PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

PHA Information

PHA Name: Pennington County Housing and Redevelopment Commission — PHA Code: **SD045**

PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)

PHA Fiscal Year Beginning: (MM/YYYY): **04/01/2012**

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

Number of PH units: **500** Number of HCV units: **1329**

3.0 Submission Type

☒ 5-Year and Annual Plan ☐ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

To assure the availability of adequate, affordable housing to the low-income citizens of our community through the responsible administration of assistance programs, and the promotion of personal responsibility of our clients.

5.2 Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

Goal: Expand the supply of assisted housing.
Objectives:
1. Apply for additional units of Tenant Based Assistance, including those targeting specific clientele.
2. Acquire land for future development.
3. Acquire or build additional units.

Goal: Improve the quality of assisted housing:
Objectives:
1. Achieve High Performer Status under the Public Housing Assessment System (PHAS).
2. Achieve High Performer Status under the Section 8 Management Assessment Program (SEMAP).

Goal: Increase assisted housing choices.
Objectives:
1. Conduct outreach effort to potential voucher landlords.
2. Maintain site-based waiting lists for Public Housing.
3. Allow incentive transfers for Public Housing residents.

Goal: Provide an improved living environment.
Objectives:
1. Provide crime prevention activities, including additional police patrols and community policing efforts in Public Housing developments.
2. Work with local law enforcement agencies to provide for the exchange of information to aid in applicant screening, lease enforcement, and local law enforcement efforts.
3. Develop a comprehensive Disaster Plan for all PCHRC properties.

Goal: Ensure equal opportunity and affirmatively further fair housing.

	Objectives: 1. Provide staff with adequate training in the areas of Equal Opportunity, Fair Housing and Reasonable Accommodations. 2. Modernize Public Housing properties to fully comply with Section 504 Accessibility Standards. **Goal:** Maintain an efficient and capable team of employees who are willing and able to perform their duties to the highest professional standards. **Objectives:** 1. Maintain an attractive work environment and competitive wage and benefit package in order to attract and retain high quality employees. 2. Provide adequate training, both in-house and through outside sources, to give employees the necessary skills to excel in their duties. 3. Establish appropriate certification and/or licensing requirements for staff. **Goal:** Ensure full compliance with all applicable regulations and standards, including generally accepted accounting practices. **Objectives:** 1. Receive satisfactory scores on all HUD Compliance Reviews. 2. Have no significant audit findings. 3. Establish policies and procedures to meet HUD Section 3 requirements. **Goal:** Enhance the image of Public Housing in our community. **Objectives:** 1. Maintain all Public Housing units to standards that meet the surrounding neighborhood. 2. Respond to all complaints or concerns from local government or the citizens of our community within 48 hours. **Goal:** Increase energy efficiency in our Public Housing units. **Objectives:** 1. Implement energy saving projects in Public Housing properties.
6.0	**PHA Plan Update** (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** 1. Verification procedures were revised to comply with HUD changes. 2. Revisions were made to policies concerning screening criteria, preferences, unit inspections, grounds for terminations, and excess utility charges. 2. Minor revisions were made to correct or clarify polices and procedures. These changes did not make any significant policy changes. 3. Our analysis of the effects of Site-Based waiting lists and Deconcentration has been updated and is on file in our office. **Financial Resources.** The Statement of Financial Resources has been updated and is on file in our office. **Rent Determination.** No revision since last Annual Plan submission. **Operation and Management.** Section 8 Voucher Payment Standards were revised based on HUDs new Fair Market Rents. They are currently set between 90% and 110% of the FMRs. They will be evaluated throughout the year and adjusted if necessary. **Grievance Procedures.** No revision since last Annual Plan submission. **Designated Housing for Elderly and Disabled Families.** No revision since last Annual Plan submission. **Community Service and Self-Sufficiency.** No revision since last Annual Plan submission. **Safety and Crime Prevention.** No revision since last Annual Plan submission.

	Pets. No revision since last Annual Plan submission. **Civil Rights Certification.** Civil Rights Certification is on file in PCHRC office. **Fiscal Year Audit.** Audit Report is on file in PCHRC office. **Asset Management.** No revision since last Annual Plan submission. **Violence Against Women Act (VAWA).** No revision since last Annual Plan submission. (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. **Copies of the 5-Year and Annual PHA Plan may be obtained at 1805 West Fulton Street, Suite 101, Rapid City, South Dakota, 57702.**
7.0	**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.* **Hope VI** – The PCHRC has no current or planned Hope VI program. **Mixed Finance Modernization or Development** – The PCHRC has a goal of developing new affordable housing units. Mixed Finance Development may be utilized. **Demolition and/or Disposition** – The PCHRC currently has no plans to demolish or dispose of any Public Housing properties. **Conversion of Public Housing** – The PCHRC is currently investigating voluntarily converting some, or all, of its scattered site, single family homes to Housing Choice Vouchers. Based on the results of this investigation, an application may be submitted to HUD for approval to do so. **Homeownership Programs** – The PCHRC currently has no plans for a Public Housing Homeownership program. We currently operate a Section 8 homeownership program. We have not been successful in expanding the program to our goal of 25 units. Given the complexity of the program, and the small number of interested and qualified applicants, we have determined that the program can no longer be justified. We will discontinue it effective April 1, 2012. Existing homeownership vouchers will continue to be administered. **Project-based Vouchers** – The PCHRC will consider a Project-based voucher program for VASH vouchers.
8.0	**Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable.
8.1	**Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing. **See Attachment 6**
8.2	**Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. **See Attachment 7**
8.3	**Capital Fund Financing Program (CFFP).** ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. The PCHRC is utilizing Replacement Housing Factor funds to finance Public Housing Development.

9.0

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Pennington County Housing and Redevelopment Commission
Waiting List Analysis
As of November 1, 2011

	Section 8			Public Housing		
	Number	Percent	Annual Turnover	Number	Percent	Annual Turnover
Waiting list total	1482	100%	193	1878	100%	115
Extremely low income	1191	80%		1503	80%	
Very low income	252	17%		305	16%	
Low income	39	3%		70	4%	
Single Families	451	30%		286	15%	
Families with children	994	67%		1465	78%	
Elderly Families	70	5%		98	5%	
Families with disabilities	300	20%		361	19%	
White	592	40%		662	35%	
Black	33	2%		35	2%	
Indian/Alaskan	815	55%		1134	60%	
Asian	16	1%		14	1%	
Pacific Islander	4	<1%		4	<1%	
Hispanic	72	5%		95	5%	
Non Hispanic	784	53%		1069	57%	
One Bedroom				247	13%	
Two Bedroom				1009	54%	
Three Bedroom				487	26%	
Four Bedroom				135	7%	
Five Bedroom						

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.** **The PCHRC will utilize the following strategies to address the housing needs of our community:** **Utilization of existing resources.** The PCHRC will employ effective maintenance and management policies to minimize vacancy time for units off-line for renovation or modernization and reduce routine turnover time for vacated units. We will diligently monitor and manage our Section 8 program to maximize lease-up rates, within HUD limitations. We will market the program to property owners and monitor and set Voucher Payment Standards to balance the number of units available to participants with the assistance cost per unit. **Increase the number of affordable housing units.** 1. The PCHRC will apply for additional Section 8 units, including those targeting specific populations, should they become available. 2. The PCHRC will seek to renew and expand its Shelter Plus Care program. 3. The PHCRC will seek funding, other than Section 8, for Tenant Based assistance, including assistance targeting specific populations, from whatever sources become available. 4. The PCHRC will seek to build or acquire additional affordable rental units utilizing whatever funding sources are available. **Specific Family Types.** The PCHRC will monitor and evaluate waiting lists, applicable Consolidated Plans, and other available information to identify the needs of specific family types, including but not limited to, various income levels, the elderly, and families with disabilities. To address the needs of these specific family types we will seek funding targeting that specific group and/or consider utilization of preferences for admission to our existing programs.
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5- Year Plan **Goal:** Expand the supply of assisted housing **Objectives:** 1. Apply for additional units of Tenant Based Assistance, including those targeting specific clientele. 2. Acquire land for future development. 3. Acquire or build additional units. **Progress:** During the past year the PCHRC applied for and received renewal funding for twenty-five Shelter Plus Care Vouchers and twelve new Shelter Plus Care Vouchers. An application was submitted for renewal of twenty-five Shelter Plus Care Vouchers. No additional land was acquired. Replacement of ten units of Public Housing demolished at LaCrosse Street has been completed. **Goal:** Improve the quality of assisted housing: **Objectives:** 1. Achieve High Performer Status under the Public Housing Assessment System (PHAS). 2. Achieve High Performer Status under the Section 8 Management Assessment Program (SEMAP). **Progress:** For fiscal year ended March 31, 2011 the PCHRC was designated as a High Performer under SEMAP. PHAS scores have not yet been issued . **Goal:** Increase assisted housing choices **Objectives:** 1. Conduct outreach effort to potential voucher landlords. 2. Increase participation in the Section 8 Homeownership program to 25 families. 3. Maintain site-based waiting lists for Public Housing. 4. Allow incentive transfers for Public Housing residents.

Progress:
The PCHRC produces and distributes Landlord/Property Manager Handbooks to provide information on the Section 8 program to prospective landlords. We also provide outreach through our membership in the Black Hills Multifamily Housing Association. As of November 1, 2011 we have eleven participants in the Section 8 Homeownership program. We have determined that it is no longer a cost effective use of resources, and are discontinuing the program. We maintain site-based waiting lists for Public Housing and allow incentive transfers for Public Housing residents.

Goal: Provide an improved living environment.
Objectives:
1. Provide crime prevention activities, including additional police patrols and community policing efforts in Public Housing developments.
2. Work with local law enforcement agencies to provide for the exchange of information to aid in applicant screening, lease enforcement, and local law enforcement efforts.
3. Develop a comprehensive Disaster Plan for all PCHRC properties.

Progress:
The PCHRC contracts with the Rapid City Police Department for additional patrols of our Public Housing properties and other crime prevention activities. The contract also provides for the exchange of information to aid in applicant screening, lease enforcement and law enforcement efforts. The PCHRC is provided with arrest reports for all applicants and residents. A weekly report of all calls for service from Public Housing developments is provided by the police department. Follow-up reports are provided as needed. Progress has been made on a comprehensive Disaster Plan but it has not been completed.

Goal: Ensure equal opportunity and affirmatively further fair housing.
Objectives:
1. Provide staff with adequate training in the areas of Equal Opportunity, Fair Housing and Reasonable Accommodations.
2. Modernize Public Housing properties to fully comply with Section 504 Accessibility Standards.

Progress:
Several staff members attended Fair Housing training in the past year. A Fair Housing Committee, comprised of senior staff members has been established. The committee is responsible for development of fair housing policies, addressing reasonable accommodation requests, and reviewing all aspects of PCHRC operations from a fair housing perspective. Significant progress has been made in modernizing properties to fully comply with Section 504 Accessibility Standards. Additional work to improve accessibility is planned.

Goal: Maintain an efficient and capable team of employees who are willing and able to perform their duties to the highest professional standards.
Objectives:
1. Maintain an attractive work environment and competitive wage and benefit package in order to attract and retain high quality employees.
2. Provide adequate training, both in-house and through outside sources, to give employees the necessary skills to excel in their duties.
3. Establish appropriate certification and/or licensing requirements for staff.

Progress:
The PCHRC offers a competitive wage and benefit package to attract and retain quality employees. Wages and Benefits are reviewed periodically to ensure they remain competitive. Training is provided to staff on a regular basis. Licensing requirements have been established for property managers and housing caseworkers. Certification requirements are being developed for other staff positions. Formal revisions to job descriptions will be completed in the near future.

Goal: Ensure full compliance with all applicable regulations and standards, including generally accepted accounting practices.
Objectives:
1. Receive satisfactory scores on all Compliance Reviews.
2. Have no significant audit findings.
3. Establish policies and procedures to meet HUD Section 3 requirements.

Progress:
Satisfactory scores have been received on all Compliance Reviews in the past year. The PCHRC has had no audit findings for its fiscal year ended March 31, 2011. Steps have been taken to increase Section 3 compliance. What constitutes full compliance with Section 3 remains vague, with considerable disagreement even among HUD staff. Policies and procedures will be updated as further guidance is received.

	Goal: Operate all developments in a fiscally responsible manner. **Objectives:** 1. Maintain operating reserves of at least 100% of estimated annual operating expenditures. **Progress:** Income exceeded expenses for the year. Operating reserves are well in excess of 100% of estimated operating expenditures. It now appears that HUD will offset 2012 Operating Subsidy with reserves in excess of four months operating expenses. As a result of this policy, this goal cannot be carried forward to future years. **Goal:** Enhance the image of Public Housing in our community. **Objectives:** 1. Maintain all Public Housing units to standards that meet the surrounding neighborhood. 2. Respond to all complaints or concerns from local government or the citizens of our community within 48 hours. **Progress:** All Public Housing units have been kept up to neighborhood standards. Complaints have been responded to within 48 hours. **Goal:** Increase energy efficiency in Public Housing units. **Objectives:** 1. Implement energy saving projects Public Housing projects. **Progress:** The PCHRC is in the process of upgrading lawn irrigation systems, lighting, refrigerators and toilets to increase energy efficiency and reduce water consumption. (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" **Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plan or policies of the housing authority that fundamentally change the mission, goals, objectives or plans of the agency and which require formal approval of the board of Commissioners.**
11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) **Attachment 1** (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) **Attachment 2** (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) **Attachment 3** (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) **Attachment 4** (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) **NA** (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. **Attachment 5** (g) Challenged Elements **N/A** (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only*)* **Attachment 6** (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only) **Attachment 7**

Attachment 1

Resolution No. 832

PHA Certifications of Compliance with PHA Plans and Related Regulations

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-*Year and Annual* PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the X *5-Year and/or* X *Annual PHA Plan for the PHA fiscal year beginning, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
    (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
    (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
    (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

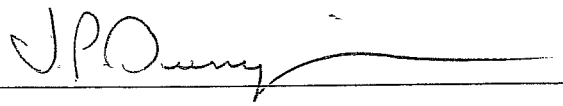
PENNINGTON COUNTY HOUSING AND REDEVELOPMENT COMMISSION
PHA Name

SD045
PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2012 2016

Annual PHA Plan for Fiscal Years 2012 20

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
J.P. DUNIPHAN	CHAIRMAN, BOARD OF COMMISSIONERS
Signature 	Date DECEMBER 21,2011

ertification for Drug-Free Workplace

U.S. Department of Housing and Urban Development

Attachment 2

plicant Name

ennington County Housing and Redevelopment Commission

ogram/Activity Receiving Federal Grant Funding

ublic Housing Operating Fund, Capital Fund Program, Section 8 Housing Choice Voucher Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to e Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unwful manufacture, distribution, dispensing, possession, or use a controlled substance is prohibited in the Applicant's workace and specifying the actions that will be taken against nployees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to form employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free orkplace;

(3) Any available drug counseling, rehabilitation, and nployee assistance programs; and

(4) The penalties that may be imposed upon employees r drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged the performance of the grant be given a copy of the statement quired by paragraph a.;

d. Notifying the employee in the statement required by paraaph a. that, as a condition of employment under the grant, the nployee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See Attached

eck here ☐ if there are workplaces on file that are not identified on the attached sheets.

ereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

arning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

me of Authorized Official	Title
ouglas D. Wells	Executive Director
gnature	**Date**
[signature]	12-28-11

form **HUD-50070** (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

Pennington County Housing and Redevelopment Commission
Public Housing Program
Sites for Work Performance

Street Address	City	County	State	Zip
636 CATHEDRAL DR.	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1805 W.FULTON ST.	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
215 CURTIS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
221 CURTIS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
227 CURTIS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
235 CURTIS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
243 CURTIS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
251 CURTIS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1303 WOOD AVENUE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1311 WOOD AVENUE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1515 N 7TH STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1519 N 7TH STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
303 DOOLITTLE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
309 DOOLITTLE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
315 DOOLITTLE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
321 DOOLITTLE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
403 DOOLITTLE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
310 MACARTHUR	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
316 MACARTHUR	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
322 MACARTHUR	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
404 MACARTHUR	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
101 LACROSSE STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
115 LACROSSE STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
920 BLAINE #1	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
920 BLAINE #2	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
920 BLAINE #3	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
416 6TH AVENUE	WALL	PENNINGTON	SOUTH DAKOTA	57790
305 E ADAMS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
307 E ADAMS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
311 E ADAMS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
313 E ADAMS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
319 E ADAMS STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
923 E OAKLAND	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
930 E OAKLAND	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
936 E OAKLAND	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
316 CURTIS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
322 CURTIS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
402 CURTIS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
716 MILWAUKEE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
2601 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702

Pennington County Housing and Redevelopment Commission
Public Housing Program
Sites for Work Performance

Street Address	City	County	State	Zip
2602 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2605 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2606 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2609 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2610 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2613 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2614 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2617 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2618 CASTLE HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2801 SHERIDAN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2802 SHERIDAN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2805 SHERIDAN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2809 SHERIDAN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2602 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2606 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2610 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2701 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2702 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2706 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2710 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2715 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2719 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2801 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2802 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2805 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2806 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2809 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2812 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2816 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2820 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2601 SHERIDAN LAKE ROAD	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2709 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2715 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2601 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2605 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2609 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2701 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2705 QUEEN HEIGHTS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
1111 E ST FRANCIS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
2601 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702

Pennington County Housing and Redevelopment Commission
Public Housing Program
Sites for Work Performance

Street Address	City	County	State	Zip
2609 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2615 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2705 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2709 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2714 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2718 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
2815 MINNETONKA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57702
330 PHILADELPHIA STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3500 BLACK FOX DRIVE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3510 BLACK FOX DRIVE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3560 BLACK FOX DRIVE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3590 BLACK FOX DRIVE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1102 BLUEBIRD STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1106 BLUEBIRD STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1107 BLUEBIRD STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1110 BLUEBIRD STREET	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1113 BLUEBIRD	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1118 BLUEBIRD	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3306 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3307 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3314 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3315 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3320 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3326 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3402 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3403 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3408 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3411 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3412 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3417 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3418 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3424 HEMLOCK	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1937 HILLSVIEW DR.	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1645 EVERGREEN	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
2410 LOCKWOOD	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
2411 ALAMO	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
1055 E OHIO	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
908 E TALLENT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
520 E TALLENT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
132 E MEADE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701

Pennington County Housing and Redevelopment Commission
Public Housing Program
Sites for Work Performance

Street Address	City	County	State	Zip
204 E FAIRMONT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
121 E FAIRMONT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
102 FAIRMONT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
17 FAIRMONT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
2914 WISCONSIN	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3319 WISCONSIN	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3209 LYNNWOOD	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3317 ARIZONA	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
13 TEXAS	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3904 HAMPTON COURT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3905 HAMPTON COURT	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
623 E CENTENNIAL	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3616 IVY AVENUE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3717 IVY AVENUE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
3629 LOCUST	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
849 E SYCAMORE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701
929 E SYCAMORE	RAPID CITY	PENNINGTON	SOUTH DAKOTA	57701

Attachment 3

ertification of Payments
Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

plicant Name

ennington County Housing and Redevelopment Commission

ogram/Activity Receiving Federal Grant Funding

ublic Housing Operating Fund, Capital Fund Program, Section 8 Housing Choice Voucher Program

e undersigned certifies, to the best of his or her knowledge and belief, that:

) No Federal appropriated funds have been paid or will be id, by or on behalf of the undersigned, to any person for fluencing or attempting to influence an officer or employee of agency, a Member of Congress, an officer or employee of ongress, or an employee of a Member of Congress in connec- on with the awarding of any Federal contract, the making of any deral grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, newal, amendment, or modification of any Federal contract, ant, loan, or cooperative agreement.

) If any funds other than Federal appropriated funds have en paid or will be paid to any person for influencing or tempting to influence an officer or employee of an agency, a ember of Congress, an officer or employee of Congress, or an nployee of a Member of Congress in connection with this deral contract, grant, loan, or cooperative agreement, the ndersigned shall complete and submit Standard Form-LLL, isclosure Form to Report Lobbying, in accordance with its structions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

ame of Authorized Official	Title
Douglas D. Wells	Executive Director

gnature	Date (mm/dd/yyyy)
[signature]	12-28-11

revious edition is obsolete

form HUD 50071 (3/98)
ref. Handboooks 7417.1, 7475.13, 7485.1, & 7485.3

Attachment 4

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB
0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

1. Type of Federal Action:	2. Status of Federal Action:	3. Report Type:
[ ] a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	[b] a. bid/offer/application b. initial award c. post-award	[a] a. initial filing b. material change **For Material Change Only:** year _____ quarter _____ date of last report _____

4. Name and Address of Reporting Entity:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:
[x] Prime [ ] Subawardee Tier _____, *if known*: **Congressional District**, *if known*: 4c SD001	**Congressional District**, *if known*:
6. Federal Department/Agency: U.S. Department of Housing and Urban Development	**7. Federal Program Name/Description:** CFDA Number, *if applicable*: 14.871
8. Federal Action Number, *if known*:	**9. Award Amount**, *if known*: $
10. a. Name and Address of Lobbying Registrant (*if individual, last name, first name, MI*): one	**b. Individuals Performing Services** (*including address if different from No. 10a*) (*last name, first name, MI*): N/A

Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature: [signature]
Print Name: Douglas D. Wells
Title: Executive Director
Telephone No.: (605) 394-5350 Date: _____

Federal Use Only:

Authorized for Local Reproduction
Standard Form LLL (Rev. 7-97)

Attachment 5

Summary of Comments Received From Residents, Other Agencies, the Resident Advisory Panel, and the General Public

Comment: Would the PCHRC consider replacing ranges because the current appliances are old, have no storage, and do not maintain a constant/consistent heat during baking.
Response: While range replacement is in the current Kitchen Remodel plan for Prairie Village, there is no current plan to replace all ranges at other properties. Individual ranges will be replaced on an as-needed basis.

Comment: Would the PCHRC consider adding additional Handicap Accessible Parking at Prairie Village?
Response: There are currently no plans to add additional parking spaces at Prairie Village. Parking lot repairs and maintenance is included the five year plan and an evaluation of the best mix of regular spaces and accessible spaces will be completed at that time.

Comment: Would the PCHRC consider adding a pool table with the lobby remodel at River Ridge?
Response: While the planned lobby remodel will create additional sitting space for the residents of River Ridge, there will not be room for a pool table.

Comment: Is there any plan for the PCHRC to install new carpeting in the individual dwelling units at Jackson Heights, Valley View and River Ridge?
Response: There is currently no plan to replace carpeting in all the units. but instead the PCHRC replaces carpeting on an as needed basis.

Comment: Would the PCHRC consider making smaller individual Women and Men bathrooms rather than the unisex bathroom called for in the planned lobby remodel at River Ridge?
Response: While the PCHRC agrees that separate bathrooms are desirable, the space available does not allow for two bathrooms that meet accessibility standards.

Comment: The windows in the dining room at River Ridge do not seem to be very efficient.
Response: These windows were evaluated in conjunction with a recent energy audit. It was determined that the energy savings would not justify the cost. While this item is not currently in our five year plan, the project is included in our long term needs assessment.

Comment: Would it be possible to get more moisture in the individual dwelling units at Valley View?
Response: Because the building utilizes hot water heat rather than a forced air system we believe that the cost of humidifying the building would be high. We have no current plans to install a humidifier system. We will investigate the issue to determine if humidity levels are a problem, and if so, is a cost effective solution available.

Comment: Would the PCHRC consider a no food/no drink policy in its common areas to prevent floor stains caused by people eating and drinking.
Response: While there are occasional problems with food and drink in our common areas, we do not feel such a policy is needed.

Comment: Would the PCHRC consider security cameras in its parking lots?
Response: Installation of security cameras are currently in the five year plan and an evaluation will be given to their proper placement.

Comment: Would the PCHRC consider installing benches in the grassy area under the trees at Jackson Heights?
Response: While the PCHRC will consider and evaluate installation of benches, it is initially concerned about handicap accessibility.

Comment: Would the PCHRC consider project basing vouchers?
Response: The PCHRC will consider project basing VASH Vouchers if the Veterans Administration supports this approach to administration of the program. We have no plans to project base regular Housing Choice Vouchers.

Comment: The Resident Advisory Panel was asked for input on Waiting List Preferences, Site Based Waiting Lists, Criminal Background Screening, and Project Basing Section 8 Vouchers.
Response: The Panel stated that they liked the current system of site based waiting lists. They agreed with the current preferences, but expressed support for denying a preference to applicants who did not respond to or declined an offer of assistance without good cause. They agreed with a proposal to ease screening criteria for minor crimes, and extend the denial period for felony crimes. They agreed that stricter standards should be applied to termination of assistance than to applications for assistance.
Response: Policies adopted in the PHA Plan are consistent with these views.

Several other maintenance issues and questions were brought up and will be addressed by maintenance staff.

Annual S[illegible]ent/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urb[illegible]evelopment
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD04550112 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant: 2012** **FFY of Grant Approval:**

Type of Grant
☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**
☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	$ 60,762.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$ 45,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	$ 68,861.00			
10	1460 Dwelling Structures	$ 195,000.00			
11	1465.1 Dwelling Equipment—Nonexpendable	$ 71,000.00			
12	1470 Non-dwelling Structures	$ 35,000.00			
13	1475 Non-dwelling Equipment	$ 132,000.00			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Attachment 6

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD04550112 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2012** **FFY of Grant Approval:**

Type of Grant

☒ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☐ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	$ 607,623.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	$ 116,000.00			

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**
[signature]	12-27-11		

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD04550112 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2012**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD045000011:	Kitchen and Bathroom Flooring	1460	286 units	$150,000.00				
	Trash Compactor Upgrades	1475	2 bldgs.	$100,000.00				
	Window Replacement - Prairie Village	1460	28 units	$ 45,000.00				
	Lobby and Office Renovation - River Ridge.	1470	1 bldgs.	$ 35,000.00				
	Pickup and Snow Plow	1475	1	$ 32,000.00				
	Grounds Upgrades	1450	5 sites.	$ 10,000.00				
	Parking Lot Upgrades	1450	5 sites	$ 35,000.00				
SD045000016:	Refrigerator Replacement	1465.1	177 units	$ 71,000.00				
	Grounds Upgrades	1450	21 units	$ 20,000.00				
	Parking Lot Upgtrades	1450	1 site	$ 3,861.00				
PHA-Wide:	A/E Fees	1430	NA	$ 45,000.00				
	Administration	1410	NA	$ 60,762.00				

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name:	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant:**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2012**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD045000011	03/31/2014		03/31/2015		
SD045000016	03/31/2014		03/31/2015		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					**Federal FFY of Grant:**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Performance and Evaluation Reports on Active Capital Fund Grants

Part I: Summary

PHA Name:	Grant Type and Number	FFY of Grant:
Pennington County Housing and Redevelopment Commission	Capital Fund Program Grant No: SD04550109 Date of CFFP: _____ Replacement Housing Factor Grant No:	2009 FFY of Grant Approval:

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 9/30/2011 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	$78,144.00	$78,144.00	$78,144.00	$78,144.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	$37,000.00	$23,475.08	$23,475.08	$23,475.08
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	$574,000.00	$554,179.80	$554,179.80	$554,179.80
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	$50,000.00	$125,641.12	$125,641.12	$75,209.36
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	$42,296.00	$0.00	$0.00	$0.00
20	Amount of Annual Grant: (sum of lines 2 – 19)	$781,440.00	$781,440.00	781,440.00	$731,008.24
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities	$135,000.00	$215,637.20	$156,637.20	$156,637.20
23	Amount of line 20 Related to Security – Soft Costs				
24	Amount of line 20 Related to Security – Hard Costs	$34,000.00	$0.00	$0.00	$0.00
25	Amount of line 20 Related to Energy Conservation Measures				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part I: Summary

PHA Name:	Grant Type and Number	FFY of Grant:
Pennington County Housing and Redevelopment Commission	Capital Fund Program Grant No: SD04550109 Date of CFFP: _____ Replacement Housing Factor Grant No:	2009 FFY of Grant Approval:

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no:)
☑ Performance and Evaluation Report for Period Ending: 9/30/11 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
	Signature of Executive Director	**Date** 11/14/2011	**Signature of Public Housing Director**		**Date**

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD06P04550109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant:** 2009

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
SD06P045001	Bathroom upgrades	1460	202 units	$193,000.00	$198,007.12	$198,007.12	$198,007.12	Completed
SD06P045001	Hot Water Heater	1475	1 Bldg	$0.00	$16,500.00	$16,500.00	$16,500.00	Completed
SD06P045001	Hot Water Heater	1475	1 Bldg	$0.00	$14,073.00	$14,073.00	$14,073.00	Completed
SD06P045001	Fire Alarm Upgrade	1475	1 Bldg	$0.00	$50,431.76	$50,431.76	$0.00	In Progress
SD06P045002	Bathroom upgrades	1460	1 Unit	$0.00	$1,412.51	$1,412.51	$1,412.51	Completed
SD06P045003	Bathroom upgrades	1460	28 units	$34,000.00	$43,536.58	$43,536.58	$43,536.58	Completed
SD06P045003	Electronic access system	1460	1 bldg.	$34,000.00	$0.00	$0.00	$0.00	Deleted
SD06P045004	Deck Constr, Concrete at HDCP Unit	1460	1 Unit	$0.00	$0.00	$0.00	$0.00	Deleted
SD06P045005/6	504 Accessibility conversion	1460	3 units	$135,000.00	$141,532.57	$141,532.57	$141,532.57	Completed
SD06P045005/6	Roofing	1460	9 units	$36,000.00	$0.00	$0.00	$0.00	Deleted
SD06P045005/6	Bathroom upgrades	1460	45 units	$0.00	$101,061.31	$101,061.31	$101,061.31	Completed
SD06P045005/6	Deck Constr, Concrete at HDCP Unit	1460	2 units	$0.00	$0.00	$0.00	$0.00	Deleted
SD06P045007	Bathroom upgrades	1460	56 units	$68,000.00	$53,525.08	$53,525.08	$53,525.08	Completed
SD06P045009	504 Accessibility conversion	1460	1 Unit	$0.00	$3,210.92	$3,210.92	$3,210.92	Completed
SD06P045009	Deck Constr, Concrete at HDCP Unit	1460	2 units	$0.00	$0.00	$0.00	$0.00	Deleted
SD06P045009	Water Closets	1460	30 units	$0.00	$0.00	$0.00	$0.00	Deleted
SD06P045010	Roofing	1460	1 unit	$4,000.00	$0.00	$0.00	$0.00	Deleted
SD06P045010	Garages additions	1460	3 units	$70,000.00	$0.00	$0.00	$0.00	Deleted
SD06P045010	504 Accessibility conversion	1460	1 unit	$0.00	$11,893.71	$11,893.71	$11,893.71	Completed

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD04550109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:	**Federal FFY of Grant:** 2009

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated [2]	Funds Expended [2]	
SD06P045010	Deck Constr, Concrete at HDCP Unit	1460	1 unit	$0.00	$0.00	$0.00	$0.00	Deleted
PHA-Wide	A/E Fees	1430		$37,000.00	$23,475.08	$23,475.08	$23,475.08	Completed
PHA-Wide	Grounds Equipment - Central Maint.	1475		$50,000.00	$44,636.36	$44,636.36	$44,636.36	Completed
PHA-Wide	Contingency	1502		$42,296.00	$0.00	$0.00	$0.00	Deleted
PHA-Wide	Administration	1410		$78,144.00	$78,144.00	$78,144.00	$78,144.00	Completed

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment					**Federal FFY of Grant:** 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD06P045001	9/15/2011	8/18/2011	9/15/2012		
SD06P045002	9/15/2011	8/18/2011	9/15/2012		
SD06P045003	9/15/2011	8/18/2011	9/15/2012		
SD06P045004	9/15/2011	8/18/2011	9/15/2012		
SD06P045005/6	9/15/2011	8/18/2011	9/15/2012		
SD06P045007	9/15/2011	8/18/2011	9/15/2012		
SD06P045009	9/15/2011	8/18/2011	9/15/2012		
SD06P045010	9/15/2011	8/18/2011	9/15/2012		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:					**Federal FFY of Grant:**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	Grant Type and Number Capital Fund Program Grant No: SD06P045501010 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2010 FFY of Grant Approval:

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no)
☒ Performance and Evaluation Report for Period Ending: 9/30/2011 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	77071.00	77071.00	77071.00	77071.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35000.00	58145.00	32540.00	18260.00
8	1440 Site Acquisition				
9	1450 Site Improvement	25000.00	19771.34	19771.34	19771.34
10	1460 Dwelling Structures	630000.00	465960.42	43995.00	43995.00
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment		149768.24	149768.24	5141.68
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual St. ent/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban velopment
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No SD06P045501010 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2010** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending:** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	3645.00	0.00	0.00	0.00
20	Amount of Annual Grant:: (sum of lines 2 - 19)	770716.00	770716.00	323145.58	164239.02
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs	42000.00	42000.00	0.00	0.00
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date 11/14/11**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD06P045501010 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD06P045001	Heating Control Valve Replacement (JH/VV)	1460	64 Units	25000.00	25000.00	0.00	0.00	Out for Bids
SD06P045001	Common Area Flooring (JH)	1460	1 Bldg.	45000.00	55000.00	0.00	0.00	Out for Bids
SD06P045001	Fire Alarm Upgrade (JH/VV)	1460	2 Bldgs	150000.00	0.00	0.00	0.00	Moved to 1475
SD06P045001	Fire Alarm Upgrade (JH/VV)	1475	2 Bldgs	0.00	88368.24	88368.24	0.00	In Progress
SD06P045002	Replace Exterior Locks	1460	40 Units	42000.00	42000.00	0.00	0.00	In Planning
SD06P045002	Wiring-Communications Cable	1460	40 Units	64000.00	0.00	0.00	0.00	Deleted
SD06P045002	Toilet Replacement	1460	40 Units	0.00	19632.00	0.00	0.00	In Planning
SD06P045003	Kitchen Remodel	1460	28 Units	140000.00	160000.00	0.00	0.00	In Planning
SD06P045003	Fire Alarm Upgrade	1475	1 Bldg.	0.00	21400.00	21400.00	0.00	In Progress
SD06P045004	Exterior Upgrades	1460	6 Units	105000.00	43995.00	43995.00	43995.00	Completed
SD06P045004	Wiring-Communications Cable	1460	16 Units	28000.00	0.00	0.00	0.00	Deleted
SD06P045004	Deck Construction and Concrete HDCP Units	1460	1 Unit	0.00	13000.00	0.00	0.00	In Planning
SD06P045004	Toilet Replacement	1460	30 Units	0.00	11535.00	0.00	0.00	In Planning
SD06P045005/6	Deck Construction and Concrete HDCP Units	1460	2 Units	0.00	20000.00	0.00	0.00	In Planning
SD06P045005/6	Toilet Replacement	1460	48 Units	0.00	23180.00	0.00	0.00	In Planning
SD06P045008	Fire Alarm Upgrade	1475	1 Bldg.	0.00	40000.00	40000.00	5141.68	In Progress
SD06P045009	Wiring-Communications Cable	1460	30 Units	31000.00	0.00	0.00	0.00	Deleted
SD06P045009	Erosion Control/Fence	1450	1 Site	25000.00	19771.34	19771.34	19771.34	Completed
SD06P045009	Deck Construction and Concrete HDCP Units	1460	2 Units	0.00	16000.00	0.00	0.00	In Planning
SD06P045009	Toilet Replacement	1460	30 Units	0.00	14474.00	0.00	0.00	In Planning
SD06P045010	Deck Construction and Concrete HDCP Units	1460	1 Unit	0.00	10000.00	0.00	0.00	In Planning
SD06P045010	Toilet Replacement	1460	25 Units	0.00	12144.42	0.00	0.00	In Planning
PHA-Wide	Administration	1410		77071.00	77071.00	77071.00	77071.00	Completed
PHA-Wide	A/E Fees	1430		35000.00	58145.00	32540.00	18260.00	On-Going
PHA-Wide	Contingency	1502		3645.00	0.00	0.00	0.00	Deleted

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD06P045501010 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2010**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD06P045001	7/14/2012		7/14/2014		
SD06P045002	7/14/2012		7/14/2014		
SD06P045003	7/14/2012		7/14/2014		
SD06P045004	7/14/2012		7/14/2014		
SD06P045005/6	7/14/2012		7/14/2014		
SD06P045008	7/14/2012		7/14/2014		
SD06P045009	7/14/2012		7/14/2014		
SD06P045010	7/14/2012		7/14/2014		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2010**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and I Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	Grant Type and Number Capital Fund Program Grant No: SD06P04550111 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2011 FFY of Grant Approval:

Type of Grant
☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (revision no)
☒ Performance and Evaluation Report for Period Ending: 9/30/2011 ☐ Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	66046.00		66046.00	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	294559.00			
11	1465.1 Dwelling Equipment—Nonexpendable	126500.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	173355.00		86225.00	
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]				

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual St ıent/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban velopment
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No SD06P04550111 Replacement Housing Factor Grant No: Date of CFFP:	**FFY of Grant:2011** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/11** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	660460.00		152271.00	
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	421059.00			

Signature of Executive Director	**Date 11/14/11**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

U.S. Department of Housing and Urban elopment
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD06P04550111 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD045000011:	Refrigerator Replacement	1465.1	289 Units	126500.00		0.00	0.00	In Planning
	Lighting Upgrades	1460	7 Bldgs	195000.00		0.00	0.00	In Planning
	Toilet Replacements	1460	295 Units	99559.00		0.00	0.00	In Planning
	Domestic water heater & tank replacement	1475	2 Bldgs	88000.00		0.00	0.00	In Planning
	Rooftop HVAC replacement	1475	1 Bldg	85355.00		86225.00	0.00	In Progress
PHA-Wide	Administration	1410		66046.00		66046.00	0.00	In Progress

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: SD06P04550111 CFFP (Yes/ No): Replacement Housing Factor Grant No:	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2011**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD045000011	08/02/2013		08/02/2015		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2011**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550108 Date of CFFP:	**FFY of Grant: 2008** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]	20008.00		20008.00	20008.00

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550108 Date of CFFP:	**FFY of Grant: 2008** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/11** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	20008.00		20008.00	20008.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**
[signature]	12-28-11		

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550108	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD06P045002	Reconstruction of Demolished Units	1499	10 units	20008.00		20008.00	20008.00	Complete

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550108	**Federal FFY of Grant: 2008**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment Commission

Federal FFY of Grant: 2008

Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD06P045002	06/12/2010		06/12/2012	05/16/2011	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2008**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550109 Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]	17572.00		17572.00	17572.00

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550109 Date of CFFP:	**FFY of Grant: 2009** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/11** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	17572.00		17572.00	17572.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**
[signature]	12-28-11		

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550109	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD06P045002	Reconstruction of Demolished Units	1499	10 units	17572.00		17572.00	17572.00	Complete

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550109	**Federal FFY of Grant: 2009**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2009**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD06P045002	09/14/2011		09/14/2013	06/10/2011	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2009**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550110 Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval:**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no)**
☒ **Performance and Evaluation Report for Period Ending: 9/30/2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]	16677.00		14154.50	14154.50

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550110 Date of CFFP:	**FFY of Grant: 2010** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/11** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	16677.00		14154.50	14154.50
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**
[signature]	12-28-11		

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550110	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD06P045002	Reconstruction of Demolished Units	1499	10 units	16677.00		14154.50	14154.50	In Planning

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550110	**Federal FFY of Grant: 2010**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2010**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD06P045002	07/14/2012		07/14/2014		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2010**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550111 Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval:**

Type of Grant
☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no)**
☒ **Performance and Evaluation Report for Period Ending: 9/30/2011** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised[2]	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) [3]				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities [4]	14281.00		0.00	0.00

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No Replacement Housing Factor Grant No: SD06R04550111 Date of CFFP:	**FFY of Grant: 2011** **FFY of Grant Approval:**

Type of Grant

☐ **Original Annual Statement** ☐ **Reserve for Disasters/Emergencies** ☐ **Revised Annual Statement (revision no:)**

☒ **Performance and Evaluation Report for Period Ending: 9/30/11** ☐ **Final Performance and Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	14281.00		0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**

[1] To be completed for the Performance and Evaluation Report.
[2] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
[3] PHAs with under 250 units in management may use 100% of CFP Grants for operations.
[4] RHF funds shall be included here.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550111	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	
SD06P045002	Reconstruction of Demolished Units	1499	10 units	14281.00		0.00	0.00	In Planning

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages

PHA Name: Pennington County Housing and Redevelopment Commission	**Grant Type and Number** Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: SD06R04550111	**Federal FFY of Grant: 2011**

Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised [1]	Funds Obligated[2]	Funds Expended[2]	

[1] To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

[2] To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2011**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
SD06P045002	08/02/2013		08/02/2015		

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Pennington County Housing and Redevelopment Commission					**Federal FFY of Grant: 2011**
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary

PHA Name/Number: Pennington County Housing and Redevelopment Commission (SD045)			Locality (City/County & State) Pennington County, South Dakota		☒**Original 5-Year Plan** ☐**Revision No:**	
A.	Development Number and Name	Work Statement for Year 1FFY 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
B.	Physical Improvements Subtotal SD045000011 SD045000016	Annual Statement	$ 315,000.00 $ 231,861.00	$ 35,000.00 $ 509,000.00	$ 266,000.00 $ 283,500.00	$ 887,000.00 $ .00
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		$ 60,762.00	$ 60,762.00	$ 60,762.00	$ 60,762.00
F.	Other (Contingency & A/E					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		$ 607,623.00	$ 604,762.00	$ 610,262.00	$ 947,762.00
L.	Total Non-CFP Funds					
M.	Grand Total		$ 607,623.00	$ 604,762.00	$ 610,262.00	$ 947,762.00

Attachment 7

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2013 FFY _____			Work Statement for Year: 2014 FFY _____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	SD045000011 :			SD045000011:		
	Exterior Painting and Dryvit Repairs	3 bldgs.	$ 255,000.00	Parking lot upgrades – Edwards Manor	1 site	$ 35,000.00
	504 Accessibility Conversions	2 units	$ 60,000.00			
	SD045000016:			SD045000016:		
	Furnaces/Central Air Conditioning	54 units	$231,861.00	Furnaces/Central Air Conditioning	113 units	$ 509,000.00
	Subtotal of Estimated Cost		$ 546,861.00	Subtotal of Estimated Cost		$ 544,000.00

Part II: Supporting Pages – Physical Needs Work Statement(s)

Work Statement for Year 1 FFY 2012	Work Statement for Year 2015 FFY _____			Work Statement for Year: 2016 FFY _____		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	SD045000011:			SD045000011:		
	Parking lot upgrades – River Ridge	1 bldg.	$ 40,000.00	Fire Sprinkler System – Edwards Manor	3 bldgs.	$310,000.00
	Kitchen upgrades – Edwards Manor	3 bldgs.	$ 36,000.00	Emergency Generator Replacement	3 bldgs.	$15,000.00
	Security Cameras	3 bldgs.	$ 60,000.00	Boiler Replacement	3 bldgs.	$45,000.00
	Roofing Upgrades	3 bldgs.	$130,000.00	AC Condenser Unit Replacement	3 bldgs.	$20,000.00
				Water Storage Tank Replacement	3 bldgs.	$42,000.00
	SD045000016:			Water Heater Replacement	3 bldgs.	$20,000.00
	Window Blinds	177 units	$15,000.00	Common Area Flooring	1 bldg.	$45,000.00
	Bathroom Upgrades	25 units	$47,500.00	Trash Compactor Replacement–River Ridge	1 bldg.	$40,000.00
	Roofing Upgrades	20 units	$70,000.00	Electronic Door Locks	3 bldgs.	$350,000.00
	Parking Lot Upgrades	5 sites	$26,000.00			
	Kitchen Upgrades	25 units	$125,000.00			
	Subtotal of Estimated Cost		$ 549,500.00	Subtotal of Estimated Cost		$ 887,000.00

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY _____	Work Statement for Year _____ FFY _____		Work Statement for Year: _____ FFY _____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement				
	Subtotal of Estimated Cost	$	Subtotal of Estimated Cost	$

Part III: Supporting Pages – Management Needs Work Statement(s)

Work Statement for Year 1 FFY _____	Work Statement for Year _____ FFY _____		Work Statement for Year: _____ FFY _____	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See Annual Statement				
	Subtotal of Estimated Cost	$	Subtotal of Estimated Cost	$