

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 8/30/2011
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1.0	PHA Information PHA Name: <u>South Portland Housing Authority</u> PHA Code: <u>ME020</u> PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performing <input type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>10/2012</u>				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>346</u> Number of HCV units: <u>389</u>				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
	PHA 1:				PH HCV
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission. See Attachment I South Portland Housing Authority has received HUD approval to opt-out of asset management beginning 10/01/11 (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions. Main Office 100 Waterman Drive Suite 101 South Portland, ME 04106 www.spha.net				
7.0	Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i> The South Portland Housing Authority continues to explore several options for enhancing our housing portfolio by: 1) selling some of its public housing scattered sites units , 2) project basing some of our vouchers, and/or 3) converting some or all of our public housing units to tenant based assistance. The South Portland Board of Commissioners is also considering/exploring the development of a Designated Housing Plan for several of its properties to address the changing housing needs of the community.				
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.				
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> , form HUD-50075.1, for each current and open CFP grant and CFFP financing.				

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p>
9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>In addition to addressing the housing needs identified in its 2010 5-Year plan, the South Portland Board of Commissioners is also considering/exploring the development of a Designated Housing Plan for several of its properties to address the changing housing needs of the community.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan. The South Portland Housing Authority continues to or has achieved its strategic goals as outlined in our 2010 5-year plan. While we understand that many of our goals are and will always be ongoing, we strive to achieve the highest level of customer service possible by employing skilled and motivated staff, maintaining our properties to highest standards, always looking to provide additional housing opportunities, and to also provide services that support economic opportunities and achieve the highest possible quality of life for our residents.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p style="padding-left: 40px;">a. Substantial Deviation from the 5-Year Plan Substantial deviations are defined as discretionary changes in the plans or policies of the South Portland Housing Authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.</p> <p style="padding-left: 40px;">b. Significant Amendment or Modification to the Annual Plan Significant amendments or modifications are defined as discretionary changes in the plans or policies of the South Portland Housing Authority that fundamentally change the mission, goals, objectives, or plans of the agency and which require formal approval of the Board of Commissioners.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Attachment I

South Portland Housing Authority 2012 Annual Plan

6.0 (a) Changes to ACOP & HCV Administrative Plan

A) Admissions and Continued Occupancy Policy for Public Housing

Following is a summary of the proposed changes to the South Portland Housing Authority Admissions and Continued Occupancy Policy for Public Housing.

Section 6.0- Required Postings

Addition: The Housing Authority will post PHAS score and designation at the main office.

Section 7.0- Taking Applications

Change of address: The address of the South Portland Housing Authority's main office was changed to: 100 Waterman Drive Suite 101 South Portland, ME 04106.

Section 8.0-Eligibility for Admission

Change to Definition of Family: All families must have a head of household or co-heads of household. Family includes, but is not limited to, the following, regardless of actual or perceived sexual orientation, gender identity or marital status.

A family with or without children. Such a family is defined as a group of people related by blood, marriage, adoption or affinity (regardless of actual or perceived sexual orientation, gender identity, or marital status) that live together in a stable family relationship.

Expanded on number 6. - A remaining member of a tenant family:

If the remaining member of a tenant family is a minor or minors, it will be necessary for an adult to temporarily move into a unit to serve as a guardian for children residing in the unit. The income received by the temporary guardian will be counted in determining family income. Although typically a criminal background check is required before anyone can move into public housing unit, this requirement will be waived for a guardian in this situation. Instead, the background check will occur after the person moves in. If the results of the check dictate that the person is ineligible for public housing, the family shall be given a reasonable time to find a replacement guardian or vacate the property.

Section 18.1- Service Animals in Public Housing

The words “or public accommodations” have been removed to comply with state law: A service animal may be removed from the premises if it is a direct threat to the health or safety of others, if it would result in substantial physical damage to the property of others, or if the animal substantially interferes with the reasonable enjoyment of the housing by others. A dog that barks and is disruptive, for example, or nips at tenants, can permissibly be removed.

Glossary has been updated.

B) Administrative Plan for Section 8

Following is a summary of the proposed changes made to the Section 8 Housing Choice Voucher Program Administrative Plan.

Section 1.5- Required Postings

Annual SEMAP scores and designations will be posted at the main office.

Section 4.0-Eligibility for Admission

Change to Definition of Family: All families must have a head of household or co-heads of household. Family includes, but is not limited to, the following, regardless of actual or perceived sexual orientation, gender identity or marital status.

A family with or without children: Such a family is defined as a group of people related by blood, marriage, adoption or affinity (regardless of actual or perceived sexual orientation, gender identity, or marital status) that live together in a stable family relationship.

Glossary has been updated.



SOUTH PORTLAND HOUSING AUTHORITY

100 Waterman Drive, Suite 101, South Portland, Maine 04106

(207) 773-4140 • FAX (207) 773-4006

ME Relay - call 711

July 18, 2012

Howard R. Schindler, Director
Division B – Northern New England
U. S. Department of Housing and Urban Development
Office of Public Housing, New England
Thomas P. O’Neill, Jr. Federal Building
10 Causeway Street
Boston, MA 02222-1092

RE: ME020 – 2012 Annual Plan Certifications

Dear Mr. Schindler

Enclosed please find the following documents in support of our 2012 South Portland Housing Authority Annual Plan:

Form HUD-50077: Streamlined PHA Plan Certifications of Compliance
Form HUD 50077cr: Civil Rights Certification
**Form HUD 50077 sl: Certification by State or Local Official of PHA
Plan’s Consistency with the Consolidated Plan**
Form HUD-50070: Certification for a Drug-Free Workplace
Form HUD-50071: Certification of Payments to Influence Federal Transactions
Form SF-LLL: Disclosure of Lobbying Activities
Form SF-LLL-A: Disclosure of Lobbying Activities Continuation Sheet

The Plan itself (50075, 50075.1, 50075.2 , RAB Comments, Challenge Elements and VAWA statement) will be submitted electronically today.

Please contact me if you have any questions on this matter.

Sincerely,

Michael Hulsey
Executive Director



Equal Housing Opportunity
www.spha.net

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 10/12, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

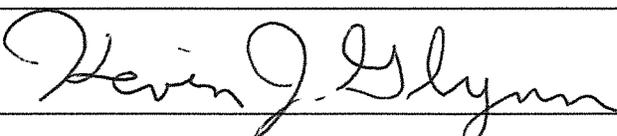
South Portland Housing Authority
PHA Name

ME020
PHA Number/HA Code

 5-Year PHA Plan for Fiscal Years 2010

 X Annual PHA Plan for Fiscal Years 2012 - 2013

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	
Kevin Glynn	Title Chairman
Signature	Date
	07/16/2012

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

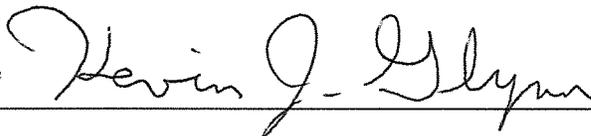
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

South Portland Housing Authority

ME020

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official	Kevin Glynn
Title	Chair
Signature	
Date	07/16/2012

Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Charles (Tex) Haeuser the South Portland Planning & Development Director certify that the Five Year and Annual PHA Plan of the South Portland Housing Authority is consistent with the Consolidated Plan of City of South Portland prepared pursuant to 24 CFR Part 91.

 7-18-12

Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

South Portland Housing Authority ME020

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidy / Capital Fund

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

See attached list.

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Michael Hulsey	Title Executive Director
Signature 	Date 07/01/12

X

Certification for
A Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant name and address:

South Portland Housing Authority
100 Waterman Drive
South Portland, ME 04106

Program/activity receiving grant funding:

Public Housing

Sites for Work Performance. The applicant shall list (on separate pages) the site(s) for the performance or work done in connection with the HUD funding of the program/activity shown above: Places of Performance shall include the street address, city, state and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.

415 Broadway, South Portland, Cumberland, Maine 04106
1-50 Landry Circle, South Portland, Cumberland, ME 04106
8 Rainbow Avenue, South Portland, Cumberland, M E 04106
58 Cole Street, South Portland, Cumberland, ME 04106
1012-1014 Broadway, South Portland, Cumberland, ME 04106
832-834 Broadway, South Portland, Cumberland, ME 04106
268 Preble Street, South Portland, Cumberland, ME 04106
375 Preble Street, South Portland, Cumberland, ME 04106
836 Sawyer Street, South Portland, Cumberland, ME 04106
25, 27, 29 Kincaid Street, South Portland, Cumberland, ME 04106
74 Westbrook Street, South Portland, Cumberland, ME 04106
214 Sawyer Street, South Portland, Cumberland, ME 04106
576/578 Main Street, South Portland, Cumberland, ME 04106
225,231,235 Broadway, South Portland, Cumberland, ME 041 06
73 Hill Street, South Portland, Cumberland, ME 04106
63 Elm Street, South Portland, Cumberland, ME 04106
70 Grandview Avenue, South Portland, Cumberland, ME 04106
53-56 Landry Circle, South Portland, Cumberland, ME 04106
57-60 Landry Circle, South Portland, Cumberland, ME 04106
55 Hill Street, South Portland, Cumberland, ME 04106
8 Free Street, South Portland, Cumberland, ME 04106
1700 Broadway, South Portland, Cumberland, ME 04106

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

South Portland Housing Authority ME020

Program/Activity Receiving Federal Grant Funding

Public Housing Operating Subsidy / Capital Fund

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Michael Hulsey

Title

Executive Director

Signature



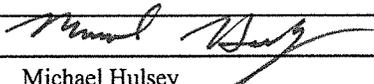
Date (mm/dd/yyyy)

07/01/12

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known:</i> South Portland Housing Authority 100 Waterman Drive South Portland, ME 04106 Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: Department of Housing and Urban Development	7. Federal Program Name/Description: CFDA Number, <i>if applicable:</i> _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (<i>if individual, last name, first name, MI</i>):	b. Individuals Performing Services (<i>including address if different from No. 10a</i>) (<i>last name, first name, MI</i>):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Michael Hulsey</u> Title: <u>Executive Director</u> Telephone No.: <u>207-773-4140</u> Date: <u>07/02/12</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET

Approved by OMB
0348-0046

Reporting Entity: South Portland Housing Authority Page 1 of 1

N/A

**Challenged Elements
South Portland Housing Authority
2012 Annual Plan**

There were no challenges to elements of the agency's 2012 Annual Plan.

**South Portland Housing Authority
Public Hearing and
Resident Advisory Board (RAB) Comments**

The public comment period on the proposed 2012 South Portland Housing Authority Annual Plan began on May 11, 2012 and ended June 27th with a public meeting. No comments were received and no concerns were expressed during the public hearing.

The Resident Advisory Board met on May 23, 2012 to discuss the South Portland Housing Authority 2012 Annual Plan. A lively discussion occurred regarding housing authority issues but the group expressed no concerns regarding the 2012 Annual Plan.

VIOLENCE AGAINST WOMEN ACT (VAWA)

South Portland Housing Authority's goals, objectives, policies, and programs that will enable the Authority to serve the needs of adult and child victims of domestic violence, dating violence, sexual assault, and stalking include:

In accordance with HUD regulations South Portland Housing Authority (SPHA) has implemented VAWA to insure that victims of domestic violence could either maintain their current housing with SPHA or be provided with alternative affordable housing opportunities that best fit the needs of the victim(s). SPHA employs various solutions within the guidelines set forth in the Admission and Continued Occupancy Policies (ACOP) for Public Housing and the Administrative Plan for the Section 8 Housing Choice Voucher. Some of these solutions are:

- Eviction from Public Housing or termination of Section 8 assistance for perpetrators of such acts so the victim(s) may remain in their home.
- Transfer the victim(s) from one Public Housing development to another a reasonable distance away from their present home.
- Allow for portability of Voucher assistance provide mobility of the victim(s) to an undisclosed location in other cities, counties and states throughout the country.

These solutions are often supported through and with cooperation of various agencies particularly the South Portland Police Department and other area agencies on an as needed basis such as:

- Family Crisis Services through the Maine Coalition to End Domestic Violence
- Community Counseling Inc.
- Pine Tree Legal Assistance, Inc.
- Other Housing Authorities

These agencies play an important role to insure the victim(s) remain safe by helping them implement their legal and security options and receive medical, counseling, and/or emergency housing services as needed.

South Portland Housing Authority has made training sessions available to all SPHA staff, so that they can recognize incidents that may indicate the potential for an escalation in violence in the future. In these cases services can be introduced and resident education can take place. Section 8 staff members work closely with other housing authorities to accept the portability of HCV assistance for victims who need to relocate to other jurisdictions.

SPHA works diligently to reduce and prevent acts of domestic violence. When such acts occur, SPHA reacts quickly and proactively to insure the safety and well-being of their housing residents and clients.

SPHA regularly updates its VAWA related policies to reflect changes in Federal, State, and/or local law that provide greater protection for victims of domestic violence, dating violence, sexual assault, or stalking.

Specifically, South Portland Housing Authority made the following changes to its ACOP to comply with the new October 2010 Federal VAWA rules and regulations:

“An actual and imminent threat consists of a physical danger that is real, would occur within an immediate timeframe, and could result in death or serious bodily harm. In determining whether an individual would pose an actual and imminent threat, the factors to be considered include: the duration of the risk, the nature and severity of the potential harm, the likelihood that the potential harm will occur, and the length of time before the potential harm would occur.

In cases where the Housing Authority receives conflicting certification documents from two or more members of the household, each claiming to be a victim and naming one or more of the other petitioning household members as the perpetrator, the Housing Authority may determine which is the true victim by requiring third-party documentation as described in 24 CFR 5.2007 and in accordance with any HUD guidance as how such determination will be made. The Housing Authority shall honor any court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and issued to address the distribution or possession of property among the household.

If the family break-up results from an occurrence of domestic violence, dating violence or stalking, the Housing Authority will ensure that the victim retains assistance. The factors to be considered in making this decision include:

1. Whether the assistance should remain with family members remaining in the original assisted unit.
2. The interest of minor children or of ill, elderly or disabled family members.
3. Whether family members are forced to leave the unit as a result of actual or threatened domestic violence, dating violence or stalking.
4. Whether any of the family members are receiving protection as victims of domestic violence, dating violence or stalking and whether the abuser is still in the household.”

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary	
PHA Name: South Portland Housing Authority	Grant Type and Number Capital Fund Program Grant No: ME36P02050109 Replacement Housing Factor Grant No: Date of CFFP:
	FFY of Grant: 2009 FFY of Grant Approval: 2009

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:4)
 Performance and Evaluation Report for Period Ending: 3/31/2012 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	23,887	23,887	23,887	23,887
3	1408 Management Improvements	5,000	5,000	5,000	5,000
4	1410 Administration (may not exceed 10% of line 21)	47,592	47,592	47,592	47,592
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	51,239.41	47,369.02	47,369.02	47,369.02
8	1440 Site Acquisition				
9	1450 Site Improvement	22,902.92	22,902.92	22,902.92	22,902.92
10	1460 Dwelling Structures	261,960.91	265,831.30	265,831.30	254,961.68
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	63,333.76	63,333.76	63,333.76	63,333.76
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050109 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2009 FFY of Grant Approval: 2009	
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2012		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	475,916	475,916	475,916	465,046.38
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 7/16/12		Signature of Public Housing Director 	
				Date	

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	<u>Operations</u>	<u>1406</u>	Lump Sum					
	Public Housing Operations	1406		23,887	23,887	23,887	23,887	Complete
PHA Wide	<u>Management Improvements</u>	<u>1408</u>	Lump Sum					
	Computer Software/Hardware Upgrades, Training	1408		5,000	5,000	5,000	5,000	Complete
PHA Wide	<u>Administrative</u>	<u>1410</u>	Lump Sum					
	Prorated salaries/benefits for administration of CFP staff	1410		47,592	47,592	47,592	47,592	Complete
	<u>Fees and Costs</u>	<u>1430</u>	Lump Sum					
	A/E Fees; reimbursable costs	1430		51,239.41	47,369.02	47,369.02	47,369.02	Complete
	<u>Site Improvement</u>	<u>1450</u>						
ME020-000002								
20-3 Scattered Family Sites	Pave Driveways & Walks	1450	11 Sites	-0-	-0-			Move to 2011
20-4 Broadpines	Pave Parking Lot & Walks	1450		22,902.92	22,902.92	22,902.92	22,902.92	Complete-Suppl CFP 501-08
20-5 Scattered Family Sites	Pave & Sealcoat	1450	5 Sites	-0-	-0-			Move to 2011
	Subtotal Acct. 1450			22,902.92	22,902.92	22,902.92	22,902.92	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36S02050109 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2009		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Dwelling Structures	1460						
ME020-000001								
1700 Broadway	Common Area Paint	1460		-0-	-0-			Move to 2011
	Mechanical Shed Additions	1460		26,217.48	26,217.48	26,217.48	26,217.48	Complete
ME020-000002								
20-3 Scattered Sites	Exterior Painting	1460		-0-	-0-			Move to 2011
20-4 Family - 73 Hill St. & Elmwood Ave.	Remodel Bathrooms	1460	14 Units	55,000	53,598	53,598	53,598	Complete
	Remodel Laundry	1460		-0-	-0-			Moved to 2013
	Relocate Boilers & HW Tanks-73 Hill St.	1460		86,275.71	89,252.12	89,252.12	78,382.50	(Env.Rev- 2008)
20-5 Family – Landry, 55 Hill, Grandview & Free St	New Gas Boilers	1460	5	24,467.72	24,467.72	24,467.72	24,467.72	Complete
	Remodel Bathrooms	1460	14 units	60,000	72,295.98	72,295.98	72,295.98	Complete
	Add Water Shut-offs	1460	20 units	-0-	-0-			Cancelled
	New Flooring	1460	20 units	10,000	-0-			“ “ From 2008

	Subtotal Acc. 1460			261,960.91	265,831.30	265,831.30	254,961.68	
	Non-Dwelling Structures	1470						
Landry Office Bldg.	Circuit Breaker Panels	1470		-0-	-0-			Cancel
	Subtotal Acc. 1470			-0-	-0-			
PHA Wide	Non-Dwelling Equipment	1475						
	Kubota Tractor w/Acc.	1475		31,525.00	31,525.00	31,525.00	31,525.00	Complete
	¾ Ton Truck w/Plow	1475		31,808.76	31,808.76	31,808.76	31,808.76	Complete
	Subtotal Acc. 1475			63,333.76	63,333.76	63,333.76	63,333.76	
	Grand Total			475,916	475,916	475,916	465,046.38	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: South Portland Housing Authority					Federal FFY of Grant: 2009
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME020-000001	09/14/2011		09/14/2013		
ME020-000002	09/14/2011		09/14/2013		

Part I: Summary						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2010 FFY of Grant Approval: 2010	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2012 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	24,839	24,839	24,839	24,839	
3	1408 Management Improvements	5,000	5,000	5,000	5,000	
4	1410 Administration (may not exceed 10% of line 21)	47,204	47,757.78	47,757.78	47,757.78	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	40,000	40,000	20,343	19,437	
9	1450 Site Improvement	70,000	70,256.69	70,256.69	70,256.69	
10	1460 Dwelling Structures	212,000	217,747.46	84,825.73	81,747.46	
11	1465.1 Dwelling Equipment—Nonexpendable	48,000	48,000	-0-	-0-	
12	1470 Non-dwelling Structures	5,000	-0-	-0-	-0-	
13	1475 Non-dwelling Equipment	20,000	18,442.07	13,346.07	13,346.07	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

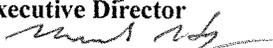
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050110 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant:2010 FFY of Grant Approval: 2010	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/2012 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	472,043	472,043	263,290.00	262,384.00	
21	Amount of line 20 Related to LBP Activities					
22	Amount of line 20 Related to Section 504 Activities					
23	Amount of line 20 Related to Security - Soft Costs					
24	Amount of line 20 Related to Security - Hard Costs	45,000	61,000			
25	Amount of line 20 Related to Energy Conservation Measures	48,000	48,000			
Signature of Executive Director 		Date 2/16/12		Signature of Public Housing Director 		
				Date		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050110 CFPP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	<u>Operations</u>	<u>1406</u>	Lump Sum					
	Public Housing Operations	1406		24,839	24,839	24,839	24,839	Complete
PHA Wide	<u>Management Improvements</u>	<u>1408</u>	Lump Sum					
	Computer Software/Hardware Upgrades, Training	1408		5,000	5,000	5,000	5,000	Complete
PHA Wide	<u>Administrative</u>	<u>1410</u>	Lump Sum					
	Prorated salaries/benefits for administration of CFP staff	1410		47,204	47,757.78	47,757.78	47,757.78	Complete
	<u>Fees and Costs</u>	<u>1430</u>	Lump Sum					
	A/E Fees; reimbursable costs	1430		40,000	40,000	20,343.00	19,437.00	In Progress
	<u>Site Improvement</u>	<u>1450</u>						
ME020-000001								
425 Broadway	Site work/Landscape (Env. Rev. – 2009)	1450		70,000	70,256.69	70,256.69	70,256.69	Complete
Maintenance Garage	Pave Paking lot	1450		-0-	-0-			Work Cancelled
	Subtotal Acct. 1450			70,000	70,256.69	70,256.69	70,256.69	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050110 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	<u>Dwelling Structures</u>	<u>1460</u>						
ME020-000001								
425 Broadway	Electrical Panels	1460	100	102,000	75,000			
	Surveillance System	1460		20,000	30,000			
	Brick Maintenance	1460		-0-	-0-			Moved to 2012
1700 Broadway	Common Area Carpet	1460		-0-	-0-			Moved to 2011
	Surveillance System	1460		20,000	21,000			
ME020-000002								
20-3 Scattered Sites	N/A							
20-4 Family – BP’s, 73 Hill St. & Elmwood Ave.	Surveillance System	1460		10,000	10,000			
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	New Windows	1460	20Units	60,000	81,747.46	81,747.46	81,747.46	Complete
	<u>Subtotal Acc. 1460</u>			212,000	217,747.46	81,747.46	81,747.46	

	Dwelling Equipment	1465.1						
ME020-000002								
20-3 Scattered Sites	New Energy Star Refrigerators	1465.1	26	13,000	13,000			
20-4 Family – BP’s, 73 Hill St. & Elmwood Ave.	New Energy Star Refrigerators	1465.1	50	25,000	25,000			
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	New Energy Star Refrigerators	1465.1	20	10,000	10,000			
	Subtotal Acc. 1465.1			48,000	48,000			
	Non-Dwelling Structures	1470						
Landry Laundry Bldg.	Surveillance System	1470		5,000	-0-			Cancelled
Maintenance Garage	Renovation	1470		-0-	-0-			Cancelled
	Subtotal Acc. 1470			5,000	-0-			
PHA Wide	Non-Dwelling Equipment	1475						
	Walker Mower	1475		20,000	18,442.07	13,346.07	13,346.07	In Progress
	Subtotal Acc. 1475			20,000	18,442.07	13,346.07	13,346.07	
	Grand Total			472,043	472,043	263,290.00	262,384.00	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: South Portland Housing Authority				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME020-000001	7/14/2012		7/14/2014		
ME020-000002	7/14/2012		7/14/2014		
PHA Wide	7/14/2012		7/14/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050111 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval: 2011	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2012 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	26,632	81,029	26,632	26,632	
3	1408 Management Improvements	5,000	5,000	5,000	5,000	
4	1410 Administration (may not exceed 10% of line 21)	40,514	40,514	40,514	19,900	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	25,000	25,000	-0-	-0-	
8	1440 Site Acquisition					
9	1450 Site Improvement	5,000	5,000	-0-	-0-	
10	1460 Dwelling Structures	270,000	215,603	14,550.95	14,550.95	
11	1465.1 Dwelling Equipment—Nonexpendable					
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	33,000	33,000	-0-	-0-	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050111 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2011 FFY of Grant Approval: 2011	
Type of Grant					
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1)					
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2012 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	405,146	405,146	86,696.95	66,082.95
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	70,000	70,000		
Signature of Executive Director 			Date 7/10/12		Signature of Public Housing Director
					Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050111 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	<u>Operations</u>	<u>1406</u>	Lump Sum					
	Public Housing Operations	1406		26,632	81,029	26,632	26,632	In Progress
PHA Wide	<u>Management Improvements</u>	<u>1408</u>	Lump Sum					
	Computer Software/Hardware Upgrades, Training	1408		5,000	5,000	5,000	5,000	Complete
PHA Wide	<u>Administrative</u>	<u>1410</u>	Lump Sum					
	Prorated salaries/benefits for administration of CFP staff	1410		40,514	40,514	40,514	19,900	In Progress
	<u>Fees and Costs</u>	<u>1430</u>	Lump Sum					
	A/E Fees; reimbursable costs	1430		25,000	25,000	-0-	-0-	
	<u>Site Improvement</u>	<u>1450</u>						
ME020-000002								
20-3 Scat. Sites	Pave Driveways & Walks (2009 E/R)	1450		2,500	2,500	-0-	-0-	
20-5 Family Units	Pave Lots and Walks (2009 E/R)	1450		2,500	2,500	-0-	-0-	
	Subtotal Acct. 1450			5,000	5,000	-0-	-0-	

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² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050111 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
	Dwelling Structures	1460		Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
ME020-000001								
425 Broadway	BB Heat – Ball Valve Repl. (2009 E/R)	1460	100	20,000	20,000	-0-	-0-	
Landry Village	New Roofs (2009 E/R)	1460		155,000	96,503	-0-	-0-	
1700 Broadway	N/A	1460						
ME020-000002								
20-3 Scattered Sites	Boiler Replacements (2009 E/R)	1460		70,000	70,000	-0-	-0-	
20-4 Family – BP’s, 73 Hill St. & Elmwood Ave.	Broadpines Intercom System (2009 E/R) Broadpines Deadbolt Locks (2011 E/R)	1460 1460		10,000 15,000	5,100 15,000	5,100 450.95	5,100 450.95	Complete In Progress
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	N/A							
	Subtotal Acc. 1460			270,000	215,603	14,550.95	14,550.95	

	Dwelling Equipment	1465.1					
ME020-000002							
20-3 Scattered Sites	N/A						
20-4 Family – BP’s, 73 Hill St. & Elmwood Ave.	N/A						
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	N/A						
	Subtotal Acc. 1465.1						
	Non-Dwelling Structures	1470					
	N/A						
	Subtotal Acc. 1470						
PHA Wide	Non-Dwelling Equipment	1475					
	¾ Ton Truck w/plow (2009 E/R)	1475		33,000	33,000	-0-	-0-
	Subtotal Acc. 1475			33,000	33,000		
	Grand Total			405,146	405,146	86,696.95	66,082.95

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² To be completed for the Performance and Evaluation Report.

PHA Name: South Portland Housing Authority				Federal FFY of Grant: 2011	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
ME020-000001	08/02/2013		08/02/2015		
ME020-000002	08/02/2013		08/02/2015		
PHA Wide	08/02/2013		08/02/2015		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No: ME36P02050112 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2012 FFY of Grant Approval: 2012	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no:1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2012 <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	27,257	27,257			
3	1408 Management Improvements	5,000	5,000			
4	1410 Administration (may not exceed 10% of line 21)	33,972	33,972			
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	40,000	40,000			
8	1440 Site Acquisition					
9	1450 Site Improvement	-0-	5,000			
10	1460 Dwelling Structures	210,000	205,000			
11	1465.1 Dwelling Equipment—Nonexpendable	23,500	23,500			
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment					
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					

¹ To be completed for the Performance and Evaluation Report.

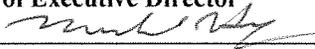
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: South Portland Housing Authority		Grant Type and Number Capital Fund Program Grant No:ME36P02050112 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2012 FFY of Grant Approval: 2012	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/2012 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	339,729	339,729		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures	35,000	30,000		
Signature of Executive Director 		Date 7/16/12		Signature of Public Housing Director Date	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050112 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	<u>Operations</u>	<u>1406</u>	Lump Sum					
	Public Housing Operations	1406		27,257	27,257			
PHA Wide	<u>Management Improvements</u>	<u>1408</u>	Lump Sum					
	Computer Software/Hardware Upgrades, Training	1408		5,000	5,000			
PHA Wide	<u>Administrative</u>	<u>1410</u>	Lump Sum					
	Prorated salaries/benefits for administration of CFP staff	1410		33,972	33,972			
	<u>Fees and Costs</u>	<u>1430</u>	Lump Sum					
	A/E Fees; reimbursable costs	1430		40,000	40,000			
	<u>Site Improvement</u>	<u>1450</u>						
ME020-000001	N/A							
ME020-000002								
20-5 Family Sites	Site work/Landscaping (2011 Env. Rev.)	1450		-0-	5,000			From 2015
	Subtotal Acct. 1450			-0-	5,000			

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: South Portland Housing Authority			Grant Type and Number Capital Fund Program Grant No: ME36P02050112 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	<u>Dwelling Structures</u>	<u>1460</u>						
ME020-000001								
425 Broadway	Brick Maintenance (2009 Env. Rev.)	1460	Bldg	25,000	25,000	-0-	-0-	
Landry Village	N/A	1460						
1700 Broadway	Bathroom Remodeling (2009 Env. Rev)	1460	100	150,000	150,000	-0-	-0-	
ME020-000002								
20-3 Scattered Sites	Lighting Upgrades (2011 Env. Rev.)	1460		10,000	10,000	-0-	-0-	
20-4 Family – BP’s, 73 Hill St. & Elmwood Ave.	Lighting Upgrades (2009 Env. Rev)	1460		15,000	10,000	-0-	-0-	
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	Lighting Upgrades (2009 Env. Rev.)	1460		10,000	10,000	-0-	-0-	
	Subtotal Acc. 1460			210,000	205,000	-0-	-0-	

	Dwelling Equipment	1465.1					
ME020-000002							
20-3 Scattered Sites	N/A						
20-4 Family – BP’s, 73 Hill St. & Elmwood Ave.	Electric Ranges (2009 Env. Rev.)	1465.1		17,000	17,000	-0-	-0-
20-5 Family – 53-60 Landry, 55 Hill, Grandview & Free St	Electric Ranges (2009 Env. Rev.)	1465.1		6,500	6,500	-0-	-0-
	Subtotal Acc. 1465.1			23,500	23,500	-0-	-0-
	Non-Dwelling Structures	1470					
	N/A	1470					
	Subtotal Acc. 1470						
PHA Wide	Non-Dwelling Equipment	1475					
	N/A	1475					
	Subtotal Acc. 1475						
	Grand Total			339,729	339,729	-0-	-0-

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
South Portland Housing Authority / ME020		South Portland, Maine			<input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 2	
A.	Development Number and Name	Work Statement for Year 1 FFY: <u>2012</u>	Work Statement for Year 2 FFY : <u>2013</u>	Work Statement for Year 3 FFY : <u>2014</u>	Work Statement for Year 4 FFY : <u>2015</u>	Work Statement for Year 5 FFY: <u>2016</u>
B.	Physical Improvements Subtotal	Annual Statement	202,000	200,000	190,000	210,000
C.	Management Improvements		5,000	5,000	5,000	5,000
D.	PHA-Wide Non-dwelling Structures and Equipment		48,000	48,000	58,000	33,000
E.	Administration		33,972	33,972	33,972	33,972
F.	Other		25,000	25,000	25,000	30,000
G.	Operations		25,757	27,757	27,757	27,757
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		339,729	339,729	339,729	339,729
L.	Total Non-CFP Funds					
M.	Grand Total		339,729	339,729	339,729	339,729

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY: 2011	Work Statement for Year : 2 FFY:2013			Work Statement for Year: 3 FFY: 2014		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See						
Annual	<u>ME020-000001</u> 20-1 Hazard Towers			<u>ME020-000001</u> 20-1 Hazard Towers		
Statement	Sprinkler System Upgrades		10,000	Lobby & Entrance Remodel		10,000
	20-2 Landry Village					
	Paving Walks & Drives		20,000	20-2 Landry Village		
	20-6 St. Cyr Court			Window Replacement		105,000
	Remodel Community Room Kitchen		5,000			
				20-6 St. Cyr Court		
				New Lever Handled Locksets	100	35,000
	<u>ME020-000002</u> 20-3 Scattered Site Families			<u>ME020-000002</u> 20-3 Scattered Site Families	N/A	
	Electric Ranges	26	12,000			
	Exterior Siding		80,000			
	20-4 Family Units			20-4 Family Units		
	225, 235 BP'S (2) New Boilers		30,000	73 Hill St. – Exterior Siding		25,000
	Paving Walks & Lots @ 73 Hill & Elmwood		10,000	Broadpines – Bath Exhaust Vents & Ceilings		10,000
	Remodel Laundry		5,000			
				Elmwood Ave. – New Boiler		15,000
	20-5 Family Units			20-5 Family Units	N/A	
	Paving - 53-60 Landry		15,000			
	Roof over Boiler Room		15,000			

Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

		Subtotal of Estimated Cost	\$202,000		Subtotal of Estimated Cost	\$200,000

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY _____	Work Statement for Year: 4 FFY: 2015			Work Statement for Year: 5 FFY: 2016		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement						
	<u>ME020-000001</u> 20-1 Hazard Towers			<u>ME020-000001</u> 20-1 Hazard Towers		
	Recoat Fiberglass Exterior		25,000	Bathroom Flooring		30,000
	<u>20-2 Landry Village</u>					
	Boiler Replacements		70,000	20-2 Landry Village	N/A	
	20-6 St. Cyr Court			20-6 St. Cyr Court		
	Fire System Upgrades		10,000	Re-Pave Fire Lane		20,000
				Common Area Flooring		10,000
	<u>ME020-000002</u> 20-3 Scattered Site Families			<u>ME020-000002</u> 20-3 Scattered Site Families		
	Window Replacement		65,000	Remodel Bathrooms		60,000
				Exterior Siding		70,000
	20-4 Family Units			20-4 Family Units		
	63 Elmwood Ave. – Exterior Siding		20,000	Site Work/Landscape		10,000
	20-5 Family Units	N/A		20-5 Family Units		
				Site Work/Landscaping		10,000

Capital Fund Program—Five-Year Action Plan

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

		Subtotal of Estimated Cost	\$190,000		Subtotal of Estimated Cost	\$210,000

