application to HUD's SAC for the demolition of 26 units of public housing in Development TN038-007. The MHA is currently waiting on HUD approval of the application.

8.0	<b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>See attached Forms HUD 50075.1 for FFY 2012 and all open CFP Grants.</p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>See attached Form HUD 50075.2 for Five-Year CFP.</p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>Not Applicable</p>

9.0

**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

The MHA has consulted with the State of Tennessee 2005-2010 Consolidated Plan for Housing and Community Development (developed by the THDA) in an effort to identify specific housing needs. Housing needs data for the MHA and this Agency Plan has also been developed from the 2000 Census and the MHA current public housing and Section 8 waiting lists. See tables below:

Family Type	Overall*	Afford-ability	Supply	Quality	Access-ibility	Size	Location
Income <= 30% of AMI	1106	3	3	2	1	NA	NA
Income >30% but <=50% of AMI	555	2	2	2	1	NA	NA
Income >50% but <80% of AMI	628	1	2	2	1	NA	NA
Elderly	647	1	2	2	1	NA	NA
Families with Disabilities	NA	NA	NA	2	1	NA	NA
Race/Ethnicity/White	1963	NA	NA	2	1	NA	NA
Race/Ethnicity/Black	282	NA	NA	2	1	NA	NA
Race/Ethnicity/Hispanic	0	NA	NA	2	1	NA	NA
Race/Ethnicity/Other	0	NA	NA	NA	NA	NA	NA

\*Source: CHAS Data, City of Morristown, Tennessee Jurisdiction Area, 2000 Census

Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input checked="" type="checkbox"/>	Combined Section 8 and Public Housing 244 (S8) 125 (PH)		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total:	369		
Extremely low income <=30% AMI	269	73%	
Very low income(>30% but <=50% AMI)	84	23%	
Low income(>50% but <80% AMI)	16	4%	
Families with children	186	50%	
Elderly families	12	3%	
Families with Disabilities	106	28%	
Race/ethnicity White	327	88%	
Race/ethnicity Black	31	8%	
Race/ethnicity Hispanic	7	3%	
Race/ethnicity Other	4	1%	
Characteristics by Bedroom Size (Public Housing Only)			
0 BR	17	13%	
1 BR	54	43%	
2 BR	28	22%	
3 BR	16	13%	
4 BR	6	5%	
5 BR	4	4%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? NA			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

\*Source: Morristown Housing Authority Public Housing and Section 8 Waiting Lists

9.1

**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

**(1) Strategies**

**Need: Shortage of affordable housing for all eligible populations**

**Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

Select all that apply

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Reduce time to renovate public housing units
- Seek replacement of public housing units lost to the inventory through mixed finance development
- Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- Other (list below)

**Strategy 2: Increase the number of affordable housing units by:**

Select all that apply

- Apply for additional section 8 units should they become available
- Leverage affordable housing resources in the community through the creation of mixed - finance housing
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- Other: (list below)

**Need: Specific Family Types: Families at or below 30% of median**

**Strategy 1: Target available assistance to families at or below 30 % of AMI**

Select all that apply

- Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- Employ admissions preferences aimed at families with economic hardships
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: Families at or below 50% of median**

**Strategy 1: Target available assistance to families at or below 50% of AMI**

Select all that apply

- Employ admissions preferences aimed at families who are working
- Adopt rent policies to support and encourage work
- Other: (list below)

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

Select all that apply

- Seek designation of public housing for the elderly
- Apply for special-purpose vouchers targeted to the elderly, should they become available
- Other: (list below)

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

Select all that apply

- Seek designation of public housing for families with disabilities
- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities
- Other: (list below)

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**  
Select if applicable

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs  
 Other: (list below)

**Strategy 2: Conduct activities to affirmatively further fair housing**  
Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units  
 Market the section 8 program to owners outside of areas of poverty /minority concentrations  
 Other: (list below)

**Other Housing Needs & Strategies: (list needs and strategies below)**

**(2) Reasons for Selecting Strategies**

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints  
 Staffing constraints  
 Limited availability of sites for assisted housing  
 Extent to which particular housing needs are met by other organizations in the community  
 Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA  
 Influence of the housing market on PHA programs  
 Community priorities regarding housing assistance  
 Results of consultation with local or state government  
 Results of consultation with residents and the Resident Advisory Board  
 Results of consultation with advocacy groups  
 Other: (list below)

**10.0**

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

As discussed in Section 5.2 of this form, the Morristown Housing Authority continues its ongoing efforts to meet the Mission and Goals identified in our most recent 5-Year Agency Plan. The MHA is diligent in providing safe, decent and affordable housing; creating opportunities for our resident's self-sufficiency and economic independence; and assure fiscal integrity in all public housing programs. Our staff is continually striving to improve our management and service delivery efforts, as well as maintain the physical appearance and function of our dwelling units, grounds and facilities.

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

MHA's definition of "Significant Amendment or Substantial Deviation":

1. Changes to rent or admissions policies or organization of the waiting list.
2. Addition of non-emergency work, items (items not included in the Annual Statement or 5-Year Action Plan) or a change in the use of replacement reserve funds under the Capital Fund.
3. Any change with regard to demolition or disposition, designation, or homeownership programs or conversion activities.

An exception to this definition will be made for any of the above that are adopted to reflect changes in HUD regulatory requirements.

**11.0**

**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) **ATTACHED**
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) **ATTACHED**
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) **ATTACHED**
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) **ATTACHED**
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) **NA**
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. **ATTACHED**
- (g) Challenged Elements **NONE**
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) **ATTACHED**
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only) **ATTACHED**

Part I: Summary						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850112 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2012 <b>FFY of Grant Approval:</b> 2012	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0				
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	92,738				
3	1408 Management Improvements	94,000				
4	1410 Administration (may not exceed 10% of line 20)	78,000				
5	1411 Audit	0				
6	1415 Liquidated Damages	0				
7	1430 Fees and Costs	64,500				
8	1440 Site Acquisition	0				
9	1450 Site Improvement	0				
10	1460 Dwelling Structures	612,000				
11	1465.1 Dwelling Equipment—Nonexpendable	15,000				
12	1470 Non-dwelling Structures	0				
13	1475 Non-dwelling Equipment	15,000				
14	1485 Demolition	0				
15	1492 Moving to Work Demonstration	0				
16	1495.1 Relocation Costs	0				
17	1499 Development Activities <sup>4</sup>	0				

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations

<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850112 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2012 <b>FFY OF Grant Approval:</b> 2012	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0				
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0				
19	1502 Contingency (may not exceed 8% of line 20)	0				
20	Amount of Annual Grant: (sum of lines 2 – 19)	971,238				
21	Amount of line 20 Related to LBP Activities	0				
22	Amount of line 20 Related to Section 504 Activities	0				
23	Amount of line 20 Related to Security – Soft Costs	0				
24	Amount of Line 20 Related to Security – Hard Costs	0				
25	Amount of line 20 Related to Energy Conservation Measures	0				
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>		
		10/12/2011				

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03850112 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2012		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-005	HVAC	1460	50 units	300,000				
C. Frank Davis	Electrical	1460	50 units	100,000				
Home Extension	Water Heaters	1460	50 units	31,000				
	Roofing	1460	50 units	100,000				
	Rainhandlers	1460	50 units	51,000				
	Ranges	1465.1	50 units	15,000				
PHA-WIDE	Operating Expense	1406	1	92,738				
Operations								
PHA-WIDE	Security Coordinator	1408	1 position	50,000				
Management	Drug Elimination Training	1408	1	1,500				
Improvements	Employee Civil Rights Training	1408	1	1,500				
	VISTA Workers	1408	3 positions	31,000				
	Computer Updates	1408	1	10,000				
PHA-WIDE	Resident Services Coordinator	1410	1 position	40,000				
Administration	Clerk of the Works	1410	1 position	30,000				
	Travel Expense	1410	1	5,000				
	Advertising Expense	1410	1	3,000				
PHA-WIDE	A/E Fees	1430	1	50,000				
Fees & Costs	Consultant Fees For Env. Review	1430	1	4,500				
	Contract Tree Trimming/Removal	1430	1	10,000				



Part III: Implementation Schedule for Capital Fund Program					
PHA Name: Morristown Housing Authority					Federal FY of Grant: 2012
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
TN038-005	09/30/2014		09/30/2016		
C. Frank Davis Home Extension					
PHA-WIDE Operations	09/30/2014		09/30/2016		
PHA-WIDE Management Improvements	09/30/2014		09/30/2016		
PHA-WIDE Administration	09/30/2014		09/30/2016		
PHA-WIDE Fees & Costs	09/30/2014		09/30/2016		
PHA-WIDE Dwelling Structures	09/30/2014		09/30/2016		
PHA-WIDE Nondwelling Equipment	09/30/2014		09/30/2016		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

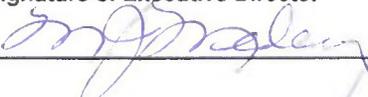
Part I: Summary						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850111 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2011 <b>FFY of Grant Approval:</b> 2011	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	136,061	89,238	0	0	
3	1408 Management Improvements	94,000	94,000	0	0	
4	1410 Administration (may not exceed 10% of line 20)	78,000	78,000	0	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	64,500	64,500	0	0	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	15,000	15,000	0	0	
10	1460 Dwelling Structures	483,000	383,000	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable	7,500	7,500	0	0	
12	1470 Non-dwelling Structures	225,000	225,000	0	0	
13	1475 Non-dwelling Equipment	15,000	15,000	0	0	
14	1485 Demolition	0	0	0	0	
15	1492 Moving to Work Demonstration	0	0	0	0	
16	1495.1 Relocation Costs	0	0	0	0	
17	1499 Development Activities <sup>4</sup>	0	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations

<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850111 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2011 <b>FFY OF Grant Approval:</b> 2011	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0	
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0	
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,118,061	971,238	0	0	
21	Amount of line 20 Related to LBP Activities	0	0	0	0	
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0	
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0	
24	Amount of Line 20 Related to Security – Hard Costs	0	0	0	0	
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0	
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>		
		10/12/2011				

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03850111 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2011		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-001 C. Frank Davis Homes	Office Addition/Renovations	1470	1	225,000	225,000	0	0	12/2011
TN038-003 Lon Price Homes	Additional Parking	1450	Dev-wide	15,000	15,000	0	0	12/2011
TN038-005 C. Frank Davis Home Extension	HVAC	1460	25 units	150,000	100,000	0	0	03/2012
	Electrical	1460	25 units	50,000	50,000	0	0	03/2012
	Water Heaters	1460	25 units	15,500	15,500	0	0	03/2012
	Roofing	1460	25 units	50,000	50,000	0	0	03/2012
	Rainhandlers	1460	25 units	25,500	25,500	0	0	03/2012
	Ranges	1465.1	25 units	7,500	7,500	0	0	03/2012
TN038-009 John R. Johnson Homes	HVAC	1460	36 units	144,000	94,000	0	0	03/2012
	Call for Aid System	1460	36 units	18,000	18,000	0	0	03/2012
PHA-WIDE Operations	Operating Expense	1406	1	136,061	89,238	0	0	03/2012
PHA-WIDE Management Improvements	Security Coordinator	1408	1 position	50,000	50,000	0	0	03/2012
	Drug Elimination Training	1408	1	1,500	1,500	0	0	03/2012
	Employee Civil Rights Training	1408	1	1,500	1,500	0	0	03/2012
	VISTA Workers	1408	3 positions	31,000	31,000	0	0	03/2012
	Computer Updates	1408	1	10,000	10,000	0	0	03/2012



<b>Part III: Implementation Schedule for Capital Fund Program</b>					
PHA Name: Morristown Housing Authority					Federal FY of Grant: 2011
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
TN038-001 C. Frank Davis Homes	09/30/2013		09/30/2015		
TN038-003 Lon Price Homes	09/30/2013		09/30/2015		
TN038-005 C. Frank Davis Home Extension	09/30/2013		09/30/2015		
TN038-009 John R. Johnson Homes	09/30/2013		09/30/2015		
PHA-WIDE Operations	09/30/2013		09/30/2015		
PHA-WIDE Management Improvements	09/30/2013		09/30/2015		
PHA-WIDE Administration	09/30/2013		09/30/2015		
PHA-WIDE Fees & Costs	09/30/2013		09/30/2015		



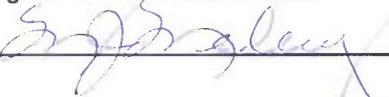
Part I: Summary						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850110 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2010 <b>FFY of Grant Approval:</b> 2010	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	94,278	111,061	100,000	50,000	
3	1408 Management Improvements	109,000	109,000	50,000	0	
4	1410 Administration (may not exceed 10% of line 20)	78,000	78,000	40,000	13,431	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	64,500	64,500	50,000	39,016	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	0	0	0	0	
10	1460 Dwelling Structures	667,000	725,500	0	0	
11	1465.1 Dwelling Equipment—Nonexpendable	15,000	15,000	0	0	
12	1470 Non-dwelling Structures	0	0	0	0	
13	1475 Non-dwelling Equipment	15,000	15,000	0	0	
14	1485 Demolition	0	0	0	0	
15	1492 Moving to Work Demonstration	0	0	0	0	
16	1495.1 Relocation Costs	0	0	0	0	
17	1499 Development Activities <sup>4</sup>	0	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations

<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850110 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2010 <b>FFY OF Grant Approval:</b> 2010	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0	
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0	
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,042,778	1,118,061	240,000	102,447	
21	Amount of line 20 Related to LBP Activities	0	0	0	0	
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0	
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0	
24	Amount of Line 20 Related to Security – Hard Costs	0	0	0	0	
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0	
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>		
		10/18/2011				

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03850110 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2010		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-003 Lon Price Homes	Interior Doors	1460	74 units	55,000	55,000	0	0	03/2012
TN038-005 C. Frank Davis Home Extension	HVAC	1460	50 units	300,000	300,000	0	0	03/2012
	Electrical	1460	50 units	100,000	100,000	0	0	03/2012
	Water Heaters	1460	50 units	31,000	31,000	0	0	03/2012
	Roofing	1460	18 bldgs	100,000	158,500	0	0	03/2012
	Rainhandlers	1460	50 units	51,000	51,000	0	0	03/2012
	Ranges	1465.1	50 units	15,000	15,000	0	0	03/2012
PHA-WIDE Operations	Operating Expense	1406	1	94,278	111,061	100,000	50,000	In Progress
PHA-WIDE Management Improvements	Security Coordinator	1408	1 position	50,000	50,000	50,000	0	In Progress
	Drug Elimination Training	1408	1	1,500	1,500	0	0	12/2011
	Employee Civil Rights Training	1408	1	1,500	1,500	0	0	12/2011
	Resident Job Training/Education	1408	1	15,000	15,000	0	0	12/2011
	VISTA Workers	1408	3 positions	31,000	31,000	0	0	12/2011
	Computer Updates	1408	1	10,000	10,000	0	0	12/2011
PHA-WIDE Administration	Resident Services Coordinator	1410	1 position	40,000	40,000	40,000	13,431	In Progress
	Clerk of the Works	1410	1 position	30,000	30,000	0	0	12/2011
	Travel Expense	1410	1	5,000	5,000	0	0	12/2011
	Advertising Expense	1410	1	3,000	3,000	0	0	12/2011







Part I: Summary						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850109 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2009 <b>FFY of Grant Approval:</b> 2009	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	161,500	200,000	200,000	200,000	
3	1408 Management Improvements	109,000	109,000	74,962	67,130	
4	1410 Administration (may not exceed 10% of line 20)	78,000	48,000	26,307	11,590	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	64,500	100,000	62,921	42,523	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	10,000	10,000	5,875	3,075	
10	1460 Dwelling Structures	569,778	525,778	172,790	171,623	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	5,314	5,314	
12	1470 Non-dwelling Structures	0	0	0	0	
13	1475 Non-dwelling Equipment	15,000	9,686	0	0	
14	1485 Demolition	0	0	0	0	
15	1492 Moving to Work Demonstration	0	0	0	0	
16	1495.1 Relocation Costs	35,000	35,000	0	0	
17	1499 Development Activities <sup>4</sup>	0	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850109 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2009 <b>FFY OF Grant Approval:</b> 2009	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0	
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0	
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,042,778	1,042,778	548,169	501,255	
21	Amount of line 20 Related to LBP Activities	0	0	0	0	
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0	
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0	
24	Amount of Line 20 Related to Security – Hard Costs	0	0	0	0	
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0	
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>		
		10/12/2011				

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37P03850109 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-002 Mountain View Village	Roofing	1460	12 bldgs	121,278	121,278	0	0	09/2011
TN038-003 Lon Price Homes	Mailboxes	1450	74 units	10,000	10,000	0	0	09/2011
	HVAC	1460	74 units	0	0	0	0	Deleted
	Electrical	1460	74 units	0	0	0	0	Deleted
	Water Heater	1460	74 units	0	0	0	0	Deleted
	Bathroom Renovations	1460	74 units	0	0	0	0	Deleted
	Screen/Storm Doors	1460	74 units	37,000	53,675	53,675	53,675	Complete
	Exterior Doors	1460	74 units	0	112,663	111,469	111,469	In Progress
TN038-004 Mountain View Village Extension	Roofing	1460	15 bldgs	147,500	147,500	0	0	09/2011
TN038-007 Julia Bales Callaway Homes	Relocation Expenses for Disposition	1495.1	70 units	35,000	35,000	0	0	09/2011
TN038-009 John R. Johnson Homes	HVAC	1460	36 units	234,000	60,662	0	0	09/2011
PHA-WIDE Operations	Operating Expense	1406	1	161,500	200,000	200,000	200,000	Complete

**Part II Supporting Pages**

PHA Name: Morristown Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03850109 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2009		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA-WIDE	Security Coordinator	1408	1 position	50,000	50,000	50,000	43,480	In Progress
Management	Drug Elimination Training	1408	1	1,500	1,500	0	0	12/2011
Improvements	Employee Civil Rights Training	1408	1	1,500	1,500	0	0	12/2011
	Resident Job Training/Education	1408	1	15,000	15,000	0	0	12/2011
	VISTA Workers	1408	3 positions	31,000	31,000	23,650	23,650	Complete
	Computer Updates	1408	1	10,000	10,000	1,312	0	In Progress
PHA-WIDE	Resident Services Coordinator	1410	1 position	40,000	40,000	25,000	10,750	In Progress
Administration	Clerk of the Works	1410	1 position	30,000	0	0	0	12/2011
	Travel Expense	1410	1	5,000	5,000	434	434	In Progress
	Advertising Expense	1410	1	3,000	3,000	873	406	In Progress
PHA-WIDE	A/E Fees	1430	1	50,000	50,000	50,000	29,602	In Progress
Fees & Costs	Consultant Fees For Env. Review	1430	1	4,500	0	0	0	12/2011
	Clerk of the Works	1410	1 position	0	50,000	12,921	12,921	In Progress
PHA-WIDE	Contract Tree Trimming/Removal	1450	1	10,000	10,000	5,875	3,075	In Progress
Site								
Improvements								
PHA-WIDE	Sewerline Replacement	1460	PHA-wide	10,000	10,000	5,230	5,230	In Progress
Dwelling	Waterline Replacement	1460	PHA-wide	10,000	10,000	1,166	0	In Progress
Structures	Foundation/Slab Repairs	1460	PHA-wide	10,000	10,000	1,250	1,250	In Progress
PHA-WIDE	Ranges	1465.1	PHA-wide	0	5,314	5,314	5,314	Complete
Dwelling								
Equipment								
PHA-WIDE	Maintenance Vehicle	1475	1	15,000	9,686	0	0	12/2011
Nondwelling								
Equipment								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: Morristown Housing Authority					Federal FY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
TN038-002 Mountain View Village	09/14/2011		09/14/2013		
TN038-003 Lon Price Homes	09/14/2011		09/14/2013		
TN038-004 Mountain View Village Extension	09/14/2011		09/14/2013		
TN038-007 Julia Bales Callaway Homes	09/14/2011		09/14/2013		
TN038-009 John R. Johnson Homes	09/14/2011		09/14/2013		
PHA-WIDE Operations	09/14/2011		09/14/2013		
PHA-WIDE Management Improvements	09/14/2011		09/14/2013		
PHA-WIDE Administration	09/14/2011		09/14/2013		



<b>Part I: Summary</b>						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37S03850109 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> ARRA <b>FFY of Grant Approval:</b> 2009	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	0	0	0	0	
3	1408 Management Improvements	0	0	0	0	
4	1410 Administration (may not exceed 10% of line 20)	0	0	0	0	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	60,623	74,225	74,225	74,225	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	0	0	0	0	
10	1460 Dwelling Structures	1,271,483	1,257,881	1,257,881	1,257,881	
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0	
12	1470 Non-dwelling Structures	0	0	0	0	
13	1475 Non-dwelling Equipment	0	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1492 Moving to Work Demonstration	0	0	0	0	
16	1495.1 Relocation Costs	0	0	0	0	
17	1499 Development Activities <sup>4</sup>	0	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations

<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>					
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37S03850109 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b> ARRA <b>FFY OF Grant Approval:</b> 2009	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,332,106	1,332,106	1,332,106	1,332,106
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0
24	Amount of Line 20 Related to Security – Hard Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0
<b>Signature of Executive Director</b>		<b>Date</b>		<b>Signature of Public Housing Director</b>	
		10/17/2011			

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37S03850109 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: ARRA		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-003	HVAC	1460	25 units	123,395	123,395	123,395	123,395	Complete
Lon Price	Electrical	1460	74 units	0	0	0	0	Deleted
Homes	Water Heaters	1460	74 units	85,450	85,450	85,450	85,450	Complete
	Roofing	1460	36 bldgs	269,515	269,515	269,515	269,515	Complete
	Exterior Doors	1460	74 units	0	0	0	0	Deleted
	Screen Doors	1460	74 units	0	0	0	0	Deleted
	Bathroom Renovations	1460	74 units	265,869	252,267	252,267	252,267	Complete
TN038-005	HVAC	1460	Dev-wide	10,246	10,246	10,246	10,246	Complete
C. Frank Davis	Water Heaters	1460	Dev-wide	1,152	1,152	1,152	1,152	Complete
Home Extension	Roofing	1460	Dev-wide	7,085	7,085	7,085	7,085	Complete
TN038-008	HVAC	1460	Dev-wide	305,621	305,621	305,621	305,621	Complete
Surrett Homes	Water Heaters	1460	Dev-wide	42,624	42,624	42,624	42,624	Complete
	Roofing	1460	Dev-wide	160,047	160,047	160,047	160,047	Complete
	Fascia	1460	Dev-wide	479	479	479	479	Complete
PHA-Wide	A/E Fees	1430	1	54,129	70,646	70,646	70,646	Complete
Fees & Costs	Management Fees	1430	1	6,494	3,579	3,579	3,579	Complete
	Physical Needs Assessment	1430	1	0	0	0	0	Deleted

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



Part I: Summary						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850108 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2008 <b>FFY of Grant Approval:</b> 2008	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
1	Total non-CFP Funds	0	0	0	0	
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	200,000	210,476	210,476	210,476	
3	1408 Management Improvements	91,000	107,388	107,388	107,388	
4	1410 Administration (may not exceed 10% of line 20)	48,965	35,238	35,238	35,238	
5	1411 Audit	0	0	0	0	
6	1415 Liquidated Damages	0	0	0	0	
7	1430 Fees and Costs	113,786	91,799	91,799	91,799	
8	1440 Site Acquisition	0	0	0	0	
9	1450 Site Improvement	0	24,182	24,182	24,182	
10	1460 Dwelling Structures	579,430	583,298	583,298	583,298	
11	1465.1 Dwelling Equipment—Nonexpendable	19,200	0	0	0	
12	1470 Non-dwelling Structures	0	0	0	0	
13	1475 Non-dwelling Equipment	0	0	0	0	
14	1485 Demolition	0	0	0	0	
15	1492 Moving to Work Demonstration	0	0	0	0	
16	1495.1 Relocation Costs	0	0	0	0	
17	1499 Development Activities <sup>4</sup>	0	0	0	0	

<sup>1</sup> To be completed for the Performance and Evaluation Report

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations

<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>						
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850108 Replacement Housing Factor Grant No:			<b>Federal FY of Grant:</b> 2008 <b>FFY OF Grant Approval:</b> 2008	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>		
		Original	Revised <sup>2</sup>	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0	
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0	
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0	
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,052,381	1,052,381	1,052,381	1,052,381	
21	Amount of line 20 Related to LBP Activities	0	0	0	0	
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0	
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0	
24	Amount of Line 20 Related to Security – Hard Costs	0	0	0	0	
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0	
<b>Signature of Executive Director</b> 		<b>Date</b> 10/12/2011		<b>Signature of Public Housing Director</b> _____		
				<b>Date</b> _____		

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03850108 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2008		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-001	HVAC	1460	28 units	232,458	256,327	256,327	256,327	Complete
Frank Davis Homes	Electrical	1460	28 units	0	0	0	0	Deleted
	Water Heaters	1460	28 units	32,339	32,339	32,339	32,339	Complete
	Roofing	1460	14 bldgs	122,053	122,053	122,053	122,053	Complete
	Ranges	1465.1	28 units	0	0	0	0	Deleted
TN038-003	HVAC	1460	Dev-wide	172,580	172,580	172,580	172,580	Complete
Lon Price Homes								
TN038-008	HVAC	1460	36 units	0	0	0	0	Deleted
Surrett Homes	Electrical	1460	36 units	0	0	0	0	Deleted
	Water Heaters	1460	36 units	0	0	0	0	Deleted
	Ranges	1465.1	36 units	0	0	0	0	Deleted
PHA-WIDE Operations	Operating Expense	1406	1	200,000	210,476	210,476	210,476	Complete
PHA-WIDE Management Improvements	Security Coordinator	1408	1 position	50,000	37,170	37,170	37,170	Complete
	Drug Elimination Training	1408	1	0	0	0	0	Deleted
	Employee Civil Rights Training	1408	1	0	0	0	0	Deleted
	Resident Job Training/Education	1408	1	0	0	0	0	Deleted
	VISTA Workers	1408	3 positions	31,000	42,964	42,964	42,964	Complete
	Computer Updates	1408	1	10,000	27,254	27,254	27,254	Complete

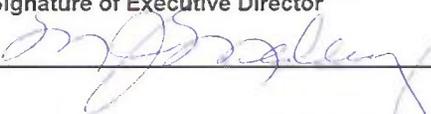


Part III: Implementation Schedule for Capital Fund Program					
PHA Name: Morristown Housing Authority					Federal FY of Grant: 2008
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
TN038-001 Frank Davis Homes	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
TN038-003 Lon Price Homes	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
TN038-008 Surrett Homes	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
PHA-WIDE Operations	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
PHA-WIDE Management Improvements	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
PHA-WIDE Administration	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
PHA-WIDE Fees & Costs	06/12/2010	03/31/2010	06/12/2012	06/30/2011	
PHA-WIDE Dwelling Structures	06/12/2010	03/31/2010	06/12/2012	06/30/2011	

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Part I: Summary					
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850107 Replacement Housing Factor Grant No: Date of CFFP: _____			<b>FFY of Grant:</b> 2007 <b>FFY of Grant Approval:</b> 2007
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0	0	0	0
2	1406 Operations (may not exceed 20% of line 20) <sup>3</sup>	200,000	201,272	201,272	201,272
3	1408 Management Improvements	74,225	74,226	74,226	74,226
4	1410 Administration (may not exceed 10% of line 20)	20,155	20,155	20,155	20,155
5	1411 Audit	0	0	0	0
6	1415 Liquidated Damages	0	0	0	0
7	1430 Fees and Costs	32,186	29,936	29,936	29,936
8	1440 Site Acquisition	0	0	0	0
9	1450 Site Improvement	55,955	55,955	55,955	55,955
10	1460 Dwelling Structures	674,328	675,306	675,306	675,306
11	1465.1 Dwelling Equipment—Nonexpendable	0	0	0	0
12	1470 Non-dwelling Structures	0	0	0	0
13	1475 Non-dwelling Equipment	0	0	0	0
14	1485 Demolition	0	0	0	0
15	1492 Moving to Work Demonstration	0	0	0	0
16	1495.1 Relocation Costs	0	0	0	0
17	1499 Development Activities <sup>4</sup>	0	0	0	0

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>					
<b>PHA Name:</b> Morristown Housing Authority		<b>Grant Type and Number</b> Capital Fund Program Grant No: TN37P03850107 Replacement Housing Factor Grant No:		<b>Federal FY of Grant:</b> 2007 <b>FFY OF Grant Approval:</b> 2007	
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 06/30/11 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0	0	0	0
18b	9000 Collateralization or Debt Service paid Via System of Direct Payment	0	0	0	0
19	1502 Contingency (may not exceed 8% of line 20)	0	0	0	0
20	Amount of Annual Grant: (sum of lines 2 – 19)	1,056,849	1,056,849	1,056,849	1,056,849
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security – Soft Costs	0	0	0	0
24	Amount of Line 20 Related to Security – Hard Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0
<b>Signature of Executive Director</b> 		<b>Date</b> 10/13/2011	<b>Signature of Public Housing Director</b>		<b>Date</b>

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

Part II Supporting Pages								
PHA Name: Morristown Housing Authority		Grant Type and Number Capital Fund Program Grant No: TN37P03850107 CFFP (Yes/No): No Replacement Housing Factor Grant No:				Federal FFY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
TN038-001	HVAC	1460	28 units	0	0	0	0	Deleted
Frank Davis Homes	Electrical	1460	28 units	9,130	9,130	9,130	9,130	Complete
	Water Heaters	1460	28 units	5,168	5,168	5,168	5,168	Complete
	Roofing	1460	14 bldgs	97,656	97,656	97,656	97,656	Complete
	Mailbox Canopies	1460	Dev-wide	17,623	17,623	17,623	17,623	Complete
	Ranges	1465.1	28 units	0	0	0	0	Deleted
TN038-003	HVAC	1460	Dev-wide	310,217	311,195	311,195	311,195	Complete
Lon Price Homes								
TN038-004	HVAC	1460	Dev-wide	165,471	165,471	165,471	165,471	Complete
Mountain View Village Ext.	Electrical	1460	Dev-wide	39,231	39,231	39,231	39,231	Complete
	Water Heaters	1460	Dev-wide	29,831	29,831	29,831	29,831	Complete
TN038-005	Retaining Wall	1450	1	54,655	54,655	54,655	54,655	Complete
C. Frank Davis Homes								
TN038-008	HVAC	1460	18 units	0	0	0	0	Deleted
Surrett Homes	Electrical	1460	18 units	0	0	0	0	Deleted
	Water Heaters	1460	18 units	0	0	0	0	Deleted
	Roofing	1460	9 bldgs	0	0	0	0	Deleted
	Ranges	1465.1	18 units	0	0	0	0	Deleted
PHA-WIDE Operations	Operating Expense	1406	1	200,000	201,272	201,272	201,272	Complete

Part II Supporting Pages								
PHA Name: Morristown Housing Authority			Grant Type and Number Capital Fund Program Grant No: TN37P03850107 CFFP (Yes/No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
PHA-WIDE	Security Coordinator	1408	1 position	50,000	41,399	41,399	41,399	Complete
Management	Drug Elimination Training	1408	1	1,500	0	0	0	Deleted
Improvements	Employee Civil Rights Training	1408	1	1,500	0	0	0	Deleted
	Resident Job Training/Education	1408	1	15,000	0	0	0	Deleted
	VISTA Workers	1408	3 positions	31,000	32,826	32,826	32,826	Complete
	Computer Updates	1408	1	10,000	0	0	0	Deleted
PHA-WIDE	Resident Services Coordinator	1410	1 position	40,000	19,274	19,274	19,274	Complete
Administration	Clerk of the Works	1410	1 position	30,000	0	0	0	Deleted
	Travel Expense	1410	1	5,000	335	335	335	Complete
	Advertising Expense	1410	1	3,000	546	546	546	Complete
PHA-WIDE	A/E Fees	1430	1	50,000	32,186	32,186	32,186	Complete
Fees & Costs	Consultant Fees For Env. Review	1430	1	4,500	0	0	0	Deleted
	Contract Tree Trimming/Removal	1430	1	10,000	0	0	0	Deleted
PHA-WIDE	Contract Tree Trimming/Removal	1450	1	0	1,300	1,300	1,300	Complete
Site Improvements								
PHA-WIDE	Sewerline Replacement	1460	PHA-wide	10,000	0	0	0	Deleted
Dwelling Structures	Waterline Replacement	1460	PHA-wide	10,000	0	0	0	Deleted
PHA-WIDE	Maintenance Vehicles	1475	2	30,000	0	0	0	Deleted
Nondwelling Equipment								

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Program					
PHA Name: Morristown Housing Authority					Federal FY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
TN038-001 Frank Davis Homes	09/12/2009	09/30/2008	09/12/2011	09/30/2009	
TN038-003 Lon Price Homes	09/12/2009	09/12/2009	09/12/2011	09/30/2009	
TN038-004 Mountain View Village Ext.	09/12/2009	09/12/2009	09/12/2011	09/30/2009	
TN038-005 C. Frank Davis Homes	09/12/2009	09/12/2009	09/12/2011	09/30/2009	
TN038-008 Surrett Homes	09/12/2009	NA	09/12/2011	NA	
PHA-WIDE Operations	09/12/2009	09/12/2009	09/12/2011	09/30/2009	
PHA-WIDE Management Improvements	09/12/2009	06/30/2008	09/12/2011	09/30/2009	
PHA-WIDE Administration	09/12/2009	09/30/2008	09/12/2011	09/30/2009	
PHA-WIDE Fees & Costs	09/12/2009	09/30/2008	09/12/2011	09/30/2009	



**Capital Fund Program—Five-Year Action Plan**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number Morristown Housing Authority/TN038		Locality (City/County & State) Morristown/Hamblen County Tennessee			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
B.	Physical Improvements Subtotal	Annual Statement	642,000	642,000	642,000	642,000
C.	Management Improvements		94,000	94,000	94,000	94,000
D.	PHA-Wide Non-dwelling Structures and Equipment		0	0	0	0
E.	Administration		78,000	78,000	78,000	78,000
F.	Other (1430)		64,500	64,500	64,500	64,500
G.	Operations		92,738	92,738	92,738	92,738
H.	Demolition		0	0	0	0
I.	Development		0	0	0	0
J.	Capital Fund Financing -- Debt Service		0	0	0	0
K.	Total CFP Funds		971,238	971,238	971,238	971,238
L.	Total Non-CFP Funds		0	0	0	0
M.	Grand Total		971,238	971,238	971,238	971,238

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

Part I: Summary (Continuation)

PHA Name/Number Morristown Housing Authority/TN038		Locality (City/County & State) Morristown/Hamblen County Tennessee		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:		
A	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
		Annual Statement				
	TN038-001/C. Frank Davis Homes		175,000	125,000	367,000	39,000
	TN038-002/Mountain View Village		0	148,000	0	0
	TN038-003/Lon Price Homes		22,500	0	0	0
	TN038-004/Mountain View Village Ext.		0	0	70,000	113,000
	TN038-005/C. Frank Davis Homes Ext.		369,500	75,000	0	35,000
	TN038-006/Charles Turner Homes		0	0	0	160,000
	TN038-007/Judge Calloway Homes		75,000	0	30,000	150,000
	TN038-008/Surrett Homes		0	0	0	80,000
	TN038-009/John R. Johnson Homes		0	294,000	145,000	65,000
	PHA-WIDE		0	0	30,000	0

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2012	Work Statement for Year: 2 FFY 2013			Work Statement for Year: 3 FFY 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	<b>TN038-001/C. Frank Davis</b>			<b>TN038-001/C. Frank Davis</b>		
	<b>Homes</b>			<b>Homes</b>		
	Kitchen Renovations	Dev-wide	175,000	Bathroom Renovations	Dev-wide	125,000
	<b>TN038-003/Lon Price Homes</b>			<b>TN038-002/Mountain View</b>		
	Mailboxes	Dev-wide	3,000	<b>Village</b>		
	Interior Doors	Dev-wide	19,500	Screen/Storm Doors	Dev-wide	16,000
				Roofing	Dev-wide	107,000
	<b>TN038-005/C. Frank Davis</b>			Interior Doors	Dev-wide	25,000
	<b>Homes Extension</b>					
	HVAC	25 units	150,000	<b>TN038-005/C. Frank Davis</b>		
	Electrical	25 units	50,000	<b>Homes Extension</b>		
	Water Heaters	25 units	15,500	Screen/Storm Doors	Dev-wide	75,000
	Roofing	25 units	121,500			
	Rainhandlers	25 units	25,000	<b>TN038-009/John R. Johnson</b>		
	Ranges	25 units	7,500	<b>Homes</b>		
				Kitchen Renovations	Dev-wide	125,000
	<b>TN038-007/Judge Bales</b>			Bathroom Renovations	Dev-wide	100,000
	<b>Callaway Homes</b>			Exterior Doors	Dev-wide	69,000
	Roofing	Dev-wide	75,000			
Subtotal of Estimated Cost			\$642,000	Subtotal of Estimated Cost		\$642,000

<b>Part II: Supporting Pages – Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2012	Work Statement for Year: 4 FFY 2015			Work Statement for Year: 5 FFY 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
<b>See Annual Statement</b>	<b>TN038-001/C. Frank Davis</b>			<b>TN038-001/C. Frank Davis</b>		
	<b>Homes</b>			<b>Homes</b>		
	Windows	Dev-wide	275,000	Exterior Doors	Dev-wide	39,000
	Interior Doors	Dev-wide	92,000			
	<b>TN038-004/Mountain View</b>			<b>TN038-004/Mountain View</b>		
	<b>Village Extension</b>			<b>Village Extension</b>		
	Exterior Doors	Dev-wide	30,000	Kitchen Renovations	Dev-wide	26,000
	Interior Doors	Dev-wide	40,000	Screen/Storm Doors	Dev-wide	17,000
				Roofing	Dev-wide	70,000
	<b>TN038-007/Judge Bales</b>			<b>TN038-005/C. Frank Davis</b>		
	<b>Callaway Homes</b>			<b>Homes Extension</b>		
	PTAC	Dev-wide	30,000	Retaining Walls	Dev-wide	5,000
				Daycare Renovations	1	30,000
	<b>TN038-009/John R. Johnson</b>			<b>TN038-006/Charles Turner Homes</b>		
	<b>Homes</b>			<b>Bathroom Renovations</b>	Dev-wide	40,000
	Screen/Storm Doors	Dev-wide	70,000	Kitchen Renovations	Dev-wide	40,000
	Electrical	Dev-wide	75,000	Roofing	Dev-wide	40,000
	<b>PHA-WIDE/Site Improvements</b>			Vinyl Siding	Dev-wide	30,000
	Playground Surfacing	PHA-wide	5,000	Water Cut-off Valves	Dev-wide	10,000
	<b>PHA-WIDE/Dwelling Equipment</b>			<b>TN038-007/Judge Bales</b>		
Ranges/Refrigerators	PHA-wide	25,000	<b>Callaway Homes</b>			
			Kitchen Renovations	Dev-wide	50,000	
			Bathroom Renovations	Dev-wide	50,000	
			Flooring	Dev-wide	50,000	







## **Morristown Housing Authority Violence Against Women Act**

The Morristown Housing Authority (MHA) prepared the 2012 PHA Five Year and Annual Plan in consultation with the Resident Advisory Board on August 2, 2011 and through a Public Hearing conducted on September 22, 2011. The purpose of the meetings was to receive resident and public comments and recommendations relative to the contents of both the Five Year Plan and Annual Plan. A thorough explanation of the contents of the 2012 PHA Plan was discussed during these meetings including any PHA Plan elements that have been revised by the MHA since the last Annual Plan submission. It was noted that no MHA Policies and/or Procedures, including the VAWA Policy, have been revised or amended.

A copy of the Morristown Housing Authority's Violence Against Women Act (VAWA) Public Housing and Section 8 Policies are attached and the following is a description of the specific requirements as outlined in Notice PIH 2008-41:

- a) Any activities, services or programs provided or offered by an agency, either directly or in partnership with other service providers, to child and adult victims of domestic violence, dating violence, sexual assault or stalking: In elderly care abusive situations, the MHA will call the Adult Protective Services through the Department of Human Services. In women or men abusive or domestic violence situations, the MHA will have the resident contact the Housing Authority's Liaison Officer or the local police department to file a report or to get an order of protection, if needed. The MHA then refers the tenants to CEASE, an agency offering support for victims of domestic violence and sexual assault. CEASE provides shelter, a crisis hot line, counseling, information and referral, legal and systems advocacy and support groups. CEASE refers their clients to the MHA for housing.
- b) Any activities, services or programs provided or offered by a public housing agency that helps child and adult victims of domestic violence, dating violence, sexual assault or stalking to obtain or maintain housing: The MHA makes diligent efforts to counsel tenants relative to their problems and refers them to the appropriate agencies listed above to obtain any necessary counseling. Additionally, the Authority's VAWA Policy permits victims of violence to maintain their current housing while evicting a household member who has engaged in the criminal act of physical violence.

- c) Any activities, services or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault and stalking or to enhance victim safety in assisted families: The MHA's policies are set to promote stability and a secure safe environment for their families. The definition of family is: Two or more persons related by blood, marriage, legal adoption or affinity who live together in a stable family relationship. A family with or without children who live regularly together in a stable family relationship in the same dwelling unit. Such a family is defined as a group of people related by blood, marriage or operation of law. This allows the MHA to control who legally stays in the apartments. Boyfriends or girlfriends are not allowed to move in and out of apartments, which could lead to violence or domestic violence situations. Domestic violence victims often follow a pattern of allowing the same violent person to come and go in the household. When the MHA becomes aware of a violent situation or a potential violent situation, the MHA enforces their Trespass Policy. This allows the MHA to ban anyone from the Morristown Housing Authority property who have made threats of violence or have committed violent crimes. The MHA conducts police checks on all adult applicants which includes any adults applying to be added to a tenant household. They are not added to the lease until their application has been processed and police reports checked.

**Morristown Housing Authority**  
**“Violence Against Women Policy - ACOP”**

Addendum to Admissions and Continued Occupancy Policy (ACOP)  
VIOLENCE AGAINST WOMEN ACT (VAWA)

- I.     **ELIGIBILITY FOR ADMISSION FOR PUBLIC HOUSING**  
No applicant who has been a victim of domestic violence, dating violence, or stalking will be denied admission if they otherwise qualify. Morristown Housing Authority (MHA) will give applicant who claims victim status 14 business days after written request to certify either by (1) completing HUD form 50066 (which is available at the MHA office); or (2) providing MHA with documents signed by an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim (applicant or another member of applicant’s family) has sought assistance in addressing domestic violence, dating violence, or stalking or the effects of the abuse (this certification must be sworn under penalty of Perjury); or (3) producing a Federal, State, or local police or court record.
- II.    **TERMINATION OF ASSISTANCE TO THE FAMILY BY MORRISTOWN HOUSING AUTHORITY – Victims of domestic violence, dating violence or stalking.** In accordance with the Violence Against Women Act (VAWA), Morristown Housing Authority will not terminate a tenant’s assistance who is a certified victim of an actual threatened incident of domestic abuse as defined by the Act. Also, MHA will not terminate a tenant’s assistance who is a certified victim for criminal activity that is directly related to domestic abuse. MHA may terminate a tenant’s assistance who is a victim if there is an actual and immediate threat of harm to others or for other lease violations based on domestic abuse.

**Morristown Housing Authority**  
**“Violence Against Women Policy – Section 8 Administrative Plan”**

Addendum to the Section 8 Administrative Plan  
Violence Against Women Act (VAWA)

I. Eligibility for Admission

No applicant who has been a victim of domestic violence, dating violence, or stalking will be denied admission if they otherwise qualify. MHA will give applicant who claims victim status 14 business days after written request to certify either by (1) completing HUD form 50066 (which is available at the MHA office); or (2) providing MHA with documents signed by an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim (applicant or another member of applicant’s family) has sought assistance in addressing domestic violence, dating violence, or stalking or the effects of the abuse (this certification must be sworn under penalty of Perjury); or (3) producing a Federal, State, or local police or court record.

II. Managing the Wait List

No applicant who has been a victim of domestic violence, dating violence, or stalking will be denied admission if they are otherwise qualified. MHA will give applicant who claims victim status 14 business days after written request to certify either by (1) completing HUD form 50066 (which is available at the MHA office); or (2) providing MHA with documents signed by an employee, agent or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim (applicant or another member of applicant’s family) has sought assistance in addressing domestic violence, dating violence, or stalking or the effects of the abuse (this certification must be sworn under penalty of Perjury); or (3) producing a Federal, State, or local police or court record.

III. Termination of Assistance to the Family by MHA

In accordance with the Violence Against Women Act (VAWA), MHA will not terminate a tenant’s assistance who is a certified victim of an actual threatened incident of domestic abuse as defined by the Act. Also, MHA will not terminate a tenant’s assistance who is a certified victim for criminal activity that is directly related to domestic abuse. MHA may terminate a tenant’s assistance who is a victim if there is an actual and immediate threat of harm to others or for other lease violations based on domestic abuse.

Glossary

VAWA: Violence Against Women Act

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the  5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning 2012, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Morristown Housing Authority

TN038

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20<sup>12</sup> - 20<sup>16</sup>

Annual PHA Plan for Fiscal Years 20\_\_ - 20\_\_

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

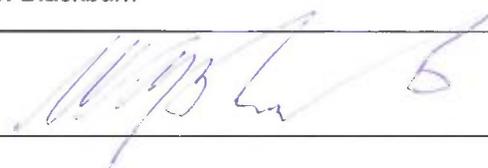
Name of Authorized Official

Title

William J. Blackburn

Chairman, Board of Commissioners

Signature



Date

9/27/11

# Civil Rights Certification

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 08/30/2011

## Civil Rights Certification

### Annual Certification and Board Resolution

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Morristown Housing Authority  
\_\_\_\_\_  
PHA Name

TN038  
\_\_\_\_\_  
PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official W.J. Blackburn		Title Chairman	
Signature 		Date 9/27/11	

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name  
Morristown Housing Authority

Program/Activity Receiving Federal Grant Funding  
FFY 2012 Five Year and Annual Agency Plan

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

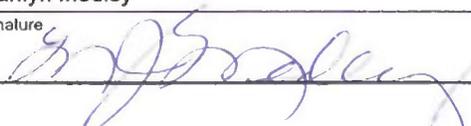
g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See Attached List

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.  
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Marilyn Medley	Title Executive Director
Signature 	Date 10/12/2011

**MORRISTOWN HOUSING AUTHORITY  
DEVELOPMENTS**

TN038-001	C. Frank Davis Homes	Morristown, TN
TN038-002	Mountain View Village	Morristown, TN
TN038-003	Lon Price Homes	Morristown, TN
TN038-004	Mountain View Village Ext.	Morristown, TN
TN038-005	C. Frank Davis Homes Ext.	Morristown, TN
TN038-006	Charles Turner Homes	Sneedville, TN
TN038-007	Julia Bales Callaway Homes	Morristown, TN
TN038-008	Surrett Homes	White Pine, TN
TN038-009	John R. Johnson	Morristown, TN

**Certification of Payments  
to Influence Federal Transactions**

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing

Applicant Name

Morristown Housing Authority

Program/Activity Receiving Federal Grant Funding

FFY 2012 Five Year and Annual Agency Plan

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Marilyn Medley

Title

Executive Director

Signature



Date (mm/dd/yyyy)

10/12/2011

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB  
0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year <u>NA</u> quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Morristown Housing Authority 600 Sulphur Springs Road Morristown, Tennessee 37815  Congressional District, if known:	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b> NA  Congressional District, if known:	
<b>6. Federal Department/Agency:</b> U.S. Department of Housing and Urban Development	<b>7. Federal Program Name/Description:</b> FFY 2012 Five Year and Annual Agency Plan  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b> NA	<b>9. Award Amount, if known:</b> \$ 971,238	
<b>10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):</b>  NA	<b>b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):</b>  NA	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Marilyn Medley</u> Title: <u>Executive Director</u> Telephone No.: <u>(423) 586-5115</u> Date: <u>10/12/2011</u>	
<b>Federal Use Only:</b>	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

## **Comments of Resident Advisory Board**

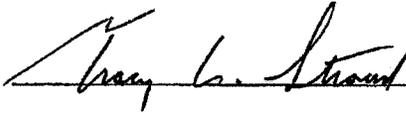
The Morristown Housing Authority (MHA) conducted its Resident Advisory Board (RAB) Meeting on August 2, 2011 at the John R. Johnson Community Room. The purpose of the meeting was to discuss the FY 2012 PHA Agency Plan with the Board and to receive their comments and recommendations relative to the contents of both the Five Year Plan and Annual Plan. A thorough explanation of the contents of the PHA Plan was discussed with the Board as well as how the MHA arrived with the information. The Board showed favorable consideration to the FY 2012 PHA Agency Plan and had no additional comments or suggestions relative to the capital improvements.

**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Tracy Stroud the Community Development Coordinator certify that the Five Year and  
Annual PHA Plan of the Morristown Housing Authority is consistent with the Consolidated Plan of  
City of Morristown prepared pursuant to 24 CFR Part 91.

 10-12-11

Signed / Dated by Appropriate State or Local Official