

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: ELKHART HOUSING AUTHORITY PHA Code: IN 026 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 04/2012				
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 672 Number of HCV units: 634				
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only				
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)				
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program
					PH HCV
	PHA 1:				
	PHA 2:				
	PHA 3:				
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.				
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: Section 5.1 Not Applicable this year				
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. Section 5.2 Not Applicable this year				
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: 1. Eligibility, Selection, Admissions Policies – EHA has adopted a new ACOP Policy based on the Nan McKay protocol. There are no substantive changes to processes in the public housing program, only major formatting changes. Policy can be submitted to HUD upon request. Only HUD-mandated changes that have occurred over the past year have been added to the Section 8 Administrative Plan. 2. Financial Resources – Continuously changing. The financial resources summary page is a Supporting Document to this Plan 3. Rent Determinations – EHA has adopted a new ACOP Policy based on the Nan McKay protocol. There are no substantive changes to processes in the public housing program, only major formatting changes. Policy can be submitted to HUD upon request. Only HUD-mandated changes that have occurred over the past year have been added to the Section 8 Administrative Plan. 4. Operations and Management – Section 504 Policy has been developed and adopted by the Board of Commissioners, and a Section 504 Coordinator has been appointed. 5. Grievance Procedures – No changes 6. Designated Housing for Elderly and Disabled Families – No changes 7. Community Service and Self-Sufficiency – EHA has increased it's Section 8 Family Self-Sufficiency Program to 85 participants 8. Safety and Crime Prevention –Elkhart Housing Authority may allow a police officer(s) who would not otherwise be eligible for occupancy in public housing to reside in one or more of the public housing developments. If implemented, such occupancy would be to increase security for public housing residents. The rent shall at least equal the cost of operating the public housing unit. 9. Pets – No changes				

	<p>10. Civil Rights Certification – No changes</p> <p>11. Fiscal Year Audit – No changes</p> <p>12. Asset Management – No changes</p> <p>13. Violence Against Women Act (VAWA) – No changes – See <u>Attachment A</u></p> <p>14. Other – Consistency with the Consolidated Plan:</p> <p>The City of Elkhart’s Consolidated Plan recognizes the value of public housing and Section 8 assisted housing. It also projects an increase in population over the next several years as well as an increased need for affordable housing. Its goals are generally consistent with the Elkhart Housing Authority’s goals. While it does not provide specific resources to the housing authority, the City of Elkhart does provide a homeownership program (Pathway to Better Housing program) and several homeowner rehabilitation programs to preserve the supply of affordable housing.</p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Copies at central office and AMPs 1, 3 and 7. Mayor’s office and RAB members all have copies.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>HOPE VI – Not Applicable</p> <p>Mixed-Finance Modernization or Development – If circumstances warrant, Elkhart Housing Authority may become involved in mixed-finance development</p> <p>Demolition and/or Disposition – Elkhart Housing Authority will research the need to demolish or dispose of obsolete public housing. If determined to be feasible, EHA will submit an application for the demolition or disposition of IN 026-2, Washington Gardens, either in part or in total.</p> <p>Conversion of Public Housing – Not Applicable</p> <p>Section 8 Homeownership Program – Elkhart Housing Authority will continue administering the Section 8 Homeownership Program. See <u>Attachment B</u></p> <p>Public Housing Homeownership Program – Elkhart Housing Authority may apply for the Public Housing Homeownership Program at IN 26-2, Washington Gardens (up to 10 units) and/or IN 026-4, Scattered Sites (up to 15 units)</p> <p>Project-Based Vouchers – EHA currently administers Project-Based Vouchers at 525 Middlebury Street, Elkhart, 12 units of Transitional Housing</p> <p>Other – EHA may apply for new programs if NOFAs are issued by HUD or other appropriate opportunities are presented.</p> <p>EHA may convert 0-bedroom units into 1- and 2-bedroom units to increase the marketability of the units, contingent upon the availability of CFP funds and/or Operating funds.</p>
8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.</p>
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Attachment C – FY 2012 Capital Fund Program Annual Statement – Projected Budget Attachment D – FY 2011 Capital Fund Program Annual Statement – Performance and Evaluation Report Attachment E – FY 2010 Capital Fund Program Annual Statement – Performance and Evaluation Report Attachment F – FY 2009 Capital Fund Program – Performance and Evaluation Report Attachment G – FY 2009 Capital Fund Program (ARRA Funds) – Performance and Evaluation Report – Final Report Attachment H – FY 2008 Capital Fund Program – Performance and Evaluation Report Attachment I – FY 2007 Capital Fund Program Performance and Evaluation Report – Final Report</p>

8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Attachment J – Capital Fund Program Five-Year Action Plan</p>																																																																																																																																																								
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p>Not Applicable</p>																																																																																																																																																								
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <table border="1" data-bbox="318 657 1463 1121"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th> </tr> <tr> <th>Family Type</th> <th>Overall</th> <th>Afford-ability</th> <th>Supply</th> <th>Quality</th> <th>Access-ibility</th> <th>Size</th> <th>Location</th> </tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td> <td>1,224</td> <td>5</td> <td>4</td> <td>4</td> <td>3</td> <td>3</td> <td>4</td> </tr> <tr> <td>Income >30% but <=50% of AMI</td> <td>1,099</td> <td>4</td> <td>3</td> <td>4</td> <td>2</td> <td>3</td> <td>4</td> </tr> <tr> <td>Income >50% but <80% of AMI</td> <td>514</td> <td>2</td> <td>3</td> <td>3</td> <td>2</td> <td>3</td> <td>2</td> </tr> <tr> <td>Elderly</td> <td>612</td> <td>4</td> <td>3</td> <td>2</td> <td>2</td> <td>2</td> <td>2</td> </tr> <tr> <td>Families with Disabilities</td> <td>835</td> <td>4</td> <td>4</td> <td>3</td> <td>5</td> <td>2</td> <td>4</td> </tr> <tr> <td>Black</td> <td>593</td> <td>3</td> <td>3</td> <td>4</td> <td>3</td> <td>3</td> <td>4</td> </tr> <tr> <td>White</td> <td>1,789</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> </tr> <tr> <td>Hispanic</td> <td>699</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> </tr> <tr> <td>Native American</td> <td>40</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> </tr> <tr> <td>Asian</td> <td>24</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> <td>3</td> </tr> </tbody> </table> <table border="1" data-bbox="318 1205 1484 1717"> <thead> <tr> <th colspan="4">Housing Needs of Families on the Waiting List <i>Section 8 Tenant Based</i></th> </tr> <tr> <th></th> <th># of families</th> <th>% of total families</th> <th>Annual Turnover</th> </tr> </thead> <tbody> <tr> <td>Waiting list total</td> <td>416</td> <td></td> <td>138</td> </tr> <tr> <td>Extremely low income <=30% AMI</td> <td>395</td> <td>95%</td> <td></td> </tr> <tr> <td>Very low income (>30% but <=50% AMI)</td> <td>16</td> <td>4%</td> <td></td> </tr> <tr> <td>Low income (>50% but <80% AMI)</td> <td>5</td> <td>1%</td> <td></td> </tr> <tr> <td>Families with children</td> <td>227</td> <td>54.5%</td> <td></td> </tr> <tr> <td>Elderly families</td> <td>4</td> <td>0.99%</td> <td></td> </tr> <tr> <td>Families with disabilities</td> <td>33</td> <td>7.9%</td> <td></td> </tr> <tr> <td>Race/ethnicity white</td> <td>179</td> <td>43%</td> <td></td> </tr> <tr> <td>Race/ethnicity black</td> <td>226</td> <td>54%</td> <td></td> </tr> <tr> <td>Race/ethnicity Hispanic</td> <td>17</td> <td>4%</td> <td></td> </tr> <tr> <td>Race/ethnicity Native American</td> <td>3</td> <td>0.7%</td> <td></td> </tr> <tr> <td>Race/ethnicity Other</td> <td>4</td> <td>0.9%</td> <td></td> </tr> </tbody> </table>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Location	Income <= 30% of AMI	1,224	5	4	4	3	3	4	Income >30% but <=50% of AMI	1,099	4	3	4	2	3	4	Income >50% but <80% of AMI	514	2	3	3	2	3	2	Elderly	612	4	3	2	2	2	2	Families with Disabilities	835	4	4	3	5	2	4	Black	593	3	3	4	3	3	4	White	1,789	3	3	3	3	3	3	Hispanic	699	3	3	3	3	3	3	Native American	40	3	3	3	3	3	3	Asian	24	3	3	3	3	3	3	Housing Needs of Families on the Waiting List <i>Section 8 Tenant Based</i>					# of families	% of total families	Annual Turnover	Waiting list total	416		138	Extremely low income <=30% AMI	395	95%		Very low income (>30% but <=50% AMI)	16	4%		Low income (>50% but <80% AMI)	5	1%		Families with children	227	54.5%		Elderly families	4	0.99%		Families with disabilities	33	7.9%		Race/ethnicity white	179	43%		Race/ethnicity black	226	54%		Race/ethnicity Hispanic	17	4%		Race/ethnicity Native American	3	0.7%		Race/ethnicity Other	4	0.9%	
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Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	919		300
Extremely low income <=30% AMI	908	99%	
Very low income (>30% but <=50% AMI)	7	1%	
Low income (>50% but <80% AMI)	4	0%	
Families with children	840	91%	
Elderly families	4	0.4%	
Families with Disabilities	1	0%	
Race/ethnicity white	275	29.9%	
Race/ethnicity black	584	63.50%	
Race/ethnicity Hispanic	63	6.8%	
Race/ethnicity Native American	5	0.5%	
Race/ethnicity Other	7	0.7%	
Characteristics by Bedroom Size (Public Housing Only)			
0BR	3	0.33%	
1BR	444	48.3%	
2 BR	255	27.7%	
3 BR	22	2.3%	
4 BR	166	18.2%	
5 BR	25	2.7%	
5+ BR	2	0.22%	

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Some of the strategies to address the housing needs Elkhart Housing Authority will strive for include the following:

- Reduce turnaround time for vacant units**
- Apply for additional section 8 units should they become available**
- Leverage affordable housing resources in the community through the creation of mixed - finance housing**
- Pursue housing resources other than public housing or Section 8 tenant-based assistance.**
- Purchasing or constructing units and/or buildings**

Funding and staffing constraints as well as consultation with the Consolidated Plan Agency and the RAB will impact some of the above activities.

10.0

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

Goal: Expand the supply of assisted housing.	
Objective	Progress
Partner with other nonprofit agencies to expand or develop new housing units.	The housing authority continues to work with the Elkhart Community Housing Corporation in providing housing to Elkhart resident at or below 50 percent median income levels. This past year the ECHC has built 1 new home and leased it to a qualified HCV participant. ECHC has also assisted 1 client graduating for our Homeownership Program build a new home in Oakland Estates.
Leverage private or public funds to create additional housing opportunities.	Due to the current economic conditions in the nation, strategies for this objective are being reviewed to determine a course of action.
Improve marketing strategies to inform a broader population of citizens of our products and services.	The EHA has advertised in the local English and Hispanic newspapers on a monthly basis to explain and offer our services. Various departments from the EHA have been involved in community events such as Back to School, Baby Fair at Tolson Center, Goshen Interfaith meeting and Homeless Coalition meetings. Also, we rented a booth at the Elkhart County Fair staffed by EHA employees from various departments to market our properties to nearly 250,000 people in attendance.

Goal: Improve the quality of assisted housing.	
Objective	Progress
Improve public housing management (PHAS Score).	We are currently waiting on our overall score from HUD. Our annual audit is in the process of being finalized, our properties have been inspected and we have filed an appeal on certain inspections. We will receive a final score once this process is completed.
Renovate or modernize public housing units.	The EHA has done an excellent job utilizing available funding to modernize public housing property. Current projects include final phase of the Washington Garden Kitchen Cabinet replacement, energy efficient lighting and interior door replacement. New windows will be installed at the Riverside Terrace Highrise in the Spring of 2012.
Research the need to demolish or dispose of obsolete public housing.	Information is still being collected at the project level to determine the course of action the housing authority will take in the future.
Train staff in all aspects of property and program management by utilizing seminars, conference and in-house web based training.	Staff attended training at Casterline & Associates, NAHRO and Nan McKay Conferences on various subject dealing with property and program management. Public housing staff also received in-house in REAC and UPCS training. HUD webinar training has been utilized by multiple staff members in various training subjects.
Maintain a commitment to elevated customer service.	Managers carry cell phones to be able to respond to residents needs that are either routine or non-routine calls. EHA continues to offer 24 hour maintenance service for emergency needs during regular business hours or after hour calls. Reception staff has received off-site training in customer service activities. Completed

10.0 (a) Progress in Meeting Mission and Goals. (Continued)

Goal: Increase assisted housing choices.	
Objective	Progress
Provide voucher mobility counseling.	Mobility counseling is on-going. Clients that are interested in moving meet with specialist who explains affordability and process of re-locating. Completed
Conduct outreach efforts to potential voucher landlords.	A landlord meeting was held for current landlords and prospective landlords in 2011. Topics of interest included what to look for and what action to take if tenants appear to be producing methamphetamine in the unit. A local pest control provider completed a training on the bed bug and its reintroduction of this pest into the United States. Landlords are encouraged to contact the agency if interested in additional program information. Packets regarding the HCV Landlord participation in program were provided as well as different program brochures. New landlords continue to list their units on the HCV Program. Word of mouth from satisfied landlords contributes to the recruitment of new landlords.
Provide incentive transfers to FSS clients who have participated on the program for one year and are in full compliance with FSS and LIPH.	The EHA is reviewing this objective and HUD regulations to determine feasibility of such a program.

Goal: Provide an improved living environment.	
Objective	Progress
Perform necessary modifications to public housing units through capital improvement expenditures to successfully compete with comparable housing markets.	The EHA has a 5 year capital improvement plan in process to keep our properties modernized and competitive with local housing developments. Some of these improvements have been new kitchens and appliances, siding and landscaping.
Implement new public housing security measures as situations arise that dictate change to the current security program.	This objective continues to be an on-going project. A recent addition of wireless cameras in a family site development continues to be monitored for future expansion of our security program. Installation of cameras in our high-rise buildings has proven to be very successful.
Implement a management philosophy on individual AMPS to maintain and build the value of the property.	Managers of each property are required to record and submit plans to improve their assigned property on an annual basis. Items are reviewed for need and value and placed on the 5 year plan once approved.

Goal: Strive to make the Housing Authority energy efficient by reducing Authority and tenants energy usage.	
Objective	Progress
Utilize the recent energy audit to identify areas of future capital improvement expenditures to conserve energy or natural resources.	EHA has used the energy audit to develop a multi-year plan to reduce energy costs at all developments with Capital Improvement funding. Annual energy audits from AEP in family site units have shown a decreased in electric usage after fluorescent lighting was installed at family site locations. Low flow aerators and shower heads have been installed to reduce water usage. Addition insulation has been contracted for scattered site locations.
Implement an Appliance Replacement Plan using (Energy Star Equipment) for stoves, refrigerators, hot water heaters and HVAC units with poor energy ratings.	EHA has implemented a program to buy only Energy Star appliances when replacing worn or obsolete equipment. (Complete)
Discontinued use of all incandescent bulbs and replace with new energy efficient bulbs or fixtures.	EHA has implemented a program in maintenance to switch over all incandescent fixtures to fluorescent fixtures when a move-out occurs. Current budget restrictions limit this process to move-outs only at this time. Future funding sources may allow for widespread replacement of all incandescent fixtures
Promote information about energy conservation to tenants and emphasize the need to recycle and conserve.	Periodic tenant newsletters encourage residents to conserve energy to the best of their ability. Resident council meetings include discussion about energy conservation.

10.0	(a) Progress in Meeting Mission and Goals. (Continued)
Goal: Promote self-sufficiency and asset development of assisted households.	
Objective	Progress
Increase the number and percentage of employed persons in assisted housing.	Family Self Sufficiency Programs at the housing authority have produced a 55% employment rate for those individuals participating in the Housing Choice Voucher program and a 50% increase for those participants enrolled in public housing program. Both programs have increased their employed participant's in 2011.
Provide attractive supportive services to improve assistance recipient's employability.	The Elkhart Housing Authority's Housing Choice Voucher Program provides supportive services to improve its recipient's employability by offering services which increase its client's marketability to potential employers. These services include but are not limited to: assistance with resume and cover letter creation, job search assistance and improving job interviewing skills. The HCV program utilizes a variety of educational publications and resources to support the above mentioned services. Some of the resources utilized are the following Channing Bete publications: <i>Job Searing after a Layoff</i> , <i>Writing a Winning Resume</i> , <i>Job Interview Skills</i> , <i>Planning Your Career</i> , <i>Keeping Your New Job</i> , <i>Developing Workplace Skills</i> and <i>Landing a Job</i> . The HCV staff also utilizes numerous sources to aid clients with job searches like www.careerconnect.com , www.monster.com , www.careerbuilder.com and local area newspapers like the <i>Elkhart Truth</i> , <i>Goshen News</i> , and the <i>South Bend Tribune</i> .
Identify and implement programs with community based partners that can promote family self-sufficiency.	The FSS programs enjoy numerous partnerships with community based programs which help support its mission of promoting self sufficiency. Agencies which provide educational, financial literacy, job search/placement, health services, homeownership education and childcare services were targeted this past year. The FSS program has a memorandum of understanding with Family Empowerment, a program of Individuals and Families in Transition (IFiT). The HCV staff frequently makes referrals and partners with Work One, Habitat for Humanity, La Casa, Adult Basic Education (ABE), Learning Generations Initiative (LGI), the Purdue Extension, Heart City Health Center, Community Action of Northeast Indiana (CANI) and Ivy Tech Community College. Complete
Goal: Ensure equal opportunity and affirmatively further fair housing.	
Objective	Progress
Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability.	It is the policy of the Elkhart Housing Authority to fully comply with all Federal, State and local nondiscrimination laws; the Americans with Disabilities Act; and the U. S. Department of Housing and Urban Development regulations governing Fair Housing and Equal Opportunity. The Elkhart Housing Authority shall affirmatively further fair housing in the administration of its public housing program. No person shall, on the grounds of race, color, sex, religion, national or ethnic origin, familial status, or disability be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under the Elkhart Housing Authority's programs. Complete
Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability.	The Elkhart Housing Authority will assist any family that believes they have suffered illegal discrimination by providing them with copies of the appropriate housing discrimination forms. The Elkhart Housing Authority will also assist them in completing the forms if requested, and will provide them with the address of the nearest HUD office of Fair Housing and Equal Opportunity. Complete

10.0	<p>(a) Progress in Meeting Mission and Goals. (Continued)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">Goal: Continue compliance with provisions of the Violence Against Women Act (VAWA)</th> </tr> <tr> <th style="text-align: center;">Objective</th> <th style="text-align: center;">Progress</th> </tr> <tr> <td style="vertical-align: top;">Continue to comply with the Violence Against Women Act (VAWA) to the degree we can.</td> <td style="vertical-align: top;">As part of the move-in process, the PHA provides notice to all public housing tenants and Section 8 participants of their rights and responsibilities under VAWA. The PHA has also posted information regarding VAWA in its offices and on its website. It also makes the information readily available to anyone who may request it.</td> </tr> <tr> <td> </td> <td> </td> </tr> </table> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>The Elkhart Housing Authority has adopted the following definition of substantial deviation and significant amendment or modification:</p> <p style="padding-left: 40px;">“A substantial change is any fundamental alteration in the Elkhart Housing Authority’s mission statement, goals and objectives, or key administrative policies as defined by its Board of Commissioners. Any such change will be subject to all prescribed HUD review, comment, and approval requirements.”</p>	Goal: Continue compliance with provisions of the Violence Against Women Act (VAWA)		Objective	Progress	Continue to comply with the Violence Against Women Act (VAWA) to the degree we can.	As part of the move-in process, the PHA provides notice to all public housing tenants and Section 8 participants of their rights and responsibilities under VAWA. The PHA has also posted information regarding VAWA in its offices and on its website. It also makes the information readily available to anyone who may request it.		
Goal: Continue compliance with provisions of the Violence Against Women Act (VAWA)									
Objective	Progress								
Continue to comply with the Violence Against Women Act (VAWA) to the degree we can.	As part of the move-in process, the PHA provides notice to all public housing tenants and Section 8 participants of their rights and responsibilities under VAWA. The PHA has also posted information regarding VAWA in its offices and on its website. It also makes the information readily available to anyone who may request it.								

11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p style="padding-left: 40px;"><u>See Attachment K</u></p> <p>(g) Challenged Elements – <u>See Attachment L</u></p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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Attachment M - Certifications

Attachment A

Elkhart Housing Authority

Annual Plan for Fiscal Year 4/1/2012 – 3/31/2013

Violence Against Women Act Report

A goal of the Elkhart Housing Authority is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

The Elkhart Housing Authority provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking.

Through cooperation with the local domestic violence agencies and City of Elkhart Police Department, any cases of violence as described are referred for assistance. The local domestic violence agencies are:

**Victim Assistance Program
Elkhart County Women's Shelter**

The Elkhart Housing Authority provides or offers the following activities, services, or programs that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing.

The local domestic violence agencies program staff is aware of our housing programs, and makes client referrals to our office. Apparently eligible clients are placed on our waiting list.

For persons already living in a Housing Authority unit who become victims as described, these are referred to police and the local domestic violence agencies for assistance. If the management becomes aware of any violator who may be restricted through an order of protection, that person is prohibited from the premises and is considered a trespasser subject to arrest and removal. The Police Department is cooperative and supportive in cases such as this, and willingly responds and enforces the protective orders.

The Elkhart Housing Authority provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

The same methods as described herein, making referrals to the local domestic violence agencies for counseling and support services, and attempting to enforce orders of protection with the cooperation of Police Department personnel, are used.

The Elkhart Housing Authority has the following procedures in place to assure applicants and residents are aware of their rights under the Violence Against Women Act:

All public housing residents and Section 8 participants have been notified of their rights and responsibilities under the Violence Against Women Act.

The orientation for new residents and participants includes information on their rights and responsibilities under the Violence Against Women Act.

The Admissions & Continued Occupancy Policy (ACOP), the Section 8 Administrative Plan, and the Public Housing Dwelling Lease have been revised to include screening and termination language related to the Violence Against Women Act

Attachment B

Elkhart Housing Authority

Annual Plan for Fiscal Year 4/1/2012 – 3/31/2013

Section 8 Homeownership Capacity Statement

1. Yes No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to the next component; if “yes”, complete each program description below (copy and complete questions for each program identified.)

2. Program Description:

a. Size of Program

Yes No: Will the PHA limit the number of families participating in the Section 8 homeownership option?

If the answer to the question above was yes, what is the maximum number of participants this fiscal year? 32

b. PHA-established eligibility criteria

Yes No: Will the PHA’s program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria: Participants will be ineligible if any debt is owed to Housing Authority, City of Elkhart or any other Housing Authority

c. What actions will the PHA undertake to implement the program this year (list)?

3. The PHA has demonstrated its capacity to administer the program by (select all that apply):

a. Establishing a minimum homeowner down payment requirement of at least 3 percent of purchase price and requiring that at least 1 percent of the purchase price comes from the family’s resources.

b. Requiring that financing for purchase of a home under its Section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards.

c. Partnering with a qualified agency or agencies to administer the program (list name(s) and years of experience below).

LaCasa – 38 years and Habitat for Humanity of Elkhart County – 22 years

d. Demonstrating that it has other relevant experience (list experience below).

**Eighteen plus years of combined client counseling
Two Homeownership Counselors one of which is a Certified Housing Counselor
Department Director trained in Homeownership through Neighborworks to oversee the
Homeownership Program.**

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		
PHA Name: Elkhart Housing Authority 1396 Benham Ave, Elkhart, IN 46516 IN026	Grant Type and Number Capital Fund Program Grant No: IN36P02650112 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2012 FFY of Grant Approval:

Type of Grant
 Original Annual Statement
 Reserve for Disasters/Emergencies
 Revised Annual Statement (revision no:)
 Performance and Evaluation Report for Period Ending:
 Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³		175,000		
3	1408 Management Improvements		90,000		
4	1410 Administration (may not exceed 10% of line 21)		85,000		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		40,000		
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures		470,970		
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment		15,000		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)		875,970		

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority		Grant Type and Number Capital Fund Program Grant No: IN36P02650112 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant:2012 FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Final Performance and Evaluation Report			
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 11/21/11		Signature of Public Housing Director	
				Date	

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² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Elkhart Housing Authority			Grant Type and Number Capital Fund Program Grant No: IN36P02650112 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406	LS	175,000				
COCC	Management Improvements	1408	LS	15,000				
IN026000001	Management Improvements	1408	LS	15,000				
IN026000002	Management Improvements	1408	LS	15,000				
IN026000003	Management Improvements	1408	LS	15,000				
IN026000004	Management Improvements	1408	LS	15,000				
IN026000007	Management Improvements	1408	LS	15,000				
	Sub Total 1408			90,000				
COCC	Administration	1410	LS	14,166.65				
IN026000001	Administration	1410	LS	14,166.67				
IN026000002	Administration	1410	LS	14,166.67				
IN026000003	Administration	1410	LS	14,166.67				
IN026000004	Administration	1410	LS	14,166.67				
IN026000007	Administration	1410	LS	14,166.67				
	Sub Total 1410			85,000				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

KS

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: Elkhart Housing Authority			Grant Type and Number Capital Fund Program Grant No: IN36P02650112 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2012		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
COCC	A & E Fees for 1460 work items	1430	LS	14,000				
IN026000002	A & E Fees for 1460 work items	1430	LS	13,000				
IN026000004	A & E Fees for 1460 work items	1430	LS	13,000				
	SubTotal 1430			40,000				
COCC	H/C front door and ramps	1460	1	62,000				
IN026000002	Upgrade Electric to units	1460	90	173,970				
IN026000004	Replace exterior doors and locks	1460	98	235,000				
	Sub Total 1460			470,970				
IN026000001	Computer Hardware & Misc. Maint. Equip	1475	LS	3,750				
IN026000003	Computer Hardware & Misc. Maint. Equip	1475	LS	3,750				
IN026000007	Computer Hardware & Misc. Maint. Equip	1475	LS	3,750				
COCC	Computer hardware	1475	LS	3,750				
	Sub Total 1475			15,000				
	TOTAL GRANT			875,970				

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² To be completed for the Performance and Evaluation Report.

KS

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary						
PHA Name: Elkhart Housing Authority - IN 026 P&E as of 9/30/2011		Grant Type and Number Capital Fund Program Grant No: IN36P026 501 11 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2011 FFY of Grant Approval: 2011	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report						
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹		
		Original	Revised ²	Obligated	Expended	
1	Total non-CFP Funds					
2	1406 Operations (may not exceed 20% of line 21) ³	175,000		0.00	0.00	
3	1408 Management Improvements	90,000		0.00	0.00	
4	1410 Administration (may not exceed 10% of line 21)	85,000		0.00	0.00	
5	1411 Audit					
6	1415 Liquidated Damages					
7	1430 Fees and Costs	40,000		0.00	0.00	
8	1440 Site Acquisition					
9	1450 Site Improvement					
10	1460 Dwelling Structures	470,970		0.00	0.00	
11	1465.1 Dwelling Equipment—Nonexpendable	0.00		0.00	0.00	
12	1470 Non-dwelling Structures					
13	1475 Non-dwelling Equipment	15,000		0.00	0.00	
14	1485 Demolition					
15	1492 Moving to Work Demonstration					
16	1495.1 Relocation Costs					
17	1499 Development Activities ⁴					
18a	1501 Collateralization or Debt Service paid by the PHA					
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment					
19	1502 Contingency (may not exceed 8% of line 20)					
20	Amount of Annual Grant:: (sum of lines 2 - 19)	875,970		0.00	0.00	

¹ To be completed for the Performance and Evaluation Report.

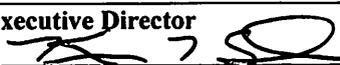
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
		11/21/11			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
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Part II: Supporting Pages								
PHA Name: Elkhart Housing Authority - IN 026			Grant Type and Number Capital Fund Program Grant No: IN36P026 501 11 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2011		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide Operations	Operations	1406	LS	175,000.00		0	0	
COCC	Management Improvements	1408	LS	15,000.00				
IN026000001	Management Improvements	1408	LS	15,000.00				
IN026000002	Management Improvements	1408	LS	15,000.00				
IN026000003	Management Improvements	1408	LS	15,000.00				
IN026000004	Management Improvements	1408	LS	15,000.00				
IN026000007	Management Improvements	1408	LS	15,000.00				
	Sub Total 1408			90,000.00		0	0	
COCC	Administration	1410	LS	14,166.65				
IN026000001	Administration	1410	LS	14,166.67				
IN026000002	Administration	1410	LS	14,166.67				
IN026000003	Administration	1410	LS	14,166.67				
IN026000004	Administration	1410	LS	14,166.67				
IN026000007	Administration	1410	LS	14,166.67				
	Sub-Total 1410			85,000.00		0	0	
IN026000002	Fees & Costs	1430		40,000.00				
	Sub Total 1430			40,000.00		0	0	
IN26000003	Tuck and point exterior of building	1460	1	275,000.00				
IN26000001	New Boilers	1460	2	195,970.00				
	Sub Total 1460			470,970.00		0	0	
COCC	Computer upgrades	1475		15,000.00				
	Sub Total 1475			15,000.00		0	0	
	TOTAL GRANT			875,970.00		0	0	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. ² To be completed for the Performance and Evaluation Report.

KS

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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P&E as of 9/30/2011					
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2011			<input type="checkbox"/> Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	199,651		-0-	-0-
3	1408 Management Improvements	100,000		-0-	-0-
4	1410 Administration (may not exceed 10% of line 21)	100,764		25,000	25,000.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000		5,000	5,985.00
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	532,377		500,000	9,836.40
11	1465.1 Dwelling Equipment—Nonexpendable	10,000		4,000	3,049.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	25,000		25,000	25,020.00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,007,792		559,000	68,890.40

¹ To be completed for the Performance and Evaluation Report.

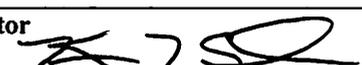
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026		Grant Type and Number Capital Fund Program Grant No: IN36P026 501 10 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2010 FFY of Grant Approval: 2010	
P&E as of 9/30/2011					
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2011				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
		11/21/11			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Elkhart Housing Authority - IN 026 P&E as of 9/30/2011			Grant Type and Number Capital Fund Program Grant No: IN36P026 501 10 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2010		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide Operations	Operations	1406	LS	199,651				
COCC	Management Improvements	1408	LS	16,670				
IN026000001	Management Improvements	1408	LS	16,666				
IN026000002	Management Improvements	1408	LS	16,666				
IN026000003	Management Improvements	1408	LS	16,666				
IN026000004	Management Improvements	1408	LS	16,666				
IN026000007	Management Improvements	1408	LS	16,666				
	Sub Total 1408			100,000		-0-	-0-	
COCC	Administration	1410	LS	25,764		25,000	25,000	
IN026000001	Administration	1410	LS	15,000				
IN026000002	Administration	1410	LS	15,000				
IN026000003	Administration	1410	LS	15,000				
IN026000004	Administration	1410	LS	15,000				
IN026000007	Administration	1410	LS	15,000				
	Sub-Total 1410			100,764		25,000	25,000	
IN026000002	Fees & Costs	1430		40,000		5,000	5,985	
	Sub-Total 1430			40,000		5,000	5,985	
IN026000002	Kitchens – Fianl Phase	1460		532,377		500,000	9,836.40	
	Sub-Total 1460			532,377		500,000	9,836.40	
IN026000007	Stoves	1465		10,000		4,000	3,049	
	Sub-Total 1465			10,000		4,000	3,049	
COCC	Computer upgrades	1475		25,000		25,000	25,020	
	Sub-Total 1475			25,000		25,000	25,020	
	Total Grant			1,007,792		559,000	68,890.40	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. ² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:				Federal FFY of Grant: 2010	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IN026000001	9/2012		9/2014		
IN026000002	9/2012		9/2014		
IN026000003	9/2012		9/2014		
IN026000004	9/2012		9/2014		Part III not applicable – Elkhart Housing Authority is not participating in the CFFP
IN026000007	9/2012		9/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

KS

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					FFY of Grant: 2009
PHA Name: Elkhart Housing Authority - IN 026 P&E as of 9/30/2011		Grant Type and Number Capital Fund Program Grant No: IN36P026 501 09 Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant Approval: 2009
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	201,529		210,529	100,000.00
3	1408 Management Improvements	110,000		110,000	46,210.39
4	1410 Administration (may not exceed 10% of line 21)	100,764		100,764	100,764.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	40,000		40,000	19,556.40
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	482,377		482,377	3,818.66
11	1465.1 Dwelling Equipment—Nonexpendable	50,000		50,000	50,948.75
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	25,000		25,000	445.16
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,009,670		1,009,670	321,743.36

¹ To be completed for the Performance and Evaluation Report.

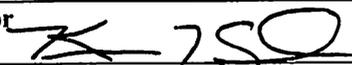
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026 P&E as of 9/30/2011		Grant Type and Number Capital Fund Program Grant No: IN36P026 501 09 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 11/21/11	Signature of Public Housing Director		Date

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part II: Supporting Pages		Grant Type and Number			Federal FFY of Grant:			
PHA Name: Elkhart Housing Authority - IN 026		Capital Fund Program Grant No: IN36P026 501 09			2009			
		CFFP (Yes/ No) Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
PHA Wide	Operations	1406	LS	201,529		201,529	100,000.00	
COCC	Management Improvements	1408	LS	10,000		10,000	10,000.00	
IN026000001	Management Improvements	1408	LS	20,000		20,000	10,000.00	
IN026000002	Management Improvements	1408	LS	20,000		20,000	10,000.00	
IN026000003	Management Improvements	1408	LS	20,000		20,000	5,000.00	
IN026000004	Management Improvements	1408	LS	20,000		20,000	5,000.00	
IN026000007	Management Improvements	1408	LS	20,000		20,000	6,210.39	
	Sub Total 1408			110,000		110,000	46,210.39	
COCC	Administration	1410	LS	25,764		25,764	25,764.00	
IN026000001	Administration	1410	LS	15,000		15,000	15,000.00	
IN026000002	Administration	1410	LS	15,000		15,000	15,000.00	
IN026000003	Administration	1410	LS	15,000		15,000	15,000.00	
IN026000004	Administration	1410	LS	15,000		15,000	15,000.00	
IN026000007	Administration	1410	LS	15,000		15,000	15,000.00	
	Sub-Total 1410			100,764		100,764	100,764.00	
IN026 000001	Fees & Costs	1430	LS	13,300		13,300	-0-	
IN026 000002	Fees & Costs	1430	LS	13,300		13,300	6,156.40	
IN026 000007	Fees & Costs	1430	LS	13,400		13,400	13,400.00	
	Subtotal 1430			40,000		40,000	19,556.40	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. ² To be completed for the Performance and Evaluation Report.

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Part II: Supporting Pages		Grant Type and Number			Federal FFY of Grant:			
PHA Name: Elkhart Housing Authority - IN 026		Capital Fund Program Grant No: IN36P026 501 09			2009			
		CFFP (Yes/ No): No Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
IN026 000002	Kitchens – Phase 5	1460	15	132,377		132,377	0	
IN026 000007	Windows	1460	200	350,000		350,000	3,818.66	
	Subtotal 1460			482,377		482,377	3,818.66	
COCC	Non-Dwelling Equipment	1475	LS	6,250		6,250	445.16	
IN026 000001	Non-Dwelling Equipment	1475	LS	6,250		6,250	0	
IN026 000002	Non-Dwelling Equipment	1475	LS	6,250		6,250	0	
IN026 000007	Non-Dwelling Equipment	1475	LS	6,250		6,250	0	
	Subtotal 1475			25,000		25,000	445.16	
			(estimated)					
IN026 000007	Dwelling Equipment	1465.1	100 units	50,000		50,000	50,948.75	
	TOTAL GRANT			1,009,670		1,009,670	321,743.36	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. ² To be completed for the Performance and Evaluation Report.

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Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026		Grant Type and Number Capital Fund Program Grant No: IN36SO2650109-Stimulus Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2009 FFY of Grant Approval: 2009
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report 1/31/2011					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)		10,000	0.00	0.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs		120,000	85,000.00	90,837.40
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures		1,257,617	1,302,617.00	1,296,779.60
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)		1,387,617	1,387,617.00	1,387,617

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026		Grant Type and Number Capital Fund Program Grant No: IN36SO2650109-Stimulus Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2009 FFY of Grant Approval: 2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input checked="" type="checkbox"/> Final Performance and Evaluation Report 1/31/2011					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 11/21/11		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary		FFY of Grant: 2008
PHA Name: Elkhart Housing Authority - IN 026	Grant Type and Number Capital Fund Program Grant No: IN36P02650108 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant Approval: 2008
P&E as of 9/30/2011		

Type of Grant
 Original Annual Statement Reserve for Disasters/Emergencies Revised Annual Statement (revision no:1)
 Performance and Evaluation Report for Period Ending: **9/30/2011** Final Performance and Evaluation Report

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	201,528.48		201,528.48	201,528.48
3	1408 Management Improvements	103,243.69		103,243.69	106,983.98
4	1410 Administration (may not exceed 10% of line 21)	100,764.00		100,764.00	100,764.00
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	36,102.79		36,102.79	32,362.50
8	1440 Site Acquisition				
9	1450 Site Improvement	2,500.00		2,500.00	2,433.91
10	1460 Dwelling Structures	561,025.28		561,025.28	533,038.24
11	1465.1 Dwelling Equipment—Nonexpendable	49,796.50		49,796.50	51,946.16
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	41,274.26		41,274.26	41,274.26
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,096,235.00		1,096,235.00	1,090,331.53

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026		Grant Type and Number Capital Fund Program Grant No: IN36P02650108 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2008 FFY of Grant Approval: 2008	
P&E as of 9/30/2011					
Type of Grant					
<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no:1)	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2011				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost¹	
		Original	Revised²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date	Signature of Public Housing Director		Date
		11/21/11			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026		Grant Type and Number Capital Fund Program Grant No: IN36P02650107 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2007 FFY of Grant Approval: 2007	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Endin <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	218,882	201,529	201,529.00	201,529.00
3	1408 Management Improvements	125,000	44,825.11	44,825.11	36,905.14
4	1410 Administration (may not exceed 10% of line 21)	109,440	100,764	100,764.00	87,981.22
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	60,000	60,000	60,000	58,040.11
8	1440 Site Acquisition				
9	1450 Site Improvement	10,000	10,000	10,000	5,650.01
10	1460 Dwelling Structures	471,090	490,529.89		515,701.15
11	1465.1 Dwelling Equipment—Nonexpendable	50,000	50,000	50,000.00	51,238.00
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	50,000	50,000	50,000.00	50,603.37
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	1,094,412	1,007,648	1,007,648.00	1,007,648

¹ To be completed for the Performance and Evaluation Report.

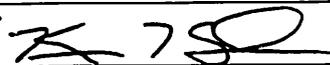
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
 Expires 4/30/2011

Part I: Summary					
PHA Name: Elkhart Housing Authority - IN 026		Grant Type and Number Capital Fund Program Grant No: IN36P02650107 Replacement Housing Factor Grant No: Date of CFFP:		FFY of Grant: 2007 FFY of Grant Approval: 2007	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 9/30/2010 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director 		Date 11/21/11		Signature of Public Housing Director	
				Date	

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Elkhart Housing Authority IN026			Grant Type and Number Capital Fund Program Grant No: IN36P02650107 CFFP (Yes/ No): No Replacement Housing Factor Grant No:			Federal FFY of Grant: 2007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
26-2	Kitchen Cabinets	1460	23	225,000	103,000	103,000	102,513	complete
PHA Wide	Stove and Refrigerators	1465	145	50,000	50,000	50,000	51,238	Complete
26-4	Re-side, re roof units	1460	30	226,090	175,000	175,000	158,530.80	complete
26-1	Fob system for doors	1460	1	10,000	10,000	10,000	7587.50	Complete
26-3	Fob system for doors	1460	1	10,000	10,000	10,000	7587.50	Complete
26-0	Office roof	1460	1	90,000	90,000	90,000	87,520	complete
26-7	Balconies	1460	5	25,000	25,000	25,000	22,900	complete
26-2	Siding split with ARRA money	1460	1	46194.57	46194.57	46194.57	46194.57	Complete

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

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Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Elkhart Housing Authority					Federal FFY of Grant: 2007
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IN0261	9/2009	10/2008	9/2011	8/2011	
IN0262	9/2009	10/2008	9/2011	8/2011	
IN0263	9/2009	10/2008	9/2011	8/2011	
IN0264	9/2009	10/2008	9/2011	8/2011	Part III not applicable – Elkhart Housing Authority is not participating in the CFFP
IN0267	9/2009	10/2008	9/2011	8/2011	

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

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Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Part I: Summary						
PHA Name/Number Elkhart Housing Authority - IN 026		Locality (City/County & State) Elkhart, IN			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY Grant: 2013 PHA FY: 4/1/13	Work Statement for Year 3 FFY Grant: 2014 PHA FY: 4/1/14	Work Statement for Year 4 FFY Grant: 2015 PHA FY: 4/1/15	Work Statement for Year 5 FFY Grant: 2016 PHA FY: 4/1/16
B.	Physical Improvements Subtotal	Annual Statement	3,951,800	3,552,360	3,003,070	3,309,040
C.	Management Improvements				80,000	
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration					
F.	Other COCC 1475 Account		128,250	18,000		85,000
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing – Debt Service					
K.	Total CFP Funds		4,080,050	3,570,360	3,078,120	3,394,040
L.	Total Non-CFP Funds					
M.	Grand Total					

Part I: Summary (Continuation)						
PHA Name/Number Elkhart Housing Authority - IN 026		Locality (City/county & State) Elkhart, IN			<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:	
A.	Development Number and Name	Work Statement for Year 1 FFY 2012	Work Statement for Year 2 FFY Grant: 2013 PHA FY: 4/1/13	Work Statement for Year 3 FFY Grant: 2014 PHA FY: 4/1/14	Work Statement for Year 4 FFY Grant: 2015 PHA FY: 4/1/15	Work Statement for Year 5 FFY Grant: 2016 PHA FY: 4/1/16
		Annual Statement				
	IN-26-000001		908,750	901,350	451,250	548,250
	IN-26-000002		619,100	176,760	777,075	316,250
	IN-26-000003		358,500	541,000	231,000	594,440
	IN-26-000004		892,650	784,150	1,021,000	936,800
	IN-26-000007		1,172,800	1,076,650	477,745	789,800
	COCC		128,250	90,450	120,050	208,500
	Total		4,080,050	3,570,360	3,078,120	3,394,040

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Activities for Year: 2 FFY Grant: 2013 PHA FY: 4/1/13			Activities for Year: 3 FFY Grant: 2014 PHA FY: 4/1/14		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	IN-26000001 Rosedale Highrise			IN-26000001 Rosedale Highrise		
Annual Statement	HVAC at school	3	36,000	Intercom system	1	100,000
	Clean and seal under canopies	730 sq	29,200	Upgrade electric	1	65,000
	Stairwell lights	36	12,600	Replace plumbing	1	15,000
	Exit lights	26	9,100	Enlarge washer drains	4	25,000
	Repaint and seal balcony floors	5	12,500	Faucet for basement	1	4,000
	Replace interior doors	102	204,000	Install AC sleeves in windows	102	153,000
	Replace kitchen cabinets	102	204,000	Replace exterior steel doors	15	22,500
	Replace water closets	102	56,100	Replace garage door	1	7,500
	Exhaust fans in compactor room	1	1,250	Replace bifold closet doors	102	180,000
	Rehab Guard station	1	40,000	Add smoke detectors in units	102	92,850
	Water line replacement in basement	1	100,000	Cleanouts in Basement	2	2,500
	Update offices	4	125,000	New parking lot	1	125,000
	Convert 0-bdm. Apt. into 1-bdm.	2	4,000	Water shutoff replacement in units	102	100,000
	Roof over foyer	1	75,000	Trash chute doors	6	5,000
				Convert 0-bdm. Apt. into 1-bdm.	2	4,000
	IN-26-000001 TOTAL		\$908,750	IN-26-000001 TOTAL		\$901,350
	IN-26-000002 Washington Gardens			IN-26-000002 Washington Gardens		
				Retile units	198	576,100
	Resurface parking lot	1	125,000	Replace screen doors	372	279,000
	Replace development signs	2	40,000	Replace stair treads	198 units	148,500
	Hot water heaters	100	80,000	AC in units	198	594,000
	Replace heaters in 1 bedrooms	16	12,800	Replace water heater lines	198	70,000
	Replace steel entry doors	382	97,200	Tuck point brick	48	100,000
	Replace floor registers	198 units	89,100			
	Replace bathroom floors	198	150,000			
	Cold air return in units	198	25,000			
	IN-26-000002 TOTAL		\$619,100	IN-26-000002 TOTAL		\$176,760

Capital Fund Program—Five-Year Action Plan

Activities for Year 1	Activities for Year: 2 FFY Grant: 2013; PHA FY: 4/1/13 (Continued)		Activities for Year: 3 FFY Grant: 2014; PHA FY: 4/1/14 (Continued)		
	IN-26-000007 Riverside		IN-26-000007 Riverside		
Replace water heater	1	10,000	Upgrade boiler	1 50,000	
Air diverters	7	85,000	Lightning rods	15 80,000	
Replace light poles	2	50,000	Replace carpet with tile	14,300 sq ft 78,650	
1 st floor doors	100	50,000	MailBoxes	147 50,000	
Replace handrails in hallways	2480 Lf	62,000	GFI's	147 36,750	
Door alarms	3	5,000	Paint hallways	24,00 sq ft 84,000	
Replace interior doors	507	126,750	Install suspended ceiling in hallways	14,300 sq ft 121,550	
HVAC Common areas	3	35,000	Replace carpet in trash room with tile	600 sq ft 4,800	
Tile in units	147	316,750	Rehab unit kitchens	147 470,400	
Replace closet doors	295	132,300	Install grab bars in bathrooms	294 73,500	
New kitchen cabinets	147	200,000	Replace smoke detectors in common areas	10 20,000	
Replace pull stations	147	100,000	Floor and tile equipment	2 7,000	
IN-26-000007 TOTAL		\$1,172,800	IN-26-000007 TOTAL		\$1,076,650
	COCC		COCC		
Water heater for office	1	1,000	Computer upgrades	1 12,000	
Water bypass	1	6,000	Mulch & Landscape equipment	1 20,000	
			Signage at Admin office	1 6,000	
Lights at office parking lot	4	26,000	Handheld inspection system	1 6,000	
Carpet in offices	7,350 sq ft	58,800	Reseal crawl space	1 45,000	
Replace existing HVAC system	1	36,000	Replace office water heater	1 1,450	
Replace exit lights	3	450			
COCC TOTAL		\$128,250	COCC TOTAL		\$90,450
Subtotal of Estimated Cost		4,040,050	Subtotal of Estimated Cost		3,570,360

Part II: Supporting Pages – Physical Needs Work Statement(s)						
Work Statement for Year 1 FFY 2012	Activities for Year: 4 FFY Grant: 2015 PHA FY: 4/1/15			Activities for Year: 5 FFY Grant: 2016 PHA FY: 4/1/16		
	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost
See	IN-2600001 Rosedale Highrise			IN-2600001 Rosedale Highrise		
Annual	Lightning rods	15	75,000			
Statement	Mailboxes for building	102	50,000	HVAC hallways	6	25,000
	Canopy for patio	1	72,000	TV for lounge	1	350
				Repair sidewalks and curbs	1,000 sq ft	8,500
	Public restroom rehab	2	28,000	Roof at Garage	1	26,400
	Fence around parking lots	1	9,250	Clean and seal exterior brick	27,00 slf.	165,000
	Repaint office and school rooms	1200 sq ft	42,000	Expand restrooms	2	8,000
	Install AC sleeves	102	125,000	New Generator	1	100,000
	Units lighting	102 units	35,000	Extra smoke detectors in common area	10	15,000
	Replace slop sinks	6	15,000	Brick Tuck point	1	200,000
	IN-26-000001 TOTAL		\$451,250	IN-26-000001 TOTAL		\$548,250
	IN-26-000002 Washington Gardens			26-000002 Washington Gardens		
				Replace outside faucets	96	31,200
	Upgrade electric service	198	346,500	Gutters	198	25,000
	Update playgrounds	3	120,000	Repair damaged sidewalks	5,000 sq ft	40,000
	Improve landscape	198 units	100,000	Repair porches	22	9,900
	Replace HVAC units in 1 Bedroom	16	12,800	Fence on alley	1	25,000
	Install new thermostats	198	34,650	Replace bathroom exhaust fans	198 units	79,200
	Add security lighting	25	13,125	Duct cleaning	198	63,200
	Replace security screens	198 units	150,000	Replace existing porch lights	198 units	42,750
	IN-26-000002 TOTAL		\$777,075	IN-26-000002 TOTAL		\$316,250

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Work Statement for Year 1 FFY 2012	Activities for Year: 4 FFY Grant: 2015; PHA FY: 4/1/15 <i>(Continued)</i>			Activities for Year: 5 FFY Grant: 2016; PHA FY: 4/1/16 <i>(Continued)</i>		
	IN-26-000003 Waterfall Highrise			26-000003 Waterfall Highrise		
				Replace heater covers	14	50,000
	GFI in kitchen	254	21,000	Tile units	127	307,340
	Heat cabinets Common Areas	3	44,000	Exterior doors	5	15,000
	Lights in stairwells	40	14,000	Lights in boiler room	10	10,000
	Replace heat fin tubes in units	127	63,500	Rehab public restrooms	2	53,500
	Replace smoke alarms in common area	10	8,500	Replace hot water booster pump	1	20,000
	Clean out drains	3	10,000	Replace heaters in elevators	3	7,000
	Replace water closets	130	60,000	Replace slop sinks	10	30,000
	Convert 0 bedrooms to 1 bedroom	5	10,000	Replace showers	127	101,600
	IN-26-000003 TOTAL		\$231,000	IN-26-000003 TOTAL		\$594,440
	IN-26-000004 Scattered Sites			26-000004 Scattered Sites		
	Resurface parking lots	1	125,000	Replace interior lights	743	222,900
	Storage sheds	98	78,400	Upgrade existing playground	1	75,000
	Clean drains	98	25,000	Brick and Porch cement	15	50,000
	GFI's in kitchen and bathroom	98	64,000	Landscape around units	98	49,000
	Repair sidewalks	98	25,000	Handrail at Malcolm comm building	1	6,500
	Install new porch lights	196	68,600	Replace underground water service	98	274,400
	Bathroom remodel	98	600,000	HVAC in community building	1	7,500
	GFI on exteriors	98	15,000	Floor registers	500	6,500
	Main shutoff valves	98	15,000	Replace storefronts and stormdoors	98	245,000
	Gas hookup for dryers	98	5,000			
	IN-26-000004 TOTAL		\$1,021,000	IN-26-000004 TOTAL		\$936,800

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Activities for Year: 4 FFY Grant: 2015; PHA FY: 4/1/15 <i>(Continued)</i>			Activities for Year: 5 FFY Grant: 2016; PHA FY: 4/1/16 <i>(Continued)</i>		
IN-26-000007 Riverside			IN-26-000007 Riverside		
Rehab public restrooms	2	15,000	Lights in storage areas	100	15,000
Generator	1	100,000	HVAC for 1 st floor	1	50,000
Repair light poles	12	6,600	Repair screen inlets	7	45,500
Replace sprinkler system	45,000 sq ft	101,250	Closet doors	300	120,000
Repaint and rehab sunrooms	1080 Sq Ft	21,600	Re Surface Lot	1	100,000
Clean existing 1 st floor tile	1530 Sq ft	13,770			
First floor lighting	1530 sq ft	38,250	Replace unit doors	147	198,450
Replace main stack for water closets	147	74,775	Replace water closets with energy units	147	80,850
New lights in common areas	12	25,000	Remove trash incinerator	1	50,000
H/C ramp at patio	1	2,000	Entrance doors	2	100,000
Replace water heaters	147	73,500	Extend trash pad	1	15,000
Building Sign	1	6,000	Patio fence		15,000
IN-26-000007 TOTAL		\$477,745	IN-26-000007 TOTAL		\$789,800
COCC			COCC		
New computer software	1	30,000	Parking lot lights	10	12,000
Energy management system	1	50,000	Computer System	1	85,000
Replace HC handrails		5,500	Parking lot fence	560 Lf	28,000
Replace metal entrance doors	1	3,500	Cement work on steps at office	130 sq ft	8,500
Rehab office public restroom	2	36,000	H/C front entrance doors at office	1	75,000
COCC TOTAL		\$120,050	COCC TOTAL		\$208,500
Subtotal of Estimated Cost		3,078,120	Subtotal of Estimated Cost		3,394,040

Part III: Supporting Pages – Management Needs Work Statement(s)				
Work Statement for Year 1 FFY 2012	Activities for Year: 2 FFY Grant: 2013 PHA FY: 4/1/13		Activities for Year: 3 FFY Grant: 2014 PHA FY: 4/1/14	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See				
Annual				
Statement				
	Subtotal of Estimated Cost	\$	Subtotal of Estimated Cost	\$

Attachment K

Elkhart Housing Authority

Annual Plan for Fiscal Year 4/1/2012 – 3/31/2013

Comments of the Resident Advisory Board

Elkhart Housing Authority met with the Resident Advisory Board (RAB) on November 15, 2011.

Elements of the PHA Plan Template and Capital Fund Program documents were reviewed. The RAB members agreed with the overall Plan as presented and no significant comments or suggestions were offered.



Kim Sindle, Executive Director
Elkhart Housing Authority

December 15, 2011

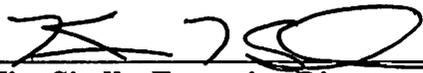
Attachment L

Elkhart Housing Authority

Annual Plan for Fiscal Year 4/1/2012 – 3/31/2013

Challenged Elements

There were no challenged elements to Elkhart Housing Authority's Annual Agency Plan



Kim Sindle, Executive Director
Elkhart Housing Authority

December 15, 2011

**PHA Certifications of Compliance
with PHA Plans and Related
R e g u l a t i o n s**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/30/2011

**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 2012 5-Year and/or 2012 Annual PHA Plan for the PHA fiscal year beginning, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority city of Elkhart

IN026

PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 2011- 2015

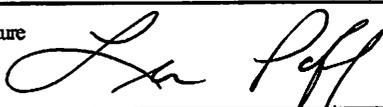
Annual PHA Plan for Fiscal Years 2012

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Mr. Len Paff

Board Chairperson


Signature



Date

12-15-11

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Housing Authority City of Elkhart IN026

Program/Activity Receiving Federal Grant Funding

CFP IN36P02650112

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

IN26000001
IN26000002
IN26000003
IN26000004
IN26000007

Check here if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

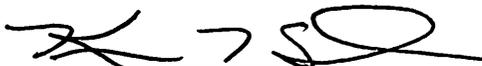
Mr. Kim Sindle

Title

Executive Director

Signature

X



Date

12/15/11

Civil Rights Certification

U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority City of Elkhart

IN026

 PHA Name

 PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official		Title	
Mr. Kim E. Sindle		Executive Director	
Signature 		Date 12/15/11	

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority City of Elkhart

Program/Activity Receiving Federal Grant Funding

CFP IN36P02650112

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Mr. Kim Sindle

Title

Executive Director

Signature



Date (mm/dd/yyyy)

12/15/2011

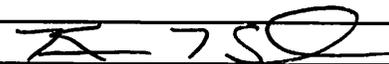
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

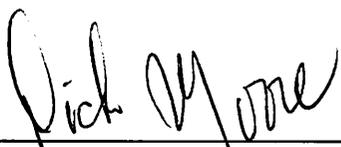
1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> NA a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency: HUD	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant <i>(if individual, last name, first name, MI):</i> NA	b. Individuals Performing Services <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Mr. Kim Sindle</u> Title: <u>Executive Director</u> Telephone No.: <u>574.295.8392</u> Date: <u>12/15/11</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Mr. Dick Moore the Mayor certify that the Five Year and
Annual PHA Plan of the Housing Authority city of Elkhart is consistent with the Consolidated Plan of
City of Elkhart, Indiana prepared pursuant to 24 CFR Part 91.



Signed / Dated by Appropriate State or Local Official