



6.0	<p><b>PHA Plan Update</b></p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:  (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>(a) None</p> <p>(b) Administrative Office  Winbrook  223 Martin Luther King Blvd.  White Plain, NY 10601</p> <p>Lakeview Apartments  120 Lake Street  White Plains, NY 10604</p> <p>Schyler/Dekalb Apartments  86 De Kalb Ave  White Plains, NY 10605</p> <p>Also available information for the following items at the locations listed above:</p> <ol style="list-style-type: none"> <li>1. Eligibility, Selection and Admission Policies, including Deconcentration and Wait List Procedures.</li> <li>2. Financial Resources</li> <li>3. Rent Determinations</li> <li>4. Operation and Management Procedures</li> <li>5. Grievance Procedures</li> <li>6. Designated Housing for Elderly and Disable Families (None are planned for the upcoming year)</li> <li>7. Community Service and Self-Sufficiency</li> <li>8. Safety and Crime Prevention</li> <li>9. Pet Policy</li> <li>10. Civil Rights Certifications</li> <li>11. Fiscal Year Audit</li> <li>12. Asset Management Plans</li> <li>13. Violence Against Women Act (VAWA)</li> </ol>
7.0	<p><b>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.</b> <i>Include statements related to these programs as applicable.</i></p> <p>See attachment NY042L02</p>
8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p><b>Attachment NY042a02 – Annual Statement NY36P04250112</b>  <b>Attachment NY042c02 – P&amp;E NY36P04250110</b>  <b>Attachment NY042d02 – P&amp;E NY36P04250111</b>  <b>Attachment NY042e02 - P&amp;E NY04200000109E</b>  <b>Attachment NY042f02 - P&amp;E FR-5415-N-21</b>  <b>Attachment NY042g02 - P&amp;E NY36P00350110</b>  <b>Attachment NY042h02 - Certifications</b>  <b>Attachment NY042i02 - VAWA</b>  <b>Attachment NY042j02 - Strategy for Addressing Housing Needs</b>  <b>Attachment NY042k02 – RAB Comments</b>  <b>Attachment NY042L02 – Demolition / Disposition Narrative</b></p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p><b>Attachment NY042b02</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b></p> <p><input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion
Income <= 30% of AMI	2,052	5	5	5	5	5	5
Income >30% but <=50% of AMI	1,145	5	5	4	4	4	4
Income >50% but <80% of AMI	504	5	5	4	4	4	4
Elderly	760	5	5	4	5	4	4
Families with Disabilities	840	5	5	4	5	4	4
Black NH	866	5	5	4	4	4	4
Hispanic	1,808	5	5	4	4	4	4
White-Non-Hispanic	1,773	5	5	4	4	4	4
Asian NH	214	5	5	4	4	4	4
Pacific Island. NH	0	5	5	4	4	4	4
Native Amer. NH	4	5	5	4	4	4	4

\* U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset

9.0

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	272		
Extremely low income <=30% AMI	236	87	
Very low income (>30% but <=50% AMI)	31	11	
Low income (>50% but <80% AMI)	5	2	
Families with children	161	59	
Elderly families	82	30	
Families with Disabilities	43	16	
Black Non-Hispanic	129	47	
Hispanic	92	34	
White Non-Hispanic	129	47	
Non-Hispanic Pacific Islander	1	.4	
Non-Hispanic Asian	3	1	
Non-Hispanic Native American	3	1	
Characteristics by Bedroom Size (Public Housing Only)			
0BR	163	60	
1 BR	79	29	
2 BR	20	7	
3 BR	10	4	
4 BR	0	0	
4+ BR	0	0	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes:			
How long has it been closed (# of months)? 14 months			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The White Plains Housing Authority maintains a 99% occupancy rate. This high occupancy rate ensures that as many families as possible are being served. The ten year strategic plan being devised will take into account the needs of the families in the jurisdiction.</p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p><b>A. Substantial Deviation from the 5-year Plan:</b></p> <ul style="list-style-type: none"> <li>• Any change to the Mission Statement;</li> <li>• 50% deletion from or addition to the goals and objectives as a whole; and</li> <li>• 50% or more decrease in the quantifiable measure of any individual goal or objective.</li> </ul> <p><b>B. Significant Amendment or Modification to the Annual Plan:</b></p> <ul style="list-style-type: none"> <li>• Any increase or decrease over 50% in the funds projected in the Financial Resource Statement;</li> <li>• Any change in the policy or procedure that requires a regulatory 30-day posting;</li> <li>• Any submission to HUD that requires a separate notification to residents, such as Homeownership programs; and</li> <li>• Any change inconsistent with the local, approved Consolidated Plan.</li> </ul>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

**Privacy Act Notice.** The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

## Instructions form HUD-50075

**Applicability.** This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

### 1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

### 2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

### 3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

### 4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

### 5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

**5.1 Mission.** A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

**5.2 Goals and Objectives.** Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

**6.0 PHA Plan Update.** In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

**PHA Plan Elements.** (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.
2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: **1)** development name and number; **2)** designation type; **3)** application status; **4)** date the designation was approved, submitted, or planned for submission, and; **5)** the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: **(1)** Any programs relating to services and amenities provided or offered to assisted families; **(2)** Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; **(3)** How the

PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (**Note: applies to only public housing**).

8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: **(i)** A description of the need for measures to ensure the safety of public housing residents; **(ii)** A description of any crime prevention activities conducted or to be conducted by the PHA; and **(iii)** A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

**7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers**

- (a) **Hope VI or Mixed Finance Modernization or Development.** **1)** A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: **(1)** A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units

along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at:

[http://www.hud.gov/offices/pih/centers/sac/demo\\_dispo/index.cfm](http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm)

**Note:** This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: **1)** A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>
- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

**8.0 Capital Improvements.** This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

**8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g.,

expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and

3. Upon completion or termination of the activities funded in a specific capital fund program year.

## 8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

**8.3 Capital Fund Financing Program (CFFP).** Separate, written HUD approval is required if the PHA proposes to pledge any portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

**9.0 Housing Needs.** Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (**Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

**9.1 Strategy for Addressing Housing Needs.** Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (**Note:** Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

**10.0 Additional Information.** Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (**Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.**)
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (**Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.**)
- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (**Note: Standard and Troubled PHAs complete annually.**)

**11.0 Required Submission for HUD Field Office Review.** In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*

- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* **(PHAs receiving CFP grants only)**
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* **(PHAs receiving CFP grants only)**
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* **(PHAs receiving CFP grants only)**
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* **(PHAs receiving CFP grants only)**
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* **(Must be attached electronically for PHAs receiving CFP grants only)**. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* **(Must be attached electronically for PHAs receiving CFP grants only)**. See instructions in 8.2.

<b>Part I: Summary</b>	
<b>PHA Name:</b>  <b>WHITE PLAINS HOUSING AUTHORITY</b>	<b>Grant Type and Number</b> <span style="float:right"><b>NY36P04250112</b></span> Capital Fund Program Grant No: <span style="float:right">Replacement Housing Factor Grant No:</span> Date of CFFP:
	<b>FFY of Grant:</b> 2012 <b>FFY of Grant Approval:</b> 2012

**Type of Grant**

Original Annual Statement     
  Reserved for Disasters/Emergencies  
 Performance and Evaluation Report for period Ending:     
  Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not to exceed 20% of line 21) 3	\$220,000.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$25,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$110,298.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$103,000.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$30,000.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$342,947.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpandable	\$20,500.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$163,742.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$87,500.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities 4		\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (May not to exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	<b>\$1,102,987.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

1 To be completed for the Performance and Evaluation Report  
 2 To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
 3 PHAs with under 250 units in management may use 100% of CFP Grants for operations  
 4 RHF funds shall be included here

<b>Part I: Summary</b>					
<b>PHA Name:</b>  <b>WHITE PLAINS HOUSING AUTHORITY</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY36P04250112 Replacement Housing Factor Grant No: Date of CFFP:			
		<b>FFY of Grant:</b> 2012 <b>FFY of Grant Approval:</b> 2012			
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost</b>	
		<b>Original</b>	<b>Revised 2</b>	<b>Obligated</b>	<b>Expended</b>
Signature of Executive Director & Date: <i>Robert Carter</i>		Signature of public Housing Director/Office of Native American Programs Administrator & Date:			

Part II: Supporting Pages								
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		NY36P04250112 CFFP (Yes/No):		Federal FFY Grant : <b>2012</b>		
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	<b>Operations</b>	<b>1406</b>						
NY042-00001	Operations			\$28,600.00				
NY042-00001	Operations			\$50,600.00				
NY042-00001	Operations			\$140,800.00				
	<b>Total 1406</b>			<b>\$220,000.00</b>				
	<b>Management Improvements</b>	<b>1408</b>						
NY042-00001	Central Security, Training, Uniforms, Travel			\$3,250.00				
NY042-00001	Central Security, Training, Uniforms, Travel			\$5,750.00				
NY042-00001	Central Security, Training, Uniforms, Travel			\$16,000.00				
	<b>Total 1406</b>			<b>\$25,000.00</b>				
	<b>Administration</b>	<b>1410</b>						
	Central Modernization Coordinator			\$110,298.00				
	<b>Total 1410</b>			<b>\$110,298.00</b>				
	<b>Fees and Costs</b>	<b>1430</b>						
NY042-00001	A/E Fees			\$13,000.00				
NY042-00003	A/E Fees			\$11,000.00				
NY042-00006	A/E Fees			\$10,000.00				
NY042-00006	Management Consultant			\$50,000.00				
	Central Sundry-Advertising/Legal			\$1,000.00				
NY042-0006	Development Consultant Fees			\$18,000.00				
	<b>Total 1430</b>			<b>\$103,000.00</b>				
	<b>Page Subtotal</b>			<b>\$458,298.00</b>				

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> <b>WHITE PLAINS HOUSING AUTHORITY</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: Date of CFFP:			<b>NY36P04250112</b> CFFP (Yes/No):		<b>Federal FFY Grant :</b> <b>2012</b>	
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	<b>Site Improvements</b>	<b>1450</b>						
NY042-0001	Landscaping/Sidewalks/Paving			\$10,000.00				
NY042-0003	Landscaping/Sidewalks/Paving			\$10,000.00				
NY042-0006	Landscaping/Sidewalks/Paving			\$10,000.00				
	<b>Total 1450</b>			<b>\$30,000.00</b>				
	<b>Dwelling Structures</b>	<b>1460</b>						
NY042-0001	Roofs/Parapet Barrier			\$40,947.00				
NY042-0006	Roofs			\$40,000.00				
NY042-0001	Exterior Pointing (lintels, sills)			\$20,000.00				
NY042-0003	Exterior Pointing (lintels, sills)			\$20,000.00				
NY042-0006	Exterior Pointing (lintels, sills)			\$20,000.00				
NY042-0001	Boilers			\$15,000.00				
NY042-0003	Boilers			\$15,000.00				
NY042-0006	Boilers/Steam Line Repair			\$42,000.00				
NY042-0001	Replace Windows			\$20,000.00				
NY042-0003	Replace Windows			\$20,000.00				
NY042-0003	Doors/Hardware			\$20,000.00				
NY042-0001	Replace Windows			\$15,000.00				
NY042-0003	Replace Windows			\$15,000.00				
NY042-0001	Kitchens/Bath			\$20,000.00				
NY042-0003	Kitchens/Bath			\$20,000.00				
	<b>Total 1460</b>			<b>\$342,947.00</b>				
	<b>Page Subtotal</b>			<b>\$372,947.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
<b>PHA Name:</b> <b>WHITE PLAINS HOUSING AUTHORITY</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: Date of CFFP:		<b>NY36P04250112</b> CFFP (Yes/No):		<b>Federal FFY Grant :</b> <b>2012</b>		
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	<b>Dwelling Equipment</b>	<b>1465</b>						
NY042-0001	Stoves / Refrigerators			\$7,000.00				
NY042-0003	Stoves / Refrigerators			\$7,000.00				
NY042-0006	Stoves / Refrigerators			\$6,500.00				
	<b>Total 1465</b>			<b>\$20,500.00</b>				
	<b>Non-Dwelling Equipment</b>	<b>1475</b>						
NY042-0001	Equipment			\$2,000.00				
NY042-0006	Vehicles/Bldg System Equip			\$45,000.00				
NY042-0003	Vehicles/Bldg System Equip			\$41,742.00				
NY042-0006	Office upgrade Heating System			\$75,000.00				
	<b>Total 1475</b>			<b>\$163,742.00</b>				
	<b>Demolition</b>	<b>1485</b>						
NY042-0006	Demolition (Dev.)			87,500.00				
	<b>Total 1485</b>			<b>87,500.00</b>				
	<b>Page Subtotal</b>			<b>\$271,742.00</b>				
	<b>Total CFP 2012</b>			<b>\$1,102,987.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>					Federal FFY of Grant: <b>NY36P04250112</b>
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates (1)
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	8/2/2013		8/2/2015		
1408	8/2/2013		8/2/2015		
1410	8/2/2013		8/2/2015		
1430	8/2/2013		8/2/2015		
1460	8/2/2013		8/2/2015		
1465	8/2/2013		8/2/2015		
1475	8/2/2013		8/2/2015		
1485	8/2/2013		8/2/2015		

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1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



# Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number <b>White Plains HA, NY042</b>		Locality (City/County & State) <b>White Plains, Westchester, NY</b>		<input checked="" type="checkbox"/> Original		<input type="checkbox"/> Revision No. _____
A.	Development Number and Name <b>White Plains Housing Authority NY042</b>	Year 1 2012	Work Statement for Year 2 FFY 2013	Work Statement for Year 3 FFY 2014	Work Statement for Year 4 FFY 2015	Work Statement for Year 5 FFY 2016
B.	NY042-0001	Annual	200,700.00	150,000.00	314,689.00	80,000.00
	NY042-0003	Statement	232,028.00	50,000.00	70,000.00	143,500.00
	NY042-0006		65,000.00	233,689.00	60,000.00	110,000.00
	<b>Total Physical Improvements:</b>		<b>\$497,728.00</b>	<b>\$433,689.00</b>	<b>\$444,689.00</b>	<b>\$333,500.00</b>
C.	Management Improvements		25,000.00	20,000.00	30,000.00	20,000.00
D.	PHA-Wide Non-Dwelling Structures and Equipment		71,661.00		0.00	73,689.00
E.	Administration		110,298.00	110,298.00	110,298.00	110,298.00
F.	Other		178,300.00	219,000.00	198,000.00	180,000.00
G.	Operations		220,000.00	220,000.00	220,000.00	220,000.00
H.	Demolition			100,000.00	100,000.00	100,000.00
I.	Development		0.00	0.00	0.00	65,500.00
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds		1,102,987.00	1,102,987.00	1,102,987.00	1,102,987.00
L.	Total Non-CFP Funds					
M.	Grand Total		1,102,987.00	1,102,987.00	1,102,987.00	1,102,987.00

Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>						
PHA Name/Number <b>White Plains HA, NY042</b>		Locality (City/County & State) <b>White Plains, Westchester, NY</b>		<input checked="" type="checkbox"/> Original		<input type="checkbox"/> Revision No. _____
A.	Development Number and Name <b>White Plains Housing Authority NY042</b>	Year 1 2012	Work Statement for Year 2 FFY <u>2013</u>	Work Statement for Year 3 FFY <u>2014</u>	Work Statement for Year 4 FFY <u>2015</u>	Work Statement for Year 5 FFY <u>2016</u>
B.	NY042-0001	Annual				
	NY042-0003	Statement				
	NY042-0006					
	Total Physical Improvements:					
C.	Management Improvements					
D.	PHA-Wide Non-Dwelling Structures and Equipment					
E.	Administration					
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service					
K.	Total CFP Funds					
L.	Total Non-CFP Funds					
M.	Grand Total					

# Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2012	Work Statement for Year <u>2013</u>			Work Statement for Year <u>2014</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	<b>1406 Operations</b>			<b>1406 Operations</b>		
Annual	NY042-0001 Operations		\$28,600.00	NY042-0001 Operations		\$28,600.00
Statement	NY042-0001 Operations		\$50,600.00	NY042-0001 Operations		\$50,600.00
	NY042-0001 Operations		\$140,800.00	NY042-0001 Operations		\$140,800.00
				<b>1408 Management Improvements</b>		
	<b>1408 Management Improvements</b>			NY042-0001 Security/Clerk/Training/Travel		\$5,000.00
	NY042-0001 Security/Clerk/Training/Travel		\$7,500.00	NY042-0003 Security/Clerk/Training/Travel		\$5,000.00
	NY042-0003 Security/Clerk/Training/Travel		\$7,500.00	NY042-0006 Security/Clerk/Training/Travel		\$10,000.00
	NY042-0006 Security/Clerk/Training/Travel		\$10,000.00	<b>1410 Administration</b>		
				Central Mod. Coordinator		\$110,298.00
	<b>1410 Administration</b>			<b>1430 Fees &amp; Costs</b>		
	Central Mod. Coordinator		\$110,298.00	NY042-0001 A/E Services		\$16,500.00
				NY042-0003 A/E Services		\$2,500.00
	<b>1430 Fees &amp; Costs</b>			NY042-0006 A/E Services		\$16,000.00
	NY042-0001 A/E Services		\$17,100.00	NY042-0006 Development Consultant Fees		\$100,000.00
	NY042-0003 A/E Services		\$20,700.00	NY042-0001 Management Consultant Fee		\$6,000.00
	NY042-0006 A/E Services		\$6,500.00	NY042-0003 Management Consultant Fee		\$6,000.00
	NY042-0006 Development Consultant Fees		\$100,000.00	NY042-0006 Management Consultant Fee		\$6,000.00
	NY042-0001 Management Consultant Fee		\$6,000.00	NY042-0001 Advertising / Legal		\$250.00
	NY042-0003 Management Consultant Fee		\$6,000.00	NY042-0002 Advertising / Legal		\$250.00
	NY042-0006 Management Consultant Fee		\$6,000.00	NY042-0003 Advertising / Legal		\$500.00
	NY042-0001 Advertising / Legal		\$250.00	<b>1450 Site Improvement</b>		
	NY042-0002 Advertising / Legal		\$250.00	NY042-0001 Site Improvements		\$20,000.00
	NY042-0003 Advertising / Legal		\$500.00	NY042-0003 Site Improvements		\$15,000.00
				NY042-0006 Site Improvements		\$15,000.00
	Subtotal of Estimated Cost		\$ See Page 4	Subtotal of Estimated Cost		\$ See Pg 4

# Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2012	Work Statement for Year <u>2013</u>			Work Statement for Year <u>2014</u>		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	Continued from page 3			Continued from page 3		
Annual Statement	<b>1450 Site Improvement</b>			<b>1460 Dwelling Structures</b>		
	NY042-0001 Landscaping/Sidewalks/Paving		\$20,000.00	NY042-0001 Screen Door (Terraces)		\$30,000.00
	NY042-0003 Landscaping/Sidewalks/Paving		\$15,000.00	NY042-0006 Windows Screens		\$33,000.00
	NY042-0006 Landscaping/Sidewalks/Paving		\$15,000.00	NY042-0006 Windows		\$50,000.00
				NY042-0006 Stair Treads		\$40,000.00
	<b>1460 Dwelling Structures</b>			NY042-0001 Common Area Doors		\$40,000.00
	NY042-0001 Paint/Stucco Building Façade		\$55,700.00	NY042-0006 Common Area Doors		\$25,689.00
	NY042-0003 Paint/Stucco Building Façade		\$50,000.00	NY042-0001 Apt. Metering		\$30,000.00
	NY042-0006 Paint/Stucco Building Façade		\$50,000.00	NY042-0001 Apt. Flooring		\$30,000.00
	NY042-0003 Kitchen/Baths		\$167,028.00	NY042-0003 Lighting (energy savings)		\$10,000.00
	NY042-0001 Kitchen/Baths		\$125,000.00	NY042-0003 Compactor Chute Doors		\$25,000.00
				NY042-0006 Bldg. Mech. Equipment		\$20,000.00
	<b>1465 Dwelling Equipment</b>			NY042-0006 Oil Tanks - Rem. & Replace		\$50,000.00
	NY042-0001 Stoves / Refrigerators		\$5,000.00			
	NY042-0003 Stoves / Refrigerators		\$5,000.00	<b>1465 Dwelling Equipment</b>		
	NY042-0006 Stoves / Refrigerators		\$5,000.00	NY042-0001 Stoves / Refrigerators		\$5,000.00
				NY042-0003 Stoves / Refrigerators		\$5,000.00
	<b>1475 Nondwelling Equipment</b>			NY042-0006 Stoves / Refrigerators		\$5,000.00
	NY042-0001 Equip./Vehicles		\$6,000.00			
	NY042-0003 Equip./Vehicles		\$20,661.00	<b>1485 Demolition</b>		
	NY042-0006 Equip./Vehicles		\$45,000.00	NY042-0006 Demolition		\$100,000.00
				<b>1495 Relocation</b>		
				NY042-0006 Relocation		\$50,000.00
	Subtotal of Estimated Cost		\$1,102,987.00	Subtotal of Estimated Cost		\$1,102,987.00

# Capital Fund Program Five-Year Action Plan

U.S. Department of Housing and urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part II: Supporting Pages - Physical Needs Work Statement(s)</b>						
Work Statement for Year 1 FFY 2012	Work Statement for Year <u>2015</u>			Work Statement for Year <u>2016</u>		
	FFY					
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	<b>1406 Operations</b>			<b>1406 Operations</b>		
Annual	NY042-0001 Operations		\$28,600.00	NY042-0001 Operations		\$28,600.00
Statement	NY042-0001 Operations		\$50,600.00	NY042-0001 Operations		\$50,600.00
	NY042-0001 Operations		\$140,800.00	NY042-0001 Operations		\$140,800.00
	<b>1408 Management Improvements</b>			<b>1408 Management Improvements</b>		
	NY042-0001 Security/Clerk/Training/Travel		\$5,000.00	NY042-0001 Computers/Software/Monitors		\$5,000.00
	NY042-0003 Security/Clerk/Training/Travel		\$5,000.00	NY042-0003 Computers/Software/Monitors		\$5,000.00
	NY042-0006 Security/Clerk/Training/Travel		\$10,000.00	NY042-0006 Computers/Software/Monitors		\$10,000.00
	NY042-0006 CADD Training Support, Specs		\$10,000.00			
	<b>1410 Administration</b>			<b>1410 Administration</b>		
	Central Mod. Coordinator		\$110,298.00	Central Mod. Coordinator		\$110,298.00
	<b>1430 Fees &amp; Costs</b>			<b>1430 Fees &amp; Costs</b>		
	NY042-001 A/E Services		\$32,000.00	NY042-001 A/E Services		\$6,500.00
	NY042-003 A/E Services		\$9,000.00	NY042-003 A/E Services		\$13,500.00
	NY042-006 A/E Services		\$8,000.00	NY042-006 A/E Services		\$11,500.00
	NY042-0006 Development Design Consultant		\$100,000.00	NY042-006 Development Design Consultant		\$100,000.00
	NY042-0001 Management Consultant Fee		\$6,000.00	NY042-0001 Management Consultant Fee		\$6,000.00
	NY042-0003 Management Consultant Fee		\$6,000.00	NY042-0003 Management Consultant Fee		\$6,000.00
	NY042-0006 Management Consultant Fee		\$6,000.00	NY042-0006 Management Consultant Fee		\$6,000.00
	NY042-0001 Advertising / Legal		\$250.00	NY042-0001 Advertising / Legal		\$250.00
	NY042-0002 Advertising / Legal		\$250.00	NY042-0002 Advertising / Legal		\$250.00
	NY042-0003 Advertising / Legal		\$500.00	NY042-0003 Advertising / Legal		\$500.00
	<b>1450 Site Improvement</b>			<b>1450 Site Improvement</b>		
	NY042-0001 Landscaping/Sidewalks/Paving		\$10,000.00	NY042-0001 Landscaping/Sidewalks/Paving		\$20,000.00
	NY042-0003 Landscaping/Sidewalks/Paving		\$10,000.00	NY042-0003 Landscaping/Sidewalks/Paving		\$40,000.00
				NY042-0006 Landscaping/Sidewalks/Paving		\$60,000.00
	Subtotal of Estimated Cost		\$ See Pg 6	Subtotal of Estimated Cost		\$ See Pg 6



<b>Part I: Summary</b>	
<b>PHA Name:</b> <b>WHITE PLAINS HOUSING AUTHORITY</b>	<b>Grant Type and Number</b> NY36P04250110 Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:
	<b>FFY of Grant:</b> CFP2010 <b>FFY of Grant Approval:</b> CFP2010

**Type of Grant**

Original Annual Statement     
  Reserved for Disasters/Emergencies     
  Revised Annual Statement (revision no: 1 )  
 Performance and Evaluation Report for period Ending: 9/30/11     
  Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not to exceed 20% of line 21) <sup>3</sup>	\$243,142.00	\$243,142.00	\$100,000.00	\$100,000.00
3	1408 Management Improvements	\$20,000.00	\$20,000.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$134,892.00	\$134,892.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$141,000.00	\$123,450.00	\$80,168.25	\$66,832.25
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$0.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$840,000.00	\$856,739.12	\$517,765.07	\$443,873.06
11	1465.1 Dwelling Equipment - Nonexpandable	\$25,000.00	\$25,000.00	\$23,670.00	\$23,670.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$30,000.00	\$30,810.88	\$30,810.88	\$30,810.88
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities <sup>4</sup>	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (May not to exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	<b>\$1,434,034.00</b>	<b>\$1,434,034.00</b>	<b>\$752,414.20</b>	<b>\$665,186.19</b>
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>				
<b>PHA Name:</b>  WHITE PLAINS HOUSING AUTHORITY		<b>Grant Type and Number</b> NY36P04250110 Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:		
		<b>FFY of Grant:</b> 2010 <b>FFY of Grant Approval:</b> 2010		
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: 1 ) <input type="checkbox"/> Performance and Evaluation Report for period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost</b>
		<b>Original</b>	<b>Revised :</b>	<b>Obligated</b> <b>Expended</b>
Signature of Executive Director & Date: <i>Alach Carter</i>		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>		Grant Type and Number NY36P04250110 Capital Fund Program Grant No: CFFP (Yes/No): Date of CFFP:			Federal FFY Grant : <b>2010</b>			
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	<b>Operations</b>	<b>1406</b>						
NY042-00001	Operations			\$31,608.00	\$31,608.00	\$13,000.00	\$13,000.00	41% Completed
NY042-00003	Operations			\$55,923.00	\$55,923.00	\$23,000.00	\$23,000.00	41% Completed
NY042-00006	Operations			\$155,611.00	\$155,611.00	\$64,000.00	\$64,000.00	41% Completed
	<b>Total 1406</b>			<b>\$243,142.00</b>	<b>\$243,142.00</b>	<b>\$100,000.00</b>	<b>\$100,000.00</b>	
	<b>Management Improvements</b>	<b>1408</b>						
NY042-00001	Central Security, Training, Uniforms, Travel			\$2,600.00	\$2,600.00	\$0.00	\$0.00	0% Completed
NY042-00003	Central Security, Training, Uniforms, Travel			\$4,600.00	\$4,600.00	\$0.00	\$0.00	0% Completed
NY042-00006	Central Security, Training, Uniforms, Travel			\$12,800.00	\$12,800.00	\$0.00	\$0.00	0% Completed
	<b>Total 1406</b>			<b>\$20,000.00</b>	<b>\$20,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Administration</b>	<b>1410</b>						
	Central Admin Salaries			\$33,732.00	\$33,732.00	\$0.00	\$0.00	0% Completed
	Central Modernization Coordinator			\$101,160.00	\$101,160.00	\$0.00	\$0.00	0% Completed
	<b>Total 1410</b>			<b>\$134,892.00</b>	<b>\$134,892.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
	<b>Fees and Costs</b>	<b>1430</b>						
NY042-00001	A/E Fees			\$55,000.00	\$55,000.00	\$15,809.04	\$15,809.04	29% Completed
NY042-00003	A/E Fees			\$15,000.00	\$15,000.00	\$10,909.21	\$10,909.21	73% Completed
NY042-00006	A/E Fees			\$20,000.00	\$0.00	\$0.00	\$0.00	No Activity
	<b>Subtotal</b>			<b>\$90,000.00</b>	<b>\$70,000.00</b>	<b>\$26,718.25</b>	<b>\$26,718.25</b>	
	<b>Page Subtotal</b>			<b>\$488,034.00</b>	<b>\$468,034.00</b>	<b>\$126,718.25</b>	<b>\$126,718.25</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>		Grant Type and Number NY36P04250110 Capital Fund Program Grant No: Date of CFFP:			Federal FFY Grant : CFFP (Yes/No): <b>2010</b>			
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	<b>Fees and Costs (Con't)</b>	<b>1430</b>						
NY042-00001	Management Consultant			\$16,666.00	\$17,816.00	\$17,816.00	\$13,371.00	75% Completed
NY042-00003	Management Consultant			\$16,666.00	\$17,816.00	\$17,816.00	\$13,371.00	75% Completed
NY042-00006	Management Consultant			\$17,668.00	\$17,818.00	\$17,818.00	\$13,372.00	75% Completed
	<b>Subtotal</b>			<b>\$51,000.00</b>	<b>\$53,450.00</b>	<b>\$53,450.00</b>	<b>\$40,114.00</b>	
	<b>Total 1430</b>			<b>\$141,000.00</b>	<b>\$123,450.00</b>	<b>\$80,168.25</b>	<b>\$66,832.25</b>	
	<b>Dwelling Structures</b>	<b>1460</b>						
NY042-0001	Apartment Renovations			\$680,000.00	\$680,349.00	\$378,995.00	\$305,102.99	45% Completed
NY042-0001	Apartment Painting			\$10,000.00	\$5,000.00	\$0.00	\$0.00	0% Completed
NY042-0003	Apartment Painting			\$5,000.00	\$5,000.00	\$0.00	\$0.00	0% Completed
NY042-0006	Apartment Painting			\$25,000.00	\$10,000.00	\$0.00	\$0.00	0% Completed
NY042-0003	Apt. Doors, Locks & Hardware			\$85,000.00	\$83,298.30	\$83,298.30	\$83,298.30	100% Completed
NY042-0006	Replacement Compactor Rm. A/C Units			\$15,000.00	\$7,620.05	\$0.00	\$0.00	0% Completed
NY042-0001	Gas Meter			\$10,000.00	\$0.00	\$0.00	\$0.00	No Activity
NY042-0003	Hot Water Heaters			\$10,000.00	\$10,000.00	\$0.00	\$0.00	0% Completed
NY042-0003	CO2 Detectors			\$0.00	\$3,299.01	\$3,299.01	\$3,299.01	100% Completed
NY042-0001	CFRC Items			\$0.00	\$17,172.76	\$17,172.76	\$17,172.76	100% Completed
NY042-0006	Oil Line Replacement 33 fisher			\$0.00	\$35,000.00	\$35,000.00	\$35,000.00	100% Completed
	<b>Total 1460</b>			<b>\$840,000.00</b>	<b>\$856,739.12</b>	<b>\$517,765.07</b>	<b>\$443,873.06</b>	
	<b>Dwelling Equipment</b>	<b>1465</b>						
NY042-0001	Stoves / Refrigerators			\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	100% Completed
NY042-0003	Stoves / Refrigerators			\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	100% Completed
NY042-0006	Stoves / Refrigerators			\$15,000.00	\$15,000.00	\$13,670.00	\$13,670.00	91% Completed
	<b>Total 1465</b>			<b>\$25,000.00</b>	<b>\$25,000.00</b>	<b>\$23,670.00</b>	<b>\$23,670.00</b>	
	<b>Page Subtotal</b>			<b>\$916,000.00</b>	<b>\$935,189.12</b>	<b>\$594,885.07</b>	<b>\$507,657.06</b>	

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

2 To be completed for the Performance and Evaluation Report.





<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>					Federal FFY of Grant: <b>NY36P04250110</b>
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates (1)
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	7/14/2012		7/14/2014		
1408	7/14/2012		7/14/2014		
1410	7/14/2012		7/14/2014		
1430	7/14/2012		7/14/2014		
1460	7/14/2012		7/14/2014		
1465	7/14/2012		7/14/2014		
1475	7/14/2012		7/14/2014		

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1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



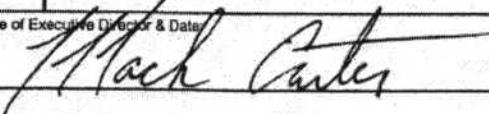
<b>Part I: Summary</b>	
<b>PHA Name:</b>  <b>WHITE PLAINS HOUSING AUTHORITY</b>	<b>Grant Type and Number</b> <b>NY36P04250111</b> Capital Fund Program Grant No: Replacement Housing Factor Grant No: Date of CFFP:
	<b>FFY of Grant:</b> 2011 <b>FFY of Grant Approval:</b> 2011

**Type of Grant**

Original Annual Statement     
  Reserved for Disasters/Emergencies     
  Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for period Ending: 9/30/2011     
  Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations (May not to exceed 20% of line 21) <sup>3</sup>	\$200,000.00	\$0.00	\$0.00	\$0.00
3	1408 Management Improvements	\$25,000.00	\$0.00	\$0.00	\$0.00
4	1410 Administration (may not exceed 10% of line 21)	\$110,298.00	\$0.00	\$0.00	\$0.00
5	1411 Audit	\$0.00	\$0.00	\$0.00	\$0.00
6	1415 Liquidated damages	\$0.00	\$0.00	\$0.00	\$0.00
7	1430 Fees and Costs	\$149,000.00	\$0.00	\$0.00	\$0.00
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	\$0.00
9	1450 Site Improvements	\$75,600.00	\$0.00	\$0.00	\$0.00
10	1460 Dwelling Structures	\$496,539.00	\$0.00	\$0.00	\$0.00
11	1465.1 Dwelling Equipment - Nonexpandable	\$11,550.00	\$0.00	\$0.00	\$0.00
12	1470 Nondwelling Structures	\$0.00	\$0.00	\$0.00	\$0.00
13	1475 Nondwelling Equipment	\$35,000.00	\$0.00	\$0.00	\$0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	\$0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	\$0.00
16	1495.1 Relocation Costs	\$0.00	\$0.00	\$0.00	\$0.00
17	1499 Development Activities <sup>4</sup>	\$0.00	\$0.00	\$0.00	\$0.00
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	\$0.00	\$0.00	\$0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	\$0.00	\$0.00	\$0.00
19	1502 Contingency (May not to exceed 8% of line 20)	\$0.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant (Sum of lines 2 - 19)	<b>\$1,102,987.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
21	Amount of line 20 Related to LBP Activities	\$0.00	\$0.00	\$0.00	\$0.00
22	Amount of line 20 Related to Section 504 Compliance	\$0.00	\$0.00	\$0.00	\$0.00
23	Amount of line 20 Related to Security - Soft Costs	\$0.00	\$0.00	\$0.00	\$0.00
24	Amount of line 20 Related to Security - Hard Costs	\$0.00	\$0.00	\$0.00	\$0.00
25	Amount of Line 20 Related to Energy Conservation Measures	\$0.00	\$0.00	\$0.00	\$0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations  
<sup>4</sup> RHF funds shall be included here

<b>Part I: Summary</b>				
<b>PHA Name:</b>  <b>WHITE PLAINS HOUSING AUTHORITY</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: NY36P04250111 Date of CFFP: Replacement Housing Factor Grant No:		<b>FFY of Grant:</b> 2011 <b>FFY of Grant Approval:</b> 2011
<b>Type of Grant</b>				
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserved for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for period Ending: 9/30/2011 <input type="checkbox"/> Final Performance and Evaluation Report				
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>		<b>Total Actual Cost</b>
		<b>Original</b>	<b>Revised :</b>	<b>Obligated</b> <b>Expended</b>
Signature of Executive Director & Date: 		Signature of public Housing Director/Office of Native American Programs Administrator & Date:		

Part II: Supporting Pages								
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>		Grant Type and Number Capital Fund Program Grant No: Date of CFFP:		NY36P04250111 CFFP (Yes/No):		Federal FFY Grant : <b>2011</b>		
Development Number/Name PHA-wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised (1)	Funds Obligated (2)	Fund Expended (2)	
	<b>Operations</b>	<b>1406</b>						
NY042-00001	Operations			\$26,000.00				
NY042-00003	Operations			\$46,000.00				
NY042-00006	Operations			\$128,000.00				
	<b>Total 1406</b>			<b>\$200,000.00</b>				
	<b>Management Improvements</b>	<b>1408</b>						
NY042-00001	Central Security, Training, Uniforms, Travel			\$8,333.00				
NY042-00003	Central Security, Training, Uniforms, Travel			\$8,333.00				
NY042-00006	Central Security, Training, Uniforms, Travel			\$8,334.00				
	<b>Total 1406</b>			<b>\$25,000.00</b>				
	<b>Administration</b>	<b>1410</b>						
	Central Admin Salaries			\$9,138.00				
	Central Modernization Coordinator			\$101,160.00				
	<b>Total 1410</b>			<b>\$110,298.00</b>				
	<b>Fees and Costs</b>	<b>1430</b>						
NY042-00001	A/E Fees			\$30,000.00				
NY042-00003	A/E Fees			\$1,000.00				
NY042-00006	A/E Fees			\$18,000.00				
NY042-00006	Central Management Consultant			\$25,000.00				
NY042-00006	Development Consultant Fees			\$75,000.00				
	<b>Total 1430</b>			<b>\$149,000.00</b>				
	<b>Page Subtotal</b>			<b>\$484,298.00</b>				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>WHITE PLAINS HOUSING AUTHORITY</b>					Federal FFY of Grant: <b>NY36P04250111</b>
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised Target Dates (1)
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1406	8/2/2013		8/2/2015		
1408	8/2/2013		8/2/2015		
1410	8/2/2013		8/2/2015		
1430	8/2/2013		8/2/2015		
1460	8/2/2013		8/2/2015		
1465	8/2/2013		8/2/2015		
1475	8/2/2013		8/2/2015		

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1 Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>					
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601		Grant Type and Number Capital Fund Program Grant No: NY04200000109E Replacement Housing Factor Grant No: Date of CFRC: 09/28/2009 <b>Capital Funds Elderly &amp; Persons with Disabilities Recovery Competitive Grant</b>			FFY of Grant: 2009 FFY of Grant Approval: 09/28/2009
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Period Ending:					
			<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4) <input type="checkbox"/> Final Performance and Evaluation Report
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	\$0.00	\$0.00	\$0.00	0.00
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	\$0.00	\$0.00	\$0.00	0.00
3	1408 Management Improvements	\$0.00	\$0.00	\$0.00	0.00
4	1410 Administration (may not exceed 10% of line 21)	\$178,000.00	\$166,893.01	\$166,893.01	136,594.07
5	1411 Audit	\$0.00	\$0.00	\$0.00	0.00
6	1415 Liquidated Damages	\$0.00	\$0.00	\$0.00	0.00
7	1430 Fees and Costs	\$235,479.75	\$246,586.74	\$246,586.74	\$246,586.74
8	1440 Site Acquisition	\$0.00	\$0.00	\$0.00	0.00
9	1450 Site Improvement	\$50,000.00	\$50,000.00	\$50,000.00	0.00
10	1460 Dwelling Structures	\$1,299,000.00	\$1,299,000.00	\$1,299,000.00	\$1,101,833.75
11	1465.1 Dwelling Equipment—Nonexpendable	\$0.00	\$0.00	\$0.00	0.00
12	1470 Non-dwelling Structures	\$0.00	\$0.00	\$0.00	0.00
13	1475 Non-dwelling Equipment	\$0.00	\$0.00	\$0.00	0.00
14	1485 Demolition	\$0.00	\$0.00	\$0.00	0.00
15	1492 Moving to Work Demonstration	\$0.00	\$0.00	\$0.00	0.00
16	1495.1 Relocation Costs	\$17,520.25	\$17,520.25	\$17,520.25	\$17,520.25
17	1499 Development Activities <sup>4</sup>	\$0.00	\$0.00	\$0.00	0.00

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

**Part I: Summary**

<b>PHA Name:</b> White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601	<b>Grant Type and Number</b> Capital Fund Program Grant No: NY04200000109E Replacement Housing Factor Grant No: Date of CFRC: 09/28/2009  <b>Capital Funds Elderly &amp; Persons with Disabilities Recovery Competitive Grant</b>	<b>FFY of Grant: 2009</b> <b>FFY of Grant Approval: 09/28/2009</b>
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**Type of Grant**

Original Annual Statement                     
  Reserve for Disasters/Emergencies                     
  Revised Annual Statement (revision no: 1 )

Performance and Evaluation Report for Period Ending:                     
  Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	\$0.00	0.00	0.00	0.00
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	\$0.00	0.00	0.00	0.00
19	1502 Contingency (may not exceed 8% of line 20)	\$0.00	0.00	0.00	0.00
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$1,780,000.00	1,780,000.00	1,780,000.00	1,502,534.81
21	Amount of line 20 Related to LBP Activities	0.00	0.00	0.00	0.00
22	Amount of line 20 Related to Section 504 Activities	0.00	0.00	0.00	0.00
23	Amount of line 20 Related to Security - Soft Costs	0.00	0.00	0.00	0.00
24	Amount of line 20 Related to Security - Hard Costs	0.00	0.00	0.00	0.00
25	Amount of line 20 Related to Energy Conservation Measures	0.00	0.00	0.00	0.00

Signature of Executive Director _____ Date	Signature of Public Housing Director _____ Date
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<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601			Grant Type and Number Capital Fund Program Grant No: NY04200000109E CFFP (Yes/ No): No - CFRC Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NY042000001	Administration	1410						
	WPHA Administration			178,000.00	\$166,893.01	\$166,893.01	136,594.07	
	<b>Total 1410</b>			<b>\$178,000.00</b>	<b>\$166,893.01</b>	<b>\$166,893.01</b>	<b>136,594.07</b>	
NY042000001	Fees and Costs	1430						
	Consulting Services			\$235,479.75	\$246,586.74	\$246,586.74	\$246,586.74	
	<b>Total 1430</b>			<b>\$235,479.75</b>	<b>\$246,586.74</b>	<b>\$246,586.74</b>	<b>\$246,586.74</b>	
NY042000001	Site Improvements	1450		\$50,000.00	\$50,000.00	\$50,000.00	\$50,000.00	
	<b>Total 1450</b>			<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$50,000.00</b>	<b>\$50,000.00</b>	
NY042000001	Dwelling Structures	1460						
	Demolition	1460		\$55,000.00	\$185,000.00	\$185,000.00	\$185,000.00	
	Woods and Plastics	1460		\$143,929.00	\$120,000.00	\$120,000.00	\$70,500.00	
	Door Frames and Hardware	1460		\$66,725.00	\$132,000.00	\$132,000.00	\$82,500.00	
	Finishes	1460		\$307,342.00	\$263,500.00	\$263,500.00	\$220,083.75	
	Specialties	1460		\$5,564.00	\$6,500.00	\$6,500.00	\$6,500.00	
	Plumbing	1460		\$107,675.00	\$135,000.00	\$135,000.00	\$123,500.00	
	Fire Protection	1460		\$47,673.00	\$47,000.00	\$47,000.00	\$38,000.00	
	HVAC	1460		\$215,968.00	\$70,000.00	\$70,000.00	\$61,750.00	
	Electric	1460		\$199,124.00	\$310,000.00	\$310,000.00	\$289,000.00	
	Equipment	1460		\$150,000.00	\$30,000.00	\$30,000.00	\$25,000.00	
	<b>Total 1460</b>			<b>\$1,299,000.00</b>	<b>\$1,299,000.00</b>	<b>\$1,299,000.00</b>	<b>\$1,101,833.75</b>	
NY042000001	Relocation Costs	1495.1		\$17,520.25	\$17,520.25	\$17,520.25	\$17,520.25	
	<b>Total 1495.1</b>			<b>\$17,520.25</b>	<b>\$17,520.25</b>	<b>\$17,520.25</b>	<b>\$17,520.25</b>	







**Part I: Summary**

<b>PHA Name: White Plains Housing Authority</b> 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601	<b>Grant Type and Number</b> Capital Fund Program Grant No: NY36C042501-11 Replacement Housing Factor Grant No: Date of CFFP: <b>Capital Fund Education and Training Community Facilities (CFCE) Program</b>	<b>FFY of Grant: 2011</b> <b>FFY of Grant Approval:</b> 9/29/2011
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**Type of Grant**  
 Original Annual Statement       Reserve for Disasters/Emergencies       Revised Annual Statement (revision no: )  
 Performance and Evaluation Report for Period Ending:       Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
3	1408 Management Improvements	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
4	1410 Administration (may not exceed 10% of line 21)	<b>\$140,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
5	1411 Audit	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
6	1415 Liquidated Damages	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
7	1430 Fees and Costs	<b>\$355,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
8	1440 Site Acquisition	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
9	1450 Site Improvement	<b>\$123,394.74</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
10	1460 Dwelling Structures	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
11	1465.1 Dwelling Equipment—Nonexpendable	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
12	1470 Non-dwelling Structures	<b>\$2,531,605.26</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
13	1475 Non-dwelling Equipment	<b>\$350,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
14	1485 Demolition	<b>0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
15	1492 Moving to Work Demonstration	<b>0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
16	1495.1 Relocation Costs	<b>0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
17	1499 Development Activities <sup>4</sup>	<b>0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

<sup>1</sup> To be completed for the Performance and Evaluation Report.

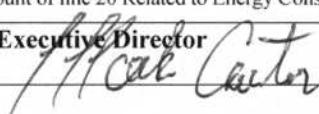
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>							
<b>PHA Name:</b> White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601		<b>Grant Type and Number</b> Capital Fund Program Grant No NY36C042501-11 Replacement Housing Factor Grant No: Date of CFFP: <b>Capital Fund Education and Training Community Facilities (CFCE) Program,</b>		<b>FFY of Grant: 2011</b> <b>FFY of Grant Approval: 9/29/2011</b>			
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input type="checkbox"/> Final Performance and Evaluation Report <input type="checkbox"/> Performance and Evaluation Report for Period Ending:							
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>			
		Original	Revised <sup>2</sup>	Obligated	Expended		
18a	1501 Collateralization or Debt Service paid by the PHA	0.00	\$0.00	\$0.00	\$0.00		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0.00	\$0.00	\$0.00	\$0.00		
19	1502 Contingency (may not exceed 8% of line 20)	0.00	\$0.00	\$0.00	\$0.00		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>\$3,500,000.00</b>	\$0.00	\$0.00	\$0.00		
21	Amount of line 20 Related to LBP Activities	0.00	\$0.00	\$0.00	\$0.00		
22	Amount of line 20 Related to Section 504 Activities	0.00	\$0.00	\$0.00	\$0.00		
23	Amount of line 20 Related to Security - Soft Costs	0.00	\$0.00	\$0.00	\$0.00		
24	Amount of line 20 Related to Security - Hard Costs	0.00	\$0.00	\$0.00	\$0.00		
25	Amount of line 20 Related to Energy Conservation Measures	0.00	\$0.00	\$0.00	\$0.00		
<b>Signature of Executive Director</b> 		<b>Date</b>		<b>Signature of Public Housing Director</b>  		<b>Date</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601			Grant Type and Number Capital Fund Program Grant No: NY36C042501-11 Replacement Housing Factor Grant No: Date of CFFP: <b>Capital Fund Education and Training Community Facilities (CFCE) Program.</b>			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NY36P0420006	Administration	1410						
	WPHA Administration			\$140,000.00	\$0.00	\$0.00	\$0.00	
	<b>Total 1410</b>			<b>\$140,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
NY042000006	Fees and Costs	1430						
	A&E	1430		\$140,000.00	\$0.00	\$0.00	\$0.00	
	Geotech, planning approvals, permits & fees	1430		\$75,000.00	\$0.00	\$0.00	\$0.00	
	Development	1430		\$70,000.00	\$0.00	\$0.00	\$0.00	
	Program Management	1430		\$70,000.00	\$0.00	\$0.00	\$0.00	
	<b>Total 1430</b>			<b>\$355,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
NY042000006	Site Improvements	1450						
	Concrete Curbs, Site Walls & Paved Surfaces	1450		\$108,241.00	\$0.00	\$0.00	\$0.00	
	Contractor Over Head	1450		\$6,494.46	\$0.00	\$0.00	\$0.00	
	Contractor fee	1450		\$2,164.82	\$0.00	\$0.00	\$0.00	
	Contractor General Conditions	1450		\$6,494.46	\$0.00	\$0.00	\$0.00	
	<b>Total 1450</b>			<b>\$123,394.74</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

<b>Part II: Supporting Pages</b>								
PHA Name: White Plains Housing Authority 223 Dr. Martin Luther King Jr. Blvd. White Plains NY, 10601		Grant Type and Number Capital Fund Program Grant No: NY36C042501-11 Replacement Housing Factor Grant No: Date of CFFP: Capital Fund Education and Training Community Facilities (CFCF) Program			Federal FFY of Grant: 2011			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
NY042000006	Non-dwelling Structures	1470						
	Foundations	1470		\$297,879.23	\$0.00	\$0.00	\$0.00	
	Unit Masonry	1470		\$184,686.00	\$0.00	\$0.00	\$0.00	
	Structural Steel	1470		\$259,778.40	\$0.00	\$0.00	\$0.00	
	Miscellaneous Metal Work	1470		\$108,241.00	\$0.00	\$0.00	\$0.00	
NY042000006	Roofing, Fireproofing & Joint Sealants	1470		\$216,482.00	\$0.00	\$0.00	\$0.00	
	Windows & Storefront	1470		\$213,344.00	\$0.00	\$0.00	\$0.00	
	Woods and Plastics	1470		\$151,537.40	\$0.00	\$0.00	\$0.00	
	Door Frames and Hardware	1470		\$32,760.00	\$0.00	\$0.00	\$0.00	
	Exterior Wall Framing, Interior Partitions, Ceilings & Finishes	1470		\$377,786.32	\$0.00	\$0.00	\$0.00	
	Accessories & Specialties	1470		\$151,537.40	\$0.00	\$0.00	\$0.00	
	Plumbing	1470		\$102,872.00	\$0.00	\$0.00	\$0.00	
	Fire Protection	1470		\$75,000.00	\$0.00	\$0.00	\$0.00	
	Contractor Over Head	1470		\$154,157.79	\$0.00	\$0.00	\$0.00	
	Contractor fee	1470		\$51,385.93	\$0.00	\$0.00	\$0.00	
	Contractor General Conditions	1470		\$154,157.79	\$0.00	\$0.00	\$0.00	
	<b>Total 1470</b>			<b>\$2,531,605.26</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
NY042000006	Non-dwelling Equipment							
	Kitchen Appliances	1475		\$50,000.00	\$0.00	\$0.00	\$0.00	
	I/T Equipment	1475		\$300,000.00	\$0.00	\$0.00	\$0.00	
	<b>Total 1475</b>			<b>\$350,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
 Expires 4/30/2011

<b>Part I: Summary</b>	
PHA Name: White Plains Housing Authority White Plains NY	Grant Type and Number Capital Fund Program Grant No: NY36E042501-09 Replacement Housing Factor Grant No: Date of CFFP: 7/29/10
FFY of Grant: 2009 FFY of Grant Approval: 2010	

Type of Grant  
 Original Annual Statement       Reserve for Disasters/Emergencies  
 Performance and Evaluation Report for Period Ending:       Revised Annual Statement (revision no: )  
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds	0			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	0			
3	1408 Management Improvements	0			
4	1410 Administration (may not exceed 10% of line 21)	12,500.00	12,500.00	12,500.00	2,700.00
5	1411 Audit	0			
6	1415 Liquidated Damages	0			
7	1430 Fees and Costs	26,500.00	25,000.00	25,000.00	9,000.00
8	1440 Site Acquisition	0			
9	1450 Site Improvement				
10	1460 Dwelling Structures	211,000.00	212,500.00	186,472.37	54,176.00
11	1465.1 Dwelling Equipment—Nonexpendable	0			
12	1470 Non-dwelling Structures	0			
13	1475 Non-dwelling Equipment				
14	1485 Demolition	0			
15	1492 Moving to Work Demonstration	0			
16	1495.1 Relocation Costs	0			
17	1499 Development Activities <sup>4</sup>	0			

<sup>1</sup> To be completed for the Performance and Evaluation Report.

<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.

<sup>4</sup> RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0226  
**Expires 4/30/2011**

<b>Part I: Summary</b>	
PHA Name: <b>Municipal Housing Authority for the City of Yonkers</b>	Grant Type and Number Capital Fund Program Grant No: NY36E042501-09 Replacement Housing Factor Grant No: Date of CFFP:
FFY of Grant:2010 FFY of Grant Approval: 2010	

Type of Grant

Original Annual Statement                     
  Reserve for Disasters/Emergencies                     
  Revised Annual Statement (revision no:                      )

Performance and Evaluation Report for Period Ending: ~~12/31~~ **9/30/2011**                     
  Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA	0			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	0			
19	1502 Contingency (may not exceed 8% of line 20)	0			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	\$250,000	\$250,000	\$225,472.37	\$65,876.00
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	\$ 37,500	\$ 37,500	\$ 37,500.00	\$11,700.00
24	Amount of line 20 Related to Security - Hard Costs	\$250,000	\$212,500	\$186,472.37	\$54,176.00
25	Amount of line 20 Related to Energy Conservation Measures	0			

Signature of Executive Director <i>[Signature]</i>	Date <b>9/28/2012</b>	Signature of Public Housing Director	Date
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<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.  
<sup>4</sup> RHF funds shall be included here.

<b>Part II: Supporting Pages</b>								
PHA Name: White Plains Housing Authority			<b>Grant Type and Number</b> Capital Fund Program Grant No: NY36E042501-09 CFFP (Yes/ No): Replacement Housing Factor Grant No:			<b>Federal FFY of Grant: 2009</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
Central	Administration	1410	1	12,500.00	12,500.00	12,500.00	2,700.00	14%
Central 1-3-6	Fees and Costs	1430	1	26,500.00	25,000.00	25,000.00	9,000.00	In design 32%
0001	Digital Intercom System	1460	5	6,500.00	24,000.00	24,000.00		Scheduled
	Camera Recorder System(NVR)		1	22,000.00	14,225.33	14,225.33	14,225.33	Completed
	Video Cameras		2	7,000.00	32,522.00	32,522.00		In progress
	System Equipment		0	0	29,011.35	29,011.35		In Progress
			1					
0003	Digital Intercom System		1	6,500.00	4,800.00	4,800.00		In progress
	Camera Recorder System (NVR)		1	22,000.00	14,225.33	14,225.33	14,225.33	Completed
	Video Cameras		7	7,000.00	17,500.00	17,500.00		In progress
	System Equipment				21,163.03	21,163.03		In progress
0006	Camera Recorder System (NVR)		5	110,000.00	14,225.33	14,225.34	14,225.34	Completed
	System Equipment		5		24,527.63			Scheduled
	Emergency Elevator Repair				11,500.00	11,500.00	11,500.00	Completed
	Digital Intercom System			30,000.00	4,800.00	4,800.00	0	In progress
<b>Total Grant</b>				<b>250,000.00</b>	<b>250,000.00</b>	<b>225,472.38</b>	<b>65,876.00</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.







**PHA Certifications of Compliance  
with PHA Plans and Related  
Regulations**

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the  5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning 2012, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

White Plains Housing Auth.  
PHA Name

042  
PHA Number/HA Code

     5-Year PHA Plan for Fiscal Years 20   - 20  

Annual PHA Plan for Fiscal Years 2012 - 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
<u>LAWRENCE SALLEY</u>	<u>CHAIRMAN</u>
Signature	Date
	<u>1/10/2012</u>

**Certification of Payments  
to Influence Federal Transactions**

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0157 (Exp. 01/31/2014)

White Plains Housing Authority  
Applicant Name

CFP 2012 (CFP) + 5 YR PLAN  
Program/Activity Receiving Federal Grant Funding

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.  
**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
<u>MACK L. CARTER</u>	<u>EXECUTIVE DIRECTOR</u>
Signature	Date (mm/dd/yyyy)
<u>Mark L Carter</u>	<u>11/10/2012</u>



# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

WHITE PLAINS HOUSING AUTHORITY

Program/Activity Receiving Federal Grant Funding

CFP 2012 (CFP) + 5 YR PLAN

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

MACK L. GARTER

Title

EXECUTIVE DIRECTOR

Signature

X *Mack L. Garter*

Date

1/10/2012

## ***VAWA PROTECTIONS***

Under the Violence Against Women Act (VAWA), public housing residents have the following specific protections, which will be observed by the White Plains Housing Authority:

An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence, and shall not in itself be good cause for terminating the assistance, tenancy, or occupancy rights of the victim of such violence.

The Housing Authority may terminate the assistance to remove a lawful occupant or tenant who engages in criminal acts or threatened acts of violence or stalking to family members or others without terminating the assistance or evicting victimized lawful occupants. This is also true even if the household member is not a signatory to the lease. Under VAWA, the White Plains Housing Authority is granted the authority to bifurcate the lease.

The Housing Authority will honor court orders regarding the rights of access or control of the property.

There is no limitation on the ability of the Housing Authority to evict for other good cause unrelated to the incident or incidents of domestic violence, dating violence or stalking, other than the victim may not be subject to a “more demanding standard” than non-victims.

There is no prohibition on the Housing Authority evicting if it “can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant’s (victim’s) tenancy is not terminated.”

Any protections provided by law which give greater protection to the victim are not superseded by these provisions.

The White Plains Housing Authority shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by the Housing Authority. Types of acceptable verifications are outlined below, and must be submitted within 14 business days after receipt of the Housing Authority’s written request for verification.

### ***20.2B VERIFICATION OF DOMESTIC VIOLENCE, DATING VIOLENCE OR STALKING***

The White Plains Housing Authority shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by the Housing Authority.

- A. ***Requirement for Verification.*** The law allows, but does not require, the White Plains Housing Authority to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. The Housing Authority shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by the Housing Authority.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. ***HUD-approved form (HUD-50066)*** - By providing to the Housing Authority a written certification, on the form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.
  2. ***Other documentation*** - by providing to the Housing Authority documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.
  3. ***Police or court record*** – by providing to the Housing Authority a Federal, State, tribal, territorial, or local police or court record describing the incident or incidents in question.
- B. ***Time allowed to provide verification/ failure to provide.*** An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by the Housing Authority to provide

verification, must provide such verification within 14 business days after receipt of the written request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

### ***20.2C CONFIDENTIALITY***

All information provided under VAWA including the fact that an individual is a victim of domestic violence, dating violence, or stalking, shall be retained in confidence and shall not be entered into any shared database or provided to any related entity except to the extent that the disclosure is:

- A. Requested or consented to by the individual in writing;
- B. Required for used in an eviction proceeding; or
- C. Otherwise required by applicable law.

NY is a due  
process state

The White Plains Housing Authority shall provide its tenants notice of their rights under VAWA including their right to confidentiality and the limits thereof.

## **WHITE PLAINS HOUSING AUTHORITY**

### ***Strategy for Addressing Housing Needs***

#### **Need: Shortage of affordable housing for all eligible populations**

##### **Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:**

- ✓ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ✓ Reduce turnover time for vacated public housing units
- ✓ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required

##### **Strategy 2: Increase the number of affordable housing units by:**

- ✓ Leverage affordable housing resources in the community through the creation of mixed - finance housing

WPHA developed a comprehensive strategy for repositioning its housing assets to meet the affordable housing needs of White Plains and Westchester County. One component of this strategy contemplates revitalization of the Winbrook Apartment Homes. The concept for redevelopment takes advantage of the available zoning and allowable height to reposition the Winbrook community as a downtown neighborhood reconnected to the City's traditional street grid. A development of ten to twelve new buildings stepping from 4 to 15 stories is envisioned. It is the goal that this revitalization creates a continuum of affordability in White Plains with a program that fully integrates the WPHA property into the City of White Plain's track record of redevelopment success. It is anticipated that the existing high-rise residential structures would be demolished in an incremental, phased redevelopment.

The above can only be accomplished with a mixed finance approach to funding. To pull together the layers of required funding sources WPHA engaged a development consultant and a developer partner following the Federal Procurement Guidelines. The Winbrook Apartments, which consists of five, nine story, ninety family housing units are a Federally Funded low income housing site in White Plains, NY 10601.

#### **Need: Specific Family Types: Families at or below 30% of median**

##### **Strategy 1: Target available assistance to families at or below 30 % of AMI**

- ✓ Adopt rent policies to support and encourage work

#### **Need: Specific Family Types: Families at or below 50% of median**

##### **Strategy 1: Target available assistance to families at or below 50% of AMI**

- ✓ Employ admissions preferences aimed at families who are working
- ✓ Adopt rent policies to support and encourage work

**Need: Specific Family Types: The Elderly**

**Strategy 1: Target available assistance to the elderly:**

- ✓ Seek designation of public housing for the elderly
- ✓ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ✓ Other: (list below) NEED WORDING

**Need: Specific Family Types: Families with Disabilities**

**Strategy 1: Target available assistance to Families with Disabilities:**

- ✓ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

**Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:**

- ✓ Affirmatively market to races/ethnicities shown to have disproportionate housing needs

**Reasons for Selecting Strategies**

- ✓ Funding constraints
- ✓ Staffing constraints
- ✓ Limited availability of sites for assisted housing
- ✓ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ✓ Influence of the housing market on PHA programs
- ✓ Community priorities regarding housing assistance
- ✓ Results of consultation with local or state government
- ✓ Results of consultation with residents and the Resident Advisory Board

Attachment NY042k01

Resident Advisory Board Comments

The White Plains Housing Authority held a public hearing on December 20, 2011 @ 4:30PM to discuss the program work activities. There were no comments from the RAB Resident Advisory Board during the public hearing session.



## **Attachment NY042L02**

### **WPHA-Winbrook Revitalization Program**

#### *Five Year / Annual Plan Narrative -2012*

WPHA engaged a Management Consulting firm to facilitate a strategic planning process and complete a strategic assessment of the Authority's asset management operations, development and repositioning opportunities and define conceptual approaches to transforming its asset portfolio including revitalization of the Winbrook Housing Development. Subsequent to the assessment phase WPHA engaged a Developer Partner to assist in undertaking the Comprehensive Revitalization of the Winbrook Housing Development and surrounding community.

As part of the strategic planning process key principles and objects were articulated to guide the redevelopment and community revitalization of Winbrook which include: (1) ensure no permanent resident displacement, (2) develop a mixed income-mixed use development on site, (3) design a seamless revitalized community, (4) foster collaborative partnerships and community involvement, and (5) provide a catalyst for broader neighborhood revitalization. The Winbrook Housing Development is advantageously situated on 9.6 acres of land in walking distance from regional and local transit stations, shopping centers, medical facilities, hospital, parks, and commerce. The 450 units at Winbrook are in five (5) residential high-rise structures, each containing ninety (90) units. The buildings, while regularly scheduled maintenance is provided, are aged and the infrastructure is deteriorating resulting in increasing operating and maintenance costs. The condition of the Winbrook development, in turn negatively impacts businesses in the surrounding area.

To meet the objectives and goals articulated during the strategic planning process, the White Plains Housing Authority and its Development Team (WPHA-DT) will implement the redevelopment in multiple phases of mixed use and mixed income high rise development including multi-family and senior residential units with commercial and retail components at the street level. It is anticipated that close to 1100 residential units will be developed and will accommodate income ranges between 0 and 120% of the Area Median Income (AMI). The existing high-rise residential structures will be demolished and the goal is to build a green, mixed-use, mixed-income community that can serve as a demonstration project nationally.



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To advance the project planning forward through implementation, financing and developing incrementally, the WPHA-DT defined the first phase as a 100-unit Affordable Residential Housing Building and ground floor Community Center (S/LIHTC project). The WPHA-DT identified this building as an immediately achievable first phase that would be targeted for approximately 1 acre of land located at the corner of South Lexington and Quarropas Streets. WPHA-DT will build a mix of one-bedroom through larger three-bedroom units to accommodate the existing households. The Community Center is partially funded by a competitive HUD Capital Fund Community and Education Training Facility (CFCF) grant and will provide education, training, and job skills readiness among other adult and youth oriented programs to the community. To undertake this first phase of development the WPHA-DT will need to complete a disposition of the targeted area.

As part of the overall revitalization goals and objectives, WPHA is committed to relocating its residents within and on the site with no off-site displacement contemplated. The first phase of development (100-unit Affordable Building) will provide “swing space” whereby the residents of an existing 90 unit tower will be relocated. This process will allow WPHA-DT to completely vacate and subsequently demolish a tower and begin the processing of funding and building the Second Phase of development. To accomplish this goal WPHA-DT will need to complete a demolition/disposition application accompanied by a request for Tenant Protection Vouchers.

WPHA-DT anticipates breaking ground on Phase I in 12 months with completion of the ground floor Community Center space in 24 months and occupancy in 30 months. The residential units are anticipated to be completed for occupancy in 36 months. Demolition of the first tower is anticipated to commence immediately following relocation of the last household or approximately 40 months.